

SIXTH EDITION

Clinical Biochemistry of Domestic Animals

EDITED BY

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Preface

It has been more than a decade since the publication of the fifth edition, and understandably numerous changes have come about, not the least of which are changes in authorship as well as rapid progress in the various fields of clinical scientific endeavor. First of all, we'd like to pay tribute to a number of our previous authors who have passed away since the publication of the fifth edition. Notably, they are Drs. Duane F. Brobst (Pancreas), Charles C. Capen (Calcium), Jens G. Hauge (Genetics), and Joseph E. Smith (Iron). All succumbed to cancers of various types except for Dr. Smith, who died in a tragic auto accident. Others have retired and chose not to contribute or to contribute in a secondary role. All of these authors contributed greatly to previous editions and are sorely missed as contributors at the forefront of their respective fields. It is to all these contributors that the editors of this current edition wish to dedicate this new volume. New lead authors have been identified and have contributed ably to this edition.

As in previous editions, this edition continues to promote the concept of the Systeme International d'Unites (SI units) with the hope and expectation that ultimately it may be universally accepted.

We would also like to take this opportunity to extend our heartfelt thanks to Melissa Turner and Kristi Anderson, who have ably shepherded us through the intricacies of dealing with a new publisher, Elsevier, who purchased Academic Press, the publisher for the first five editions. Our thanks also go to Julie Ochs who has ably guided us through the final steps of the printing and publication process. They have all been extremely diligent, having been almost at our beck and call for assistance, clarification, guidance, and encouragement at every step of the way. To all three of our publisher contacts, we are extremely grateful.

Finally, we must acknowledge and express our thanks to our families who have been incredibly supportive in our almost single minded effort to bring this current edition to fruition. Without their unqualified support, this work would have been most difficult to accomplish and perhaps not even possible.

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Concepts of Normality in Clinical Biochemistry

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REFERENCES

I. POPULATIONS AND THEIR DISTRIBUTIONS

A population is a collection of individuals or items having something in common. For example, one could say that the population of healthy dogs consists of all dogs that are free of disease. Whether a given dog belongs to the population of healthy dogs depends on someone's ability to determine if the dog is or is not free of disease. Populations may be finite or infinite in size.

A population can be described by quantifiable characteristics frequently called observations or measures. If it were possible to record an observation for all members in the population, one most likely would demonstrate that not all members of the population have the same value for the given observation. This reflects the inherent variability in populations. For a given measure, the list of possible values that can be assumed with the corresponding frequency with which each value appears in the population relative to the total number of elements in the population is referred to as the distribution of the measure or observation in the population. Distributions can be displayed in tabular or graphical form or summarized in mathematical expressions. Distributions are classified as discrete distributions or continuous distributions on the basis of values that the measure can assume. Measures with a continuous distribution can assume essentially an infinite number of values over some defined range of values, whereas those with a discrete distribution can assume only a relatively few values within a given range, such as only integer values.

Each population distribution can be described by quantities known as *parameters*. One set of parameters of a population distribution provides information on the center of the distribution or value(s) of the measure that seems to be assumed by a preponderance of the elements in the population. The mean, median, and mode are three members of the class of parameters describing the center of the distribution. Another class of parameters provides information on the spread of the distribution. Spread of the distribution has to do with whether most of the values that are assumed in the population are close to the center of the distribution or whether a wider range of values is assumed. The standard deviation, variance, and range are examples

of parameters that provide information on the spread of the distribution. The shape of the distribution is very important. Some distributions are symmetric about their center, whereas other distributions are asymmetric, being skewed (having a heavier tail) either to the right or to the left.

II. REFERENCE INTERVAL DETERMINATION AND USE

One task of clinicians is determining whether an animal that enters the clinic has blood and urine analyte values that are in the normal interval. The conventional method of establishing normalcy for a particular analyte is based on the assumption that the distribution of the analyte in the population of normal animals is the "normal" or Gaussian distribution. To avoid confusion resulting from the use of a single word having two different meanings, the "normal" distribution henceforth is referred to as the Gaussian distribution.

A. Gaussian Distribution

Understanding the conventional method for establishing normalcy requires an understanding of the properties of the Gaussian distribution. Theoretically, a Gaussian distribution is defined by the equation

$$y = \frac{1}{\sqrt{2\pi\sigma}} e^{-(x-\mu)^2/2\sigma^2}$$

where x is any value that a given measurement can assume, y is the relative frequency of x, μ is the center of the distribution, σ is the standard deviation of the distribution, π is the constant 3.1416, and e is the constant 2.7183.

Theoretically, x can take on any value from $-\infty$ to $+\infty$. Figure 1-1 gives an example of a Gaussian distribution and demonstrates that the distribution is symmetric around μ and is bell shaped. Figure 1-1 also shows that 68% of the distribution is accounted for by measurements of x that have a value within 1 standard deviation of the mean, and 95% of the distribution includes those values of x that are within 2 standard deviations of the mean. Nearly all of the distribution (97.75%) is contained by the bound of 3 standard deviations of the mean.

Most analytes cannot take on negative values and so, strictly speaking, cannot have Gaussian distributions. However, the distribution of many analyte values is approximated well by the Gaussian distribution because virtually all the values that can be assumed by the analyte are within 4 standard deviations of the mean and, for this range of

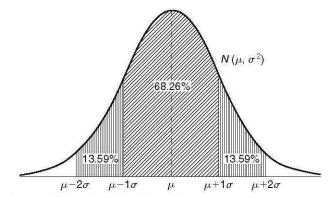


FIGURE 1-1 The Gaussian distribution.

values, the frequency distribution is Gaussian. Figure 1-2, adapted from the printout of MINITAB, Release 14.13, 1 gives an example of the distribution of glucose values given in Table 1-1 for a sample of 168 dogs from a presumably healthy population.

[To produce this figure, place the glucose values for the 168 dogs in one column of a MINITAB worksheet and give the following commands:

Stat (from the main menu)
$$\rightarrow$$
 Basic Statistics \rightarrow Graphical Summary

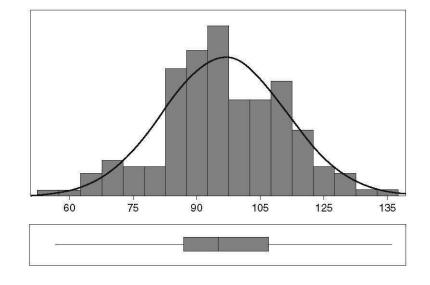
In the **Graphical Summary** dialog box, select the column of the worksheet containing the glucose values and place it in the **Variables:** box. Hit **OK**.

Though not perfectly Gaussian, the distribution is reasonably well approximated by the Gaussian distribution. Support for this claim is that the distribution has the characteristic bell shape and appears to be symmetric about the mean. Also, the mean [estimated to be 96.4mg/dl (5.34mmol/liter)] of this distribution is nearly equal to the median [estimated to be 95.0mg/dl (5.27mmol/liter)], which is characteristic of the Gaussian distribution. The estimates of the skewness and kurtosis coefficients are close to zero, also characteristic of a Gaussian distribution (Daniel, 2005; Schork and Remington, 2000; Snedecor and Cochran, 1989).

B. Evaluating Probabilities Using a Gaussian Distribution

All Gaussian distributions can be standardized to the reference Gaussian distribution, which is called the *standard*

¹ MINITAB, Inc., Quality Plaza, 829 Pine Hall Road, State College, PA 16801-3008.



A-squared	0.51		
P-value	0.190		
Mean	96.429		
StDev	14.619		
Variance	213.707		
Skewness	-0.0944703		
Kurtosis	0.0706586		
N	168		
Minimum	57.000		
1st quartile	87.000		
Median	95.000		
3rd quartile	107.000		
Maximum	136.000		
95% Confidence	interval for mean		
94.202	98.655		
95% Confidence i	nterval for median		
94.000	98.177		
95% Confidence	interval for StDev		
13.205	16.374		



FIGURE 1-2 Distribution and summary statistics for the sample of canine glucose values (mg/dl) in Table 1-1. Printout of MINITAB. Release 14.13.

Gaussian distribution. Standardization in general is accomplished by subtracting the center of the distribution from a given element in the distribution and dividing the result by the standard deviation of the distribution. The distribution of a standardized Gaussian distribution—that is, a Gaussian distribution that has its elements standardized in this form—has its center at zero and has a variance of unity. The elements of the standard Gaussian distribution

are traditionally designated by the letter z so that it can be said that z is N(0,1). That all Gaussian distributions can be transformed to the standard Gaussian distribution is convenient in that just a single table is required to summarize the probability structure of the infinite number of Gaussian distributions. Table 1-2 provides an example of such a table and gives the percentiles of the standard Gaussian distribution.

Example 1

Suppose the underlying population of elements is N(4,16) and one element from this population is selected. We want to find the probability that the selected element has a value less than 3.0 or greater than 6.1. In solving this problem, the relevant distribution is specified: x is N(4,16). The probability of observing x < 3.0 in the distribution of x is equivalent to the probability of observing x < (3.0-4)/4 = -0.25 in the standard Gaussian distribution. Going to Table 1-2, x = 0.25 is approximately the 60th percentile of the standard Gaussian distribution and by symmetry z = -0.25 is approximately the

40th percentile. Thus, the probability of observing a z value less than or equal to -0.25 is approximately 0.40. The probability of observing x>6.1 is equivalent to the probability of observing z>(6.1-4)/(4)=+0.525. Table 1-2 gives the probability of observing a z<0.525 as approximately 0.70, so the probability of observing a z>0.525 approximately equals 1-0.70 or 0.30. The desired probability of observing a sample observation less than 3.0 or greater than 6.1 is the sum of 0.40 and 0.30, which is approximately 0.7 or 7 chances in 10.

 $\textbf{TABLE 1-1} \quad \text{Glucose (Glu, mg/dl) and Alanine Aminotransferase (ALT,U/l) for a Sample of 168 Dogs from the Population of Healthy Dogs^{\alpha} \\$

Dog	Glu	ALT	Dog	Glu	ALT	Dog	Glu	ALT	Dog	Glu	ALT
1	88	60	43	86	53	85	110	54	127	108	105
2	104	79	44	86	50	86	78	54	128	90	32
3	89	138	45	115	72	87	95	37	129	100	25
4	99	58	46	98	59	88	111	25	130	96	46
5	63	34	47	98	80	89	116	115	131	86	95
6	97	43	48	99	42	90	108	60	132	100	99
7	94	47	49	94	42	91	76	36	133	122	115
8	105	77	50	104	116	92	111	102	134	109	60
9	86	102	51	107	98	93	86	62	135	77	67
10	124	34	52	107	78	94	101	43	136	88	83
11	118	64	53	119	56	95	106	73	137	94	118
12	112	184	54	114	38	96	92	99	138	92	44
13	85	82	55	94	50	97	67	50	139	121	64
14	109	35	56	109	47	98	75	24	140	86	19
15	96	46	57	110	32	99	127	110	141	84	68
16	72	29	58	99	53	100	87	65	142	86	74
17	91	117	59	105	97	101	136	44	143	105	86
18	94	132	60	102	97	102	94	40	144	91	47
19	90	68	61	100	54	103	89	18	145	92	56
20	68	50	62	83	36	104	72	30	146	89	49
21	84	95	63	83	32	105	87	75	147	123	78
22	94	140	64	108	111	106	96	66	148	109	93
23	91	38	65	114	63	107	85	113	149	117	46
24	90	146	66	105	58	108	95	63	150	115	31
25	72	68	67	74	24	109	96	61	151	83	65
26	87	42	68	92	96	110	117	62	152	94	55
27	94	43	69	97	42	111	106	33	153	92	52
28	97	84	70	85	101	112	113	99	154	109	64
29	103	44	71	83	46	113	107	97	155	92	59
30	70	84	72	86	58	114	96	131	156	93	49
31	91	108	73	110	29	115	94	44	157	92	29
32	58	28	74	121	115	116	100	68	158	101	66
33	89	75	75	87	62	117	127	37	159	113	53
34	81	38	76	88	40	118	106	52	160	92	79
35	106	38	77	114	78	119	93	113	161	110	47
36	94	26	78	96	83	120	99	142	162	116	46
37	57	89	79	107	26	121	94	45	163	11-1	137
38	67	35	80	101	19	122	82	80	164	111	57
39	93	69	81	90	105	123	130	53	165	70	49
40	89	44	82	110	133	124	76	87	166	94	80
41	80	47	83	65	56	125	99	36	167	106	53
42	112	41	84	95	70	126	81	31	168	102	128

aThese data were provided by Dr. J. J. Kaneko, Department of Pathology, Microbiology and Immunology, School of Veterinary Medicine, University of California, Davis.

TABLE 1-2 Percentiles of the Standard Gaussian (z) Distribution^{a,b}

$z_{0.50} = 0$	$z_{0.90} = 1.282$	$z_{0.975} = 1.960$
$z_{0.55} = 0.126$	$z_{0.91} = 1.341$	$z_{0.98} = 2.054$
$z_{0.60} = 0.253$	$z_{0.92} = 1.405$	$z_{0.99} = 2.326$
$z_{0.65} = 0.385$	$z_{0.93} = 1.476$	$z_{0.995} = 2.576$
$z_{0.70} = 0.524$	$z_{0.94} = 1.555$	$z_{0.999} = 3.090$
$z_{0.75} = 0.674$	$z_{0.95} = 1.645$	$z_{0.9999} = 3.719$
$z_{0.80} = 0.842$	$z_{0.96} = 1.751$	$z_{0.99999} = 4.265$
$z_{0.85} = 1.036$	$z_{0.97} = 1.881$	

^aThis table was generated with MINITAB Release 14.13 as follows: The indicated cumulative probabilities 0.5 to 0.99999 were placed in a column of a MINITAB worksheet and the following commands given:

Calc (from the main menu of MINITAB) → Probability Distributions → Normal Distribution. Within the Normal Distribution dialog box, Inverse cumulative probability was selected, Mean was set to 0.0, Standard deviation was set to 1.0, and the column of the worksheet containing the cumulative probabilities was selected and placed in the Input column: followed by hitting OK.

^b Example: The 75th percentile, or the z value below, which is 75% of the Gaussian distribution, equals 0.674, $z_{0.75}=0.674$. Percentiles smaller than the 50th percentile can be found by noting that the Gaussian distribution is symmetric about zero so that, for example, $z_{0.30}=-0.524$.

2.5% of the animals would have an analyte value above 2 standard deviations above the mean. So with this classification scheme, there is a 5% chance that a true normal animal would be classified as being abnormal. Clinicians, by choosing 2 as the multiple, are willing to designate normal animals with extreme values of a particular analyte as being abnormal as the trade-off for not accepting too many abnormal animals as normals. With this methodology, no consideration is given to the distribution of abnormal animals because in fact there would be multiple distributions corresponding to the many types of abnormalities. The assumption is that for those cases where an analyte would be useful in identification of abnormal animals, the value of the analyte would be sufficiently above or below the center of the distribution of the analyte for normal animals. The reference interval for glucose based on the distribution from the sample of 168 normal dogs is 96.42857mg/dl ± $(1.96 \times 14.61873 \text{mg/dl})$ or 67.8 mg/dl (3.76 mmol/liter) to 125.1mg/dl (6.94mmol/liter).

Solberg (1999) gave $1/\alpha$ as the theoretical minimum sample size for estimation of the 100α and $100(1-\alpha)$ percentiles. Thus, a minimum of 40 animals is required to estimate the 2.5th and 97.5th percentiles but many more than 40 is recommended.

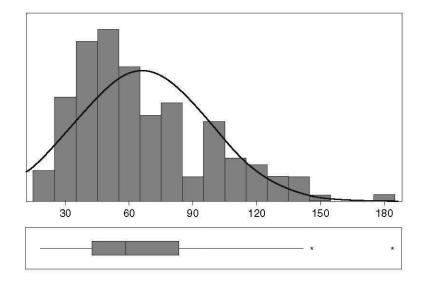
C. Conventional Method for Determining Reference Intervals

The first step in establishing a normal interval by the conventional method involves determining the mean and standard deviation of the distribution of the analyte. This can be accomplished by taking a representative sample (using a sampling design that has a random component such as simple random sampling) from the population of normal animals and computing the mean and standard deviation of the sample.

Once these estimates of μ and σ are obtained, an animal coming into the clinic in the future is classified as being normal for a particular analyte if its value for the analyte is within the bound of some multiple of the standard deviation below the mean and some multiple of the standard deviation above the mean. The multiple is determined by the degree of certainty that one desires to place on the classification scheme. For example, if the multiple chosen is 2, which is the conventional choice, any animal entering the clinic with an analyte value within 2 standard deviations of the mean would be classified as normal, whereas all animals with a value of the analyte outside this boundary would be classified as abnormal. Because 95% of the Gaussian distribution is located within 1.96 or approximately 2 standard deviations of the mean, with this classification scheme, 2.5% of the normal animals would have a value of the analyte that would be below 2 standard deviations below the mean, and

D. Methods for Determining Reference Intervals for Analytes Not Having the Gaussian Distribution

The conventional procedure for assessing normalcy works quite well provided the distribution of the analyte is approximately Gaussian. Unfortunately, for many analytes a Gaussian distribution is not a good assumption. For example, Figure 1-3 describes the distribution of alanine aminotransferase (ALT) values given in Table 1-1 for the same sample of 168 normal dogs. This distribution is visibly asymmetric. The distribution has a longer tail to the right and is said to be skewed to the right or positively skewed. The skewness value (0.93) exceeds the approximate 99th percentile of the distribution for this coefficient for random samples from a population having a Gaussian distribution. That the distribution is not symmetric and hence not Gaussian is also evidenced by the lack of agreement between the mean and median as shown in Figure 1-3. Application of the conventional procedure for computing reference intervals [$x \pm (1.96 \times SD)$] reveals a reference interval of 4.4 to 127.7U/liter so that all the low values of the distribution fall above the value, which is 2 standard deviations below the mean of the distribution, and more than 2.5% of the high values fall above the value, which is 2 standard deviations above the mean. The following sections give two approaches that can be followed in such a situation to obtain reference intervals.



Anderson-Darling	g normality test		
A-squared	3.39		
P-value <	0.005		
Mean	66.042		
StDev	31.447		
Variance	988.902		
Skewness	0.929303		
Kurtosis	0.549188		
N	168		
Minimum	18.000		
1st quartile	43.000		
Median	58.500		
3rd quartile	83.750		
Maximum	184.000		
95% Confidence i	nterval for mean		
61.252	70.832		
95% Confidence in	terval for median		
53.000	64.000		
95% Confidence in	nterval for StDev		
28.406	35.223		

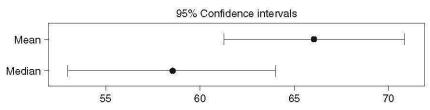


FIGURE 1-3 Distribution and summary statistics for the sample of canine alanine aminotransferase values (U/liter) in Table 1-1. Printout of MINITAB, Release 14.13.

1. Use of Transformations

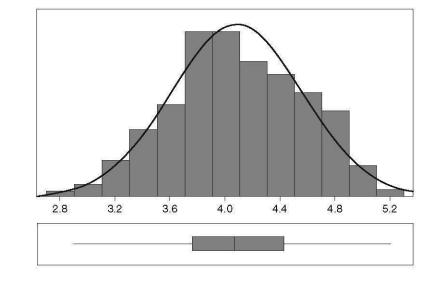
Frequently, some transformation (such as the logarithmic or square root transformation) of the analyte values will make the distribution more Gaussian (Kleinbaum *et al.*, 2008; Neter *et al.*, 1996; Zar, 1999). The boundaries for the reference values are two standard deviations above and below the mean for the distribution of the transformed analyte values. These boundaries then can be expressed in terms of the original analyte values by retransformation. Figure 1-4 describes the distribution of the ALT analyte values after transformation with natural logarithms. The reference boundaries in logarithmic units are equal to $4.08013 \pm (1.96 \times 0.47591)$ or (3.14734, 5.01292), which correspond to (23.3, 150.3U/liter), in the original units of the analyte.

2. Use of Percentiles

The second approach that can be followed in the situation where an assumption of a Gaussian distribution is not tenable is to choose percentiles as boundaries (Feinstein, 1977; Herrera, 1958; Mainland, 1963; Massod, 1977; Reed *et al.*, 1971; Solberg, 1999). For example, if we wanted to misclassify only 5% of normal animals as being abnormal, the 2.5th and 97.5th percentiles could be chosen as the reference boundaries. Thus, animals would be classified as abnormal

when having analyte values either below the value of the analyte below which are 2.5% of all normal analyte values or above the value of the analyte below which are 97.5% of all normal analyte values. This method is attractive because percentiles are reflective of the distribution involved.

The 97.5th percentile is estimated as the value of the analyte corresponding to the $(n + 1) \times 0.975$ th observation in an ascending array of the analyte values for a sample of n normal animals (Dunn and Clark, 2001; Ryan et al., 2001; Snedecor and Cochran, 1989). For the ALT values from the sample of n = 168 animals, $(n + 1) \times 0.975 = 169 \times 100$ 0.975 = 164.775. Because there is no 164.775th observation, the 97.5th percentile is found by interpolating between the ALT values corresponding to the 164th and 165th observation in the ascending array commonly referred to as the 164th and 165th order statistics (Ryan et al., 2001; Snedecor and Cochran, 1989). The 164th order statistic is 138U/liter and the 165th order statistic is 140U/ liter and the interpolation is 138 + 0.775(140 - 138) =139.5U/liter. The 2.5th percentile is estimated similarly as the $(n + 1) \times 0.025$ th order statistic, which is the 4.225th order statistic for the sample of ALT values. In this case, the 4th and 5th order statistics are the same, 24U/liter, which is the estimate of the 2.5th percentile. Note that there is reasonable agreement between this reference interval and



A-squared	0.33		
P-value	0.514		
Mean	4.0801		
StDev	0.4759		
Variance	0.2265		
Skewness	-0.078280		
Kurtosis	-0.475771		
N	168		
Minimum	2.8904		
1st Quartile	3.7612		
Median	4.0690		
3rd Quartile	4.4278		
Maximum	5.2149		
95% Confidence	interval for mean		
4.0076	4.1526		
95% Confidence i	nterval for median		
3.9703	4.1589		
95% Confidence i	interval for StDev		
0.4299	0.5331		

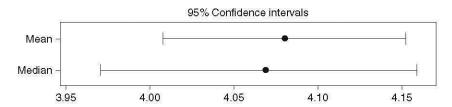


FIGURE 1-4 Distribution and summary statistics for the natural logarithm of the sample of canine alanine aminotransferase values (U/liter) in Table 1-1. Printout of MINITAB, Release 14.13.

that obtained using the logarithmic transformation. This method of using percentiles as reference values can also be used for analytes having a Gaussian distribution. The 2.5th and 97.5th percentiles for the sample of glucose values are 65.4mg/dl (3.63mmol/liter) and 126.3mg/dl (7.01mmol/liter), respectively. This interval agrees very well with that calculated earlier using the conventional method.

E. Sensitivity and Specificity of a Decision Based on a Reference Interval

As alluded to earlier, in addition to the "normal" or healthy population, several diseased populations may be involved, each with its own distribution. Figure 1-5 depicts the distributions of one analyte for a single diseased population and for a normal healthy, nondiseased population. Note that there will be some overlap of these distributions. Little overlap may occur when the disease has a major impact on the level of the analyte, whereas extensive overlap could occur if the level of the analyte is unchanged by the disease.

Using the upper limit of the reference interval for the normal dogs as the decision (threshold) point could lead to two types of mistakes in diagnosis of patients. First, diseased patients having values within the normal interval

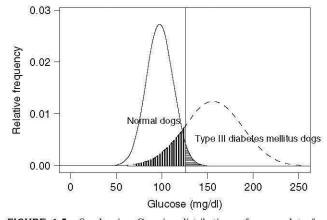


FIGURE 1-5 Overlapping Gaussian distributions of one analyte for a diseased dog population and a healthy, nondiseased dog population. Decision (threshold) point is the upper limit of the reference interval for the normal dogs. The magnitude of the vertically shaded area is the probability of misclassifying a diseased dog as being normal and the magnitude of the horizontally shaded area is the probability of misclassifying a normal dog as being diseased.

would be classified as nondiseased, the false negatives. Second, normal patients with values above the normal interval would be classified incorrectly as diseased and would be the false positives. The probabilities associated with making these two kinds of mistakes in classifying

patients on the basis of analyte values, the error rates, are shown, respectively, as vertically and horizontally shaded areas in Figure 1-5. The *sensitivity* of the diagnostic or decision process using reference values is the probability of deciding that a truly diseased animal is diseased on the basis of the given reference value and is equal to 1 minus the vertically shaded area of Figure 1-5. The *specificity* of the decision process is the probability of deciding that a truly normal animal is normal and is equal to 1 minus the horizontally shaded area of Figure 1-5. It is possible to change the reference values to increase the sensitivity of the test, but such an action will also result in a reduction in the specificity of the test.

Example 2

Type III diabetic dogs have the chemical form of diabetes mellitus generally regarded as the first level of development of the disease offering the highest likelihood "for successful oral hypoglycemic therapy or dietary therapy" (Kaneko, 1977). Thus, it would be useful to distinguish type III diabetic dogs from normal dogs. Using the sample mean [155.6mg/dl (8.63mmol/ liter)] and standard deviation [32.0mg/dl (1.77mmol/liter)] of the plasma glucose values given by Kaneko (1977) for five dogs with type III diabetes mellitus as reasonable estimates of the corresponding parameters for the population of dogs with type III diabetes mellitus, and assuming that this population distribution is approximately Gaussian, a comparison of this distribution of glucose values can be made with that for the population of normal dogs described by the approximately Gaussian distribution with parameter estimates given in Figure 1-2 ($\mu_v = 96.4$ mg/dl (5.35mmol/liter) and $\sigma_v = 14.6$ mg/dl (0.81mmol/liter)]. These two distributions are those shown in Figure 1-5; they have reasonably good separation with moderate overlap. Based on this information, a diagnostic procedure is proposed whereby a dog entering the clinic with a glucose value above 125.1mg/dl (6.94mmol/liter), the upper limit of the normal reference interval, will be flagged as possibly having type III diabetes mellitus thereby indicating need for more follow-up. (Note: This is an oversimplification of actual practice because a diagnostic decision of this type would be based on additional information, such as the animal's glucose tolerance and insulin response, making the decision rule and subsequent error calculations more complex.) This is an example of a one-sided diagnostic procedure because a dog with a glucose value below the lower limit of the reference interval would not be considered as having type III diabetes mellitus. If a dog actually having type III diabetes mellitus has a glucose value below the upper limit of the reference interval, the diagnostic procedure will make a mistake in deciding that the dog is normal. The probability of making this mistake is 0.170 or 17.0%, the area to the left of a glucose value of 125.1mg/ dl in the distribution of glucose values for dogs having type III diabetes mellitus or the area to the left of the corresponding z-value, $z = (125.1 - 155.6)/32.0 \approx 0.953$, for the standard Gaussian distribution (see Section II.B).

[This probability can be found by interpolating from Table D in Daniel (2005) or from MINITAB Release 14.13 using the reverse of the procedure described above for generating Table 1-2. The z-value -0.953 is placed in a column of a MINITAB worksheet and the following commands given:

Calc (from the main menu of MINITAB) → Probability Distributions → Normal Distribution. Within the Normal Distribution dialog box, Cumulative probability is selected, Mean is set to 0.0, Standard deviation is set to 1.0, and the column of the worksheet containing the z-value is selected and placed in the Input column: Hit OK.]

The clinician may be interested in determining the sensitivity and the specificity of the diagnostic procedure. The sensitivity is 1-0.170=0.830 or 83.0%. A dog that actually is normal but has a glucose value greater than 125.1 mg/dl would be incorrectly classified by the proposed diagnostic procedure as having type III diabetes mellitus. The probability of making this type of error is 0.025 or 2.5%, which is the area to the right of a glucose value of 125.1 mg/dl in the distribution of glucose values for normal dogs or the area to the right of the corresponding z-value, $z=(125.1-96.4)/14.6\approx1.96$, for the standard Gaussian distribution (from Table 1-2 or using MINITAB as shown earlier). The specificity of the diagnostic procedure is 1-0.025=0.975 or 97.5%.

F. Predictive Value of a Decision Based on a Reference Interval

A useful quantity is the probability that a patient having a reference value outside the normal interval actually has the disease. This is known as the *predictive value of a positive diagnosis*, $\operatorname{Prob}(D \mid +)$. Interest could also be in determining the probability that a patient having a reference value within the normal interval is actually nondiseased or the *predictive value of a negative diagnosis*, $\operatorname{Prob}(D \mid -)$. The predictive value depends on the sensitivity, specificity, and prevalence (p) of the disease as is shown in the following equations:

$$\begin{aligned} &\operatorname{Prob}(\mathsf{D}\,|\,+) \\ &= \frac{p \times \operatorname{sensitivity}}{p \times \operatorname{sensitivity} + (1-p) \times (1-\operatorname{specificity})} \\ &\operatorname{Prob}(\mathsf{D}\,|\,-) \\ &= \frac{(1-p) \times \operatorname{specificity}}{(1-p) \times \operatorname{specificity} + p \times (1-\operatorname{sensitivity})} \end{aligned}$$

Figure 1-6 demonstrates the extent to which the predictive value of a positive diagnosis changes with the prevalence. In general, larger changes are seen in the predictive value of a positive diagnosis for smaller changes in the

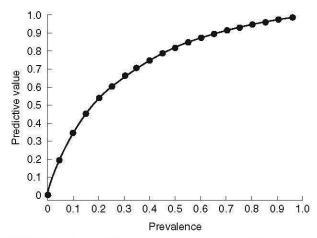


FIGURE 1-6 Impact of disease prevalence on the predictive value of a positive laboratory test having 95% sensitivity and 80% specificity.

prevalence for diseases with low prevalence, and smaller changes are seen in the predictive value for larger changes in the prevalence for diseases with high prevalence.

In the example of the diagnostic procedure given in the previous section, assuming the prevalence of type III diabetes mellitus in the dog population was 2%,

Prob(D|+) =
$$(0.02 \times 0.830)$$
 /
[$(0.02 \times 0.830) + (0.98 \times 0.025)$]
= 0.404 or 40.4% , and

$$Prob(D|-) = (0.98 \times 0.975) / [(0.98 \times 0.975) + (0.02 \times 0.170)]$$

= 0.996 or 99.6%

To demonstrate how sensitivity and hence the predictive value of a positive test improves with greater separation of the populations, Kaneko (1977) gave estimates (based on a sample of 11 dogs) of the mean and standard deviation of the plasma glucose values of the population of dogs with type I diabetes mellitus (the juvenile or childhood form) as $\hat{\mu}=415.1 \text{mg/dl}$ (23.02 mmol/liter) and $\hat{\sigma}=114.3 \text{mg/dl}$ (6.34 mmol/liter). If we use these values in the preceding calculations with the diagnostic value remaining at 125.1 mg/dl, the sensitivity improves to 99.4% and the predictive value of a positive test increases to 44.8%.

G. ROC Analysis

The receiver operating characteristic (ROC) curve is a classic graphic for visualizing the quality of diagnostic information (Hanley and McNeil, 1982; Metz, 1978). The conventional ROC curve is the plot of the sensitivity (y-axis) versus (1 – specificity), the false positive fraction (FPF) (x-axis). As alluded to previously, the sensitivity and specificity change with a change in the decision point. Table 1-3 gives the sensitivity, specificity, and FPF

TABLE 1-3 Sensitivity, Specificity, and False Positive Fraction (FPF)^a Corresponding to Choices of a Decision (Threshold) Point in the Context of Example 2 and Used to Generate the ROC Curve in Figure 1-7

Decision Point	Sensitivity	Specificity	FPF
283.6	0.0000	1.0000	0.0000
132.9	0.7610	0.9938	0.0062
125.6	0.8257	0.9773	0.0227
118.3	0.8781	0.9332	0.0668
111.0	0.9183	0.8413	0.1587
38.0	0.9999	0.0000	1.0000

aFPF = 1 - Specificity

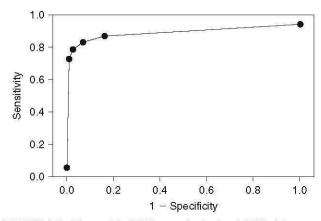


FIGURE 1-7 The empirical ROC curve for the data in Table 1-3.

corresponding to some choices of a decision (threshold) point in the context of Example 2; Figure 1-7 gives the ROC curve generated by plotting the sensitivity versus the FPF using MINITAB's **Scatterplot** graphical option.

A nontechnical assessment of the usefulness of the diagnostic procedure can be made by comparing its ROC curve to that for the diagnostic procedure, which has no discriminating ability (DeLong *et al.*, 1988). The latter curve is the straight line diagonal extending from the coordinate (0,0) to the coordinate (1,1). The greater the separation of the ROC curve from the diagonal, the more discriminating the diagnostic procedure.

A quantitative assessment of the usefulness of the diagnostic procedure can be made by computing the area under its ROC curve. DeLong *et al.* (1988, page 838) gave the following interpretation of the area under the population ROC curve as "the probability that, when the variable is observed for a randomly selected individual from the abnormal population and a randomly selected individual from the normal population, the resulting values will be in the correct order (e.g., abnormal value higher than the normal value)."

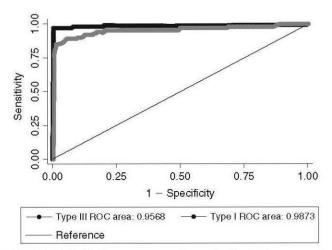


FIGURE 1-8 ROC curve comparison of the performance of glucose in distinguishing between normal dogs and dogs with type III diabetes mellitus and between normal dogs and dogs with type I diabetes mellitus.

This probability can be obtained as output from statistical software programs that perform ROC analysis such as STATA for Windows Release 9.2.²

As an example, we compare the performance of glucose in distinguishing between normal dogs and type III dogs and between normal dogs and type I dogs. A hundred random glucose responses were drawn from each of the type III and type I dog populations, and 1000 random glucose responses were drawn from the normal dog population. A STATA data file was made consisting of three columns. The first column (labeled type III) contained the 100 glucose responses from the type III dog population followed by the 1000 normal responses and the second column (labeled type I) contained the 100 glucose responses from the type I dog population followed by the 1000 normal responses. The third column (labeled State) contained "1" in the first 100 cells and "0" in the remaining 1000 cells indicating the true population membership (abnormal or normal) corresponding to the dogs in each of the first and second columns. The ROC analysis can be obtained using the following commands:

[Graphics (from the main menu of STATA) \rightarrow Roc analysis \rightarrow Compare ROC curves. Within the Roccomp dialog box, select State as the Reference variable, type III as the Classification variable and type I as the only Additional classification variables. Finally, select Graph the ROC curves and Report the area under the ROC curves. Hit OK.]

Figure 1-8 gives the results of the ROC analysis. It shows that glucose was a slightly better discriminator of type I dogs and normals dogs (the area under the ROC curve estimated to be 0.9873) than that of type III dogs and normal dogs (the area under the ROC curve estimated to be 0.9568). This difference was marginally statistically significantly (p = 0.0345) using a chi-square test (not shown).

III. ACCURACY IN ANALYTE MEASUREMENTS

Accuracy has to do with the conformity of the actual value being measured to the intended true or target value. An analytical procedure having a high level of accuracy produces measurements that on average are close to the target value. An analytical procedure having a low level of accuracy produces measurements that on average are a distance from the target value. Such a procedure in effect measures something other than is intended and is said to be *biased*. Failure of analytical procedures to produce values that on average conform to the target values is due to unresolved problems, either known or unknown, in the assay.

The degree of accuracy of an analytical procedure has been difficult to quantify because the target value is unknown. It is now possible for laboratories to compare their assay results with definitive results obtained by the use of isotope dilution-mass spectrometry (Shultz, 1994). Shultz (1994) reported the results of two large surveys of laboratories in the United States (Gilbert, 1978) and Sweden (Björkhem et al., 1981) in which samples from large serum pools were analyzed for frequently tested analytes (calcium, chloride, iron, magnesium, potassium, sodium, cholesterol, glucose, urea-nitrogen, urate, and creatinine). The laboratory averages were compared with the target value obtained using definitive methods, and the results of these surveys indicated that, with the exception of creatinine, all averages expressed as a percentage of the target value were within the accuracy goals published by Gilbert (1975). Results from individual laboratories naturally would vary about the average, and many of these laboratories would not have met the accuracy goal.

IV. PRECISION IN ANALYTE MEASUREMENTS

Precision has to do with how much variability there is about the actual value being measured when the assay is replicated. If in a given laboratory a particular assay is run repeatedly on the same sample and the results obtained have little variability, the assay is said to have high precision. Large variability in the observed results indicates low assay precision. Note that precision is defined in reference to what is actually being measured and not to the target value. Clinical analysts have always had a goal of achieving the highest possible level of precision for a particular

² StataCorp, 4905 Lakeway Drive, College Station, TX 77845.

assay within a laboratory. Emphasis is presently placed on meeting an "average laboratory" level of precision (Shultz, 1994).

The level of precision is stated quantitatively in terms of the coefficient of variation (cv). The cv is the ratio of the standard deviation to the average of a series of replicated assays, and its magnitude depends on the concentration of the analyte. Elvitch (1977) and Harris (1988) provided the guidelines on the desired level of precision in terms of the cv. In the case where the analytical results are intended to assist in the diagnostic process or to assist in monitoring a patient's response to treatment, the level of laboratory precision of a given analyte in terms of the $cv(cv_a)$ needs only be a function of the within-day and day-to-day variability or intrasubject variation of healthy subjects. Specifically,

$$cv_a < \frac{1}{2} cv_{\text{intrasubject}}$$

In the case where analytical test results were to be used to screen a population, the laboratory precision goal in terms of the cv should be a function of the variability in response among healthy subjects or intersubject variation. Specifically,

$$cv_a < \frac{1}{2} cv_{\text{intersubject}}$$

Use of intrasubject variability as a goal for precision has appeal because this source of variability would be considered in decision processes relating to patients. Unfortunately, a given analysis reflects not only this intrasubject variability but also imprecision in the assay. Shultz (1994) summarized the results of a large national survey of laboratory precision. With the exception of high-density lipoprotein and thyroxine (T_4) , the precision of the assay for the analytes evaluated from the "average" laboratory met or nearly met the precision goals based on the intrasubject variability. This result has to be regarded as encouraging, no doubt reflecting the tremendous emphasis that has been placed on quality control by laboratories as well as the use of automation in analytical work. On the other hand, there were some analytes for which the assay precision for the "average" laboratory was above the precision goal. It also must be remembered that many individual laboratories would not have assay precision profiles as good as the "average" laboratory. Assay precision in excess of the precision goal based on physiological variability makes it nearly impossible to rule out the possibility that very large changes in the level of an analyte reflect assay imprecision.

V. INFERENCE FROM SAMPLES

The basis for everything that has been discussed to this point is probability and distributional theory. No other theory is relevant unless one is operating at the level where inference is to be made on the basis of a sample from the underlying population. Most standard statistical theory assumes that the sample was obtained by simple random sampling.

A. Simple Random Sampling

Simple random sampling (SRS) is a method of sampling whereby, at each step of the sampling process, the elements available for selection have an equally likely chance of being selected. In most applications, it is assumed that the elements are selected without replacement, although the elements could be selected with replacement. If the number of elements to be selected is small relative to the number of elements in the population, then it is unlikely that an element will be selected more than a single time using replacement sampling, so that in such situations sampling replacement produces essentially the same results as sampling without replacement. It is only when a small finite population is being sampled that differences may be noted between the two methods.

Three steps are used to select a sample by SRS without replacement: all elements in the population must first be identified by a unique number from 1 to N, the population size. Then n numbers are selected from a table of random numbers or selected by a random number generator, which give the numbers 1 to N in random order. Numbers appearing more than once are ignored after their first use. Finally, those elements having numbers corresponding to the n numbers selected constitute the sample. There are other probability-based sampling procedures that should be considered in practice; these methods are found in texts on sampling (Cochran, 1977; Jessen, 1978; Levy and Lemeshow, 1999; Lohr, 1999; Murthy, 1967; Raj, 1968, 1972; Scheaffer et al., 2006).

B. Descriptive Statistics

Once the data have been collected, so-called descriptive statistics can be computed. As the name suggests, these statistics are useful in describing the underlying populations. For example, because complete information for the entire population is not available, it is not possible to know the population mean, $\mu = \sum x_i/N$. (Here x_i designates the value of the *i*th element in the population and Σ indicates summation. Thus, the population mean μ is found by summing the values of all N elements in the population and then dividing the sum by N.) However, a sample mean based on the sample can be computed as $\bar{x} = \sum x_i/n$, the sum of the values of all n elements in the sample divided by n. If the sample has been selected in a manner that results in a small bias, \bar{x} should be a reasonably good estimate of the population mean, μ , and will be a better estimate as the sample size increases. Other estimates of the measures of central

tendency of the population can be obtained from the sample, such as the sample median and the sample mode. Also, sample-based estimates of the measures of dispersion or spread for the population can be obtained. The sample variance is computed as $s^2 = \sum (\overline{x}_i - x)^2/(n-1)$, and the sample standard deviation, s, is obtained by taking the square root of s^2 . The descriptive statistics are called *point estimates* of the parameters and represent good approximations of the parameters. An alternative to the point estimate is the *interval estimate*, which takes into account the underlying probability distribution of the point estimate called the sampling distribution of the statistic.

C. Sampling Distributions

In actual practice, only a single sample is taken from a population and, on the basis of this sample, a single point estimate of the unknown population parameter is computed. If time and resources would permit repeated sampling of the population in the same manner—that is, with the same probability-based sampling design—one point estimate would be obtained for each sample obtained. The estimates would not be the same because the sample would contain different elements of the population. As the number of such repeated sampling operations increases, a more detailed description emerges of the distribution of possible point estimates that could be obtained by sampling the population. This is the sampling distribution of the statistic.

Some fundamental facts relating to the sampling distribution of the sample mean follow: (1) The center of the sampling distribution of \bar{x} is equal to μ , the center of the underlying distribution of elements in the population. (2) The spread of the sampling distribution of \bar{x} is smaller than σ^2 , the spread of the underlying distribution of elements in the population. Specifically, the variance of the sampling distribution of \bar{x} (denoted $\sigma^2_{\bar{x}}$) equals σ^2/n , where n is the sample size. So increasing the sample size serves to increase the likelihood of obtaining an \bar{x} close to the center of the distribution because the spread of the sampling distribution is being reduced. (3) The central limit theorem (Daniel, 2005; Schork and Remington, 2000; Zar, 1999) states that regardless of the underlying distribution of the population of elements from which the sample mean is based, if the sample size is reasonably large ($n \ge 30$), the sampling distribution of \bar{x} is approximated well by the Gaussian distribution. So \overline{x} drawn from any distribution has a sampling distribution that is approximately $N(\mu, \sigma^2/n)$ for $n \ge 30$. If the distribution of the underlying population of elements is Gaussian or approximated well by a Gaussian distribution, the sampling distribution of \bar{x} will be approximated well by the Gaussian distribution regardless of the sample size on which \bar{x} is based.

Probabilities of the sampling distribution of \overline{x} , $N(\mu, \sigma^2/n)$, can be evaluated using the method described in Section II.B.

Example 3

Suppose the underlying population of elements is N(4,16)and a sample of size n = 9 is drawn from this population using SRS. It is desired to find the probability of observing a sample mean less than 3.1 or greater than 6.2. In solving this problem, the relevant sampling distribution is specified: \overline{x} is N(4,16/9). Note that the sampling distribution of \overline{x} is Gaussian because the problem stated that the underlying population was Gaussian. (Otherwise the stated sample size would have needed to be 30 or larger to invoke the central limit theorem.) The probability of observing $\overline{x} < 3.1$ in the distribution of \bar{x} is equivalent to the probability of observing z < (3.1 - 4)/(4/3) = -0.675 in the standard Gaussian distribution. Going to Table 1-2, z = 0.675 is approximately the 75th percentile of the standard Gaussian distribution, and by symmetry z = -0.675 is approximately the 25th percentile. Thus, the probability of observing a z value less than or equal to -0.675 is approximately 0.25. The probability of observing a sample mean greater than 6.2 is equivalent to the probability of observing z > (6.2 - 4)/(4/3) = +1.65. Table 1-2 gives the probability of observing a z < 1.65 as approximately 0.95 so the probability of observing a z > 1.65 equals 1 - 0.95 or 0.05. The desired probability of observing a sample mean less than 3.1 or greater than 6.2 is the sum of 0.25 and 0.05, which is 0.3 or 3 chances in 10.

D. Constructing an Interval Estimate of the Population Mean, μ

This brief exposure to sampling distributions and their standardized forms provides the framework for generating an interval estimate for μ . Consider the probability statement Prob(-2 < z < +2) = 0.9544. Because $z = (\bar{x} - \mu)/(\sigma/\sqrt{n})$, this probability statement is equivalent to the statement $Prob(-2 < (\bar{x} - \mu)/(\sigma/\sqrt{n}) < +2) = 0.9544$. Some standard algebraic manipulation of the inequality within the parentheses gives $\text{Prob}(\overline{x} + (-2\sigma/\sqrt{n}) < \mu < \overline{x} + (2\sigma/\sqrt{n})$ \sqrt{n}) = 0.9544. This is the form of the confidence statement about the unknown parameter μ . With repeated sampling of the underlying population, 95.44% of the intervals constructed by adding and subtracting $2\sigma N n$ to and from the sample mean would be expected to cover the true unknown value of μ . The quantities of $\bar{x} - 2\sigma l \sqrt{n}$ and $\bar{x} + 2\sigma/\sqrt{n}$ are called the *lower* and *upper confidence* limits, respectively, and the interval bounded below by $\overline{x} - 2\sigma l \sqrt{n}$ and above by $\overline{x} + 2\sigma l \sqrt{n}$ —that is, $(\overline{x} - 2\sigma l \sqrt{n})$, $\bar{x} + 2\sigma / \sqrt{n}$) is the 95.44% confidence interval for μ . In practice, only one sample is taken from the population, and thus there is a 95.44% chance that the one interval estimate obtained will cover the true value of μ . Note that 95.44% or 0.9544 is called the confidence level.

The degree of confidence that is to be had is determined by the amount of error that is to be tolerated in the estimation procedure. For a 0.9544 level of confidence, the error rate is 1-0.9544=0.0456. The error rate is designated by alpha, α .

The size of α determines the magnitude of the value of z that is multiplied by σ/\sqrt{n} . The convention is to apportion half of α to the lower end and half of α to the upper end of the sampling distribution of \overline{x} so that the relevant values of z are (1) the z-value, which has $\alpha/2$ area to its left, $z_{\alpha/2}$, and (2) the z-value, which has $\alpha/2$ to its right or equivalently (to conform to Table 1-2) the z-value, which has $1-(\alpha/2)$ area to its left, $z_{1-(\alpha/2)}$. Therefore, the most general form of the interval estimate statement is $(\overline{x}-(z_{\alpha/2}\sigma)/\sqrt{n}, \overline{x}+(z_{1-(\alpha/2)}\sigma)/\sqrt{n})$ or $\overline{x}\pm(z_{1-(\alpha/2)}\sigma)/\sqrt{n}$ because of the symmetry of the Gaussian distribution.

Example 4

Assuming the distribution in Example 3, construct a 90% confidence intervals for μ A 90% level of confidence implies a tolerated error rate of 10% and the relevant z-values are (1) that which has 5% of the distribution of z to its left or $z_{0.05} = -1.645$ and (2) that which has 95% of the distribution to its left or $z_{0.95} = 1.645$. The 90% confidence interval for μ is therefore ($\overline{x} \pm (1.645 \times 4)/3$) or ($\overline{x} \pm 2.1933$), where \overline{x} is the sample mean obtained by taking a sample of size 9 from the population.

The form of the interval estimate given earlier assumes that σ , the standard deviation of the underlying population, is also known. Frequently this parameter, like μ , is unknown and must be estimated from the sample drawn from the population using the estimator $s^2 = \sum (\bar{x}_i - x)^2 / (n-1)$, the sample variance. The square root of s^2 is s, the sample standard deviation. For small samples (n < 30), the standardized form of \overline{x} , $(\overline{x} - \mu)/(s/\sqrt{n})$, does not have a standardized Gaussian distribution, N(0,1), but rather has the t-distribution corresponding to the effective sample size, n-1, the degrees of freedom. Table 1-4 gives the percentiles of several t-distributions. A given row of Table 1-4 pertains to the t-distribution with the indicated effective sample size or degrees of freedom. The entries in the row are percentiles or those points of the given t-distribution that have the indicated area to the left. For example, $t_{0.95,10}$ is 1.8125 meaning that the 95th percentile of thet-distribution with 10 degrees of freedom is 1.8125, which is equivalent to saying that 95% of the t-distribution with 10 degrees of freedom lies to the left of the t-value 1.8125. Note that the 5th percentile of the t-distribution with 10 degrees of freedom is -1.8125because the t-distributions, like the standard Gaussian distribution, are symmetric about zero. Thus, for smaller sample sizes when the value of σ is unknown, the form of a confidence interval for μ is $\overline{x} \pm [(t_{1-\alpha/2;n-1}s)/\sqrt{n}]$.

As with the mean, interest also centers around estimating the variance, σ^2 , and standard deviation, σ , of the population. The estimates for these parameters are s^2 and s, respectively. One might also be interested in constructing confidence intervals for these parameters. Because space does not permit further elaboration, the interested reader is

TABLE 1-4 Percentiles of the Student's *t*-distribution with 10 Degrees of Freedom^{a,b}

$t_{0.50,10} = 0$	$t_{0.85,10} = 1.093$
$t_{0.55,10} = 0.129$	$t_{0.90,10} = 1.372$
$t_{0.60,10} = 0.260$	$t_{0.95,10} = 1.812$
$t_{0.65,10} = 0.397$	$t_{0.975,10} = 2.228$
$t_{0.70,10} = 0.542$	$t_{0.99,10} = 2.764$
$t_{0.75,10} = 0.700$	$t_{0.995,10} = 3.169$
$t_{0.80,10} = 0.879$	$t_{0.9995}, 10 = 4.587$

a This table was generated with MINITAB Release 14.13. The indicated cumulative probabilities 0.5 to 0.9995 were placed in a column of a MINITAB worksheet and the following commands given: Cak (from the main menu of MINITAB) → Probability Distributions → t-Distribution. Within the t-distribution dialog box, Inverse cumulative probability was selected with noncentrality parameter set to 0.0, degrees of freedom was set at 10 and the column of the worksheet containing the cumulative probabilities was selected and placed in the Insert column: followed by hitting OK. The same procedure is followed to obtain percentiles from t-distributions with other degrees of freedom.

^b Example: The 80th percentile of a t-distribution with 10 degrees of freedom or the t-value below, which is 80% of the t-distribution with 10 degrees of freedom, equals 0.879.

referred to the several introductory statistics books for the relevant formulae and their derivation (Daniel, 2005; Dunn and Clark, 2001; Schork and Remington, 2000).

E. Comparing the Mean Response of Two Populations

1. Independent Samples

The presentation thus far has focused on estimation of parameters from a single population. Frequently, interest lies in two populations. For example, in a clinical trial, one group of animals might receive some treatment (t), whereas a second group of animals serves as a control receiving no treatment (c). Among the several points of interest could be that of estimating the difference in central response for the two populations—that is, $\mu_t - \mu_c$ —where the subscripts designate the groups. The point estimate of $\mu_t - \mu_c$ is $\overline{x}_t - \overline{x}_c$. If we assume that the variances of the two populations, σ_t^2 and $\sigma_{\rm c}^2$, are unknown but equal and the common variance designated as σ^2 , then the estimate of σ^2 is $s_p^2 = [(n_t - 1)s_t^2 + (n_c - 1)s_c^2]/(n_t + n_c - 2)$ (called the *pooled variance*) and $[(\bar{x}_{t} - \bar{x}_{c}) - (\mu_{t} - \mu_{c})]/s_{p}(1/n_{t} + 1/n_{c})^{1/2}$ has a *t*-distribution with $n_t + n_c - 2$ degrees of freedom. A 100(1- α)% confidence interval for $\mu_t - \mu_c$ is $(\overline{x}_t - \overline{x}_c) \pm t_{1-\alpha/2;nt+nc-2} s_p$ $(1/n_t + 1/n_c)^{1/2}$. If the interval so constructed covers zero, it may be that there is no difference between the central responses for the two distributions; otherwise, it could be concluded that the central responses differ significantly.

2. Nonindependent Samples

The procedure just discussed, in addition to assuming that the variances are homogeneous, also assumes that the two samples are drawn independently. An alternative design for comparing two responses involves using each subject as its own control. For example, a pretreatment response in an individual might be compared with a posttreatment response. Clearly in this design, the pre- and posttreatment responses may not be and most likely are not independent so the procedure given earlier for comparing two groups would not be appropriate. Rather, the differences in response (pretreatment minus posttreatment response) are formed and the population of interest is the single population of differences having as one of its parameters the mean difference, μ_d . The quantity μ_d is estimated by the mean difference for the sample of *n* differences, $\bar{d} = \sum d_i / n$, and an interval estimate is formed by $\overline{d} \pm (t_{1-\alpha D;n-1}s_d)/\sqrt{n}$ where s_d is an estimate of σ_d . If the interval so constructed covers zero, then it may be that there is no difference between the mean pre- and posttreatment values.

F. Comparing the Mean Response of Three or More Populations Using Independent Samples

1. By Extension of the 2-Sample t-Test

Comparison of more than two groups is the natural progression from the methodology discussed to this point. Consider the comparison of three independent groups. The approach that immediately comes to mind is that of estimating the three groups' means and standard deviations and then constructing three sets of confidence intervals (the first group versus the second group, the first group versus the third group, and the second group versus the third group) using the approach described earlier for two independent groups. However, some modifications need to be made. First, because we are assuming that all three groups have equal variances, pooling of the variances for the three groups provides a better estimate of the common variance than does pooling of just the variances for the two groups being compared. The form of the pooled variance is the natural extension of that for two groups, namely $s_{\rm p}^2=[(n_1-1)s_1^2+(n_2-1)s_2^2+(n_3-1)s_3^2]/(n_1+n_2+n_3-3).$ When the group sample sizes are equal—that is, $n_1 = n_2 = n_3$ — $s_p^2 = \sum s_i^2/3$. The quantity s_p^2 is used for all three interval estimates.

Second, the error rate of each comparison has to be adjusted so that the error rate over all three comparisons will be α . This is required because theoretically it turns out that the error rate over all three comparisons is larger than that for a single comparison. Several approaches are suggested in the literature for circumventing this problem. One such approach, based on the Bonferroni inequality (Neter et al., 1996, Stevens, 2002), is called the Bonferroni/Dunn procedure (Zar, 1999). The Bonferroni/Dunn procedure involves making each single comparison in a "family" of comparisons with an error rate of α/m , where m is the total number of comparisons to be made. This approach gives an error rate of α over all comparisons—that is, over all members of the family of comparisons. In the context of comparing k groups in a pairwise manner, the form of the interval estimate is $(\overline{x}_i - \overline{x}_j) \pm [(t_{1-\alpha/2m;n_1+n_2+...+n_k-k})(s_p)(1/n_i + 1/n_j)^{1/2}],$ where m is the total number of comparisons to be made and k is the total number of groups, which in the present example is three. Intervals covering zero would indicate no difference in the central value of the groups being compared.

2. By One-Way Analysis of Variance

The process of deciding whether or not there are differences between groups in the central value of the response being evaluated can also be approached using the method of analysis of variance (ANOVA). ANOVA involves decomposing the total variability in a given set of data into parts reflective of the amount of variability attributable to various sources. One source of variability is that within the group. Because the groups are assumed to have the same spread, this source of variability is estimated as the pooling of the estimated variances for the k groups considered and is equal to s_p^2 defined earlier. The second source of variability results from the variability among groups means. If there is no difference in the groups' means, then the k samples can be thought of as being k independent samples from a common population and the k means, therefore, represent a sample of size k from the sampling distribution of \bar{x} . Their variance represents

TABLE 1-5 Analysis of Variance Table for Classification of Responses on Basis of One Factor, E	qual Responses
for Each Class ^a	

Source of variation	Degrees of freedom	Sum of squares	Mean square	F value
Among group means	ƙ — 1	$SS_{A} = (k-1)MS_{A}$	$MS_{A} = \frac{n \sum_{i=1}^{k} (x_{i} - \sum_{i=1}^{k} (x_{i}/k))^{2}}{k - 1}$	MS _A ∕MS _W
Within group	k(n-1)	$SS_{\mathbf{W}} = k(n-1)MS_{\mathbf{W}}$	$MS_{\mathbf{W}} = \frac{\sum_{i=1}^{k} s_i^2}{\ell}$	
Total	kn — 1	$SS_T = SS_A + SS_W$		

% is the number of classes of groups, n is the number of responses per group, constant over all groups, si is the variability of the responses within the 1th group.

an estimate of the variance of the sampling distribution of \overline{x} —that is, $\hat{\sigma}^2 = \sum [x_i - \sum (x_i/k)]^2/(k-1)$, where $\hat{\sigma}$ denotes estimate. Because $\hat{\sigma}^2 = \hat{\sigma}^2/n$, where n is the common sample size, inflation of $\hat{\sigma}^2$ by n will produce a second estimate of the variance of the underlying population assuming no differences among the group means. These two estimates of σ^2 should be about equal and their ratio close to 1.

If there is significant separation among some or all of the group means, the second variance estimate, when computed as described, will be larger than the first estimate, indicating that a component of variance is being estimated beyond that embodied only in an estimate of the within-group variability. This additional variance component being estimated is the variance among group means. ANOVA in this example involves generating the two estimates of σ^2 (called *mean* squares) under the hypothesis that all of the group means are equal. The hypothesis is then tested by forming the ratio of the two mean squares (the second mean square divided by the first mean square) called the F-statistic. The F-statistic has a probability distribution called the F-distribution. If the computed F-value is greater than the tabled distributional value, then the hypothesis is rejected and subsequently confidence intervals are constructed as described earlier to determine which group means are different. If the hypothesis cannot be rejected, the process stops or perhaps, at most, the data from all the groups might be pooled together and the parameters of this single population estimated. Tables of the percentiles of the F-distributions can be found in all introductory texts on statistics (Daniel, 2005; Dunn and Clark, 2001; Schork and Remington, 2000), which also provide instruction on how to read the tables. Also, these texts give the generalization of the among group mean square when the sample size is not constant for all groups.

The results of an ANOVA are traditionally summarized in a table called the ANOVA table, and Table 1-5 is such an example. The first column of Table 1-5 shows the sources of variability into which the total variability is decomposed. In the present example, these sources are due to the variability within groups and that which is reflective of variability among group means. Column 4 gives the two independent (under a hypothesis of no difference in response among groups) estimates of σ^2 or mean squares, mean square among group means (MS_A) , and mean square within groups (MS_W) . Columns 3 and 2 give, respectively, the numerator (called the sum of squares) and the denominator (the effective sample size or degrees of freedom) of the corresponding mean square. The sums of squares provide a check on calculations when generating an ANOVA table because the total of the sum of squares attributable to the various sources of variability is equal to the sum of squared deviations of each observation across the k samples from the grand mean of all the observations in the k samples, called the total sum of squares. In other words, the total sum of squares is the numerator for estimating the total variability in the data ignoring group membership. Similarly, the degrees of freedom for the sources of variability sum to the effective sample size for estimating the total variability in the data ignoring group membership of the observations [here kn - 1 = (k - 1) + k(n - 1)].

Example 5

Suppose a study is designed to determine in vivo effects of cytokines on in vitro clonogenicity assays (expansion of CD34+ progenitor cells). To this end, a total of 30 monkeys (rhesus macaque) of the same age and gender were obtained and assigned randomly as follows: 10 monkeys were administered the traditional cytokine cocktail (TCC), 10 monkeys were administered a conditioned media with anti-CD3 (ACD3S), and 10 monkeys served as controls and were administered a placebo. Both cocktails were administered to induce production of progenitor cells expressing antigen CD34. Bone marrow samples were taken from the monkeys 10 days after administration, cultured for 14 days after which the number of colonies was determined. (Expression of CD34+ cells was monitored by flow cytometry and the response is the number of colonies detected in vitro.) Figure 1-9 gives the counts obtained for the three groups that were compared using ANOVA for the completely randomized design. The statistical software used was SPSS for Windows Release 11.3

p		16 _ 6
	cocktail	count
1	control	45.00
2	control	47.00
3	control	39.00
4	control	47.00
5	control	51.00
6	control	39.00
7	control	40.00
8	control	42 00
9	control	35.00
10	control	38 00
11	tcc	52.00
12	tcc	52.00
13	tcc	53.00
14	tcc	54.00
15	tcc	49.00
16	tcc	49.00
17	tcc	48.00
18	tcc	51.00
19	tcc	52.00
20	tcc	51.00
21	acd3s	66.00
22	acd3s	64.00
23	acd3s	62.00
24	acd3s	61.00
25	acd3s	58.00
26	acd3s	57.00
27	acd3s	56.00
28	acd3s	59.00
29	acd3s	59.00
30	acd3s	60.00

FIGURE 1-9 SPSS worksheet for comparing the responses of the three groups of Example 6 using analysis of variance assuming a completely randomized design. Source of data:

Mr. Nestor Montiel, California, National Primate Research Center, University of California, Davis.

 $^{^3\,}$ 3SPSS, Inc., 233 South Wacker Drive, Chicago, IL 16801-3008.

Univariate Analysis of Variance

Descriptive Statistics

Dependent Variable: COUNT

COCKTAIL	Mean	Std. Deviation	N
control	42.3000	5.01221	10
tcc	51.1000	1.91195	10
acd3s	60.2000	3.11983	10
Total	51.2000	8.19756	30

Tests of Between-Subjects Effects

Dependent Variable: COUNT

Source	Type III Sum of squares	df	Mean Square	F	Sig.
Corrected Model	1602.200ª	2	801.100	62.405	.000
Intercept	78643.200	1	78643.200	6126.274	.000
COCKTAIL	1602.200	2	801.100	62.405	.000
Error	346.600	27	12.837		
Total	80592.000	30			
Corrected Total	1948.800	29			
			- 33 to 5		

^aR Squared = .822 (Adjusted R Squared = .809)

Multiple Comparisons

Dependent Variable: COUNT

Bonferroni

(I) COCKTAIL	(J) COCKTAIL	(J) COCKTAIL Mean Difference	Std. Error	Sig.	95% Confidence Interval	
		(I-J)			Lower Bound	Upper Bound
control	tcc	-8.8000*	1.60231	.000	-12.8898	-4.7102
	acd3s	-17.9000*	1.60231	.000	-21.9898	-13.8102
tcc	control	8.8000*	1.60231	.000	4.7102	12.8898
	acd3s	-9.1000*	1.60231	.000	-13.1898	-5.0102
acd3s	control	17.9000*	1.60231	.000	13.8102	21.9898
	tcc	9.1000*	1.60231	.000	5.0102	13.1898

Based on observed means.

FIGURE 1-10 Partial SPSS printout of the analysis of variance for comparing the three groups of Example 5 assuming a completely randomized design.

[Instructions for the SPSS data processing: The counts are placed in one column of an SPSS worksheet (labeled here as **count**) with the corresponding cocktail administered indicated in a second column (labeled here as **cocktail**). Figure 1-9 is the SPSS worksheet that was used for this example. The SPSS commands to obtain the ANOVA are: **Analyze** (from the main menu) \Rightarrow **General Linear Model** \Rightarrow **Univariate**. This opens the **Univariate** dialog box. The column containing the counts is placed in the **Dependent Variable:** box and the column containing the cocktail administered is placed in the **Fixed Factor(s):** box within the **Univariate** dialog box. Then the **Post Hoc...** tab is pressed, which opens the **Univariate Post Hoc Multiple**

Comparisons for Observed Means dialog box. In this box the desired factor (here exposure) is moved from the Factor(s): box to the Post Hoc Tests for: box and the desired post hoc test(s) (here Bonferroni) selected. The Continue tab is hit to return to the Univariate dialog box. Finally, the Options tab is pressed to open the Univariate: Options box where the column containing the exposure is placed in the Display Means for: box and the Display of Descriptive statistics is selected. The Continue tab is hit to return to the Univariate dialog box and the OK tab is hit to get the output.]

Figure 1-10 gives a partial printout of the output. The ANOVA table shows that the total (Corrected Total) sum

^{*} The mean difference is significant at the .05 level.

of squares for the counts is 1948.8 with 29 degrees of freedom. This total has been decomposed into 1602.2 because of the **COCKTAIL** (reflective of the variability among exposure group means) with 2 degrees of freedom and 346.6 because of **Error** (reflective of the variability within exposure groups) with 27 degrees of freedom. The *F*-statistic is 62.405, considerably higher than 1, indicating highly significant separation among some or all of the group means. The column in the printout headed by *Sig.* gives the probability of observing such a large *F*-statistic under the hypothesis that the mean count for the three exposure groups is equal. This probability, commonly referred to as the *p-value*, is less than 0.0005 indicating that observing such a result is highly unlikely if the hypothesis is true.

Figure 1-10 also summarizes the multiple comparisons of the mean count for the three exposure groups. The mean count for monkeys exposed to ACD3S was significantly higher than that for monkeys exposed to TCC, which in turn was significantly higher than that for monkeys that received the placebo.

Note: The mean responses of two independent samples can be compared by using statistical software appropriate for performing a one-way analysis of variance as demonstrated earlier or by using the two-sample (independent-sample) *t*-test procedure available with most statistical software.

G. Efficiency in Experimental Designs

The One-way ANOVA does not represent a superior method to that of the Bonferroni/Dunn procedure in the context in which it was presented. In fact, if a significant group effect was noted by the ANOVA, the Bonferroni/Dunn procedure or any other appropriate multiple comparison procedure would be used to identify which group differences were contributing to the overall group effect. The reason analysis of variance has been introduced is that it is a convenient method for assessing the importance of various sources of variability encountered in the complex designs of clinical laboratories.

1. Factorial Designs

Suppose in the context of the previous example there was interest not only in evaluating cocktail effects but also age effects. The researchers would obtain 15 infant and 15 adult monkeys (rhesus macaque) and randomly assign 5 monkeys of each age to receive TCC, 5 monkeys of each age to receive ACD3S, and 5 monkeys of each age to receive the placebo. Otherwise the experimental design is exactly like that described in Example 5. The number of colonies of CD34+ progenitor cells counted per plate for the six combinations of cocktail and age are those given in Figure 1-11.

	cocktail	age	count
7	control	infant	45.00
2	control	infant	47.00
3	control	infant	39.00
4	control	infant	47.00
5	control	infant	51.00
6	control	adult	39.00
7	control	adult	40.00
8	control	adult	42 00
9	control	adult	35.00
10	control	adult	38.00
11	tcc	infant	52.00
12	tcc	infant	52.00
13	tcc	infant	53.00
14	tcc	infant	54.00
15	tcc	infant	49.00
16	tcc	adult	49.00
17	tcc	adult	48.00
18	tcc	adult	51.00
19	tcc	adult	52.00
20	tcc	adult	51.00
21	acd3s	infant	66.00
22	acd3s	infant	64.00
23	acd3s	infant	62.00
24	acd3s	infant	61.00
25	acd3s	infant	58.00
26	acd3s	adult	57.00
27	acd3s	adult	56.00
28	acd3s	adult	59.00
29	acd3s	adult	59.00
30	acd3s	adult	60.00

FIGURE 1-11 SPSS worksheet for comparing the responses of the three groups of Example 5 using analysis of variance assuming a factorial design.

[Partial instructions for SPSS data processing: the SPSS worksheet is Figure 1-11, which includes one column providing the response (number of colonies of CD34+ progenitor cells counted per plate, count) information, one column providing the cocktail exposure information for each monkey (as done in Example 5), and one column providing the age of each monkey (labeled here as age). To get the SPSS analysis, the instructions are exactly those given in Example 5 for the One-way ANOVA with the exceptions that age in addition to cocktail is placed in the Fixed Factor(s) box within the Univariate dialog box and descriptive statistics are requested for age as well as for cocktail within the Univariate: Options dialog box. Note that in this particular case a post hoc multiple comparison for "age" is not requested as "age" has only two categories and thus a significant "age" effect would imply that infant and adult means are different.]

Figure 1-12 provides the ANOVA table resulting from a re-analysis of the count data for the 30 monkeys in Example 5 with their age taken into account. Note that the total (Corrected Total) sum of squares for the counts and

Univariate Analysis of Variance

Descriptive Statistics

Dependent Variable: COUNT

COCKTAIL	AGE	Mean	Std. Deviation	N
control	infant	45.8000	4.38178	5
	adult	38.8000	2.58844	5
	Total	42.3000	5.01221	10
tcc	infant	52.0000	1.87083	5
	adult	50.2000	1.64317	5
	Total	51.1000	1.91195	10
acd3s	infant	62.2000	3.03315	5
	adult	58.2000	1.64317	5
	Total	60.2000	3.11983	10
Total	infant	53.3333	7.62202	15
	adult	49.0667	8.44703	15
	Total	51.2000	8.19756	30

Tests of Between-Subjects Effects

Dependent Variable: COUNT

Source	Type III Sum of squares	df	Mean Square	F	Sig.
Corrected Model	1772.800ª	5	354.560	48.349	.000
Intercept	78643.200	1	78643.200	10724.073	.000
COCKTAIL	1602.200	2	801.100	109.241	.000
AGE	136.533	1	136.533	18.618	.000
COCKTAIL * AGE	34.067	2	17.033	2.323	.120
Error	176.000	24	7.333		
Total	80592.000	30			
Corrected Total	1948.800	29			

^aR Squared = .910 (Adjusted R Squared = .891)

Multiple Comparisons

Dependent Variable: COUNT

Bonferroni

(I) COCKTAIL	(J) COCKTAIL	Mean S Difference	Std. Error	Sig.	95% Confide	ence Interval
		(I – J)			Lower Bound	Upper Bound
control	tcc	-8.8000*	1.21106	.000	-11.9168	-5.6832
	acd3s	-17.9000*	1.21106	.000	-21.0168	-14.7832
tcc	control	8.8000*	1.21106	.000	5.6832	11.9168
	acd3s	−9.1000*	1.21106	.000	-12.2168	-5.9832
acd3s	control	17.9000*	1.21106	.000	14.7832	21.0168
	tcc	9.1000*	1.21106	.000	5.9832	12.2168

Based on observed means.

FIGURE 1-12 Partial SPSS printout of the analysis of variance for assessing both cocktail exposure and age effects assuming a factorial design.

the Sum of Squares and Mean Square for **COCKTAIL** are the same as those obtained with the One-way ANOVA. What has changed is that the Sum of Squares for **Error** from the One-way ANOVA (346.6) has been decomposed

into the Sum of Squares for AGE (136.533), for the interaction between COCKTAIL and AGE (designated in the ANOVA table as COCKTAIL * AGE) (34.067), and for the new refined Error (176.0). Figure 1-12 also shows

^{*} The mean difference is significant at the .05 level.

that the 27 degrees of freedom for **Error** in the One-way ANOVA has been decomposed into 1 degree of freedom for **AGE**, 2 degrees of freedom for **COCKTAIL** * **AGE**, and 25 degrees of freedom for the new refined **Error**.

Two advantages of this factorial design are apparent from Figure 1-12. In addition to testing for the COCKTAIL main effect, it has become possible to test for the AGE main effect (statistically significant, p < 0.0005, with the infant monkeys having the higher count) and for the interaction between COCKTAIL and AGE main effects (not statistically significant, p = 0.12). Also, the refined error term should be more reflective of the unknown error term because the effects of AGE and the interaction between COCKTAIL and AGE in addition to the effect of COCKTAIL have been removed from the error. In this particular example, adjusting the effects of COCKTAIL for sources of variability because of AGE (the AGE main effect and the interaction between **COCKTAIL** and **AGE**) has resulted in a substantial reduction in the error (from 12.837 to 7.333) because of the highly significant age main effect. The smaller refined Error, as the denominator of the variance ratio for testing the significance of the main factor of interest, here cocktail, leads to a larger variance ratio. Thus, the chance of rejecting the hypothesis of equality of means for the main factor of interest is enhanced—that is, the power of the test for the main factor of interest is increased.

2. Blocking Designs

a. Basic Randomized Block Design

Assume that it is desired to evaluate \mathbf{k} exposures in a study. Before assigning the exposure to each of the total of **n** animals available, the \mathbf{n} animals are divided into \mathbf{b} blocks of \mathbf{k} animals each where the animals in each block are homogeneous with respect to the blocking factor. In the context of Example 5, suppose the researcher was interested in evaluating the impact of the cocktail effect only in infant monkeys but still wanted to adjust for any age effect. Here the blocking factor would be age. Thus, the 30 infant monkeys (rhesus macaque) would be placed in an array based on their birth date. Then the 3 youngest animals would form the first block, the 3 next youngest animals would constitute the second block, and so forth. Once the animals have been placed in blocks, each of the 3 exposures (TCC, ACD3S, and placebo) is assigned in a random manner to one animal within each block with each animal in a block receiving one assigned exposure. Here k equals 3 and b equals 10.

Examples of other blocking factors are breed, litter, and body weight. In the case of breed (or litter), each block consists of \mathbf{k} animals of the same breed (or litter) that are as much alike as possible in all other respects. The blocking factor is a factor that represents a potentially large source of variability in terms of the response of interest. Blocking enables this source of variability to be isolated

	monkey	placebo	tcc	acd3s
1	1.00	45.00	52.00	66.00
2	2.00	47.00	52.00	64.00
3	3.00	39.00	53.00	62.00
4	4.00	47.00	54.00	61.00
5	5.00	51.00	49.00	58.00
6	6.00	39.00	49.00	57.00
7	7.00	40.00	48.00	56.00
8	8.00	42.00	51.00	59.00
9	9.00	35.00	52.00	59.00
10	10.00	38.00	51.00	60.00

FIGURE 1-13 SPSS worksheet for comparing the responses of the three groups of Example 6 using analysis of variance assuming a repeated measures design.

from the overall error. If indeed the response is highly variable across blocks, the resulting error should be considerably smaller than that which would be obtained from an experiment using the completely randomized design. This smaller error would enhance the chance of observing an exposure effect—that is, it would lead to a more powerful test for an exposure effect.

b. Basic Repeated Measures Design

Repeated measures designs can be thought of as designs that involve blocking carried to its limit. In these designs, the sampling units, the animals, are the blocks with multiple responses taken on each animal. In the context of the previous examples, it may be possible to subject each monkey to a sequence of multiple exposures with an appropriate time interval between exposures to minimize the chance of a carryover effect. To obtain the same number of responses as from the previous designs (30), only 10 monkeys would be required rather than 30 monkeys. Besides this saving in the number of monkeys needed, if the response is highly variable across monkeys, the resulting error from this repeated measures design should be considerably smaller than that which would be obtained from an experiment using the completely randomized design. For the present example, it is assumed that all monkeys are given the placebo first, TCC second, and ACD3S third.

[Partial instructions for SPSS data processing: the SPSS worksheet that is appropriate for the data obtained from the basic repeated measures design described previously is shown in Figure 1-13. It consists of 10 rows, one for each monkey, and 4 columns, the first giving the animal identification (labeled here as **monkey**), the second giving the counts recorded often exposure to the placebo (labeled here as **placebo**), the third giving the counts recorded after TCC exposure (labeled here as **tcc**), and the fourth giving the counts recorded after ACD3S (labeled here as **acd3s**).

The SPSS commands to obtain the ANOVA are as follows:

Analyze ⇒ General Linear Model ⇒ Repeated Measures... This opens the Repeated Measures Define Factor(s) dialog box where a name, here cocktail, is

General Linear Model

Descriptive Statistics

	Mean	Std. Deviation	N
placebo	42.3000	5.01221	10
tcc	51.1000	1.91195	10
acd	60.2000	3.11983	10

Tests of Within-Subjects Effects

Measure: count

Source		Type III Sum of squares	df	Mean Square	F	Sig.
cocktail	Sphericity Assumed	1602.200	2	801.100	82.336	.000
	Greenhouse-Geisser	1602.200	1.283	1248.576	82.336	.000
	Huynh-Feldt	1602.200	1.404	1141.393	82.336	.000
	Lower-bound	1602.200	1.000	1602.200	82.336	.000
Error(cocktail)	Sphericity Assumed	175.133	18	9.730		3
	Greenhouse-Geisser	175.133	11.549	15.164		
	Huynh-Feldt	175.133	12.634	13.863		
	Lower-bound	175.133	9.000	19.459		

Pairwise Comparisons

Measure: count

(I) cocktail (J) cocktail	Mean Difference	Std. Error	Sig ^a	95% Confidence Interval		
		(I-J)	0.5		Lower Bound	Upper Bound
i	2	-8.800*	1.672	.002	-13.704	-3.896
	3	-17.900*	1.595	.000	-22.578	-13.222
2	Ţ	8.800*	1.672	.002	3.896	13.704
	3	-9.100*	.706	.000	-11.172	-7.028
3	1	17.900*	1.595	.000	13.222	22.578
	2	9.100*	.706	.000	7.028	11.172

Based on estimated marginal means

FIGURE 1-14 Partial SPSS printout of the analysis of variance for comparing the three groups of Example 5 assuming a repeated measures design.

specified for the repeated measures factor in the Within-Subject Factor Name box. Then the number of categories of the Within-Subject Factor, here 3, is specified in the Number of Levels box. This information is registered [here as cocktail(3)] by hitting the Add tab. Hitting the Define tab opens the Repeated Measures dialog box where the columns of the worksheet corresponding to the levels of the repeated measures factor, here placebo, tcc, and acd3s, are moved into the Within-Subject Variables box. Hitting the Options tab opens the Repeated Measures: Options dialog box. In this dialog box, the repeated measures factor, here cocktail, is moved from the Factor(s) and Factor Interactions: box to the Display Means for: box. Compare main effects is selected, Confidence interval adjustment method (here Bonferroni) is specified,

and other display options such as the **Descriptive statistics** are requested. The **Continue** tab is hit to return to the **Repeated Measures** dialog box and the **OK** tab is hit to get the output.]

A partial printout of the SPSS output for the example is presented in Figure 1-14. The variance ratio, F=82.3, is larger than the 62.4 obtained from the One-way ANOVA of the data obtained assuming a completely randomized design. This is due the smaller error, 9.730, obtained with the analysis of the data obtained under the repeated measures design compared to that (12.837) obtained from the One-way ANOVA. This difference is because sizable variability due to animal, the block was removed from the error in the analysis of the data assuming the repeated measures design.

^{*} The mean difference is significant at the .05 level.

^a Adjustment for multiple comparisons: Bonferroni.

Note: The mean responses of two dependent samples can be compared by using this procedure for analyzing data obtained from the basic repeated measures design or by using the paired-samples *t*-test procedure available with most statistical software.

3. More Complex Experimental Designs

More complex experiments can be designed having one or more between subject factors and one or more within subject (repeated measures) factors. For example, the basic repeated measures design given previously could be modified where half of the monkeys used were infants and half were adults. Cocktail remains a within subject (repeated measures) factor, but age is included as a between subject factor because infant sampling units are distinct from adult sampling units.

The SPSS worksheet is exactly that described earlier for the example of the basic repeated measures design (see Fig. 1-13) with a column added giving the codes of the categories of the between subject factor (here **age**). The instructions for obtaining the SPSS analysis are the same

General Linear Model

Descriptive Statistics

Dependent Variable: COUNT

	Age	Mean	Std. Deviation	N
placebo	infant	45.8000	4.38178	5
	adult	38.8000	2.58844	5
	Total	42.3000	5.01221	10
tcc	infant	52.0000	1.87083	5
	adult	50.2000	1.64317	5
	Total	51.1000	1.91195	10
acd3s	infant	62.2000	3.03315	5
	adult	58.2000	1.64317	5
	Total	60.2000	3.11983	10

Tests of Within-Subjects Effects

Measure: count

Source		Type III Sum of squares	df	Mean Square	F	Sig.
cocktail	Sphericity Assumed	1602.200	2	801.100	90.862	.000
	Greenhouse-Geisser	1602.200	1.252	1279.709	90.862	.000
	Huynh-Feldt	1602.200	1.559	1027.719	90.862	.000
	Lower-bound	1602.200	1.000	1602.200	90.862	.000
cocktail * age	Sphericity Assumed	34.067	2	17.033	1.932	.177
	Greenhouse-Geisser	34.067	1.252	27.210	1.932	.197
	Huynh-Feldt	34.067	1.559	21.852	1.932	.189
	Lower-bound	34.067	1.000	34.067	1.932	.202
Error(cocktail)	Sphericity Assumed	141.067	16	8.817		
	Greenhouse-Geisser	141.067	10.016	14.084		
	Huynh-Feldt	141.067	12.472	11.311		
	Lower-bound	141.067	8.000	17.633		

Tests of Between-Subjects Effects

Measure: count

Transformed Variable: Average

Source	Type III Sum of squares	df	Mean Square	F	Sig.
Intercept	78643.200	1	78643.200	18009.893	.000
age	136.533	21	136.533	31.267	.001
Error	34.933	8	4.367		

FIGURE 1-15 Partial SPSS printout of the analysis of variance for assessing both cocktail exposure (within subject factor) and age (between subject factor) effects.

Estimated Marginal Means

1. Age

Estimates

Measure: count

			95% Confidence Interval		
Age	Mean	Std. Error	Lower Bound	Upper Bound	
infant	53.333	.540	52.089	54.578	
adult	49.067	.540	47.822	50.311	

2. Cocktail

Estimates

Measure: count

			95% Confidence Interval		
Cocktail	Mean	Std. Error	Lower Bound	Upper Bound	
4	42.300	1.138	39.676	44.924	
2	51.100	.557	49.816	52.384	
3	60.200	.771	58.421	61.979	

Pairwise Comparisons

Measure: count

(I) cocktail	(J) cocktail	Mean Difference (I-J)	Std. Error	Sig. ^a	95% Confidence Interval for Difference ^a	
O					Lower Bound	Upper Bound
4	2	-8.800*	1.517	.001	-13.374	-4.226
	3	-17.900*	1.606	.000	-22.744	-13.056
2	7	8.800*	1.517	.001	4.226	13.374
	3	-9.100*	.640	.000	-11.031	-7.169
3	7	17.900*	1.606	.000	13.056	22.744
	2	9.100*	.640	.000	7.169	11.031

Based on estimated marginal means

FIGURE 1-15 (Continued).

as those given previously for the example of the basic repeated measures design with the following additions:

- 1. In the **Repeated Measures** dialog box, **age** is added as a between subjects factor by moving "age" into the **Between-Subjects Factor(s):** box.
- 2. In the Repeated Measures: Options dialog box, both the repeated measures factor, here cocktail, and the between subjects factor, here age, are moved from the Factor(s) and Factor Interactions: box to the Display Means for: box for requesting options such as the display of descriptive statistics.
- **3.** For between subject factors having more than 2 categories, pairwise multiple comparisons of means can

be obtained by hitting the **Post-Hoc...** tab, which opens the **Repeated Measures Post Hoc Multiple Comparisons for Observe...** dialog box. In this dialog box all desired between subject factors can be moved from the **Factor(s)**: box to the **Post Hoc Test for:** box and the desired pairwise multiple comparisons procedure(s) selected.

A partial printout of the SPSS output for the example is presented in Figure 1-15. Cocktail (COCKTAIL), the within subject main effect, is highly significant (p < 0.0005), age (AGE), the between subject main effect, is also highly significant (p = 0.001), but the interaction between cocktail and age (COCKTAIL * AGE) is nonsignificant ($p \ge 0.177$).

^{*} The mean difference is significant at the .05 level.

a Adjustment for multiple comparisons: Bonferroni.

H. Nesting Designs

Frequently clinical studies are undertaken to quantify the magnitude of various sources of variability such as intra-animal and interanimal variability. An example of an experimental design that would provide estimates of intraanimal and interanimal variances is as follows: twenty $(n_a = 20)$ dogs are randomly selected over a period of time from the population of dogs identified by a clinic as having pituitary-dependent hyperadrenocorticism. At the time the dog is selected, 3 days ($n_d = 3$) within the next 2 weeks are randomly selected for plasma cortisol samples to be taken at 11 A.M. from the dog. Plasma cortisol assays are replicated for each sample (n = 2). Because the animals are sampled across time, it is rather unlikely that many and perhaps any of the 3 days that samples are drawn will be common for the 20 dogs. If the 20 dogs had no sampling days in common, there would be $60 (20 \times 3)$ different days that samples were taken. Thus, the factor "days" in this design is not crossed with the factor "animals" but is said to be nested within "animals." The total variability in this experiment can be decomposed by the method of ANOVA into three parts, that which will identify significant variability among animals and significant variability among days (nested within animals) and that which quantifies the residual variability.

Table 1-6 lists data that shall be assumed to have been generated from an experiment using the nested design described earlier. Table 1-7 gives the ANOVA table generated from these data. In addition to providing the standard entries of an ANOVA table (sum of squares, degrees of freedom, mean square and F-statistics with corresponding p-values), Table 1-7 also gives the expected mean squares, which are the quantities being estimated by the corresponding mean squares in the table. The expected mean squares are functions of the unknown population parameters. Note that each expected mean square involves one or more of the variance components of the design. The expected mean squares indicate which mean squares are appropriate as the denominator for computing the F-statistic to test the hypothesis for a given effect. For example, to test the hypothesis that the variance component giving the magnitude of the variability attributable to the effect of "days"

is not significant—that is, to test $H_o: \sigma_{d/a}^2 = 0$, the test statistic is $F_{d/a} = MS_{d/a}/MS_e$. MS_e is the correct denominator for this test statistic because if the hypothesis is true and $\sigma_{d/a}^2 = 0$, the expected mean square for the effect of "days" reduces to σ_e^2 so that both $MS_{d/a}$ and MS_e would be estimating σ_e^2 and it would be expected that the ratio of $MS_{d/a}$ to $MS_e(F_{d/a})$ would not differ significantly from 1. This ratio

TABLE 1-6 Plasma Cortisol Levels (mg/dl) in Dogs with Pituitary-Dependent Hyperadrenocorticism^a

Dog	Day 1	Day 2	Day 3
18	0.8, 0.8 ⁶	1.5, 1.4	4.0, 4.2
2	2.2, 2.5	4.7, 4.5	3.4, 3.5
3	2.3, 2.4	2.7, 2.7	2.8, 2.7
4	3.1, 3.2	2.0, 2.1	2.7, 2.8
5	4.2, 4.3	3.5, 3.5	2.3, 2.4
6	3.8, 3.7	4.0, 4.0	2.7, 2.9
7	2.6, 2.5	3.9, 4.1	2.7, 2.7
8	2.4, 2.3	4.1, 4.1	2.9, 2.9
9	2.9, 2.9	3.3, 3.5	2.7, 2.8
10	2.2, 2.3	2.0, 2.2	1.6, 1.6
11	3.1, 2.9	3.0, 2.8	2.3, 2.1
12	5.3, 5.2	4.4, 4.2	3.9, 4.0
13	3.2, 3.3	2.7, 2.5	2.7, 2.9
14	4.4, 4.3	4.8, 4.8	3.8, 3.6
15	4.2, 4.1	4.3, 4.2	3.6, 3.7
16	2.9, 3.1	3.7, 3.9	4.6, 4.8
17	3.8, 3.7	3.6, 3.4	3.5, 3.3
18	5.0, 5.2	5.1, 5.1	4.8, 4.7
19	3.7, 3.6	1.4, 1.4	2.2, 2.1
20	2.9, 3.0	2.7, 2.9	3.1, 3.1

^a These data were adapted from data provided by Dr. E. C. Feldman, Department of Medicine and Epidemiology, School of Veterinary Medicine, University of California, Davis

TABLE 1-7 Analysis of Variance of Canine Plasma Cortisol Level Data Assuming a Nested Experimental Design 2 ("Days" Nested within "Animals")

Source of variation	df	Sum of squares	Mean square	F-value	Expected mean square
Among Animals	19	70.8316	3.7280	38	$\sigma_e^2 + n n_d \sigma_a^2 + n \sigma_{d/a}^2$
Days/Animals	40	43.8333	1.0958	114	$\sigma_e^2 + n\sigma_d^2$
Error	60	0.5750	0.0096		σ_{e}^{2}
Total	119	115.2399			

b Assay replicated for each sample.

differing significantly from 1 would indicate that $MS_{d/a}$ was estimating something additional to σ_e^2 , namely, $n\sigma_{d/a}^{2}$, where n is the number of replications per sample. Based on the assumed data, the hypothesis $\sigma_{d/a}^{2}=0$ is rejected. $\sigma_{d/a}^{2}$ in this design is the true intradog variability for this response. The test result that the day effect is significant means that this intradog variability is larger than zero.

The second test is that the variance component for "animals" is equal to zero or $\sigma_a^2=0$. It can be determined by considering the expected mean squares of Table 1-14 that the test for no significant variability among animals $(H_o:\sigma_a^2=0)$ is made using $MS_{d/a}$ in the denominator of the F-statistic. This test is also significant. This means that σ_a^2 is not equal to zero, indicating a significant source of variability among dogs in the plasma cortisol levels recorded in the experiment.

1. Estimating Variance Components

Once significance has been established for one or more of the variance components in an experimental design, interest focuses on estimating the variance component(s). Estimates are readily obtainable using the appropriate expected mean squares in conjunction with the mean squares obtained from the data. For example, Table 1-7 shows that an estimate of σ_e^2 is $MS_e(\hat{\sigma}_e^2=0.0096)$. An estimate of $\sigma_{d/a}^2$ can be obtained by noting that $MS_{d/a}$ estimates $\sigma_e^2 + n\sigma_{d/a}^2$; solving for $\sigma_{d/a}^2$ followed by substitution of MS_e for σ_e^2 yields $(MS_{d/a} - MS_e)/n$ as the estimate. Based on the data used in the example, $\hat{\sigma}_{d/a}^2 = (1.0958 - 0.0096)/2 = 0.5431$. An estimate of σ_a^2 , obtained in a similar manner, is

$$(MS - MS_{d/a})/nn_d$$

where n_d is the number of days samples were taken (3). Based on the data an estimate of σ_a^2 is 0.4387. The term σ_a^2 is the estimate of the interanimal variability, whereas $\sigma_{d/a}^2$ estimates the intra-animal variability in plasma cortisol level for the underlying population of dogs. Interval estimates for these variance components can be obtained using these point estimates by methods described elsewhere (Harter and Lum, 1955; Mickey *et al.*, 2004; Satterthwaite, 1941).

2. Estimating the Variance of the Grand Mean Response

Another way to visualize the importance of these variance components is to analyze their impact on the estimate of the variance of interest. In some applications, there would be interest in estimating the grand mean (μ) of the response. In the present example, this would involve estimating the mean plasma cortisol level taking into account any random animal effect and day effect. The variance of $\hat{\mu}$, $\text{Var}(\hat{\mu})$, is given as $\sigma_a^2/n_a + \sigma_{d/a}/n_a n_d + \sigma_e^2/n_a n_d n$ (Little

et al., 1991; Neter et al., 1996). In the present example, $Var(\hat{\mu})$ is estimated as 0.4387/20 + 0.5431/60 + 0.0096/120 = 0.0219 + 0.0091 + 0.0001 = 0.0311, and by far the greatest contribution to this variance is that due to the variability among animals in their response. The intradog variance component, although slightly larger than the interdog variance component, makes a considerably smaller impact on $Var(\hat{\mu})$.

3. Estimating the Total Variability of a Single Response

In other applications, interest centers about the total variability $(\sigma_{\text{total}}^2)$ associated with a single response. A single response is a linear combination of the terms in the response model and, using the assumption of the independence of terms in the model, has a variance equal simply to the sum of the variance components. Specifically, $\sigma_{\text{total}}^2 = \sigma_a^2 + \sigma_{d/a}^2 + \sigma_e^2$ (Kringle, 1994), which in this example is estimated as 0.4387 + 0.5431 + 0.0096 = 0.9914. Here the total variability of a single response is divided nearly equally between "animals" and "days nested within animals."

Other possible designs could be considered. What has been demonstrated is that the method of analysis of variance in conjunction with experimental design can be useful in answering a variety of questions.

Nested designs are frequently used to assess sources of variability in an assay. For example, several laboratories could be involved in doing a particular assay, with several autoanalyzers in each laboratory and multiple technicians running these autoanalyzers. Inference in this context centers around being able to identify if there are significant sources of variation among the laboratories, among autoanalyzers within a given laboratory, and among technicians operating a given autoanalyzer. The goal of analyses of this sort is to identify large sources of variability. Once the larger sources of variability have been identified, changes are made in the system in an effort to reduce the variability associated with each source. The long-term objective is to have an assay with sources of variability that are as small as possible. Clinical analysts conventionally divide the square root of the estimates of the variance components (the sample standard deviations) resulting from such assay experiments by the grand mean to obtain coefficients of variation for each source of variability (Kringle, 1994). These coefficients of variability should be much smaller than those derived as intra-animal and interanimal variability. Interested readers are strongly encouraged to consult texts written on experimental design and ANOVA (Mickey et al., 2004; Neter et al., 1996).

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Comparative Medical Genetics

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I. INTRODUCTION

- A. Genome Sequences
- B. Mapping the Genome
- C. Disease Gene Mapping
- D. Genetic Diseases
- E. Gene Therapy

REFERENCES

I. INTRODUCTION

A. Genome Sequences

All the genetic information needed for the creation, maintenance, and reproduction of an organism is called the genome. For most organisms, this information is encoded in the DNA (deoxyribonucleic acid) or for some viruses in the RNA. A first step in the gigantic endeavor to understand this genetic information is to learn about the complete nucleotide sequence of a genome. Such genome projects have been or will be undertaken for many different organisms.

The progress made with the Human Genome Project around the turn of the century has not only produced an extraordinary resource for genetic research in human medicine, but it has also created the means for sequencing additional genomes. Following the completion of the high-density human genome sequence, these tools and sequencing capacities have been used for a variety of species, starting with that of model organisms. The mouse, as one of the most relevant models for genetic research, was the second mammal to be sequenced; however, genome sequences from rat, fruit fly, and zebra fish were soon to follow. The next group of genomes included those of domestic animals, such as the dog, cow, chicken, and pig, which were chosen because they also serve as model organisms and are of special interest as either companion or food animals. Genomes of other animals, including cat and horse, were chosen to help with the annotation of the human and other mammalian genomes (comparative annotation). They were sequenced at lower genome coverage and are expected to provide important information about genome evolution. Alignment and comparison of the available animal genomes to the human will help identify evolutionarily conserved regions, which mostly likely represent important functional elements. This is a critical step for the annotation of the human and animal genomes and the understanding of genomic function. Completed genome sequences for several domestic animals are now available (Table 2-1) and semiannual updates on the status of current sequencing projects are listed on the National Institutes of Health (NIH) website (www.genome.gov/10002154).

Many aspects of the canine genome and its impact on comparative and medical genetics are covered in *The Dog and Its Genome* (Ostander *et al.*, 2006). The knowledge about the genomes of companion animals will have an enormous impact on veterinary medicine by facilitating the identification of genes underlying breed characteristics including behavior, coat color, body type, disease predispositions, and the detection of disease-causing mutations. This knowledge will lead to great advances in genetic screening for desirable and disease-causing traits as well as breed-specific vaccine and drug development (custom drug design). It will also change livestock breeding and production through identification of productivity and disease-resistance genes.

B. Mapping the Genome

The nuclear genome is composed of a species-specific number of linear DNA molecules, which are packaged into chromosomes. The number of chromosomes varies greatly among eukaryotes (for haploid chromosome numbers, see Table 2-1) but appears to be unrelated to genome size and its biological features. During cell division, DNA is

	Genome	Coverage	Size (Gb)	Haploid Chromosomes
Cat	Felis catus	1.9-fold	3	19
Chicken	Gallus gallus	6.6-fold	1.2	40
Cow	Bos Taurus	6-fold	3	30
Dog	Canis lupus familiaris	7.6-fold	2.4	39
Horse	Equus caballus	6.8-fold	2.1	32
Human	Homo sapiens	Finished	3	23
Mouse	Mus musculus	Finished	2.5	20
Pig	Sus scrofa	In process	2.8	19



FIGURE 2-1 Male domestic cat (Felis catus) karyotype: 18 autosomal pairs and XY (at the lower right; the X is significantly larger than the Y).

duplicated and then condensed into the more compact forms of chromosomes. The varying sizes, location of centromeres, and the characteristic banding patterns revealed by staining techniques allow for the identification of individual chromosomes. For each organism, the arrangement of chromosomes by pairs (homologous chromosomes), according to standard classifications, is referred to as the karyotype (see the example in Fig. 2-1) and can also be depicted as a drawing called an ideogram.

The two types of genome maps (i.e., physical and genetic maps) are important tools for the sequencing and assembly of whole genome sequences. Once established, they are great resources for locating and sequencing genes, such as those involved in diseases. A physical map depicts the position of a specific DNA segment in a genome—for example, its location on a specific chromosome. A genetic map describes the order and distance between specific DNA sequences in terms of the rate of DNA recombination between homologous chromosomes during meiosis, and it is determined from breeding experiments and pedigree analyses. Integrated maps use DNA segments as markers that are mapped to both maps and display information from both.

1. Physical Mapping

Different techniques have been applied to construct physical maps as new techniques were made available.

a. Fluorescent In Situ Hybridization (FISH)

FISH enables the assignment of a DNA molecule directly to a chromosome. Hybridization of several DNA fragments simultaneously reveals not only their individual location but also their relative order to each other. To perform a traditional FISH experiment, cells are harvested in the metaphase stage of mitosis and their chromosomes are fixed onto a glass slide. Individual chromosomes can be distinguished by their distinct banding patterns and other cytological features. A specific DNA molecule (also referred to as a probe) is labeled with a fluorescent dye and hybridized to the denatured chromosomes. The single-stranded DNA probe anneals to its complementary strand in the chromosome in a sequence-specific manner, and the physical location of the probe is microscopically visible as

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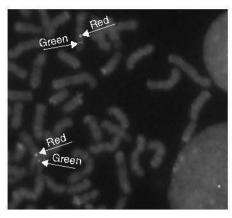


FIGURE 2-2 Fluorescent in situ hybridization. Two color FISH on canine metaphase chromosomes. Two loci labeled red and green are assigned to canine chromosome 9 (CFA9).

FIGURE 2-3 Restriction site for the restriction enzyme *Eco* RI. The double-stranded DNA is cleaved along the line.

a bright fluorescent signal. With the development of fluorescent labels that have specific emission spectra, multiple DNA probes can be hybridized simultaneously to a single chromosome preparation, allowing their ordering on a chromosome (Fig. 2-2). Another useful application of multicolor FISH is called *chromosome painting*: multiple probes distributed throughout the length of one chromosome are labeled with the same color dye at a density such that the entire chromosome is covered by fluorescence. As chromosome-specific probe sets are hybridized with different colors, each chromosome reveals its unique color, which is particularly useful to examine chromosomal abnormalities like deletions, duplications, and translocations of chromosomal segments. Because FISH allows only lowresolution mapping (probes >1Mb apart), other techniques need to be applied for finer, high-resolution mapping.

b. Restriction Enzyme Mapping

Restriction endonucleases are enzymes isolated from various strains of bacteria that recognize and cleave specific double-stranded DNA sequences, called restriction sites, with the majority of sites consisting of only four to seven nucleotides (see the example in Fig. 2-3). A DNA segment, digested by a specific restriction enzyme, is cut into smaller DNA fragments of different sizes depending on the number and location of the recognition sites present within the DNA sequence. The differently sized fragments can be separated by agarose or polyacrylamide gel electrophoresis. A simple way to create a restriction map of a smaller genome is to first cut the DNA using two separate reactions, each with a different restriction enzyme, and then in

an additional reaction simultaneously with both enzymes to compare the resulting fragment size patterns. This will allow one to assess the number of restriction sites for each enzyme by single digests and then the relative positions to each other by the double digest (Fig. 2-4). However, with an increasing size of the DNA segment to be mapped, the number, sizes, and order of resulting fragments become too complex. Then analysis requires cloning smaller fragments or other mapping techniques.

c. Sequence Tagged Site (STS) Mapping

STSs are short nonrepetitive DNA segments that are located at unique sites in the genome and can be easily amplified by the polymerase chain reaction (PCR). Common sources to obtain STSs represent expressed sequence tags (ESTs), microsatellites (discussed later), and known genomic sequences that have been deposited in databanks. ESTs are short sequences obtained by converting mRNA into complementary DNA (cDNA). They are unique and valuable sequences, because they represent parts of expressed genes of the cells or tissue used for the mRNA extraction. To construct a genome map using STSs, different DNA resources, sometimes called a mapping reagent, can be used. The most common resources are radiation hybrid panels or clone libraries, both of which can be constructed using either whole genome sequences or a single chromosome.

t. Radiation Hybrid (RH) Mapping Radiation cell hybrids are typically constructed using cells from two different species. Cells from the organism whose genome is to be mapped (donor) are irradiated with a lethal dose and then usually fused with rodent (recipient) cells. The irradiated chromosomes break at random sites and, after cell fusion with the recipient cells, the donor chromosome fragments are incorporated into the recipient chromosomes. Consequently each hybrid cell line derived from a single cell contains different parts of the donor's chromosomes, which were incorporated at random.

Radiation hybrid mapping is based on this artificially induced random breaking of the genomic DNA into smaller fragments. The original order of these fragments to each other is determined by ascertaining that specific DNA sequences are found to be in the same clones, which means that they segregate together because of their close physical proximity in the genome. For detailed mapping, fewer than 100 hybrid cell lines are necessary. For example, irradiated canine cells were fused with recipient hamster cells, and 88 cell lines were selected (Hitte et al., 2005). To map the canine genome, DNA from each cell line is being tested for the presence or absence of unique canine markers, like STSs. If two markers are originally located closely on a chromosome, a break between the markers is unlikely, and, therefore, they will mostly be found together in the same cell line. In contrast, if they are farther apart or even on

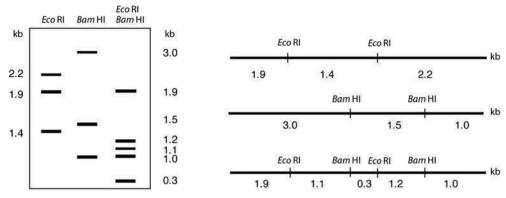


FIGURE 2-4 Restriction mapping. A 5.5kb DNA segment is cut with enzymes Eco RI and Bam HI to assess the number of restriction sites for each of the enzymes. (Eco RI and Eco RI and

different chromosomes, the separation of the two markers into different cell lines is likely. Hence, the actual distance between two markers on a chromosome is proportional to the probability of the markers being separated and found in different cell lines. Analysis of hundreds to thousands of markers allows for the determination of the order and distance between markers. Higher resolution RH maps can be achieved by increasing the intensity of the initial radiation of the donor cells leading to increased chromosomal breaks and smaller average fragment sizes. The probability of separation between closely located markers increases, thereby permitting the ordering of more markers.

ii. Clone Library A clone library consists of DNA fragments, representing the total DNA from a specific chromosome or whole genome, inserted into some type of vector that can be grown in bacteria, yeast, or mammalian cells. To construct a library, the source DNA is cut into random fragments, usually by a restriction enzyme that has a 4bp recognition site and therefore cuts the DNA frequently. However, the digestion of the DNA is purposely prevented to go to completion, leaving randomly larger uncut fragments that partially overlap. These fragments are then cloned into vectors, for example, plasmids, which incorporate the DNA and allow for easy amplification and isolation in bacteria. Different types of vectors accommodate DNA fragments of different sizes, ranging from hundreds to thousands of base pairs (bp). As with the radiation hybrids, the individual clones are analyzed for the presence or absence of STSs, which allows the ordering of these markers depending on their common presence in the same clones. Again, the resolution of the STS map can be raised by decreasing the size of the DNA fragments used for construction of the library. The STS markers are also used to identify overlapping clones to build contigs (a number of overlapping clones representing a region of a particular sequence). Because sequences obtained from each clone can be precisely anchored to the physical map, clone libraries are critical in the assembly of whole genome sequences.

2. Genetic Linkage Mapping

Breeding experiments or pedigree analyses can be used to genetically map genes or molecular markers. The basis for genetic mapping is that the distance between two markers on a chromosome is directly correlated with the probability of recombination between them during meiosis. Because each diploid cell has two copies of each locus (two alleles), it is by chance that half the time the alleles of two different loci on different chromosomes are inherited together (Fig. 2-5a). In other words, in 50% of offspring (or meioses), the same alleles of the two loci are found together, although they are located on different chromosomes. However, if the two loci are located on the same chromosome, it is less likely that their alleles will be separated and, therefore, should segregate together in >50% of the offspring. If they are found separated in some of the individuals, then they are said to have recombined. The frequency of recombination is correlated with the distance between the two loci. If they are closely located, then recombination between the two markers will happen less often and will be <50%, and approaching 0% for very closely located markers (Fig. 2-5b). Markers are said to be linked if recombination between them is <50%.

To be able to follow the inheritance of different alleles of a genetic marker in a pedigree, they need to be polymorphic for a DNA variation (discussed later). Hundreds to thousands of these genetic markers are then analyzed in a number of families. Likelihood calculations for linkage based on the percentage of recombination between any two markers permit the ordering of the markers to each other into linkage groups and ultimately into a genetic map. The distance between markers on a genetic map is based on the recombination rate and expressed in centiMorgans (cM; 1cM = 1% recombination). The resolution of a

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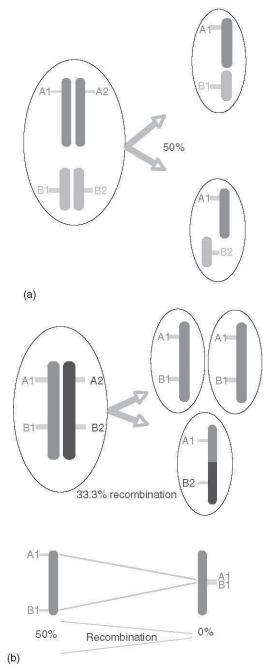


FIGURE 2-5 Schematic representation of recombination during meiosis. (a) Independent assortment. The chance for two alleles from two loci located on two different chromosomes to be inherited together is 50%. Therefore, the same alleles will be found together in 50% of the offspring, although the two loci are inherited independently of each other. (b) Recombination: If the loci are located on the same chromosome one would expect to find the same alleles together in all the offspring, but because of recombination the alleles rearrange in some of the offspring. For example, if one offspring out of three shows recombination, then the recombination is said to be 33.3%. The distance between the two loci on the chromosome is correlated with the probability of interchromosomal recombination between the two during meiosis. Although located on the same chromosome, recombination between two loci can reach 50% if the loci are far apart. In other words, the chance of the two loci being separated during meiosis is the same as if they were located on two different chromosomes. If the two loci are located very close together, few to no recombinant animals are found (recombination rate approaches 0) and the loci are said to be linked.

genetic map depends on the number of individuals as well as how informative the markers tested are.

a. Genetic Markers

Although more than 99% of the DNA sequence is identical between individuals of any mammalian species, much variation remains. These sequence differences are known as polymorphisms, contribute to breed and individual differences, and have been useful for many practical applications, including genome mapping, screening for genetic diseases, and forensic applications such as DNA fingerprinting. Most variations are located outside of genes and generally do not affect any gene function. However, some of these polymorphisms may contribute toward physical characteristics or disease susceptibility. In contrast, polymorphisms within a regulatory or coding sequence of a gene can have deleterious effects on gene function. These polymorphisms have a lower frequency within a population and are referred to as mutations. Mutant alleles of genes are often associated with a genetic disease or disease predisposition and are referred to as disease genes or alleles.

i. Restriction Length Polymorphisms (RFLPs) One of the first widely used techniques to detect DNA variations in a population was the analysis of RFLPs. Polymorphisms between individual DNAs can either destroy existing or create new endonuclease recognition sites and, thereby, lead to different fragment size patterns following restriction enzyme digestion. To test for a specific RFLP, a DNA region is amplified by PCR and subsequently digested with a particular restriction enzyme. The resulting DNA fragments can be separated by gel electrophoresis and visualized by staining with ethidium bromide. A difference in number or size of fragments between individuals tested indicates a polymorphism within the restriction site of the enzyme used. Before the advent of automated PCR, RFLP analysis methods included the extraction and digestion of genomic DNA of each individual tested, separation by gel electrophoresis, transfer of the DNA to a nylon membrane, and subsequent hybridization with a radioactively labeled DNA probe that bound to a known region in the genome. If a variation within a restriction site of the enzyme used was located within or close to the region of a locus binding to the probe, the labeled bands would differ either in size or number between individuals. Although extraordinarily laborious and not very informative, these RFLPs were used as markers to construct the first human genetic linkage map.

ii. Minisatellite or Variable Number of Tandem Repeats (VNTRs) Minisatellites or VNTRs, succeeding the RFLPs, are noncoding DNA sequences of <20kb long, containing a variable number of 15 to 100-bp long repeat units (Fig. 2-6a), and are distributed throughout the whole genome. If genomic

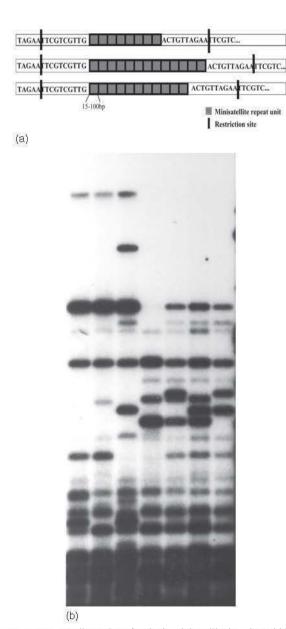


FIGURE 2-6 (a) Illustration of a single minisatellite location within the genome of three different animals depicting a varying number of repeat units. The sizes of the fragments differ depending on the number of repeat units when cut with a restriction enzyme, with restriction sites surrounding the minisatellite locus. (b) DNA fingerprints from Bernese mountain dogs. Each lane represents the banding pattern of one dog depicting the differently sized fragments of several locations of one specific minisatellite distributed throughout the genome. The DNA from each dog was digested with the same restriction enzyme and hybridized with a labeled probe binding to the minisatellite repeat unit.

DNA is cut by a restriction enzyme that has no recognition site within the repeat unit but cuts the remaining DNA fairly frequently, a large number of different-sized fragments can be identified. Because the numbers of the repeat units at most of the minisatellite loci vary among individuals, the resulting pattern of differently sized fragments is unique to each individual and is, therefore, called a *DNA fingerprint*

(Fig. 2-6b). Although minisatellites are more informative than RFLPs, their analysis still is time consuming.

iii. Microsatellites Simple or Tandem Repeats (STRs) With the advent of PCR, microsatellites soon replaced minisatellites as well as RFLPs as genetic markers. Microsatellites or STRs are composed of simple sequence repeats of 2 to 7 nucleotides. The number of repeat units may greatly differ among individuals resulting in alleles of varying lengths. PCR primers flanking the repeat are located in genome-wide unique sites and, therefore, allow for unique and easy amplification of one specific marker. Although initially radioactively labeled primers were used, fluorescent labels, automated DNA sequencers, and analysis software now allow for fast and inexpensive analyses. The abundance of STRs throughout the genome and ease of analysis greatly improved genetic maps in humans and animals with ever-increasing resolution.

iv. Single Nucleotide Polymorphisms (SNPs) The most frequent, evenly distributed genome sequence variations (e.g., >4.5 million in humans) are SNPs, where a single nucleotide (A, T, G, or C) at a locus differs between individuals in a biallelic fashion. A small fraction of SNPs gave rise to the RFLPs described previously. However, current technologies allow for automated analysis of tens of thousands of SNPs per sample simultaneously, making it the preferred tool for genome-wide analysis in the search for mutations responsible for diseases. The commercially available high-density oligonucleotide microarrays or DNA chips contain thousands of different oligonucleotides representing different sequence variants. Hybridization of labeled sample DNA to the chip and subsequent analysis with a fluorescent scanner will result in a typical hybridization pattern. Because the representative genomic location of each oligonucleotide on the chip is known, the assessment of the pattern permits genotyping of several thousand SNPs per sample. SNP maps and chips, developed for humans and some domestic animals, are most useful to find the sequence variations that affect gene function associated with health, production, and disease.

Integrated Maps

Because some markers can be analyzed on both physical and genetic maps, they serve as anchors to compare and combine data from both maps. The resulting integrated map lists the order of the markers and gives their distances in both genetic and physical scales.

4. Comparative Maps

Comparative genomics, utilizing information about different genomes, is particularly important in the understanding

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of genomes of various organisms. If two organisms have a recent ancestor, their genomes will be related. Comparative maps display similarities between two organisms by aligning genes and their order on a chromosome of one species and then comparing it to the location and order found in another species. This knowledge is useful for mapping, identifying and isolating genes, and gaining more information about principles of evolution. Comparison of the actual genome sequences of different species allows the detection of highly conserved regions within or around genes that, besides representing exonic sequences, most likely serve as important regulatory elements in gene expression and function.

C. Disease Gene Mapping

A major objective of genetic research is the identification of DNA mutations that is involved in disease or genetic predisposition. A small sequence change located within a gene can alter or eliminate gene or protein function. These mutations either arise during imperfect DNA replication or are caused by mutagens and are distinguished by the type of change in the nucleotide sequence. A replacement of a single nucleotide with another base is called a point mutation, which can either be silent (the amino acid remains unchanged), a missense (changes the amino acid), or nonsense point mutation (producing a stop codon). Insertion or deletions refer to varied numbers of nucleotides that are added or deleted, respectively. Nonsense point mutations and deletions or insertions unequal to an exact multiple of 3bp can result in an early stop codon and consequently in a shortened, unstable, or malfunctioning protein. Protein function can also be impaired by the change or addition/deletion of amino acids because of a mutation within the coding region (missense). Additionally, mutations within noncoding sequences that are necessary for correct gene regulation and function can also lead to a change in expression or nonfunctional proteins.

In single gene disorders such a specific mutation that is severe enough to cause disease by itself and often shows a simple (Mendelian) inheritance pattern. If the inheritance is said to be dominant, only one mutant allele is sufficient for the development of the disease in an affected individual. Because the second allele is a normal (wild-type) allele, the affected individual is considered to be heterozygous. If both alleles have to be mutated to cause clinical disease, then the inheritance pattern is said to be recessive and the affected animal is homozygous for the mutant allele. If the mutation is located on the X chromosome, the affected male is considered to be hemizygous. Complex or polygenic disorders are caused by sequence variations in only a few or numerous genes and are more difficult to evaluate. The influences of environmental factors are being recognized and explain some of the variation in disease presentations of simple and complex inherited traits. To identify mutant alleles, various methods have been applied.

1. Candidate Gene Approach

If the phenotype or metabolic basis of the disease to be studied is well characterized or previous research has been done in humans or in other animal species with a similar disease, there might be potential genes (known as candidate genes) that can be suspected to be involved based on the previous findings or known function. Candidate genes can be evaluated for their involvement by testing for linkage or association (discussed later) or direct sequencing of coding regions, exon/intron boundaries, and promoter regions from unaffected and affected animals. For example, symptoms seen in human patients with phosphofructokinase (PFK) deficiency closely resembled those in other glycogen storage diseases and extensive biochemical analyses revealed the deficiency of the key regulatory glycolytic enzyme muscle-type phosphofructokinase (PFK) (Tarui et al., 1965). The gene was then cloned. Based on this information the canine PFK gene was sequenced in English springer spaniel dogs affected with PFK deficiency and a nonsense mutation identified (Smith et al., 1996), which is different from published mutations responsible for PFK deficiency in humans (reviewed in Nakajima et al., 2002). Protein-based functional assays are another common way to determine if a candidate gene is involved in the development of a disease. This approach led to the diagnosis of PFK deficiency in English springer spaniel dogs experiencing hemolysis and myopathy (Giger et al., 1985).

If there is no candidate gene, a linkage approach involving a whole genome scan utilizing the molecular tools described earlier is an option to identify a chromosomal region or gene linked to the disease. This approach requires medical and pedigree information and a source to isolate DNA from a fairly large number of affected and nonaffected animals. Animal breeding data should make it possible to acquire the necessary data (pedigrees) and samples from three-generation pedigrees for linkage studies. If more than one breed is affected with the same disease, the different genetic background found in different breeds may further assist in narrowing the DNA region of interest. Generally, association studies require an equal number of affected and unaffected (control) animals from a population.

2. Genetic Analysis

The development of genome maps allowed for the mapping of genes without further knowledge of their function. Thousands of genetic markers mapped throughout the genome enable genome-wide linkage or association studies

looking for at least one of these markers to segregate with the disease. Because the location of the disease gene is initially not known, genetic markers, such as microsattelites and SNPs, covering the whole genome should be analyzed. The greater the number of markers analyzed, usually between several hundreds or thousands of markers, the higher the likelihood of finding one of these markers close to the disease locus. Most linkage or association analyses in animals are currently based on microsatellites, but with the increasing number of animal genomes sequenced and analyzed for SNP markers, faster and easier analysis with SNP microarray chips will soon be available for animals, as with the current human Genechip.

a. Linkage Analysis

Linkage analysis is based on the same principle of recombination used for genetic linkage mapping. However, unlike a genetic marker, the genotype of the disease locus is not known. Therefore, it is important to know the mode of inheritance of the disorder. Pedigree analysis or experimental breeding can help to identify how a disease is inherited. Single gene diseases are usually easier to evaluate and are commonly classified into Mendelian inheritance patterns as described earlier: autosomal recessive, autosomal dominant, and X-linked inheritance. More complex inheritance patterns are due to the involvement of two or more genes (polygenic) necessary to cause disease, variable penetrance, variable expressivity, and influences from the environment.

Once a mode of inheritance is established, the underlying genotype at the disease locus is inferred and analyzed for linkage with all genetic markers that were tested, which is mostly done with the help of computer programs. If a marker is located close to the disease locus, the result will show no or a very small recombination fraction between the marker and the disease locus. Based on this recombination fraction, a numeric value, called the LOD score, is calculated. This value expresses the likelihood that the result is due to linkage between the tested marker and the disease locus rather than by chance. For example, if the LOD score has a value of 3, this indicates that obtained results are a thousand times (10^3) more likely due to linkage between the tested marker and disease than by chance. In most cases, an LOD score ≥3 is statistically significant. Once linkage is established to a marker, the chromosomal region surrounding the marker can be analyzed for potential candidate genes (positional candidate gene approach). Frequently, more markers will have to be analyzed in that area to confirm and further narrow the genome region of interest.

b. Association Study

Genotyping data from hundreds of markers analyzed in groups of affected and unaffected animals can be evaluated for differences in allele frequencies in the two groups, thus demonstrating association between a genetic marker and the disease phenotype. If the marker and the disease locus are located close to each other, both loci will be inherited together, through several generations, and recombination between the two will be rare. Consequently, specific alleles of the marker and the disease locus will mostly be found together within the group of affected animals, which means they are associated (they are said to be in *linkage disequilibrium*). Therefore, an association study compares the frequency of marker alleles within the two groups, and an increased occurrence of a specific marker allele in the group of affected animals indicates that this marker is located at or close to the disease gene.

c. Positional Candidate Gene Approach

A major goal of a genome-wide linkage analysis is to find the gene or genes responsible for the development of the disease or phenotype that was used for the study. The markers found to be linked allow the assignment of the disease locus to a chromosomal area, and the more markers that are tested, the narrower the region will become. A small region is desirable to minimize the number of possible candidate genes that needs to be analyzed for mutations. Because the approximate location of the candidate gene is known, this method is called the positional candidate gene approach. Genes coding for products with a known function that could be involved in the development of the disease will be considered first for analysis.

3. Genetic Tests

a. Linked Marker Test

Once significant linkage to a disease has been found, a linked marker test can be offered to breeders using one of the polymorphic markers used to find or refine linkage. Because the actual disease locus is not known at this point, one has to keep in mind that genetic test results using a linked marker can in a few cases lead to an incorrect conclusion about the animal's genetic status at the disease locus as a result of possible recombination between the marker and the disease allele.

b. Mutation Test

A DNA-based test offered for a specific genetic disease that is based on the disease-causing mutation offers an accurate diagnosis and has the advantage that it is not age or phenotype dependent. Buccal (cheek) swabs or blood samples can be used for DNA extraction even from very young animals before any disease phenotype has developed. Deletions and insertions can readily be detected by size differences of the amplified DNA segment. A common method to detect single nucleotide changes is a restriction digest of a PCR-amplified DNA product, which requires

of the FVII protein, leaving affected animals with <4% of normal FVII plasma activity (Callan *et al.*, 2006).

Furthermore, many enzyme functions depend on the availability of a vitamin or high-energy intermediate compound (which are also known as cofactors). Therefore, in addition to those diseases in which a mutation affects the protein function directly, alterations of the affinity of an enzyme for the cofactor or impairments in the normal absorption or conversion to the active form of the required cofactors can result in dysfunction (see the discussion of methylmalonic aciduria and cobalamin deficiency, presented later in this chapter). Of the metabolic disorders, these are the most amenable to therapeutic interventions (e.g., parenteral or megadose vitamin supplementation).

Because genetic alterations are possible at any gene locus, inborn errors of metabolism constitute a large heterogeneous group of monogenic (and in the future likely polygenic) disorders. Thus, any mutation affecting the expression or coding sequence in some way can produce any of a variety of malfunctions of the mature protein. Indeed, with the advanced biochemical and molecular characterization of hereditary disorders, most of the genetic defects could be considered to be "inborn errors of metabolism" including malformations and susceptibility to various simple and complex disease traits.

c. Genetic Predisposition to Disease

Increased susceptibility to disease has been recognized more recently to have a genetic basis. Single gene defects for a variety of genetic predispositions have been identified. For instance, mutations in the beta chain of the integrin leukocyte adhesion protein predispose to overwhelming bacterial infections in red Holstein calves and Irish and red and white setter puppies (Foureman et al., 2002; Kijias et al., 1999; Shuster et al., 1992). A single common mutation in the ryanodine receptor in various breeds of pigs is responsible for the development of malignant hyperthermia (Fujii et al., 1991). A defect in the mutlidrug-resistant gene 1 is responsible for serious adverse drug reactions in collies and related dog breeds (Mealey et al., 2001; Neff et al., 2004). Moreover, predispositions caused by complex/polygenic traits are being currently characterized and include common predispositions to infections, inflammation, immune disorders, degenerative disorders, drug reactions (pharmacogenetics), and neoplasia.

2. Inheritance of Genetic Diseases

Genetic diseases are generally produced by defects in nuclear DNA and only rarely from anomalies in mitochondrial DNA (maternal pattern of inheritance such as with some myopathies). In contrast to humans where dominant traits seem to prevail, hereditary diseases are more often recessively inherited in domestic animals. Although inbreeding practices preserve and propagate desirable characteristics

for meat and milk production in food animals or agility, behavior, and morphological traits in companion animals, they bear the risk of passing on deleterious mutations to their offspring and ending up with animals that are homozygous for the mutant allele and thus affected with a genetic disease. As there is pressure to increase health, fertility, and productivity in food animals, deleterious traits are rapidly eliminated with proper breeding practices. Furthermore, the diagnostic evaluations and characterizations of genetic diseases have been facilitated by the recent advances in medicine and comparative genetics, pet owner's interest, and financial support from the National Institutes of Health, other government sources, and foundations, as these animals may also serve as models of human disease. However, in companion animals, breeding is done less scientifically, emphasizing looks and character, leaving many animals at risk of carrying mutant alleles that may produce affected offspring in future generations. Because of these inbreeding practices, some mutations seem to be surprisingly prevalent in certain breeds of dogs and cats. Whereas a mutant allele frequency of 1% is considered to be high in humans, mutant allele frequencies of >10% have been reported for several diseases in several breeds of domestic animals, likely because of founder and popular sire effects. Moreover, X-chromosomal recessive traits such as hemophilia A and B, dystrophin deficiency, and X-linked severe combined immunodeficiency seen in different breeds may result from new mutations in oocytes and, thus, may well be limited to a particular family.

It is important to recognize that the Mendelian concepts of dominant and recessive modes of inheritance refer to the phenotypic presentation of heterozygous and homozygous animals for a particular trait. With recessive disorders, the presence of one normal/wild-type allele is sufficient to assure adequate activity to complete a certain function, whereas with a dominant trait the presence of one mutant allele is already deleterious. For instance, mutant and normal collagen strands will not make a functional fibril for normal joint, ligament, and skin structure. Furthermore, at the DNA level any polymorphism or disease-causing mutation is "codominant" and hence the terms dominant and recessive should be reserved for phenotypes and disorders and not be used for genes. Finally, it is being noted that single gene defects can exhibit variations in clinical signs and disease progression that are likely caused by yet to be determined modifying genes or environmental factors. Hence, simply inherited disorders may ultimately be found to have a more complex metabolic and molecular genetic basis.

3. Screening for Hereditary Diseases

Genetic screening generally requires more than clinical physical examination, routine blood and urine tests, and imaging studies to detect and definitively diagnose animals with genetic diseases. A variety of specific laboratory tests, such as hematological, biochemical/metabolic, and DNA

analyses, have been developed, some of which not only can identify affected/diseased animals but also can identify asymptomatic carriers of recessively inherited disorders (Giger and Jezyk, 1992; Sewell *et al.*, 2007).

a. Metabolic Genetic Testing

Inborn errors of metabolism may lead to the dysfunction of a biological system or pathway either under normal conditions or during more demanding situations, such as the presence of concurrent disease or during intense work loads, as many defects occur in catabolic pathways. Screening tests should lead to the detection of the failing biological system. Routine tests such as a complete blood count and a chemistry screen may reveal a specific metabolic problem such as inclusions in white blood cells (lysosomal storage disease) or hyperlipidemia (hyperchylomicronemia). Clinical imaging techniques, gastrointestinal and liver function investigations, as well as renal clearance function studies may more clearly define an organ failure, whereas for others the first clue is found only after pathological examination of tissues or at necropsy.

When a metabolic pathway is blocked by an enzyme deficiency, the substrate of that enzyme and other proximal metabolites either accumulate or divert into an alternate pathway (Fig. 2-8). In contrast, the products or distal metabolites subsequent to the enzyme deficiency will be reduced. In some cases, abnormal metabolites or excessive amounts of normal metabolites affect other metabolic pathways by acting as competitive substrates of another enzyme. Depending on the gene mutation, the deficiency can be complete or can lead to more or less severe clinical signs. One of the best examples of a common clinical diagnostic workup based on metabolic pathways is done clinically when

suspecting a specific coagulopathy localizing the defect to the intrinsic (e.g., hemophilia A and B [FVIII and IX]), extrinsic (FVII deficiency), or common pathway (fibrinogen deficiency; see the chapter on hemostatic disorders).

The metabolic diagnosis of an enzyme deficiency can often be accomplished by detecting abnormal metabolites or metabolite concentrations in urine, serum, or cerebrospinal fluid. This was the basis of Garrod's initial urinary metabolite studies on alkaptonuria (homogentisic acid, a defect in the catabolism of phenylalanine and tyrosine), cystinuria, and pentosuria. Although more sophisticated techniques of analysis can now be used, examination of proximal and distal metabolites is still the mainstay of the efforts to diagnose and characterize novel metabolic diseases (Fig. 2-9).

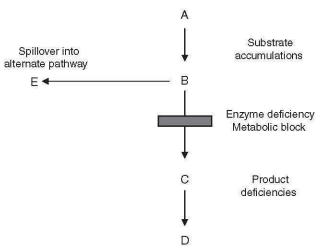


FIGURE 2-8 Metabolic consequences of an enzyme deficiency. Changes in substrates and product concentrations are shown when step $B \rightarrow C$ is dysfunctional.

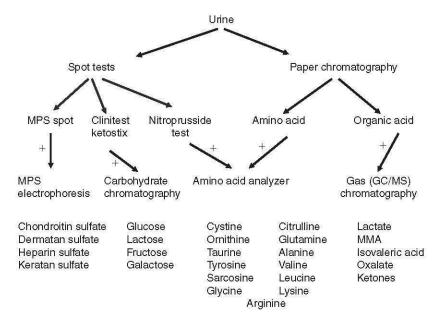
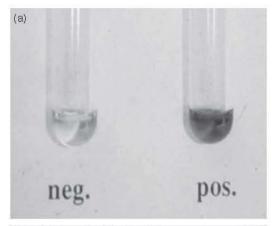


FIGURE 2-9 Metabolic Genetic Screening Scheme to detect inborn errors of metabolism involving amino and organic acids as well as carbohydrates.

One proceeds from metabolite identification to the demonstration of an enzyme or other gene product defect by assaying the functions of candidate proteins chosen based on the knowledge of metabolic pathways and the previous descriptions of metabolic diseases in the same or other species. Metabolic disorders are often named according to the aberrant substrate associated with the pathological condition rather than the truly defective (deficient) enzyme or cofactor (e.g., cystinuria, lactic aciduria, methylmalonic aciduria, porphyria, and mucopolysaccharidosis). Urine as a metabolic screening specimen is preferred, because abnormal metabolites in the blood will be filtered through the glomeruli but then fail to be reabsorbed, as no specific renal transport systems exist for most abnormal metabolites (Fig. 2-10). In those cases in which normal metabolites accumulate, their quantities usually exceed the renal threshold. As a consequence the amount of such compounds in a given volume of urine is often several fold greater than in blood. The renal tubules do not have the capacity to reabsorb abnormal metabolites or excess normal metabolites, and they become concentrated as water is conserved (Giger and Jezyk, 2000; Sewell et al., 2007).

i. Examples of Amino Acidurias The cyanide nitroprusside reaction, which detects any compound containing a sulfhydryl group, is used to screen for cystinuria (and homocystinuria). Cystinuria and other aminoacidurias can be detected by simple spot (nitroprusside) test, paper chromatography using butanol/acetic acid/water as a solvent and ninhydrin stain, and high-pressure liquid chromatography. Cystinuria is caused by defects in renal basic amino acid transporters, which also affect the reabsorption of other amino acids, easily recalled as COLAs: cystine, ornithine, lysine, and arginine. Because cystine precipitates in acidic urine, cystine calculi are formed in the kidney, ureters, bladder, and urethra, leading to life-threatening urinary obstruction (Figure 2-10). The molecular defect has been defined in affected Newfoundland and Labrador retriever dogs, but in the many other dog breeds where the disease is milder and only appears to affect males, the molecular basis still needs to be elucidated. Cystinuria has been identified as a common renal transport defect in a variety of canine breeds, domestic shorthair cats, and also some wild carnivores (Henthorn and Giger, 2006). Interestingly in cats, which completely depend on arginine intake from their diet, the urinary loss in arginine can result in arginine deficiency and ensuing hyperammonemia and neuropathy. In addition, the most severe renal tubular defect involves glucosuria, lactic aciduria, and generalized amino aciduria and is known as Fanconi syndrome. Originally discovered and still common in Basenji dogs, it is now recognized in many other canine breeds, but can also be induced by dietary supplements.





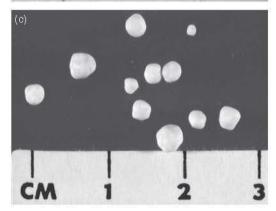


FIGURE 2-10 Cystinuria caused by renal amino acid transport defects.

(a) Urinary nitroprusside screening test to detect cystine (magenta red discoloration indicates a positive reaction. (b) Characteristic hexagonal cystine crystals in urine sediment. (c) Cystine calculi removed from the urinary bladder.

tt. Screening for Organic Acids Methylmalonic aciduria is a prime example of an organic aciduria. Methylmalonic acid is a metabolite of an alternative pathway that only accumulates when there is a block in the catabolism of various amino acids, fatty acids, and cholesterol in the tricarboxic cycle. It may be caused by either an intermediary enzyme deficiency or cobalamin (vitamin B_{12}) deficiency, because cobalamin is a cofactor of the

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intermediary mutase step. In several breeds of dog, a selective malabsorption of cobalamin has been identified because of a lack of expression of cubulin or amnionless, the intrinsic-cobalamin receptor on the surface of the brush border of the enterocytes in the ileum. Cobalamin is also involved in transmethylation reactions along with folate and its deficiency affects cell growth and hematopoiesis. Hence affected animals fail to thrive, and can become lethargic and cachectic. Fortunately, this serious metabolic disorder can be readily treated by regular parenteral supplementation of cobalamin, thereby circumventing the ileal resorption defect (Fyfe et al., 2004; He et al., 2005). There are several other organic acidurias described in animals, including lactic acidurias causing mitochondrial myopathies and primary hyperoxaluria with kidney calculi and renal failure.

b. Protein Function in Affected and Carrier Animals

Usually the biochemical phenotype of an enzyme deficiency is associated with the disease phenotype, and, as traits, they are inherited recessively, because most enzyme activities are present in excessive amounts than what is minimally necessary to process sufficient substrate for normal development and health. Enzyme activity is expressed in units or as a percentage of control, which is set at 100%. Generally, activity levels of at least 25% are still sufficient for most biological functions. The most immediate effects are seen with key regulatory enzymes in important intermediary pathways such as anaerobic glycolysis. One can often demonstrate the coexpression, or gene dosage effect, of the normal and mutant allele by measuring the activity or quantity of the protein (enzyme) in question in tissues of the affected individual, parent, and littermates compared to normal unrelated control animals. In autosomal recessively inherited diseases, the affected individuals will have enzyme activities of $\leq 20\%$ and often 0% to 5% of the normal, whereas some littermates and possibly unrelated healthy appearing animals, and the (clinically unaffected) parents of affected animals will have an enzyme activity somewhere around half (30% to 75%) of normal control values. This forms the basis of many carrier detection programs for hereditary diseases. However, the efficiency of carrier detection by protein quantification or function can be seriously affected by various parameters (tissue-specific expression, labile activity, control samples, special shipping and handling). In vitro enzyme activity may also not really reflect the in vivo expression and function but may depend on substrate and cofactor availability and affinity and is usually measured using artificial substrates. Despite the lack of functional activity of a protein in a disorder, the dysfunctional protein may or may not be present and may be detected through immunological techniques. Thereby animals with and without a protein can be differentiated into cross-reacting material (CRM) positive and negative.

c. Molecular Genetic Testing

More recently, DNA testing for the disease-causing gene mutation can most accurately diagnose many metabolic diseases. Disease-causing mutations have been found in all domestic mammals. These tests are based on amplifying the DNA segment around the disease-causing mutation and differentiating the mutant from the normal by sequencing or identifying fragment size differences with a restriction enzyme digest (discussed previously). Such molecular tests can be accomplished with ethylene diamine tetraacetic acid (EDTA) blood or cheek swabs, and they readily permit the identification of normal, carrier, and affected animals for recessive traits as well as any dominant mutation in the heterozygous, hemizygous, and homozygous presentation. However, mutation-specific DNA tests are generally species and typically breed specific. For instance, different mutations have been found to cause pyruvate kinase deficiency in the basenji, West Highland white (and Cairn) terrier, and beagle breeds (Giger, in press; Skelly et al., 1999; Whitney et al., 1994). In contrast, a single missense mutation seems to be responsible for factor VII deficiency in beagles, Alaskan klee kai, and Scottish deerhounds (Callan et al., 2006).

E. Gene Therapy

Gene therapy refers to the novel treatment of disease by introducing a new gene into a cell; usually the new gene provides a function that is missing because of a defective gene such as for the many hereditary diseases. In general, the defective gene remains, although techniques to repair defective genes are also possible. There are many reviews of gene therapy for metabolic diseases including both general and specific disease-related overviews (Biffi and Naldini, 2005; Brady, 2006; Hodges and Cheng, 2006; Pastores and Barnett, 2005; Sawkar et al., 2006) and those involving large animals (Casal and Haskins, 2006; Ellinwood et al., 2004). This section discusses gene therapy for lysosomal storage diseases (LSDs), primarily in domestic animals, as an example of the field. Clinicopathological and biochemical characteristics of LSDs are presented in a later chapter in this book (Haskins and Giger, in press). Guiding principles for treating LSDs and other inborn errors of metabolism include the following: (1) The earlier the treatment can be instituted, the better the outcome. Thus, prevention of central nervous system or bone disease is more successful than reversing existing lesions. (2) In those disorders with multiple organ involvement, some tissues respond better than others. Thus, in general, liver function is relatively easy to improve, whereas articular cartilage and bone functions are more difficult to change. Because of the blood-brain barrier, the brain and spinal cord present a unique set of challenges. (3) Novel approaches are being developed and assessed regarding efficacy and safety of gene therapy in dogs and cats as an intermediate between the murine models and applications in humans. Hence, gene therapy, though promising for humans and animals alike and potentially simple to administer, is still an experimental approach for inborn errors of metabolism.

There are several ways to introduce a new gene into a cell. One of the more common current approaches uses viruses that act as vectors to target and transport DNA into a cell, often into the nuclear DNA. The viral vectors are modified to make them replication incompetent and contain additional genetic material, usually including at least a promoter in addition to the cDNA of the gene of interest. Many viruses have been used for gene transfer, including recombinant herpesviruses, lentiviruses, adeno-associated viruses (AAV), adenoviruses (Ad), and Sendai virus (reviewed in Verma and Weitzman, 2005). Although gene therapy is a promising technique for therapy for the LSDs, concerns remain, particularly those involving carcinogenesis. Integration can interfere with the normal function of nearby genes (Hacein-Bey-Abina et al., 2003; Schmidt et al., 2005) with ensuing deleterious effects. Thus, although recombinant retroviral vector gene therapy cured 80% of X-linked Severe-Combined Immunodeficiency (SCID) patients, the development of leukemia in some patients made real what had been a hypothetical risk since the early experiments in the 1990s. Also, the development of tumors in aged Mucopolysaccaridosis (MPS) VII mice that received recombinant adeno-associated viral vectors at birth have been reported (Donsante et al., 2001). Other limitations have included an immune response to the vector or the transgenes they encode, particularly when individuals have a null mutation resulting in no protein production. In general, viral vectors are made replication incompetent by various techniques, such as removing genes encoding structural viral genes, limiting concerns about shedding vector for extended periods, and reducing the potential immune responses against the virus. However, readministration of a vector has a significant potential to induce an immune response. The search for new vectors and ways to modify existing vectors to reduce these limitations is ongoing. Three viral vectors currently in common use include the following:

1. Retroviruses are RNA viruses that reverse transcribe their single-stranded genome and can integrate into host chromosomes (Fields and Knipe, 1986). Some murine retrovirus vectors—for example, those based on murine leukemia viruses—transduce only dividing cells. Lentiviruses can also transduce nonreplicating cells (Fields and Knipe, 1986) and have been generated from the human immunodeficiency virus and feline immunodeficiency virus (Johnston et al., 1999; Stein and Davidson, 2002) among others. The tropism of retroviruses can be modified by providing an alternative envelope glycoprotein during virus production, leading to broader cell-type targeting and enhanced stability upon enrichment (Stein et al., 2005; Wong et al., 2004).

- 2. Adenoviruses are nonenveloped double-stranded DNA viruses (Fields and Knipe, 1986), which can infect a variety of both quiescent and proliferating cells. The vectors have space for large transgenes; however, transgene expression is often transient because of host immune responses to the vector (Stein *et al.*, 1998; Yang *et al.*, 1996).
- **3.** Adeno-associated viruses (AAV) are small single-stranded DNA viruses that do not produce disease but are, as the name implies, associated with adenoviruses (Fields and Knipe, 1986). This relationship renders AAV's replication incompetent without a helper virus, usually an adenovirus or a herpesvirus. Many serotypes have been developed (Chiorini *et al.*, 1997; Schmidt *et al.*, 2004), with most showing distinct tissue tropism (Gao *et al.*, 2005).

1. Approaches for Gene Therapy Using Lysosomal Storage Diseases as Examples

a. Ex Vivo Gene Therapy

The usual strategy in this approach is to modify autologous patient cells in vitro, returning them to the patient to create an "enzyme factory" to secrete a specific enzyme into the extacellular fluid to be taken up by other cells elsewhere in the body, thereby "cross-correcting" them. The most common therapeutic target is the pluripotent hematopoietic stem cell. Not only can these cells secrete enzyme into the circulation, they can repopulate fixed macrophages in liver, lung, brain, and elsewhere allowing widely distributed sites of local enzyme production. The rationale for this approach has been from the experience of heterologous bone marrow transplantation in animals and children (Birkenmeier et al., 1991; Breider et al., 1989; Consiglio et al., 2004; Follenzi et al., 2002; Hoogerbrugge et al., 1988; Miranda et al., 1998; Sands et al., 1993; Taylor et al., 1986; Walkley et al., 1994; Yeager et al., 1984). Gene therapy of autologous cells avoids the difficulties of finding a histocompatible bone marrow donor, and if engineered to have very high gene expression, the transduced cells will deliver substantially larger amounts of enzyme than normal cells (Biffi et al., 2004).

Ex vivo transduction and transplantation into enzyme-deficient mouse models of LSDs has shown efficacy in vivo using murine retroviruses (Miranda et al., 2000; Wolfe et al., 1992). Thus, hematopoietic-directed gene therapy may be effective for the treatment of the systemic disease associated with a number of LSDs. However, thus far, this approach has not achieved the success in clinical trials that was seen in the animal models (Dunbar et al., 1998), possibly because of a lack of conditioning to reduce resident bone marrow. Lentiviral vectors may be a better choice over murine retroviruses, because they transduce nondividing cells and show persistent in vivo expression.

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b. Direct In Vivo Gene Therapy

As an alternative to ex vivo therapy, direct in vivo gene therapy is the injection of a gene transfer vector directly into a tissue or into the blood circulation. There are many examples in small and large animal models of LSD using a variety of vectors (Daly et al., 1999a, 1999b, 2001; Gao et al., 2000; Hartung et al., 2004; Jung et al., 2001; Ponder et al., 2002, 2006; Xu et al., 2005). As described earlier, each vector has advantages and disadvantages for tissue tropism, longevity of expression, and immune response and other side effects. Issues of long-term safety (greater than 10 years) have not been fully evaluated. The studies in neonates demonstrate the utility of early (disease prevention) rather than delayed (disease reversal) intervention for these progressive disorders. One of the most dramatic examples of neonatal, intravenous gene therapy in a large animal was reported in dogs with mucopolysaccharidosis VII, where the corneal clouding and mitral valve regurgitation were prevented and the dogs, now 6 years old, continue to be able to stand, walk, and run (albeit without a completely normal gait), whereas untreated dogs cannot stand by 6 months of age (Ponder et al., 2002).

Retinal degeneration is a relatively common clinical sign associated with a number of LSDs. Viral-mediated gene transfer by direct subretinal or vitreous injection has resulted in histological or functional improvements in mice and cats (Griffey *et al.*, 2005; Hennig *et al.*, 2004; Ho *et al.*, 2002).

The central nervous system (CNS) is a particularly challenging tissue. Over half of LSDs have CNS signs of mental retardation in children, and some also present with progressive ataxia in animals leading to early mortality. A large series of experiments have been performed using injections of a variety of vectors directly into the brain of mice with LSDs (Brooks et al., 2002; Elliger et al., 1999; Ellinwood et al., 2004; Haskell et al., 2003; Passini and Wolfe, 2001; Passini et al., 2002, 2003; Stein and Davidson, 2002; Stein et al., 2005; Vogler et al., 1998). Selected areas of the brain have been injected with minute amounts of the gene construct, and the clinical, pathological, and biochemical effects have shown variable success. For instance, a remarkable reduction in neuronal storage and delayed onset of clinical signs was seen in cats with alpha-mannosidosis (Vite et al., 2005). An alternate intravenous approach with a high dose of purified enzyme apparently crossed the blood-brain barrier in MPS VII mice (Vogler et al., 2005) and is currently being tested in large animals.

As the examples for lysosomal storage diseases illustrate, gene therapy is moving forward with therapies for many metabolic diseases. Promising results have also been seen in dogs with hemophilia (Arruda *et al.*, 2005) and a form of progressive retinopathy (Acland *et al.*, 2001). As research continues into safe and effective approaches of

providing genes with appropriate expression, a new era in therapeutics will begin.

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Carbohydrate Metabolism and Its Diseases

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REFERENCES

I. INTRODUCTION

The biochemical mechanisms by which the chemical energy contained in foodstuffs are made available to the animal are collectively described as metabolism. Thus, the description of the metabolism of a foodstuff encompasses the biochemical events that occur from the moment of ingestion to its final breakdown and excretion. Classically, these biochemical events have been divided into the metabolism of the three major constituents of food; carbohydrates, proteins, and lipids. The metabolism of the lipids and proteins is discussed in their individual chapters.

The major function of ingested carbohydrates is to serve as energy sources, and their storage function is relatively minor. Carbohydrates are also precursors of essential intermediates for use in synthetic processes. When the metabolic machinery of an animal is disrupted, a disease state prevails (e.g., diabetes). The literature of the biochemistry of metabolism and disease continues to expand as the minute details of individual and overall reaction mechanisms are continually clarified. Additionally, modern molecular approaches have significantly increased our understanding of disease mechanisms and remain fertile fields for investigations into the disease processes. This chapter presents a basis for the better understanding of the biochemical mechanisms underlying those diseases associated with carbohydrate metabolism. The intricate details of carbohydrate metabolic reactions may be found in the many books on fundamental biochemistry.

II. DIGESTION

The digestion of carbohydrates in the animal begins with the initial contact of the carbohydrates in their food-stuffs with the enzymes of salivary juice. Starch of plant foods and glycogen of meat are split into their constituent

monosaccharides by the action of amylase and maltase. This activity ceases as the food matter passes into the stomach, where the enzymatic action is destroyed by the hydrochloric acid. Within the stomach, acid hydrolysis may occur, but the stomach empties too rapidly for complete hydrolysis to take place. Thus, only a small portion of the ingested carbohydrate is hydrolyzed before passing into the small intestine. In the small intestine, digestion of carbohydrate takes place quickly by the carbohydrate splitting enzymes contained in the pancreatic juice and in the succus entericus. Starch and glycogen are hydrolyzed to glucose by amylase and maltase; lactose to glucose and galactose by lactase; and sucrose to glucose and fructose by sucrase. The monosaccharide products of enzymatic hydrolysis of carbohydrates, glucose, fructose, and galactose, are the principal forms in which absorption occurs in the monogastric animal.

III. ABSORPTION

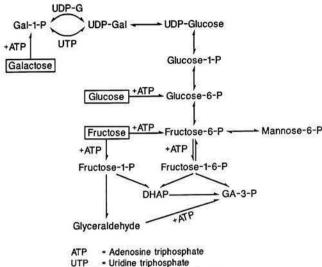
The monosaccharides are almost completely absorbed through the mucosa of the small intestine and appear in the portal circulation as the free sugars. Absorption into the mucosal cell occurs by a sodium-dependent active transport mechanism using a glucose co-transporter. The absorbed glucose then leaves the mucosal cell by a facilitated diffusion process in the presence of a glucose transporter, GLUT-2. Glucose and galactose are absorbed rapidly, whereas fructose is absorbed at about half the rate of glucose with a portion being converted to glucose in the process. Other monosaccharides (e.g., mannose) are absorbed slowly at a rate consistent with a diffusion process. As a result, free sugars appear in the portal circulation for transport to the liver.

Glucose transporters (GLUT) are known to be involved in many tissues—for example, GLUT-1 is the hepatocyte/RBC transporter and is widely distributed; GLUT-2 is in hepatocytes, β cells, and mucosal cells; GLUT-3 is the brain transporter; GLUT-4 is the only insulin-responsive glucose transporter; GLUT-5 is in the intestine; GLUT-6 is not available; and GLUT-7 is within cell organelles (Winter and Signorino, 2002). Glucose transporters have been identified and numbered beyond GLUT-8 and up to GLUT-12 (Piroli *et al.*, 2004) but remain to be confirmed.

IV. METABOLISM OF ABSORBED CARBOHYDRATES

A. General

Liver cells are readily permeable to glucose. This process is facilitated by glucose transporter (GLUT) proteins within the plasma membrane, in particular, GLUT-2 is the transporter in the liver cell plasma membrane (Thorens *et al.*, 1988).



UTP - Uridine triphosphate
UDP-G - Uridine diphosphate glucose
DHAP - Dihydroxy acetone phosphate
GA3P - Glyceraldehyde-3-phosphate

FIGURE 3-1 Pathways for hexose metabolism. Abbreviations: ATP, adenosine triphosphate; UTP, uridine triphosphate; UDP-G, uridine diphosphate glucose; DHAP, dihydroxy acetone phosphate; GA-3-P, glyceraldehyde-3-phosphate.

Within the liver, there are several pathways by which the immediate fate of the hexoses is determined. Glucose, fructose, and galactose first enter the general metabolic scheme through a series of complex reactions to form glucose phosphates (Fig. 3-1). The enzyme, galactose-1-P uridyl transferase, which catalyzes the reaction

is blocked or deficient in congenital galactosemia of humans. The glucose phosphates are then converted to and stored as glycogen, catabolized to CO₂, and water or, as free glucose, returned to the general circulation. Essentially, intermediate carbohydrate metabolism of animals evolves about the metabolism of glucose, and the liver is the organ of prime importance.

B. Storage as Glycogen

Glycogen is the chief storage form of carbohydrate in animals and is analogous to the storage of starch by plants. It is found primarily in liver and in muscle, where it occurs at about 3% to 6% and about 0.5%, respectively (Table 3-1). Glycogen is composed solely of α -D-glucose units linked together through carbon atoms 1 and 4 or 1 and 6. Straight chains of glucose units are formed by the 1–4 links and these are cross-linked by the 1–6 links. The result is a complex ramification of chains of glucosyl units with branch

Species	Glycogen in Liver (%)	Reference
Dog	4.3	Barrett et al. (1994)
Sheep	3.82	Kaneta et al. (1991)
Cow (lactating)	1.0	Kronfeld et al. (1960)
Cow (nonlactating)	3.0	Kronfeld et al. (1960)
Baby pig	5.2	Morrill (1952)
Baby pig (newborn)	14.8	Swiatek et al. (1968)

			~ ~ °	30000 S
ç	-1-6 Lin	k	<u>/</u> %	30°00000000000000000000000000000000000
\.	1-4 Link			

FIGURE 3-2 Glycogen structure. Note that hydrolysis of a 1-6 link by the debrancher enzyme yields a mole of free glucose.

points at the site of the 1–6 links (Fig. 3-2). The internal chains of the glycogen molecule have an average length of four glucosyl units. The external chains beyond the last 1–6 link are longer and contain between 7 and 10 glucose units. The molecular weight of glycogen may be as high as 4×10^6 Mr and contain about 20,000 glucosyl units.

In Table 3-2, the amount of carbohydrate available to meet the theoretical requirements of a hypothetical dog is shown. The amount present is sufficient for about half a day. It is apparent that the needs of the body that must be met continually are satisfied by alternate means and not solely dependent on continuous ingestion of carbohydrates. During and after feeding (postprandial), absorbed hexoses are converted to glucose by the liver and enter the general circulation. Excesses are stored as glycogen or as fat. During the fasting or postabsorptive state, glucose is supplied by the conversion of protein (gluconeogenesis) and by the breakdown of glycogen (glycogenolysis). The continued rapid synthesis and breakdown of glycogen, (i.e., turnover) is well illustrated by the biological half time of glycogen, which is about a day.

TABLE 3-2 Carbohydrate Content of a Doga

Muscle glycogen (0.5%)	25.0g
Liver glycogen (6%)	18.0g
Carbohydrate in fluids 5.5mmol/l (100mg/dl)	2.2g
	45.2g
Caloric value (45.2 \times 4kcal/g) = 181kcal	
Caloric requirement $(70 \text{kg}^{3/4} = 70 \times 5.6) = 392 \text{kcal}/6$	/day
$\frac{181}{392} \times 24 \text{ hours} = 11 \text{ hours}$	V

^a Body weight, 10kg, liver weight, 300g, muscle weight, 5kg, volume of blood and extracellular fluid, 2.2 liters.

C. Glycogen Metabolism

1. Glycogenesis

The initial reaction required for the entrance of glucose into the series of metabolic reactions, which culminate in the synthesis of glycogen, is the phosphorylation of glucose at the C-6 position. Glucose is phosphorylated with adenosine triphosphate (ATP) in liver by an irreversible enzymatic reaction catalyzed by a specific glucokinase (GK):

$$\begin{array}{c} \text{GK} \\ \text{glucose} + \text{ATP} \rightarrow \text{glucose-6-P} + \text{ADP} \left(\text{I} \right) \\ \text{(HK-IV)} \end{array}$$

Glucokinase (GK) (also called hexokinase-IV [HK-IV]) is one of the four hexokinase isoenzymes that occurs in all tissues. Glucokinase (GK) or HK-IV, which is glucose specific, is the predominant isoenzyme found in liver. The nonspecific hexokinase-I (HK-I) is the isoenzyme found in red cells, brain, and nerve tissue.

Liver contains both GK (HK-IV) and HK-I, but GK is the predominant isoenzyme. GK has a high Michaelis constant (Km = 2×10^{-2} mol G/l) indicating a low affinity for glucose. The rate of the phosphorylation reaction catalyzed by GK is therefore controlled by the glucose concentration. The activity of GK is increased by glucose feeding and by insulin and is decreased during fasting and in insulin lack (i.e., diabetes). In this regard, GK is an inducible enzyme whose activity is increased by glucose or by insulin. The nonspecific HK-I is found in all tissues including liver, brain, and erythrocytes and has a low Michaelis constant (Km = 5×10^{-5} mol G/l), indicating a high affinity for glucose. HK-I catalyzes phosphorylation in all tissues, therefore, it is not controlled by glucose concentration. The activity of HK-I is not affected by fasting or by carbohydrate feeding, diabetes, or by insulin. Therefore, in contrast to GK, HK-I is not an inducible enzyme.

The initial unidirectional phosphorylation reaction permits the accumulation of glucose in the liver cells because phosphorylated sugars do not pass freely into and out of the cell in contrast to the readily transported free sugars. The glucose-6-phosphate (G-6-P) accumulated in the cell next undergoes a mutation in which the phosphate group is transferred to the C-1 position of the glucose molecule. This reaction is catalyzed by the enzyme, phosphoglucomutase (PGM) and involves glucose-1–6-diphosphate as an intermediate:

gluocse-6-P
$$\rightarrow$$
 glucose-1-P (II)

Glycogen is synthesized from this glucose-1-phosphate (G-1-P) through a series of reactions involving the formation of uridine derivatives. Uridine-di-phosphoglucose (UDP-G) is synthesized by the transfer of glucose from G-1-P to uridine triphosphate (UTP). This reaction is catalyzed by the enzyme UDP-G-pyrophosphorylase (UDP-G-PPase):

$$UTP + G-1-P \rightarrow UDP-G + PP (III)$$

In the presence of a polysaccharide primer and the enzyme glycogen synthase (glucosyl transferase), the glucose moiety of UDP-G is linked to the polysaccharide chain by an α -1–4 link:

$$\begin{array}{c} \operatorname{glycogen} \\ \operatorname{UDP-G} + \left(\operatorname{glucose} \operatorname{1--4}\right)_n \to \left(\operatorname{glucose} \operatorname{1--4}\right)_{n+1} \\ + \operatorname{UDP}(\operatorname{IV}) \\ \operatorname{synthase} \end{array}$$

Through repeated transfers of glucose, the polysaccharide chain is lengthened. When the chain length of the polysaccharide reaches a critical level between 11 and 16 glucosyl units, the brancher enzyme, α -glucan glycosyl 4:6 transferase, transfers the terminal 7 residue portion from an α -1–4 linkage to an α -1–6 linkage. The newly established 1–6 linkage thus becomes a branch point in the expanding glycogen molecule. The remaining stub can again be lengthened by the action of glycogen synthase. Approximately 7% of the glucose units of the glycogen molecule are involved in these branch points.

2. Glycogenolysis

The breakdown of liver glycogen to glucose (glycogenolysis) takes place via a separate pathway. The key initiating and regulating factor in glycogenolysis is the action of epinephrine on liver and muscle glycogen and of glucagon on liver glycogen only. The mechanism of action of glucagon and epinephrine is through a series of reactions that culminate in the phosphorolytic cleavage of the 1–4 glucosyl links of glycogen. In the liver cell, glucagon and epinephrine stimulate the enzyme adenylate cyclase to

form 3'-5' cyclic adenosine monophosphate (cAMP) from ATP. cAMP in turn activates a protein kinase, which in its turn activates liver phosphorylase (LP), the phosphorolytic enzyme. As with many enzymes, LP is present in an inactive form, dephospho-liver phosphorylase (dLP), which is converted to its active form, LP (Cherrington and Exton, 1976) by the protein kinase, phosphorylase kinase.

The action of the LP is to cleave the 1–4 glucosyl links of glycogen by the addition of orthophosphate in a manner analogous to a hydrolytic cleavage with water, hence the analogous term "phosphorolysis." Phosphate is added to the C-1 position of the glucose moiety while H⁺ is added to the C-4 position of the other.

cAMP is also a key regulating factor in cellular processes in addition to LP activation. It is required for the conversion of inactive muscle phosphorylase b to active muscle phosphorylase a, again via phosphorylase b kinase. The actions of other hormones known to be mediated by activating adenylate cyclase and cAMP include ACTH, LH, TSH, MSH, T3, and insulin. From these findings, a general concept of hormone action has evolved in which the hormone elaborated by the endocrine organ is described as the first messenger and cAMP within the target cell is the second messenger.

Glucagon acts only on liver glycogen whereas epinephrine acts on both liver and muscle glycogen. In liver, glucagon promotes the formation and release of glucose by increasing glycogenolysis and decreasing glycogenesis. In liver, the hydrolysis of G-6-P is catalyzed by the enzyme glucose-6-phosphatase (G-6-Pase) to release free glucose, thus promoting hyperglycemia. Additionally, glucagon promotes hyperglycemia by stimulation of hepatic gluconeogenesis and thus glucagon is a potent hyperglycemic factor. With muscle glycogen, however, because the enzyme G-6-Pase is absent from muscle, glycogen breakdown in muscle results in the production and release of pyruvate and lactate rather than glucose. Mainly lactate and some pyruvate are transported to the liver where glucose is resynthesized via reverse glycolysis (Cori cycle; Section IV.D).

The continued action of LP on the 1–4 linkages results in the sequential release of glucose-1-P (G-1-P) units until a branch point in the glycogen molecule is reached. The residue is a limit dextrin. The debrancher enzyme, amylo-1-6-glucosidase, then cleaves the 1–6 linkage, releasing free glucose. The remaining 1–4 linked chain of the molecule is again open to attack by LP until another limit dextrin is formed. Thus, by the combined action of LP and the debrancher enzyme, the glycogen molecule is successively reduced to G-1-P and free glucose units.

G-1-P is converted to G-6-P by the reversible reaction catalyzed by phosphoglucomutase (PGM, Section IV.C.1, Reaction II). The G-6-P is then irreversibly cleaved to free glucose and phosphate by the enzyme G-6-Pase, which is found in liver and kidney. The free glucose formed can, unlike its phosphorylated intermediates, be transported

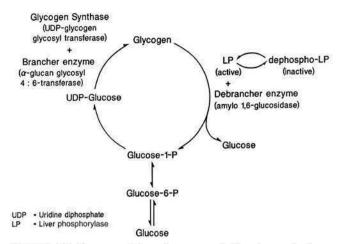


FIGURE 3-3 Summary of liver glycogen metabolism. In muscle, phosphorylase a is the active form and phosphorylase b is the inactive form. Abbreviations: UDP, uridine diphosphate; LP, liver phosphorylase.

out of the hepatic cell and enter the general circulation, thereby contributing directly to the blood glucose pool. In muscle tissue, there is no G-6-Pase, and muscle glycogen cannot supply glucose directly to the circulation by glycogenolysis. Muscle glycogen contributes to blood glucose indirectly via the lactate or Cori cycle (Section IV.D). The series of reactions described are illustrated schematically in Figure 3-3.

3. Hormonal Influences on Glucogen Metabolism

The biochemical basis of the glycogenolytic and hyperglycemic action of glucagon and epinephrine was discussed in Section IV.C.2. These hormone actions are the bases for the epinephrine and glucagon stimulation tests, which are used to assess the availability of liver glycogen and the sensitivity of the carbohydrate regulatory mechanisms to these hormones. Many other hormones influence carbohydrate metabolism to a greater or lesser degree in keeping with the concept that carbohydrate metabolism is a totally integrated metabolic mechanism.

One of the results of successful insulin therapy is a restoration of the depleted glycogen reserve. The mechanism of insulin action on carbohydrate metabolism continues to be a subject for intense study and is discussed more fully in Section VI. Briefly, the primary role of insulin is to promote glucose entry into peripheral cells, mainly muscle and fat cells, and to enhance glucose utilization by liver cells by its effect on enzyme systems at control points in the glycolytic pathways. In the presence of insulin, glucose removal from the blood is enhanced by shifting the direction of glucose metabolism toward utilization by increasing glycogen synthesis and glucose uptake and oxidation. The result is a hypoglycemia.

Promotion of liver glycogen storage is also one of the effects of the glucocorticoids. This effect may be attributed to their enhancement of gluconeogenesis, hyperglycemia, decreased glycogenolysis, and decreased glucose oxidation. A tendency toward a mild hyperglycemia is also present in hyperthyroid states, as the result of an overall increase in carbohydrate metabolism. Thyroxine is thought to increase the sensitivity of the liver cell to the action of epinephrine, thereby increasing glycogenolysis and promoting hyperglycemia. Increased glycogenolysis, gluconeogenesis, and the hyperglycemia may also be the compensatory result of an increased rate of tissue metabolism. In rats made hyperthyroid, hepatic G-6-Pase activities are increased, which would enhance hepatic glucose production and hyperglycemia in the hyperthyroid states. An additional factor contributing to the overall tendency for hyperglycemia is the stimulation of glucose absorption by the gastrointestinal tract by thyroxine.

4. Glycogen in Disease

In systemic disease, changes in glycogen concentrations in tissues or organs are generally observed as decreases. Depletion of liver glycogen stores is seen in diabetes mellitus, starvation, bovine ketosis, ovine pregnancy toxemia, or in any condition with nutritional carbohydrate deficiency or increased carbohydrate turnover. Pathological increases in liver glycogen occur in the rare glycogen storage diseases (GSD) and are described in Section IX.D.

D. Catabolism of Glucose

Carbohydrate in the form of glucose is the principal source of energy for the life processes of the mammalian cell. All cells require a constant supply of this indispensable nutrient, and only relatively small changes are tolerated without adverse effects on the health of the animal. Glucose is not oxidized directly to CO₂ and H₂O but rather through a series of stepwise reactions involving phosphorylated intermediates. The chemical energy of glucose is "stored" through the synthesis of 'high-energy" phosphate bonds during the course of these reactions and used in other metabolic reactions. The details of the individual reactions in the pathways of glucose catabolism have been largely elucidated, but emphasis here is being placed on the interrelationships of the pathways rather than on the details of the individual reactions.

1. Pathways of Glucose-6-Phosphate Metabolism

The fundamental conversion required to initiate the oxidation of glucose by a cell is its phosphorylation to form G-6-P. This reaction has been described in Section IV.C.1.

The G-6-P formed as a result of the GK (HK-IV) catalyzed reaction is central to glucose catabolism. There are at least five different pathways that G-6-P can follow: free glucose, glycogenesis, glycolysis, hexose monophosphate, and glucuronate pathway.

a. Free Glucose

The simplest direction for G-6-P is a reversal of phosphorylation by a separate enzyme catalyzed reaction in which G-6-P is hydrolyzed to form free glucose and inorganic phosphate. This reaction is catalyzed by the enzyme G-6-Pase:

$$\begin{aligned} & G\text{-}6\text{-}Pase \\ & glucose\text{-}6\text{-}PO_{4^-} \rightarrow glucose + P_i \end{aligned}$$

This is an irreversible reaction that opposes the previously described unidirectional GK (HK-IV) reaction. These two opposing and independently catalyzed enzyme reactions are the site of metabolic control for glucose because the balance of these enzyme activities regulates the net direction of the reaction. Significant amounts of G-6-Pase are found only in liver and to a lesser extent in the kidney. This is in accord with the well-known function of the liver as the principal source of supply of glucose for the maintenance of blood glucose concentration. The G-6-Pase activity is generally higher than the GK activity for most of a 24-hour day except for a few hours after each meal. This means that for most of the day, the liver is supplying glucose rather than using glucose.

Muscle G-6-P, however, because of the absence of G-6-Pase, does not contribute glucose from its glycogen to blood directly. Muscle G-6-P does, however, contribute glucose to blood indirectly via the lactate or Cori cycle. Lactate formed in muscle by glycolysis is transported to the liver, where it is resynthesized to glucose and its precursors as outlined in Figure 3-4.

b. Glycogenesis

This pathway for G-6-Pase leading to the synthesis of glycogen is discussed in Section IV.C.1.

c. Anaerobic Glycolysis

One of the three oxidative pathways of G-6-P is the classic anaerobic glycolytic or Embden-Meyerhof pathway (EMP). The intermediate steps involved in this pathway of breakdown of G-6-P into two three-carbon compounds are summarized in Figure 3-5. A mole of ATP is used to phosphorylate fructose-6-phosphate (F-6-P) to form fructose-1,6-diphosphate (F-1,6-P). This phosphorylation reaction is also irreversible and catalyzed by a specific kinase, phosphofructokinase (PFK). The opposing unidirectional reaction is catalyzed by a specific phosphatase, fructose-1,6-diphosphatase (F-1,6-Pase). These opposing PFK and

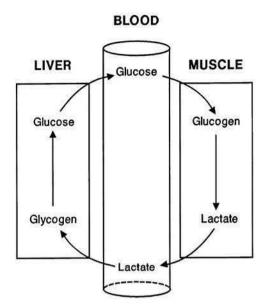


FIGURE 3-4 The lactate or Cori cycle. Muscle cells are devoid of glucose-6-phosphatase, therefore muscle glycogen contributes indirectly to blood glucose by this pathway.

F-1,6-Pase catalyzed reactions are a second site of metabolic control regulated by the activities of these two highly specific enzymes. At this point in the process, starting from glucose, a total of two high-energy phosphates from ATP have been donated to form a mole of F-1,6-P.

F-1,6-P is next cleaved to form two three carbon compounds as shown in Figure 3-5. The next step is an oxidative step catalyzed by the enzyme glyceraldehyde-3-phosphate dehydrogenase (GA-3-PD) with oxidized nicotinamide adenine dinucleotide (NAD⁺) as the hydrogen acceptor. During the process, the molecule is phosphorylated. In the succeeding steps, the molecule is dephosphorylated at the points indicated, and a mole of ATP is generated at each point.

A third site of control of glycolysis is the irreversible formation of pyruvate catalyzed by the enzyme pyruvate kinase (PK). In the reverse direction, two enzymatic reactions operate. Pyruvate carboxylase (PC) first catalyzes the carboxylation of pyruvate to oxaloacetate (OAA), and the OAA is then converted to phospho-enol-pyruvate (PEP) by the enzyme PEP carboxykinase (PEP-CK) (Figs. 3-5 and 3-8).

Thus, the overall conversion of a mole of glucose to 2 moles of pyruvate requires 2 moles of ATP for the initial phosphorylations and a total of 4 moles of ATP are generated in the subsequent dephosphorylations. This net gain of 2 moles of ATP represents the useful energy of anaerobic glycolysis.

For repeated function of the glycolytic pathway, a supply of NAD $^+$ must be available for use in the oxidative (GA-3-PD) step. Normally in the presence of molecular O_2

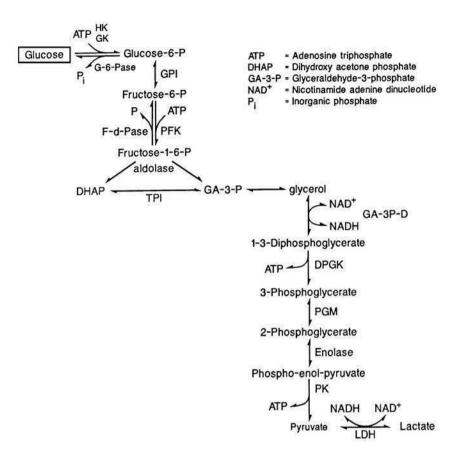


FIGURE 3-5 The glycolytic or classic Embden-Meyerhof pathway (EMP). Note that 2 moles of ATP are used and 4 moles of ATP are generated. Abbreviations: ATP, adenosine triphosphate; DHAP, dihydroxy acetone phosphate; GA-3-P, glyceraldehyde-3-phosphate; NAD+, nicotinamide adenine dinucleotide; P_i, inorganic phosphate.

(i.e., aerobic glycolysis), reduced NADH is reoxidized via the cytochrome system:

(cytochrome)

$$H^+ + NADH + \frac{1}{2}O_{2^-} \rightarrow NAD^+ + H_2O$$

(system)

which provides a continuous source of NAD⁺.

In the absence of O₂ (i.e., anaerobic glycolysis), NADH is reoxidized to NAD⁺ in the reaction catalyzed by lactate dehydrogenase (LDH) where pyruvate is reduced to lactate and the NADH is the H⁺ donor. Therefore, by this "coupling" of the LDH system to the GA-3-PD system, anaerobic breakdown of glucose to lactate proceeds in the absence of O₂. As noted earlier, this anaerobic system generates only 2 moles of ATP and when compared to the 36 moles of ATP generated in aerobic glycolysis, anaerobic glycolysis is not very efficient.

d. Hexose Monophosphate Pathway

This alternate route of G-6-P oxidation has been variously referred to as the pentose phosphate pathway (PPP), direct oxidative pathway, Warburg-Dickens scheme, the hexose monophosphate pathway (HMP), or the hexose monophosphate shunt. The initial step of the shunt pathway involves

the oxidation of G-6-P at the C-1 position to form 6phosphogluconate (6-PG) as summarized in Figure 3-6. The reaction is catalyzed by glucose-6-phosphate dehydrogenase (G-6-PD) and in this pathway, oxidized nicotinamide adenine dinucleotide phosphate (NADP⁺) serves as the hydrogen acceptor. In the second oxidative step, 6-P-G is oxidatively decarboxylated by 6-phosphogluconate dehydrogenase (6-P-GD) to yield a pentose phosphate, ribulose-5-phosphate (Rib-5-P), again in the presence of NADP⁺. Thus, in the initial reactions, which are essentially irreversible, 2 moles of NADPH are formed. In this pathway, only the C-1 carbon atom of the glucose molecule is evolved as CO2. By contrast, glucose catabolism via the glycolytic scheme results in the loss of both the C-1 and C-6 carbon atoms as CO₂ when pyruvate is oxidatively decarboxylated to form acetyl-CoA. This difference in CO2 evolution is used to study partitioning of glucose metabolism through the glycolytic (EMP) pathway and the HMP shunt pathway in domestic animals. The subsequent metabolism of the Rib-5-P in the HMP shunt is also shown in Figure 3-6. As a result of the series of transformations, F-6-P and GA-3-P are formed, which serve as recycling links into the glycolytic pathway.

For continued functioning of the HMP shunt pathway, a supply of NADP⁺ must be available to act as the hydrogen

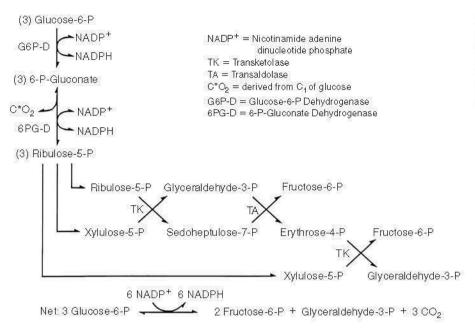


FIGURE 3-6 The pentose phosphate pathway (PPP) or the hexose monophosphate pathway (HMP). Abbreviations: NADP+, nicotinamide adenine dinucleotide phosphate; TK, transketolase; TA, tran

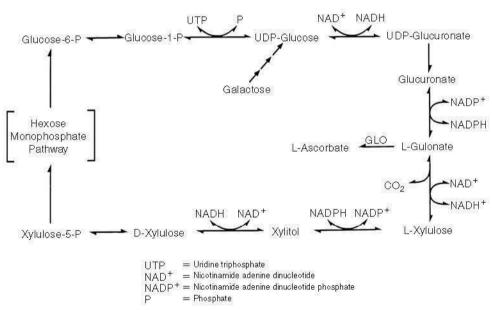


FIGURE 3-7 Glucuronate pathway or the C_6 oxidation pathway. Note that vitamin C is synthesized via this pathway.

acceptor. Oxidized NADP $^+$ is regenerated from NADPH via the cytochrome system in the presence of O_2 so the HMP pathway is an aerobic pathway of glucose oxidation. Reduced NADPH is also required as a hydrogen donor in the synthesis of fatty acids. Through generation of NADPH, the HMP shunt route of carbohydrate metabolism is linked to that of fat synthesis. Accordingly, glucose oxidation through the HMP shunt pathway is essential for the synthesis of fat. In general, the HMP pathway is the major source of the NADPH, which maintains the reductive environment for all biosynthetic processes using NADPH as a cofactor.

e. Glucuronate Pathway

This is an alternate pathway of G-6-P oxidation, which has been named the uronate pathway, the glucuronate pathway, or the C_6 oxidative pathway. This pathway is shown in Figure 3-7. The initial steps of this pathway involve the formation of uridine diphosphoglucose (UDPG), which, as noted earlier, is an intermediate in glycogen synthesis. G-6-P is first converted to G-1-P, which then reacts with uridine triphosphate (UTP) to form UDPG. This product is then oxidized at the C_6 position of the glucose moiety in contrast to the C_1 position, which is oxidized in the HMP

shunt pathway. This reaction requires NAD⁺ as a cofactor and the products of the reaction are uridine diphosphoglucuronic acid (UDPGA) and NADH. This UDPGA is involved in a large number of important conjugation reactions in animals (e.g., bilirubin glucuronide formation, synthesis of mucopolysaccharides [chondroitin sulfate], which contain glucuronic acid, and generally in detoxification reactions). UDPGA is cleaved to release D-glucuronate and UDP.

D-glucuronate is next reduced to L-gulonate in a reaction catalyzed by the enzyme gulonate dehydrogenase (GUD), with NADPH as the hydrogen donor. The L-gulonate may be converted to a pentose, L-xylulose, or to vitamin C. When converted to L-xylulose, the C-6 carbon of L-gulonate is oxidatively decarboxylated and evolved as CO₂. The L-xylulose is then reduced to xylitol, catalyzed by the enzyme L-xylulose reductase. This is the enzyme that is deficient in pentosuria of humans. As shown in Figure 3-7, xylitol is converted to D-xylulose, which is then phosphorylated to D-xylulose-5-P and a cyclical pathway involving the HMP shunt pathway may occur. L-gulonate is also converted by enzyme-catalyzed reactions to L-ascorbate in those species that can synthesize their own vitamin C (i.e., all domestic animals). The enzyme, L-gulonolactone oxidase (GLO), is lacking in humans, nonhuman primates, and guinea pigs, and therefore vitamin C must be supplied in their diets. The enzyme is present only in the liver of the mouse, rat, pig, cow, and dog. In the dog, the liver GLO activity is low and the ascorbate hydrolytic activity is high so dogs may have additional needs for vitamin C during stress (e.g., wound healing, postsurgical stress). For vitamin C synthesis, D-galactose may be an even better precursor than D-glucose. This pathway is also included in Figure 3-7.

2. Terminal Oxidation: Aerobic Glycolysis

The metabolic pathways described thus far are those of the carbohydrates. In analogous fashion, the breakdown of fats and of proteins also follows independent pathways leading to the formation of organic acids. Among the organic acids formed from lipids are acetyl-CoA (AcCoA), acetoacetate (AcAc), and 3-OH-butyrate (3-OH-B) from the β -oxidation of fatty acids. From proteins, pyruvate, oxaloacetate (OAA), and α -ketoglutarate (α -KG) are formed from transamination of their corresponding α -amino acids. Direct deamination of amino acids is also a route of formation of organic acids. These organic acid intermediate metabolites are indistinguishable in their subsequent interconversions. Thus, the breakdown of the three major dietary constituents converges into a final common pathway, which also serves as a pathway for the interconversions between them.

a. Pyruvate Metabolism

The pathway for breakdown of glucose to pyruvate has been described in Section IV.D.1. Pyruvate, if it is not

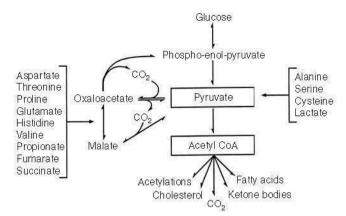


FIGURE 3-8 Pathways of acetate and pyruvate metabolism.

reduced to lactate, is oxidatively decarboxylated in a complex enzymatic system requiring the presence of lipoic acid, thiamine pyrophosphate (TPP), coenzyme A (CoA), NAD⁺, and pyruvate dehydrogenase (PD) to form AcCoA and NADH. Pyruvate may follow a number of pathways as outlined in Figure 3-8. The conversion of pyruvate to lactate was described in Section IV.D.1. By the mechanism of transamination or amino group transfer, pyruvate may be reversibly converted to alanine. The general reaction for an amino group transfer is

$$\begin{array}{c} R_1\text{-C-COO-} + R_2\text{-C-COO-} \rightarrow R_1\text{-C-COO-} \\ + R_2\text{-C-COO-} \\ \parallel \text{||transferase|||} \\ \text{O NH}_2 \text{ NH}_2\text{O} \end{array}$$

 α -keto acid α -amino acid α -amino acid α -keto acid

where the amino group of an amino acid is transferred to the α position of an α -keto acid and as a result, the amino acid is converted to its corresponding α -keto acid. This reaction requires the presence of vitamin B_6 as pyridoxal phosphate and is catalyzed by a specific transferase, in this case alanine aminotransferase (ALT). Serum levels of several of these transferases (e.g., ALT and aspartate aminotransferase [AST]) have been particularly useful in the diagnosis and evaluation of liver and muscle disorders, respectively. These aspects are discussed in the individual chapters on liver and muscle function.

The energetics of the reaction from phosphoenolpyruvate (PEP) to form pyruvate and catalyzed by pyruvate kinase (PK) are such that this is an irreversible reaction, as is the PD catalyzed conversion of pyruvate to AcCoA. A two-step separate pathway to reverse this process is present at this step so this is a fourth site of directional metabolic control. Through a CO₂ fixation reaction in the presence of NADP⁺-linked malate dehydrogenase (MD), malate is formed from pyruvate. Malate is then oxidized to OAA in the presence of NAD⁺-linked MD. OAA may also be formed directly from pyruvate by the reaction catalyzed

FIGURE 3-9 Tricarboxylic acid cycle. The pathway for the entry of propionate into the metabolic scheme is also included. The asterisks give the distribution of carbon in a single turn of the cycle starting with acetyl-CoA. Note the randomization of carbon atoms at the succinate step.

by pyruvate carboxylase (PC). OAA formed by either route may then be phosphorylated and decarboxylated to form PEP in a reaction catalyzed by PEP carboxykinase (PEPCK). Thus, a pathway in the reverse direction of the PK reaction is present for glucone ogenesis from lower intermediates. These pathways for pyruvate metabolism are outlined in Figure 3-8, which includes the dicarboxylic acid cycle.

b. Tricarboxylic Acid Cycle

AcCoA formed by the oxidative decarboxylation of pyruvate also has a number of metabolic routes available. AcCoA occupies a central position in synthetic and in oxidative pathways as shown in Figure 3-8. The oxidative pathway leading to the breakdown of AcCoA to $\rm CO_2$ and $\rm H_2O$ follows a cyclical pathway that is the tricarboxylic acid (TCA) cycle, citric acid cycle, or the Kreb's cycle. The major steps involved are given in Figure 3-9. In a single turn of the cycle, a mole of AcCoA enters, 2 moles of $\rm CO_2$ are evolved, and a mole of OAA is regenerated. The

regenerated OAA may then condense with another mole of AcCoA, and the cycle continues. Citric acid is a symmetrical molecule that behaves asymmetrically as shown in Figure 3-9. Also, the CO₂ that is evolved is derived from that portion of the molecule contributed by OAA during each turn of the cycle. The expected distribution of carbon atoms from AcCoA in one turn of the cycle is also given in Figure 3-9. During one turn of the cycle, a randomization of carbon atoms occurs at the succinate level such that CO₂ derived from the carboxyl group of acetate will be evolved during the next turn of the cycle.

In the process, 3 moles of NAD⁺ and a mole of a flavin nucleotide (FAD) are reduced, and a mole of ATP is generated as noted in Figure 3-9. In animal tissues, there is a cytoplasmic NADP⁺-linked isocitric dehydrogenase (ICD), which is not associated with the mitochondrial NAD⁺-linked ICD or other enzymes of the TCA cycle. The NADP⁺-ICD is another enzyme used as an aid to diagnose liver disease.

3. Carbon Dioxide Fixation in Animals

According to Figure 3-9, the TCA cycle is a repetitive process based on the regeneration of OAA at each turn. In addition, other metabolic pathways are available for intermediates in the cycle. Reversal of the transamination reactions previously described to form aspartate or glutamate would result in a withdrawal of OAA and α -KG, respectively, from the cycle. By decarboxylation, OAA may also be withdrawn to form PEP, and malate may form pyruvate and thence other glycolytic intermediates as shown in Figure 3-8. Continued losses of these intermediates into other metabolic pathways would theoretically result in a decrease in the rate of operation of the cycle. A number of metabolic pathways are known whereby the losses of cycle intermediates may be balanced by replacement from other sources and are shown in Figure 3-8. The amino acids, aspartate and glutamate, may function as sources of supply as well as routes for withdrawal. The CO₂ fixation reactions, which are the reversal of the reactions previously described,

$$\begin{array}{c} phosphoenolpyruvate + CO_2 \longrightarrow oxaloacetate \\ pyruvate + CO_2 \longrightarrow malate \\ pyruvate + CO_2 \longrightarrow oxaloacetate \end{array}$$

may also function as important sources of supply. A fourth CO2-fixing reaction

is especially important in ruminants because propionate is a major product of rumen fermentation and is a major supplier of intermediates for the TCA cycle. Propionate is one of the three major fatty acids, with acetate and butyrate, involved in ruminant metabolism.

4. Energy Relationships in Carbohydrate Metabolism

The energy of carbohydrate breakdown must be converted to high-energy phosphate compounds to be useful to the organism; otherwise the energy is dissipated as heat. The total available chemical energy in the reaction

is about 50kcal/mole or about 7% of the 690kcal/mole, which is available from the complete oxidation of glucose to CO_2 and water. The useful energy of anaerobic glycolysis is represented by the net gain of 2moles of ATP and the available energy of each is about 7kcal. Thus, the efficiency of glycolytic breakdown of glucose to pyruvate is 14kcal or 28% of the available 50kcal or only 2% of the total available 690kcal in glucose.

The major portion of the energy of glucose is generated in the further aerobic oxidation of pyruvate to CO_2 and H_2O . In the oxidative or dehydrogenation steps, NADH or NADPH (FAD in the succinate step) is formed. In the

Glucose	ATP
I ATP (2X)	-2
Į.	
fructose-1-6-diphosphate	
$I \rightarrow NADH \rightarrow 3 ATP (2X)$	+6
ATP (4X)	+4
Ţ	
2 pyruvate	
NADH → 3 ATP (2X)	+6
1	
2 acetyl-CoA	
NADH \rightarrow 3 ATP (6X)	+18
ATP (2X)	+2
$FADH \rightarrow 2 ATP (2X)$	+4
Ţ	
4 CO ₂	
Net: Glucose → 6 CO ₂	+38 ATF

presence of molecular O_2 , these compounds are reoxidized to NAD⁺ or NADP⁺ in the cytochrome system. In the sequence of reactions of this system, 3 moles of ATP are formed per mole of NADH or NADPH oxidized to NAD⁺ or NADP⁺. This transfer of energy to ATP is known as oxidative phosphorylation, or ox-phos. The yield of high-energy phosphate bonds in the form of ATP in the system per atom of oxygen consumed ($\frac{1}{2}$ O_2) is conventionally referred to as the P:O ratio, which in this case is 3.

Table 3-3 presents a balance sheet of the ATPs formed in the various steps, and 36 of the total 38 ATPs are generated in aerobic glycolysis. The complete oxidation of a mole of glucose to CO₂ and water yields 690kcal, and therefore the net gain of 38 ATPs in anaerobic plus aerobic glycolysis represents 266kcal for an overall efficiency of 38%. In comparison, the efficiency of the modern internal combustion engine is about 20%.

V. INTERRELATIONSHIPS OF CARBOHYDRATE, LIPID, AND PROTEIN METABOLISM

The pathways by which the breakdown products of lipids and proteins enter the common metabolic pathway have been described in previous sections. The principal points at which carbohydrate carbon may be interconverted between amino acids and fatty acids are outlined in Figure 3-10. Thus, certain amino acids (glycogenic) can serve as precursors of carbohydrate through the transamination reactions, and by reversal of these transaminations, carbohydrates can serve as precursors of amino acids.

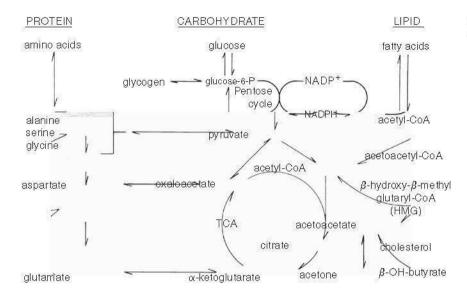


FIGURE 3-10 Interrelationships of carbohydrate, protein, and lipid metabolism.

The relationship between carbohydrate and lipid metabolism deserves special mention for the carbohydrate economy, and the status of glucose oxidation strongly influences lipid metabolism. A brief description of lipid metabolism follows, and greater detail may be found in the chapter on lipid metabolism.

A. Lipid Metabolism

1. Oxidation of Fatty Acids

Intracellular fatty acids are either synthesized in the cytoplasm or taken up as free fatty acids. Fatty acid oxidation begins in the cytoplasm with the activation of fatty acids to form fatty acyl-CoA. The activated fatty acyl-CoA is bound to carnitine for transport into the mitochondria where fatty acyl-CoA is released for intramitochondrial oxidation.

The classical β -oxidation scheme for the breakdown of fatty acids whereby two-carbon units are successively removed is a repetitive process involving four successive reactions. After the initial activation to form a CoA derivative, there is (1) a dehydrogenation, (2) a hydration, (3) a second dehydrogenation, and (4) a cleavage of a two-carbon unit. The result is the formation of AcCoA and a fatty acid residue shorter by two carbon atoms. The residue can then recycle to form successive AcCoA molecules until final breakdown is achieved. In the case of odd-chain fatty acids, propionyl-CoA is formed in the final cleavage reaction. The hydrogen acceptors in the oxidative steps are NAD⁺ and FAD. The further oxidation of AcCoA to CO₂ and water proceeds in the common pathway of the TCA cycle. In the process, 2 moles of CO₂ are evolved per mole of AcCoA entering the cycle. Therefore, fatty acids could not theoretically lead to a net synthesis of carbohydrate. Net synthesis of carbohydrate from fatty acids would require the direct conversion of AcCoA into some glucose precursor (i.e., pyruvate). The reaction

however, is irreversible and the only route by which fatty acid carbon could theoretically appear in carbohydrate is through the TCA cycle intermediates, and this occurs without a net synthesis.

2. Synthesis of Fatty Acids

The pathway for fatty acid synthesis is separate from that of the β -oxidation mechanism for fatty acid breakdown. Malonyl-CoA is first formed by the addition of CO₂. Subsequently, two carbon units from malonyl-CoA are sequentially added to the growing chain with a loss of CO₂ at each addition. At each step, there is also a reduction, dehydration, and a final reduction to form a fatty acid that is two carbons longer than the previous one.

The synthesis of fatty acids also requires NADPH as the hydrogen donor rather than NADH or FADH. The major source of NADPH is during the oxidation of glucose in the HMP shunt pathway. NADPH concentration is also high in the cytoplasm of liver and adipose cells where HMP shunt activity is also high. The availability of this NADPH is the basis for the linkage of carbohydrate oxidation to lipid synthesis.

3. Synthesis of Cholesterol and Ketone Bodies

AcCoA is also the precursor of cholesterol and the ketone bodies: AcAc, 3-OH-B, and acetone. The synthesis of cholesterol proceeds through a series of reactions beginning with the stepwise condensation of 3 moles of AcCoA to form β -hydroxy- β -methyl glutaryl-CoA (HMG-CoA). As shown in Figure 3-10, HMG-CoA is a common intermediate for the synthesis of cholesterol and ketone bodies in the liver cell. In liver, a deacylating enzyme is present, which cleaves HMG-CoA to yield AcCoA and free AcAc. This is the HMG-CoA cycle. The free AcAc then diffuses out of the cell and enters the general circulation. For further oxidations to occur, AcAc is "reactivated" with CoA in extrahepatic tissues (muscle) by the transfer of CoA from succinyl-CoA to form AcAcCoA. Increased ketogenesis and ketonemia are the net result of alterations in metabolic pathways or enzymes that favor the accumulation of AcAcCoA. Prime examples are diabetes mellitus and bovine ketosis.

The increased mobilization and utilization of fatty acids are a well-known requisite for ketogenesis under conditions of starvation and diabetes. Under these same conditions, lipid synthesis from AcCoA is also depressed. The net effect of either or both of these alterations favors the accumulation of AcCoA and thus ketogenesis.

Increased ketogenesis is always associated with an increased rate of gluconeogenesis in association with an increased activity of the key gluconeogenic enzyme, PEP-carboxykinase (PEP-CK). The increased rate of gluconeogenesis in turn depletes OAA. There is an increase in the NADH/NAD ratio, which would promote the conversion of OAA to malate, thereby depleting OAA. With the depletion of OAA and subsequent OAA deficiency, there is an insufficient condensing partner for AcCoA for the Kreb's cycle. The AcCoA is then readily diverted to ketone bodies.

Hepatic ketogenesis is regulated by the rate limiting transfer of FFA across the mitochondrial membrane. Carnitine acyl transferase, the enzyme system responsible for the mitochondrial uptake of FFA, is increased in diabetes and contributes to the ketogenesis.

B. Influence of Glucose Oxidation on Lipid Metabolism

In addition to the separation of the biochemical pathways for lipid oxidation and lipid synthesis, an anatomical separation of lipid metabolism is also present. The liver is the major site of fatty acid oxidation and the adipose tissue is the major site of lipid synthesis. Adipose tissue, *in vitro*, converts glucose carbons to fatty acids faster than does liver tissue.

It is well known that, with excessive carbohydrate feeding, fat depots in the body increase. Fasting, on the other hand, depresses the respiratory quotient (R.Q.) indicating that the animal is now using body fat as the energy source. During fasting, plasma FFA also increase, and when carbohydrate is supplied, they decrease. The presence of glucose both stimulates lipogenesis and spares fatty acid from oxidation. In diseases with an inability to utilize glucose

(e.g., diabetes), depression of lipogenesis is a characteristic finding. When there is adequate glucose oxidation (e.g., successful insulin therapy in diabetes), lipid synthesis is restored and the animal regains its weight.

In those conditions with decreased glucose use or availability (e.g., diabetes, starvation, ruminant ketosis), there is an increased release of glucose precursors (amino acids) from muscle and FFA from adipose tissues mediated by activated hormone-sensitive lipases (HSL) (Khoo *et al.*, 1973). The amino acids and FFA are transported to the liver where the amino acids follow gluconeogenic pathways. Fatty acids follow pathways toward oxidation and ketogenesis and, additionally, glucagon promotes hepatic ketogenesis. There is also an underutilization of ketones in the peripheral tissues of dogs (Balasse and Havel, 1971; Foster and McGarry, 1982). The net result is an overproduction of glucose and ketones in liver and an underutilization of both in the peripheral tissues.

VI. INSULIN AND CARBOHYDRATE METABOLISM

The internal secretions of the anterior pituitary, adrenal cortex and medulla, and the pancreas are closely associated with carbohydrate metabolism. The pituitary and adrenal factors were discussed in Section IV.C, together with glucagon. More detailed information is available in the chapters on pituitary and adrenal function. Since the successful extraction of insulin by Banting and Best in 1921, a vast amount of literature has accumulated on its role in carbohydrate metabolism and continues to this day. The fine details of insulin action are still being studied, and a basic understanding of the major biochemical events that occur in animals with and without insulin has evolved.

A. Proinsulin and Insulin

The elucidation of the insulin structure by Sanger in 1959 was soon followed by the discovery of its precursor, proinsulin, and its structure was quickly known. It has been the subject of many reviews (Raptis and Dimitriadis, 1985; Steiner, 2004). Proinsulin is a single-chain looped polypeptide linked by disulfide bridges (Fig. 3-11). It varies in length from 78 amino acid residues in the dog to 86 for the human, horse, and rat. Its m.w. is near 9000 daltons. Proinsulin is synthesized in the pancreatic β -cells on the rough endoplasmic reticulum (RER) and transported and stored in the secretory granules on the Golgi apparatus. There, the central connecting polypeptide or C-peptide is cleaved from the chain by proteolytic enzymes, and the two linked end fragments are the monomeric insulin molecule. C-peptide has an m.w. of 3600 daltons and is devoid of biological activity.

Insulin and C-peptide are released into the circulation in equimolar amounts but C-peptide's circulatory concentration is higher than that of insulin because of its slower clearance half-time of 20 min as compared to 5 to 10 min for insulin. C-peptide is primarily degraded by the kidney and a portion is excreted in the urine (Duckworth and Kitabchi, 1981).

In the pancreatic cells, as the insulin moiety is cleaved from the proinsulin, it crystallizes with zinc for storage in the β -cell granules. The dense central inclusions of these insulin secretory granules consist mainly of crystalline insulin. Insulin release is stimulated by glucose, amino acids, hormones (glucagon, gastrin, secretin, pancreozymin), and drugs (sulfonyl ureas, isoproterenol).

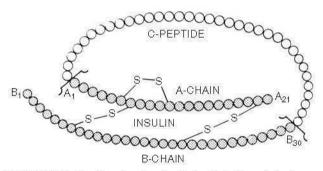


FIGURE 3-11 Insulin and proinsulin. Proinsulin is the coiled polypeptide. When the connecting C-peptide (open circle) is removed, the insulin molecule (solid circle) is released.

Insulin release is inhibited by hypoglycemia, somatostatin, and many drugs, such as dilantin and phenothiazines. The liver is the primary site of insulin degradation, and the kidney is a secondary site. The half-life of insulin in the circulation is between 5 and 10 min (Steiner, 1977).

The A chain of insulin consists of 21 amino acids and the B chain of 30 amino acid residues (Fig. 3-11). The m.w. of the insulin monomer is 6000 daltons and is the smallest unit possessing biological activity. Under physiological conditions, 4 molecules of insulin are linked together to form a tetramer, the active molecule. Insulin obtained from various species differs in amino acid composition in Chain A or Chain B or both (Table 3-4). Differences occur within species also as rats and mice (Markussen, 1971) have two nonallelic insulins. These structural differences among the various species of animals are not located at critical sites, however, because they do not affect their biological activity. They do, however, affect their immunological behavior.

The amount of insulin stored in the pancreata of various species also differs. The dog stores about 3.3 units per gram of pancreas, which amounts to about 75 I.U. in a 10-kg dog. This amount, if suddenly released, would be fatal.

Insulin release is affected by glucose, mannose, leucine, other amino acids, ketone bodies, and fatty acids. This release is mediated by glucagon, a hormone, which increases cAMP and potentiates the insulin response. The sulfonylureas are effective as pharmacological agents to release insulin, the basis for their therapeutic use.

TABLE 3-4 3	Species '	Variation:	in Amino Acid	Sequences of	Insulina
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	005		P	osition ^a			
Species	A Chain	8 2			B Chair	is:	
10	A-4	A-8	A-9	A-10	B-3	B-29	B-30
Human	Glu	Thr	Ser	Ileu	Asp	Lys	Thr
Monkey	Glu	Thr	Ser	Ileu	Asp	Lys	Thr
Dog	Glu	Thr	Ser	Ileu	Asp	Lys	Ala
Pig	Glu	Thr	Set	Ileu	Asp	Lys	Ala
Sperm Whale	Glu	Thr	Ser	Ileu	Asp	Lys	Ala
Rabbit	Glu	Thr	Ser	Ileu	Asp	Lys	Ser
Horse	Glu	Thr	Gly	Ileu	Asp	Lys	Ala
Cow	Glu	Ala	Ser	Val	Asp	Lys	Ala
Sheep	Glu	Ala	Gly	Val	Asp	Lys	Ala
Sei Whale	Glu	Ala	Ser	Thr	Asp	Lys	Ala
Rat 1	Asp	Thr	Ser	Ileu	Lys	Lys	Ser
Rat 2	Asp	Thr	Ser	Ileu	Lys	Met	Ser

From Renold and Cahill (1966) and Naithani et al. (1984).

^a These are the sites of variation on the A chains and the B chains. Ala = alanine, Asp = aspartic acid; Glu = glutamic acid; Ileu = isoleucine, Met = methionine, Ser = serine, Thr = threonine.

Blood glucose is the primary regulator of both insulin release and its biosynthesis. This is a highly selective process, and only insulin, C-peptide, and proinsulin are released and released rapidly. The insulin response curve to a glucose load (IVGTT) exhibits 2 peaks in humans, the early 5-min peak representing release and the second 10- to 30-min peak representing de novo insulin synthesis and release. This bimodal curve is not clear in dogs (see Fig. 3-14, presented later) but it is likely to occur.

During proinsulin hydrolysis, C-peptide also accumulates in the granules. Therefore, when the granule contents are released by glucose stimulation, insulin, C-peptide, and proinsulin all appear in plasma and each can be measured by radioimmunoassay (RIA). Whereas studies in humans have focused on all three, in animals, the focus has been on insulin, and little is known of proinsulin or C-peptide in health or disease.

The influence of the various gastrointestinal hormones on insulin secretion is of considerable interest because plasma insulin levels are higher at a given plasma glucose level after an oral glucose load as compared to an intravenous load. The oral glucose tolerance test (OGTT) is known to elicit larger total insulin response than the intravenous glucose tolerance test (IVGTT). A number of GI hormones are known to influence insulin secretion to varying degrees and are sufficient to form an entero-insular axis (Buchanan, 1975). The hormones implicated are secretin, cholecystokinin-pancreozymin (CCK-PZ), gastrin, glucagon-like activity (GLA) of the gut, and gastric inhibitory peptide (GIP). GIP is a powerful stimulator of insulin secretion in humans and dogs and this is associated with a rise in blood glucose (Ross et al., 1977). Thus, GIP is central to the entero-insular axis.

B. Insulin Transport

Insulin is transported in the circulation bound to a β -globulin. At a tissue, insulin binds to receptors on the cell membrane. The insulin receptor is a very large glycoprotein on the surface of virtually all cells, including liver, kidney, fat, muscle, erythrocytes, and monocytes. The receptor is a posttranslational derivative of a gene product and is a tetramer of 2 α and 2 β subunits. The internal β subunit of the receptor anchors the receptor to the membrane. As a result, insulin moves through the plasma membrane and into the cytoplasmic compartment, but the mechanism is unclear. All cells, in particular liver and kidney, are able to inactivate insulin by reductive cleavage of the disulfide bonds. Liver inactivates about 50% of the total insulin.

C. Glucose Transport

Insulin binding also activates receptors both on the plasma membrane surface and in the cytoplasm. This activation induces a variety of reactions—for example, phosphorylations—but the details and their implications are not yet known. However, the end result of these interactions—that is, glucose transport across the membrane and into the cell is defined. Glucose transport proteins (glucose transporters [GLUT-1-7]) are characterized. They are small membrane proteins, 40 to 50kd, and the different transporters are distributed in different cells; GLUT-1 is widely distributed (brain, RBC, placenta, kidney), as are GLUT-2 (liver, pancreatic β cells, mucosal cells), GLUT-3, (brain), and GLUT-4 (skeletal muscle, heart muscle, fat). GLUT-5 is in the intestine, GLUT-6 is not available, and GLUT-7 is within cell organelles (Winter and Signorino, 2002). GLUT-4 is the only insulin-responsive glucose transporter, and for this reason has been studied extensively. Insulin mobilizes GLUT-4 to the membrane, thereby facilitating glucose transport into the cell. Glucose transport activity was studied in the erythrocytes of trained and untrained racehorses (Arai et al., 1994). Horses in training had glucose transport activities 2 to 3.5 times greater than those of untrained horses. The specific glucose transporter was not identified but presumably is GLUT-1 as in other animals.

D. Insulin Action on Biochemical Systems

The principal sites of insulin action are in the initial phases of glucose metabolism. Insulin first binds to insulin receptors of the target cell plasma membranes and then facilitates glucose entry into cells such as muscle and fat by activation of glucose transporters, in this case GLUT-4. There is also a high degree of stereo-specificity because D-glucose is transported but L-glucose is not. With increased accumulation of glucose in the cells, the movement of glucose into the metabolic scheme is enhanced and glucose utilization increases.

Insulin influences the metabolism of glucose by the liver cells, the central organ of glucose homeostasis, but with a slightly different focus. GLUT-2 is not significantly regulated by insulin, so the liver cell is freely permeable to glucose. Therefore, the major action of insulin in liver is after the initial transport step. The principal step is the first phosphorylation of glucose to form G-6-P in the reaction catalyzed by glucokinase (GK). This GK reaction is rate limiting and GK activity is influenced by insulin. Additionally, the effect of insulin on other key unidirectional phosphorylative steps directs glucose metabolism toward utilization and FA synthesis. An important effect of insulin is to increase the activity of the pyruvate dehydrogenase (PD) system, which increases AcCoA, thereby promoting increased FA synthesis and oxidation to CO₂ via the Krebs TCA cycle. These and other reactions are described in Section VII.C. Thus, there are two major roles for insulin, promoting (1) glucose transport across the membranes of muscle and fat cells and (2) glucose

utilization by increasing enzyme catalyzed reactions in liver cells.

In nerve cells, insulin binds to receptors and promotes membrane transport of glucose, but in this case, the membrane transport system itself appears to be the limiting factor. Thus, even though the HK system is operating maximally (Km(G) = 5×10^{-5}), the limited glucose transport of about 1.5 mmols/l (27 mg glucose/dl) induces the symptoms of hypoglycemia: incoordination, disorientation, and weakness when there is insufficient glucose to compensate by mass action.

In other cells such as the red blood cell, which also has the HK system, insulin does not affect glucose metabolism or limit transport. The HK system is operating maximally and glucose utilization is sufficient to meet the needs of the blood cell at all times.

E. Physiological Effects of Insulin

The principal effects of insulin administration to an animal are summarized in Table 3-5. The most characteristic finding following insulin administration is a hypoglycemia. This occurs regardless of the nutritional state, age, and other characteristics of the animal and is a net result of the increased removal of glucose from the plasma into the tissues. The respiratory quotient (R.Q.) increases toward unity, indicating that the animal is primarily utilizing carbohydrate. The consequences of this increased utilization of glucose follow a pattern of an increase in those constituents derived from glucose and a decrease in those that are influenced by increased glucose oxidation. The conversion of glucose to glycogen, fat, and protein is enhanced, whereas gluconeogenesis and ketogenesis are inhibited. The decreases in serum phosphate and potassium levels that parallel those of blood glucose are presumably due to their involvement in the phosphorylating mechanisms.

F. Other Pancreatic Islet Hormones

Numerous hormones oppose the action of insulin and, by doing so, prevent or correct the hypoglycemic effects of insulin. Hypoglycemia stimulates a number of counterregulatory hormones including glucagon, epinephrine, and growth hormone. Norepinephrine and cortisol are less responsive than the three mentioned.

1. Glucagon

Glucagon is a polypeptide hormone (Mr = 3485 daltons) secreted by the α (A) cells of the islets. Release of glucagon is stimulated by hypoglycemia. Glucagon acts only in the liver where it stimulates glycogenolysis and gluconeogenesis, thereby increasing blood glucose. The most important physiological role of glucagon is to promote

hyperglycemia in response to a hypoglycemia. Glucagon acts only on liver glycogen, unlike epinephrine, which acts on both liver and muscle glycogen. Like most hormones, glucagon is first bound to surface receptors on a cell, in this case, the hepatocyte. Acting through these receptors, adenylate cyclase is activated, which in turn increases the amount of cyclic AMP (cAMP). cAMP then activates a phosphorylase kinase, which activates phosphorylase A, which in turn hydrolyzes glycogen. Additionally, glucagon is an insulin secretagogue second only to glucose in the magnitude of the insulin response it elicits. This insulin-releasing action of glucagon is the basis for the glucagon stimulation test (GST), which has been used to evaluate diabetes in cats (Kirk *et al.*, 1993).

2. Somatostatin

Somatostatin is secreted by many cells, including the hypothalamus, but its major source is the pancreatic δ (D) cells.

Tissue	Increase	Decrease
Whole animal	Anabolism Food intake Respiratory quotient	
Blood		Glucose Ketones Fatty acids Phosphate Potassium Amino acids Ketone bodies
Enzym es	Glucokinase Phosphofructokinase Pyruvate kinase Lipoprotein lipase AcCoA carboxylase	Glucose-6-phosphatase Fructose 1-6-diphosphatase Pyruvate carboxylase PEP-carboxykinase Carnitine acyltransferase Hormone-sensitive lipase
Liver	Glucose oxidation Glycogen synthesis Lipid synthesis Protein synthesis	Glucose production Ketogenesis
Muscle	(Skeletal/Heart) Glucose uptake Glucose oxidation Glycogen synthesis Amino acid uptake Protein synthesis Potassium uptake	
Adipose	Glucose uptake Glucose oxidation Lipid synthesis Potassium uptake	

Somatostatin has broad inhibitory effects on the release of many hormones, including growth hormone, glucagon, and insulin. Therefore, it has a modulating effect on the actions of these two hormones. Administration of somatostatin blocks the secretion of glucagon; in this way, somatostatin exacerbates an insulin-induced hypoglycemia.

VII. BLOOD GLUCOSE AND ITS REGULATION

A. General

The blood glucose concentration depends on a wide variety of factors and its concentration at any time is the net result of an equilibrium between the rates of entry and of removal of glucose in the circulation. As such, all the factors that exert influence on entry or removal become important in the regulation of blood glucose concentration. Furthermore, when the renal reabsorptive capacity for glucose is exceeded (renal threshold), urinary loss of glucose becomes an additional factor influencing the maintenance of the blood glucose concentration. The blood glucose levels at which this occurs vary between species and are listed in Table 3-6.

B. Glucose Supply and Removal

Glucose is supplied by intestinal absorption of dietary glucose or by hepatic glucose production from its precursors, for example, carbohydrates (glycogen, fructose, galactose) and amino acids (gluconeogenesis). The dietary sources of supply of carbohydrates are especially variable among the various species. The absorptive process varies with the degree of systemic hormonal activity (e.g., thyroid) and gastrointestinal hormone activity (e.g., secretin). All conditions affecting gastrointestinal digestive processes (e.g., gastrointestinal acidity, digestive enzymes, disease) substantially affect absorption of glucose. Hence, it is important to evaluate the blood glucose in virtually all diseases.

TABLE 3-6 Renal Thresholds for Glucose in Domestic Animals

	Species		Reference	
	(mg/dl)	(mmol/l)		
Dog	180-220	10.0-12.2	Shannon et al. (1941)	
Horse	180-200	10.0-11.1	Stewart and Holman (1940)	
Cow	98-102	5.4-5.7	Bell and Jones (1945)	
Sheep	160-200	8.9-11.1	McCandless et al. (1948)	
Goat	70-130	3.9-7.2	Cutler (1934)	

In the postabsorptive state, hepatic production is the major source of supply for maintaining blood glucose. The hormones epinephrine and glucagon promote the release of glucose from glycogen as described in Section IV.C.2. The glucocorticoids promote gluconeogenesis and oppose the hypoglycemic action of insulin.

Removal of glucose is governed by a variety of factors, most of which ultimately relate to the rate of utilization of glucose. All tissues constantly utilize glucose either for energy purposes or for conversion into other products (glycogen, pentoses, lipids, amino acids). Therefore, an outflow of glucose from the circulation, which is governed by the rate of utilization of glucose by a tissue, occurs at all times. The level of blood glucose itself partially governs the rate of utilization and therefore, in a sense, is autoregulatory. At high levels, the rate of glucose uptake by tissues such as muscle and liver increases because of mass action. The presence of insulin increases the rate of glucose utilization, either by increased transport via GLUT-4 (muscle, fat) or increased phosphorylation (liver). The action of insulin is opposed by the diabetogenic factors, growth hormone, glucagon, cortisol, and epinephrine.

The liver occupies a central position in the regulatory mechanism of blood glucose concentration because it supplies as well as removes glucose from the system. The major direction of liver glucose metabolism is directed toward supplying rather than using glucose. When liver takes up glucose, 25% is oxidized to lactate or CO₂ and the remainder forms glycogen. This glycogen is the source of the glucose supplied by the liver to the system during the better part of a day. Muscle, on the other hand, does not contain G-6-Pase, so it cannot provide free glucose and is therefore primarily a glucose-utilizing tissue.

C. Role of the Liver

The glucose transporter system (GLUT-4) across the membrane is rate limiting in peripheral tissues that are sensitive to insulin (muscle, fat). In the liver, however, glucose moves freely across the plasma membrane, so this process is not rate limiting at this point. At a blood glucose level of approximately 8.33 mmol/l (150 mg/dl), the liver does not take up or supply glucose to the circulation. This level is termed the "steady state" or the "glucostatic level" at which the mechanisms of normal supply and removal of glucose are operating at equal rates. Above 8.33 mmol/l (150 mg/dl), glucose removal is greater than supply, and below 8.33 mmol/l (150 mg/dl), glucose supply is greater than removal. But the fasting blood glucose level in most animals is about 5 mmol/1 (90 mg/dl). This means that the liver supplies glucose throughout most of a day except for the few periods during the day when blood glucose is greater than the steady-state level of 8.33 mmol/1 (150 mg/dl). These periods are the few hours after each meal during a day.

Insulin decreases liver glucose production, output, and glycogenolysis while increasing liver glucose utilization. The net result is an increase in glucose uptake by the liver with increased glucose oxidation, glycogenesis, and hypoglycemia. This directional control is due to the action of insulin on key enzymes of glucose metabolism.

Directional control for glucose production or utilization is governed by coupled sets of opposing and irreversible enzyme reactions at three control points of glucose metabolism. These "key enzyme" couples are GK/G-6-Pase, PFK/F-1-6-Pase, and PK/PEP-CK, PC. The kinases direct metabolism toward glycolysis utilization because they are phosphorylating enzymes and the opposing enzymes reverse the direction so they are glucone ogenic.

The insulin sensitivity of the rate limiting GK reaction in liver promotes glucose utilization. The opposing G-6-Pase reaction increases during fasting or starvation, which favors liver glucose production. In diabetes mellitus, even though there is a hyperglycemia >8.33 mmol/l (150 mg/dl), G-6-Pase is increased. Increases in the other key enzymes of gluconeogenesis, F-1-6-Pase, PEP-CK, and PC, are also observed in diabetes. Increases in activity of these gluconeogenic enzymes in insulin deficiency direct metabolic pathways toward excessive production of glucose by the diabetic liver.

The amelioration of diabetes in an experimental animal by hypophysectomy (Houssay animal) is well established. The pituitary factor, which opposes the action of insulin, is growth hormone. The glucocorticoids increase gluconeogenesis and intracellular G-6-P and, by their insulin opposing effect, increase free glucose. An increase also results from the glycogenolytic action of epinephrine and glucagon, and the equilibrium is shifted to favor of glucose production. Therefore, it is the balance of hormones that directly (insulin) or indirectly (epinephrine, growth hormone, glucagon, cortisol) affects glucose metabolism, which sets the "steady-state blood glucose" at which the liver neither uses glucose or produces glucose.

D. Glucose Tolerance

The regulatory events that occur in response to changes in blood glucose concentration are best summarized by a description of the events following ingestion of a test dose of glucose. When administered orally to a normal animal, a typical change in blood glucose concentration with time is observed as shown in Figure 3-12. During the absorptive phase, phase I, the rate of entry of glucose into the circulation exceeds that of removal and the blood glucose rises. As the blood glucose rises, hepatic glucose output is inhibited and the release of insulin from the pancreas is stimulated by the rising blood glucose. This release of insulin is also influenced by the insulin releasing effect of the GI hormones: secretin, cholecystokinin-pancreozymin (CCK-PZ),

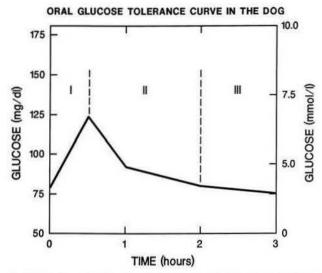


FIGURE 3-12 Oral glucose tolerance in the dog; I, II, and III are phases of the curve.

gastrin, and by pancreatic glucagon. In 30 to 60 min, the peak level of blood glucose is reached, after which it begins to fall. During this phase of falling blood glucose, phase II, the rates of removal now exceed those of entry and the regulatory mechanisms directed toward removal of glucose are operating maximally. At the same time, hepatic glucose output decreases and the blood glucose falls rapidly. When the blood glucose reaches its baseline level, it continues to fall below the original level for a short time and then returns to its baseline level. This hypoglycemic phase, phase III, is due to the inertia of the regulatory mechanisms because, in general, the higher the glycemia, the greater the subsequent hypoglycemia. Clinically, this postinsulin hypoglycemia can be marked if there is a defect in the secretion of glucagon.

VIII. METHODOLOGY

A large number of tests have been devised to evaluate the status of the carbohydrate economy of animals but the principal focus continues to lie with the determination of blood glucose levels. The hexokinase (HK), glucose dehdrogenase (GD), and the glucose oxidase (GO) methods are currently the most widely used methods for blood glucose and are used in manual, automated, and in point-of-care testing modules.

A. Blood Glucose

1. Methods

Three glucose-specific enzyme methods are in use: the GO, HK, and the GD methods. The GO method is coupled with

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peroxidase and a dye. GO catalyzes the conversion of glucose to gluconic acid:

$$\begin{array}{c} \text{GO} \\ \text{glucose} \rightarrow \text{gluconic acid} + \text{H}_2\text{O}_2 \end{array}$$

The hydrogen peroxide, with peroxidase, oxidizes a dye to form a colored product. This principle is also used in the glucose-specific paper strips for urine glucose.

In the HK method, HK catalyzes the phosphorylation of glucose and the reaction is coupled to a reaction such as G-6-PD for assay:

$$\begin{array}{c} HK \\ \text{glucose} + ATP \rightarrow G\text{-}6\text{-}P + ADP \end{array}$$

$$G$$
-6-PD
 G -6-P + NADP \rightarrow 6-PG + NADPH

Either NADP or NADPH is measured spectrophotometrically. In the GD method, GD catalyzes

$$\begin{array}{c} & GD \\ glucose + NAD \rightarrow gluconolactone + NADH \end{array}$$

and NAD or NADH is measured spectrophotometrically.

Of these enzymatic methods, the method of Banauch *et al.* (1975) was found to be best for the quantitative assay of urine glucose (Kaneko *et al.*, 1978a).

No matter how accurate the method for blood glucose, it cannot compensate for loss of glucose in an improperly handled blood sample. Glucose breakdown (i.e., glycolysis) by red blood cells takes place very rapidly, about 10% per hour loss, at room temperature and is even more rapid if microorganisms contaminate the sample. For these reasons, the plasma or serum must be separated from the RBCs as quickly as possible, within the half hour; otherwise, the glucose in the blood sample must be protected from glycolysis. This is best accomplished through refrigeration or by the use of sodium fluoride (NaF) (10 mg/ml blood). The NaF acts both as an anticoagulant and a glucose preservative. The NaF can also be added to a blood sample vial containing an anticoagulant.

2. Blood Glucose in Animals

The reference ranges for blood glucose are given in Table 3-7 and in Appendices VII, VIII, and IX. A standard sampling procedure must be used to obtain optimum results and to minimize variations in blood glucose, especially those resulting from diet. This is best accomplished in the nonruminant and in the young ruminant by a standard overnight (12 to 16h) fast before sampling. This is not necessary in the mature ruminant, because feeding elicits no blood glucose response. Methods for establishing statistically valid

TABLE 3-7 Blood Glucose Levels in Domestic Animals^a

	Glucose (Reference	ose (Reference Range and Mean \pm SD)		
Species	mmol/liter	mg/d		
Horse	4.2-6.4 (5.3 ± 0.4)	75–115 (95 ± 8)		
Cow	2.5-4.2 (3.2 ± 0.4)	45–75 (57 ± 7)		
Sheep	2.8-4.4 (3.8 ± 0.3)	50-80 (68 ± 6)		
Goat	2.8-4.2 (3.5 ± 0.4)	50-75 (63 ± 7)		
Pig	4.7-8.3 (6.6 ± 0.9)	85-150 (119 ± 17)		
Dog	3.6-6.5 (5.0 ± 0.4)	65-118 (90 ± 8)		
Cat	2.8-4.2 (3.5 ± 0.4)	50-75 (63 ± 7)		
Monkey (Macaca sp.)	4.7–7.3 (5.9 ± 0.7)	85-130 (107 ± 13)		
Llama	5.7-8.9 (7.1 ± 0.9)	103-160 (128 ± 16)		
Rabbit	2.8-5.2 (4.1 ± 0.5)	50-93 (73 ± 10)		

^a Plasma or serum, glucose oxidase method, adult animals.

reference ranges for analytes such as blood glucose, with examples, are given in Chapter 1.

B. Indirect Monitoring of Blood Glucose

The phenomenon of glucose molecules irreversibly binding to proteins is widespread in biological systems, and the products are known as glycated proteins. The glucose molecules are covalently bound to free amino groups of a protein (i.e., lysine) valine by a nonenzymatic glycation mechanism. The glycated intermediate in the reaction is unstable and immediately undergoes a classic Amadori rearrangement to form a stable ketoamine. The carbon backbone of this ketoamine is identical to fructose. When the protein of the protein-ketoamine complex is hemoglobin (Hb), the product is called hemoglobin A1c (HbA1c) because it was first identified as a fast-moving minor Hb component by electrophoresis. When the protein of the complex is albumin or total serum protein, the product is called fructosamine (FrAm) (Armbruster, 1987). When the albumin-ketoamine is specifically measured, the product is sometimes called glycoalbumin (Galb).

The binding of glucose to proteins occurs firmly and constantly over the life span of a particular protein. Therefore, these glycated proteins reflect the average blood glucose concentration over the half-life of the protein. Thus, they offer a means and are used to evaluate long-term average blood glucose levels in diabetics.

1. Hemoglobin A1c

In the case of canine HbA1c where the canine erythrocyte has a life span of 100 days and a half-life of about 60 days, HbA1c reflects the average blood glucose over the previous 2 months before sampling. In the cat, with an erythrocyte life span of 70 days and a half-life of about 40 days, HbA1c could be used as a measure of the average blood glucose over the previous 6 weeks. This means that bimonthly samplings for the dog and 6 weekly intervals in the cat for HbA1c could be used to monitor long-term blood glucose control. The use of HbA1c is a well-established means for monitoring long-term average blood glucose in human diabetics. The techniques for measuring HbA1c, however, have not been applicable to animals. The methods are complex as well as labor and equipment intensive. The methods all rely on the structural properties of HbA1c for their separation so that methods for human HbA1c are not directly applicable to animal HbA1c. Methods used for human HbA1c are affinity and ion-exchange high performance liquid chromatography (HPLC), electrophoresis, immunoassay, and colorimetrically to measure 5-hydroxymethylfurfural-thiobarbituric acid (HMF-TBA). Of these, the HPLC method is most widely used in humans. A report evaluating a number of methods for canine HbA1c indicated that the HMF-TBA method is the most promising (Hooghuis et al., 1994).

Because HbA1c is directly related to the amount of red cells, anemias or polycythemias must be ruled out. Also, bimonthly samplings may not detect the long-term changes in glucose in a timely manner.

2. Fructosamine

The total serum proteins or albumin have half-lives of 2 to 3 weeks and 7 to 9 days, respectively. This means that FrAm or Galb could be used as indicators of the average blood glucose over the previous 2 weeks. This biweekly time interval has the advantage of detecting changes in glucose control more quickly than HbA1c and allows for timely clinical intervention. Furthermore, the FrAm assay is a colorimetric assay based on its reducing properties, so it is an assay readily performed in any clinical laboratory. An improved version of the original kit is now available from the manufacturer (Roche Diagnostic Systems, Inc., Rahway, New Jersey). Using this improved version, Jensen and Aaes (1992) reported a reference range for FrAm of 259 to $344 \,\mu$ mol/l ($301 \pm 21.3 \,\mu$ mol/l). This result is lower

than that originally reported by Kawamoto *et al.* (1992) for dogs by a factor of about 10. By extrapolation, the reference range for cats as reported by Kaneko *et al.* (1992) would be 219 to $347\mu\text{mol/l}$ (283 \pm 32 $\mu\text{mol/l}$).

In an extensive study of 253 diabetic dogs either treated or nontreated with insulins, Davison *et al.* (2005) found that FrAm and HbA1c concentrations compared similarly regardless of type of insulin, insulin injection regime, duration, or treatment or dose.

C. Tolerance Tests

1. Glucose Tolerance Tests

Glucose tolerance (GT) in its original definition referred to the amount of glucose that could be ingested by an animal without producing a glucosuria, hence, tolerance for glucose. Because, in the normal animal, the absence of a glucosuria indicates only a limited rise in blood glucose where the renal threshold is not exceeded, GT now refers to the blood glucose curve following glucose administration. Accordingly, an animal with an increased glucose tolerance is one that has a limited rise and rapid fall in blood glucose (i.e., can tolerate extra glucose). The animal with a decreased tolerance has an excessive rise and a slow return to its baseline level (i.e., cannot tolerate extra glucose). This is the typical diabetic type of GT curve.

It is important to ascertain the nature of the animal's diet, especially in the omnivores and carnivores, before performing this test. A carbohydrate-only diet favors a minimum rise in the tolerance curve, whereas a carbohydrate-free diet (meat only) favors a high or diabetic type of glucose tolerance curve. Therefore, for optimum results, the diet must be standardized by placing the dog on a standard diet of 100 to 200gm carbohydrate plus fat and protein per day for 3 days before performance of the test. The GT curve is also affected by the status of the intestinal absorptive process (i.e., inflammation, increased motility, thyroxine). Furthermore, the variations that result from absorption, the excitement attending intubation, or tranquilization can be avoided by use of the intravenous test.

a. Oral Glucose Tolerance Test

Section VII.D described the blood glucose curve following the oral administration of a test dose of glucose. The oral glucose tolerance test (OGTT) is ineffective in the ruminant because the ingested carbohydrate is almost totally fermented by the rumen microflora. The OGTT has been used in dogs by feeding of a test meal consisting of 4gm glucose/kg b.w. mixed with a few grams of horse meat. A fasting blood sample is taken, the test meal is given, and blood samples are taken at 30-min intervals for 3h. The OGTT curves in dogs receiving a standard daily diet of either glucose or galactose with meat had normal curves as described in Section VII.D. The maximum

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level, 6.6 to 7.7 mmol/l (120 to 140 mg/dl) was reached at 1h and returned to the fasting level, 3.6 to 5.3 mmol/l (65 to 95 mg/dl), in 2 to 3h. The OGTT may be simplified by taking a single sample at 2h after giving the glucose (i.e., 2h postprandial glucose). A normal blood glucose level at 2h postprandially indicates that diabetes is unlikely. A hyperglycemia at 2h is indicative of a diabetic curve and should be confirmed with the complete GTT. The insulin response curve during the OGTT can also be evaluated. In the OGTT, for a given level of blood glucose, the total insulin secretion (TIS) is greater than in the IVGTT. This is most likely due to the insulin releasing effect of the gut hormones (Section VII.D).

b. Intravenous Glucose Tolerance Test and the Insulin Response

The intravenous glucose tolerance test (IVGTT) in animals must also be standardized for best results (Kaneko et al., 1978a). This is necessary because glucose clearance halftimes $(T_{1/2})$ and urinary glucose losses are directly proportional to the glucose dose. The recommended method gives optimal results because (1) it does not overload the animal with glucose, (2) the infusion can be given easily within the time limits, (3) the blood glucose level is high enough to give a maximal insulin response, and (4) urinary loss of glucose is minimal. After a standard overnight (12 to 16h) fast (except for an adult ruminant), a zero-time heparinized blood sample is taken. Next, 0.5 gm glucose/kg b.w. is infused I.V. as a sterile 50% solution in 30s. Timing of the test is begun at the midpoint or at 15 s after start of the injection. In large animals, the glucose is given within 2 to 3 min or more quickly if possible. Subsequent blood samples are taken at 5, 15, 25, 35, 45, and 60 min. The results are plotted on semilogarithmic coordinates from which the time required for the glucose concentration to fall by half, the $T_{1/2}$, is graphically estimated between 15 and 45 min postinfusion. From the $T_{1/2}$, the fractional turnover rate, k, can also be calculated:

$$k = \frac{0.693}{T_{1/2}} \times 100 \times \%/m$$

The fractional turnover rate, k, can also be calculated without graphing the data and using the relationships:

$$k = \frac{Ln 1 - Ln 2}{T_2 - T_1} \times 100 = \%/m$$

from the k value, the T_{ν_2} may be calculated:

$$T_{1/2} = \frac{0.693}{k} \times 100 = m$$

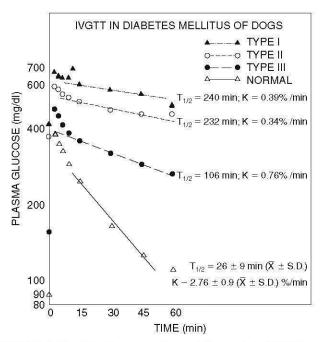


FIGURE 3-13 The intravenous glucose tolerance test (IVGTT) in normal dogs and in dogs with various types of diabetes mellitus. From Kaneko *et al.* (1977).

The fractional turnover rate has been variously expressed as the glucose turnover rate, the glucose disappearance rate, the glucose disappearance coefficient, or simply as the k-value. The normal T_{ν_2} and k in dogs are $25\pm 8\,\mathrm{min}$ and $2.76\pm 0.91\%/\mathrm{min}$, respectively (Kaneko *et al.*, 1977). The diabetic animal with a decreased glucose tolerance has a longer $T_{1/2}$ and lower k.

The method is equally applicable to and the only practical method in large animals. The k-value in a spontaneously diabetic cow was 0.38%/m ($T_{1/2} = 182\,\text{m}$) as compared to a reference value of 1.98%/m ($T_{1/2} = 35\,\text{m}$) (Kaneko and Rhode, 1964) and was comparable to the k-values obtained using 14C-glucose (Kaneko *et al.*, 1966).

Standardization of the IVGTT as described also has the advantages that an adequate insulin response is provoked, the influence of urinary glucose loss is minimized, and reproducible clearance values are obtained (Fig. 3-13). Other areas of the IVGTT with diagnostic significance for diabetes are the 5-m peak, which is inordinately high, and the 60-m glucose level, which has not returned to the preinfusion level.

The insulin response curve to the glucose load is obtained from the same samples as for glucose (Fig. 3-14). In the normal response curve to a glucose load, the peak insulin response occurs at 5 min followed by a return to normal at 60 min (Kaneko *et al.*, 1977). The early 5-min peak is due to the stimulation of release of stored insulin by the beta cells by glucose. In humans, a second peak is seen at 20 to 30 min, which is attributed to the *de novo* synthesis of insulin by the beta cells. This peak has not been experimentally discernible in dogs (Kaneko *et al.*, 1978b).

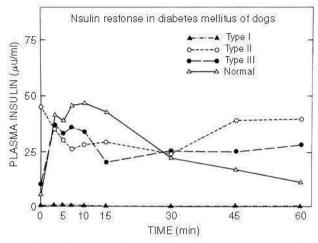


FIGURE 3-14 The insulin response during the IVGTT in normal dogs and in dogs with various types of diabetes mellitus. Key: ▲ type I; O, type II; ♠, type III; ♠ normal (Kaneko et al., 1977).

The IVGTT and the insulin response are of greatest value in the diagnosis of diabetes, particularly those cases with a mild hyperglycemia and without persistent glucosuria. Furthermore, the insulin response to the IVGTT allows for the accurate differentiation of the different types of diabetes. This has great significance because the type II diabetic can potentially respond to oral hypoglycemic therapy. Decreased tolerance is also observed, though less consistently, in hyperthyroidism, hyperadrenalism, hyperpituitarism, and in severe liver disease. An increased tolerance is observed in hypofunction of the thyroids, adrenals, pituitary, and in hyperinsulinism.

2. Insulin Tolerance Test

The blood glucose response of a normal animal after the administration of a test dose of insulin exhibits a characteristic response as shown in Figure 3-15. After obtaining a fasting blood sample, 0.1 unit of crystalline zinc insulin per kilogram body weight is injected intramuscularly or subcutaneously, and blood samples are taken every 30 min for 3 hours. The test measures (1) the sensitivity of the blood glucose level to a test dose of insulin and (2) the response of the animal to the insulin-induced hypoglycemia. Normally, the blood glucose level falls to 50% of its fasting level in 20 to 30 min and returns to its fasting level in 1½ to 2h. Two types of abnormal responses are seen. If the blood glucose level does not fall by 50% or requires longer than 30 min to reach the maximum hypoglycemic level, the response is described as "insulin insensitive" or "insulin resistant." Insulin resistance is found, though inconsistently, in hyperfunction of the pituitary and adrenals. This test has not been used in the type II diabetic dog or cat but may be of value in evaluating insulin resistance in this type.

If the hypoglycemia is prolonged and fails to return to the fasting level in 2h, the response is described as

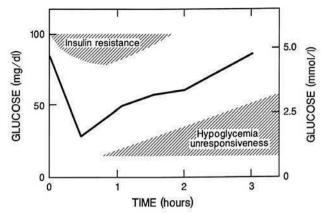


FIGURE 3-15 Insulin tolerance in the dog. Curves falling in the shaded areas are described as noted.

"hypoglycemia unresponsiveness." This type of response may be observed in hyperinsulinism, hypopituitarism, and hypoadrenalism and is most often used in suspected cases of the latter two diseases. An inability to secrete sufficient glucagon by the pancreatic delta cells may also be a significant factor in the unresponsiveness. In carrying out this test, because a hypoglycemia is being induced, a glucose solution should be readily available for injection.

Glucagon Stimulation Test

Glucagon via hepatic glycogenolysis and gluconeogenesis has a hyperglycemic effect, which in turn evokes an insulin response. In addition, glucagon is an insulin secretagogue second only to glucose. These are the bases for the glucagon stimulation test (GST), which has been used for the diagnosis of diabetes in cats (Kirk *et al.*, 1993). The test is performed by the I.V. injection of 30- μ g glucagon/kg body weight. Samples for blood glucose and insulin are obtained before injection (0 time) and at 5, 10, 15, 30, 45, and 60 min after injection. In cats, the peak insulin response was observed at 15 min followed by a rapid decline to baseline levels at 60 min. The insulin response curve was flat in the type I diabetic cats whereas controls, obese, and type II diabetic cats had comparable 15-min peaks and declines to baseline at 60 min.

Samplings at half-hour intervals can be continued for up to 3 hours in suspected hyperinsulinism. An exaggerated 15-min insulin response followed by a marked hypoglycemia at 2 to 3h or longer are characteristic of pancreatic islet cell tumors (Johnson and Atkins, 1977). However, Kruth *et al.* (1982) found that the GST was not diagnostic for these cases.

4. Epinephrine Tolerance Test

Epinephrine also has a postinjection hyperglycemic effect via hepatic glycogenolysis. The blood glucose level rises to a maximum of 50% above the fasting level in 40 to 60 min and returns to the original level in 1½-2h. The test is performed by obtaining a fasting blood sample (0 time), injecting 1 ml of 1:1000 epinephrine-HCL (in the dog) intramuscularly and obtaining blood samples every 30 min for 3h.

The characteristic increase in blood glucose is used as an index of the availability of liver glycogen for the production of blood glucose. On the basis of a lowered response to epinephrine, liver glycogen can indirectly be shown to be depleted in bovine ketosis. This can be confirmed directly by measurement of glycogen in biopsy samples. A lowered glycemic response is also a characteristic response of the glycogen storage diseases where glycogenolysis is inhibited by enzyme deficiencies.

5. Leucine-Induced Hypoglycemia

The oral administration of L-leucine induces a marked and persistent hypoglycemia in hyperinsulinism because of pancreatic islet cell tumors. The hypoglycemia is associated with a rise in plasma insulin because of increased release of insulin by the tumorous islet cells. The test is performed by the oral administration of 150 mg L-leucine/kg body weight as an aqueous suspension to the fasting dog. A fasting blood glucose sample is taken before administration (0 time) and every 30 min for 6h. A hypoglycemic effect is seen quickly at 30 min to 1h and may persist for as long as 6h in hyperinsulinism. The normal dog exhibits no hypoglycemic effect.

6. Tolbutamide Test.

The intravenous administration of tolbutamide, an oral hypoglycemic agent, induces the release of insulin from the pancreas and is used as a test of the availability of insulin from the pancreas. The blood glucose curve during the test parallels the insulin tolerance test. This test has not been used in animals.

D. Ketone Bodies

The methodology and role of ketone bodies in the carbohydrate economy of animals in health and disease are discussed in the chapter on lipid metabolism. The major ketone bodies are acetone, acetoacetate (AcAc), and 3-OH-butyrate (3-OH-B). The 3-OH-B is the precursor of acetone and AcAc so that the measurement of any or all in body fluids is a standard method to evaluate ketosis and ketoacidosis. Additionally, 3-OH-B constitutes half or more of the total ketone bodies. The quantitative assay for 3-OH-B is based on the use of the enzyme 3-OH-B dehydrogenase (3-OHB-D). A point-of-care enzymatic and colorimetric method for the assay of plasma 3-OH-B is

based on the enzyme 3-OH-B-D and nitroblue tetrazolium (NBT):

3-OH-BD
3-OH-butyrate +
$$NAD^+$$
 \rightarrow acetoacetate + $NADH + H^+$ diaphorase
$$NADH + NBT(ox) \rightarrow NAD^+ + NBT (red)$$

IX. DISORDERS OF CARBOHYDRATE METABOLISM

Although alterations in blood glucose levels occur in a wide variety of disease states, they are of particular importance in the endocrine disorders. Normal blood glucose levels are the result of a finely balanced system of hormonal interaction affecting the mechanisms of supply and removal from the circulation. When a hormonal imbalance occurs, a new equilibrium is established. Whether this equilibrium is clinically evident as a persistent hypoglycemia or hyperglycemia depends on the total interaction of the hormonal influences on carbohydrate metabolism. Further discussions concerning the disorders of the pituitary, adrenals, and the thyroids are presented in their respective chapters. The following sections discuss the conditions in which the principal manifestations are closely related to derangements in carbohydrate metabolisms.

A. Diabetes Mellitus

Although diabetes mellitus has been reported in virtually all laboratory animals (gerbils, guinea pigs, hamsters, mice, rats, nonhuman primates) and in horses, cattle, sheep, and pigs, it is most frequently found in dogs and cats. Estimates of the incidence of diabetes range as high as 1:66 (1.52%) for dogs and 1:800 for cats. Diabetes mellitus in animals has been frequently reviewed (Engerman and Kramer, 1982; Kaneko and Howard, 1989).

1. Natural History of Diabetes

The disease in dogs occurs most frequently in the mature or older female, often in association with estrus and in all breeds. In contrast, male cats appear to be more commonly affected than females. In the dog, it is frequently associated with obesity and it is now known that obesity is the single most important contributing factor to the development of diabetes (Mattheeuws *et al.*, 1984). In the obese cat, the GTT is significantly impaired, suggesting that obesity also predisposes cats to diabetes (Nelson *et al.*, 1990). The obese cat also has a GST response like that of the type II diabetic (Kirk *et al.*, 1993). Little is known of the genetic aspects of diabetes in animals as compared to humans in which the

animal to utilize this inability is corrected by insulin glucose and is clearly shown in its inability to convert glucose-¹⁴C to ¹⁴CO₂. This inability is corrected by insulin. The inability to utilize glucose is reflected in the clinical signs of diabetes, loss of weight, polyuria, polydipsia, and, in the advanced stages, ketoacidosis.

Several reports have suggested that the development of diabetes mellitus is the result of the interaction of several hormones, principally insulin and glucagon (Unger and Orci, 1975, 1976). Although excess glucagon is observed in diabetes and it can induce glucose intolerance or changes in diabetic control, it can do so only as long as insulin deficiency is present and pharmacological levels of glucagon are induced (Felig et al., 1976). Thus, insulin deficiency is sine qua non the cause of diabetes, and although glucagon may modify the consequences, it is neither necessary nor sufficient for the development of diabetes (Felig et al., 1976). The modifying action of glucagon on diabetes, however, has important implications because excess glucagon will tend to exacerbate the posthypoglycemic hyperglycemia (e.g., the Somogyi effect). A deficiency of glucagon will tend to increase the effect of insulin and contribute to a prolonged hypoglycemia after treatment. Thus, glucagon plays a significant role in the variability of diabetes.

The complex nature of the development of type II diabetes is further illustrated by the ongoing controversy as to the nature and value of the so-called metabolic syndrome as a clinical disease entity (Reaven, 2005) in an effort to link insulin resistance to increased risk of cardiovascular disease (CVD). Insulin resistance is a feature of type II diabetes and the metabolic syndrome in effect describes the interaction of the various hormones involved in carbohydrate metabolism. It's usefulness as a clinical disease entity is open to question (Reaven, 2006).

3. Huperalucemia

A persistent fasting hyperglycemia is the single most important diagnostic criteria of diabetes mellitus. In the normal animal, the homeostatic level of blood glucose is maintained by the equilibrium between glucose supply and removal, which in turn is based on the endocrine balance. The effect of insulin tends to lower blood glucose, whereas the opposing effects of growth hormone, glucagon, and adrenal cortical hormones tend to raise it. In the diabetic animal with an absolute or relative lack of insulin, the equilibrium is shifted to a higher level of blood glucose. Glucose utilization in the peripheral tissues decreases while at the same time hepatic glucose production increases as a result of increases in their gluconeogenic enzyme activities.

In the diabetic, the hyperglycemia itself tends to compensate in part for the decrease in peripheral utilization. This occurs as a mass action effect that promotes the flow of glucose into the peripheral tissues. In this way, the diabetic can continue to use some glucose when insulin is decreased, but only at the expense of increased glucose production and hyperglycemia. As the deficiency of insulin progressively becomes more severe, the equilibrium level of blood glucose is established at higher and higher levels, and ultimately the equilibrium is never established without therapeutic intervention. Blood glucose levels in canine diabetics have reached 70 mmol/l (1260 mg/dl). When the renal threshold of 11.1 mmol/l (200 mg/dl) for glucose is exceeded, the diabetic is faced with excessive loss of glucose in the urine. It is evident that the blood glucose level is exquisitely sensitive to insulin and, conversely, the blood glucose level is the mainstay for monitoring the success of diabetes therapy. However, a marked posthypoglycemic hyperglycemia or the Somogyi effect has long been known to occur in humans after insulin therapy (Bolli et al., 1984), which indicates that glucose regulation by insulin is not complete. This hyperglycemic effect is thought to be due to an excess of glucagon, growth hormone, adrenal cortical hormones, or epinephrine. This phenomenon has been seen in diabetic cats given an inadvertent insulin overdose (McMillan and Feldman, 1986), which points to the need for effective monitoring of diabetes.

4. Glycated Proteins

The biochemical and physiological bases for using glycated proteins as a monitor for long-term glucose control are now commonplace in human diabetolyy (Section VIII.B). Successful management of diabetes depends on the reliable evaluation of blood glucose levels, and any blood glucose sample only reflects the blood glucose level at the moment of sampling. An effective method for estimating the average blood glucose over an extended time period offers a way of evaluating successful insulin therapy. This can be done by use of the glycated proteins, HbA1c or FrAm. Of these, FrAm offers the most cost effective method for evaluating the average blood glucose over the preceding 2 weeks. However, although HbA1c is the test of choice for indirect glucose monitoring by many academic programs, Davison et al. (2005) have found that either test is efficacious.

a. Hemoglobin AIc

The glycated hemoglobin, HbA1c, is known to reflect the average blood glucose level over the preceding 60 days and is now widely used to monitor human diabetics (Nathan *et al.*, 1984). Several studies in diabetic dogs (Mahaffey and Cornelius 1982; Wood and Smith, 1980) have also shown that HbA1c is potentially useful for monitoring purposes. Although the reference values for % HbA1c differed in the two studies, 2.29% and 6.43%, the means for the diabetics were increased in each to 4.97% and 9.63%, respectively. Hooghuis *et al.* (1994), using thiobarbituric acid colorimetry

(HMF-TBA), reported a reference range of 1.4% to 3.2 % HbA1c (2.3 \pm 1.96 SD). Previous assays for HbA1c have been time, labor, and equipment intensive as well as giving variable results. The colorimetric HMF-TBA method shows promise of being a clinically viable method.

b. Fructosamine

The fructosamines (FrAm) reflect the average blood glucose over the preceding 2 weeks in a manner analogous to HbA1c. This means that FrAm could be used to monitor the average blood glucose on a biweekly interval. This has the advantage that changes in blood glucose can be detected more quickly than with HbA1c and allows for timely clinical intervention. Furthermore, the FrAm assay is a colorimetric assay that can be readily performed in any clinical laboratory. An improved version of the original kit is now available from the manufacturer (Roche Diagnostic Systems, Inc., Rahway, New Jersey). Using this improved version, Jensen and Aaes (1992) reported a reference range for FrAm for dogs of 259 to 344 μ mol/1 (301 \pm 21.3 SD). This result is 10-fold lower than that originally reported by Kawamoto et al. (1992) using the older method. By extrapolation, the reference range for cats as reported by Kaneko et al. (1992) is 219 to 347 μ mol/l (283 \pm 32 SD). In all cases, FrAm was shown to be significantly elevated in diabetes indicating that they can be of clinical value to monitor glucose control in treated diabetics. On occasion, especially in cats, hyperglycemia or glucosuria is seen on initial presentation and without other indications of diabetes. A FrAm sample taken at this time can be used to differentiate a transient from a persistent hyperglycemia.

5. Glucose Tolerance and the Insulin Response

The glucose tolerance test (GTT) is the most important test of carbohydrate function and is of particular value in those cases of diabetes in which the fasting blood glucose is only moderately elevated and the diagnosis is equivocal (Section VIII.C). The diabetic oral GGT curve is high and relatively flat, indicating a decreased tolerance for glucose (Fig. 3-12). The nature of the diabetic curve can be quantitated by using the intravenous GTT. The diabetic curve is characterized by a long T_{1D} or low k-value, which reflects the inability of the animal to use the test dose of glucose. The insulin response curve in type I (absolute insulin deficiency) diabetes clearly demonstrates the inability of the pancreas to release insulin in response to the glucose load. It is in the absence of an insulin response, which is responsible for the failure of the diabetic to utilize the added glucose, that the prolonged hyperglycemia occurs. An important factor adding to the hyperglycemia is the overproduction of glucose by the liver. The test dose of glucose is in effect added to the already existing oversupply of glucose. Because the steady-state level at which the liver ceases to supply or remove glucose is elevated in diabetes, the liver continues to oversupply glucose, which contributes to the slow return of the tolerance curve to its original level.

In types II and III diabetes (see the following discussion), there is also glucose intolerance, but this occurs in the presence of a normal to elevated insulin. This would mean that the insulin in the plasma of these types is unusable or ineffective (i.e., relative deficiency) because of a number of factors including insufficient receptors, receptor blockage, abnormal receptor structure, or antibody binding, all of which lead to the glucose intolerance and the phenomenon of insulin resistance. Therefore, glucose intolerance is seen in all types of diabetes whether there is an absolute (type I) or relative (types II, III) deficiency of insulin. The insulin response must be evaluated in order to establish the type of diabetes.

6. Insulin and the Insulin Response

Serum insulin is characteristically very low or absent in type I diabetes, whereas it is normal to very high in type II or III. Type I diabetes can be readily differentiated from the other types by an absent or low fasting insulin level. On the other hand, about 40% of diabetics have normal to very high insulins. The classification of these diabetic types is based on the nature of the insulin response curve in the IVGTT. Type II has a normal to high insulin with no increment of insulin response to the glucose load. Type III also has a normal to high insulin; the insulin response is inadequate and there is a delayed return to preinjection levels (Kaneko *et al.*, 1977). Types II and III have been further subdivided on the basis of obesity or nonobesity (Mattheeuws *et al.*, 1984), and their insulin levels are given in Table 3-9.

The classification of diabetes into types has important therapeutic and prognostic implications. Thus far, insulin replacement therapy is the only effective treatment for the type I and the type II nonobese diabetic, even though islet

TABLE 3-9 Insulin Concentrations in the Various Types of Canine Diabetes

	Serum Insulin $(\mu \mathrm{U/ml})$		
Normal	5–20		
Туре	0-5		
Type II nonobese	5–20		
Type II obese 20–130			
ype III nonobese 5–20			
Type III obese	ese 8–60		

cell transplantation has corrected these diabetics for a short time. Type II obese and the type III dogs with even a small insulin reserve would be the most likely subjects for successful oral hypoglycemic therapy. The early detection of diabetes and being able to treat these patients using oral drugs would have obvious advantages. Nelson *et al.* (1993) have successfully treated cats with diabetes using oral hypoglycemic drugs. Prognostically, the severity of the diabetes can be assessed by the degree of glucose intolerance and the nature of the insulin response.

Atkins et al. (1979) identified diabetes in dogs less than 1 year of age, and Atkins and Chin (1983) examined their insulin responses to glucose loading. All dogs were glucose intolerant but could mount a minimal insulin response somewhat akin to the type II diabetic dogs. It could also be that these young diabetic dogs were identified during the early stages of their natural history of progression of their diabetes to type I or II.

7. Glucagon Stimulation and the Insulin Response

The GST has been used in humans and cats to differentiate type I from type II diabetes. Type I diabetic cats have a minimal or no insulin response to glucagon. Type II diabetic cats have a significant insulin response in the GST. Nondiabetic obese cats also have an insulin response that is similar to that observed in the type II diabetic cats. Thus, obesity is predisposing to the development of diabetes in animals as well as in humans. Type II diabetes is known to be characterized by various forms of insulin resistance (Section VIII.C.2).

8. Ketonemia and Lipemia

As the utilization of glucose progressively decreases in the diabetic, the utilization of fatty acids for energy purposes progressively increases to compensate. The supply of fatty acids for hepatic utilization is obtained by mobilization from the body fat depots. Mobilization of fatty acids progressively increases as insulin deficiency becomes more severe, and this is due to increases in hormone sensitive lipase. This enzyme is separate and distinct from the hepatic lipoprotein lipase.

In severe diabetes, lipid mobilization is so intense that the subsequent hyperlipemias are often so marked that the blood appears as tomato soup. A cream layer may separate out on storage overnight in the cold because of hyperchylomicronemia. The plasma is turbid due to the presence of lipoproteins (very low density lipoproteins [VLDLs]). On chemical analysis, total triglycerides and cholesterol are elevated (Rogers *et al.*, 1975). Diabetic hyperlipemia appears to be caused by impaired lipolysis of chylomicra secondary to a deficiency of hepatic lipoprotein lipase rather than to an overproduction of VLDL.

Concurrently with increased fatty acid oxidation in liver, a progressive decrease in fatty acid synthesis occurs. The net effect of the alterations in hepatic fatty acid metabolism is that AcCoA is generated in excess by the liver because of the increased rate of fatty acid β -oxidation catalyzed by the increased activity of the enzyme carnitine acyltransferase. Fatty acyl-CoA from fat mobilization is also a strong inhibitor of citrate synthase, which removes another route for disposal of AcCoA. The accumulated AcCoA units are then diverted into alternate pathways as described in Section V.B, and with the activation of ketogenic mechanisms, excessive synthesis of ketone bodies (Kreisberg, 1978) and cholesterol results. In the peripheral tissues, there is an underutilization of ketone bodies in the diabetic dog (Balasse and Havel, 1971). Ketosis is thus the result of an overproduction of ketone bodies by the liver and an underutilization by the peripheral (muscle) tissues. The type I diabetic has a greater tendency to develop ketoacidosis than does the type II diabetic. The pathophysiology of the ketoacidosis in the type II diabetic remains unclear, but the most likely mechanism is the depth of the insulinopenia (Linfoot et al., 2005).

It has been suggested that the development of ketosis requires both a deficiency of insulin and an excess of glucagon (Foster and McGarry, 1982). Dobbs *et al.* (1975) and Unger and Orci (1975) proposed that diabetes develops as a result of a bihormonal interaction of insulin and glucagon because glucagon levels are high in insulin deficiency. The excess glucagon is thought to be caused by an abnormality in the alpha cell. There is also an excessive secretion of glucagon after protein ingestion or amino acid infusions (Unger, 1981). The excess glucagon may then exacerbate the insulin deficiency and lead to the ketoacidosis.

In the ketoacidotic state, marked cholesterolemias as high as 18mmol/l (700mg/dl) have been observed in clinical diabetes of the dog. Net gluconeogenesis from fatty acid does not occur, and the precursors for gluconeogenesis are the proteins. Excesses of glucagon, cortisol, and growth hormone in the diabetic also contribute to protein catabolism and gluconeogenesis. The cofactors that provide the reductive environment required for gluconeogenesis can be provided by the increased production of reduced cofactors during the increased fatty acid oxidation. This increase in the reductive environment of the cell is the mechanism that stimulates gluconeogenesis, which is corollary to the development of ketoacidosis.

8. Electrolyte Balance and Ketoacidosis

A mild glucosuria with only a few grams of glucose loss per day does not in itself precipitate the acidotic state because some compensation occurs. The liver increases its production and output of glucose even though there is a hyperglycemia, so glucose metabolism continues. However, with continued and severe loss of glucose, all

the attendant phenomena of attempts to compensate are exaggerated. Liver glycogen stores are depleted, but liver glucose production continues to be increased because of increased protein breakdown and gluconeogenesis. The oxidation of fatty acids is accelerated and, with it, the overproduction of the acidic ketone bodies, AcAc, 3-OH-B, and acetone occurs. The vapor pressure of acetone (b.p. 56.5°C) is high at body temperature, and thus this volatile compound is often detected in the breath of the severely ketotic animal. AcAc and 3-OH-B are acidic anions, which increase the "anion gap" and reduce the concentrations of HCO₃⁻, Cl⁻, Na⁺, and K⁺. Acidosis develops as the HCO₃⁻ is reduced and respiratory compensation is inadequate. In addition, there is an underutilization of ketone bodies in starvation (Garber et al., 1974) and a similar underutilization of ketone bodies occurs in diabetes (Sherwin et al., 1976). A rapid point-of-care method for quantifying 3-OH-B is now available and is useful in managing ketoacidosis (Section VIII.D).

In hyperketonemia, large amounts of ketones are wasted in the urine with the large losses of water and HCO_3^- . The acidic ketones are buffered by ammonium ions derived from glutamine in the renal tubules, but large amounts of ketones are ultimately lost with Na^+ and K^+ in the urine. Even without ketonuria, the loss of electrolytes in the polyuria of diabetes may be considerable. Thus, the acidosis of the diabetic is a primary base deficit fundamentally related to the ketonemia and to the loss of ketones and HCO_3^- in the urine.

Excess glucose in the glomerular filtrate provokes an osmotic diuresis leading to loss of water and dehydration. The progressively severe loss of water and electrolytes, the dehydration, and ketoacidosis ultimately lead to collapse, coma, and death. The condition is aggravated by renal impairment, which fortunately is not a common finding in diabetes of the dog. Not all the extracellular sodium deficit is due to urinary loss, however, because as H⁺ increases, it enters the cells. In exchange, K⁺ leaves the intracellular compartment and some Na+ enters the cells. As the dehydration progresses, extracellular K⁺ concentration may be very high even though there may be a total body deficit. This is an important consideration in the insulin, fluid, and electrolyte replacement therapy of diabetic ketoacidosis. The electrolyte replacement must include K⁺ because correction of the acidosis and the rapid expansion of the extracellular fluid compartment lead to the reverse exchange of K⁺, and this results in hypokalemia.

10. Urinalysis

The renal threshold for glucose in the dog is about 11.1mmol/l (200mg/dl) so that the detection of even trace amounts of glucose in the urine is an important finding and warrants further consideration. In virtually all cases of diabetes suspected on the basis of persistent glycosuria

alone, the diagnosis can be later confirmed. Renal diabetes (i.e., low renal threshold for glucose) is an extremely rare occurrence and, if it does occur, can be detected by finding a normal blood glucose in the presence of the glucosuria. Transient glucosurias may occur for 1 to 1½h after a heavy carbohydrate meal, but a 2-h postprandial glucosuria is a strong indication of diabetes.

Currently, detection of glucosuria using the urinalysis sticks is the most common method of point-of-care evaluation of the clinical success of insulin therapy. There are disadvantages to this system because of owner difficulties, inconsistencies, and inaccuracies. The FrAm method, whereby only biweekly blood samplings need be taken, can have decided advantages in following the course of insulin therapy.

An elevated urinary specific gravity (SG) has in the past been considered to be a good indicator of glucosuria and, hence, of diabetes. SG is a measure of the concentration of solutes in the urine, principally the cations (Na+, K^+ , NH_4^+), anions (PO_4^- , SO_4^- , HCO_3^- , Cl^-), and urea. The observed SG of urine is the result of the additive effect of the contributions of all these solutes. It is for this reason that the osmolality of any fluid, urine or plasma, can be estimated by simply adding up the major anions and cations expressed in mmols/l (see the chapter on acid-base). Albumin in urine increases the SG by 0.003 units for each 10g/l (1g/dl), whereas glucose increases it by 0.004 units for each 55mmol/l (1g/dl). Even though the presence of glucose does increase the SG linearly, a 4+ reaction, 140mmol/l (2.5g/dl) would increase the SG by only 0.010 unit, an insignificant value on the refractometer. Therefore, although SG is a valuable measure of renal function, it is of no value with respect to the glucosuria of diabetes or to proteinuria. Conversely, by subtracting the contributions of protein and glucose from the observed SG, a more accurate measure of renal function in diabetes may be obtained.

Proteinuria is a common sign of renal disease and is often observed in diabetes in dogs. There is doubt whether this is associated with chronic nephritis or whether it is due to renal failure as an aftermath of diabetes.

Diabetic nephropathies resulting from microangiopathies of the glomerular tufts and basement membrane injuries are frequent and serious complications of the chronic, poorly controlled, human diabetic. A degree of renal arteriosclerosis is common in diabetic dogs, but this lesion is not comparable to the Kimmelstiel-Wilson lesion seen in humans. Also, only 1 of 10 diabetic dogs at necropsy had a significant renal lesion although most had some degree of nephritis (Cotton *et al.*, 1971). In renal function studies of experimental streptozotocin diabetes (Kaneko *et al.*, 1978b) and in spontaneous diabetes (Kaneko *et al.*, 1979), the urea, creatinine, and phosphate clearances were normal. The blood urea and creatinine concentrations were only slightly elevated, and it was concluded that renal disease is not a significant complication in the dog.

The ketone bodies are very low renal threshold substances, and their appearance in the urine is an early and significant sign of developing ketonemia and acidosis. They are not, however, diagnostic of diabetes because ketonuria is observed in starvation or any form of increased fat catabolism. Ketonuria is also absent in mild diabetes, but ketonurias of varying degrees are common in the advanced diabetic state. Therefore, ketonurias can be useful for prognostication. Generally, the type I diabetic is prone to ketonuria because there is an absence of insulin. In the type II diabetic, ketonuria occurs less frequently because there is ample insulin and ketonuria is seen only when the diabetes has advanced to the point of complete failure of production. Urine pH is of little value in detecting acidosis because only in extreme cases does the pH reflect acidosis.

11. Summary

The alterations in blood plasma that have been described are summarized in Figure 3-16. In the diabetic state, the uptake and hence utilization of glucose by muscle and adipose tissue is depressed. In these tissues, protein and lipid breakdown is enhanced, and increased amounts of their constituent amino acids and fatty acids are released to the circulation and carried to the liver. Increased hepatic urea

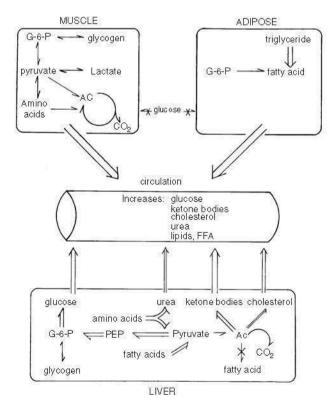


FIGURE 3-16 Summary of metabolic alternations in tissues of major importance in the diabetic animal. Increased flow in the metabolic pathways is noted by larger arrows. FFA = free fatty acids.

production results from the catabolism of these amino acids. Increases in the key gluconeogenic enzymes of the liver, G-6-Pase, PEP-CK, and PC direct glucose metabolism toward an overproduction of glucose. Simultaneously, lipogenesis is suppressed and with the increased mobilization of fatty acids, AcCoA accumulates and is followed by increased cholesterogenesis and ketogenesis. In the peripheral tissues, there is an underutilization of ketones, all of which results in a net increase in blood ketones and subsequent ketoacidosis. Thus, diabetes mellitus is characterized by a fundamental overproduction of and an underutilization of both glucose and ketones as the result of the absolute or relative deficiency of insulin.

B. Hyperinsulinism

After the discovery of insulin, a clinical state with marked similarities to insulin overdosage was recognized as a disease entity in humans and named hyperinsulinism. The disease is now known to be due to a persistent hyperactivity of the pancreas as the result of insulin secreting islet cell tumors. Excess insulin can be extracted from metastatic foci in liver as well as from the pancreatic tumor. There are many reports on this disease in dogs (Hill *et al.*, 1974; Mattheeuws *et al.*, 1976). Priester (1974) and Kruth *et al.* (1982) reviewed pancreatic islet cell tumors in animals in the United States and Canada. In humans, more than 90% of insulinomas are said to be benign, and in those active tumors, complete surgical resection is required to effect a cure (deHerder, 2004).

Hyperinsulinism is characterized by a persistent hypoglycemia with periods of weakness, apathy, fainting, and during hypoglycemic crises, convulsions, and coma. A history relating the attacks to periods after fasting or exercise provides a clinical basis for further investigations. Establishment of the diagnosis depends on finding a hypoglycemia of <3 mmol/l (<55 mg/dl) at the time of symptoms and a hyperinsulinemia, usually $> 20 \,\mu\text{U/ml}$. The symptoms are also relieved by glucose administration. In mild cases, the fasting glucose level may be within the reference range, in which case, diagnostic hypoglycemia may be provoked by sequentially (1) placing on a low carbohydrate diet (meat only) with frequent feedings for 1 week, (2) placing on a 24-hour fast, and finally (3) adding moderate to stressful exercise (e.g., running on a lease for 15min). Blood glucose is determined at the end of each step, and if hypoglycemia is seen at any step, the provocation should be terminated. Serum insulin is determined at this time and a hyperinsulinemia is generally diagnostic of insulinoma. Calculations of ratios—insulin/glucose, glucose/ insulin, amended insulin/glucose—do not offer any advantages over the individual insulin and glucose values.

The glucose tolerance curve is generally characteristic of an increased tolerance if the test is modified: (1) the dog

is on a standard carbohydrate diet for 3 days; (2) the intravenous test is used, and, most important; (3) blood sampling is continued for 6 to 8 hours. A prolongation of the hypoglycemic phase (phase III, Fig. 3-12) is the most significant portion of the curve.

A dog with a tendency toward persistent hypoglycemia is likely to have an abnormal response in the insulin tolerance test, but this is not a reliable test of insulinoma. The tolerance curve may have a minimal drop in blood glucose and remain below the original level for a prolonged length of time. Therefore, the curve has "insulin resistance" and "hypoglycemia unresponsiveness." Use of this test carries some risk for a hypoglycemic crisis, so a glucose solution for intravenous administration should be at hand. Similarly, the glucagon stimulation test has not been a reliable test for hyperinsulinism.

The hypoglycemia that follows oral administration of leucine in children has been used in human patients with islet cell tumors. Marked hypoglycemia occurs within 30 to 60 min after L-leucine administration. Leucine-induced hypoglycemia is also associated with a rise in plasma insulin. In patients with islet cell tumors, leucine sensitivity disappeared after surgical excision of the tumor, which indicates that the tumorous islet cells alone were being stimulated by the leucine. This test has been used successfully in pancreatic islet cell tumors of dogs.

Currently, the most useful tests are the serum insulin and the fasting plasma glucose taken as described earlier. There is an inappropriately high level of insulin (>20 μ U/l) with a hypoglycemia of <3mmol/l (<55mg/dl).

C. Hypoglycemia of Baby Pigs

Hypoglycemia of baby pigs occurs during the first few days of life and is characterized by hypoglycemias of <2.2 mmol/l (<40 mg/dl), apathy, weakness, convulsions, coma, and finally death.

The newborn baby pig is particularly susceptible to hypoglycemia. At birth, the blood glucose level is >6 mmol/l (>110 mg/dl) and, unless the pig is fed or suckles shortly after birth, its blood glucose drops rapidly to hypoglycemic levels within 24 to 36 hours. The liver glycogen, which is high (14.8%) at birth, is almost totally absent at death. In contrast, newborn lambs, calves, and foals are able to resist starvation hypoglycemia for more than a week. If the baby pig suckles, its ability to withstand starvation progressively increases from the day of birth. A 10-day-old baby pig can be starved up to 3 weeks before symptoms of hypoglycemia occur.

Gluconeogenic mechanisms are undeveloped in the newborn pig, which indicates that the gluconeogenic enzymes of the baby pig are inadequate at birth. This also indicates that these enzymes need to be induced by feeding so they can reach their maximal activities within 1 or

2 weeks after birth. The precise hepatic gluconeogenic enzymes and their inducibility by feeding have not yet been identified.

The association of baby pig hypoglycemia with complete or partial starvation is shown by the findings that their stomachs are empty at necropsy, and the syndrome itself is indistinguishable from experimental starvation of the newborn baby pig. Starvation of the newborn pig under natural conditions can occur because of factors relating to the sow (agalactia, metritis, etc.) or to the health of the baby pig (anemia, infections, etc.), either case resulting in inadequate food intake. The requirement for feeding to induce the hepatic gluconeogenic mechanisms in the newborn baby pig explains its inability to withstand starvation in contrast to the newborn lamb, calf, or foal, which is born with fully functioning hepatic gluconeogenesis.

D. Glycogen Storage Diseases

The glycogen storage diseases (GSD) are characterized by the pathological accumulation of glycogen in tissues. Based on their patterns of glycogen accumulation, their clinical pathological findings, their enzymes of glycogen metabolism, and the structural analyses of their glycogen, the GSDs in humans have been classified into types I through X and 0 and into their various subtypes (Shin, 2006). All have an autosomal recessive mode of inheritance except for GSD VIII, which is sex linked. Their glycogen structures are normal except in types III and IV.

Type I or classical von Gierke's disease is characterized by increased liver glycogen leading to a marked hepatomegaly. There is a marked hypoglycemia and the blood glucose response to epinephrine or glucagon is minimal or absent. The liver glycogen structure is normal. The defect in this disease is a deficiency of the enzyme G-6-Pase. Type II or Pompe's disease is a generalized glycogenosis with lysosomal accumulation of glycogen and early death. The defect in this disease is a deficiency of acid- α -glucosidase (AAGase). In type III or Cori's disease, the debrancher enzyme is deficient, which leads to the accumulation of glycogen of abnormal structure. The branches are abnormally short, and there are an increased number of branch points; it is a limit dextrin, and the disease is sometimes called a limit dextrinosis. There is a variable hypoglycemia, little or no response to epinephrine or glucagon, hepatomegaly, cardiomegaly, and early death. In type IV or Andersen's disease, the brancher enzyme is deficient, which leads to a glycogen with abnormally long branches and few branch points. It is clinically similar to type III. In type V or McArdle's disease, muscle phosphorylase (MPase) is deficient, whereas in type VI, it is liver phosphorylase (LPase) that is deficient. Type VII or Tarui's disease is characterized by a deficiency of muscle phosphofructokinase (PFK) with accumulation of glycogen in muscle, and type VIII is deficient in leukocyte or hepatic phosphorylase b kinase (PBK). This disease is uniquely sex linked.

Of these 11 types in humans, only types I, II, III, and VIII are found in animals. Other forms of glycogen storage in animals are described as GSD-like based on their pathological patterns of glycogen accumulation. GSD in animals has been reviewed by Walvoort (1983).

There is an inherited PFK deficiency in the springer spaniel dog, but unlike human type VII GSD, there is no muscle pathology or glycogen accumulation in muscle. The deficiency in the dog is expressed as a hemolytic anemia caused by a deficiency of the PFK isoenzyme in the erythrocytes and is rightly considered to be an inherited erythrocyte enzyme deficiency rather than a GSD (Giger et al., 1985). Mammalian PFK is present in tissues as tetramers composed of combinations of three different subunits: PFK-M (muscle), PFK-L (liver), and PFK-P (platelets). Human and dog muscle and liver have homogenous tetrameric PFK-M4 and PFK-L4, respectively. Human erythrocyte PFK is a mixed tetramer, PFK-L2/PFK-M2, whereas the dog erythrocyte PFK is a mixed tetramer, PFK-M2/ PFK-P2 (Vora et al., 1985). In PFK-M subunit deficiency in the dog erythrocyte, PFK-L replaces PFK-M; PFK-L2/ PFK-P2. In the human erythrocyte, PFK-P replaces PFK-M; PFK-L2/PFK-P2. Although the substituted PFK in the erythrocyte is the same in dog or human, the deficiency in the human is expressed as a GSD, whereas in the dog it is expressed as an exertional hemolytic anemia. The anemia occurs after heavy exertional respiratory stress as in vigorous hunting or exercise. Hyperventilation induces a respiratory alkalosis, which in turn increases the fragility of the erythrocyte and the hemolytic anemia occurs (Giger et al., 1985).

A radiation induced type I GSD occurs as an autosomal recessive condition in the C3H mouse and is characterized by hypoglycemia, early death, and a deficiency of liver G-6-Pase (Gluecksohn-Welch, 1979).

Type II GSD has been described in Brahman cattle (O'Sullivan et al., 1981), the Lapland dog (Walvoort et al., 1982), and the Japanese quail (Murakami et al., 1980). In the Brahman cattle, type II is characterized by early death, generalized glycogen deposition, and a marked decrease in AAGase activity. It is inherited as an autosomal recessive. In the Lapland dog, there is also early death, generalized glycogen deposition, hepatomegaly, and cardiomegaly. There is also a marked decrease in heart and liver AAGase. The Japanese quail with type II is also characterized by early death; glycogen deposition in the heart, liver, and muscles; and decreased AAGase.

Type III occurs in the German Shepherd dog and is characterized by early death, little or no response to epinephrine or glucagon, hepatomegaly, and cardiomegaly with glycogen accumulation. The glycogen has a limit dextrin structure, and there is a very low debrancher enzyme activity in

liver and muscle (Ceh et al., 1976; Rafaguzzaman et al., 1976).

Type VIII is seen in the rat and the mouse. In the rat, the disease is inherited as an autosomal recessive, it appears healthy but is hypoglycemic, has hepatomegaly because of glycogen accumulation in the liver, and has a very low liver phosphorylase kinase activity (Clark *et al.*, 1980). The affected mouse is apparently healthy but has increased glycogen accumulation in the muscle with a very low muscle PBK. The inheritance is sex linked (Gross, 1975).

X. DISORDERS OF RUMINANTS ASSOCIATED WITH HYPOGLYCEMIA

A. General

The principal disorders of domestic ruminants in which hypoglycemia is a salient feature are bovine ketosis and ovine pregnancy toxemia. Pregnancy toxemia characteristically is a widespread disease of high mortality occurring in the pregnant ewe just before term, the time when carbohydrate demands are highest, especially in those ewes carrying more than one fetus. Bovine ketosis, on the other hand, occurs in the high producing dairy cow, characteristically during the early stages of lactation when milk production is generally the highest. Abnormally high levels of the ketone bodies, acetone, AcAc, 3-OH-B, and isopropanol appear in blood, urine, and in the milk. The clinical signs of ketosis accompany these alterations: loss of appetite, weight loss, decrease in milk production, and nervous disturbances.

The energy metabolism of the ruminant is focused on the utilization of the volatile fatty acids produced by rumen fermentation rather than on carbohydrates as in the nonruminant. The carbohydrate economy of the ruminant is significantly different from that of the nonruminant, and an appreciation of these differences is important to the understanding of these metabolic disorders of the ruminant.

B. Carbohydrate Balance

1. Glucose Requirements

The heavy demands for glucose in early lactation and in late pregnancy are well known. Kleiber (1959) calculated that about 60% of the lactating cow's daily glucose requirement is for the production of milk. The balance sheet (Table 3-10) indicates a total daily glucose requirement of 1140g of which 700g appear in the milk. For sheep in late pregnancy, the fetus utilizes about one-third to one-half of the daily glucose turnover of 100g.

A good approach to assess the glucose requirements of an animal is to measure its turnover rate or the rate at which glucose enters or leaves the circulation. This is best

A Cow's daily glucose flux			
1. In 12.5kg milk:	Carbohydrate carbor		
610 g lactose	257g C/day		
462 g milk fat with 58 g glycerol	23g C/day		
Carbohydrate carbon in milk/day	280 g C/day		
2. Daily glucose catabolism			
$ {\rm Cow\ produced\ daily\ 3288\ liters\ CO}_2 = 176 $	2 g C		
Transfer quotient plasma glucose \rightarrow CO_2	is 0.1		
Thus glucose to CO ₂ /day = 176 g C/day			
1 + 2 = daily flux of glucose	456g C/day		
$\frac{180}{72} \times 456 = 1140 \text{ g glucose/day}$			
B. Cow's glucose sources			
Cow secreted daily in urine 34 g N,			
indicating catabolism of 213 g protein	= 100 g C/day		
Less C in urea	= 14g C/day		
Maximum available for glucose synthesis from protein	= 96g C/day		
Glucose flow in milk and respiration	= 456 g C/day		
Thus glucose flow from nonprotein sources	= 360 g C/day		

TABLE 3-10 Carbohydrate Balance Sheet^a

measured by the use of isotopically labeled glucose and has been used in lactating cows. It has been estimated to be 1440 g/day (60 g/h) in cows and about 144 g/day (6 g/h) in normal pregnant ewes just before term.

 \times 360 = 900 g glucose daily must have been supplied from

a nonprotein source

2. Glucose Sources

^a From Kleiber (1959).

The large amounts of indigestible carbohydrates ingested by ruminants are fermented to volatile fatty acids by the rumen microflora. Little, if any, of the digestible carbohydrates (starch, glucose) in the diet escapes this fermentation, so that glucose absorption from the digestive tract accounts for virtually none of the daily glucose requirement of ruminants. However, if any glucose escapes rumen fermentation (e.g., in gastrointestinal disease), it is readily absorbed.

An indirect source of blood glucose is ruminal lactic acid. Lactic acid is a product of many fermentation reactions, and ruminal lactate can be absorbed. The blood lactate can be a source of blood glucose via the lactic acid cycle

(Fig. 3-4). However, the principal source of blood lactate is the breakdown of muscle glycogen. Therefore, some of the ruminant's glucose requirement may be met by lactate, but this is minimal because excess lactic acid in the rumen is toxic.

The carbohydrate balance sheet (Table 3-10) provides the contribution of protein as a source of carbohydrate for the lactating cow. Because glucose absorption in the ruminant is minimal, the balance sheet also illustrates the importance of an alternate nonprotein source of carbohydrate carbon. These sources are the ruminal volatile fatty acids. The principal products of rumen fermentation are the volatile fatty acids, acetate, propionate, and butyrate. These acids are absorbed across the rumen wall and are the major source of nutriment for the ruminant. Various authors have used a variety of techniques to estimate the amounts of production and absorption of these acids. These fatty acids are found in blood in approximately the following proportions: acetate, 65; propionate, 20; and butyrate, 10. Further details of fatty acid production and absorption by the ruminant may be found in the chapter on lipid metabolism. In general, carbon atoms of acetate, although they appear in carbohydrate (blood glucose, milk lactose) through the mechanism of rearrangement in the TCA cycle (Fig. 3-9), cannot theoretically contribute to the net synthesis of carbohydrate. Thus, acetate is not a glucogenic compound. The large amounts of acetate provided by rumen fermentation are utilized for energy purposes and for the synthesis of fat. A possible mechanism for the direct incorporation of acetate into a glucose precursor is the so-called glyoxylate pathway, which occurs in plants but not in animals.

Propionate, on the other hand, is a well-known precursor of carbohydrate. The pathway leading to a net synthesis of glucose from propionate is available via the reaction

$$propionate + CO_2 \rightarrow succinate$$

as shown in Figure 3-9. According to the scheme, two moles of propionate are required for the synthesis of a mole of glucose, so 1g of propionate theoretically can provide 1.23g of glucose. The amounts of propionate available from rumen fermentation can theoretically supply the glucose requirements not accounted for by protein sources.

Butyrate, the third major fatty acid of rumen fermentation, influences glucogenesis but does not contribute carbon directly to glucose. Butyrate stimulates glucose production by liver by increasing phosphorylases and gluconeogenesis. The AcCoA derived from β -oxidation of butyrate also activates pyruvate carboxylase, a key gluconeogenic enzyme, which further promotes gluconeogenesis.

3. Utilization of Glucose

The overall utilization of glucose by the ruminant has significant differences from that of other animals. Acetate

oxidation rather than glucose plays the important role in energy metabolism of the ruminant. Only about 10% of the respiratory $\rm CO_2$ arises from glucose oxidation, which is considerably less than the 25% to 60% for the rat, dog, and human. The glucose tolerance of the cow, however, is the same as in other animals. The plasma clearance $\rm T_{1/2}$ of 33 min in the cow is similar to that of dogs (Kaneko et al., 1977) and humans.

About 60% of the glucose oxidized in the mammary gland of the lactating cow occurs via the HMP pathway (Fig. 3-6), the same as in the rat mammary gland. HMP pathway activity in the ruminant mammary gland is also evidenced by the high activities of the HMP enzymes, G-6-PD and 6-P-GD, in sheep and cows mammary glands. Thus, even though overall glucose utilization is lower in ruminants, their pathways of glucose catabolism are the same as in other animals. As in other animals, the HMP pathway is the major provider of the reductive atmosphere for the synthetic processes of the mammary gland.

Through the TCA cycle pathway, carbons from acetate, from whatever source, appear in milk products (Fig. 3-17). Glucose carbon atoms may be given off as CO_2 , appear in the amino acids of milk protein via transamination of oxaloacetate and α -ketoglutarate, or appear in milk fat. The short chain fatty acids of butterfat are synthesized from acetate in the mammary gland, whereas the long chain acids of butterfat are derived from blood lipids. The synthetic pathway for fatty acids in the gland is the same as that in other animal tissues (see Section IX).

The major portion of the glucose uptake by the mammary gland provides for the biosynthesis of milk. The glucose and galactose moieties of lactose are derived from blood glucose. The rate of lactose synthesis is also constant over a wide range of blood glucose concentrations of 1.1 to 4.4 mmol/l (20–80 mg/dl), which indicates that lactose synthesis is maximal even under hypoglycemic conditions.

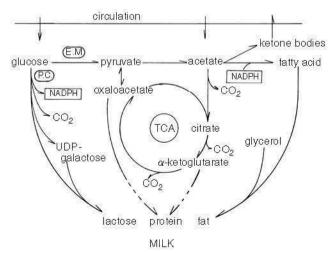


FIGURE 3-17 Summary of some metabolic pathways in the mammary gland.

The mammary gland, therefore, is a glucose-utilizing tissue, principally for biosynthesis and considerably less is oxidized. The principal metabolic pathways involved are summarized in Figure 3-17.

Ruminant nervous tissue (i.e., brain) is also similar to that of other animals in being an obligatory glucose-utilizing tissue. The HK activity of sheep brain, however, is significantly lower than that of rat brain. This means that even though there is the same obligatory glucose requirement between the ruminant and nonruminant, glucose utilization by ruminant nervous tissue is lower than in the nonruminant. Similarly, ruminant intestine and muscle use less glucose than nonruminants.

With regard to organ distribution of gluconeogenic enzymes, highest G-6-Pase activities are found in ruminant livers as compared to other organs of ruminants and are generally equal to or slightly lower than the activities found in nonruminant livers. During early lactation, the period when a cow's glucose requirement is highest, hepatic G-6-Pase does not increase. Similarly, cow liver PEP-CK, a key gluconeogenic enzyme, is already very high in comparison to that of rat liver. All of this is in keeping with the concept that liver is primarily a glucose producing tissue. This also means that the high producing dairy cow that has been genetically selected for these qualities is already synthesizing glucose maximally under normal conditions. It follows that any additional demands for glucose from physical stress, disease, and so on are unlikely to be met by increased glucose production. This glucose shortage leads to ketosis, the primary form from excess milk production or secondary form from the stress of a disease.

To summarize, the ruminant appears to be an animal well adapted to a carbohydrate economy based on the endogenous synthesis of glucose from noncarbohydrate sources (gluconeogenesis). The enzymatic mechanisms for gluconeogenesis are already operating at near maximal levels in the high producing dairy cow. Glucose oxidation by individual tissues as well as by the intact animal is lower in ruminants than in nonruminants. Although overall partitioning of glucose oxidation may be different in ruminants, the pathways by which this oxidation is accomplished are similar to those of other animals (Fig. 3-17). The endocrine relationships of ruminants are also qualitatively similar to those of nonruminants so that the normally low blood glucose concentrations of ruminants are a reflection of their degree of influence or balance rather than their type of action.

C. Biochemical Alterations in Body Fluids

1. Hypoglycemia and Ketonemia

Hypoglycemia is such a consistent finding in bovine ketosis and in ovine pregnancy toxemia that "hypoglycemia"

has been suggested as another name for bovine ketosis. This hypoglycemia has played an important role in ketosis, as a rationale for therapy and as a basis for the concept of ketosis and pregnancy toxemia as manifestations of a carbohydrate deficiency, which occurs under conditions of excessive and insurmountable demands.

The ketone bodies are the same as those previously mentioned (Section V.3): AcAc, 3-OH-B, and acetone. A fourth compound, isopropanol, is included for the ruminant, and interconversions can occur between these ketone bodies. The fundamental mechanism and pathogenesis of ketosis are covered in the lipids and ketones chapter.

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Lipids and Ketones

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REFERENCES

I. INTRODUCTION

This chapter covers the biochemistry and clinical chemistry of long chain fatty acids, triacylglycerols, phospholipids, cholesterol, and ketones, a list that includes the majority of lipids found in vertebrates. The only remaining major classes are sphingolipids and waxes, which are not discussed here. Although lipids have many functions, two of the most important are energy storage and membrane structure. Triacylglycerols are by far the most important lipid with regard to energy storage, and phospholipids and cholesterol are the most important lipid membrane constituents. Lipids serve other functions, including being precursors for steroids and bile acids (cholesterol), thermal insulation (triacylglycerols), and electrical insulation (various lipids). Virtually all lipids are insoluble in water, which greatly complicates their handling in the body. Because of their insolubility, lipids must rely on proteins for transport for any significant distance in the body, and various proteins have evolved to provide this function. The insolubility of lipids is an asset as well as a liability. Because of their insolubility, lipids generate no osmotic force, so large amounts of triacylglycerol can be stored in adipose without the weight gain from water that would accompany it if it were soluble. The insolubility of lipids is vital to many of their functions in membranes.

II. LONG CHAIN FATTY ACIDS

A. Structure, Properties, and Assay of Long Chain Fatty Acids

Long chain fatty acids (LCFA), frequently called free fatty acids or nonesterified fatty acids, are straight chain fatty acids containing twelve or more carbon atoms. Because LCFA are usually synthesized in animals or plants from acetyl-CoA and are then degraded two carbons at a time via β -oxidation in animals, the LCFA found most commonly in animals have an even number of carbon atoms. LCFA having

carbon chain lengths of 16 and 18 constitute the greatest bulk of fatty acids in animal tissues and most animal diets. The saturated 16-carbon LCFA is palmitic acid, and the saturated 18-carbon LCFA is stearic acid. Unsaturated 18carbon LCFA are common, with double bonds occurring at C_9 — C_{10} (oleic acid); at C_9 — C_{10} and C_{12} — C_{13} (linoleic acid); and at C_9 — C_{10} , C_{12} — C_{13} , and C_{15} — C_{16} (linolenic acid). The double bonds found in fatty acids in nature are mostly of the cis configuration. Ruminant fat contains more trans-LCFA than that of nonruminants because rumen microbes isomerize some plant cis-LCFA to trans isomers. Unsaturated LCFA have a lower melting point than saturated LCFA with the same number of carbons and are more susceptible to spontaneous oxidation (Gurr et al., 2002). The 20-carbon polyunsaturated fatty acids, arachidonic acid (double bonds at C5-C6, C8-C9, C11-C12, C14-C₁₅) and eicosapentaenoic acid (also called timnodonic acid), which is arachidonic acid with an additional double bond at C₁₇—C₁₈, are the precursors of the eicosanoids (prostaglandins, leukotrienes, thromboxanes).

Long chain fatty acids are relatively insoluble in water at physiological pH. They dissolve readily in highly alkaline solutions, forming soaps. LCFA are amphiphilic, being quite polar (hydrophilic) at their carboxyl end and quite nonpolar (hydrophobic) at the methyl end. All LCFA must bind to proteins in order to be transported for any significant distance, and albumin is the primary transport protein in plasma (Gurr *et al.*, 2002).

Plasma LCFA concentrations can be determined spectrophotometrically with a specific enzymatic reaction, which involves direct reaction of plasma LCFA to form LCFA-CoA. Then, LCFA-CoA is oxidized using LCFA-CoA oxidase, which produces hydrogen peroxide. The hydrogen peroxide is used to produce a colored product under the catalysis of peroxidase (Demacker *et al.*, 1982; Shimizu *et al.*, 1980). If a sample contains triacylglycerol and lipase, which is not uncommon, LCFA may be released if the sample is allowed to stand. Falsely high LCFA may be avoided by centrifuging blood samples and freezing the plasma immediately after collection or by adding paraoxon, a lipase inhibitor (Degen and Van der Vies, 1985).

B. Synthesis of Long Chain Fatty Acids

LCFA may be synthesized in most tissues, but only liver, adipose, or mammary tissue does it on a large scale. Synthesis occurs in the cytosol from acetyl-CoA. The precursor of the acetyl-CoA used for LCFA synthesis is usually acetate or glucose, with the former being important in ruminants and the latter being important in nonruminant mammals. When acetate is the acetyl-CoA precursor, it is formed from plasma acetate in the cytosol, the same cellular location as the enzymatic machinery needed to manufacture the LCFA. However, when glucose is the precursor, it must go through glycolysis, which has its terminal

enzyme, pyruvate dehydrogenase, located in the mitochondria. Thus, the acetyl-CoA is produced in the mitochondria, which is a problem if it is to be used for LCFA synthesis because the inner mitochondrial membrane is relatively impermeable to acetyl-CoA (Rangan and Smith, 2002).

This problem has been solved by a mechanism known as the citrate shuttle, which is shown in Figure 4-1. Acetyl-CoA in the mitochondria combines with oxaloacetate under the catalysis of citrate synthase to form citrate. Citrate is translocated across the mitochondrial membrane where it is cleaved into acetyl-CoA and oxaloacetate by ATP-citrate lyase. Thus, acetyl-CoA has been effectively transported from mitochondrion to cytosol. What remains is for the oxaloacetate to reenter the mitochondria to complete the cycle. However, the inner mitochondrial membrane is also impermeable to oxaloacetate, so it is first converted to malate-by-malate dehydrogenase or aspartate-by-aspartate aminotransferase in the cytosol. The malate or aspartate is translocated into the mitochondrion where it can be converted back to oxaloacetate by reversal of the reactions that occurred in the cytosol. Alternately, malate in the cytosol can be converted to pyruvate by malic enzyme, and the pyruvate can enter the mitochondrion and be converted to oxaloacetate by pyruvate carboxylase (Rangan and Smith, 2002).

Once acetyl-CoA reaches or has been formed in the cytosol, it must be carboxylated to produce malonyl-CoA via acetyl-CoA carboxylase if it is to be used for LCFA synthesis. This biotin-containing enzyme catalyzes the following reaction:

Acetyl-CoA Carboxylase

$$CH_3CO-CoA + CO_2 + ATP \cdot \cdot \cdot$$

 $^-OOCCH_2CO-CoA + H^+ + ADP + P_i$

Acetyl-CoA carboxylase is the main regulatory site in the synthesis of LCFA, which makes sense because the cell has little use for malonyl-CoA other than the synthesis of LCFA. The enzyme is activated by citrate, which is logical because citrate will be abundant only when there is a plentiful supply of mitochondrial acetyl-CoA. In addition, acetyl-CoA carboxylase is directly inhibited by LCFA-CoA, which can be derived from the synthetic process itself or from uptake and activation of plasma LCFA. Acetyl-CoA carboxylase is also regulated by hormones via phosphorylation of the enzyme itself. Glucagon and LCFA-CoA stimulate phosphorylation, which inhibits the enzyme. Insulin activates the enzyme quickly by stimulating dephosphorylation (Rangan and Smith, 2002). These controls make sense in that a fasting or exercising animal will have its capacity for LCFA synthesis suppressed by increased plasma glucagon and LCFA levels, decreased plasma insulin, and increased intracellular LCFA-CoA. Conversely, in a recently fed animal, these controls will all be reversed to promote LCFA synthesis.

Malonyl-CoA is used as the building block for LCFA in the cytosol by a large, complex, multiunit enzyme called

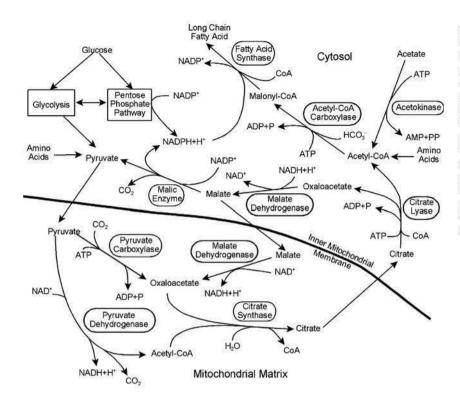


FIGURE 4-1 Fatty acid synthesis. Acetyl-CoA is generated in the mitochondria from pyruvate but cannot penetrate the mitochondrial membrane to reach fatty acid synthesizing enzymes in the cytosol. Citrate is formed from acetyl-CoA and oxaloacetate and migrates to the cytosol where it is cleaved to regenerate acetate and oxaloacetate. The acetyl-CoA is converted into malonyl-CoA and used for fatty acid synthesis. The oxaloacetate cannot penetrate the mitochondrial membrane but must be converted to malate or pyruvate, which can penetrate the membrane and be converted back to oxaloacetate in the mitochondria. NADPH needed for fatty acid synthesis is generated by the pentose phosphate pathway and malicenzyme.

fatty acid synthase. Fatty acid synthase uses malonyl-CoA to add two carbon units at a time to a growing LCFA chain that is attached to the enzyme itself, and it uses NADPH to reduce the oxygen that was attached to what was the end carbon of the old LCFA chain. The reaction proceeds in a series of distinct steps, which all occur on the same enzyme complex. The overall reaction is as follows:

The subscript, n, in the structural formula for the growing LCFA is an even number ranging from zero (i.e., the starting acetyl group) to usually no more than eight (stearate). The process begins when an acetyl group binds to the enzyme complex and usually ends when a palmityl (16-carbon) group has been formed on the enzyme, at which point the LCFA is detached from the enzyme. New carbons are added to the carboxyl end, not the methyl end, of the growing LCFA. The carbon atom in the carbon dioxide produced in the fatty acid synthase reaction is the same carbon atom in the carbon dioxide used to form malonyl-CoA from acetyl-CoA.

Cellular synthesis of the enzymes directly involved in LCFA synthesis (acetyl-CoA carboxylase and fatty acid synthase) and the enzymes involved in the generation of NADPH and acetyl-CoA translocation is stimulated by diets that are high in carbohydrate and low in fat and suppressed by fasting, high-fat/low-carbohydrate diets, and diabetes. These changes appear to be brought about, in part, by alterations in plasma insulin and glucagon that accompany diet changes or diabetes (Gurr *et al.*, 2002; Rangan and Smith, 2002).

Fatty acid synthesis is expensive energetically. To add a single acetyl-CoA to a growing LCFA chain, one ATP is used directly and six more are used indirectly (each of the two NADPH is equivalent to three ATP). Because fatty acid synthesis occurs in the cytosol and requires NADPH, there must be a generous source of that cofactor when fatty acid synthesis is active. The main source of NADPH for fatty acid synthesis is the hexose monophosphate pathway in the cytosol. This pathway utilizes plasma glucose in the case of adipose or mammary tissue, whereas in the liver, it can use plasma glucose, glycogen, or gluconeogenesis as the hexose source. Another source of NADPH in the cytosol is the malic enzyme reaction. These sources of NADPH are illustrated in Figure 4-1.

Although the most common length for nascent LCFA when they are released from fatty acid synthase is 16 carbons, they can be 18 carbons or, in the case of fat synthesis in the mammary gland, as short as 4 carbons. When LCFA are detached from fatty acid synthase, they are rapidly thioesterified to CoA by LCFA-CoA synthetase, an enzyme found in the endoplasmic reticulum and outer mitochondrial membrane. Most of the palmitate produced by fatty acid synthase will be elongated to produce stearate by fatty acid elongase, an enzyme found mainly in the endoplasmic reticulum but also in mitochondria. This enzyme adds

2 new carbons at the carboxyl end of the existing LCFA. Fatty acid elongase uses the same substrates (malonyl-CoA and NADPH) as fatty acid synthase, but it is located in a different part of the cell and prefers palmityl-CoA as its substrate. However, fatty acid elongase can use longer LCFA-CoA as substrates to a limited degree to produce LCFA-CoA with a length of as great as 24 carbons (Cook and McMaster, 2002).

Nonruminant mammals synthesize LCFA in liver, adipose, and mammary tissue. Ruminants synthesize LCFA primarily in adipose and mammary tissue with acetate being the most important precursor. Ruminants generally have a low capacity for LCFA synthesis in liver, but after eating large amounts of high-starch diets, they may synthesize some LCFA in the liver from acetate and propionate (Hanson and Ballard, 1967; Ingle, 1972a, 1972b; Liepa 1978).

C. Catabolism of Long Chain Fatty Acids

1. Desaturation

Most animals are capable of desaturating LCFA only at the • 4, • 5, • 6, and • 9 positions (counting from the carboxyl end). Animals are able to desaturate palmityl-CoA and stearyl-CoA between C9 and C10 by means of • 9 desaturase system located in the endoplasmic reticulum to produce palmitoleyl-CoA and oleyl-CoA, respectively. However, animals are not able to create additional double bonds beyond C₉ in these products to any significant extent, so linoleic and linolenic acids must be absorbed from the intestinal tract (Cook and McMaster, 2002). By a combination of the actions LCFA elongase and • 4, • 5, and • 6 desaturase systems, the livers of most mammals can synthesize arachidonic acid and eicosapentaenoic acid from linoleic and linolenic acids, respectively. However, the cat has very low levels of • 6 desaturase in its liver and must have arachidonic acid in its diet (MacDonald et al., 1984).

2. · - Oxidation

The main catabolic route for LCFA is β -oxidation. Most tissues can perform β -oxidation (erythrocytes are an exception), but those most adept at it are liver, skeletal muscle, and heart. In addition, the liver can partially oxidize LCFA to ketones, an important process that will be discussed extensively later. Before LCFA can be subjected to β -oxidation, they must be esterified to CoA, which is accomplished by the following reaction:

$$LCFA + ATP + CoA \cdot \cdot \cdot LCFA - CoA + AMP + PP$$

The reaction is catalyzed by LCFA-CoA synthetase, an enzyme bound to the endoplasmic reticulum and the outer mitochondrial membrane. The pyrophosphate (PP) is rapidly hydrolyzed, so the reaction effectively consumes two

ATP. The activation of LCFA is not rate limiting for β -oxidation (Pande, 1971).

For LCFA-CoA to be catabolized, it must pass into the mitochondrion, which is a problem because the inner mitochondrial membrane is impermeable to it. The CoA must be exchanged for a carnitine moiety, a reaction catalyzed outside the mitochondrion by carnitine acyltransferase I (Fig. 4-2):

LCFA-CoA + carnitine • • • LCFA-carnitine + CoA

LCFA-carnitine passes readily through the inner mitochondrial membrane and is acted on by carnitine acyltransferase II, which converts the LCFA-carnitine back to LCFA-CoA (Kopec and Fritz, 1973).

Carnitine acyltransferase I appears to be controlled by inhibition by malonyl-CoA (McGarry *et al.*, 1977), and it is logical that when lipogenesis is stimulated, the LCFA that are produced should be prevented from entering the mitochondrion where they will be catabolized.

In the mitochondrion, the process of β -oxidation per se cleaves the LCFA into acetyl-CoA units. The reaction sequence is as follows:

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\begin{array}{lll} R\text{-}CH_2\text{-}CO_2\text{-}CoA + FAD \\ & \bullet \text{-}acy\text{-}CoA \text{-}dehydrogenase} \bullet & R\text{-}CH\text{-}CH\text{-}CO\text{-}CoA \\ & + FADH_2 \\ R\text{-}CH\text{-}CH\text{-}CO\text{-}CoA + H_2O \\ & \bullet \text{-}\Delta^2\text{-}enoy\text{-}CoA \text{-}hydrafase} \bullet & R\text{-}C(OH)\text{H}\text{-}CH_2\text{-}CO\text{-}CoA \\ R\text{-}C(OH)\text{H}\text{-}CH_2\text{-}CO\text{-}CoA + NAD}^+ \\ & \bullet \text{-}\text{-}\text{L}(\mbox{+})\text{-}3\text{-}hydroxyacy\text{-}CoA \text{-}dehydrogenase} \bullet \\ R\text{-}CO\text{-}CH_2\text{-}CO\text{-}CoA + NADH + H}^+ \\ R\text{-}CO\text{-}CH_2\text{-}CO\text{-}CoA + CoA \\ & \bullet \text{-}\text{-}\text{thio}\text{-}lase} \bullet & R\text{-}CO\text{-}CoA + CH_3\text{-}CO\text{-}CoA \\ \end{array}
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The resulting acyl-CoA is two carbons shorter and can recycle through the pathway. Each trip of an acyl-CoA through the pathway generates one FADH $_2$ and one NADH $_2$ H 1, which can generate 5 ATP via oxidative phosphorylation. If the LCFA has an odd number of carbons, which is rare, the final product of β -oxidation will be propionyl-CoA. The double bond produced by the acyl-CoA dehydrogenase reaction is of trans configuration, not the cis configuration occurring in unsaturated LCFA found free or esterified to glycerol.

Unsaturated LCFA can proceed through β -oxidation to within three carbons of the double bond. As this point, • 2 -enoyl-CoA hydratase cannot act because it requires a trans, rather than a cis, configuration in its substrates, and it requires that the double bond be between C_2 and C_3 rather than between C_3 and C_4 . At this point, • 3 , • 2 -enoyl-CoA isomerase will convert the • 3 -cis double bond to a • 2 -trans double bond, which will allow β -oxidation to proceed

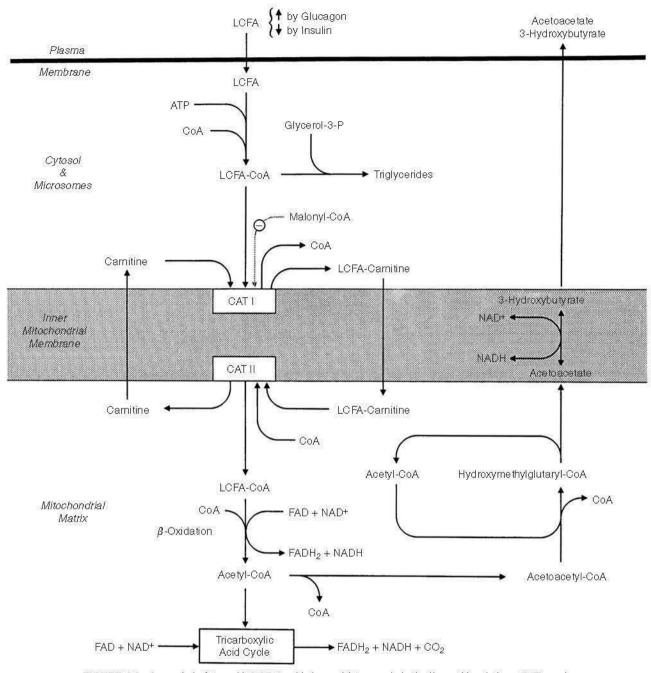


FIGURE 4-2 Long chain fatty acid (LCFA) oxidation and ketogenesis in the liver. Abbreviations: CAT, carnitine acyltransferase.

(Kilponen *et al.*, 1991). Polyunsaturated LCFA require an additional enzyme, 2,4-dienoyl-CoA reductase, because after enoyl-CoA isomerase acts, the new trans double bond will still have the second cis double bond in close proximity, which will prevent • ²-enoyl-CoA hydratase from acting. 2,4-Dienoyl-CoA reductase effectively eliminates the second double bond by reducing it with NADPH (Roe *et al.*, 1990).

 β -Oxidation in the mitochondria appears to be controlled mainly by substrate availability. The acetyl-CoA units can

be oxidized in the citric acid cycle provided there is sufficient oxaloacetate to condense with them to form citrate. Alternatively, acetyl-CoA units can be recondensed to form ketones, which will occur when there is not sufficient oxaloacetate for citrate formation or when citrate synthase is inhibited by high levels of citrate. Although β -oxidation occurs mostly in mitochondria, the process occurs to a minor extent in peroxisomes as well (Wanders *et al.*, 1992).

Although the main catabolic route for LCFA is β -oxidation, there are two quantitatively minor alternatives.

 α -Oxidation, in which carbons are removed one at a time from the carboxyl end of the LCFA, is used by brain tissue to produce LCFA of varying lengths for synthesis of complex lipids. ω -Oxidation, which is oxidation that occurs at the methyl, rather than at the carboxyl, end is conducted to a limited extent by the cytochrome P-450 system in the endoplasmic reticulum of liver. The resulting dicarboxylic acid can then undergo β -oxidation to a chain length of six carbons (adipate), most of which will be excreted in the urine (Gurr *et al.*, 2002; Mortensen, 1990).

III. TRIACYLGLYCEROL

A. Structure, Properties, and Assay of Triacylglycerol

The main storage forms of LCFA are the triacylglycerols (also called triglycerides), in which three LCFA are esterified to glycerol. Triacylglycerols are even less soluble than LCFA and also must be bound to proteins in complexes called lipoproteins for transport through plasma.

Assay of triacylglycerol in plasma or serum is best accomplished by enzymatic hydrolysis using lipase followed by enzymatic determination of the released glycerol (Klotzsch and McNamara, 1990; McGowan et al., 1983). If high plasma glycerol levels are likely, as it is in animals that have not eaten lately, a plasma blank must be run. Older methods that use alkaline hydrolysis require caustic reagents, consume more time, and may assay phospholipids plus triacylglycerol. Contamination of samples with glycerol, which is sometimes used to lubricate stoppers of blood collecting tubes, or with soap, which may contain glycerol or fatty acids, will lead to falsely elevated values. If the sample contains lipase, which is not uncommon, triacylglycerol levels will decrease if it is allowed to stand. Prompt centrifugation of blood samples followed by rapid analysis or freezing of the plasma will prevent falsely low triacylglycerol levels.

B. Synthesis of Triacylglycerol

Although most cells can synthesize triacylglycerols, liver, adipose, mammary gland, and small intestine are particularly adept at it. LCFA-CoA are the building blocks for triacylglycerol synthesis, and it should be realized that there are two sources of LCFA-CoA for triacylglycerol synthesis: LCFA in the plasma and LCFA synthesized locally. Generally, physiological or pathological circumstances, such as starvation or diabetes, which promote high plasma levels of LCFA, suppress LCFA synthesis. Physiological circumstances that promote LCFA synthesis, such as eating a carbohydrate meal, also inhibit lipolysis in adipose, so plasma LCFA levels are not elevated.

To form triacylglycerols, LCFA-CoA are esterified to glycerol-3-P. Glycerol-3-P can be produced in the liver

from glycerol, which is absorbed from the plasma, and ATP in a reaction catalyzed by glycerol kinase:

Glycerol is normally plentiful in plasma only when there is active lipolysis occurring in adipose tissue.

When glucose is plentiful in the plasma and LCFA are being synthesized from glucose via acetyl-CoA, glycerol-3-P is also synthesized from glucose in liver, mammary gland, and adipose. This process occurs via glycolysis to dihydroxyacetone-P followed by a reduction catalyzed by glycerol-3-P dehydrogenase:

dihydroxyacetone-P + NADH +
$$H^+ \cdot \cdot \cdot$$
 glycerol-3-P + NAD+

LCFA-CoA is esterified to glycerol-3-P by glycerol-P acyltransferase:

This reaction occurs in both mitochondria and smooth endoplasmic reticulum, but the smooth endoplasmic reticulum enzyme is more plentiful and most important in triacylglycerol synthesis. Next, another LCFA-CoA is esterified by the enzyme, acylglycerol-P acyltransferase, which is located in the smooth endoplasmic reticulum:

Phosphatidate (the ionized form of phosphatidic acid) is 1,2-diacyl-glycerol-3-P. Next, the phosphate is hydrolyzed from phosphatidate by phosphatidate phosphohydrolase to produce a diacylglycerol:

This reaction occurs in the smooth endoplasmic reticulum and cytosol. Finally, a last LCFA-CoA is esterified by the enzyme diacylglycerol acyltransferase, an enzyme located in the smooth endoplasmic reticulum (Bernlohr *et al.*, 2002):

If the triacylglycerol has been synthesized in adipose, it will migrate into the large storage vesicle that each adipocyte possesses. Most of the triacylglycerol synthesized in liver normally will be incorporated into and exported from the liver as part of very low density lipoproteins (VLDL). However, if triacylglycerol synthesis exceeds hepatic export capacity, triacylglycerol will accumulate in vesicles in hepatocytes, leading to fatty liver. If the triacylglycerol has been synthesized in mammary gland, the resulting triacylglycerols will accumulate in vesicles of secretory cells, and the vesicles will be extruded into the lumina of the gland acini.

The regulation of triacylglycerol synthesis is not fully understood and differs among tissues. In small intestine, substrate availability is most important because triacylglycerol synthesis in that organ is an integral part of triacylglycerol absorption. In mammary gland, substrate availability and the hormones that support lactation regulate triacylglycerol synthesis.

In liver, the limiting enzyme in the pathway appears to be phosphatidate phosphohydrolase. This enzyme is subject to an interesting control mechanism in which it is switched between a less active and more active state by the enzyme itself being translocated between the cytosol and endoplasmic reticulum, respectively. Intracellular cAMP, which increases with high plasma glucagon or low plasma insulin levels (e.g., fasting or diabetes), inhibits binding of the enzyme to the endoplasmic reticulum, whereas LCFA or LCFA-CoA promote binding of the enzyme to the endoplasmic reticulum (Bernlohr *et al.*, 2002; Gurr *et al.*, 2002). The role of LCFA and LCFA-CoA in promoting synthesis of triacylglycerols in the liver is important and explains how fat synthesis and fatty liver can occur in the fasting state when hormonal changes would oppose triacylglycerol synthesis.

In adipose tissue, the synthesis of triacylglycerol is very much regulated by hormones, especially glucagon, catecholamines, and insulin. The first two hormones increase intracellular cAMP, and the latter tends to decrease it, although insulin probably has effects independent of cAMP. In conditions in which glucagon would be elevated and insulin would be decreased (e.g., fasting), hormone-sensitive lipase will be activated and lipolysis will be occurring. It is important that fat synthesis not be operative during lipolysis, so as not to waste energy. Low insulin and elevated catecholamine or glucagon levels decrease the level of lipoprotein lipase (LPL) in adipose tissue. Fat cells need LPL in order to hydrolyze plasma triacylglycerol so that the resulting LCFA can be absorbed and used for triacylglycerol synthesis. Decreased plasma insulin levels will decrease entry of glucose into adipocytes, which will result in less glycerolphosphate being synthesized. Increased intracellular cAMP in adipose tissue decreases the activity of several key enzymes in fat synthesis, including fatty acyl-CoA synthetase, glycerolphosphate acyltransferase, phosphatidate transferase, and diacylglycerol acyltransferase; however, the mechanism of inhibition is uncertain (Saggerson, 1988).

C. Catabolism of Triacylglycerol

Catabolism of triacylglycerol involves the action of lipases, which are specialized esterases that hydrolyze glyceride bonds. The major lipases are pancreatic lipase, hepatic lipase, hormone-sensitive lipase of adipose, lipoprotein lipase found on endothelial cells, and lysosomal lipases contained in most cells. Pancreatic lipase is the essential lipase for digestion of triacylglycerol in the GI tract and is discussed later. Hepatic lipase is synthesized in hepatocytes

from where it migrates to the surface of hepatic endothelial cells. Hepatic lipase primarily attacks triacylglycerol in the plasma, which are part very low density lipoprotein (VLDL) remnants to produce low-density lipoproteins (LDL), and it attacks triacylglycerol in high-density lipoproteins (HDL) as well.

Lipoprotein lipase attacks triacylglycerol in chylomicrons and VLDL in plasma and is found on the endothelium of many organs and tissues, but it is in greatest quantity in adipose, heart, skeletal muscle, and mammary gland. Lipoprotein lipase is synthesized by the underlying tissue and migrates to the capillary endothelium where it is anchored on the cell surfaces to glycoproteins, which have polysaccharide chains structurally similar to heparin. If heparin is injected into an animal, lipoprotein lipase can switch its attachment from cell surface glycoproteins to the free injected heparin and, thus, appears in the plasma. If the animal had a lipemia before injecting the heparin, the large amount of lipoprotein lipase released into the plasma will clear the lipemia. Phospholipids and apolipoprotein C-II must be present for lipoprotein lipase to have full activity (Fielding and Fielding, 2002).

IV. PHOSPHOLIPIDS

A. Structure and Properties of Phospholipids

Most of the phospholipids found in the body consist of a core of glycerol, which has LCFA esterified to its 1 and 2 carbons and phosphate esterified to its 3 carbon, a compound called phosphatidate. In addition, the phosphate is often esterified to a hydroxyamino compound such as choline, ethanolamine, or serine to produce phosphatidylcholine (also called lecithin), phosphatidylethanolamine, and phosphatidylserine, respectively. Inositol may be esterified to the phosphate to produce phosphatidylinositol. Because of the phosphate group, phospholipids are very polar on one end but are nonpolar on the other end and still must be part of lipoproteins for transport through the plasma. Phospholipids are constituents of all cellular membranes, lipoproteins, and bile micelles. The fatty acid portion of the molecule is oriented toward the center of the membrane or micelle, and the phosphatidyl group is oriented toward the outer surface (i.e., toward the aqueous medium). In micellar structures, like lipoproteins and bile micelles, the surface coating of the polar ends of constituent phospholipids provides a surface charge that helps to keep the micelles in suspension.

B. Synthesis of Phospholipids

Phospholipids are synthesized either from phosphatidate (e.g., phosphatidylinositol) or diacylglycerol (e.g., phosphatidylcholine and phosphatidylethanolamine), both of

which are intermediates in the synthesis of triacylglycerol. In all cases, cytidine triphosphate (CTP), a high-energy organophosphate that derives its phosphates from ATP, plays an important role. In the case of phosphatidylinositol, CTP reacts with phosphatidate to form CDP-diacylglycerol, which then reacts with inositol to form phosphatidylinositol and CMP. In the case of choline or ethanolamine, it must first be phosphorylated by reaction with ATP. Then the phosphocholine or phosphoethanolamine reacts with CTP to form CDP-choline or CDP-ethanolamine, respectively, which then reacts with diacylglycerol to produce phosphatidylcholine and phosphatidylethanolamine, respectively. Phosphatidylserine is formed by serine replacing ethanolamine in phosphatidylethanolamine. In the endoplasmic reticulum of the liver, a methyl group from S-adenosylmethionine can be transferred to phosphatidylethanolamine to produce phosphatidylcholine (Vance, 2002a). Figure 4-3 illustrates the synthesis of phospholipids.

The enzymes that synthesize CDP-choline and CDP-ethanolamine (cytidylyltransferases) appear to be rate limiting for the synthesis of phosphatidylcholine and phosphatidylethanolamine, respectively. Phosphocholine cytidylyltransferase is subject to regulation similar to that

of phosphatidate phosphohydrolase, the control enzyme in triacylglycerol synthesis. When phosphocholine cytidylyltransferase is bound to the endoplasmic reticulum, it is relatively active, but when it is free in the cytosol, it is relatively inactive. Factors that increase binding of the enzyme to the endoplasmic reticulum are decreased levels of phosphatidylcholine, increased levels of diacylglycerol or LCFA, and dephosphorylation of the enzyme. Opposite changes in these factors inhibit binding of the enzyme to the endoplasmic reticulum forcing it to remain inactive (Vance, 2002a).

C. Catabolism of Phospholipids

Phospholipids are hydrolyzed by phospholipases, which can be found in lysosomes of most tissues and in pancreatic secretion. Mammalian phospholipases are primarily of the A type, meaning that they hydrolyze the glycerol-LCFA ester bond at either position 1 (A_1 type) or 2 (A_2 type), but not both (Gurr *et al.*, 2002; Waite, 2002). Phospholipase types B, C, and D, which hydrolyze at other locations in the molecule, exist in mammalian tissues, but with lower activities.

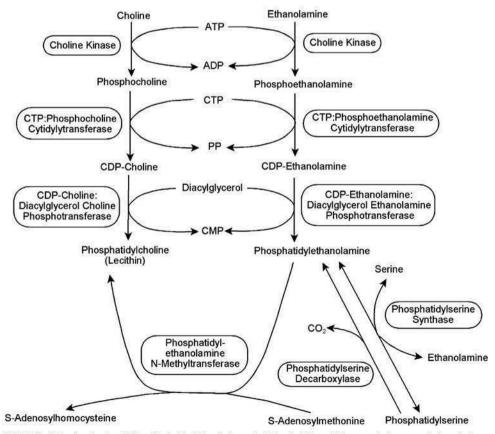


FIGURE 4-3 Synthesis of phospholipids. Diacylglycerol is the lipid to which organic bases and phosphate are transferred via CDP derivatives. Abbreviations: CTP, CDP, CMP, cytidine tri-, di-, and mono-phosphate, respectively.

V. CHOLESTEROL

A. Structure, Properties, and Assay of Cholesterol

Structurally, cholesterol is composed of a core of phenanthrene to which a cyclopentane ring is attached, and there is an eight-carbon side chain attached to the cyclopentane ring (Fig. 4-4). Cholesterol is found only in animals and is not present in plants or microorganisms. Cholesterol is the precursor of steroid hormones, vitamin D, and the bile acids, and is a constituent of cell membranes and bile micelles. Cholesterol can be obtained from the diet if it contains animal products, or it can be synthesized.

The chief synthetic and catabolic organ for cholesterol is the liver. Steroidogenic endocrine organs (adrenal cortex, testis, ovary, placenta) can synthesize small amounts of cholesterol; however, these organs utilize hepatically synthesized cholesterol for most of their steroid synthesis (Pedersen, 1988). Pure cholesterol and cholesterol esters are insoluble waxy white solids and must be transported through plasma as part of lipoproteins.

Enzymatic methods are used almost universally for assay of cholesterol (Stein and Meyers, 1994). Older nonenzymatic methods used harsh reagents and lack specificity. The key enzymes in the assay are cholesterol esterase, which hydrolyses cholesterol esters, and cholesterol oxidase. The latter enzyme is of microbial origin and has an action analogous to that of glucose oxidase (i.e., it uses dissolved oxygen to oxidize cholesterol to produce cholest-4-ene-3-one and hydrogen peroxide). In the presence of added peroxidase, hydrogen peroxide will oxidize an added organic dye (e.g., dianisidine, ABTS, 4-aminoantipyrine plus phenol) to generate a colored product that can be quantified spectrophotometrically. If cholesterol esterase is included in the reagent, then total cholesterol will be determined. If cholesterol esterase is omitted from the reagent, then only nonesterified (i.e., free) cholesterol will be determined. If the assay is done with and without cholesterol esterase, then cholesterol ester concentration can be determined by subtraction.

Because virtually all of the cholesterol and cholesterol esters in plasma are part of lipoproteins, they must be liberated before they can be acted on by the enzymes of the reagent. This liberation can be accomplished by extracting cholesterol and its esters with an organic solvent before the assay or, more conveniently, by including small amounts of detergents (bile acids or artificial detergents) in the reagent (Stein and Meyers, 1994).

B. Metabolism of Cholesterol

As is the case for LCFA and ketones, the substrate for cholesterol synthesis is acetyl-CoA. The beginning site of cholesterol synthesis is in the cytosol, so acetyl-CoA, which is generated primarily in the mitochondria, must be transferred to the cytosol via the citrate shuttle mechanism discussed earlier. The process of cholesterol synthesis is shown diagrammatically in Figure 4-4. In the cytosol, the first two steps of cholesterol synthesis are identical to the first two steps of ketone synthesis except that the process occurs in the cytosol rather than in the mitochondria. The enzymes that catalyze the first two steps are acetyl-CoA: acetoacetyl-CoA thiolase and hydroxymethylglutaryl-CoA (HMG-CoA) synthase:

2 acetyl-CoA · · · acetoacetyl-CoA + CoA

The remaining enzymes of cholesterol synthesis are located in the endoplasmic reticulum, perhaps because of decreasing solubility of succeeding products formed in the pathway. Next, HMG-CoA is reduced to mevalonate under the catalysis of HMG-CoA reductase:

HMG-CoA reductase is the primary control point for cholesterol synthesis, and its control mechanisms will be discussed later. Next, via three steps, isopentenylpyrophosphate is formed. Six of these molecules, often called the active isoprenoid units, are linked to form cholesterol in a long and complex pathway, which is only partially understood (Faust *et al.*, 1988; Liscum, 2002).

The control of HMG-CoA reductase is complex and not completely understood. Artificially increasing plasma cholesterol levels *in vivo* decreases the activity of the enzyme in liver. However, cholesterol does not inhibit the enzyme directly, but represses synthesis of the enzyme mRNA (Liscum, 2002). Thus, if the amount of cholesterol consumed in the diet increases, the amount synthesized by the liver will decrease. This reciprocal relationship between cholesterol consumed and hepatic synthesis limits the extent to which plasma cholesterol levels can be decreased by restricting the amount of cholesterol in the diet.

Hepatic HMG-CoA reductase is inhibited by phosphorylation of the enzyme and reactivated by dephosphorylation. The protein kinase system responsible for the phosphorylation of HMG-CoA reductase is stimulated by intracellular cAMP (Liscum, 2002). Hepatic intracellular cAMP levels are controlled in part by plasma glucagon, which increases it, and by insulin, which decreases it. Thus, conditions that increase insulin (e.g., eating) will increase cholesterol synthesis, and conditions that decrease insulin (e.g., diabetes) or increase glucagon (e.g., fasting) will decrease cholesterol synthesis. Other hormones that affect hepatic HMG-CoA reductase activity, but probably not by altering intracellular cAMP levels, are thyroid hormones (increase HMG-CoA reductase activity) and glucocorticoids (decrease HMG-CoA reductase activity). Some drugs, such as lovastatin and mevastatin, used in humans to decrease plasma cholesterol levels, operate by inhibiting HMG-CoA reductase (Brown and Goldstein, 1990).

Once cholesterol has been synthesized in the hepatocyte, it can be secreted into the plasma as part of lipoproteins (mostly in VLDL), it can be secreted into the canaliculi and become part of bile micelles, it can be degraded to bile acids, or it can be esterified to an LCFA by acyl-CoA:cholesterol acyltransferase (ACAT), which is located in the smooth endoplasmic reticulum. Cholesterol esters are even less soluble than cholesterol and are found in membranes and micelles wherever cholesterol itself is

found. Cholesterol ester can be exported as part of lipoproteins, or it can be converted back to cholesterol plus LCFA by cholesterol ester hydrolases, which are found in the cytosol, endoplasmic reticulum, and lysosomes. De-esterification is mandatory before cholesterol can be catabolized to bile acids. Because enzymes for the final steps of cholesterol synthesis and the first steps of its degradation are colocated in the endoplasmic reticulum, it might seem that most newly synthesized cholesterol would be immediately degraded. However, the negative feedback of bile acids on cholesterol degradation keeps this process in check.

HDL contains lecithin:cholesterol acyltransferase (LCAT), which esterifies cholesterol by transferring an LCFA moiety from lecithin (phosphatidylcholine). The cholesterol to be esterified by LCAT can be then secreted with HDL at the time of its synthesis, or it can be cholesterol from other lipoproteins or cell membranes that come in contact with HDL at a later time.

VI. LIPOPROTEINS

A. Structure, Properties, and Assay of Lipoproteins

Lipoproteins are very large noncellular conglomerations (micelles) of lipids and proteins, which are suspended in plasma or lymph. Their main function is to transport most lipids (steroid hormones and LCFA being notable exceptions) among tissues. Another function of lipoproteins is the esterification of cholesterol. Lipoproteins have a micellar structure in which the least polar molecules (triacylglycerol and cholesterol) occupy the center and more polar molecules (proteins and phospholipids) coat the exterior. Lipoproteins are synthesized almost exclusively by liver and the small intestine.

The main classes of lipoprotein are defined by their density as determined by ultracentrifugation and are chylomicrons ($d < 0.94\,\mathrm{g/ml}$), very low density lipoproteins (VLDL, d = 0.94 to $1.006\,\mathrm{g/ml}$), low-density lipoproteins (LDL, d = 1.006 to $1.063\,\mathrm{g/ml}$), and high-density lipoproteins (HDL, $d = 1.063\,\mathrm{to}\,1.21\,\mathrm{g/ml}$). Less commonly considered are very high density lipoproteins (VHDL, $d > 1.21\,\mathrm{g/ml}$), which are usually very low in concentration in plasma. It is common to designate the lighter LDL ($d = 1.006\,\mathrm{to}\,1.019\,\mathrm{g/ml}$) as intermediate density lipoproteins (IDL), and some schemes subdivide the HDL into HDL₁, HDL₂, and HDL₃. (Chapman, 1986; Gotto $et\,al.$, 1986).

The lipid component of lipoproteins is less dense than the protein component, but the lipids have similar densities, and the proteins have similar densities. Therefore, the density of a lipoprotein is almost entirely dependent on its ratio of lipid to protein, with the chylomicrons having the VI. Lipoproteins 91

	TABLE 4-1	Composition of Lipoproteins of Domestic Animals ^a	
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	Free Cholesterol				
	Triacylglycerol (% by weight)	Cholesterol (% by weight)	Esters (% by weight)	Phospholipid (% by weight)	Protein (% by weight)
Cattle					
Chylomicrons	87	4	2	4	3
VLDL	60	5	4	25	6
LDL	1	5 5	35	25 36	23
HDL	4	4	30	20	42
Dogs					
VLDL	68	6	2	10	14
LDL	27	6 5	25	22	21
HDL	1	5	23	33	38
Horses					
VLDL	57	5	6	18	14
LDL	6	5 8	36	23	27
HDL	0	2	20	28	50

a Cattle: From Ferreri and Elbein (1982) (chylomicrons) and Stead and Welch (1975) (other lipoproteins). Dogs: From Blomhoff et al. (1978) and Makley and Weisgraber (1974). Horses: From Le Goff et al. (1989) and Watson et al. (1993).

highest ratio and, on the other end of the spectrum, the HDL having the lowest ratio (Table 4-1). More than one-half of the lipid in chylomicrons and VLDL is triacylglycerol, whereas in LDL and HDL the majority of the lipids are not triacylglycerol (Table 4-2). In domestic species, HDL is normally the most abundant plasma lipoprotein in the fasting state.

Chylomicrons and VLDL particles are large enough to refract light significantly, so they make plasma appear turbid or creamy if in high enough concentration (lipemic plasma). The chylomicrons have a low enough density that they will rise to the top of an undisturbed refrigerated plasma sample in 6 to 12 hours. This phenomenon is the basis of the "chylomicron test," in which a milky plasma sample is placed in the refrigerator overnight. If a "cream layer" has formed at the top, then hyperchylomicronemia is present, and if the bottom portion of the plasma is turbid, then elevated levels of VLDL are present.

Because of the expense, time, and complexity involved with ultracentrifugation, electrophoresis in an alkaline medium has been used as an alternate method of lipoprotein classification. A variety of electrophoretic supports, ranging from paper to acrylamide gels, have been used. The sample is applied at the cathode end of the support, voltage is applied for a variable time, and the proteins are fixed and stained with a lipid stain such as oil red O. A densitometer is used to quantify the lipoprotein fractions on the stained electrophoretogram.

Typically, three to five bands of lipoproteins can be discerned; however, additional bands may be present depending

on the species of animal, electrophoretic technique, and presence of abnormal lipoproteins. The fastest moving band is HDL, which is designated as α -lipoprotein. The next fastest moving band is VLDL, which is designated pre- β -lipoprotein followed by the LDL band, which is designated as β -lipoprotein. The slowest moving band, which is still at the origin and seen primarily in the postprandial period, is composed of chylomicrons. With some electrophoresis systems, a separate IDL band, designated as slow pre- β -lipoproteins, can be discerned between the VLDL and LDL bands, and sometimes subbands of the HDL can be discerned. The correlation of electrophoretic and ultracentrifuge fractions established for humans does not always apply to animals. For example, bovine LDL can appear as α - or β -bands on electrophoresis (Puppione, 1983). Usually, two HDL bands can be discerned for dog plasma (Rogers, 1977). Figure 4-5 illustrates the distribution of lipoproteins in dog plasma.

Although easier and cheaper to perform than ultracentrifugation, lipoprotein electrophoresis still requires considerable time and expense. Consequently, methods have been developed that involve precipitation of one or more lipoprotein classes followed by analysis of a particular lipid, usually cholesterol, in the remaining supernatant. For example, chylomicrons can be removed by low-speed centrifugation (they rise to the top), and then precipitation of VLDL and LDL in human plasma can be accomplished by treatment with magnesium and dextran sulfate. The main lipoprotein remaining in the supernatant will be HDL, and if cholesterol is determined, it will mostly be HDL

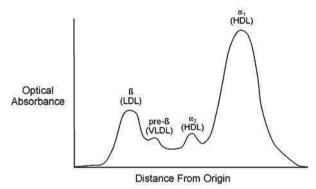


FIGURE 4-5 Densitometric scan of an electrophoretogram of canine plasma lipoproteins. The scan is typical of a fasted dog. In a fed dog, an additional peak as a result of chylomicrons would be present at the origin. Abbreviations: HDL, LDL, and VLDL, high-, low-, very low density lipoproteins, respectively.

cholesterol (Stein and Meyers, 1994). Such empirical methods may be species specific. For example, the preceding method, though valid for human plasma, does not work for dog plasma (Rhodes *et al.*, 1992).

B. Apolipoproteins

The protein components of lipoproteins are called apolipoproteins. Some apolipoproteins are found in only one class of lipoproteins, whereas others can be found in multiple classes. Although there are species variations in the amino acid sequences of apolipoproteins, individual apolipoproteins in the domestic species are quite similar. The main classes of apolipoproteins are designated with a letter (A through E), sometimes followed by a number to indicate a distinct subclass. The main classes and subclasses of apolipoproteins found in domestic animals are A-I, A-II, A-IV, B_{48} , B_{100} , C-I, C-II, C-III, C-IV, and E. Characteristics of these apolipoproteins are listed in Table 4-2.

The B_{100} apolipoprotein, synthesized in the liver and part of VLDL, is one the largest polypeptide chains in mammals, having a molecular weight of 527,000 in horses (Watson *et al.*, 1991). B_{48} apolipoprotein is about one-half the size of B_{100} and contains a subset of the B_{100} amino acid sequence (i.e., they are probably coded by the same gene); however, B_{48} is synthesized in the small intestine and is part of chylomicrons. The origin of the "48" and "100" designations stems from the fact that human B_{48} is exactly 48% of the mass of human B_{100} . Both B_{48} and B_{100} are glycoproteins and have a variety of carbohydrates attached to them (Chapman, 1986).

In addition to apolipoproteins, HDL contains an additional protein in the form of the enzyme lecithin:cholesterol acyltransferase (LCAT), which esterifies cholesterol esters by transferring an LCFA moiety from phosphatidylcholine (lecithin) to cholesterol. LCAT is activated by lipoprotein A-I.

C. Digestion of Fat and Formation of Chylomicrons

The largest lipoproteins are the chylomicrons, and to understand their formation, the digestion of triacylglycerol must be discussed. The main site of digestion and absorption of triacylglycerol is the small intestine, and the chief enzyme involved is pancreatic lipase. The pancreas not only supplies lipase to attack triacylglycerol but also supplies cholesterol esterase to hydrolyze cholesterol esters and phospholipase A_2 to attack phospholipids. For any of these enzymes to be effective, the lipids in food must first be emulsified with bile. Bile contains micelles composed mostly of bile acids, phospholipids, and cholesterol. Fats in food become part of these micelles, and then the enzymes can attack them on the outer surface of the micelles. The fatty acids, monoacylglycerols, and cholesterol resulting from the attack of the enzymes become part of the lipids of the brush border of the intestinal cells. The intestinal cells then use the monoacylglycerols and fatty acids to resynthesize triacylglycerol. Globules of triacylglycerol coated with protein are extruded from the basolateral membranes into the interstitium as chylomicrons. The lymphatic capillaries of the microvilli are called lacteals and have many large openings between the endothelial cells that line them. Consequently, the chylomicrons can enter the lymphatics, but not the blood capillaries. From the small intestine, the lymph flows to larger abdominal ducts to the thoracic duct and enters the right atrium. Thus, unlike most other nutrients, most of the absorbed fat bypasses the portal system and liver (Gurr et al., 2002; Vance, 2002b).

The main apolipoproteins in chylomicrons are A-series, B_{48} , C-series, and E. The A-series and B_{48} apolipoproteins are added by the small intestine, but the C-series and E apolipoproteins, which are synthesized in the liver, appear to transfer from HDL to nascent chylomicrons soon after they are released into the circulation.

Chylomicrons are attacked by lipoprotein lipase, which resides on the surface of endothelial cells and hydrolyses triacylglycerol. Most of the resulting LCFA are absorbed by the tissue cells. As the chylomicron diminishes in size, some of the apolipoproteins, mostly A-series and C-series, transfer to HDL. Finally, a much diminished chylomicron remnant is left and will attach to an apolipoprotein-E receptor on hepatocytes. The remnant will be absorbed and its components hydrolyzed within the hepatocytes (Fielding and Fielding, 2002; Schneider, 2002). The transport and metabolism of chylomicrons are illustrated in Figure 4-6.

D. Very Low Density Lipoprotein: Synthesis, Export, and Metabolism

Secretion of very low density lipoproteins (VLDL) into the plasma is the main method by which hepatocytes export VI. Lipoproteins 93 =

Major						
	Molecular		Synthetic Lipoprotein	Constituent of Special Properties		
Apolipoprotein	Weight	Organ				
A-I	28 kd (all species)	Small intestine	Chylomicrons, HDL	Activates lecithin: cholesterol acyltransferase		
A-II (monomer)	8.5 kd (cattle, dog)	Small intestine	Chylomicrons, HDL	Exists mostly as a dimer 6.5kd (horse)		
A-IV	43 kd (cattle, dog)	Small intestine	Nascent chylomicrons, HDL	Activates lecithin: cholesterol acyltransferase?		
B ₄₈	270kd (cattle, horse)	Small intestine	Chylomicrons			
B ₁₀₀	534kd (cattle, horse)	Liver	VLDL, LDL	Binds to LDL receptor 325 kd (swine)		
C-I	8.0kd (cattle)	Liver	VLDL, LDL, HDL, chylomicrons			
C-II	9.5 kd (cattle, pig)	Liver	VLDL, LDL, HDL, chylomicrons	Activator of lipoprotein lipase		
	14 kd (horse)					
C-III	8.0kd (cattle) 12kd (horse)	Liver	VLDL, LDL, HDL			
C-IV	10kd (cattle)	Liver	VLDL, LDL, HDL, chylomicrons			
E	37 kd (all species)	Liver	VLDL, LDL, HDL, chylomicrons	Binds to LDL and chylomicron remnant recepto		

^a From Bauchart (1993), Chapman (1986), Demacker et al. (1987), Watson et al. (1991), and Watson and Barrie (1993).

Nascent Chylomicron Chylomicron B₄₈ B₄₈ Cholesterol Cholesterol Via Lymphatics $^{\circ}$ Ε TAG TAG (A) PERIPHERAL TISSUES SMALL INTESTINE Apo-A, Apo-C (A) Lipoprotein Lipase Phospholipid (0) Е Cholesterol LCAT HDL Long Chain Fatty Acids Long Chain Fatty Acids B₄₈ Cholesterol TAG Glycerol Ε Cholestero LIVER Remnant (Apo-E) Receptor Chylomicron Remnant

FIGURE 4-6 Metabolism and transport of chylomicrons. Abbreviations: A, B₄₈, C, E, apolipoproteins; HDL, high-density lipoprotein; TAG, triacylglycerol.

triacylglycerol. Its main apolipoproteins are B_{100} , C-series, and E, but some A-series is present as well. The A-series apolipoproteins, which are synthesized in the small intestine, transfer from HDL to VLDL soon after its secretion. Some C-series and E apolipoprotein may transfer from HDL to newly secreted VLDL as well. Like chylomicrons, the main lipid component of VLDL is triacylglycerol (see Table 4-1). VLDL and chylomicrons both serve as a means to distribute triacylglycerol to tissues. In the case of chylomicrons, the triacylglycerol is a product of fat digestion, whereas in the case of VLDL, the triacylglycerol is synthesized in the liver.

The assembly process for VLDL is complex (Fig. 4-7). Final steps in the synthesis of triacylglycerol, phospholipid, and cholesterol occur in the smooth endoplasmic reticulum. Microdroplets containing these three lipids and cholesterol esters move toward the confluence of the rough and smooth endoplasmic reticulums where they are joined by apolipoproteins synthesized on the rough endoplasmic reticulum to form the nascent VLDL.

The nascent VLDL particles move through microtubular membranes to the Golgi apparatus where the apolipoproteins are glycosylated. In the Golgi apparatus, the nascent VLDL particles reach final composition and are surrounded by membranes to form secretory granules. The secretory granules merge with the plasma membrane and spill their contents into the plasma (Alexander, 1976; Vance, 2002b).

The capacity of the liver to synthesize the protein components of VLDL is stimulated by a diet high in carbohydrate. It has been hypothesized that this stimulation is due to increased insulin and decreased glucagon levels in plasma. Most studies have shown that glucagon partially inhibits hepatic VLDL secretion, whereas insulin stimulates it (Gibbons, 1990). Estrogens (Crook and Seed, 1990; Haffner and Valdez, 1995; Sacks and Walsh, 1994) and glucocorticoids (Gibbons, 1990; Martin-Sanz *et al.*, 1990) stimulate VLDL secretion.

The inherent capacity of the liver to synthesize the lipid components exceeds its inherent capacity to synthesize the protein components, a fundamental factor in the development of fatty liver. In addition, phosphatidylcholine is essential for lipoprotein assembly, so animals having a deficiency of choline tend to develop fatty livers (Vance, 2002a, 2002b).

Triacylglycerol in plasma VLDL is hydrolyzed by lipoprotein lipase just like triacylglycerol of chylomicrons, and most of the released LCFA is absorbed by the underlying tissue cells. As the VLDL shrink, some of the apolipoproteins (C-series and E) transfer to HDL. Finally, the shrinking VLDL becomes an IDL and then an LDL. The LDL will attach to an apoprotein B_{100} or E receptor on hepatocytes or extrahepatic tissues and be taken into the cell where its component parts will be hydrolyzed. The transport and metabolism of VLDL are illustrated in Figure 4-8.

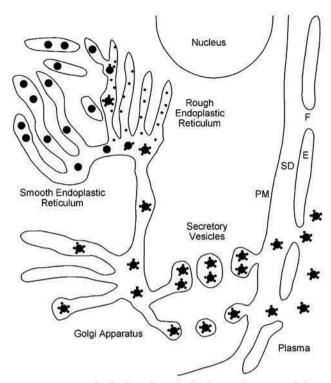


FIGURE 4-7 Synthesis of very low density lipoprotein (VLDL) in liver. Triacylglycerol and phospholipid synthesis occurs in the smooth endoplasmic reticulum to generate lipid particles (large dots), which acquire small amounts of cholesterol and its esters as well. Apolipoproteins (small dots) are synthesized on the rough endoplasmic reticulum. Lipid particles acquire apolipoproteins at the convergence of the rough and smooth endoplasmic reticulum or by merging of sections of the two organelles. The nascent VLDL move through tubular membranes to the Golgi apparatus where apolipoproteins are glycosylated, and the nascent VLDL are collected in secretory vesicles. The secretory vesicles migrate to and merge with the plasma membrane (PM) and spill VLDL into the space of Dissé (SD). The VLDL migrate through the fenestrae (F) between endothelial cells (E) to enter the plasma in hepatic sinusoids.

E. Metabolism of High-Density Lipoproteins

HDL is synthesized by both liver and small intestine. Nascent HDL produced in the small intestine has only A-series apolipoproteins and gains C-series and E apolipoproteins and LCAT, which are synthesized in the liver, from other lipoproteins after it enters the circulation. Nascent HDL produced in the liver gains its A-series apolipoprotein, which is synthesized in the small intestine, from other lipoproteins after it enters the circulation. HDL serves two main functions. It is a repository for A-series, C-series, and E apolipoproteins, and it transports cholesterol from peripheral tissues to liver. LCAT is important in this latter function. The conversion of cholesterol-tocholesterol ester within HDL creates a favorable concentration gradient from tissue cell to HDL, which promotes migration of cholesterol from tissue cells to HDL (Fielding and Fielding, 2002; Gurr et al., 2002).

Barrie, 1993). The main lipid that is increased is cholesterol, but triacylglycerol may be increased too, and most of the increased lipid is in LDL and HDL, but some animals have increased VLDL or chylomicron levels as well (Rogers, 1977; Whitney, 1992). The mechanism by which hypothyroidism causes hyperlipidemia in dogs is unknown; however, a similar phenomenon occurs in humans, and in that species, it appears that hypothyroidism decreases lipoprotein lipase and hepatic lipase activities (Valdemarsson *et al.*, 1983). The prolonged hypercholesterolemia associated with chronic hypothyroidism in dogs may lead to atherosclerosis (Liu *et al.*, 1986; Patterson *et al.*, 1985), although other factors may be involved as well.

Dogs with naturally occurring pancreatitis frequently have hyperlipidemia (Hardy, 1992; Whitney et al., 1987). Because the pancreatitis in some of these animals causes diabetes, the hyperlipidemia in those individuals may be due to diabetes. Plasma lipid levels of dogs with pancreatitis induced by injecting bile into or ligating the pancreatic duct are comparable to control dogs (Bass, 1976; Whitney et al., 1987; Zieve, 1968). In some cases, hyperlipidemia may play a role in the pathogenesis of pancreatitis rather than being a result of pancreatitis. This proposition is supported by the fact that humans with some forms of hyperlipidemia have increased risk of pancreatitis (Cameron et al., 1974; Greenberger, 1973). One proposed mechanism is that increased lipids, especially chylomicrons, entering the pancreatic capillaries will be hydrolyzed by pancreatic lipase, and the resultant LCFA may injure endothelial or acinar cells (Havel, 1969). Once the initial damage occurs, there is a positive feedback in which more lipase enters the circulation and hydrolyses more triacylglycerol leading to more LCFA release and more damage. In support of this theory, when dog pancreata were perfused with a medium containing high levels of triacylglycerol or LCFA, they became edematous and hemorrhagic and released large amounts of amylase compared to pancreata perfused without these additions (Saharia et al., 1977). In a related proposed mechanism, large amounts of chylomicrons or VLDL may impede the microcirculation of the pancreas, leading to partial stasis, which allows blood lipids and their hydrolysis products more contact with pancreatic cells (Hardy, 1992).

Dogs with uncontrolled diabetes frequently have hyperlipidemia (Medaille *et al.*, 1988; Rogers, 1977; Whitney, 1992). In naturally occurring cases, plasma triacylglycerol levels are increased with concomitant increases in VLDL levels and often hyperchylomicronemia is present as well (DeBowes, 1987; Ford, 1995; Rogers, 1977; Rogers *et al.*, 1975b). The increase in VLDL is due in part to increased mobilization of LCFA from adipose. The liver removes LCFA from plasma and reissues some of them to the plasma as triacylglycerol in VLDL. In addition, synthesis of lipoprotein lipase by peripheral tissues is partially dependent

on insulin, so less of this enzyme is available to remove triacylglycerol from the circulation (Brown and Goldstein, 1994).

Hyperlipidemia with increases in plasma triacylglycerol and cholesterol levels have been noted in dogs with cholestasis (Bauer *et al.*, 1989; Meyer and Chiapella, 1985). The increase in cholesterol can be explained in part by the inability of the liver to remove and catabolize cholesterol. However, there is evidence of production of an abnormal LDL, called lipoprotein-X, which is rich in cholesterol (Bauer *et al.*, 1989; Blomhoff *et al.*, 1978; Danielsson *et al.*, 1977; Meyer and Chiapella, 1985).

Dogs with hyperadrenocorticism (Cushing's disease) often have hyperlipidemia with increased total plasma cholesterol levels (Barrie *et al.*, 1993; Feldman, 1995; Ling *et al.*, 1979; Medaille *et al.*, 1988; Scott, 1979). Most of the increased plasma cholesterol is associated with LDL, and although the mechanism of the hyperlipidemia is unclear, it may be related to a decrease in activity of hepatic LDL receptors (Barrie *et al.*, 1993).

Dogs with nephrotic syndrome often have hyperlipidemia (Ford, 1995; Lewis and Center, 1984; McCullagh, 1978; Medaille *et al.*, 1988). Hypercholesterolemia is present most commonly, but hypertriacylglycerolemia may be present as well, especially in more severe cases (McCullagh, 1978). In humans with nephrotic syndrome, the hyperlipidemia appears to be related to the loss of albumin or regulatory factors in the urine, and infusion of albumin or dextran into afflicted patients lowers lipid levels (Glassock *et al.*, 1991). Albumin or regulatory factors may inhibit VLDL production by the liver, and without this inhibition, more VLDL will be released to the plasma increasing VLDL and LDL levels (Glassock *et al.*, 1991).

Idiopathic hyperlipidemia, which is probably inherited, occurs in some miniature schnauzers (Ford, 1993; Richardson, 1989; Rogers et al., 1975a). Animals present with abdominal pain, diarrhea, and vomiting, and sometimes with seizures and pancreatitis. Affected animals have hypertriacylglycerolemia, hypercholesterolemia, and increased chylomicron levels and often have increased levels of other lipoproteins as well. The animals often have elevated liver enzymes as well (Xenoulis et al., 2008). It has been proposed that these animals may have low levels of lipoprotein lipase or perhaps deficient apolipoprotein C-II, the activator of lipoprotein lipase. However, some dogs have shown clearing of the plasma following heparin injection, so the mechanism remains unknown. The primary treatment is to place the animal on a low-fat diet. A similar syndrome has been reported in mixed-breed dogs (Baum et al., 1969; Rogers et al., 1975a) and in Brittany spaniels (Hubert et al., 1987). Hypercholesterolemia, which is probably inherited, has been reported in rough collie dogs (Jeusette et al., 2004) and Shetland sheepdogs (Sato et al., 2000). Corneal lipidosis, which responded to dietary manipulation, was observed in the former, and possibly increased incidence of atherosclerosis was observed in the latter.

C. Feline Fasting Hyperlipidemias

Not surprisingly, some of the same diseases that are associated with hyperlipidemia in dogs are associated with hyperlipidemia in domestic cats, including diabetes and nephrotic syndrome (Jones, 1995; McCullagh, 1978; Watson and Barrie, 1993). Some cats, however, have a well-characterized familial hyperlipidemia because of lipoprotein lipase deficiency (Brooks, 1989; Jones, 1993, 1995; Jones et al., 1983; Watson et al., 1992b; Whitney, 1992). There is lipemia with hyperchylomicronemia and increases in plasma levels of cholesterol and triacylglycerol (Jones, 1993, 1995; Whitney, 1992). The high plasma levels of lipids lead to deposition in tissues (xanthoma formation) in the skin, nerve sheaths, and other locations (Jones, 1993; Whitney, 1992). Pressure on spinal or other nerves from xanthomas or subsequent granulomas may lead to peripheral neuropathy (Jones et al., 1986). The disease appears to be autosomal recessive, and homozygotes apparently manufacture a defective lipoprotein lipase and do not have a defective or missing apolipoprotein C-II activator (Peritz et al., 1990; Watson et al., 1992b).

D. Equine Fasting Hyperlipidemia

The phenomenon of equine hyperlipidemia was reported in horses with maxillary myositis (Hadlow, 1962) and equine infectious anemia (Gainer et al., 1966). It is likely that the hyperlipidemia described in these early reports was due to anorexia, and it has been shown that fasting alone causes hyperlipidemia in horses and that pregnancy, lactation, and obesity accentuate the effect (Eriksen and Simesen, 1970; Schotman and Kroneman, 1969; Schotman and Wagenaar, 1969; Schotman and Wensing, 1977). Total plasma triacylglycerol may increase from a normal fed value of less than 500 mg/l to more than 2000 mg/l (Morris et al., 1972; Naylor et al., 1980) and, in severe cases, may exceed 10,000 mg/l (Freestone et al., 1991; Naylor et al., 1980; Schotman and Wensing, 1977). Although most horses are susceptible to this effect of calorie deprivation, it is harmless for the majority, and only becomes pathological for a few. Ponies and females are more susceptible to the pathological syndrome, which can be fatal (Hughes et al., 2004). Survival rates are inversely proportional to plasma triacylglycerol concentrations (Schotman and Wagenaar, 1969), and severe fatty liver and increased plasma levels of liver enzymes have been reported (Schotman and Wagenaar, 1969). Like in other mammals, fasting increases plasma levels of LCFA in horses and ponies (Baetz and Pearson, 1972; Naylor et al., 1980; Watson et al., 1992a), and the

hyperlipidemia in horses and ponies is due to increased VLDL levels (Bauer, 1983; Morris *et al.*, 1972; Watson *et al.*, 1992a). Presumably, horse liver is removing LCFA from plasma and reesterifying them into triacylglycerol, which are released to the plasma as VLDL. It appears that the liver of fasting horses has a high capacity for reesterification of LCFA into triacylglycerol, which is exported as VLDL. Horses do have increased plasma ketone levels when fasting (Rose and Sampson, 1982), so some of the LCFA removed from plasma by liver are converted to ketones, but additional LCFA are reesterified to glycerol and are recycled to the plasma as triacylglycerol in VLDL.

The mechanism of fasting equine lipemia is uncertain; it could be increased secretion or decreased uptake of VLDL or a combination thereof. In one study, fasting horses were injected with Triton WR 1339, a compound shown to inhibit lipoprotein lipase in rats, and the rate of increase in plasma triacylglycerol levels was observed (Morris et al., 1972) and compared with the preinjection level of triacylglycerol. The authors claimed that the rate of increase was not related to the level of triacylglycerol concentration. However, only four horses were used, which may not have been enough given the high variation in fasting triacylglycerol levels observed among them. On close examination of the data, it is apparent that three horses showed a perfect rank correlation of fasting triacylglycerol level and rate of triacylglycerol increase after Triton injection, with the fourth being a considerable outlier to the trend. In addition, the dose of Triton was such that all the horses developed anemia because of intravascular hemolysis. Thus, elucidation of the mechanism of fasting equine lipemia will have to await additional kinetic or enzyme studies.

There are reports of apparent therapeutic success with intravenous glucose and oral carbohydrates (Dunkel and McKenzie, 2003; Durham, 2006; Hallebeek and Beynen, 2001; Mogg and Palmer, 1995; Watson and Love, 1994). This therapy makes sense in that increasing plasma glucose levels should lead to increased insulin and decreased glucagon levels, which should inhibit lipolysis in adipose which is generating plasma LCFA used for triglyceride synthesis. In addition, the hormonal changes may stimulate lipoprotein lipase activity. Although supplemental insulin has been used with carbohydrate therapy, its efficacy and safety have not been adequately evaluated, and one report indicates that hyperlipemic horse have hyperinsulinemia as well (Oikawa *et al.*, 2006).

VIII. KETOGENESIS AND KETOSIS

A. Introduction

The ketones or ketone bodies, which are composed of acetoacetic acid, 3-hydroxybutyric acid (also known as

 β -hydroxybutyric acid), and acetone, are important compounds in the metabolism of birds and mammals. Ketosis simply means that ketones are present in body fluids in elevated concentrations. Ketones are important clinically and have a rather sinister reputation because of the ketoacidosis that is often present when their plasma levels are high. In recent years though, the survival value of ketogenesis has become clearer, and although increased levels of ketones in biological fluids will continue to be regarded as a pathological sign in many situations, perhaps the beneficial aspects of ketogenesis will be more widely appreciated.

B. Chemistry of Ketones

1. Structure and Properties

The ketones, acetone, 3-hydroxybutyric acid, and acetoacetic acid, are relatively simple chemical structures. Of the three, only 3-hydroxybutyric acid can exist as stereoisomers, having L-(+) and D-(-) forms. Only the D-(-) form is produced in a free state in intermediary metabolism. The L-(+) form exists only as its CoA thioester produced and destroyed in β -oxidation (Newsholme and Leach, 1983). Acetone is relatively volatile, whereas the other two ketones are not. Acetone has a characteristic organic solvent odor that may be detectable in the exhaled breath of animals with elevated blood ketone levels. Anecdotal evidence indicates that people vary greatly in their olfactory sensitivity for acetone.

Acetone does not ionize appreciably, whereas 3-hydroxybutyric acid and acetoacetic acid do readily ionize. Acetoacetic acid has a pKa of 3.58, and 3-hydroxybutyric acid has a pKa of 4.41 (Dean, 1985). Consequently, at normal plasma pH of 7.40, 99.9% of either compound exists in its ionized form. Therefore, the compounds will usually be referenced by the names of their ions whenever their metabolism is discussed. Acetoacetic and 3-hydroxybutyric acids are more powerful acids than the volatile fatty acids (VFA; acetic, propionic, and butyric acids), which have pKa's of 4.76 to 4.87 (Dean, 1985). Acetoacetic acid is more powerful, and 3-hydroxybutyric acid is less powerful as an acid than lactic acid, which has a pKa of 3.86 (Dean, 1985).

Acetone and acetoacetic acid are miscible in water in all proportions, and 3-hydroxybutyric acid is exceedingly soluble, but not in all proportions (Dean, 1985). The common metallic salts of acetoacetic acid and 3-hydroxybutyric acid are soluble in water. Acetone and 3-hydroxybutyric acid and its salts are relatively stable compounds. Acetoacetic acid spontaneously decomposes to acetone and carbon dioxide. This reaction occurs readily without catalysis, and its rate is accelerated by increased temperature and hydrogen ion concentration. Apparently, there can be some nonspecific catalysis of acetoacetate decarboxylation by cellular proteins (Williamson, 1978). The lithium, sodium, and potassium salts of acetoacetic acid are relatively stable if stored in dry form below 0°C.

2. Detection and Assau

a. Qualitative

The most common qualitative test for ketones is the alkaline nitroprusside test, which is also known as the Rothera test (Rothera, 1908). This test has been used for decades in clinical practice and is still exceedingly useful today. The test relies on the reaction of nitroprusside with acetone or acetoacetate to produce a purple chromogen. The nitroprusside test has been used for virtually every body fluid imaginable including whole blood, serum, plasma, urine, and milk. The test is most sensitive for acetoacetate (0.5 mmol/l can be detected), gives only a slight response to acetone, and is completely insensitive to 3-hydroxybutyrate.

The nitroprusside test is available commercially in the form of strips, tablets, and powders. The maximum sensitivity of all three forms is approximately $0.5\,\mathrm{mmol/l}$, although specific formulations may have a sensitivity less than this value. The strip form is commonly used for urine. The powder form and strips are both commonly used for milk. The tablet form is used for serum, plasma, and whole blood and can be used for milk and urine as well. The test is often used in a semiquantitative manner with the result expressed in adjectival form (negative, weak, strong) or as a series of pluses (-, +, + +, etc.).

A number of drugs or other substances may appear in urine and give a false positive with the nitroprusside test. Some compounds react with nitroprusside to yield a purple or near purple color. Included in this group are phenyl-ketones, levodopa, methyldopa, acetaldehyde, paraldehyde (Caraway and Kammeyer, 1972), cysteine, cysteamine, penicillamine, and mesna (Csako, 1987). In general, substances with keto, aldehyde, or sulfhydryl groups have the potential for reacting with nitroprusside. Because the nitroprusside test is performed in an alkaline medium, some substances, like sulfobromophthalein and phenolsulfonphthalein, which may exist in urine and are otherwise colorless, may yield a purple or near purple color simply because of the alkaline pH (Caraway and Kammeyer, 1972).

More recently a semiquantitative color test for 3-hydroxybutyrate in milk has been used for diagnosis of clinical and subclinical ketosis in dairy cows (Gutzwiller, 1998; Jorritsma *et al.*, 1998). This test, which is quite specific, is based on the same enzymatic method used for quantitative determination of 3-hydroxybutyrate (see the next section) except that a color visible to the human eye is produced.

b. Quantitative

Commonly used means of quantitative assay for ketone concentrations in biological fluids include microdiffusion methods, used primarily for assay of acetone, and enzymatic methods, used primarily for assay of acetoacetate and 3-hydroxybutyrate. Regardless of the method to be used for analysis, proper handling of the samples before

analysis is crucial for obtaining representative results. In particular, the volatility of acetone and instability of acetoacetate must be respected.

Blood samples should be cooled immediately after collection. Ketones can be determined on whole blood or plasma. Serum is not recommended because of losses, particularly of acetoacetate, that may occur during the time required for clotting. Any of the common anticoagulants (heparin, fluoride, oxalate, citrate, or EDTA) may be used. If whole blood is to be used, it should be mixed with perchloric acid immediately after collection to precipitate proteins. The tube should be chilled on ice until centrifuged, which should be performed within a few hours. The supernatant should be frozen until analyzed. If plasma is to be used, the red cells should be spun down within a few hours, and the plasma proteins precipitated with perchloric acid. The supernatant should be frozen until analyzed.

The microdiffusion method can be used to determine the concentration of acetone or acetone plus acetoacetate in any biological fluid. The reagents are relatively simple and inexpensive although rather corrosive. The diffusion step requires specialized, but inexpensive, apparatus and adds to the complexity and time to complete the assay. The method relies on the reaction of acetone with vanillin (Henry *et al.*, 1974) or salicylaldehyde (Nadeau, 1952) to produce a colored product that can be quantified in a spectrophotometer. In the author's experience, vanillin provides more sensitivity than salicylaldehyde, but variability in the purity of vanillin batches from commercial sources makes salicylaldehyde the reagent of choice. Salicaldehyde must be stored under nitrogen or argon to preserve its purity.

The method as described by Henry et al. (1974) was shown to determine acetone, and there was speculation that it would also detect acetoacetate simultaneously. In fact, the method as described by Henry et al. (1974) is specific for acetone. It has been found in the author's laboratory that to use the method for acetone plus acetoacetate, it is necessary to preincubate the sample with an equal volume of 10N sulfuric acid for 4 hours at 50°C in a sealed container to decarboxylate all of the acetoacetate. The method can be adapted to measure 3-hydroxybutyrate as well by introducing a step in which 3-hydroxybutyrate is oxidized to acetoacetate with potassium dichromate (Procos, 1961). However, if the primary interest is the determination of acetoacetate or 3-hydroxybutyrate, rather than acetone, the enzymatic method described later should be used. For determination of acetone on large numbers of samples, flow injection analysis (Marstorp et al., 1983) or infrared spectroscopy (Hansen, 1999) has been used.

The enzymatic method for assay of acetoacetate or 3-hydroxybutyrate in biological fluids is accurate and precise (Williamson *et al.*, 1962) and is probably the most common method used for quantitative assay of ketone concentrations. The method has been successfully adapted to a

variety of automated analysis systems (Harano *et al.*, 1985; Ozand *et al.*, 1975; Työppönen and Kauppinen, 1980) and is a relatively straightforward spectrophotometric or fluorometric method. For a detailed step-by-step practical description of the method, see Mellanby and Williamson (1974) and Williamson and Mellanby (1974).

The method relies on the reversible reaction catalyzed by 3-hydroxybutyrate dehydrogenase:

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3-hydroxybutyrate + NAD<sup>+</sup> • • • acetoacetate + NADH + H<sup>+</sup>
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The reaction is run in the forward direction by including an excess of NAD 1 in the reaction mixture to assay 3-hydroxybutyrate and in the backward direction by including an excess of NADH in the reaction mixture to assay acetoacetate. The equilibrium constant of the reaction is 1.42×10^{-9} and therefore, is highly favorable toward the reduction of acetoacetate at pH 7.0 (Krebs et al., 1962). To force the reaction to completion in the direction of oxidizing 3-hydroxybutyrate, hydrazine is used as a trapping agent to remove acetoacetate as it is formed, and the reaction mixture is buffered at an alkaline pH. The change in NADH concentration is measured by the change in absorbance at 340nm in either case. Alternately, a fluorometer can be used to measure the change in NADH concentration. To avoid interference from lactate or pyruvate in the sample, the 3-hydroxybutyrate dehydrogenase should be free of lactate dehydrogenase, or alternately, the lactate dehydrogenase inhibitor, oxamic acid, can be added to the reaction mixture (Harano et al., 1985).

Table 4-3 lists normal blood and plasma ketone concentrations for several domestic species. The values are for healthy fed animals. It is assumed that plasma and blood ketone concentrations should be similar because of the generally high permeability of cell membranes to ketones and lack of protein binding of ketones; however, reports of definitive studies on this problem are not apparent in the literature. For clinical purposes, there is no lower normal limit for ketone concentrations.

C. Synthesis of Ketones

Ketones are primarily products of intermediary metabolism. Only under unusual circumstances would more than trace amounts be absorbed from the contents of the gastrointestinal tract. The real source of ketones is fatty acids including those with short (1 to 4 carbons), medium (5 to 11 carbons), and long (>11 carbons) chains. Of course, any compound (glucose, lactate, glycerol, amino acids, etc.) that can be converted to fatty acids can be considered as a source of ketones, but for the purposes of this discussion, the origin of ketones will be considered to be fatty acids, either esterified or nonesterified.

3-Hydroxy-Butyrate Acetoacetate					
Species	(mmol/l)	(mmol/l)	Sample	Reference	
Cow (lactating)	0.41 ± 0.03 0.95 ± 0.18	0.043 ± 0.00 0.13 ± 0.03	plasma blood	Hibbit et al., 1969 Gröhn, 1985	
Cow (nonlactating)	0.27 ± 0.04	0.011 ± 0.003	plasma	Baird et al., 1968	
Dog	0.033 ± 0.015	0.018 ± 0.010	blood	Balasse, 1970	
	0.030 ± 0.006	54—4R	plasma	Lammerant et al., 1985	
Goose	0.042 ± 0.015	0.023 ± 0.003	plasma	Maho et al., 1981	
Horse	0.11 ± 0.01	5	blood	Snow and Mackenzie, 1977	
	0.064 ± 0.006	0.029 ± 0.003	plasma	Rose et al., 1980	
Sheep	0.27 ± 0.04	0.051 ± 0.005	blood	Brockman, 1976	
	0.55 ± 0.04	0.030 ± 0.002	blood	Heitman et al., 1986	

The predominant source of ketones in healthy animals is long chain fatty acids (LCFA) released during lipolysis in adipose tissue. When plasma insulin levels decrease and plasma glucagon levels increase, cAMP levels in adipose cells increase. Increased cAMP levels lead to activation of hormone-sensitive lipase, which hydrolyses triacylglycerols to LCFA and glycerol. The LCFA bind to plasma albumin for transport to other tissues, whereas glycerol freely dissolves in plasma water (McGarry, 1979; Newsholme and Leach, 1983; Spector and Fletcher, 1978).

1. Ketogenesis by Liver

The liver has an enormous capacity to remove LCFA from plasma. LCFA unbind from albumin, diffuse through the hepatocyte plasma membrane, and bind to fatty acid binding protein in the cytosol (Burnett et~al., 1979). In the cytosol, LCFA are converted to LCFA-CoA as discussed earlier. The LCFA-CoA can be used to synthesize triacylglycerol or can go through β -oxidation to acetyl-CoA in the mitochondrion, a process discussed earlier. Mitochondrial acetyl-CoA can have a number of fates, but under circumstances that elevate plasma LCFA levels, the two main fates are combustion in the TCA cycle or conversion to ketones. Two acetyl-CoA units can be recondensed to form ketones, which will occur when there is not sufficient oxaloacetate for citrate formation or when high levels of citrate inhibit citrate synthase.

There are four enzymes involved in ketogenesis from acetyl-CoA: acetoacetyl-CoA thiolase, hydroxymethylglutaryl-CoA (HMG-CoA) synthase, HMG-CoA lyase, and

D-3-hydroxybutyrate dehydrogenase. These four enzymes catalyze the following four reactions, respectively:

Thiolase occurs in both cytosol and mitochondria, whereas the other three enzymes are mainly restricted to the mitochondrion. However, there is some HMG-CoA synthase in the cytosol, which is involved in cholesterol synthesis. The first three enzymes are in the mitochondrial matrix, whereas 3-hydroxybutyrate dehydrogenase is in the inner membrane of the mitochondrion, and membrane lipids are required for full activity of the enzyme. Interestingly, livers of ruminants have lower apparent activities of 3-hydroxybutyrate dehydrogenase than the livers of other species (Nielsen and Fleischer, 1969; Watson and Lindsay, 1972), a situation that has not been explained adequately. Because ruminant liver is continuously presented with 3-hydroxybutyrate synthesized by the rumen epithelium, the low hepatic activity of 3-hydroxybutyrate dehydrogenase may be beneficial because more of the compound will reach peripheral tissues in an unoxidized state.

Acetoacetyl-CoA thiolase and 3-hydroxybutyrate dehydrogenase catalyze reactions that are at or near equilibrium (i.e., the rates of these reactions are controlled by the concentrations of the substrates and products). HMG-CoA synthase and lyase catalyze reactions that are far removed from equilibrium, and these enzymes may be subject to regulatory controls other than the concentrations of substrates and products. The synthase is considered to be the rate-limiting enzyme and appears to be restricted almost exclusively to the liver (Duee et al., 1994; McGarry and Foster, 1969; Valera et al., 1994). Physiological controls of the enzyme are not completely understood; however, the concentration of enzyme molecules in the mitochondria is increased by cAMP, so fasting and diabetes increases it, and refeeding decreases it (Serra et al., 1993). In addition, succinyl-CoA inhibits the enzyme (Quant et al., 1990). Glucagon usually decreases mitochondrial succinyl-CoA, whereas an abundance of glucose or glucose precursors, like propionate, increases it. A summary of ketogenesis in the liver is depicted diagrammatically in Figure 4-1.

Ketogenesis can occur from VFA and medium chain fatty acids. Medium chain fatty acids are normally in quite low concentration in the diet or in triacylglycerols of mammals and, therefore, are not usually quantitatively important in ketogenesis. Except in unusual circumstances, nonherbivores do not absorb large quantities of VFA from the gastrointestinal tract. Among the herbivores, the metabolism of VFA has been studied most thoroughly in ruminants. Propionate is the major gluconeogenic precursor and is not an important precursor of ketones, and, in fact, propionate inhibits ketogenesis in ruminant liver (Faulkner and Pollock, 1991). The propionate inhibition probably is due to inhibition of carnitine acyltransferase I in ruminant liver by methylmalonyl-CoA, a metabolite of propionate (Brindle et al., 1985). Without active carnitine acyltransferase I, LCFA cannot enter mitochondria and be oxidized to ketones.

Butyrate is converted to 3-hydroxybutyrate by the rumen epithelium and will be discussed later. Acetate must be covalently bound to CoA under the catalysis of acetokinase before it can be catabolized further. Acetokinase is found in the cytosol and mitochondria of most cells in most organs. In ruminants, the liver has a relatively low concentration of acetokinase, and most absorbed acetate passes through the liver and is removed from the plasma by other tissues, particularly heart, skeletal muscle, kidney, and mammary gland (Bauman and Davis, 1975; Cook *et al.*, 1969).

2. Ketogenesis by the Alimentary Tract

Butyrate, which is produced during fermentation of feedstuffs in the rumen, is readily absorbed by the rumen wall (Stevens, 1970). The rumen epithelial cells possess high activities of butyryl-CoA synthetase, which can convert butyrate to butyryl-CoA (Cook et al., 1969). By β -oxidation, butyryl-CoA is converted to L-3-hydroxybutyryl-CoA, which is oxidized to acetoacetyl-CoA followed by cleavage of the CoA and reduction of the resulting acetoacetate to 3-hydroxybutyrate (Emmanuel, 1980). Rumen epithelium does have HMG-CoA synthase, HMG-CoA lyase and 3-hydroxybutyrate dehydrogenase activities, although in lesser concentration than in liver (Baird et al., 1970; Emmanuel, 1980). It is possible that rumen epithelium can cleave CoA from acetoacetyl-CoA directly because it contains acetoacetyl-CoA deacylase (Bush and Milligan, 1971). In addition, rumen epithelium possesses 3-ketoacid CoA-transferase, an enzyme to be discussed later when ketone oxidation is discussed (Bush and Milligan, 1971). This enzyme can catalyze the transfer of CoA from acetoacetyl-CoA to succinate, thus liberating acetoacetate. This latter route may be the predominant pathway in rumen epithelium (Bush and Milligan, 1971).

3-Hydroxybutyrate appears in portal blood (Katz and Bergman, 1969; Stevens, 1970). At least 50% of absorbed butyrate is oxidized to ketones in the rumen wall, and of the butyrate that does appear in portal blood, nearly all of it is removed on the first pass through the liver (Bergman *et al.*, 1965; Bergman and Wolfe, 1971; Fell and Weekes, 1975; Ramsey and Davis, 1965). Ruminal production of 3-hydroxybutyrate is probably the main reason why fed ruminants normally have a higher plasma concentration of this compound than fed nonruminants (see Table 4-3).

3. Ketogenesis by Other Organs

It has been claimed that mammary gland may synthesize appreciable ketones in ketotic dairy cows; however, the evidence is weak. Arteriovenous concentration differences and mammary blood flow have been used to estimate mammary ketone production and uptake in dairy cows (Kronfeld *et al.*, 1968). It was found that the mammary gland utilized small quantities of acetoacetate and larger quantities of 3-hydroxy-butyrate in healthy cows, whereas the mammary gland of ketotic cows produced large quantities of acetoacetate. The increased uptake of 3-hydroxybutyrate by mammary in ketotic cows equaled almost exactly mammary production of acetoacetate. There was no significant difference in mammary uptake of acetate between healthy and ketotic cows.

In yet another study on ketotic cows (Schwalm *et al.*, 1969), arteriovenous concentration differences across the mammary glands of acetoacetate and 3-hydroxybutyrate were observed. A positive AV difference was noted for 3-hydroxybutyrate, which was almost equal in magnitude to the negative AV difference noted for acetoacetate. The foregoing results point toward mammary conversion of 3-hydroxybutyrate to acetoacetate, which increases in ketosis. This process cannot really be called ketogenesis; perhaps *ketoconversion* would be the appropriate term.

D. Catabolism of Ketones

1. Reduction and Oxidation

Reduction is a possibility for acetoacetate, and, of course, the reduction product is 3-hydroxybutyrate. 3-Hydroxybutyrate is a metabolic cul de sac because it can be metabolized only by being reconverted to acetoacetate. In comparison to acetoacetate, 3-hydroxybutyrate should be viewed as a means by which the liver can export reducing power (hydrogen) to the peripheral tissues for combustion and energy generation there.

Both acetoacetate and 3-hydroxybutyrate can be reduced by being converted to LCFA. This fate is more likely to occur in mammary gland than in adipose tissue. Plasma 3-hydroxybutyrate has been shown to be a milk fat precursor in cows (Palmquist *et al.*, 1969), goats (Linzell *et al.*, 1967), and rabbits (Jones and Parker, 1978). A substantial portion of 3-hydroxybutyrate used for milk fat synthesis in ruminants is incorporated as a four-carbon unit (Kinsella, 1970; Palmquist *et al.*, 1969).

Ultimately, the fate of most 3-hydroxybutyrate and acetoacetate is oxidation in the peripheral tissues. Once 3-hydroxybutyrate has been oxidized to acetoacetate, the acetoacetate is converted to acetoacetyl-CoA by the following reaction:

acetoacetate + succinyl-CoA • • • acetoacetyl-CoA + succinate

This reaction is catalyzed by 3-ketoacid CoA-transferase, and viewed from the point of converting succinyl-CoA to succinate, it effectively bypasses the succinyl-CoA synthetase reaction of the citric acid cycle. Because the reaction catalyzed by succinyl-CoA synthetase produces one GTP from GDP, the 3-ketoacid CoA-transferase reaction effectively consumes 1 mole of ATP. The reaction also pushes succinyl-CoA toward oxaloacetate in the citric acid cycle, and oxaloacetate will be needed to form citrate from the acetyl-CoA derived from the acetoacetyl-CoA.

3-Ketoacid CoA-transferase is found in spleen, skeletal muscle, brain, adipose, heart, lung, and kidney of rodents and sheep although the activities are very low in sheep heart and brain (Williamson *et al.*, 1971). In general, though, the highest activities are in the heart and kidney. Activity of 3-ketoacid CoA-transferase is absent in liver (Williamson *et al.*, 1971). The absence of the enzyme from liver is logical because if there were a need for NADH for combustion in the liver, it could be obtained directly from acetyl-CoA in the citric acid cycle rather than shunting the acetyl-CoA units into ketones and back again.

Acetyl-CoA is produced from acetoacetyl-CoA via the acetoacetyl-CoA thiolase reaction, which was discussed under ketogenesis. All tissues have thiolase, and it is in greatest activity in heart, liver, and kidney (Williamson *et al.*, 1971). Heart and brain of sheep have significantly

less thiolase than in rodents. The activities of 2-ketoacid CoA-transferase and acetoacetyl-CoA thiolase are relatively stable in fasting, fed state, high-fat diet, and diabetes except that in rodents, thiolase increases upon feeding a high-fat diet (Williamson *et al.*, 1971). In general, it appears that the ketone utilizing capacity of the body is relatively constant, and ketone availability controls ketone oxidation.

For many years, acetone was viewed as a metabolic dead end, a substance destined to be excreted in the urine or exhaled in the breath. Although much acetone does indeed wind up in the breath and urine, evidence has accumulated that indicates that some acetone is metabolized (Kalapos et al., 1994; Luick et al., 1967; Owen et al., 1982; Vander Jagt et al., 1992). Furthermore, evidence for the catabolic pathway for acetone metabolism indicates that it is metabolized to pyruvate, apparently via hydroxyacetone and pyruvaldehyde (Vander Jagt et al., 1992). Thus, by this mechanism, it is possible that small amounts of fat can be converted to glucose.

Labeling patterns of milk glutamate carbons following injection of 2-14C-acetone into cows, indicated that acetone was metabolized via pyruvate (Black *et al.*, 1972; Luick *et al.*, 1967). Labeling patterns of glucose in humans injected with radiolabeled acetone also indicate metabolism via pyruvate (Owen *et al.*, 1981). In rats, however, labeling patterns of glucose following radiolabeled acetone injection indicate that acetone can be metabolized via pyruvate and acetate, but that the latter pathway predominates (Kosugi *et al.*, 1986). Thus, there appear to be real species differences in acetone metabolism. In humans, at least, the fraction of acetone that is metabolized versus excreted varies inversely with acetone concentration (Owen *et al.*, 1982), so it appears that the catabolizing pathways for acetone are not capable of handling large quantities.

2. Renal Metabolism and Excretion

The kidney cannot synthesize ketones to any appreciable extent (Lynen et al., 1958; Weidman and Krebs, 1969) but is a voracious consumer of ketones as an energy source in ruminants (Kaufman and Bergman, 1971, 1974) and nonruminants (Baverel et al., 1982; Weidman and Krebs, 1969). It is interesting though that in fasting sheep, the kidney removes from the plasma and catabolizes both acetoacetate and 3-hydroxybutyrate (Kaufman and Bergman, 1974), whereas in fasting humans, there is substantial removal of 3-hydroxybutyrate and a slight production of acetoacetate (Owen et al., 1969).

Ketones are freely filterable in the glomerulus. There appears to be in humans and dogs, at least, a direct or indirect energy-consuming tubular transport system for acetoacetate and 3-hydroxybutyrate, which approaches saturation at relatively low plasma concentrations of ketones such as encountered in the fed state or a one day

fast. Neither ketone is excreted at these lower concentrations, but they do begin to appear in the urine as plasma levels begin to rise (Sapir and Owen, 1975; Schwab and Lotspeich, 1954; Visscher, 1945; Wildenhoff, 1977). However, as the ketone concentrations increase in the glomerular filtrate, the primary mode of reabsorption is by diffusion down a concentration gradient as water is reabsorbed from the tubular lumen. Acetone begins to appear in the urine as soon as it begins to appear in the plasma (Widmark, 1920); presumably, this effect is due to great lipid solubility of acetone, which allows it to penetrate cell membranes with relative ease.

Renal excretion and reabsorption of ketones is approximately proportional to their filtration rates (or plasma concentrations if glomerular filtration rate remains constant) at concentrations found after more than a 1-day fast in humans and in ruminants (Kaufman and Bergman, 1974; Wildenhoff, 1977). At least some parts of the nephron, probably beyond the proximal tubule, are less permeable to ketones than to water because when plasma ketone levels are substantially increased, the urinary concentration exceeds the plasma concentration.

The dual mode of ketone reabsorption has an advantage in that none of this valuable energy source is lost at lower plasma concentrations; however, there is no transport maximum for the kidney as a whole, so 80% to 90% of filtered ketones are reabsorbed regardless of how concentrated ketones become in the plasma during pathological conditions or prolonged starvation. Mammals presumably could have evolved a greater activity of the energy-consuming ketone transport system. However, the energy cost of continuously maintaining the system at a higher activity probably outweighed the survival value of having the system available during rare periods of prolonged starvation.

E. Pathophysiology of Ketonemia

As discussed earlier, the acetoacetate and 3-hydroxybuty-rate are more powerful acids than the VFA, and in the case of acetoacetate, they are more powerful than lactic acid. Not surprisingly, then, a high concentration of ketones in the plasma results in a metabolic acidosis known as ketoacidosis. The most significant ketoacidoses commonly encountered in domestic animals are in diabetes mellitus and ovine pregnancy toxemia. The ketoacidosis encountered in these syndromes may cause plasma bicarbonate to be below 10 mmol/l (Ling et al., 1977; Reid, 1968) and is a chief contributor to mortality.

The ketoacidosis in diabetes of dogs and cats can be severe with blood pH being 7.2 or less (Edwards, 1982; Ling *et al.*, 1977; Schaer, 1976). Because plasma ketone concentrations in diabetic dogs have been reported to average 3.2 mmol/l with some individuals having levels of 7 to 8 mmol/l (Balasse *et al.*, 1985), the base deficit in extracellular fluids would be greater than that concentration

for two reasons. First, the distribution space of the ketones is greater than that of extracellular fluid; second, some acetoacetate and 3-hydroxybutyrate anions may have been lost in the urine without equal losses of hydrogen ion (a mineral ion such as sodium or potassium would have balanced the electrical charge). Base deficits of more than 15 mmol/l have been reported in spontaneously diabetic dogs (Edwards, 1982; Ling *et al.*, 1977).

As the metabolic acidosis of diabetes progresses in dogs, there is increased catabolism of muscle protein (Balasse *et al.*, 1985). Much of the nitrogen from protein degradation is diverted into ammonia rather than urea, and it is ammonium ion that balances most of the electrical charge on excreted acetoacetate and 3-hydroxybutyrate.

Ketones are really an alternate form of lipid, comparable to triacylglycerols, LCFA, or VFA, and should be considered as such in caloric balance (Williamson, 1971). VFA and ketones are effectively water-soluble forms of lipids; however, only the ketones can be produced in large quantities in tissue metabolism.

In fed animals, only a nominal caloric production is derived from oxidation of ketones; however, in fasted animals or in some pathological conditions, ketone oxidation accounts for a substantial quantity of expended calories. For example, only 3% to 4% of expired carbon dioxide is derived from 3-hydroxybutyrate in fed cows (Palmquist *et al.*, 1969), whereas 30% of expired carbon dioxide is derived from ketones in fasted pregnant ewes (Pethick and Lindsay, 1982).

It has been demonstrated in canine perfused liver (Shaw and Wolfe, 1984) and *in vivo* in humans (Binkiwicz *et al.*, 1974; Mebane and Madison, 1964; Miles *et al.*, 1981) and dogs (Balasse *et al.*, 1967; Paul *et al.*, 1966) by infusing acetoacetate or 3-hydroxybutyrate that both ketones inhibit gluconeogenesis. In most of these experiments, there has been evidence of increased plasma insulin concentrations, which could account for the diminution in plasma glucose concentration. The survival value of having ketones inhibit gluconeogenesis is that in starvation, as ketone concentrations increase and become available for tissue energy needs, the rate at which body protein must be catabolized to supply glucose precursors can decrease.

Not surprisingly in view of the increased insulin levels usually observed, decreased levels of LCFA were noted during ketone infusions in some of the experiments mentioned previously. Thus, increased ketone levels may serve a negative feedback on rate of lipolysis in adipose and, therefore, on the plasma levels of ketones themselves.

F. Fasting Ketosis

During fasting, hormonal changes occur that promote lipolysis. Most important, as less glucose is available from the gut or from gluconeogenesis in the liver, plasma glucose concentrations will decrease. Responding to the hypoglycemia,

pancreatic islet cells will release less insulin and more glucagon, so that plasma insulin concentrations will decrease and plasma glucagon concentrations will increase. These hormonal changes will increase cAMP concentrations in adipose cells, which leads to the activation of hormone-sensitive lipase.

Through the action of hormone-sensitive lipase, triacyl-glycerols are hydrolyzed with release of LCFA and glycerol. LCFA are utilized directly by tissues for energy but are also taken up by the liver in proportion to their plasma concentration. During fasting, hepatic concentrations of malonyl-CoA and methylmalonyl-CoA are relatively low, so carnitine acyltransferase I activity is relatively high, and LCFA-CoA are quickly converted to LCFA-carnitine, which is translocated into the mitochondrion (McGarry et al., 1977). Once in the mitochondrion LCFA-carnitine is converted to LCFA-CoA again.

Following β -oxidation of ketones, some acetyl-CoA is combusted in the citric acid cycle. However, during fasting, gluconeogenesis is quite active in the liver, and much of the mitochondrial oxaloacetate is used for that purpose and is unavailable for citrate formation with acetyl-CoA; consequently, large quantities of acetyl-CoA are shunted into ketogenesis.

Acetoacetate and 3-hydroxybutyrate can be utilized by most extrahepatic tissues. Because peripheral tissues can also use LCFA, the utility of hepatic production of ketones from LCFA was not clear originally. However, many tissues have as great or greater capacity for utilizing plasma ketones as for utilizing plasma LCFA. Among these tissues are heart and kidney (Hall, 1961; Little et al., 1970; Owen et al., 1969; Williamson and Krebs, 1961). In some species, such as the rat (Hawkins et al., 1971) and human (Owen et al., 1967), ketones constitute a major energy source for the brain during fasting. In some other species though, it appears that the brain prefers glucose and utilizes only small quantities of ketones in the fed or fasted state in the sheep (Jones et al., 1975; Lindsay and Setchell, 1976; Pell and Bergman, 1983), the dog (Wiener et al., 1971), and the pig (Tildon and Sevdalian, 1972). Resting skeletal muscle utilizes ketones preferentially as a fuel during short-term starvation (Owen and Reichard, 1971); however, LCFA are preferred during long-term starvation (Owen and Reichard, 1971) or exercise (Hagenfeldt and Wahren, 1968a, 1968b).

Ketones are quite soluble, require no protein carrier, and diffuse (in their un-ionized form) or are transported rapidly through biological membranes including the bloodbrain barrier (Hawkins *et al.*, 1971; Persson *et al.*, 1972). The liver has an advantage over other tissues regarding uptake of LCFA from plasma albumin because of its unique sinusoidal vascular system. Therefore, the liver can be regarded as a machine that can rapidly remove LCFA from plasma and convert them to a form, the ketones, that other tissues can utilize rapidly.

Because they must be bound to albumin if they are to be nontoxic, the maximum safe plasma concentration of LCFA is fixed by the albumin concentration. Furthermore, in prolonged fasting, albumin concentration decreases, which lessens the number of LCFA carriers. Generally, LCFA concentrations do not rise above 2mmol/l in fasting, whereas ketone concentrations can increase to 3 to 4 mmol/l or more. Thus, ketones can have a greater concentration gradient to allow their entry into the cell.

Although the acid nature of ketones has received much attention in the clinical literature, less well recognized is the toxic potential of LCFA. If LCFA are released into the plasma in excess of hepatic uptake, albumin-binding capacity will be exceeded (Spector and Fletcher, 1978). Unbound fatty acids may damage endothelial cells, perhaps because of detergent action, oxidation of unsaturated LCFA, or changes in cell metabolism (Ramasamy et al., 1991). Such damage to endothelial cells has been proposed as a mechanism in the development of atherosclerotic plaque (Zilversmit, 1973). There is some evidence in humans and guinea pigs that high levels of LCFA within the heart may predispose it to arrhythmias (Cowen and Vaughn-Williams, 1977; Oliver et al., 1968). The possible role of LCFA in causing some cases of pancreatitis was discussed earlier.

Ketogenesis in fasting should be viewed as an evolved mechanism with specific survival value for peripheral tissues and not a burden that the liver is placing on the rest of the body. It is important to remember that fasting animals should be expected to have a degree of ketonemia, ketonuria, and ketolactia. Thus, any disease condition which causes anorexia will usually be accompanied by increased ketone levels in body fluids that have no significance other than the fact that the animal has a subnormal caloric intake.

G. Diabetic Ketosis

Although diabetes mellitus is covered in more depth elsewhere in this book, no discussion of ketones would be complete without a mention of this disease. Diabetes is diagnosed more frequently in dogs and cats than other domestic species, and the ketoacidosis that occurs can be fatally severe and was discussed under acid-base balance above.

In experimental diabetes in dogs, plasma total ketone concentrations are 3.2 mmol/l as compared with 0.1 mmol/l in healthy dogs (Balasse *et al.*, 1985). Diabetes is accompanied by hyperglycemia, whereas most other ketotic syndromes occurring in domestic animals are usually accompanied by normoglycemia or hypoglycemia. The ketonemia in diabetes is due to increased lipolysis in adipose plus accelerated hepatic gluconeogenesis, both brought about by a lack of insulin. Thus, there are abundant plasma LCFA as ketogenic substrates and metabolic conditions in the liver that favor ketone synthesis.

H. Ketosis Associated with Pregnancy and Lactation

These ketoses are most commonly observed in ruminants although they have been documented in dogs and humans. Before specific syndromes are discussed, a general picture of ketogenesis in pregnancy and lactation will be presented.

Fetal demands for glucose are high, and the placenta can transport glucose from maternal to fetal plasma (Setchell *et al.*, 1972; Warnes *et al.*, 1977). When an imbalance occurs between the maternal ability to synthesize or absorb glucose and fetal consumption, hypoglycemia results. Under these circumstances, hypoglycemia will lead to lipolysis in adipose tissue and release of LCFA as discussed earlier. The LCFA will be taken up by the liver and converted to ketones with resulting ketosis.

Ketosis in lactation is somewhat more complex than ketosis occurring during pregnancy. The volume of milk produced is almost totally dependent on the rate of lactose synthesis by the mammary gland because milk volume formation is an osmotic phenomenon, and lactose is the predominant molecular species in milk (Peaker, 1977). There is virtually only one precursor of lactose, and that precursor is plasma glucose (Bickerstaffe *et al.*, 1974; Kleiber *et al.*, 1955). Therefore, a female that is in heavy lactation will have a heavy drain on plasma glucose. There are two sources of plasma glucose: absorption from the gut and gluconeogenesis.

In ruminants, little glucose is absorbed from the gut, so the overwhelming bulk of it is synthesized (Lindsay, 1959; Otchere *et al.*, 1974). Most (approximately 90%) of this synthesis occurs in the liver with the remainder occurring in the kidney (Bergman, 1982). The chief substrates are propionate and amino acids, with the former being most important in animals on a high-grain diet. Other precursors are branched chain VFA and lactate absorbed from the rumen and glycerol released during lipolysis (Bergman, 1975). If there is a mismatch between mammary drain of glucose for lactose synthesis and gluconeogenesis in the liver, hypoglycemia will result. Under these circumstances, hypoglycemia will lead to ketosis as explained in the discussion on fasting ketosis.

1. Bovine Ketosis

Bovine ketosis is actually at least three different syndromes that occur in cows during lactation (Kronfeld, 1980; Kronfeld *et al.*, 1983). The syndromes are characterized by anorexia, depression (usually), ketonemia, ketolactia, ketonuria, hypoglycemia, and decreased milk production. The three syndromes are underfeeding ketosis, alimentary ketosis, and spontaneous ketosis.

Underfeeding ketosis occurs when a dairy cow receives insufficient calories to meet lactational demands plus body maintenance. This version of ketosis can be conveniently divided into nutritional underfeeding ketosis and secondary (or complicated) ketosis. The former occurs when the cow has a normal appetite but is given an insufficient quantity of feed or a diet with low metabolic energy density. The latter occurs when a cow has some other disease, such as hypocalcemia, mastitis, and metritis, which suppresses appetite and causes the cow to consume insufficient nutrients. In most respects, underfeeding ketosis resembles starvation ketosis explained earlier, except that there is the additional caloric and glycemic burden of milk production.

Alimentary ketosis occurs when cattle have been fed spoiled silage that contains excessive amounts of butyric acid (Adler *et al.*, 1958; Brouwer and Kijkstra, 1938). As discussed previously, the rumen epithelium has a high capacity to activate butyrate to acetoacetate and 3-hydroxybutyrate. Under conditions where excessive butyrate is presented to the rumen epithelium, large amounts of 3-hydroxybutyrate will be produced and released to the circulation with resulting ketosis. Alimentary ketosis then is really butyrate toxicosis.

Spontaneous ketosis is probably the most common, the most researched, the most controversial, and the least understood form of bovine ketosis. It occurs in high producing dairy cows that are near the peak of lactation, that have access to abundant high-quality feed, and that have no other disease (Baird, 1982; Kronfeld, 1980). The disease is not accompanied by severe acidosis (Sykes *et al.*, 1941), and spontaneous recovery is common although there is a large decrease in milk production (Baird, 1982; Kronfeld, 1980). There are several schemes proposed for the molecular pathogenesis of the syndrome. As these schemes are discussed, it will become evident that they are not necessarily mutually exclusive, and more than one of them may be correct and may be present simultaneously in the same animal.

The most widely accepted theory of bovine ketosis is the hypoglycemia theory (Baird, 1982). In this theory, hypoglycemia is the driving force in the syndrome and ultimately causes the ketonemia. Dairy cows are selected for remaining in the herd more for milk production that for any other factor. Thus, dairy cows have been selected for many generations to have a metabolically aggressive mammary gland. This selection criterion has dictated that the mammary produce a maximum amount of milk with secondary regard for the metabolic consequences for the rest of the animal. It is not surprising, therefore, that occasionally the mammary gland might withdraw glucose from the plasma more rapidly than the liver can resupply it, which leads to hypoglycemia even in a well-fed animal. The hypoglycemia will lead to ketonemia by mechanisms discussed earlier and later in this discussion. The hypoglycemia and ketonemia may cause the cow to be ill enough that she will decrease her feed intake. At this point, the syndrome will resemble underfeeding ketosis.

As explained previously, high milk production equates to a high rate of plasma glucose utilization by the mammary gland, which equates to a high rate of hepatic gluconeogenesis. In a lactating cow, plasma glucose concentration represents the balance point between hepatic glucose production and peripheral glucose utilization, with the mammary gland being the chief user. If peripheral glucose utilization should leap ahead of hepatic glucose production, hypoglycemia will result. In theory, hypoglycemia under these circumstances should lead to a decrease in plasma insulin and an increase in plasma glucagon levels. Lower plasma insulin and higher plasma glucagon should increase the activity of hormone-sensitive lipase in adipose tissue, which will lead to increased plasma levels of LCFA. Consequently, more LCFA will reach the liver and exceed its capacity to oxidize them completely or to reesterify them, and increased ketogenesis will result.

What evidence supports this theory? First, the vast majority of cows with clinical spontaneous ketosis are indeed hypoglycemic (Baird et al., 1968; Gröhn et al., 1983; Schwalm and Schultz, 1976). Second, cows with spontaneous ketosis usually are hypoinsulinemic (Hove, 1974; Schwalm and Schultz, 1976). Third, compared to the prelactation period, postparturient dairy cows have been found to have elevated levels of plasma immunoreactive glucagon (De Boer et al., 1985; Manns, 1972), which is even greater in cows with ketosis (Sakai et al., 1993). Fourth, ketotic cows have elevated levels of plasma LCFA (Baird et al., 1968; Ballard et al., 1968; Schwalm and Schultz, 1976).

Some investigation of molecular mechanisms of ketogenesis in the liver ketotic cows has been performed (Baird et al., 1968; Ballard et al., 1968). In particular, there has been interest in hepatic mitochondrial oxaloacetate levels. In the discussion of ketogenesis presented earlier, it was noted that when increased levels of plasma LCFA occur, the liver can reesterify them or can oxidize them to acetyl-CoA. The acetyl-CoA can be oxidized to carbon dioxide provided there is sufficient oxaloacetate to permit entry into the citric acid cycle as citrate. For the citric acid cycle to operate, there must also be a sufficient amount of ADP available for phosphorylation as well, or accumulation of NADH will slow the cycle. If acetyl-CoA accumulates, the excess will be diverted into ketogenesis.

Two studies have attempted to investigate oxaloacetate concentrations in the livers of ketotic cows (Baird *et al.*, 1968; Ballard *et al.*, 1968). Different methodologies were used to estimate oxaloacetate concentrations; one study (Ballard *et al.*, 1968) concluded that there was no change in oxaloacetate concentration during ketosis, and the other concluded that oxaloacetate concentrations were lower in ketotic than in healthy cows (Baird *et al.*, 1968). Actually, both studies measured total hepatic oxaloacetate rather than mitochondrial oxaloacetate, which may be critical in ketogenic control. However, there has been no evidence to indicate that the ruminant liver should be any different from the nonruminant liver with regard to the concept that if the liver is presented with sufficient LCFA, ketogenesis will result. There has been insufficient research on the control of lipolysis in adipose in

ruminants. In particular, there has been insufficient research in differences in plasma levels of lipogenic and lipolytic hormones and sensitivity of adipose to these hormones in cow populations that are susceptible and nonsusceptible to ketosis. No matter how low mitochondrial oxaloacetate levels might be in the liver, ketogenesis will not occur at a significant rate without a sufficient precursor in the form of LCFA, and conversely, ketogenesis could occur with normal oxaloacetate levels if the liver were presented with a sufficiently high concentration of LCFA.

It has been noticed, however, that dairy cattle can become ketonemic without the presence of significant hypoglycemia (Ballard *et al.*, 1968; Gröhn *et al.*, 1983). This is often the case with subclinical ketosis in which ketonemia exists without other signs of ketosis. It has been postulated that there is a lipolytic signal of unknown identity for lipolysis to meet mammary demand for LCFA, which is independent of plasma glucose concentration (Kronfeld, 1982; Kronfeld *et al.*, 1983). The increased plasma LCFA lead directly to increased hepatic ketogenesis.

When it was first observed that glucocorticoids appeared to be an effective treatment for spontaneous ketosis, it was hypothesized that the disease was due to adrenal cortical insufficiency (Shaw, 1956). This theory has fallen into disfavor because it has been shown that ketotic cows have higher plasma levels of glucocorticoids than healthy cows (Robertson *et al.*, 1957). Glucocorticoids are efficacious and probably have their effect by stimulating proteolysis and inhibiting glucose use in muscle, thereby providing gluconeogenic precursors and glucose (Bassett *et al.*, 1966; Braun *et al.*, 1970; Reilly and Black, 1973; Robertson, 1966; Ryan and Carver, 1963).

The efficacy of glucose or glucose precursors as ketosis treatments favors the hypoglycemic theory. Parenteral glucose provides nearly immediate relief although relapses are common (Kronfeld, 1980). Gluconeogenic precursors, such as propylene glycol, glycerol, and sodium propionate, have been shown to be efficacious (Emery *et al.*, 1964; Kauppinen and Gröhn, 1984; Schultz, 1952; Simesen, 1956). Treatment of cows with bovine somatotropin in one lactation appears to decrease the likelihood of ketosis in the next lactation (Lean *et al.*, 1994). Cows treated with somatotropin appear to have less body fat and more skeletal muscle, so after calving, there is less fat to mobilize to LCFA and more protein to mobilize as a glucose precursor. Therefore, hypoglycemia and subsequent fatty acidemia and ketonemia are less likely to occur.

2. Ovine Pregnancy Toxemia

This syndrome occurs in pregnant ewes that are carrying more than one fetus and that have been subjected to caloric deprivation or stress. Because of intense genetic selection for twinning, the syndrome is, to a large extent, a manmade disease. Susceptibility increases as ewes approach term because fetal glucose demands increase with increasing body size. The ovine placenta is capable of extracting glucose from maternal plasma at concentrations below 1mmol/l and readily does so. It might seem biologically useless for the fetuses to cause a fatal hypoglycemia in the ewe, which will also lead to their own demise, but the fetuses are highly dependent on glucose as a caloric and synthetic source and would expire without it anyway.

Fetal lambs normally maintain a very low plasma glucose concentration of approximately 0.6 mmol/l compared to 2.7 mmol/l in a ewe (Warnes et al., 1977). Thus, the transplacental glucose gradient greatly favors movement from dam to fetus. Curiously, the most concentrated carbohydrate in fetal sheep plasma is fructose (5.1 mmol/l), which is synthesized from glucose in the placenta by reducing glucose to sorbitol followed by oxidation to fructose (Hers, 1960; Warnes et al., 1977). Despite the abundance of fructose in the plasma of the fetal sheep, glucose constitutes its primary energy supply (Lindsay and Pethick, 1983; Warnes et al., 1977), and the fetuses normally consumed 60% to 70% of maternal glucose production (Prior and Christenson, 1978; Setchell et al., 1972).

The ovine placenta appears to have a low permeability for acetoacetate. When acetoacetate loads have been infused into pregnant sheep, the concentrations in fetal blood have remained low. Further, *in vitro* experiments with perfused sheep placenta have also demonstrated a low permeability for acetoacetate (Alexander *et al.*, 1966, 1969). Thus, it appears that maternal acetoacetate, and perhaps 3-hydroxybutyrate, cannot be a major energy source for the ovine fetus.

The disease is characterized by depression and weakness in the ewes, which are associated with hypoglycemia, ketonemia, and ketonuria (Henze, 1998; Reid, 1968). The ketonemia is severe enough to cause acidosis, which can be severe (Holm, 1958; Reid, 1968). There is also considerable fatty deposition in the liver to the extent that it may interfere with liver function (Cornelius *et al.*, 1958; Snook, 1939). Eventually, the ewes are unable to rise, become comatose, and die if untreated.

Mild cases respond to intravenous glucose, glucocorticoids, glucose precursors such propylene glycol or glycerol coupled with removal of stress, and improved nutrition (Henze *et al.*, 1998; McClymont and Setchell, 1955a, 1955b; Thompson, 1956). Severe cases, in which the ewes are unable to rise, usually respond only to delivery of the lambs, and even then, a high mortality will occur (Holm, 1958; Reid, 1968).

3. Syndromes in Other Species

Ketosis associated with lactation can occur in dairy goats (Morand-Fehr *et al.*, 1984). The syndrome has also been reported in beef cows with caloric deprivation and nursing two calves (Khan *et al.*, 1986). Pregnancy toxemia

has been reported in goats carrying multiple fetuses (East, 1983; Morand-Fehr *et al.*, 1984; Rindsig, 1980; Thedford, 1983). The syndrome can be produced with calorie deprivation, particularly if coupled with stress, and almost always occurs in does carrying more that one fetus. Obesity also may be a predisposing factor in does (Morand-Fehr *et al.*, 1984; Thedford, 1983). Generally, the syndrome in does appears entirely similar to that in ewes.

Pregnancy toxemia has been reported in beef cows in the last 2 months of gestation (Caple et al., 1977; Kingrey et al., 1957; Sampson et al., 1945; Tyler et al., 1994). The disease occurs predominantly in cows that are carrying twins. The cows may be in good or even obese body condition, but sudden food deprivation or decrease in quality or imposition of stress such as water deprivation may precipitate the syndrome. The disease resembles pregnancy toxemia in sheep in most respects. Pregnancy toxemia has been reported in pregnant bitches (Irvine, 1964; Jackson et al., 1980) and appears similar to the disease in sheep. Hypoglycemia is severe in canine cases, and the animals respond readily to intravenous glucose. If the animals will eat a carbohydrate-containing diet, a relapse is unlikely; otherwise, removal of the fetuses is required for a cure. There is a report of diabetic ketosis developing in pregnant dogs, which may resolve after delivery; however, these dogs are hyperglycemic and are treated with fluids and insulin (Norman et al., 2006). Pregnancy toxemia occurs in pregnant guinea pigs and, like in pregnant ewes, the syndrome can be precipitated by inadequate calories and stress (Bergman and Sellers, 1960; Wagner, 1976). The syndrome in guinea pigs is similar to that in sheep. There is marked ketonemia and acidosis, and the animals become weak and depressed with eventual coma (Wagner, 1976).

I. Postexercise Ketosis

Postexercise ketosis, which was first documented in 1909 (Forsener, 1909), has been investigated most extensively in humans and rats. Neither trained nor untrained humans or rats show much increase in ketones during exercise, but only untrained individuals exhibit a significant ketonemia and ketonuria after exercise (Johnson *et al.*, 1969; Koeslag, 1982; Winder *et al.*, 1975). The experiments of Winder *et al.* (1975) demonstrated a greater enzymatic capacity of muscles of trained rats to catabolize ketones. It also appears that trained athletes have a greater capacity to oxidize LCFA in muscle than nonathletes (Johnson *et al.*, 1969). A high-carbohydrate diet in conjunction with training also decreases the magnitude of postexercise ketosis (Koeslag *et al.*, 1980).

From the foregoing, it appears that a number of factors are involved in postexercise ketosis. During exercise, all forms of fuel, including LCFA, ketones, and glucose, are oxidized. Postexercise, there is a diminution of LCFA release from adipose tissue; however, plasma LCFA concentrations decrease little at first because of an even greater

diminution in LCFA oxidation, and more LCFA may be converted to ketones. Ketone oxidation by muscle is decreased postexercise, which will allow ketones to accumulate. In the postexercise period, there is gluconeogenesis as lactate is cycled back into glucose and glycogen, which may lead to decreased mitochondrial oxaloacetate levels and increased ketogenesis. Finally, compared to the exercise period, in the postexercise period, relatively more of the cardiac output will flow through the portal system, and the rate at which LCFA are presented to the liver may increase.

Postexercise ketosis undoubtedly occurs in most mammalian species, but among the domestic species, it has been best documented in dogs and horses. Postexercise increases in plasma levels of ketones have been observed in racing sled dogs (Hammel et al., 1977). Postexercise ketosis has been reported several times in the horse (Dybdal et al., 1980; Lucke and Hall, 1980; Rose and Sampson, 1982). In these studies, horses were subjected to endurance rides of 80 to 160 km. Plasma 3-hydroxybutyrate concentrations increased two- to three-fold 5 to 60 minutes postexercise compared to preexercise levels. Plasma LCFA concentrations increase five-fold or more in horses during exercise (Lucke and Hall, 1980; Rose and Sampson, 1982) and decrease little during 30 to 60 minutes postexercise. Thus, abundant LCFA are available to the liver postexercise when muscle utilization of LCFA and ketones is decreased, a situation that results in ketonemia.

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Proteins, Proteomics, and the Dysproteinemias

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REFERENCES

I. INTRODUCTION

Protein is the most abundant component of plasma. There is in the region of 6 to 7g/dl (60 to 70g/liter) of protein in plasma in comparison to 0.1g/dl (1.0g/liter) of glucose (5.5 mmol/liter) or 0.35 g/dl (3.50 g/liter) of sodium (150 mmol/liter). However, this large mass of protein consists of many different individual protein molecules. Complete analysis of this complex mixture of different proteins is not possible at present in the diagnostic laboratory. Proteins contain approximately 95% of all nitrogenous material in blood in the form of chains of amino acids linked by peptide bonds. Protein can be separated from the nonprotein nitrogen (NPN) component of plasma by precipitation with reagents such as trichloracetic acid. The NPN consists of nucleic acids along with low molecular weight compounds such as urea (50% of NPN), free amino acids (25% of NPN), glutathione, and creatinine.

Analysis of serum protein is an area of clinical biochemistry of domestic animals, which has seen a rapid advance since the 1990s, and with current developments in analytical technology and interpretation, the rate of advance is likely to accelerate rather than decline. At the forefront of these advances in the diagnostic application of serum protein analysis has been the development of specific assays for individual proteins. In particular, it has been recognized that quantification of a group of serum protein called the acute phase proteins (APP) can greatly assist the assessment of infection, inflammation, and trauma in animals. These advances are now being applied in clinical biochemistry laboratories for the immediate benefit in the diagnosis, prognosis, and monitoring of treatment of domestic animals.

In the future, technology may be developed to characterize all proteins (the proteome) of serum, which would

make a major contribution to the diagnosis of disease. However, it is a salutary lesson that currently only a limited number of the many plasma proteins are used for diagnostic analysis (Anderson and Anderson, 2002).

This chapter focuses on the biochemistry, the diagnostic methodology, and use in disease diagnosis of measuring the concentration of serum or plasma proteins, but it will exclude a number of groups of proteins where the interpretation of results is more appropriate for other chapters. Immunoglobulins and complement will be covered in Chapter 6, lipoproteins in Chapter 4, and fibrinogen in Chapter 10. Studies on the proteins of blood have been performed on serum or plasma. Where appropriate, a distinction is made between these fluids, although apart from the absence of fibrinogen in serum, most diagnostic investigations can be applied to either. Nevertheless serum is the preferred sample for most of the diagnostic assays used to investigate the proteins of blood.

II. CLASSIFICATION OF PROTEINS

A. Structural Classification

The structure of proteins is defined by increasing levels of complexity. The primary structure of a protein is the sequence of amino acids that makes up the unique composition of the individual protein. The amino acids are joined together by peptide bonds linking the carboxylic acid group of one amino acid to the amino group of the neighboring amino acid in the chain. With 20 different amino acids occurring in proteins and with the possibility of more than a hundred or more amino acids making up the primary structure of each protein, there are an almost infinite number of potential proteins that could be present in cells and tissues. However, the sequence of the amino acids in a particular protein is predetermined by the order of nucleotide bases in nuclear DNA, which contains the genetic code for that protein.

Secondary structure is the presence in protein of regular structures formed by the linked amino acids giving identifiably similar three-dimensional conformations. These may be repeated at intervals in the three-dimensional molecular structure of the protein. The most important of these structures are the α -helix and the β -sheet. The α -helix is a righthanded helix stabilized by hydrogen bonds between the C = O group of one amino acid residue and the N-H group of another amino acid located four residues along the peptide chain. The β -sheet is also stabilized by hydrogen bonds between carboxyl and amino groups of amino acid residues, but the interacting residues are at different parts of the same chain. An example of the α -helix is shown by the structure of albumin (Section IV.A), whereas the contribution of β -sheets to protein structure is illustrated by the structure of C-reactive protein (CRP) (Section IV.B.1). The α -helices and β -sheets can associate together into

supersecondary structures forming recognized motifs among which the β -meander, Greek key, and β -barrel structures have been described (Walsh, 2002)

The tertiary structure of proteins is the three-dimensional structure of the protein and is dependent on its primary and secondary structures. This native conformation of the protein is essential for its activity and depends on the correct folding of the protein after synthesis. Most proteins above a certain size can be subdivided into domains, which are independent folding units. The conformation of the protein is held together by weak forces between amino acid side chains such as hydrogen bonds, electrostatic and hydrophobic interaction, and also by covalent disulphide bonds between cysteine residues. Great strides have been made in determining the structure of proteins using X-ray crystallography and nuclear magnetic resonance (NMR). Structures of many proteins, including serum protein, can be obtained from online, open-access databases such as the Research Collaboratory for Structural Bioinformatics Protein Data Base located on the Internet at www.rcsb.org/ pdb. The structures can be manipulated by protein modeling software, among which Protein Explorer or RasMol can be downloaded from www.umass.edu/microbio/rasmol.

The quaternary structure of proteins is the combination of protein subunits to create a multisubunit complex. Thus, hemoglobin requires the combination of four subunits (two α -chains and two β -chains) into a tetramer for the fully functional protein. Examples of serum proteins that have quaternary structure include immunoglobulins, formed from two light chains and two heavy chains, and CRP, in which five subunits combine to form a pentameric structure.

A further classification of protein based on their structure is between "fibrous" and "globular" proteins. The former adopt elongated three-dimensional shapes in their quaternary structure and are usually involved in structural roles in biological systems such as α -keratin, collagen, and elastin. Apart from fibrinogen (Section VI.B.5), which has as its function the formation of fibrin, fibrous proteins are not found in plasma protein. Thus, the majority of plasma proteins are globular proteins, adopting complex three-dimensional shapes by folding of the polypeptide chain.

B. Chemical Classification

Proteins are also classified as "simple" or "conjugated." Simple proteins contain only a polypeptide chain of linked amino acids, whereas conjugated proteins contain nonamino acid components. These can be carbohydrate residues (glycoprotein and proteoglycan), metal ions such as Fe²⁺ or Ca²⁺ (metalloproteins), phosphate (phosphoproteins), lipid (lipoproteins), and nucleic acids (nucleoproteins such as histones). Many plasma proteins are conjugated to carbohydrate and are present in the circulation as glycoproteins.

III. Metabolism of Proteins

C. Physical Classification

Proteins can be classified by physical properties and behavior, by their size (relative molecular mass, M_r), or by the charge on the protein. The charge on a protein results from a combination of the acidic and basic groups on free side chains of the amino acids of the protein and is dependent on the pH of the aqueous environment. For every protein, there is a specific pH where the protein has an equal number of negative and positive charges on its side chains and the protein has a net charge of zero. This is the isoelectric point of the protein (pI). The higher the proportion of basic amino acids, such as lysine or arginine, the higher the pI of the protein will be, whereas with more acidic amino acids, such as glutamate or aspartate, the protein will have a low pI. The proportion of aromatic amino acids tyrosine, tryptophan, or phenylalanine contained by a protein influences its spectral properties because these amino acids absorb light at 280nm, which can be measured in a spectrophotometer. The spectral property can also be influenced by factors such as the presence of heme groups and the binding of metal ions. The proportion of hydrophobic amino acids defines the hydrophobicity of a protein, which can be predicted from the primary sequence. Chemical composition in terms of the primary structure and the physical properties of proteins that have been sequenced are available from online databases such as the UniProt database at www.ebi.uniprot.org.

III. METABOLISM OF PROTEINS

A. General

The metabolism of nitrogenous compounds in animals is largely related to the processes of anabolism and catabolism of amino acids and proteins. Proteins in the diet are broken down by protease digestion to yield free amino acids and small peptides, the latter being finally degraded in the intestinal cells during absorption. The products of protein digestion enter the portal vein as amino acids. In the healthy animal, an equilibrium is established between intake and synthesis of amino acids, on the one hand, and breakdown and excretion of excess nitrogenous material, in the form of urea, on the other. Excessive loss of nitro-genous material can occur in illness because of cellular breakdown, lactation with production of milk protein, and in urinary or gut losses. During growth, pregnancy, and recovery from disease, there is a positive nitrogen balance as amino acids and other nitrogenous compounds are supplied to meet the body's requirements.

B. Synthesis of Proteins

Proteins are made from amino acids in the cytoplasm of cells when the appropriate mix of amino acids is present. Among the 20 naturally occurring amino acids found in

TABLE 5-1 Natural Amino Acids

Histidine	Lysine	Threonine
soleucine	Methionine	Tryptophan
Leucine	Phenylalanine	Valine
KANTA AMBARAKAN ALBAKATAN		2005 A
	Amino Acids Cysteine	Proline
Nonessential Alanine Arginine		Proline Serine
Alanine	Cysteine	

^aBy conversion from phenylalanine

protein, nearly half cannot be synthesized by mammalian cells. These are the essential amino acids that have to be obtained in the diet (Table 5-1). The nonessential amino acids can be synthesized by transamination reactions in which the amino group of glutamate is transferred to a carbon skeleton in the form of an α -ketoacid. An example of this is the action of alanine transaminase, which catalyzes the transfer of the amino group of glutamate to the α -ketoacid, pyruvate, with the formation of alanine and α -ketoglutarate. Alanine transaminase (ALT; EC 2.6.1.2) is an important diagnostic enzyme, used as a marker of liver damage (Chapter 12) in small animals. The nonessential amino acids can be synthesized in animals from components of the central metabolic pathways, whereas the essential amino acids have to be present in the diet. However, in ruminants, the symbiotic relationship with ruminant microbes allows production of the full range of amino acids so that these species do not require all the essential amino acids in their dietary intake.

The intricate process of synthesis of protein in the ribosomes of the rough endoplasmic reticulum, under the instruction of messenger ribonucleic acid (mRNA), is a major part of the discipline of molecular biology and will not be described here in detail as authoritative texts are devoted to the subject (Alberts et al., 2002). The primary structure of the protein is determined by the gene sequence of nuclear DNA on a chromosome in the nucleus. The genetic code, which is the sequence of nucleotides in DNA (adenine, cytosine, guanine, thymine), controls the sequence of amino acids in the protein. During protein synthesis, the code is transcribed from DNA to mRNA, which moves from the nucleus to the ribosomes in the cytoplasm. Here, specific amino acids are added to the growing peptide chain following linkage to a specific transfer RNA (tRNA). The specificity of production of the amino acid chain during this process of translation is dependent on the triplet of nucleic acids in the mRNA (codon) binding accurately to the anticodon of the tRNA. By this means, the

genetic code in nuclear DNA directs the primary sequence of amino acids during protein synthesis. Formation of the peptide bonds between the amino acids of the protein is followed by folding of the protein into its natural conformation. With up to 20 different amino acids in protein chains of 100 residues or more, several million structural arrangements are feasible for any one protein. It is essential for protein function that they form the correct native conformation, and protein folding is an essential process following synthesis. Folding is the responsibility of chaperones, which guide the growing protein chain to produce the single structure that will ensure its full activity (Walsh, 2002).

C. Catabolism of Proteins

1. Turnover of Proteins

Throughout an animal's body, proteins are continually being synthesized and broken down, resulting in a continuous turnover of protein. In a healthy animal, the rates of synthesis and degradation are in equilibrium, but during disease these can alter. Plasma proteins are subject to the same process, and a function of albumin, the most abundant plasma protein, is to provide amino acid for the natural turnover of protein in peripheral tissues. Albumin is taken up by pinocytosis into tissues where lysosomal proteases hydrolyze the protein, releasing the amino acids for utilization by the cells for synthesis of their own proteins (Evans, 2002). There is no storage capability in the body for protein. As a result, amino acid in excess of requirement for cellular protein synthesis is utilized for the central pathways of metabolism. The carbon skeleton of amino acids can be used for provision of energy via the tricarboxylic acid cycle and oxidative phosphorylation or may be converted to glucose or lipid and stored for later use. Carnivores derive as much as 40% to 50% of their energy from dietary protein, whereas omnivores and herbivores derive from 10% to about 20% from this source.

The rate of degradation of the plasma proteins is expressed as their turnover, fractional clearance, or as their half-life, which is the time taken for their concentration to fall by 50%. Plasma half-lives were originally determined by measurement of the rate of disappearance of radioisotope labeled protein. More recently, proteins labeled with stable isotopes and measured by mass spectrometry have been used for this purpose (Preston *et al.*, 1998; Prinsen and de Sain-van der Velden, 2004). Clearance half-lives for cellular proteins range from a few hours (enzymes) to as long as 160 days for hemoglobin in bovine red cells. The clearance half-life for plasma protein can be as long as 3 weeks. Plasma albumin in humans has a normal half-life of 19 days, α_1 -acid glycoprotein has a half-life of 5.5 days (Putnam, 1975), and γ -globulins have a half-life of 7 days

TABLE 5-2 Albumin Turnover in Animals

Species	$T_{1/2}$ (days)	Reference	
Mouse	1.9	(Allison, 1960)	
Rat	2.5	(Allison, 1960)	
Dog	8.2	(Dixon et al., 1953)	
Sheep	14.3	(Campbell et al., 1961)	
Cow	16.5	(Cornelius et al., 1962)	
Human	19.0	(Putnam, 1975)	
Horse	19.4	(Mattheeuws et al., 1966)	

(Andersen *et al.*, 1963). The plasma half-life of albumin shows considerable variation between species (Table 5-2). It is associated with the size of the species with murine albumin having a $T_{1/2}$ of 1.9 days, whereas equine albumin has a $T_{1/2}$ of 19.4 days.

2. Urea Cucle

During digestion, protein is not only broken down to amino acid, but gut bacteria can degrade the amino acids releasing ammonia, which is absorbed along with the amino acids. This is an important consideration in the management of liver disease, as sterilization of the gut by antibiotics can reduce the ammonia load on the liver. Once absorbed, amino acids, along with ammonia, are transported in the portal vein to the liver and then to other tissues where the amino acids are utilized for protein synthesis.

The liver is the central processing organ for nitrogen metabolism, and approximately 75% of the amino acid (and ammonia) absorbed from the intestine is transported into the hepatocytes. Transaminase reactions facilitate the transfer of amino groups to appropriate α -ketoacids in the formation of the required balance of nonessential amino acids. If not required for protein synthesis, amino acids undergo deamidation by mitochondrial enzymes including glutamate dehydrogenase and glutaminase. Amino groups are also transferred to oxaloacetate, with the formation of aspartate, which along with an ammonium ion and a carbonate group are the precursors of urea. In this way, amino groups from excess amino acids are transferred into urea for safe excretion.

In the urea cycle (Fig. 5-1), which takes place in hepatocytes, the initial step is the formation of carbamoyl phosphate in the mitochondria from an ammonium ion, a carbonate ion, and ATP. This step, which is under metabolic control and is activated by an increase in the cellular arginine concentration, occurs when there is an excess of amino acids in the hepatocyte. The carbamoyl phosphate combines with ornithine to form citrulline. This metabolite

IV. Plasma Proteins

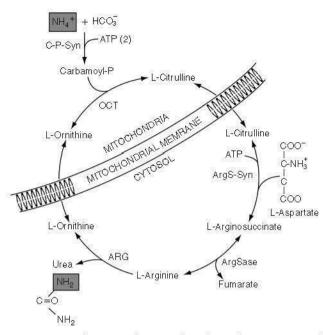


FIGURE 5-1 The urea cycle. Formation of urea from precursors of aspartate, NH⁺₄, and COO⁻ with part of the cycle taking place in the cytoplasm and part in the mitochondria. Abbreviations: C-P-Syn, carbamoyl phosphate synthase; OCT, ornithine citrulline transferase; ArgS-Syn, argininosuccinate synthase; ArgSase, argininosuccinates; ARG, arginase.

leaves the mitochondria and combines with aspartate to form arginosuccinate, which separates into arginine and furnarate. Urea is then released from arginine leaving ornithine, which reenters the mitochondria and the cycle repeats. There is a close link between the urea cycle and the tricarboxylic acid (TCA) cycle as the fumarate released from arginosuccinate is converted to malate and then oxaloacetate in reactions of the TCA cycle. Aspartate transaminase catalyses the transfer of an amino group for production of aspartate from the oxaloacetate, thus providing the amino group for further urea production. Division of the urea cycle between mitochondria and cytoplasm aids in coordination between cycles. The urea produced in the liver is transported in the circulation to the kidney where it is excreted by the kidney tubules and eliminated in urine. Other routes for the excretion of nitrogenous material, such as uric acid or nucleic acid, are relatively minor in mammalian species.

All animals are quite intolerant of free ammonia (NH₃), but at physiological pH the protonated ammonium ion form predominates:

$$NH_3 + H^+ \rightarrow NH_4^+$$

The ammonium ion does not readily transfer across membranes unlike free ammonia, which readily enters cells where it is reconverted to the ammonium ion. Ammonia is particularly toxic to cells of the central nervous system where it acts by reducing the activity of the TCA cycle by removing a critical intermediate, α -ketoglutarate. Increased ammonia leads to the production of glutamate from α -ketoglutarate by the action of glutamate dehydrogenase. The α -ketoglutarate is lost to the TCA cycle, causing ATP production in the neurons to be restricted. Ammonia may also be directly toxic as it can decrease neurotransmitter concentrations. Ammonia is associated with hepatic encephalopathies of humans, horses (Hasel *et al.*, 1999), and dogs (Reisdesmerie *et al.*, 1995), possibly by affecting the expression of neuron proteins such as glial fibrillary acidic protein, glutamate transporters, and peripheral-type benzodiazepine receptors (Butterworth, 2002).

IV. PLASMA PROTEINS

A. Sites of Synthesis

Apart from the immunoglobulins, produced by B-lymphocytes, the major plasma proteins are synthesized and secreted from hepatocytes. Control of secretion is exerted by varied mechanisms. The secretion of albumin is stimulated by a fall in osmotic pressure (Evans, 2002) but can also be affected by pathophysiological changes such as during infectious or inflammatory disease when the secretion is reduced. This is caused by proinflammatory cytokines such as interleukin (IL)-1, IL-6, and tumor necrosis factor- α (TNF). These cytokines are simultaneously responsible for the increased synthesis and secretion of the APP (see Section VI.B). The immunoglobulins are produced by B-lymphocytes in the spleen, lymph nodes and bone marrow following stimulation by the presence of pathogen in the circulation or tissues.

Use of molecular biological techniques such as Northern blots and the polymerase chain reaction (PCR) has revealed that nonhepatic tissues have the capability to synthesize some of the plasma proteins and that in certain circumstances the expression of their mRNA is up-regulated. Thus, in tissues such as intestine, lung, and adipose tissue, the mRNA for the plasma proteins haptoglobin and serum amyloid A are increased during infections and inflammation (Friedrichs et al., 1995; Vreugdenhil et al., 1999; Yang et al., 1995). The proportion of the proteins in the circulation derived from these nonhepatic sources has not been determined. A further site of nonhepatic synthesis is the mammary gland, which has been shown to produce a mammary associated serum amyloid A and haptoglobin during mastitis. However, the protein produced in the mammary gland does not appear in plasma but is secreted in the milk during the disease (Eckersall et al., 2001; Jacobsen et al., 2005b).

The origin of low-abundance plasma proteins is varied. They may be made and secreted for specific functions such as the protein and peptide hormones. For example, the gonadotropins and adrenocorticotropin are released into the circulation from the pituitary gland, insulin and glucagon

from the pancreas, and parathyroid hormone and calcitonin from the parathyroid gland. Similarly, the adipose tissue releases adiponectin and leptin, peptides affecting the appetite and nutritional status, whereas the cytokines are derived from cells of the immune system. The plasma also contains tissue proteins lost during normal turnover of cells, which can be valuable biomarkers of disease in identifying when pathological events occur. For example, enzymes determined in clinical enzymology for liver or muscle damage and the troponins released from cardiac or skeletal muscle are minor plasma proteins.

B. Functions of the Plasma Proteins

The functions of proteins in the body of animals are innumerable and include forming the basis of structure of cells, organs, and tissues; maintaining colloid osmotic pressure; catalyzing biochemical reactions; and buffering acid-base balance. The proteins are also multifunctional in plasma. The functions of specific plasma proteins will be described later in this chapter, but main functions of protein in blood are in blood coagulation (fibrinogen), in host defenses against pathogens (immunoglobulins, complement), in transport of metabolites (transferrin, albumin), in regulation of cellular metabolism (hormones), in prevention of proteolysis (α_1 -antitrypsin), in provision of nitrogen balance for nutrition (albumin), and in maintaining osmotic pressure (albumin). The biological activities of the plasma proteins in these functions depend ultimately on their primary, secondary, tertiary, and quaternary structures.

C. Factors Influencing the Plasma Proteins

1. Age

At birth, plasma proteins of most species are low because of minimal quantities of immunoglobulins. As the newborn animal ingests colostrum, a rapid rise in immunoglobulins occurs as a result of absorbed maternal immunoglobulins. As the maternal immunoglobulins reduce in concentration because of natural turnover, the neonate rapidly gains immunocompetence and begins to synthesize its own immunoglobulins. Upon reaching young adulthood, adult levels of globulins are reached. In contrast to this general trend, $\alpha_{\rm I}$ -acid glycoprotein is elevated in serum at birth in piglets but declines over the first few months of life (Itoh *et al.*, 1993b). With increasing age, the plasma protein concentration increases as a result of a small decrease in albumin and a progressive increase in globulins.

2. Hormones, Pregnancy, and Lactation

In some species, the total plasma protein concentration in the maternal blood decreases during gestation because of a decline in albumin, even though there is a slight increase in globulins. In a number of species pregnancy associated proteins have been observed during pregnancy. The only one in regular diagnostic use is equine chorionic gonadotropin (formerly pregnant mare serum gonadotropin) used to confirm pregnancy in mares (Henderson et al., 1998). In pregnant bitches, an acute phase reaction occurs about 21 days after fertilization and acute phase proteins, especially C-reactive protein, increase in the maternal serum (Eckersall et al., 1993; Vannucchi et al., 2002). In cattle approaching term, there is a rise in γ -globulins and a corresponding rise in total plasma protein, but at term a fall occurs in this fraction because of transfer to colostrum (Weaver et al., 2000). The acute phase protein, serum amyloid A (SAA), also increases in the maternal plasma around parturition in cows (Alsemgeest et al., 1993). During lactation, the total plasma protein decreases in some species because of albumin decrease.

Some hormones (testosterone, estrogens, growth hormone) promote an increase in total plasma protein because of their anabolic effects, whereas others (thyroxine, cortisol) tend to decrease the total plasma protein because of their catabolic effects.

D. Handling and Identification of Proteins

Protein denaturation is the net effect of alterations in the biological, chemical, and physical properties of the protein by mild disruption of its structure. When blood samples are taken for protein analysis, it is important that they are handled correctly so that no artifacts are introduced that could affect the investigation and its interpretation. If the protein is allowed to even partially degrade, the assay will not be accurate. Therefore, it is essential that denaturation is avoided. The ability of plasma proteins to resist denaturation in a blood sample taken for diagnostic analysis varies between proteins; consequently, the sample should be handled according to the analysis required. Fortunately, most major plasma proteins are relatively resistant to denaturation and can be assayed in samples that have been handled carefully and have been kept away from elevated temperatures. However, separation of plasma or serum from the blood cells by centrifugation should be performed as early as possible. Thereafter, many proteins are stable at 4°C for several days and at -20°C for much longer (months to years). Some proteins are less stable, with enzymes being particularly susceptible to loss of activity with time, while the stability of the peptide hormone ACTH is so low that samples should be snap-frozen immediately to preserve the intact peptide.

For identification and quantification of serum protein, the protein component in serum must either be separated or individual proteins must be measured independently. The primary separation of the proteins in serum is between albumin and the globulins. Albumin is a water-soluble, globular protein that is usually identifiable as a single discrete

molecule. The globulins are also globular proteins, but many of them, in contrast to albumin, precipitate in pure water and require salts to maintain their solubility. The globulins are a mix of proteins of various types, which migrate in groups in an electric field (electrophoresis) as families of proteins identified as α -, β -, or γ -globulins. The nomenclature of the globulin fractions is based on their location during separation by electrophoresis. Albumin has the most rapid migration of the major proteins (in some species it is preceded by prealbumin), followed by the α -globulin, β -globulin, and γ -globulin fractions, respectively. The γ -globulins are largely composed of immunoglobulins, the antibodies that bind to invading pathogens or other foreign matter. In contrast, the α - and β -globulin fractions contain a great variety of different proteins.

Electrophoresis is a well-established diagnostic method that was first introduced to the clinical biochemistry laboratory with cellulose acetate as the support medium for the separation. This has largely been replaced with agarose, so that serum protein electrophoresis (SPE) in agarose gels, followed by protein staining and densitometry to quantify the protein in each of the main fractions, is common in clinical biochemistry laboratories. This has evolved into an extremely useful technique because aberrations are observed in many disease states though there are only a few diseases where the electrophoretic pattern can provide a definitive diagnosis.

Interest has advanced the investigation armory for serum protein analysis with the development of specific analytical methods for individual proteins. Though specific assays have been used for a long time for determination of proteins such as albumin and fibrinogen, it is only relatively recently that specific assays for other diagnostically useful proteins such as haptoglobin, CRP, SAA, and α_1 -acid glycoprotein (AGP) have become commonly available. In most cases, this has been achieved by the use of immunoassays, which has often required the development and validation of species-specific methodology.

V. METHODOLOGY

A. Total Protein

Assays for total protein can be performed on serum or plasma. The method employed to measure the total amount of protein in solution varies with the amount of dissolved protein and is therefore chosen according to the biological fluid under investigation. The technology used to measure total protein can be based on chemical or physical measurements. In the diagnostic laboratory, chemical methodologies are used because they can be readily adapted to automated analyses. On the other hand, point-of-care determination of total protein, for instance, in a veterinary practice, can be performed by use of refractometry, which depends on the physical properties of protein in solution.

1. Chemical Methods

a. Biuret Reaction

The biuret reaction, in which protein forms a complex with copper (Cu²⁺) in alkaline solution, has become the standard chemical test for total serum or plasma protein. This complex, which is dependent on the presence of peptide bonds, is blue-purple in color. This method is used in automated wet biochemical analyzers and is also the basis for total protein assays in dry chemistry analyzers. The biuret method is highly accurate for the range of total protein found in serum (1 to 10 g/dl, 10 to 100 g/liter) but is not sensitive enough for the protein concentrations found in other body fluids where the concentration range is lower, for example, cerebrospinal fluid. More sensitive protein assays should be used for these fluids.

b. Precipitation Methods

Proteins in solution are sensitive to changes in the pH of the environment that result in alteration of the ionization of the side groups of acidic and basic amino acids. This distorts the electrostatic forces between residues, which normally keep the protein in its native conformation. Changing the pH, especially to the extremes of the pH range, therefore disrupts the tertiary and quaternary structures of proteins leading to reduced solubility and causing precipitation of the protein from solution. Reagents such as trichloroacetic acid, sulphosalicylic acid, and tungstic acid cause the precipitation of protein and are used to quantify the total protein concentration in biological fluids when the protein concentration is in a range of 0.1 to 1g/dl (1 to 10 g/liter).

c. Sensitive Chemical Methods

For measurement of total protein in fluids at concentrations less than 0.1 g/dl (1 g/liter), more sensitive protein assays have to be used. For many years, the Phenol-Folin-Ciocalteau method (Lowry et al., 1951) was the method of choice to measure low concentrations of protein. This method is based on the reaction of the phenolic group of tryptophan and tyrosine with the Folin-Ciocalteau reagent yielding a blue chromogen. A less laborious modification of this method, which is even more sensitive, has been developed using bicinchonic acid (Smith et al., 1985), whereas methods based on the binding of the dye, Coomassie blue to protein in acidic solution, are also useful in quantifying dilute protein solutions (Bradford, 1976). These sensitive methods are conveniently performed in microtiter plates, but their use is mainly restricted to the research laboratory. These sensitive methods depend on the reactions between reagent and a number of specific amino acids in the proteins, such as with the phenolic group of aromatic amino acids. Results vary depending on the proportion of these amino acids in the proteins being measured. The protein used to calibrate the assay may have a significant effect on results. Conventionally, bovine serum albumin is used as calibrant.

2. Physical Methods

a. Refractometric

Light is refracted when it passes from air to liquid, and if the liquid contains dissolved proteins, the degree of refraction (refractive index) changes in proportion to the concentration of protein. With appropriate instruments and careful use, determination of the refractive index of serum can give an accurate assessment of total protein concentration. The use of hand-held refractometers allows rapid determination of protein in serum, plasma, or other body fluid and is one of the most widespread point-of-care methods in use. It is important to frequently check the calibration of the refractometer, as this may be a source of error. Most refractometers are scaled to read directly both total serum protein and urine-specific gravity. Studies have shown that results for protein estimates from hand-held refractometers correlate well with results from the biuret method, though there are reports of major discrepancies in samples from avian species (George, 2001). Because of the dependence on the transmission of light, it is important that the sample is clear, nonturbid, nonlipemic, and nonhemolyzed. In a comparison of refractometry to the biuret method for the determination of the total protein in plasma from dogs and cats, the correlation coefficients were high, but there were differences between the methods of 0.6g/dl (6g/liter) and 0.2g/dl (2g/liter) for dog and cat plasma, respectively (Briend-Marchal et al., 2005). Although the internal scales on most refractometers limit the measurement of protein to a minimum of 2.5g/dl (25g/liter), it has been shown that a close correlation to total protein determined by the biuret method can be obtained to concentrations as low as 0.6g/dl (6g/liter), allowing the use of the method to estimate the protein content in most body fluid samples (George and O'Neill, 2001).

b. Fibrinogen

Refractometry can be used to determine the concentration of fibrinogen in plasma. This large protein (340kDa) constitutes about 5% of the total plasma protein, and its concentration can be estimated from the difference in protein content before and after heat treatment of plasma at 56°C for 3 minutes, which causes fibrinogen to precipitate. A refractometer is used to determine the protein concentrations before and after heating with the fibrinogen being estimated from the difference between the two readings. Fibrinogen has also been estimated by measurement of the height of fibrin clot in microhematocrit tubes or the assessment of the weight or the protein content of fibrin clots (Davey et al., 1972). Monitoring the fibrin formation spectrophotometrically via enzymic action of thrombin or the snake venom, batroxobin, on fibrinogen allows the assay to be automated (Messmore et al., 1997; Oosting and Hoffmann, 1997) and has been used to monitor canine fibrinogen (Mischke et al., 2005). Thrombin time, as

described in Chapter 10 on hemostasis, is also used to estimate fibrinogen concentration.

B. Fractionation of the Serum Proteins

The total protein content of serum is made up of a large number of individual proteins, and diagnostic information can be obtained by detecting changes in the component proteins or in different fractions of proteins. It has been suggested (Anderson and Anderson, 2002) that virtually all diseases affect the proteins found in serum and that diagnosis would be advanced by using proteomics methods (Section V.C.4) to monitor change in many serum proteins at the same time. This is likely to be in the distant future for routine applications in veterinary clinical pathology laboratories. Nevertheless, considerable valuable diagnostic information can be obtained using current methods to fractionate serum to determine the concentration of its major proteins or groups of proteins.

Most of these methods require the initial determination of the total serum protein concentration, which is then used in calculation of the protein content of different fractions. In its simplest form, the globulin fraction can be estimated if the total protein and albumin concentrations are known. The globulin concentration is the difference between the total protein and albumin concentrations. For quantitative estimation of the subdivided globulin fractions (α -, β -, or γ -globulins), the percentage of each fraction in a serum sample can be determined by electrophoresis and the concentration of each fraction calculated from the total protein by proportion.

1. Salt, Acid, and Glutaraldehyde Fractionation

The weak bonds that hold together the secondary, tertiary, and quaternary structures of proteins can be disrupted by a variety of changes in the aqueous environment leading to reduced solubility. Because of the different amino acid composition of proteins, alterations in the environment have differential effects on individual proteins, and salt fractionation of serum protein exploits this property. The addition of salts to serum increases the ionic concentration causing the flocculation and precipitation of the globulins (particularly γ -globulins), whereas albumin is more resistant to increased ionic charge and remains in solution. Precipitation with ammonium sulphate is a widespread technique used in the purification of serum (and other) proteins.

For diagnostic test use in animals, the most common application of salt precipitation is in assessment of the transfer of antibody (γ -globulins) from colostrum to the serum of the neonate (Weaver *et al.*, 2000). The optimal concentrations of sodium sulphite (Pfeiffer and Mcguire, 1977) or zinc sulphate (McEwan *et al.*, 1970) have been determined, which, when added to a serum sample, will only precipitate the γ -globulin fraction. Thus, serum from calves or foals in which passive transfer of immunoglobulin

has occurred will show an increase in turbidity, whereas a sample from a neonatal animal that has not absorbed colostral antibody will remain clear. The simplicity of these methods has allowed their use as point-of-care methods. A recent reevaluation of the use of sodium sulphite found that a concentration of 18% (w/v) provided the optimal diagnostic value. With zinc sulphate as the precipitant, a similar diagnostic value was found, but it was affected by hemolysis and the test solution was not stable when exposed to atmospheric carbon dioxide (Tyler *et al.*, 1999).

An alternative approach to assessment of antibody transfer, which also causes the precipitation of the γ -globulin fraction, is the glutaral dehyde coagulation test (Sandholm and Kivisto, 1975). Glutaraldehyde reacts with free amino groups on proteins causing cross-links to form between protein chains and, if sufficient numbers are produced, will cause aggregation of the proteins and visible precipitate formation. γ -Globulins have the highest proportion of the basic amino acids (lysine, arginine), which have free amino groups available for reaction with glutaraldehyde. Therefore when mixed with glutaraldehyde at a predetermined concentration, a serum sample with a high γ -globulin concentration will form cross-links and precipitate formation will be visible, whereas a sample with low γ -globulin will not produce a precipitate. However, fibrinogen can also form cross-links with glutaraldehyde (Liberg et al., 1975) and can cause interference with the test when it is used for antibody detection in plasma, especially in cases of hyperfibrinogenemia. Indeed, though the glutaraldehyde test was deemed to have poor sensitivity and specificity for detection of failure of passive transfer in calves (Tyler et al., 1996), it was found to be a useful screening test able to distinguish between acute and chronic disease in horses (Brink et al., 2005) because of this reaction with fibrinogen in plasma.

The seromucoid fraction of serum is a group of highly soluble glycoproteins that have the ability to remain in solution in the presence of perchloric acid while other proteins are precipitated. Methods have been developed to estimate this "acid soluble glycoprotein" fraction by addition of perchloric acid to aliquots of serum (Nagahata et al., 1989). As most of the glycoproteins in the seromucoid (acid soluble glycoprotein) fraction are APP, this was an early means for monitoring the acute phase reaction.

2. Dye Binding and the Albumin: Globulin Ratio

Albumin has the highest concentration of any of the individual serum proteins, and valuable diagnostic information can be obtained by measurement of its concentration. Various dyes have been found that, after binding to albumin, change their absorbance and are used as a means to measure the protein in a spectrophotometer, in automatic analyzers, or in dry chemistry systems. The dyes used most widely for this procedure are bromocresol green (BCG)

and bromocresol purple (BCP). BCG has become the favored dye to utilize in dye-binding assays for albumin (Keay and Doxey, 1983), although BCP has been recommended for equine serum albumin (Blackmore and Henley, 1983). Accuracy of the dye-binding methods is generally acceptable within the albumin concentration reference ranges found in animals. However, the accuracy decreases outside the reference ranges and may be unacceptable at very low or very high albumin concentrations. With heparinized canine plasma, it is possible that interference from fibrinogen can lead to overestimation of the albumin concentration when using BCG (Stokol *et al.*, 2001).

Once the albumin and total protein concentrations have been determined, the globulin fraction can be calculated by subtraction of the albumin from the total protein concentrations. The albumin:globulin ratio can then be calculated (albumin concentration/globulin concentration). This provides a means of assessing the relative contribution of the albumin or the globulins to the total serum protein, which complements the analysis of either analyte alone (Section VII.B).

C. Electrophoretic Fractionation of the Serum Proteins

Electrophoresis is the method of choice for analytical separation of protein. Serum protein electrophoresis (SPE) is currently regarded as the standard of reference for fractionation of serum protein. Serum rather than plasma is used as the sample for electrophoretic separation because it reduces the complexity of interpretation by the removal of fibrinogen. Many modifications have been made to the basic principles of electrophoresis since the separation of protein in an electric field was first pioneered by Tiselius (Tiselius, 1937). Many of these methods have been applied to serum proteins, but only a few have been employed in clinical biochemistry. A major difference between methods is the nature of the support material for the protein separation. From the mid-20th century, the cellulose acetate membrane was utilized for this purpose for SPE (Kohn, 1957). Toward the end of the century, electrophoresis on agarose gel was introduced and has become more popular in diagnostic laboratories. In contrast, biochemical research laboratories almost universally use polyacrylamide gel as the separation medium. Major advances have been made in the ability to separate protein with the introduction of two-dimensional electrophoresis (2DE) and associated proteomic techniques. Whereas SPE on agarose can separate serum into seven or eight fractions, it is claimed that proteomic methodologies can separate and also identify several hundred proteins simultaneously. Although these new methods have not been validated for use in domestic animal clinical biochemistry, it is valuable to be aware of the possibilities that could be available by application of these methods.

1. Principle of Electrophoresis

The principle for all protein electrophoresis is based on the movement of charged protein molecules in an electric field. In original studies in the early 20th century, electrophoresis was carried out in solution. This "free electrophoresis" was subsequently replaced by methods in which the proteins are separated in the matrix of a support medium in which the charged proteins and buffer ions are still able to move. The use of support medium has the benefit of reducing interfering problems and allows greater reproducibility. The choice of support can have direct consequences on the separation obtained during electrophoresis. As well as the nature of the support media, the migration of proteins depends on their size and charge, the pH and ionic composition of the buffer, and the strength of the electric field. The charge on the protein is dependent on the balance of acidic and basic amino acids in its primary structure and varies with pH. Thus, at a neutral pH, protein with a high proportion of acidic amino acids will have an overall negative charge, whereas a protein with a preponderance of basic amino acids will have an overall positive charge. SPE is usually performed at a basic pH (pH 8.6) so that most protein will have a negative charge. Molecules with a negative charge move toward the anode (positive electrode) when an electric current is passed through the solution. A side effect of agarose electrophoresis is that electroendosmosis occurs because of impurities in the agarose, causing the migration of the more basic (γ -globulins) proteins to the cathode (negative electrode).

Interestingly, one of the most recent innovations in electrophoretic separation has been to revert to electrophoresis in the absence of support media, but in this case using a very narrow bore capillary column. This "capillary zone electrophoresis" has the benefit of providing more rapid and reproducible analyses for individual samples, but the equipment is more specialized than that for SPE. These methods have been applied to canine serum protein fractionation (Martinez-Subiela *et al.*, 2002b) with results analogous to conventional SPE, though hemolysis and lipemia were found to cause interference in the β and α regions, respectively. Specific proteins such as haptoglobin can also be measured using capillary zone electrophoresis (Pirlot *et al.*, 1999).

Cellulose Acetate and Agarose Electrophoresis

For several decades, cellulose acetate was the method used in diagnostic laboratories for SPE, but the easier use, greater reproducibility, and commercial availability of agarose gels specifically produced for SPE have meant that use of the latter method is now more common. Agarose is a polysaccharide-based material derived from seaweed. When used for electrophoresis at a concentration of $\sim 1\%$ (w/v), the agarose forms a gel through which serum protein

can move relatively freely. The introduction of plastic backed previously prepared gels meant that the handling, staining, and quantification of results could be easily undertaken.

Typically in an SPE run, serum samples are diluted 1:5 in buffer, and 5.1 are placed close to the center of the gel, slightly on the cathodal side; after the sample has diffused into the agarose, a voltage is applied across the agarose and the proteins are allowed to separate. The mobility of the proteins is based on a mix of their charge at the pH of the buffer (usually pH 8.6) and the size of the protein. Albumin has a high negative charge under these conditions and is also relatively small, so it is one of the most mobile proteins in moving toward the anode. At the other extreme the immunoglobulins (especially γ -globulins) have the least negative charge and are affected by electroendosmosis and migrate toward the cathode. The α - and β -globulins have intermediate mobility between albumin and the γ -globulins. Agarose SPE allows the subdivision of these groups, and α_1 , α_2 , β_1 , β_2 , γ_1 , and γ_2 fractions of proteins may be observed (Trumel et al., 1996), though this can vary between samples and between species. Following electrophoresis the proteins are fixed in the gel and visualized by staining with a stain such as amido black. Usually 10 samples will be run on one agarose gel with each sample in a different "track." After staining and clearing the gel, the proportion of proteins in each fraction can be estimated by densitometry. In most instruments a computer-generated printout will provide a graphical representation of the absorbance readings from the densitometer and will also calculate the percentage of protein per fraction. This allows the calculation of the protein content of each fraction based on the total serum protein concentration. Examples of agarose SPE and densitometer scans of the major domestic animal species are illustrated in Figures 5-2 and 5-3.

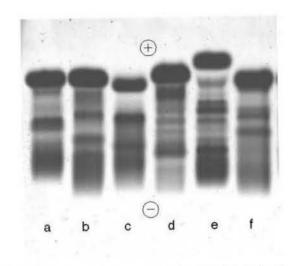


FIGURE 5-2 Agarose gel serum protein electrophoresis showing the separation of normal serum protein from healthy animals. Samples are from (a) sheep, (b) cow, (c) pig, (d) dog, (e) cat, and (f) horse.

Although attempts have been made to classify the serum protein peaks in an electrophoretogram into more fractions (Keay, 1982; Keay and Doxey, 1982), it is the division into albumin, α_1 -, α_2 -, β_1 -, β_2 -, γ_1 -, and γ_2 -globulin fractions (Trumel *et al.*, 1996) that is predominantly used in the diagnosis of the dysproteinemias (Section VII.B). Agarose gel electrophoresis can therefore separate serum protein into several fractions, but apart from albumin, each of these is composed of a number of different proteins. This produces a frustrating limit to the usefulness of SPE in disease diagnosis. More advanced methods of protein separation have been developed but are not at present practical for a routine clinical biochemistry laboratory because of cost, reproducibility, and the ability to analyze

the amount of data that can be generated. However, if these obstacles could be overcome, protein separation would become even more useful than at present.

A recently introduced modification to the commercially available agarose gel allows high-resolution electrophoresis (HRE) to be employed for SPE. This method has been applied to canine serum and was able to localize specific serum proteins within the different subfractions. Thus, haptoglobin and α_2 -macroglobulin were identified in the α_2 fraction, β -lipoprotein and complement C3 were located in the β_1 region, and transferrin and IgM were located in the β_2 region (Abate *et al.*, 2000). The use of HRE may become more widespread as it is as user-friendly as conventional agarose electrophoresis.

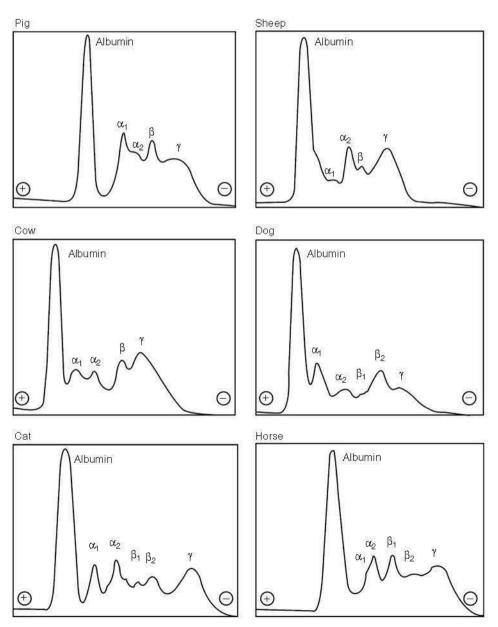


FIGURE 5-3 Electrophoretogram of agarose gel serum protein electrophoresis of serum from healthy animals.

3. Polyacrylamide Gel Electrophoresis and Isoelectric Focusing

The most widely used support medium for protein electrophoresis outside the diagnostic laboratory is polyacrylamide gel (PAGE). The use of this medium brings a further factor to the electrophoretic separation of protein. During the polymerization of acrylamide to form the gel used in electrophoresis, the proportion of cross-links between polymer chains can be controlled, and the gel forms a molecular sieve that slows the migration of proteins depending on size. In its original version of discontinuous polyacrylamide gel electrophoresis (Davis, 1964), a strategic use of different buffer systems in the gel, in the sample, and in running buffer caused the proteins in the sample to focus into a sharp band before entering the gel. Once in the gel, separation was based on a balance of mass and charge on the protein. The most widely used modification of this system is to pretreat the proteins by heating in a solution of detergent (sodium dodecyl sulphate, SDS) and a reducing agent such as β mercaptoethanol. These have the effect of separating any subunits held together by disulphide bonds and coating all the proteins with negative charge so that separation, with the same detergent also in the gel and buffers, is based on size alone as all protein will move to the anode because of their negative charge. This is the SDS-PAGE system introduced by Laemmli (Laemmli, 1970). Separation of serum protein on SDS-PAGE increases the complexity for interpretation of the electrophoretogram. The proteins are no longer grouped in the familiar globulin regions but are in a series of bands defined by relative molecular mass (M_r). The treatment and breakdown of complex proteins into their component subunits complicate interpretation. The high abundance of just a few of the proteins, such as albumin and the immunoglobulins, causes further difficulty in interpretation. Added to this are the more technically demanding methods required for SDS-PAGE such that this method is largely confined to the research laboratory. Nevertheless, separation of serum protein by SDS-PAGE has revealed disease-related changes in protein bands (Fagliari et al., 1998; Kiral et al., 2004), but there has not been a widespread application of the method in diagnostic biochemistry.

A further separation technique for electrophoretic fractionation of protein mixtures, introduced in the 1970s (Righetti and Drysdale, 1971), is isoelectric focusing (IEF). This technique, which can be performed in agarose or polyacrylamide gels, differs from other forms of electrophoresis by separating the proteins solely on the basis of their charge. The presence of special reagents, called ampholytes, in the buffer creates a pH gradient once an electric voltage is set up across the gel. Proteins in the gel move because of their relative charge, but once they reach their isoelectric point (pI) on the pH gradient, they become stationary, as they now have zero charge. Thus, an acidic protein with a negative charge will move toward the anode,

but as it moves down the pH gradient the protein becomes less charged until it reaches the point where it has no net charge and it is "focused" at their pI. This method has a high resolution and can separate protein isoforms that have only slight charge differences caused, for instance, by glycosylation or phosphorylation of proteins. This greatly increases the potential number of bands that can be seen on IEF gels, but the method has not been adopted by diagnostic clinical biochemistry laboratories for separation of serum proteins, possibly because of this great complexity. However, IEF has been used in examination of enzyme isoforms (Eckersall and Nash, 1983) and can be used to identify microheterogeneity in specific serum proteins, for instance, being able to differentiate multiple forms of AGP that are caused by different degrees of glycosylation (Itoh et al., 1993a; Yoshida et al., 1997).

4. Proteomics

Protein analysis is currently going through rapid evolution that could impact the veterinary diagnostic laboratory in the not-too-distant future and is being driven by advances in proteomics. Technological developments in different disciplines have converged to produce an approach to the separation, identification, and quantification of individual proteins within a complex mixture. The objective of a proteomic investigation is to be able to identify all proteins in a tissue or fluid and to detect even small changes taking place in its composition. Although this goal is still beyond the reach of all but the best-funded research laboratories, it is probable that proteomic techniques will eventually be used in diagnosis of disease. Analysis of serum or plasma protein will be at the forefront of these advances. It has been suggested that the human plasma proteome could be used to detect virtually all pathological processes because every diseased tissue is in contact with the circulation and interchanges material with plasma (Anderson and Anderson, 2002). As many as 1175 distinct gene products have been reported in human plasma by a combination of methods (Anderson et al., 2004), whereas 289 proteins have been directly detected. However, only 117 of these have been registered in the Untied States by the Food and Drug Administration under the Clinical Laboratory Improvement Amendment for use in diagnostic investigation of plasma (Anderson and Anderson, 2002). Investigation of the diagnostic potential of animal serum or plasma proteomes is at a much earlier stage, but it has the potential to yield many novel diagnostic applications.

a. Two-Dimension Gel Electrophoresis

The new science of proteomics (James, 1997) initially developed from methods in which the electrophoretic techniques of IEF and SDS-PAGE were combined into two-dimensional electrophoresis (2DE) (O'Farrell, 1975). Combination of these methods leads to a protein map,

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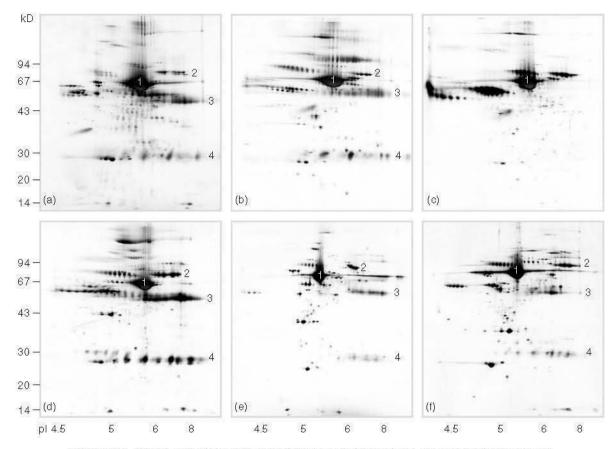


FIGURE 5-4 Two-dimensional electrophoresis of serum protein from (a) horse, (b) cow postcolostrum, (c) cow precolostrum, (d) sheep, (e) cat, and (f) dog. Labeled proteins are 1: albumin, 2: transferrin, 3: IgG heavy chain, and 4: IgG light chain. Gels were run on an IPG gradient from pH 4-10 (nonlinear) and then on SDS-PAGE. Gels courtesy of Ingrid Miller, Institute of Medical Chemistry, Department of Natural Sciences, University of Veterinary Medicine, Vienna, Austria.

where a protein mixture is separated horizontally by charge (IEF) and vertically by molecular mass (SDS-PAGE) yielding a two-dimensional map with each protein present as a single spot. An innovation that meant that these protein maps were much more reproducible was the introduction of immobilized pH gradients for use in the IEF step (Gorg et al., 2000, 2004). In 2DE, the protein sample is subjected to IEF in a gel strip containing the immobilized pH gradient, and then the strip with the focused protein is placed on the top of an SDS-PAGE gel. After electrophoresis, the separated proteins are stained, using either Coomassie blue or the more sensitive silver or fluorescent stains. The amount of data generated by a 2DE gel can be vast, and a computer program is required to handle the analysis.

The serum proteomes of a number of domestic animals are illustrated in Figure 5-4. It is noticeable that albumin is the most abundant protein in adult serum and that the IgG spots are missing in serum from a precolostral calf (Fig. 5-4c). The serum proteomes of cattle and horse have been more fully determined with 30 and 50 proteins identified,

respectively (Miller et al., 2004; Wait et al., 2002). Identification of protein spots following 2DE was originally performed with antibody detection, specific stains (e.g., for lipoprotein), or by comparison to the proteins of other species. A further advance that greatly facilitated proteomic research was the use of mass spectrometry to identify protein spots on gels.

b. Mass Spectrometry for Protein Identification

Mass spectrometry has been used for many years in investigations to measure the mass of molecules to a high degree of accuracy, but for a long time it was restricted to low-molecular-weight compounds. In the 1980s and 1990s, methods were introduced to determine the mass of larger molecules such as peptides and smaller protein. This was achieved with the introduction of electro spray ionization (ESI) (Fenn et al., 1989) and matrix-assisted laser desorption/ionization (MALDI) (Karas and Hillenkamp, 1988). These methods are central to alternative approaches to identify the protein on 2DE gels and have accelerated the development

of proteomics. Mass spectrometry actually measures the mass-to-charge ratio (m/z) of ions under vacuum. To perform this process, a means of generating the necessary ions, a mass analyzer and a detector are required (Patterson and Aebersold, 1995). In proteomic investigation, the most wide-spread approach is the MALDI time-of-flight (TOF) mass spectrometer, which is used in combination with database searches to identify the protein in a specific spot on 2DE protein maps.

Protein identification by MALDI-TOF mass spectrometry is based on some prior knowledge of the amino acid sequences of likely proteins to be identified or of equivalent proteins in other species. It is dependent on enzymic cleavage of the protein into shorter peptides, the size of which is defined by their component amino acids. Before mass spectrometry, the protein spot to be identified is cut out of the polyacrylamide gel and subjected to hydrolysis by a specific protease, usually trypsin. The site of action of trypsin is at the peptide bond of the basic amino acids lysine and arginine. The trypsin digest products of any proteins whose primary structure is held on international protein databases such as UniProt (www.ebi.uniprot.org) or NCBI (www.ncbi.nlm.nih.gov) can be predicted from the position along the protein sequence of the arginine or lysine residues. The tryptic digest product of any protein whose primary structure can be derived from genetic (DNA) databases can also be determined. These tryptic digest "fingerprints" are characteristic of each protein and identify protein spots after 2DE.

The trypsin-digested sample is mixed with a matrix compound and dried on a metal slide, which is inserted into the mass spectrometer. Bombardment of the slide by a laser results in the ionization of the peptides and application of a high voltage causes the ions to travel rapidly to the detector with smaller ions having a greater velocity. Thus, small peptides have a shorter time of flight than larger peptides, and from this the mass of each peptide is determined to a high degree of accuracy. The data generated by the MALDI-TOF are thus the mass of each of the peptides produced by the trypsin digest of the protein excised from the 2DE gel.

Identification of the protein is completed by comparison of the masses of all the peptides produced by trypsin digest to the protein and gene databases. This is especially useful in species where the whole genome has been sequenced such as human or mouse. There are means to identify proteins by this peptide fingerprint approach even where the genome has not been determined (Wait *et al.*, 2002), though a number of genomes of the domestic species (cow, dog, chicken) are close to being fully sequenced, which will simplify the proteomic investigation of samples from these species. More advanced mass spectrometry using ESI in tandem MS with quadruple instrumentation can directly determine sequences of peptides, but these methods are more time consuming. An advantage of MALDI-TOF is that it can be used in robotic systems where computer-controlled workstations can excise

multiple spots from a 2DE gel and automatically perform the trypsin digest, transfer the hydrolysate to the mass spectrometer, and identify the protein as a probability score of the most likely candidate protein.

c. Non-Gel-Based Proteomic Analysis

When first developed, proteomics combined protein separation on 2DE and mass spectrometry. However, for use in diagnostic investigations, 2DE is an expensive, time-consuming, and difficult technique to reproduce precisely. It is likely to remain a research tool unless major advances are made in the robustness of the methodology. Interest is shifting to non-gel-based approaches to proteomics in which alternatives to 2DE are used for protein and peptide separation but with mass spectrometry still being used for identification. These methods have a greater potential for automation, throughput, precision, and accuracy, which may in the future allow their use in disease diagnosis.

One such approach is surface enhanced laser desorption ionization mass spectrometry (SELDI-MS). In this method, a sample such as serum is preincubated with a "protein chip," which has one of a variety of surfaces to which proteins bind with differing affinity. These surfaces are designed to bind with protein by ion-exchange, hydrophobic, or metal chelate interaction. After washing away unbound protein, the protein chip is placed in the SELDI-MS instrument and subjected to mass spectrometry. The system is optimized to identify biomarkers for disease by contrasting samples from healthy and diseased animals. This approach can identify peptide or protein peaks in the mass spectrogram that have potential as biomarkers and has been used to identify biomarkers for ovarian cancer and other diseases in humans (Petricoin et al., 2002). A drawback of the current SELDI-TOF system is that identification of the protein biomarkers requires further investigation by traditional protein biochemistry methods.

Another approach to non-gel-based proteomics is nano liquid chromatography coupled to mass spectrometry. Native proteins are in general too large for mass spectrometry, so before separation the sample is treated with trypsin, producing shorter peptides. The peptides are separated by high-pressure liquid chromatography (HPLC) with the output coupled to an ESI mass spectrometer (Gaskell, 1997; Mehlis and Kertscher, 1997). The results can be plotted with elution volume from the HPLC against the mass/charge (m/z ratio) of the peptide and the size of the peptides compared to protein and gene databases.

An interesting finding from a number of proteomic investigations using gel and nongel approaches designed to identify cancer biomarkers has been that many of the identified candidate biomarkers have already been identified with many of them being APP (Diamandis and van der Merwe, 2005). It will be fascinating over the next few years to see if these advanced techniques can earn a role in the veterinary diagnostic laboratory.

D. Specific Protein Analysis

Serum protein is composed of many different individual proteins with current electrophoretic fractionation in routine diagnostic use (SPE) only providing a guide to the diseaserelated changes affecting serum proteins as only a small number of protein fractions can be consistently characterized. Undoubtedly the ideal diagnostic approach would be to monitor the changes in concentration of most if not all serum proteins, and this is the ultimate objective of proteomic investigation. However, until the technology advances sufficiently, the only means to measure changes in individual serum proteins is to use assays that directly measure the specific proteins. In recent years, there has been increasing success in identifying proteins with sufficient diagnostic value to develop suitable assays to perform routine analysis. Some serum proteins can be measured by methods where physical, chemical, or biological activities can be exploited (Sections V.A.2.b and V.B.2). However, most serum proteins are measured by immunoassay requiring a specific antibody raised against the target serum protein. Though there is cross reactivity between species for a number of serum proteins, it is advisable to use species-specific antiserum or to thoroughly validate assays developed with antisera to species other than the one under investigation.

1. Immunoassays for Serum Proteins

Immunoassays have become an established weapon in the arsenal of the clinical biochemistry laboratory, especially where the exquisite specificity of antibody can be harnessed for diagnostic procedures. Antibodies for use in immunoassays for serum proteins can be polyclonal or monoclonal. They are usually raised against the proteins purified from serum, though a recombinant protein may be produced if the gene sequence is known. There are several ways in which antibodies can be incorporated in immunoassays to provide qualitative or quantitative data with the choice of method being dependent on several factors. The range of analyte concentration, time taken to run an assay, and ease of automation are among the considerations taken into account when setting up an immunoassay for a specific serum protein.

a. Radial Immunodiffusion

One of the simplest methods for measurement of specific serum protein is radial immunodiffusion (RID). This method requires polyclonal antibody. The method is based on the precipitation in agarose gel of antigen-antibody complexes, and this does not occur with monoclonal antibodies as more than one binding site on the antigen is required for complex formation. The RID plates are prepared with agarose gel containing antibodies to the protein antigen at an optimized concentration. Sample is placed in a well in the agarose and allowed to diffuse for 24 to 48 hours. A precipitin ring forms because of the antibody-antigen reaction, the

diameter of which is dependent on the analyte concentration. The concentration of the protein in the sample is determined by comparison to standards. Radial immunodiffusion assays have been used to measure immunoglobulin and complement in serum and can distinguish between the different classes of antibody (see Chapter 6), and RID assays for acute phase proteins have also been developed (Ohwada and Tamura, 1995; Tamura *et al.*, 1989).

b. Immunoturbidimetry

Immunoturbidimetry (IT) and the related method of immunonephelometry also make use of the formation of antigenantibody complexes, but in solution rather than in agarose gel. With the correct balance of antigen and antibody, the formation of antigen-antibody complexes can be followed in a spectrophotometer as flocculation occurs and absorbance increases. As the reaction takes as little as a few minutes, this is the method of choice for automation of analysis, but it is only suitable for protein concentrations above 0.5 to 1.0 mg/dl (5 to 10 mg/liter). This method is widely used in human clinical biochemistry for determination of protein such as CRP, but availability of suitable reagents has held back applications in veterinary medicine. However, IT methods for canine CRP (Eckersall et al., 1991) and feline AGP (Bence et al., 2005) have been described. Although commercial kits for human CRP based on IT have been validated for use in serum from some animal species (Kjelgaard-Hansen et al., 2003), care has to be taken in their use, especially as antiserum batches may have differing cross-reactivities with animal protein so that batch-to-batch variation may occur. Immunonephelometry is a related method where reflected rather than absorbed light is measured, which aids in reducing interference. Another modification of the IT test is to make use of antibody-coated latex particles, which can make assays more sensitive as well as stabilizing the antibodies. A method using latex particles coated with antibody to human serum amyloid A has been validated to detect this protein in horses (Jacobsen et al., 2005a; Stoneham et al., 2001).

c. Enzyme-, Luminescent-, and Fluorescent-Linked Immunosorbent Assays

Enzyme-linked immunosorbent assay (ELISA) are a common format for many immunoassays and are used to detect or measure a wide variety of serum analytes including steroid and protein hormones, drug residues, immunoglobulins, and pathogen antigens. They can be performed in a number of formats with antigen or antibody absorbed onto the plastic surface of microtiter plate wells and with primary or secondary antibody being conjugated to a variety of labels to allow sensitive detection. Labels that have been used include enzymes such as horseradish peroxidase or alkaline phosphatase, whereas more recent developments have replaced enzyme labeling with fluorescent

or luminescent labels (Parra et al., 2005a, 2005b). These labels extend the sensitivity and reproducibility of assays. Most immunoassays for specific serum proteins are based on ELISA formats and include assays for canine CRP, porcine and bovine Hp and a cross-species SAA immunoassay that can be used in most species as the antibody shows cross-species specificity for SAA (Eckersall et al., 1989; Eckersall et al., 2001; Hiss et al., 2003; Sheffield et al., 1993; Yule et al., 1997). Immunoassays based on ELISA or related formats have been developed for low-abundance proteins found in serum that are used as biomarkers for disease in particular tissues. Thus, assays have been developed for biomarkers such as troponin I (Spratt et al., 2005) as a cardiac biomarker and trypsin-like immunoreactivity as a biomarker for pancreatic disease and intestinal malabsorption (Fetz et al., 2004; Steiner et al., 2000; Williams and Batt, 1988).

d. Immunochromatography

Attempts have been made to produce immunoassays in formats that can be used in practice or on a farm as pointof-care assays. Latex agglutination has been used in tests in which visible agglutination can be observed and has been used in assays for IgG for confirmation of transfer of antibody from colostrum. A more recent innovation has been the development of immunochromatography in which application of a sample to a test slide leads to diffusion of a sample and reagent along a membrane and appearance of a colored line for a positive result. A test method based on this principle for canine CRP has been produced and was assessed for identification of animals with an acute phase response (McGrotty et al., 2004). This technology should be able to produce rapid, in practice, testing for proteins, particularly when there is a large difference in concentration between health and disease states.

2. Biochemical Assays

Apart from albumin and fibrinogen (see Sections V.A and V.B), assays for a number of other serum proteins have been developed based on their chemical, physical, or biological activity. These generally have the advantage that they can be performed on automated biochemical analyzers, do not need the instrumentation required for the more sensitive immunoassays, and are usually applicable in all species.

Haptoglobin, an acute phase protein, can be measured by making use of its high affinity for hemoglobin and subsequent preservation of the peroxidase activity of this protein at low pH. Interference by albumin in this assay was eliminated by use of a novel reagent, which also incorporated the chromogen (Eckersall *et al.*, 1999). Ceruloplasmin, a copper-containing acute phase protein, can be estimated by measuring its endogenous oxidase activity (Ceron and Martinez-Subiela, 2004). Methods

have been described for measuring the protease inhibitors, α_1 -antitrypsin and α_1 -antichymotrypsin (Conner *et al.*, 1988a, 1988b, 1989), based on the specificity of their action, though these assays have not been automated.

3. Quality Assurance and Quality Control

An impediment to the greater use of specific protein assays is the lack of primary reference standards for calibration, quality control material, and the availability of quality assurance schemes. None of these is presently available from commercial sources. However, the European Union has funded a project to establish reference material for bovine and porcine serum proteins and to establish a quality assurance scheme, but at the time of the project too few laboratories were running specific protein assays to enable a scheme to be viable (Skinner, 2001). As more interest in the use of specific assays expands, this could be reactivated. Laboratories running the tests routinely should prepare their own material for internal quality control.

VI. NORMAL PLASMA AND SERUM PROTEINS

Although 289 proteins have been reported in human plasma (Anderson and Anderson, 2002), only 70 assays have been validated to the extent of reporting reference intervals. Of these, only about 10 are currently employed for diagnostic testing in domestic animals. Table 5-3 gives an overview of serum proteins, but as proteins are under genetic control, variations occur between individuals and especially between species. Biochemical and pathophysiological features of albumin and several globulins that are being used for diagnosis of disease are described next, grouped by their function(s).

A. Albumin

1. Biochemistry

Albumin is the major single protein found in serum and constitutes 35% to 50% of the total serum protein. Bovine serum albumin, when synthesized and secreted by the hepatocytes, is a nonglycosylated protein of 583 amino acids with a molecular weight of 66.4 kDa and a pI of pH 5.6 (accession number P02769, UniProt database at www.ebi.uniprot.org). Based on X-ray crystallographic studies on human serum albumin, the structure is a heart-shaped protein with three homologous domains (Fig. 5-5) (Nakajou et al., 2003) containing 67% of the protein as α -helix with no β -sheet (Curry et al., 1998). A notable feature of the primary structure of albumin is that there is an odd number of cysteine residues (35 in total) so that, after the formation of 14 cysteine-cysteine internal disulfide

Protein	M_r (Da)	Function	Change in Disease
Prealbumin/Transthyretin	54,500	Thyroxine transport	Increase: nephrotic syndrome, Decrease: liver disease, protein deficiency, acute phase
Albumin	66,400	Osmotic pressure regulation, general transport	Increase: dehydration, Decrease: liver, kidney, gastrointestinal disease, acute phase response, malnutrition, blood, and plasma loss
$lpha$ -Globulins ($lpha_1$ and $lpha_2$)			
Thyroxine-binding globulin (TBG)	54,000	Thyroxine transport	Increase: pregnancy
$lpha_1$ -Fetoprotein	65,000	Unknown	Increase: hepatoma, pregnancy, Decrease: liver disease, chronic pulmonary disease
$lpha_1$ -Protease inhibitor	45,000	Protease inhibitor	Increase: acute phase response, Decrease: liver disease, chronic pulmonary disease
α ₁ -Acid glycoprotein (orosomucoid, seromucoid)	43,000	Immunomodulator, binds ligands, and drugs	Increase: acute phase response, Decrease: liver disease, nephrotic syndrome, malnutrition
α ₁ -Antithrombin III	65,000	Thrombin inhibitor	Increase: possible acute phase response in cats Decrease: disseminated intravascular coagulation, protein-losing nephropathies, and enteropathies sepsis
$lpha_1$ -Lipoprotein (HDL, $lpha$ -lipoprotein)	200,000	Lipid transport	Decrease: acute phase response
$lpha_2$ -Lipoprotein (VLDL, pre- eta -lipoprotein)	1,000,000	Lipid transport	Increase: nephrotic syndrome, diabetes mellitus, hypothyroidism, steroid therapy
$lpha_2$ -Macroglobulin	62,000	Insulin binding, protease inhibitor	Increase: nephrotic syndrome, chronic active liver disease
Ceruloplasm in	151,000	Copper transport, ferroxidase	Increase: acute phase response
Haptoglobin	100,000	Hemoglobin binding	Increase: acute phase response, glucocorticoids in dogs Decrease: intravascular hemolysis
Protein C	62,000	Protease, anticoagulant	Increase: acute phase response
eta -Globulins (eta_1 and eta_2)			
β ₂ -Lipoprotein (LDL, β-lipoprotein)	2,750,000	Lipid transport	Increase: nephrotic syndrome, hypothyroidism, hepatocanalicular disease
Transferrin	76,000	Iron transport	Increase: iron deficiency, acute liver disease, nephrotic syndrome, chronic hepatopathy, Decrease: iron storage disease, acute phase response, inflammatory disease
Ferritin	465,000	Iron transport	Increase: iron storage disease, acute phase response Decrease: iron deficiency
Hemopexin	80,000	Heme transport	Decrease: hemolytic anemia, chronic active liver disease
C3 complement	75,000	Complement C3 factor	Increase: acute inflammatory disease, atopic dermatitis Decrease: autoimmune disease
C-reactive protein	100,000	Activate complement	Increase: acute phase response
C4 complement		Complement C4 factor	Increase: acute phase respons, Decrease: autoimmune disease
Plasminogen		Proenzyme of plasmin, fibrinolysis	Increase: disseminated intravascular coagulation
Fibrinogen	340,000	Fibrin precursor, coagulation	Increase: acute phase response, Decrease: disseminated intravascular coagulation, hereditary afibrinogenemia

TADI	F 5.2	Continu	nd

Protein Protein	M_r (Da)	Function	Change in Disease
γ -Globulins (γ_1 and γ_2)			
Immunoglobulin G (IgG)	150,000	Major antibody formed in response to infective agents, toxins	Increase: infectious disease, connective tissue disease, liver disease, myelomas and other lymphoid tumors Decrease: fetuses, newborn animals before intake of colostrum, immune deficiency disease, hereditary agammaglobulinemia
Immunoglobulin A (IgA)	150,000	Secretory antibodies in the fluids of the respiratory, gastrointestinal, and the genitourinary tracts	Increase: infectious disease, connective tissue disease, liver disease, myelomas and other lymphoid tumors Decrease: fetuses, newborn animals before intake of colostrum, immune deficiency diseases
Immunoglobulin E (IgE)	200,000	Antibodies in allergy	Increase: allergies, anaphylaxis
Immunoglobulin M (IgM)	900,000	Antibody formed early in response to infective agents, cold agglutinin, initiator	Increase: inflammatory disease, primary cell reactions, macroglobulinemia (Waldemstrom's)
Light chains (Bence-Jones protein)	30,000	Part of the immunoglobulin molecule	Increase: myeloma

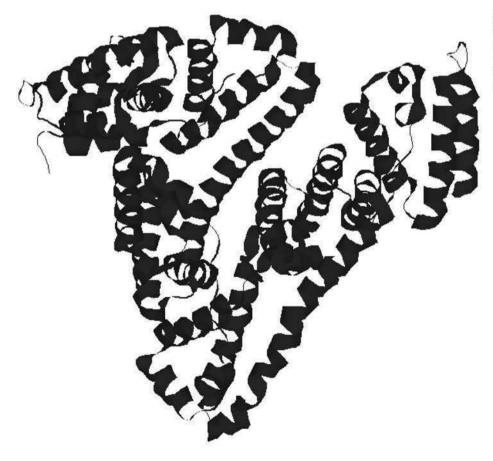


FIGURE 5-5 The molecular structure of human serum albumin (Curry $et\,al.$, 1998). Note the high proportion of α-helices. Figure generated by Rasmol from UniProt Accession number P02768 and protein database entry 1N5U.

bonds, there is a free cysteine residue, which is important for certain functions of the protein.

Following SPE, albumin is the most prominent band and has the most anodal mobility of the serum proteins in most

species resulting from its high proportion of acidic amino acids and a relatively small size. There is variation between species in the mobility of albumin on agarose SPE (see Fig. 5-2), which does correspond to their proportion of

acidic and basic amino acid residues. Porcine albumin has 97 acidic and 83 basic amino acid residues, whereas feline albumin has 100 acidic and 75 basic amino acid residues, and these proportions result in a relatively high mobility for feline albumin and low mobility for porcine albumin.

Albumin is synthesized in the cytoplasm of the hepatocyte, being transferred from bound ribosomes to rough membrane to cisterna, then via the smooth endoplasmic reticulum to the Golgi complex, and through the membrane to the sinusoid (Prinsen and de Sain-van der Velden, 2004). The rate of albumin synthesis is controlled by the colloid osmotic pressure, although it can be influenced by hormones such as insulin, thyroxine, and cortisol (Evans, 2002). Only about 30% to 40% of albumin is in the blood; the remainder is in the interstitial space. Once secreted into the circulation, albumin is modified by covalent, irreversible, but nonenzymic glycation of lysine residues such that 6% to 10% of albumin is in reality glycoalbumin following conjugation to glucose or galactose. This can rise to 20% to 30% in (human) hyperglycemic patients (Nakajou et al., 2003). Catabolism of albumin occurs in various tissues where it enters cells by pinocytosis at a rate related to atrial natriuretic peptide concentration (Evans, 2002) and is then degraded by protease action. Muscle, liver, and kidney are the main contributors to albumin catabolism with 40% to 60% of the total albumin being broken down in these tissues (Prinsen and de Sain-van der Velden, 2004). The turnover of albumin differs with species but is related to the body size (see Table 5-2). The half-time for clearance of albumin varies from 1.9 days in the mouse to 19.4 days in the horse. Whereas originally radioactive isotopes such as I¹³¹ were required for studies on protein turnover, a new generation of markers based on stable isotopes (Preston et al., 1998; Prinsen and de Sain-van der Velden, 2004) have been introduced, and it could be that diagnostic applications of serum protein turnover rates will prove to be valuable in the future.

2. Function and Physiology

Maintaining the colloid osmotic pressure and the blood volume is an important action for albumin and is a function that is disproportionate to its serum concentration. Although albumin is only about 50% of the total protein mass in the circulation, it is responsible for 80% of the colloid osmotic pressure. This is because it has a lower molecular mass (67kDa) than the mean of the globulins (170kDa) and also because of its contribution to the Donnan effect from its high net negative charge (Prinsen and de Sain-van der Velden, 2004). Another major function of albumin is as a transport protein. A number of metabolites circulate in blood bound to this protein. Binding to albumin assists the transport of substances that are sparingly soluble in aqueous media and also prevents loss through the kidney of important small molecules. Thus,

fatty acids, cholesterol, bilirubin, nitric oxide, and metal ions circulate bound to albumin (Evans, 2002). As well as metabolites, a variety of pharmacological compounds bind to albumin and four discrete sites have been identified on the molecule. Drugs such as phenytoin, digoxin, nonsteroidal anti-inflammatories, and antibiotics interact via these binding sites (Evans, 2002). The exposed cysteine residue of albumin, which does not form an internal disulfide bond, has an important role in the action of albumin as an antioxidant. The free cysteine is an avid scavenger of reactive oxygen and peroxynitrite radicals such that albumin may actually be the major and predominating antioxidant in the circulation (Anraku *et al.*, 2001). Albumin is a negative acute phase protein and its concentration falls gradually during infectious and inflammatory disease.

B. Acute Phase Proteins

Studies on individual serum proteins of domestic animals have expanded greatly since the 1990s (Ceron et al., 2005; Murata et al., 2004; Paltrinieri, in press; Petersen et al., 2004). This has largely been caused by the realization that monitoring the levels of the acute phase proteins (APP) can provide a means to assess the innate immune system's response to disease and in the ability of the APP to provide a "molecular thermometer." As these proteins change their serum concentration by >25% in response to inflammation, infection, and trauma, many conditions can cause their elevation or decrease. Therefore, as quantitative markers for disease they can be used for prognosis and monitoring responses to therapy, for general health screening, as well as for diagnosis of disease. The APP are highly sensitive for the presence of pathological lesions while having a low specificity for a particular disease. The APP are now recognized as having an important role to play in the diagnosis of disease in animals, but there are major differences between species in the pathophysiological change in their concentrations during an acute phase reaction. Furthermore, although initial interest focused on proteins that increase in concentration during this response (positive APP), a number of serum proteins decrease in concentration and can be considered to be negative APP.

In any one species, positive APP have been found that have major, moderate, or minor responses. A major APP has a low concentration in the serum of healthy animals, often at $<\!0.1\mu\mathrm{g/dl}$ ($<\!1\mu\mathrm{g/liter}$) but with the concentration increasing over 100- or 1000-fold on stimulation, reaching a peak 24 to 48 hours after the insult and falling rapidly during recovery. A moderate APP is present in the blood of healthy animals, but on stimulation the concentration will increase 5- to 10-fold, reach a peak concentration 2 to 3 days after stimulation, and decrease more slowly than the major APP. A minor APP shows a gradual increase and only increases in concentration by 50% to 100% of the

TABLE 5-4 Acute Phase Protein: Major and Moderate Responders in Various Animal Species

Species	Major APP	Moderate APP	
Cat	SAA	AGP, Hp	
Cow	Hp,SAA	AGP	
Dog	CRP, SAA	Hp, AGP, Cp	
Horse	SAA	Нр	
Mouse	SAA	Hp, AGP	
Pig	CRP, MAP	Нр, Ср	
Rat	$lpha_2$ -macroglobulin	Hp, AGP	

resting level. The major and moderate APPs for domestic species are given in Table 5-4.

Production of APP is controlled by cytokines, with the proinflammatory cytokines interleukin-1, interleukin-6, and tumor necrosis factor- α released from the site of pathogenic or inflammatory damage stimulating the production of the APP. The mechanism of production has been elucidated (Jensen and Whitehead, 1998; Moshage, 1997) and involves cytokine receptor, signaling pathways, and induction of mRNA for the APP, which are released 6 to 12 hours after stimulation. The liver is the main site of synthesis of the APP, but there have been recent reports of nonhepatic tissues such as lung, adipocyte, and intestine increasing expression of mRNA for APP following stimulation (Friedrichs et al., 1995; Urieli-Shoval et al., 1998; Vreugdenhil et al., 1999; Yang et al., 1995). The mammary gland has been shown to be the source of significant amounts of the APP haptoglobin and mammary-associated serum amyloid A during infection of the gland in cattle (Eckersall et al., 2001; Gronlund et al., 2003; Hiss et al., 2004).

The functions of the APPs are varied but generally relate to the defense of the animal to pathological damage and restoration of homeostasis. Indeed the acute phase response is an integral component of the innate immune response (Beutler, 2004) forming the first reaction of the host to pathogens and tissue damage. The innate response and the APP predate the acquired immune response during evolution. Though varied, the functions of many APP can be grouped together. A number of the APP (α_1 -antitrypsin, α_2 -macroglobulin) have antiprotease activity designed to inhibit proteases released by phagocytes and other cells of the immune system to minimize damage to normal tissues. A number of APP (haptoglobin, SAA, CRP) have scavenging activities and bind metabolites released from cellular degradation so they can reenter host metabolic processes rather than be utilized by pathogen. Other APP actions include

antibacterial activity and the ability to influence the course of the immune response (AGP, SAA, CRP).

1. C-Reactive Protein

a. Biochemistry

C-reactive protein (CRP) was the first acute phase protein to be recognized (Pepys, 1981). It is named from its ability to bind to C-polysaccharide of Gram + bacteria, but it has since been shown that CRP has a high affinity for phosphorylcholine and related membrane lipids as well as for DNA (Marnell et al., 2005). The protein is a pentraxin, being composed of five subunits (~20kDa) combining in the same plane to form a pentametric structure (Fig. 5-6), which can be seen as a distinct structure using an electron microscope (Thompson et al., 1999). Each subunit of CRP contains a binding site for ligand. Human CRP is nonglycosylated and the subunits migrate as a single band on SDS-PAGE, whereas canine CRP migrates as a double band and two of the five subunits are glycosylated (Caspi et al., 1987).

b. Function and Pathophysiology

Following bacterial infection, CRP binds to pathogen and activates the classical complement pathway leading to the opsonization of the bacteria. Binding of CRP to pathogen also interacts with specific receptors on phagocytes, induces anti-inflammatory cytokine production, and modulates neutrophil function (Du Clos and Mold, 2001).

There is considerable species variation in the pathophysiology of CRP. In a number of species such as dog and pig, CRP is a major APP, and its serum concentration can increase rapidly from $<0.5 \,\mathrm{mg/dl}$ ($<0.5 \,\mathrm{mg/liter}$) to more than 10 mg/dl (100 mg/liter). In other species such as cow and cat, CRP has been reported to be a constitutive serum protein with only a minor increase during disease. A number of infectious diseases lead to an increase in CRP in the dog including babesiosis, leishmaniasis, leptospirosis, parvoviruses, trypanosomiasis, and infection with Bordetella bronchiseptica, Ehrlichia canis, and Escherichia coli sepsis (Ceron et al., 2005). Relatively moderately raised levels of CRP have been found in inflammatory bowel disease (Jergens et al., 2003) and in hematological and neoplastic diseases of the dog (Tecles et al., 2005). Elevated levels of canine CRP have been observed in serum from midgestation of pregnant bitches with its appearance coinciding with the implantation of the embryo in the endometrium (Eckersall et al., 1993; Vannucchi et al., 2002). It has been postulated that sufficient damage is caused to the endometrium by this process to stimulate the acute phase response in the maternal circulation.

In the pig, CRP concentration increases following aseptic inflammation (Lampreave *et al.*, 1994) and with experimental infection with *Actinobacillus pleuropneumoniae* where plasma

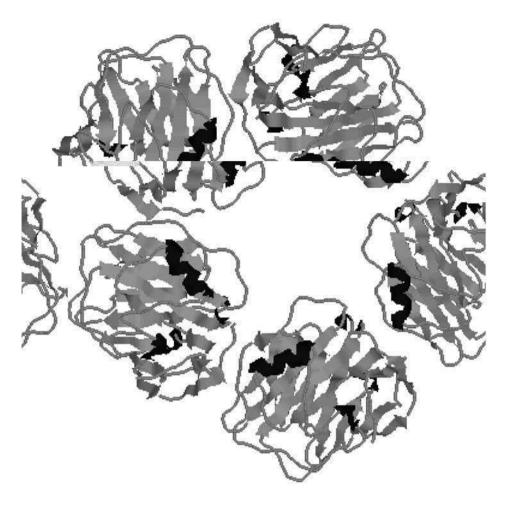


FIGURE 5-6 The molecular structure of C-reactive protein (Thompson et al., 1999). It is a pentraxin with five identical subunits, each of which has a binding site for ligands such as phosphorylcholine. Figure generated by Rasmol from UniProt accession number P02741 and protein database entry 1B09.

levels also correlated with clinical findings and were reduced following antibiotic treatment (Lauritzen *et al.*, 2003).

2. Haptoglobin

a. Biochemistry

Haptoglobin is a glycoprotein composed of 2α and 2β subunits with the α subunit having a molecular weight of 16 to 23 kDa and the β subunit 35 to 40 kDa. The subunits combine in the form of a β - α - α - β chain. Human Hp has three subtypes known to be genetic polymorphisms (Hp 1-1, Hp 1-2, Hp 2-2). Canine Hp is thought to be similar to human Hp 1-1, whereas bovine Hp has closer similarities to Hp 2-2. Bovine and ruminant Hp in general have noticeable species differences from the Hp in carnivores and omnivores. In the ruminants, Hp tetramers form polymers with other Hp tetramers and a macromolecular complex with a molecular mass of 1000 to 2000 kDa is formed (Morimatsu et al., 1991). The mechanism of polymer formation in ruminant serum is thought to depend on the presence of a gene duplication in the α -chain, which results in a free cysteine residue capable of forming disulfide bridges between Hp tetramers as occurs in the human Hp 2-2 phenotype (Bowman, 1992). Another difference between Hp in ruminants and many other species is that this protein is not present in serum from healthy animals, only appearing during the acute phase response.

b. Function and Pathophysiology

The primary function of Hp is to bind free hemoglobin in the blood. The affinity of Hp for hemoglobin is one of the highest among transport proteins (Bowman, 1992), and by removing from the circulation any free hemoglobin, which has inherent peroxidase activity, Hp prevents it causing oxidative damage to tissues (Yang et al., 2003). The Hp-hemoglobin binding also reduces the availability of the heme residue and its iron from bacterial use, and therefore Hp has an indirect antibacterial activity (Eaton et al., 1982). The Hp-hemoglobin complex is recognized by CD163, a surface receptor on macrophages, which leads to its rapid removal from the circulation (Graversen et al., 2002). A number of immunomodulatory activities have been ascribed to haptoglobin (Murata et al., 2004). In knockout mice, in which the Hp gene was removed, the

major lesions were related to hemoglobin-derived lipid peroxidation (Lim *et al.*, 1998), confirming that Hp has a role in the antioxidant defenses of the body.

The acute phase profile of Hp differs between species. In ruminants, it is a major APP with circulating levels below 2 mg/dl (20 mg/liter) but it can increase in concentration to reach 0.2 g/dl within a couple of days of infection. In dogs, cats, horses, and pigs, the normal level is in the range of 0.10 g/dl to 0.2 g/dl (1 to 2 g/liter), whereas during infectious or inflammatory disease this may rise to 5g/ liter or more. Haptoglobin has been the main APP studied in ruminants because of its reaction during the acute phase response and also because of its ease of analysis. In cattle, it has been shown to be an effective marker for the presence, severity, and recovery in cattle with mastitis, enteritis, peritonitis, pneumonia, endocarditis, and endometritis, and for monitoring processes such as tail docking and surgical castration (Murata et al., 2004; Petersen et al., 2004). Elevations have also been reported in cows with fatty liver syndrome, at parturition, during starvation, and following the stress of road transport (Ametaj et al., 2005; Bionaz et al., 2007; Katoh and Nakagawa, 1999; Nakagawa et al., 1997; Uchida et al., 1993). Increases of APP during noninfectious disease that involve lipid metabolism may be explained by the release of cytokines from adipose tissue or adipose tissue macrophages that have been implicated in human obesity-related diseases (Tilg and Moschen, 2006).

In pigs, raised Hp was found to be associated with clinical signs of lameness, respiratory disease, diarrhea, tail bite, and ear necrosis, and at slaughter it was found to be related to the presence of lesions and chronic abnormalities. Experimental or natural infection with Actinobacillus pleuropneumoniae, Mycoplasma hyorhinis, Toxoplasma gondii, Bordetella bronchiseptica, Pasteurella multocida, and porcine reproductive and respiratory syndrome virus leads to increased Hp concentration in serum (Petersen et al., 2004).

Haptoglobin is a moderate APP in dogs and responds to inflammatory and infectious disease. However, canine Hp is particularly sensitive to glucocorticosteroids, and elevated levels of Hp are found both after treatment with glucocorticosteroids and during naturally occurring hyperadrenocorticism (Harvey and West, 1987; Martinez-Subiela et al., 2004; McGrotty et al., 2005). This is a disadvantage in monitoring inflammatory disease with canine Hp, but a full understanding of this process may reveal novel uses for the Hp assay, possibly as a screening method for Cushing's syndrome. The glycosylation of canine Hp alters during the acute phase reaction (Andersson and Sevelius, 2001; Andersson et al., 1998), but methodology is currently too cumbersome to allow such changes to be used for diagnostic purposes. In horses, Hp has been found elevated in animals with systemic inflammatory responses, alimentary laminitis, and grass sickness (Fagliari et al., 1998; Hulten et al.,

2002; Milne *et al.*, 1991). Little information is available on the pathophysiology of Hp in cats. It is removed from blood within a few hours after binding free hemoglobin in plasma (Harvey and Gaskin, 1978), and levels are elevated in feline infectious peritonitis and other inflammatory disorders (Duthie *et al.*, 1997).

3. Serum Amyloid A

a. Biochemistry

Serum amyloid A (SAA) is a small hydrophobic protein (9 to 14kDa) that is found in serum associated with highdensity lipoprotein (HDL). In humans, four isoforms have been identified that are separate gene products (Jensen and Whitehead, 1998). Of these, SAA1 and SAA2 respond to an acute phase reaction with increasing production from the liver. In contrast, SAA4 is a constitutive protein that is produced normally at a low level and is not affected by the acute phase response. The SAA3 is expressed in nonhepatic tissues during the acute phase response with increases found in lung (Wilson et al., 2005), adipose tissue (Fasshauer et al., 2004), ovarian granulosa (Son et al., 2004), and in the mammary gland (Weber et al., 2006). This isoform has also been detected in bovine colostrum (McDonald et al., 2001). Serum amyloid A is the precursor of amyloid A and is therefore implicated in the pathogenesis of amyloidosis (Uhlar and Whitehead, 1999).

b. Function and Pathophysiology

A number of functions have been ascribed to SAA including reverse transport of cholesterol from tissue to hepatocytes, inhibition of phagocyte oxidative burst, platelet activation, and a number of *in vitro* immune responses (Petersen *et al.*, 2004). A direct antibacterial action of SAA was described in which SAA was found to bind to Gramnegative bacteria leading to opsonization of the target bacteria (Hari-Dass *et al.*, 2005). It has been demonstrated that the M-SAA3 isoform found in colostrum stimulates the production of mucin from intestinal cells assisting the initiation of secretions from the neonatal intestine and helping to prevent bacterial colonization (Larson *et al.*, 2003; Mack *et al.*, 2003).

It is only relatively recently that immunoassays became available for measuring the concentration of SAA, but it is already apparent that this analyte will be of great value in monitoring the acute phase response, especially in species in which CRP is not a major APP. Therefore, in ruminants, horses, and cats, SAA assay may become a routine analysis included in the assessments of infection and inflammation. In cattle, SAA has been identified as a marker of inflammation being elevated more in acute rather than chronic conditions (Horadagoda *et al.*, 1999). It was raised also by experimental infection with *Mannheima haemolytica*, with bovine respiratory syncytial virus, and in experimental and natural cases of mastitis (Eckersall *et al.*, 2001; Gronlund

et al., 2003; Heegaard et al., 2000; Horadagoda et al., 1994). The mammary isoform of SAA (M-SAA3), which is expressed and secreted in milk from mammary glands of dairy cows with mastitis (Eckersall et al., 2001; Jacobsen et al., 2005b; Nielsen et al., 2004; Winter et al., 2003), is also found in milk from ewes with this condition (Winter et al., 2003).

In the horse, SAA is a major APP with a large dynamic range between the resting level in the healthy animal and the concentrations obtained in serum from horses with infection or inflammation (Jacobsen and Andersen, 2007; Pepys et al., 1989; Stoneham et al., 2001). Increased SAA concentrations have been observed in horses following surgery, with aseptic inflammation or arthritis, septicemia, enteritis, pneumonia, and diarrhea (Petersen et al., 2004). Measurement of the SAA concentration was found to be of value in diagnosis of horses with colic, especially where inflammation was the primary component of the pathogenesis (Vandenplas et al., 2005). Experimental infections with equine herpesvirus and influenzavirus have also resulted in an increase in the SAA concentration (Hulten et al., 1999; Pepys et al., 1989).

Determination of the SAA concentration may be similarly useful in cats as it was shown to be the most rapidly responding APP in a variety of inflammatory and infectious conditions (Kajikawa et al., 1999), and the cat is another species where CRP does not show a major response. In the dog, the circulating concentration of SAA does increase during an acute phase response and has been observed in experimental parvovirus infection (Yule et al., 1997) and in leishmaniasis (Martinez-Subiela et al., 2002a). However, with CRP becoming the primary canine APP, it is likely that SAA will be used in a secondary role in monitoring the acute phase response in this species. The relationship of serum concentration of SAA with familial amyloidosis as encountered in Siamese and Abyssinian cats and Chinese shar-pei dogs remains to be fully elucidated (Johnson et al., 1995; Niewold et al., 1999).

4. • -1 Acid Glycoprotein

a. Biochemistry

Alpha-1 acid glycoprotein (AGP) is one of the most highly glycosylated proteins in serum with a molecular mass of around 43kD, of which approximately 45% is covalently linked carbohydrate. These branched carbohydrate side chains are composed of linked monosaccharides such as mannose, galactose, and glucose with the final group in the chain usually being sialic acid (N-acetyl-neuraminic acid). Thus, negatively charged groups are located at the end of each chain leading to the low pI of AGP of 2.8 to 3.8. The sialic acid residues are also important for the structure of the protein. Studies on feline AGP have revealed that its branching degree was very low and that a decrease in sialic acid expression occurred during infection with

feline infectious peritonitis virus (Ceciliani et al., 2004). In feline leukemia virus infections, the degree of sialylation was reduced in cats diagnosed with lymphoma (Pocacqua et al., 2005). In contrast, feline AGP from cats with feline immunodeficiency virus infection showed a wide range of variation of the glycan chains.

b. Function and Pathophysiology

Though the precise role of AGP is not clear, it does bind to a number of endogenous metabolites such as heparin, histamine, serotonin, steroids, and catecholamines (Israili and Dayton, 2001). A related action of AGP is its ability to bind to pharmacological compounds. This ability to bind to drugs may have therapeutic implications as the amount of drug bound can affect the free concentration of drug, which is the metabolically active fraction. Increased AGP because of an acute phase response may reduce the concentration of free drug and could therefore affect pharmokinetics (Ikenoue et al., 2000). The ability of AGP to bind low-molecular-weight ligands may also have a general protective role as it binds to toxic lectins and endotoxins. AGP also has several putative roles in the innate defense against infection and modulating the immune reaction. It can inhibit the attachment of Mycoplasma pneumoniae to alveolar macrophages and can inhibit some strains of influenza-virus. Furthermore, phagocytosis, neutrophil activation, and platelet aggregation are inhibited by AGP, and it may have a role in the maturation of T- and B-lymphocytes. (Israili and Dayton, 2001). A biologically important alteration of the glycosyl residue on AGP is the expression during inflammatory disease of the sialyl Lewis-X antigen, which is one of the major groups expressed on leukocytes and is involved in leukocyte migration across the endothelium (Degraaf et al., 1993).

In most species, AGP is a moderate APP increasing more slowly but also remaining elevated for longer than the major APP such as canine CRP or bovine SAA and Hp. However, this may be advantageous in diagnosis in that inclusion of AGP in an acute phase profile would provide information on conditions as they move from acute to chronic. In a study of inflammatory disease in cattle, serum from animals with chronic conditions had a higher proportion of raised AGP than either SAA or Hp (Horadagoda et al., 1999). Indeed the term "acute phase protein" is in some ways a misnomer as it is not just acute conditions that lead to the elevation of APP. Continued production of at least some APP in chronic or subclinical disease may be of equal importance to their appearance in acute conditions, which can be more obvious to diagnose.

Feline AGP was found to be raised, though not as rapidly as SAA, in a variety of inflammatory and infectious diseases as well as postsurgery (Kajikawa *et al.*, 1999). The measurement of AGP in feline serum and peritoneal fluid has become a recognized differential test for the identification of feline infectious peritonitis (Duthie *et al.*, 1997;

Giordano *et al.*, 2004). Raised levels of AGP have also been reported in tumor-bearing cats (Selting *et al.*, 2000) including those with lymphoma (Correa *et al.*, 2001), though in the latter study the AGP concentration was not prognostic for survival.

In the pig, AGP has been the subject of contrasting experience. AGP concentration was shown to be raised in pigs with naturally occurring pneumonia and meningitis (Itoh et al., 1993b), but in studies where aseptic inflammation caused an acute phase reaction, the AGP concentration was not significantly affected (Eckersall et al., 1996; Lampreave et al., 1994). Furthermore, an experimental model of porcine reproductive and respiratory syndrome virus showed no increase in serum concentration of AGP, whereas Hp increased (Asai et al., 1999). However, AGP was elevated and negatively correlated to body weight in a study of the effects of stress and immune function (Grellner et al., 2002). In the newborn pig, AGP is present at 40 times the adult level with the concentration falling more rapidly in specific

pathogen-free animals than in animals exposed to the normal pathogens encountered in production (Itoh *et al.*, 1993b). It is important to interpret AGP levels in the pig with regard to age as the elevated levels found at birth take about 20 weeks to fall to adult levels.

5. Fibrinogen

Fibrinogen is a large protein of 340 kDa that constitutes nearly 5% of the total plasma protein and migrates with a β mobility, though it is usually excluded from electrophoretic analysis as serum is the preferred sample. It is composed of three domains linked by disulfide bridges and contains 3% to 5% carbohydrate (Doolittle et al., 1998) (Fig. 5-7). Fibrinogen is a moderate APP such that in a study of arthritis in the horse, the rise in fibrinogen was 0.87 times the baseline concentration, whereas Hp was increased by 1.14 times and SAA by 227 times (Hulten et al., 2002). However, in terms of mass of protein it

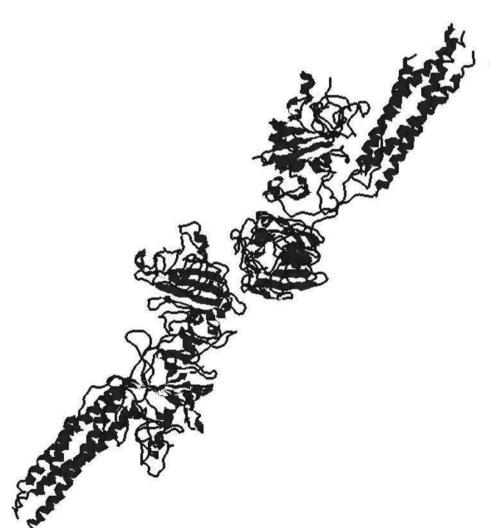


FIGURE 5-7 The molecular structure of D-fragment of fibrinogen (Doolittle et al., 1998). Fibrin formation occurs with formation of bonds between adjacent chains following removal of fibrinopeptides by thrombin action. Figure generated by Rasmol from UniProt accession number P02671 and protein database entry 1FZA.

represents the greatest proportion of plasma protein synthesized during an acute phase response. Calculations have been made in human medicine that during an acute phase reaction approximately 12g of muscle tissue has to be degraded to provide the amino acids for 1g of fibrinogen, which, in common with most APP, has a higher proportion of aromatic amino acids than muscle protein (Preston et al., 1998). Assays for plasma fibrinogen have been available for a long time. It is more consistently increased during inflammation in horses and cattle than it is in dogs and cats. The measurement of low plasma fibrinogen supports a diagnosis of disseminated intravascular coagulation (Mischke et al., 1998), but it is not consistently decreased in disseminated intravascular coagulation.

6. Pig Major Acute Phase Protein (Inter-Alpha-Trypsin Inhibitor Heavy Chain 4)

During the acute phase response in pigs, a major acute phase protein (pig MAP) can be detected (Lampreave et al., 1994) and has been identified as porcine inter-alpha-trypsin inhibitor heavy chain 4 by comparison to the equivalent human protein (Gonzalez-Ramon et al., 2000). This protein of molecular mass 120kDa is inducible by IL-6 in hepatocyte culture. In serum during the acute phase response, its concentration can increase by a factor of 30 compared to healthy levels. Increases have been shown during infection with A. pleuropneumonia (Heegaard et al., 1998), in postweaning multisystemic wasting disorder (Segales et al., 2004), and following transport (Saco et al., 2003). A bovine equivalent of this protein has been described that also displays an acute phase response (Pineiro et al., 2004).

7. Negative Acute Phase Proteins

Negative acute phase proteins are serum proteins that decrease in concentration by greater than 25% during the acute phase in response to infection, inflammation, and trauma. The mechanism for the decrease in concentration is not clear, but it can be rapid with significant reduction found after 24 hours or it may be a more gradual decrease over a period of days. Serum albumin is a negative acute phase protein, and the concentration of this protein falls gradually with the reduction in concentration being more noticeable in chronic inflammatory disease. Transferrin, the iron transport protein of serum (Gomme and Mccann, 2005), has also been described as a negative APP, but the major diagnostic application of measuring its serum concentration is in relation to its role in diseases of iron metabolism (Chapter 9). Its analogue in chickens, ovatransferrin, is a positive APP (Tohjo et al., 1995; Xie et al., 2002a, 2002b).

More rapidly reacting negative APP have been identified. Porcine apolipoprotein A-1 (Navarro et al., 2004)

decreased in concentration by 50% to 80% within 2 to 5 days of experimental infection with Streptococcus suis or A. pleuropneumoniae (Carpintero et al., 2005). As this apolipoprotein is associated with HDL, it appears to have a reverse relation with SAA (which also binds to this lipoprotein). Transthyretin (TTR) and retinal binding protein (RBP) are related transport proteins, which in rats show a decrease during the acute phase reaction (Rosales et al., 1996), but their pathophysiology has not been fully elucidated in domestic animals. In addition, the interpretation of a fall in serum concentration of these proteins is complicated because they are also affected by nutritional status. TTR is a thyroxine binding protein, which in human serum has a higher electrophoretic mobility than albumin and was therefore originally known as prealbumin. However, in most domestic species, TTR has a lower mobility and no prealbumin is observed (see Fig. 5-2). In the circulation it forms a complex with RBP, which in turn binds to retinol (vitamin A). Infection of pigs with S. suis caused a significant reduction of the TTR concentration in serum, showing that it was a negative APP in this species (Campbell et al., 2005).

C. Complement Proteins

Complement is a group of interacting serum proteins that participate in a cascade of reactions, resulting in opsonization of foreign cells and particles (Gorman and Halliwell, 1989a). Complement is activated by the "classical" or "alternative" pathways, terminology based on the time frame of discovery rather than on the relative importance of the pathway concerned. The complement proteins are a series of zymogens, which on activation are able to specifically activate another member of the cascade by proteolytic cleavage. Activation of the classical pathway occurs by binding of complement C1q to initiating factors such as antigen-antibody complexes or C-reactive protein bound to bacterial pathogen. The alternative pathway does not need antibody for activation but can be stimulated by mediators such as parasites, viruses, bacteria, and tumor cells. Both pathways lead to the formation of a membrane attack complex composed of components C5, C6, C7, C8, and C9, which cause lysis of cell membranes. More than a dozen complement proteins have been identified, but apart from a minor positive acute phase response that has been observed for component C3, the most use in diagnosis of disease is in assessment of complement deficiency in relation to immune function. These are covered in Chapter 6 as part of the discussion on clinical immunology.

D. Immunoglobulins

On SPE, most immunoglobulins are found in the γ -globulin fraction, which can be differentiated into γ_1 and γ_2 . Of

the immunoglobulins observed in animals, IgA, IgM, and IgE are found primarily in the γ_1 region (and to some extent in the β_2 region), and IgG is found primarily in the γ_2 region. The specific identification and quantification of the immunoglobulins require the use of immunochemical techniques (Section V.D.1). A brief description of the immunoglobulins is given here as a basis for understanding the interpretation of dysproteinemias visualized on SPE. More thorough coverage is given in Chapter 6 on clinical immunology.

1. Source of Immunoglobulins

Immunoglobulins act as antibodies and are produced in response to antigens. They are highly specific if only one antigenic determinant is involved. In nature, however, multiple antigenic determinants are usually involved. The lymphocytic cell line plays the central role in the immune system. There are two subpopulations, the B lymphocytes (bursa) and the T lymphocytes (thymus), which can be identified by special immunological means. The T cells are found in blood and in lymph nodes in the deep cortical areas and paracortical sinuses. They are associated with cell-mediated immunity. The B cells were originally identified in the bursa of Fabricius of the chicken. In the adult, they are found in the blood and in the germinal centers of lymph nodes. The B cells respond to antigenic stimuli with the proliferation of plasma cells that produce the specific antibody against the stimulating antigen. Five immunoglobulin classes, IgG, IgA, IgM, IgD, and IgE, have been identified in humans but in most domestic animal species only IgG, IgA, IgM, and IgE are evident, although IgD may be rarely expressed (Gorman and Halliwell, 1989b).

A specific plasma cell population of defined genetic origin—a clone—produces a specific immunoglobulin. Uncontrolled growth of a single B-cell clone (malignancy) results in the overproduction of a single chemical species of immunoglobulin, which appears as a sharp "monoclonal" spike or monoclonal gammopathy on an electrophoretogram. Occasionally, a "biclonal" or "triclonal" gammopathy can be identified. A group of clones, each of a different genetic origin, can also overproduce a heterogeneous mixture of immunoglobulins, which appears as a diffuse or broad hyperglobulinemic region on the electrophoretogram. This region is described as a "polyclonal" gammopathy.

2. Structure of Immunoglobulins

The immunoglobulins are glycoproteins whose basic structure is comprised of two heavy (H) and two light (L) chains linked by disulphide bridges with a molecular mass of 150 kDa. The structure of the H chain governs the class of immunoglobulin and is named by corresponding Greek letters: γ in IgG, μ in IgM, α in IgA, ε in IgE, and δ in IgD. The structure of the L chain is either kappa (κ) or lambda (λ)

and denotes type. Structural variations in the variable regions of H or L chains provide a basis for further subdivision into subtypes and subclasses. To date, four subclasses of IgG have been identified in humans (IgG1, IgG2, IgG3, and IgG4), with two identified in cattle (IgG1 and IgG2), four in dogs (IgG1, IgG2a, IgG2b, and IgG2c), and three in horses (IgGa, IgGb, and IgGc). The horse also has IgG(T). This was originally recognized in horses used to produce antitetanus toxoid, and although it has a higher mobility than γ -globulins, its amino acid sequence showed it was more closely related to IgG (Gorman and Halliwell, 1989b).

IgG, IgD, and IgE are monomers; IgA is a dimer; and IgM is a pentamer. Most viral, bacterial, and toxin antibodies are of the IgG type and are present in all animals. IgE is involved in allergic and anaphylactic reactions, whereas IgA is found in the secretions of the respiratory, genitourinary, and gastrointestinal tracts. IgA is a dimer of two basic units joined by a secretory piece. IgM is a cyclic pentamer of five basic units that forms a high-molecular-weight unit. These are the macroglobulins or "M" components.

The Bence-Jones proteins are light-chain units, and their presence reflects the asynchronous synthesis of H chains so that excess L chains appear and are secreted in urine. They are not detected on SPE, but they can be detected by immunochemical techniques and are often found to accompany gammopathies (Solomon, 1976), especially multiple myelomas.

E. Lipoproteins

A significant amount of protein in serum is associated with lipid in the form of lipoproteins. These microscopic particles are composed of a lipid core containing hydrophobic molecules such as triglyceride and cholesterol ester with a phospholipid monolayer on the surface. The apolipoproteins are proteins that help to stabilize the structure of the lipoproteins and also have biological activity related to their function of transporting the immiscible lipids through the aqueous environment of the circulation. Lipoproteins can be characterized according to their electrophoretic mobility (α -, β -lipoprotein) but are now mainly classified by their density as determined by ultracentrifugation. The main classes are very low density lipoprotein (VLDL), low-density lipoprotein (LDL), and high-density lipoprotein (HDL). These are involved with lipid transport between the liver and peripheral tissues. There is a further class of lipoprotein, the chylomicrons, which are involved in the transport of dietary lipid from the intestine. Lipoproteins are the subject of extensive research in human medicine, especially in relation to diagnosis of diseases of the cardiovascular system, but in domestic animals their analysis is not a frequently requested test. The lipoprotein profile in horses and dogs has been established (Watson, 1996; Watson and Love, 1994) and can be altered in disease,

but these aspects are more fully explored in Chapter 4 lipids.

F. Other Serum Proteins

A number of other serum proteins of domestic animals have been studied but have not been fully investigated for diagnostic purposes.

The antiproteases, α_1 -antitrypsin and α_1 -antichymotrypsin have moderate acute phase responses in cattle and dogs, and an antielastin was also identified in dogs (Conner *et al.*, 1988b). Ceruloplasmin, a copper-containing serum protein that has inherent oxidase activity, is involved in iron metabolism and is also a moderate APP (Ceron and Martinez-Subiela, 2004; Martinez-Subiela *et al.*, 2002b).

Among the collectins (Gabius, 1997), mannan-binding protein, conglutinin, and collectin-43 have been investigated in cattle (Kawai *et al.*, 1997; Krogh-Meibom *et al.*, 2004a, 2004b). A low level of conglutinin was found to be associated with reduced resistance to disease and could be used as a breeding trait to produce animals with increased resistance (Holmskov *et al.*, 1998). Lipopolysaccaride-binding protein, which as its name suggests is able to bind to bacterial endotoxin (lipopolysaccharide), has been shown to be a moderate APP in cattle (Horadagoda *et al.*, 1995; Schroedl *et al.*, 2001).

G. Multiplex Assays, Protein Arrays, and Acute Phase Index

Advances in proteomics and in genomics have stimulated investigations of multiple analytes in an organism, cell, tissue, or biological fluid. Gene arrays have been developed that can monitor the expression of several thousand genes in a tissue sample at the same time. An objective of proteomics is to be able to perform a similar feat for protein, but the technology is still some distance from use in the clinical biochemistry laboratory (Anderson and Anderson, 2002). A step toward the examination of the complete serum proteome would be made if it were possible to measure the concentration of a number of proteins in the same aliquot of sample. To achieve this goal, multiplex assay systems are being developed in which numerous immunoassays can be run simultaneously. One such system uses fluorescently labeled beads with differing emission characteristics for different proteins, which can be quantified simultaneously (Pang et al., 2005). Another novel multiplex system uses an array of antibody-based reagents for different protein analytes immobilized on a biochip surface (Molloy et al., 2005). These multiplex assays may find a role in the clinical biochemistry of domestic animals if they can be developed and validated for individual species.

Interpretation of data from multiple assays will be a challenge for the clinical biochemist involved in serum protein analysis. It is possible that the relative change in concentrations of groups of proteins will provide more useful diagnostic information than that obtained by simply interpreting the changes in concentration of individual proteins. Advanced statistical methods—for instance, using neural network analysis (Chen et al., 2004)-may be needed for implementation of such analysis, but bioinformatics is exploiting the application of mathematics, statistics, and computing to biological systems. However, combination of results from individual analyte tests is not new. Use of the albumin-globulin ratio to improve diagnosis is a simple example of this approach. Combination of results from individual acute phase proteins can increase the diagnostic value of the tests involved. This has been developed as an "acute phase index" with a formula proposed of (major positive APP) \times (moderate positive APP) divided by (major negative APP) × (moderate negative APP), which increased the sensitivity and specificity of analysis (Toussaint et al., 1995)

VII. INTERPRETATION OF SERUM PROTEIN PROFILES

The determinations of serum proteins and their SPE profiles are important diagnostic aids in clinical biochemistry, even though a specific diagnosis can seldom be made with SPE. Abnormal serum protein profiles can be identified with general types of disease processes and in this way provide the rationale for further definitive studies of the patient. Various electrophoretograms illustrating some common applications in different species are given in Figures 5-8 and 5-9. Inclusion of total protein and albumin assays in automated systems to provide the albumin-to-globulin ratio (A:G) enhances the analysis. A change in the A:G ratio is often the first signal of a protein dyscrasia, which leads to further study of the proteins by SPE. Reference values for total serum protein and its fractions in animals and birds are given in Appendices VIII, IX, and X.

A. Physiological Influences

Abnormalities of SPE must be interpreted in light of the many influences not associated with disease. However, normal physiological variations within an individual are relatively constant over a considerable period of time; therefore, even minor changes in the SPE profile can be of significance and warrant close scrutiny.

1. Influence of Age, Development, and Breed

In the fetus, the concentration of total protein and albumin progressively increases with little change in total globulins and an absence of γ -globulin. After birth, and with colostral uptake during the first 24 hours, the SPE changes to reflect

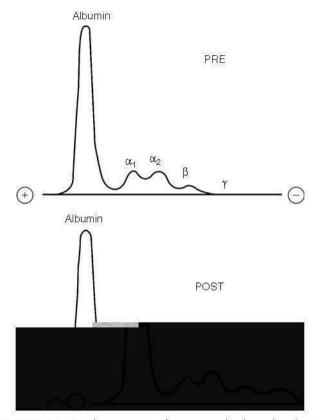


FIGURE 5-8 Densitometer trace of serum protein electrophoresis on agarose gel from calf before feeding with colostrum (upper) and after feeding with colostrums (lower).

the absorption of immunoglobulins. Baby pigs acquired large amounts of γ -globulin, which progressively decreased to 5% of the total serum protein by 4 weeks of age (Rutqvist, 1958). In the calf, precolostral serum normally contains no γ -globulin, but within a few hours after ingestion of colostrum, γ -globulin appears in serum (see Fig. 5-8) and absorption continues for up to 36 hours after birth after which closure occurs (Weaver *et al.*, 2000). In colostrum-deprived calves, immunoglobulins increase only minimally. In the developing foal from birth to 12 months of age, progressive increases in albumin, globulins, and total proteins are also seen (Bauer *et al.*, 1984; Rumbaugh and Adamson, 1983).

Over the life span of animals, there is a general increase in total protein, a decrease in albumin, and an increase in globulins with advancing age. However, in the very old, the total plasma proteins again decline. Thus, age is an important consideration in the interpretation of the SPE. Breed may also affect serum proteins. Retired greyhounds were found to have significantly decreased α - and β -globulins in comparison to age- and gender-matched nongreyhound controls (Fayos *et al.*, 2005).

2. Hormonal and Sexual Influences

Hormones can have anabolic or catabolic effects on serum proteins. Testosterone and estrogens are generally anabolic in all species. Growth hormone is another well-known anabolic hormone with similar effects. On the other hand, thyroxine decreases total serum protein, most likely because of its catabolic effect. The glucocorticoids have not been reported to have a major effect on SPE except in the dog where prednisolone injection was shown to cause an increase in α_2 -globlins with the rise shown to be due to the induction of haptoglobin (Harvey and West, 1987). Otherwise hormonal effects of serum proteins are slight even though their effects on weight gains or body composition may be quite marked.

3. Pregnancy and Lactation

During gestation, maternal albumin decrease and the globulins increase in some species. In ewes, albumin decreases to a minimum at midgestation and returns to near normal at term, whereas globulins and the total serum protein progressively decrease throughout gestation (Dunlap and Dickson, 1955). In cows, the total serum protein and γ_{1} -, and β_2 -globulins begin to increase at 2 months before term, reach maximum values at 1 month, and then rapidly decline toward term (Larson and Kendall, 1957). This reflects the transport of immunoglobulins from serum to the mammary gland that begins several weeks before parturition, reaching a peak 1 to 3 days before birth of the calf (Weaver et al., 2000). Lactation and egg production impose further stresses on protein reserves, and metabolism and changes similar to pregnancy may also occur. However, no changes in serum albumin or total globulin concentrations were measured in horses during gestation or lactation (Harvey et al., 2005).

4. Nutritional Influences

The plasma proteins are sensitive to nutritional influences, but the changes are often subtle and difficult to detect and interpret. In a study in Holstein heifers, increasing the proportion of crude protein in the diet from 8% to 15% increased total serum protein and albumin, but the albumin: globulin ratio stayed the same at 1.09 (Hoffman $et\ al.$, 2001). Increases in α -globulin and decreases in γ -globulin fractions were found in ostriches fed a high-protein diet (Polat $et\ al.$, 2004). In contrast, total serum protein was not affected in pregnant mares by substantial differences in dietary protein quantity and quality, even though the foal mass decreased by 25% (vanNiekerk and vanNiekerk, 1997).

5. Stress and Fluid Loss

Temperature stress, either fever or hypothermia, is associated with nitrogen loss, increased adrenal activity, and increased protein turnover. These stresses cause a decrease in total serum protein and albumin, but they often cause an increase in α_2 -globulin associated with the acute phase response. Similar findings are observed in crushing injuries, bone fractures, and extensive surgery. In the inflammatory

process, fluids and proteins move into the tissues, inducing edema and contributing to a decrease in plasma albumin. Hemorrhage or massive exudation with large external losses of plasma is followed by a rapid movement of interstitial fluid (without protein) into the plasma compartment to induce an acute hypoproteinemia. Conversely, dehydration leads to hemoconcentration through reduction in fluid volume and consequent hyperproteinemia. During splenic contraction in the horse, a large mass of erythrocytes moves into the circulation with little or no change in the serum protein.

B. The Dysproteinemias

The current method of choice for the overall evaluation of protein status remains SPE on cellulose acetate or agarose gel. The SPE profile and the absolute values of the individual fractions provide an excellent basis for presumptive diagnoses and for additional studies of the patient. The A:G ratio derived from chemistry panels or from the SPE is the basis on which the SPE can be interpreted.

Classification of the SPE profile in conjunction with the A:G ratio provides a systematic approach to the interpretation of protein dyscrasias. Table 5-5 gives such a classification of the SPE results based on the A:G ratio and the nature of the profile. This table provides a useful vehicle for alerting the clinical biochemist and the clinician to the underlying significance of the specific dysproteinemia.

1. Normal A:G—Normal Profile

a. Hyperproteinemia

Simple dehydration with water loss is essentially the only instance when a simple hyperproteinemia without change in profile or A:G occurs. In this case, all protein fractions increase proportionately, including albumin, because only water has been removed from the system.

b. Hypoproteinemia

Overhydration through vigorous fluid therapy or excess water intake is a common cause of simple hypoproteinemia. This is simply a dilution of the system. In other instances, for example, after acute blood loss, interstitial fluid moves rapidly into the plasma compartment, thus diluting the system. This dilution may be further intensified by the ingestion of water to satisfy the thirst commonly seen in acute blood loss. Similarly, after acute plasma loss, whether internal or external, by exudation or extravasation, simple hypoproteinemia occurs because movement of interstitial water into the plasma compartment rapidly replaces the water losses.

2. Decreased A:G-Abnormal Profile

a. Decreased Albumin

Decreased albumin is a common form of dysproteinemia. Fundamentally, the decrease can be attributed to either

albumin loss or failure of albumin synthesis. Depending on the stage of the disease, it can be associated with either slight hyperproteinemia (acute stage), normoproteinemia (progressive stage), or, in its advanced stages, hypoproteinemia. Therefore, the total serum protein is not a reliable index of albumin status and albumin must be determined.

Because of its small size and osmotic sensitivity to fluid movements, albumin is selectively lost in renal disease (Grauer, 2005), gastrointestinal disease, (Kaneko et al., 1965; Meuten et al., 1978), and in intestinal parasitism (Dobson, 1965). The hypoalbuminemia of intestinal parasitism is aggravated by increased albumin catabolism (Cornelius et al., 1962; Halliday et al., 1968; Holmes et al., 1968). Furthermore, because of the sensitivity of albumin synthesis to protein and nitrogen loss such as that occurring in some forms of gastrointestinal disease, albumin loss impairs albumin synthesis and further compounds the hypoalbuminemia. Because of this same sensitivity of albumin synthesis to protein and nitrogen availability, decreased albumin concentration precedes the development of generalized hypoproteinemia in dietary protein deficiencies. Classic human protein-calorie malnutrition, kwashiorkor, is characterized by hypoalbuminemia and hypoproteinemia.

The liver is the only site of albumin synthesis, and hypoalbuminemia is an important feature of chronic liver disease and when accompanied by marked decrease in total protein is indicative of terminal liver cirrhosis (Sevelius and Andersson, 1995). In the horse, a unique postalbumin shoulder with or without a hypoalbuminemia suggests liver disease. Additionally, albumin is a negative APP and extensive inflammation accompanying any of the aforementioned conditions may compound the hypoalbuminemia.

b. Increased Globulins

i. α -Globulins α_1 -Globulin but mainly α_2 -globulin increases are commonly found and are of diagnostic significance. Many of the APPs (Section VI.B) migrate in the α_1 - and α_2 -globulin regions (Table 5-5) so that increases in these globulins are a common finding in acute inflammatory diseases and represent an acute phase response. Increases in α -globulins can be accompanied by increased β - or γ -globulins (Fig. 5-9a and 5-9c). In the nephrotic syndrome, α_2 -globulins increase due in part to increases in α_2 -macroglobulin and the lipoproteins. The triad of azotemia, hypoalbuminemia, and hypercholesterolemia is a characteristic of the nephrotic syndrome. Increased α -globulin, identified as α_1 -antitrypsin, and Hp have been described in dogs with chronic liver disease, many of which recovered (Sevelius and Andersson, 1995).

ii. β -Globulins Increases in β -globulins alone are infrequent in most species and found in association with active liver disease, suppurative dermatopathies, and in the

TABLE 5.5 Classification of the Dysproteinemias Based on the Albumin-to-Globulin Ratio and the Serum Protein Electrophoretic Profile

- A. Normal A.G-normal SPE profile
 - 1. Hyperproteinemia: dehydration
 - 2. Hypoproteinemia
 - a. Overhydration
 - b. Acute blood loss
 - c. External plasma loss: extravasation from burns, abrasions, exudative lesions, exudative dermatopathies, external parasites; gastrointestinal disease including parasites
 - d. Internal plasma loss: vasculitis
- B. Decreased A.G-abnormal SPE profile
 - 1. Decreased albumin
 - a. Selective loss of albumin: glomerulonephritis, nephrosis, nephrotic syndrome, gastrointestinal disease including parasites
 - b. Decreased synthesis of albumin: chronic liver disease, malnutrition, chronic inflammatory disease
 - 2. Increased globulins
 - a. Increased α_1 -globulin
 - i. Acute inflammatory disease: α_1 -antitrypsin, α_1 -acid glycoprotein (orosomucoid, seromucoid)
 - b. Increased α_2 -globulin
 - i. Acute inflammatory disease: α_2 -macroglublin, ceruloplasmin, haptoglobin
 - ii. Severe active hepatitis: α₂-macroglobulin
 - iii. Acute nephritis: α_2 -macroglobulin
 - iv. Nephrotic syndrome: α_2 -macroglobulin, α_2 -lipoprotein (VLDL)
 - v. Glucocorticoids: haptoglobin in dogs
 - c. Increased β -globulin
 - i. Acute hepatitis: transferrin, hemopexin
 - ii. Nephrotic syndrome: β_2 -lipoprotein (LDL), transferrin
 - iii. Suppurative dermatopathies: IgM, C3
 - d. Bridging
 - i. Chronic active hepatitis: IgA, IgM
 - e. Increased γ -globulin (broad increase)—polyclonal gammopathies: IgG, IgM, IgA
 - i. Chronic inflammatory disease, infectious disease, collagen disease
 - ii. Chronic hepatitis
 - iii. Hepatic abscess
 - iv. Suppurative disease: feline infectious dermatitis, suppurative dermatitis, tuberculosis
 - v. Immune-mediated disease: autoimmune hemolytic anemia, autoimmune thrombocytopenia, Aleutian disease of mink, equine infectious anemia, systemic lupus erythematosus, autoimmune polyarthritis, autoimmune glomerulonephritis, autoimmune dermatitis, allergies
 - vi. Lymphoid tumors
 - f. Increased γ -globulin (sharp increase)—monoclonal gammopathies: IgG, IgM, IgA
 - i. Lymphoid tumors
 - ii. Plasma cell dyscrasias: multiple myeloma, Aleutian disease of mink
 - iii. Macroglobulinemia
 - iv. Canine ehrlichiosis (usually polyclonal)
 - v. Benign
- C. Increased A:G-abnormal profile
 - 1. Increased albumin: does not occur except in dehydration
 - 2. Decreased globulins
 - a. Fetal serum
 - b. Precolostral neonate
 - c. Combined immunodeficiency of Arabian foals
 - d. A gammaglobulinemia

nephrotic syndrome. Transferrin appears to be a major component that rises in active liver disease together with hemopexin and complement, but as transferrin is a negative acute phase protein, it may decrease during infectious or inflammatory disease. IgM can also rise in active liver disease in response to the antigenic stimulus of infectious

agents. In the suppurative dermatopathies, a similar antigenic stimulus is thought to account for the IgM and complement increases in the β fraction. In the nephrotic syndrome, increases in β -globulins are associated with increases in transferrin. Most increases in β -globulins are polyclonal with accompanying increases in γ -globulins (Fig. 5-9b)

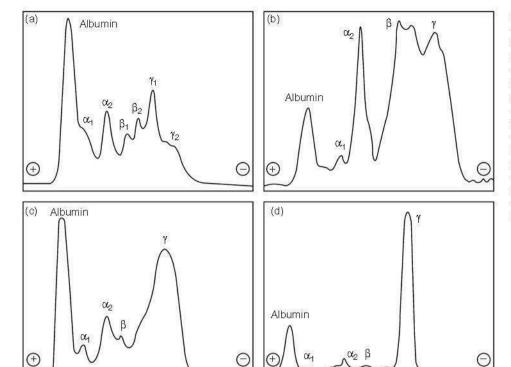


FIGURE 5-9 Densitometer trace of serum protein electrophoresis on agarose gel from (a) a dog with malignant lymphoma showing increases in α -, β -, and γ -globulins, A:G ratio 0.67; (b) a dog with B cell tumor showing hypoalbuminemia, a spike in α_2 -globulins, increased β -globulins, and a polyclonal immunoglobulinemia, A:G ratio 0.15; (c) a cat with feline infectious peritonitis showing increased og-globulins and a polyclonal gammopathy, A:G ratio 0.36; (d) a cat with hypoalbuminemia and an IgG monoclonal gammopathy A: G ratio 0.20.

and only occasionally the sharp monoclonal spikes of multiple myeloma, Waldenstrom's macroglobulinemia, or lymphoma are seen (Macewen *et al.*, 1977). Waldenström's-type macroglobulinemia with hyperviscosity and IgM monoclonal spikes have been reported in dogs (Hurvitz *et al.*, 1971; Macewen *et al.*, 1977).

In the horse, increased levels of β -globulins have been observed on SPE of serum from animals infected with the intestinal parasites *Strongylus vulgaris* and *Strongyloides westeri*. The peak can appear as a monoclonal gammopathy in which an equine-specific immunoglobulin, IgG(T), is produced (Mair *et al.*, 1993). This immunoglobulin may also migrate in SPE with an α_2 mobility (Kent and Roberts, 1990).

tti. β - γ **Bridging** The phenomenon of β - γ bridging suggests chronic active hepatitis. In this case, there is no clear separation between the β_2 and γ_1 -fraction, which results from an increase of IgA, IgM, or both. Rarely a low-grade gammopathy of lymphoma may result in a β - γ bridge.

iv. Increased γ -Globulin (Broad Increase): Polyclonal Gammopathy The diffuse or broad increases in the γ -globulins that characterize polyclonal gammopathies are a result of the heterogeneity of the clones of B lymphocytes and plasma cells, which produce a heterogeneous mix of immunoglobulins. Any one or all of the immunoglobulins IgM, IgG, or IgA can be present, but a preponderance of one is usually observed.

The chronic inflammatory disease profile may be manifested by a variety of disease states such as malignancies in general (Fig. 5-9b), chronic infections (Fig. 5-9c), and collagen diseases. There is a concomitant decrease in albumin as a result of decreased synthesis (Fig. 5-9b).

Chronic hepatitis, hepatic abscesses, and suppurative disease processes also exhibit changes characteristic of chronic disease (Rumbaugh *et al.*, 1978). In these cases, the polyclonal increase is more marked and the hypoalbuminemia more severe than in other chronic inflammatory diseases. This phenomenon may reflect the severity of the disease process and the more intense antigenic response generated.

Immunologically mediated disease processes are also characterized by polyclonal increases. These may be immune processes directed against "self," that is, autoimmune disease, or against external antigenic stimuli. In either case, a multiple immunological response is elicited, one or more organs may be affected, and polyclonal increases are observed on the electrophoretogram. Immune complexes trapped in the glomeruli and reacting with antigens are thought to be involved in the glomerulonephritis often seen with heartworms, pyometra, and systemic lupus erythematosus (SLE). SLE is a multifaceted disease in the dog often found in association with autoimmune hemolytic anemia, thrombocytopenia, glomerulonephritis, and polyarthritis. The basic abnormality in SLE is the LE factor, an antinuclear antibody, and the widespread dissemination of nuclear-antinuclear complexes throughout highly vascular structures.

Lymphomas can elicit either a polyclonal or monoclonal hyperglobulinemia. The hyperglobulinemic peaks can occur anywhere between the β_1 and γ_2 regions and range from very broad, diffuse peaks to very sharp, monoclonal spikes. The polyclonal peaks of lymphoma are thought to be the result of a tumorous group of distantly related clones in contrast to the single discrete clones, which give rise to the monoclonal spikes.

v. Increased \(\gamma \)-Globulin (Sharp Increases): Monoclonal Gammopathy The monoclonal forms are characterized by sharp spikes of immunoglobulin. They may occur in the β region, but are frequently limited to the γ region (Fig. 5-9d). A useful guideline for interpretation is to compare visually the sharpness of these spikes to the albumin peak. One or the other slope of the monoclonal spike is as steep or steeper than one of the slopes of the albumin peak. The monoclonal spike is the result of a single clone producing a single class of immunoglobulin usually abnormal in nature. Thus, the monoclonal immunoglobulins are of identical structure and move as one on the SPE. These immunoglobulins have been described as "paraproteins." The characteristic monoclonal spike in the γ region, Bence-Jones proteinuria, and plasma cell tumors have been general findings in multiple myelomas reported in the horse (Geelen et al., 1997; Pusterla et al., 2004), dog (Giraudel et al., 2002), and cat (Farrow and Penny, 1971; Patel et al., 2005). Lightchain disease with Bence-Jones proteins in the plasma and urine has been observed in dogs (Hurvitz et al., 1971).

Lymphomas may present with monoclonal spikes depending on the degree of cloning of the tumor cells. The dominant monoclonal protein was identified as IgM in a case of lymphocytic leukemia in a dog (Braund *et al.*, 1978). A biclonal gammopathy has been observed in a dog with a combined myeloma and cutaneous lymphoma (Jacobs *et al.*, 1986).

A retrospective study of 18 cases of monoclonal gammopathies in the dog confirmed that most were associated with lymphoproliferative tumors, including multiple myelomas, Waldenström's macroglobulinemia, lymphoma, chronic lymphocytic leukemia, and mucocutaneous plasmacytoma (Giraudel *et al.*, 2002). However, nonmyelomatous monoclonal gammopathies were identified in cases of leishmaniasis and ehrlichiosis in this and other studies (Breitschwerdt *et al.*, 1987). Monoclonal gammopathies have also been reported in canine amyloidosis (Schwartzman, 1984).

Generally, the clinical characteristics of the monoclonal gammopathies are referable to the magnitude of lymphocyte or plasma cell proliferation, the extent of organ infiltration, and production of abnormal protein. Thus, immunologically associated diseases tend to be multifaceted and can present with bleeding tendencies, glomerulonephritis, polyarthritis, arteritis, hepatitis, and SLE. All monoclonal gammopathies are not necessarily pathological, however, because they can be benign. Consequently, care must be exercised in the final evaluation of a monoclonal gammopathy.

3. Increased A:G-Abnormal Profile

a. Increased Albumin

True overproduction of albumin does not occur in any animal. Therefore, any rise in albumin is only a relative hyperalbuminemia because of hemoconcentration as a result of water loss and dehydration.

b. Decreased Globulins

The absence of γ -globulins in fetal serum or in serum from precolostral or colostrum-deprived neonatal animals (Weaver *et al.*, 2000) can be readily demonstrated on SPE (see Fig. 5-8). Hypo- γ -globulinemia occurred in about 15% of foals less than 2 weeks of age and was attributed to failure of colostral transfer even though most had nursed (Mcguire *et al.*, 1975a; Sellon, 2000). Combined immunodeficiency of Arabian foals is thought to be an inherited autosomal recessive disease. The disease is characterized by lymphopenia, failure to synthesize IgG, IgM, and IgA and early death (Davis and Jones, 2003; Mcguire *et al.*, 1975b; Perryman, 2000). A selective IgM deficiency has been reported (Perryman *et al.*, 1977).

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Clinical Veterinary Immunology

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I. INTRODUCTION

The field of clinical immunology has evolved from serological testing for the presence of antibodies to infectious agents to a multifaceted discipline that utilizes some of the traditional techniques in addition to many newer more sensitive assay systems. Yet it is still involved with evaluation of the immune system of patients and the ability of the immune system to respond to antigenic stimuli. Assays developed to target specific parts of the immune system enable the clinician not only to determine if a patient has normal immune responsiveness but also to target those parts of the immune system that are suspect of inadequate function. Serology has historically been used to determine retrospectively if a patient were infected with a particular disease agent; antibody titers continue to have importance in diagnostics.

Current technologies have created expanded opportunities to diagnose infectious, autoimmune, and allergic diseases with new tools. Diagnostic quantitative reverse transcriptase polymerase chain reaction (RT-PCR) has shifted the focus from the immunology laboratory for the identification of infecting pathogens. Yet growing concern that veterinarians may be overvaccinating their patients has provided a new incentive for the development of sensitive and specific immunoassays to measure the immune response to vaccine antigens. Another increasing trend since the previous edition of this book is the use of diagnostic flow cytometry. This technique can evaluate multiple parameters on cells using multicolor analysis. The current availability of antibodies to many cytokines makes it now possible to determine not only cell phenotype but also the intracellular cytokines being made. Production of monoclonal antibodies specific to some leukocyte antigens expressed on leukemic cells has allowed diagnosis of these conditions to be achieved through flow cytometry. Flow cytometry is currently being used for detection of autoantibodies to platelets and erythrocytes. The traditional antinuclear antibody test is often supplemented with more specific assays for evaluation of the presence of autoantibodies in animal patients. Diagnosis of allergic conditions is now commonplace because of the development of reagents and assays to measure IgE in dogs, horses, and cats.

This chapter reviews some basic principles of immunology and presents current methodologies used in the clinical immunology laboratory.

II. INNATE IMMUNITY

Entry into the body of a pathogen is the first stimulus for immunity. Pathogens contain pattern recognition receptors called pathogen associated molecular patterns (PAMP), which are recognized by Toll-like receptors (TLR) on the surface of host cells. There are at least 10 such receptors, each recognizing a different motif. For example, TLR 2 recognizes the peptidoglycan of the Gram-positive bacterial cell wall, TLR 4 recognizes lipopolysaccharide from the Gram-negative bacterial cell wall, TLR 6 recognizes flagella protein present on motile bacteria, and TLR 9 recognizes DNA containing cytosine-guanine repeating motifs (CpG). The binding of these TLRs with their ligands stimulates production of proinflammatory cytokines that jumpstart the immune response (Takeda, 2005).

The immune response is generally divided into innate and acquired responses. This division is based on the need for the host to have previously been exposed to the antigen/pathogen in order to rapidly mount a protective response. Innate immunity does not require previous exposure to a pathogen for it to be effective. It is thus not an antigen-specific response. Innate immunity is a broad category that includes protective barriers such as skin and mucosa. Reflexes are included, such as the cough coupled with the anatomical/physiological function of the mucociliary apparatus, which moves inhaled material out of the respiratory system. Dogs with inherited ciliary dyskinesis have nonfunctional cilia and suffer from repeated respiratory infections because of their inability to remove inhaled particles (such as bacteria) from the lung.

Phagocytes are important components of innate immunity. The initial responder to infection is usually the polymorphonuclear leukocyte or neutrophil. These cells participate in phagocytosis and killing of bacteria. Dogs with inherited cyclic neutropenia develop cyclic bouts of bacterial disease that coincide with the episodes during which the bone marrow shuts down its production of these essential phagocytes. Other defects, such as that seen in calves with bovine leukocyte adhesion deficiency (BLAD), occur when production is good, but the neutrophils lack the CD18 part of the adhesion molecule that allows them to adhere to blood vessel endothelium and then exit into the tissue by diapedesis. These animals develop even more critical disease because their defect is not cyclic, but constant. These calves generally succumb to overwhelming bacterial

disease within the first 6 months of life. These experiments in nature demonstrate the importance of the innate defense provided by the neutrophil.

The other population of phagocyte is the macrophage. This cell plays a role not only as a phagocyte, generally entering an area of inflammation after the neutrophil, but also as a vital link to the acquired immune response. Macrophages function as antigen-presenting cells. As such they engulf a pathogen, digest it within a vacuole, and then display peptides generated from the engulfed organism on their cell surface. This antigen presentation function relies on the presence of a cell surface molecule called major histocompatibility complex antigen class II (MHC II). Lymphoid cells of the CD4+ T cell lineage then bind to the peptide and to the MHC II for initiation of the immune response. This is a critical step in immune responses. However, there is another cell type, the dendritic cell, that performs the antigen presentation function more efficiently than the macrophage. These cells are pivotal to induction of the acquired immune response and serve as an effecter for innate immunity.

The need for acquired immunity is demonstrated by certain bacterial species that are able to live and divide after being ingested by a macrophage. These organisms, called facultative intracellular bacteria, are able to overcome the macrophage and prevent their own digestion in the phagosome. To overcome the infection, the macrophages infected with these bacteria require signals from cytokines that are secreted by T cells stimulated in an acquired immune response. Infection of cattle with Mycobacterium bovis subspecies paratuberculosis causes a chronic wasting disease because of the ability of the bacteria to overcome the killing function of the macrophages. The acquired immune response required for killing these organisms is discussed with cellular immunity.

There is a population of lymphocytes that are neither T nor B cells; they lack the receptors for antigen recognition. These cells are natural killer cells (NK cells). The NK cells have the ability to recognize cells that lack or have depressed levels of the MHC class I molecule on the cell surface. Many tumor cells and some viral infected cells fall into this category. It is an evasion technique employed by some viruses to down-regulate the expression of the MHC molecules, which are required for recognition of the effector cells of the immune system. These NK cells are part of the innate immune system, because they are available to act on target cells without prior exposure.

III. ACQUIRED IMMUNITY

Acquired immunity is specific for the stimulatory antigen; and the acquired response has memory. Thus, once a host has encountered an antigen and initiated an immune response, the next time the antigen is encountered by that host, the response is more rapid and more robust. The antigen can be from a pathogen (bacteria, virus, parasite, fungal) or it can

be a protein (as in an injected biological or an ingested or inhaled protein). Initially an antigen is taken up by a dendritic cell and is carried in the lymph to a local lymph node. In that site it is presented to the T cells in the body to initiate the response. When the T cell with the appropriate receptor recognizes the antigenic peptide on the surface of the antigen-presenting cell, it binds and begins a process of activation. Ultimately the activated T cell secretes cytokines that enhance the development of the T cell response and others that stimulate the growth and differentiation of B lymphocytes. There are multiple signals involved in antigen stimulation of T and B lymphocytes; these are receptor binding, cytokine binding, and binding of co-stimulatory molecules. Once this has been accomplished, a B cell can differentiate into a plasma cell to make antibody with the same specificity as that which stimulated the original B cell.

There are two major types of T cells: CD4+ helper T cells and CD8+ cells, usually called cytotoxic T cells. This latter group has the capacity to kill target cells that are infected with antigens, such as viruses. There are two main subsets of CD4+ T helper cells: T helper 1 and T helper 2. The Th1 cells assist in cellular immune responses, such as activation of the macrophages infected with facultative intracellular bacteria. The Th2 cells provide "help" to B cells by provision of cytokines and co-stimulatory molecules (as described earlier). This T cell help initiates clonal expansion into mature B cells and ultimately into memory B cells and plasma cells. The plasma cells are the end cell that makes the immunoglobulin (antibody) that is so important in humoral immunity.

These Th1 and Th2 cells are primarily identified by the cytokines that they produce. Th1 cells make IL-2, IL-12, and interferon •. The former activates T cells to divide and proliferate, and the latter activates macrophages to become more efficient killers. Cytokines produced by the Th2 subset include IL-4, IL-5, and IL-13. IL-4 is a B cell growth factor; and in conjunction with IL-13, they can facilitate development of an allergic type response (in which plasma cells produce lots of IgE). The T helper cell subsets were originally described in the inbred mouse, where the division between the two is distinct. However, in many of out bred species, such as humans and cattle, the distinction is less clear, with a T helper 1 or 2 skew more commonly identified than a complete polarization of the immune response.

One additional T cell subset that is described is the regulatory T cell (T reg). These cells are CD4+ and CD25+ and contain the nuclear activation factor FoxP3. T regs produce IL-10 and TGF-•, which depress the T helper 2 response. These cells may have a role in control of autoimmunity and allergy.

A. Humoral Immunity

Electrophoretic separation of serum proteins separates the proteins into four broad categories: albumin, alpha globulins, beta globulins, and gamma globulins. The antibody activity is present in the gamma globulin fraction, with a slight amount in the beta fraction. These immunoglobulins are heterogeneous, having different molecular weights and functional properties.

There are five classes (isotypes) of immunoglobulins: IgG, IgM, IgA, IgD, and IgE. They share a basic structure, which consists of four polypeptide chains bound together by disulfide bonds. Two of these chains are called light chains, because with a molecular weight of about 22K each they are lighter than the other two heavy chains (approximately 55K each). At the nitrogen terminal of the polypeptide chains on all four chains is a portion of variable amino acid sequences. This is the antigen-binding end of the immunoglobulin. The hinge region of the immunoglobulin provides for flexibility of the molecule for binding to antigenic epitopes.

In the serum, IgG is the antibody class with the greatest concentration, approximately 1 to 2 g/100 ml, with some species differences (Tizard, 2008). Subclasses of IgG are recognized in most species. IgG has a four polypeptidechain structure with a total molecular weight of 180,000 daltons. The heavy chains in IgG are called gamma chains and are unique to IgG. Immunoglobulin G is important in host defense because it can exit the vascular system and distribute throughout the extravascular tissue fluid where it has many protective functions. For example, IgG can agglutinate bacteria, causing them to clump; it can opsonize bacteria, by binding to the bacteria by the Fab fragment and to the phagocyte by receptors for the Fc fragment, thereby facilitating engulfment of the bacteria by the phagocyte. The complement system (a series of serum proteins to be discussed later in this chapter) can be activated by two IgG molecules bound near each other on a cell membrane and target cells can be lysed by this mechanism. In addition, IgG can participate with several different effector cells in antibody-dependent cellular cytotoxicity (ADCC). This mechanism allows destruction of virus-infected cells by lymphocytes that lack specific antigen receptors. The ability of IgG to neutralize toxins, such as those produced by Clostridium tetani, is an important protective mechanism for bacterial diseases.

Immunoglobulin M (IgM) is the first antibody to be synthesized in response to an immunogenic stimulus and is the first antibody seen in ontogeny. In serum, IgM is present in the second greatest concentration, generally between 100 and 400mg/100ml (species dependent). The structure of IgM consists of five of the basic four polypeptide units held together by a J chain. The large size of IgM (900,000 daltons) keeps it confined to the intravascular space. There are a total of 10 potential antigen-binding sites on IgM. Even though in reality, because of steric hindrance, only five to seven of the antigen-binding sites are functionally active, this large capacity to bind antigens makes IgM an efficient antibody at agglutination, precipitation, opsonization, complement fixation, and virus neutralization.

Immunoglobulin A exists primarily in two forms, as a monomer (160,000 daltons) in the blood-vascular compartment and in a dimeric secretory form (390,000 daltons).

Less commonly, polymers of greater number occur. The dimeric form consists of two monomers, each containing a heavy chain (alpha) and a light chain. These are held together by J chain and include an additional component called secretory piece. The secretory piece is produced by mucosal epithelial cells and functions to assist in transport of IgA dimers from the lamina propria of the intestine through into the lumen where it then protects the IgA dimer from proteolysis by intestinal enzymes. In domestic animals, IgA is important as a secretory antibody both within the intestinal tract and the lung. It is capable of neutralizing virus and preventing adherence of bacterial pathogens to target tissues. It does not function as an opsonin and is unable to fix complement.

IgD is the is usually of not generally quantitated in the serum, although serum levels are reported for humans are greater than those measured for IgE. IgD is a four-polypeptide chain configuration (heavy chains are called •) with a molecular weight of 180,000 daltons. IgD serves as a B cell receptor for antigen. Early in an immune response, immature B cells express IgD. As the cell matures in response to antigen, the IgD is replaced with monomeric IgM. Although IgD has been demonstrated in humans, mice, pigs, horses, cattle, dogs, and chickens, information is lacking in the cat. The existence of IgD in the animal species (other than the mouse) is based primarily on genome sequencing.

Immunoglobulin E is recognized and characterized in dogs, cattle, sheep, pigs, horses, and functionally recognized in cats. IgE has never been documented in avian species. IgE occurs normally in very small amounts in the serum (nanogram quantities). In allergic or parasitized individuals, the serum concentration of IgE is greatly increased. The basic four-polypeptide chain structure of IgE, with epsilon heavy chains that contain one additional domain, has a molecular weight of 196,000 daltons. Functions of IgE are mediated through its ability to bind via the high affinity Fce receptors on tissue mast cells and blood basophils. When an antigen cross-links these cell-bound antibodies, the cell degranulates, releasing vasoactive amines, stimulating leukotriene synthesis, and resulting in potent pharmacological effects. IgE can also bind to the low-affinity IgE receptor, CD23. Binding of IgE to the CD23 stimulates a regulatory function. IgE can participate in parasite killing by binding to low-affinity IgE receptors on eosinophils and then to the parasite by specific Fab regions. This allows the eosinophils to deposit their toxic granule contents on the cuticle of the worm.

B. Cellular Immunity

The cellular immune response is important for viral pathogens, tumor immunity, and for defense against bacterial pathogens that are able to evade killing by macrophages (these are facultative intracellular bacteria). The T cell response is important for cellular immunity. The CD8+T cells are cytotoxic cells. They are able to recognize

peptides derived from antigens that grow in an intracellular location and are processed and "presented" on the cell surface with the major histocompatibility molecule (MHC class I). Once the T cell has recognized the peptide from the antigen, it is able to respond by killing the infected cell and others infected with the same pathogen. The killing is mediated by interaction of surface molecules called "death receptors," Fas and Fas-ligand to initiate apoptosis. The polymerization of perforins from the T cell onto the surface of the infected target cell allows for the entry of granzymes, which are molecules that are able to initiate cell death. This mechanism of immunity is particularly effective for viruses such as herpesviruses that are primarily cell associated and therefore not very accessible to antibodies.

The immune response to the facultative intracellular pathogens is primarily mediated by the T helper 1 subset of CD4+ T cells. These cells make interferon •, which activates the macrophage and helps it to become a better killer. It does this by increasing a variety of metabolic activities, such as synthesis of cytokines (tumor necrosis factor •, IL-1•, IL-12), by increasing the ruffled membrane activity and increasing nitric oxide production.

IV. EVALUATION OF THE IMMUNE RESPONSE

When the clinician is concerned that there may be some defect in the innate immune system of a patient, the concern is usually initiated by repeated infection in the patient. If the infection is primarily bacterial, the focus of the immune system investigation will be on phagocytes and humoral immunity. Other types of innate defenses that may be perturbed, such as the ciliary dyskinesis described earlier, require other diagnostic assays such as bronchial biopsy and radio isotopic clearance studies.

A. Evaluation of Neutrophil Function

Evaluation of neutrophil function includes number, expression of adhesion molecules, response to chemotactic factors, and phagocytosis (engulfment and killing). There are assays available to examine each of these functions. In addition to assessment of neutrophil function, the importance of appropriate opsonins cannot be ignored. Hence, the presence of antibody specific for the pathogen to be engulfed or C3b is required for optimum engulfment. Table 6-1 lists the functions of neutrophils that should be evaluated and the assays available.

B. Evaluation of Complement

The components of complement can be activated by innate mechanisms as well as by antibody. The multiple pathways of complement activation diminish the effect of deficiency of some components. However, the importance of the third component of complement C3 to all pathways means that a deficiency in C3 can affect overall complement function. One assay for complement function is called the *CH50* (hemolytic complement 50). This assay measures the ability of the patient's plasma to participate in completion fixation and the terminal lytic pathway.

C. Evaluation of Humoral Immunity

The acquired immune response generates antibodies after stimulation with antigen. Each animal species has a normal range for each antibody class. There may be some interlaboratory variation in normal ranges, but they should be generally similar. Normal concentrations of immunoglobulin classes for each species are shown in Table 6-2 (Tizard, 2008). The method used for quantitative evaluation of total levels of IgG, IgM, and IgA concentration is the single radial immunodeficiency assay (SRD). In single radial diffusion, the antisera is placed into the agar and the serum sample is placed into a well. This assay requires a serum sample, and it takes 2 days for results to be available. Known standards are compared with patient samples

TABLE 6-1 Evaluation of Neutrophil Function Neutrophil Function Assay Adhesion Flow cytometry, RT-PCR Chemotaxis Chemotaxis assay: agarose gel or Boyden chamber **Engulfment** Phagocytic index Oxidative killing Nitroblue tetrazolium. chemilum in escence Killing (oxidative and Bacteriocidal assay nonoxidative)

by generation of a standard curve. A typical SRD test for IgA in dog serum is shown in Figure 6-1.

It is sometimes useful to evaluate the antibody response to a specific antigen. It is usually possible to use one of the common vaccine antigens to accomplish this goal. In species that are routinely vaccinated for tetanus, tetanus toxoid is a good antigen to use because it elicits a strong immune response in all normal vaccinates. Failure to respond to a dose of tetanus toxoid indicates a problem with humoral immunity and potentially performance of T helper lymphocytes.

Immunoelectrophoresis (IEP) is another technique used to visualize in a semiquantitative manner the immunoglobulin molecules in serum. This technique combines electrophoretic separation with gel diffusion. The serum is first separated in the gel according to charge; next antiserum is added to the trough followed by its diffusion and formation of precipitin arcs with the antibodies in the serum. A normal IEP pattern is shown in Figure 6-2a. It is easy to detect an agammaglobulinemia (Fig. 6-2b), and an abundant amount of identical immunoglobulin as seen with a myeloma protein (Fig. 6-2c).

D. Evaluation of Cellular Immunity

Cellular immunity is generally considered to encompass both the T helper cell type 1 response that activates macrophages and the T cytotoxic cell response that performs killing of virus infected cells. The Th1 response can most easily be evaluated by *in vitro* detection of interferon gamma production after antigen stimulation. For most species, ELISA is possible for measurement of IFN• in the supernatant. Detecting the presence of CD8+ cytotoxic lymphocytes (CTL) is more difficult. A chromium release assay can be performed, but this requires preparation of virus infected target cells from the patient. This technique is often used in research situations, but it is rarely practical in the hospital setting. As an alternative, cell-mediated responses are most often evaluated by lymphocyte stimulation tests.

Species	IgG	IgM	IgA	IgE
Bovine	1700–2700	250–400	10–50	NA
Canine	1000–2000	70–270	20–150	2.3-4.2
Equine	1000-1500	100–200	60–350	8.4-9.09
Feline	400–2000	30–150	30–150	NA
Ovine	1700–2000	150–250	10–50	NA
Porcine	1700–2900	150-250	10–50	NA

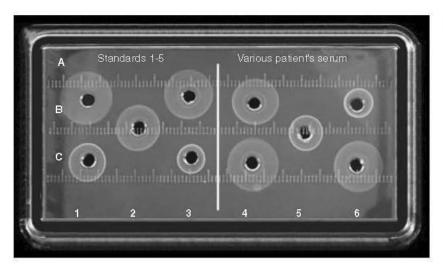


FIGURE 6-1 Single radial immunodiffusion test for determination of IgA levels in serum.

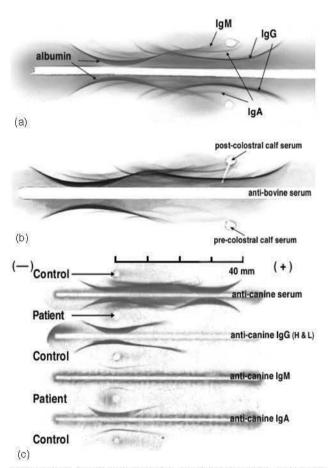


FIGURE 6-2 (a) Normal serum immunoelectrophoresis (IEP) showing IgG, IgM, and IgA. (b) IEP showing lack of gamma globulins. (c) IEP demonstrating an IgA myeloma protein in patient's serum.

This technique is performed by incubating peripheral blood lymphocytes with mitogens or specific antigen for several days. The addition of tritiated thymidine or a nonradioactive dye that incorporates into dividing cells provides a signal that is commensurate with the degree of cell division. Comparison of the stimulated cells with unstimulated cells from the same animal provides a stimulation index, which indicates the amount of responsiveness inherent in the cells of the patient. Usually the assay is used to determine whether or not a patient has suppressed or diminished T cell function in general. In can be used to measure the T cell response to specific antigen.

Multicolor flow cytometry can be used to demonstrate the presence of T cells making either Th1 or Th2 cytokines. This technique utilizes surface staining to distinguish CD4 or CD8 T cell populations followed by permeabilization, incubation with a reagent that prevents newly synthesized protein from leaving the Golgi apparatus, and staining for intracellular cytokine using antibodies conjugated with different fluorochromes.

V. METHODS FOR EVALUATION OF THE IMMUNE RESPONSE TO INFECTIOUS AGENTS

A. Agglutination and Passive Agglutination

The antibody response to a specific antigen can be evaluated by a variety of assays. For a particulate antigen, an agglutination test can be performed. In this test, serum is serially diluted, and the dilutions are then mixed with an antigen suspension. The tubes are observed for agglutination. The titer is the inverse of the dilution in the last tube that shows a positive agglutination response. This is a traditional assay that is still used for detection of antibodies to a variety of bacterial antigens. It is possible to use this method to evaluate titers for soluble antigens by binding them to latex particles. Figure 6-3 shows a tube agglutination test used to measure the titer of antibodies against Brucella canis in canine serum. When a soluble antigen is linked to a particle, it becomes a passive agglutination test. The microagglutination test for antibodies to Toxoplasma gondii is performed this way. Figure 6-4 shows an example of the Toxotest, run in microtiter plate wells. Both of these assays

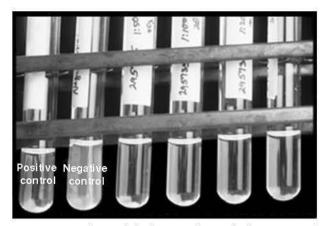


FIGURE 6-3 Tube agglutination test for antibodies to *Brucella* canis. Serial dilutions of patient serum are compared with positive and negative sera.

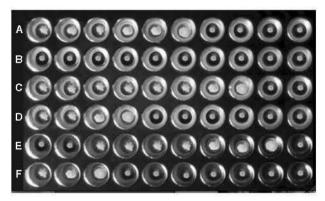


FIGURE 6-4 Microagglutination test for antibodies *Toxoplasma gondii*, performed using latex agglutination. Serum is diluted serially beginning at 1:16.

measure a titer of antibody and require serial dilution of the test serum. A simple positive or negative is sufficient for some purposes, such as the test for canine rheumatoid factor shown in Figure 6-5.

B. Hemagglutination and Hemagglutination-Inhibition

Some viruses have receptors for erythrocytes and when incubated in their presence cause them to agglutinate. This phenomenon is called hemagglutination. Specifics of the erythrocyte source, mammalian or avian, and optimum temperature and time for reaction vary depending on the virus of interest. Myxoviruses, paramyxoviruses, enteroviruses, and adenoviruses are several virus groups with members of veterinary interest that are capable of hemagglutination. The hemagglutination procedure is itself of little immunological interest. However, the ability of antiserum to inhibit the hemagglutination caused by virus receptors for erythrocytes has been utilized to develop a serological test called hemagglutination inhibition (HI). The antibodies bind to receptor sites for erythrocytes and thus block the hemagglutination reaction. The test is used to measure antibody titers to the virus.

Alternatively, with a known source of antiserum, one can use the HI test as a preliminary step in viral identification.

To perform the hemagglutination-inhibition test, serial two-fold dilutions of heat-inactivated serum are prepared in saline. A 0.25-ml aliquot of each dilution is then mixed with a similar amount of viral suspension that contains 4 hemagglutinating units. These are mixed and incubated. Next 0.25 ml of a 1% erythrocyte suspension is added, and the tubes are mixed again and incubated at the appropriate temperature and time for the virus of interest. The agglutination or absence thereof is read, and the HI titer of the serum is assigned as the reciprocal of the highest serum dilution that completely prevents hemagglutination. Alternatively, one can perform the test by making serial dilutions of the virus suspension and using a standard amount of serum. Test sera are then compared with known negative and positive sera. The former HI test is called the alpha procedure, and the latter is called the beta procedure. Appropriate controls must be included in either procedure, particularly to prevent false positive results from the presence of hemagglutinating substances in test sera.

C. Virus Serum Neutralization Assay

Evaluation of the protective antibody response to viral agents is most often done using a serum virus neutralization assay. This assay is performed by incubation of serum dilutions with virus followed by inoculation of cell culture with the virus/serum mixture. The cells are observed for the development of cytopathic effect (CPE). Control cells inoculated with virus that has been incubated with a negative serum will show positive CPE and serve as a basis for comparison with the test sera. Performance of dilutions allows for the determination of a titer. Because the antibodies that are active in this assay prevent viral entry to the cell, they are protective. This is not necessarily true for antibodies detected by enzyme-linked immunosorbent assay (ELISA) or indirect immunofluorescence assay (IFA).

D. Agar Gel Double Immunodiffusion

Agar gel immunodiffusion has been used routinely to identify horses infected with the equine infectious anemia virus has been demonstrated by the "gold standard" Coggin's test. In this assay, serum samples from positively infected horses are alternated with samples to be tested around the outside wells. In the center well, EIA antigen is placed. The development of a line showing continuity with the adjacent lines of precipitation between viral antigen and positive control sera (called identity) confirms that the serum is from an infected horse. Although this assay is extremely reliable, it is much less sensitive than other methods (such as ELISA). Sometimes a horse newly infected will not be positive on an initial sample but on retesting will demonstrate the appropriate line of identity. The same horse would

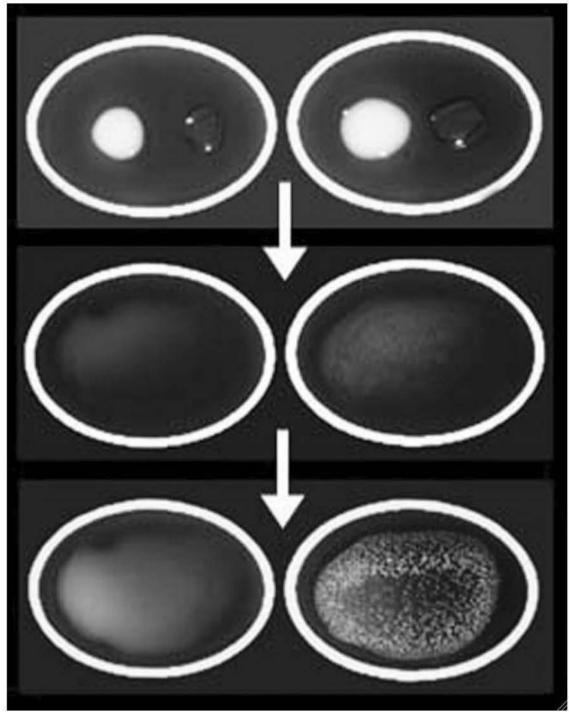


FIGURE 6-5 Plate agglutination test for rheumatoid factor, using a test kit that couples IgG to latex beads. Serum is mixed with beads and examined for agglutination and compared with controls.

likely show up as positive on the ELISA because of the increased sensitivity of the latter assay. This type of double immunodiffusion (Ouchterlony) is also used in the demonstration of precipitating antibodies against some fungal pathogens, such as Aspergillus fumigatus and Coccidioides immitis. In both cases, positive control sera are used to demonstrate identity with potentially positive sera.

E. Indirect and Direct Immunofluorescence (IFA) Test

The indirect immunofluorescence assay (IFA) is a technique that is used to diagnose some protozoan and viral diseases. It is also the basis of the antinuclear antibody test, used to diagnosis autoimmune disease. It is useful when

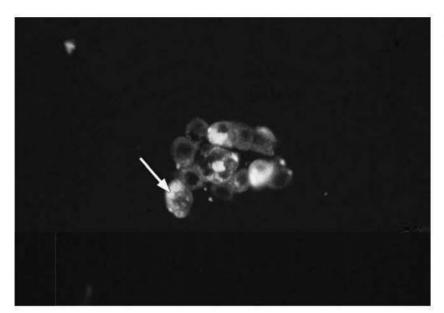


FIGURE 6-6 Direct immunofluorescence demonstrates herpes virus in a feline conjunctival smear.

Arrow shows fluorescent intracellular virus.

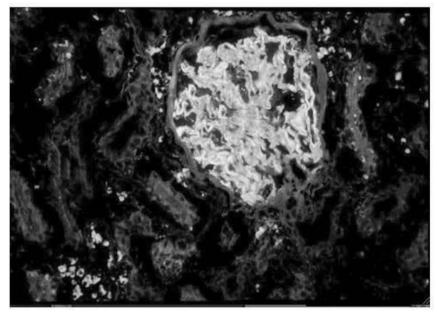


FIGURE 6-7 Direct immunofluorescence demonstrates deposition of IgG in a glomerulus of a dog with immune complex mediated kidney disease.

a pathogen is intracellular and can easily be propagated in culture. Indirect immunofluorescence is performed to detect and quantitate titer of antibodies specific for these cell-associated antigens. When IFA is used, it is not necessary to purify the antigen. Cell-associated antigen, such as pathogens that are intracellular or nuclear antigen (in the case of the antinuclear antibody test), are present in cells that are fixed onto slides. The serum to be evaluated is then diluted serially and applied to wells containing the cells. The application of a fluorescein-conjugated secondary antibody then allows binding of the conjugate to antibodies present in the serum that have recognized epitopes present on the antigen. Several washing steps must be properly performed for this technique to yield reliable information.

The technique of direct immunofluorescence also has diagnostic application. In direct immunofluorescence, the

sample comes from the patient and consists of cells or tissues rather then serum. A known fluorochrome-conjugated serum is used to detect the antigen of interest. For example, conjunctival cells or transitional epithelial cells from the bladder of canine distemper-infected dogs can be stained with FITC-antidistemper antibodies to demonstrate viral infection. Another application of this technique involves diagnosis of autoimmune disease and is included under the discussion of autoimmunity. Figure 6-6 shows conjunctival cells from a cat infected with herpesvirus (direct FA). For detection of IgG or C3, deposition in blood vessels of patient's that have immune complex disease direct FA is often used. Deposition of IgG in a kidney glomerulus is shown in Figure 6-7. Indirect immunofluorescence is used to demonstrate the presence and measure the titer of antinuclear antibodies, as shown in Figure 6-8.

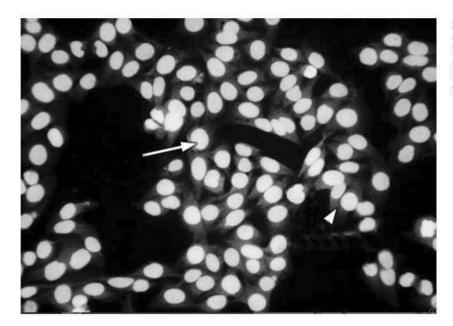


FIGURE 6-8 Indirect immunofluorescence demonstrates the presence of antinuclear antibodies in serum of a dog with systemic lupus erythematosus. Arrow points to nuclear fluorescence in HEP-2 cells; arrowhead shows nonfluorescence of cytoplasm.

F. Flow Cytometry

Flow cytometry uses fluorochromes conjugated to specific antisera to identify and quantitate cells of various types. This is an application of immunofluorescence. It is most commonly used to identify populations of cells, such as T cells and dendritic dells. The availability of antisera specific for activation markers, such as CD25 (IL-2 receptor), makes it possible to evaluate activated versus resting cells. This technique has broad application for diagnosis of a variety of immunological, neoplastic, and infectious diseases. Diagnosis of viral immunodeficiency (SAIDS, FIV) often utilizes flow cytometry to determine ratios of CD4+ to CD8+ cells. An example of data from flow cytometry is shown in Figure 6-9.

G. Enzyme-Linked Immunosorbent Assay (ELISA)

Enzyme-linked immunosorbent assay (ELISA) is the primary binding assay that has become a standard for many diagnostic tests since the 1980s. It is used both as a quantitative assay for serum antibodies to a variety of antigens and as a quick test for a simple positive or negative answer to the question of whether or not a patient is infected with a particular pathogen. Because the ELISA is a primary binding assay, it is very sensitive. The specificity of each ELISA depends on the quality and specificity of the reagents used. The technique has the ability to be both very specific and very powerful if appropriately configured. There are two main configurations, one for detection of antibody and the other for detection of antigen (Fig. 6-10a). In the indirect form of ELISA, antigen is detected using a "catching" antibody attached to a solid substrate, most often a microtiter plate. The sample is added

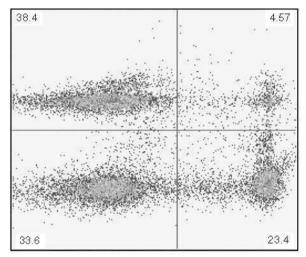


FIGURE 6-9 Plot from flow cytometry analysis. The plot shows cells stained for CD4 and CD8. The y-axis shows cells stained for CD4 with the fluorochrome FITC, and the x-axis shows cells stained to detect CD8+ cells with another fluorochrome, Alexa 647. These cells are from bovine afferent lymph. This sample has 38.4% CD4+ cells and 23.4% CD8+ cells. A population of cells (33.6%) is neither CD4 nor CD8 cells.

and it is detected after a series of incubation and wash steps by another antibody specific for the antigen, either conjugated with an enzyme, or another variation, such as biotin (which is followed with addition of an enzyme attached to avidin). Addition of the substrate for the enzyme produces a colored product, whose optical density is determined by spectrophotometry. In the direct format, the antigen coats the solid substrate and serum is added to that. Antibodies present bind the antigen and are then detected by specific antisera recognizing the species-specific antibody. This technique has the advantage of allowing for selection of a particular class of antibody, such as IgM or IgE, by using heavy

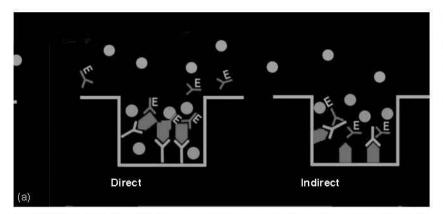
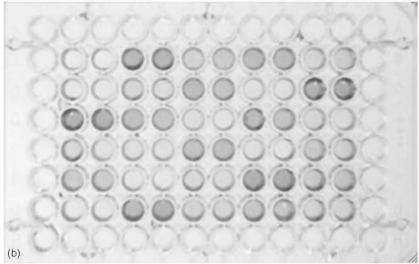


FIGURE 6-10 (a) Schematic demonstrating direct and indirect ELISA. Direct ELISA measures antigen-specific antibody; indirect ELISA measures antigen. (b) Photograph of 96 well ELISA plate: darkest color indicates most positive reaction. Quantitation is performed by measuring optical density of well contents.



chain-specific antisera as detection reagents. The appearance of both direct and indirect ELISA in which intense color equates with a positive result is shown in Figure 6-10b. A less commonly used configuration of ELISA is the competitive ELISA. This type of ELISA can be constructed to detect either antigen or antibody. It utilizes an enzyme-labeled ligand (antigen or antibody), which then competes with its unlabeled counterpart in the patient's serum. Such an assay shows color (higher O.D.) when the sample is negative. Thus, it is important for those interpreting ELISA to fully understand what constitutes a negative and a positive sample. The use of appropriate controls makes this of minor concern.

H. Immunohistochemistry/ Immunoperoxidase Techniques

Just as immunofluorescence can be used to evaluate serum antibodies or antigen present in tissue or on cells, the technique of enzyme immunoassay is also applied to tissue sections for identification of cell populations and demonstration of antigens in tissues. Immunoperoxidase detection of antigens has the advantage that the tissue sections can be examined with a standard microscope, whereas the immunofluorescence-based testing requires utilization

of mercury lamps, dichroic mirrors, and special filters for excitation of the fluorochrome and for visualization of the emitted fluorescence. For immunoperoxidase evaluation of tissue antigens, an enzyme tagged antiserum is used to bind the antigen, then the substrate for the enzyme is added. The substrate is chosen so that it changes color when hydrolyzed by the enzyme. Thus, a colored product is deposited permanently in the tissue. In general, direct immunofluorescence and immunohistochemistry can be used for the same type of determinations. Figure 6-11 shows a canine lung section infected with canine distemper virus using immunoperoxidase staining with anti-canine distemper virus (CDV) antibody.

I. Western Blot Analysis

Western blot immunoassay is performed when it is desirable to determine which antigens in a mixture are binding with antibodies in test sera. It has great value when it is necessary to discriminate between antibodies produced in response to vaccination and those produced as a result of infection. If a subunit vaccine is used, this technique can be successfully applied. Some of the newer "designer" vaccines use only one or two protective epitopes to immunize.

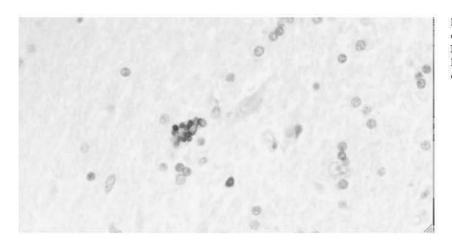


FIGURE 6-11 Immunoperoxidase staining of dog lung infected with canine distemper virus. Brown staining indicates the presence of intracellular virus as shown with horseradish peroxidase conjugated anti-CDV and substrate.

In this case, it is possible to evaluate serum using the Western blot method to identify to which of multiple proteins present in a pathogen a patient has responded. For example, in the vaccine for Lyme disease, if a dog has bands on Western blot for numerous *Borrelia burgdorferi* proteins, it is likely to be infected. However, the presence of only antibodies to Osp A (the protein containing protective epitopes that is present in the vaccine) indicates a vaccinated uninfected dog. The Western blot has also been used to differentiate between *Sarcocystis neurona* exposed and infected horses in patients suspected of having equine protozoal myelitis (EPM). Figure 6-12 shows an EPM Western blot.

To perform a Western blot assay, the antigen preparation must first be separated into its protein components using polyacrylamide gel electrophoresis (PAGE). This technique denatures the disulfide bonds and causes proteins to move through the gel according to their molecular weight. After the antigen is separated, the gel is blotted electrophoretically onto a nitrocellulose membrane, which is then probed with the patient sera and ultimately an enzyme-conjugated secondary antibody and substrate to visualize the bands representing proteins recognized by antibodies in the patient's serum. It is possible, by using specific conjugated antisera, to identify which antibody isotypes are binding (IgM, IgG, IgA, or IgE).

J. How Do the Sensitivities of Different Immunoassays Compare?

Generally the gel diffusion-based assays such as double and single radial diffusion are much less sensitive that the primary binding assays like ELISA. This is because the former require not only binding of antigen to antibody but also the formation of an appropriate size insoluble precipitate for detection visually. Recently an ELISA kit has been developed to test for antibodies to equine infectious anemia. The traditional gold standard EIA assay is based

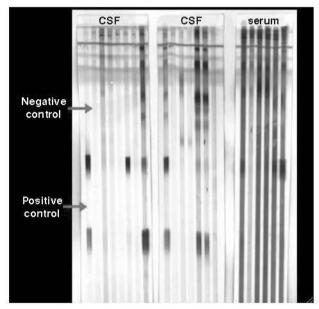


FIGURE 6-12 Western blot for equine protozoal myelitis. Sarcocystis neurona antigen was separated by SDS-PAGE, blotted to nitrocellulose, and probed with either sera or CSF. Bands on positive and negative control strips are compared to those on test strips.

on gel diffusion. The use of these two tests represents an interesting comparison in sensitivity between test methods, as the gel diffusion-type tests are far less sensitive than the primary binding assays like ELISA. Generally a negative ELISA for EIA is an acceptable result, but a positive is tested again by the Coggin's test to avoid false positives in the diagnosis of this important reportable equine infectious disease. The agglutination assays are generally between the gel diffusion and ELISA-type assays in sensitivity, and the immunofluorescence assays are less sensitive than ELISA but more sensitive than the gel diffusion-type assays. These comments are generalities, and each test will depend on the quality of the reagents used for both sensitivity and specificity.

K. Interpretation of Immune Responses to Pathogens

Diagnosis of infection with viral, bacterial, or parasitic pathogens can be determined by isolation of the agent, identification of pathogen nucleic acid using PCR methods, or by examination of the immune response to the suspected pathogen. The assays performed to detect an immune response to pathogens utilize the immunological principles described previously in this chapter. When immunological methods are used, it is most often necessary to obtain paired serum samples. These are acute and convalescent; samples are taken when the animal is first presented to the veterinarian and again in 2 to 3 weeks. Performance of the assay on both samples at the same time eliminates much variation from day-to-day fluctuations. Generally an increase in titer that is greater than two dilutions is evidence for induction of an immune response and hence (in the absence of recent vaccination) infection. When the initial sample is negative for antibodies to the pathogen and then becomes strongly positive, the patient is said to have undergone seroconversion.

Evaluation of the immune response to vaccination is currently of particular interest because immunologists are advising against routine vaccination annually. Yet it is important that immunity to diseases for which vaccination is performed is present. Thus, monitoring the titer of the antibody response has become more common (Schultz, 2006). When evaluating the immune response to virus, the ELISA is often used and so is the serum neutralization test. These assays evaluate different aspects of the immune response. The ELISA is a primary binding assay, which evaluates antibody levels without regard to function. The serum neutralization assay measures functional antibody and is better suited to determine protective levels of antibody to viral pathogens. Thus, for determination of protective antibody in response to vaccination, use of the serum neutralization (SVN) assay is most likely to equate to protection.

Many reference laboratories now have data that allow for a prediction for immune status based on serological titers.

Determination of antibody levels against *Sarcocystic neurona* is done using a combination of Western blot on serum and cerebrospinal fluid and indirect immunofluorescence with patient serum for diagnosis of equine protozoal myelopathy. For this disease, the presence of antibodies against *S. neurona* in the serum indicates exposure but not necessarily infection. Evaluation of the cerebrospinal fluid for the presence of antibody is preferable to detect infection.

VI. LABORATORY DIAGNOSIS OF DISEASES WITH AN IMMUNOLOGICAL PATHOGENESIS

A. Autoimmune Diseases

The use of the diagnostic clinical immunology tools is generally focused on the diagnosis or prevention of infectious

disease, diagnosis of immune deficiency, detection of autoimmune responses, and diagnosis of hypersensitivity conditions. A variety of immune tests are used to help establish a diagnosis of autoimmune disease. Several examples follow.

Autoimmune hemolytic anemia is characterized by the presence of a Coombs' positive anemia. The Coombs' test evaluates the presence of autoantibody bound to patient erythrocytes by provision of a cross-linking antibody to facilitate agglutination of the antibody-bearing cells. This assay allows for the detection of so-called incomplete antibody. In the indirect Coombs' test, the patient's serum is examined for the presence of antibody that will bind to erythrocytes and agglutinate them when a secondary antiglobulin is added. Both tests can be employed to evaluate immune-mediated anemia.

The often-related disease autoimmune thrombocytopenia (AITP) can be tested for by staining a bone marrow biopsy with fluorescein-conjugated antisera specific for immunoglobulin (from the species of interest). The stained section is then observed for fluorescence of megakaryocytes, indicating the presence of antimegakaryocyte antibodies.

The systemic autoimmune disease systemic lupus erythematosus (SLE) requires a positive antinuclear antibody test diagnosis. Although the ANA test is sensitive, it is not specific. Nevertheless, antinuclear antibody titers of greater than 50 are present in SLE. Sometimes a lupus erythematosus (LE) cell may be observed; these are neutrophils that have engulfed opsonized nuclei. Such an observation is essentially pathognomic for SLE. In human medicine, a variety of other measurements for the presence of antinuclear antibodies are made. Some of these have been occasionally reported in domestic animal species (Gershwin, 2005; Smee, 2007).

Development of autoantibodies against components of the thyroid gland is associated with hypothyroidism caused by autoimmune thyroiditis, although sensitized T lymphocytes are also important in pathogenesis of the disease. Recognition of the antithyroid antibodies using indirect immunofluorescence with serum from the patient and normal thyroid tissue has been used to demonstrate the presence and titer of these antibodies. Similar assays can be performed on pancreas to detect the presence of anti-islet cell antibodies in patients with type I diabetes mellitus. One form of the neuromuscular disease myasthenia gravis develops as a result of immune destruction of the acetylcholine receptor at the neuromuscular junction. Detection of autoantibodies binding these receptors can be accomplished using patient sera in indirect immunofluorescence or immunohistochemistry.

B. Primary Immune Deficiency Diseases

Primary immune deficiency diseases are caused by a genetic defect that creates a malfunction or absence of a component or components of the immune system. Several such diseases were discussed previously in this chapter. Clinical signs of the disease generally in the young animal

Function	Assay	Description
General T cell responsiveness	Lymphocyte stimulation	Peripheral blood lymphocytes (PBL) are stimulated <i>in vitro</i> with T cell mitogens or antigen
T cell subset enumeration	Flow cytometry	CD4 and CD8 specific monoclonal antibodies label PBL; intracellular cytokine production is measured with anti-IL-4 and anti-IFN •
In vitro production of interferon •	ELISPOT or ELISA for IFN •	Cells are evaluated for production of TH1 cytokine IFN • after in vitro incubation with antigen
NK cell assay	Chromium release assay	Chromium ⁵¹ -labeled tumor cell line is incubated with patient's lymphocytes with tumor cell line (not from patient)

provide the stimulus for initiation of testing to determine the nature of the problem. For diseases such as bovine leukocyte adhesion deficiency (BLAD), the use of flow cytometry on patient cells can demonstrate the lack of the CD18 molecule (Gu, 2004).

Other primary deficiencies requiring laboratory diagnosis include the combined immunodeficiency disease severe combined immunodeficiency (SCID) that occurs in Arabian horses. This disease results from a defect in the DNA-dependent protein kinase gene caused by a mutation (Perryman, 2000). This mutation prevents the production of a functional enzyme that is required for formation of the B and T cell antigen receptors. Without these receptors, foals are unable to respond to antigenic stimuli. Clinical signs begin to appear as the maternal immunity wanes, usually at about 8 weeks of age. Immunological diagnosis requires SRD testing of serum for IgM levels and enumeration of lymphocytes in the peripheral blood. Confirmation of the genetic defect can be accomplished using polymerase chain reaction (PCR) to detect the five-base-pair deletion. The homozygous condition is present in clinically affected foals. A similar defect has been identified in the Jack Russell terrier breed of dogs.

Other primary immune defects such as selective immunodeficiencies are recognized in various animal species. These include selective immunoglobulin deficiencies, such as IgA deficiency, seen in German shepherd and shar-pei dogs, or IgM deficiency in horses. Single radial diffusion quantitation is the assay of choice for diagnosis of these conditions. The patient with selective complete or partial deficiency of IgA will have levels of IgA less than normal (see Table 6-1).

T cell deficiencies have also been reported in several species. Functional T cell defects are most commonly identified using the lymphocyte stimulation assay (Table 6-3). Stimulation of patient and control peripheral blood lymphocytes with plant mitogens, such as concanavalin A or phyto-hemagglutinin, cause proliferation, which is measurable either by incorporation of tritiated thymidine or

with a colorimetric readout. Comparison of the stimulated cells of the patient with unstimulated cells of the patient yields a stimulation index. The same data are collected from the normal control for comparison. Although it is a fairly crude measure of T cell function, this assay has been successfully used to demonstrate diminished T cell function in animal patients.

Another method for evaluation of the T cell response involves incubation of the patient's T cells with specific antigen, or mitogen, in vitro. ELISA is later used to evaluate the supernatant from the cultured cells for the presence of interferon •. This technique was initially introduced as a kit for diagnosis of Mycobacterium paratuberculosis infection (Johne's disease), but it is easily adaptable to other antigens.

C. Secondary Immunodeficiencies

Secondary immunodeficiency is not a genetic disease. Patients that develop secondary immunodeficiency are often adult at onset. A common cause of secondary immunodeficiency is viral infection. Immunodeficiency viruses, such as feline immunodeficiency virus, cause a loss of CD4+ helper T cells, which prevents the patient from mounting a normal immune response. Infection with a variety of organisms that are not normally important as pathogens is common for immunosuppressed patients. Other viruses such as canine distemper and bovine viral diarrhea virus cause lymphocyte depletion and depressed immunity. Other causes of secondary immunodeficiency include parasitism, poor nutrition, and neoplasia. Diagnosis of depressed immune function can be accomplished using lymphocyte stimulation for T cell function and response to antigen challenge (such as increase in titer following routine vaccination). Depression of total immunoglobulin levels may not be helpful for short-term immunosuppression because of the relatively long half-life of IgG.

Failure of passive antibody transfer is a form of immune deficiency, albeit temporary. When a foal, calf,

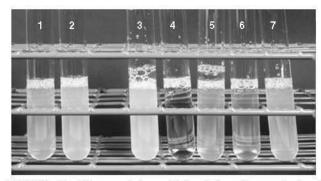


FIGURE 6-13 Tubes containing a solution of zinc sulfate are incubated with serum from neonatal foals to evaluate the quantity of passively transferred maternal IgG. Increased turbulence correlates with more IgG.

or piglet fails to suckle or obtains insufficient antibody, it will be susceptible to disease during the neonatal period. It is imperative to determine if sufficient antibody has been acquired. If this is done before the intestinal epithelium closes (before 18 hours of age), oral supplementation can be used. There are several tests to evaluate serum IgG levels in a semiquantitative but quick way. Several companies make kits based on ELISA technology, but a simple method that uses zinc sulfate turbidity is adequate. Serum of the foal is mixed with a solution of zinc sulfate. This test relies on binding of the sulfate to the IgG and formation of a precipitate. The more IgG in the serum, the greater the opacity of the mixture in the tube. An example is shown in Figure 6-13. The SRD is far more accurate, but the zinc sulfate test provides a rough estimate within less than an hour, thereby allowing oral supplementation of colostrums to occur within the window of time that it can be effective. An example of this method is shown in Figure 6-13.

D. Hypersensitivity Diseases

The diseases known collectively as hypersensitivities are grouped into four categories based on the mechanism that causes the pathology. These are types I to IV (Gell and Coombs). Type I hypersensitivity is the classic allergy, characterized by clinical signs ranging from systemic anaphylactic shock to allergic rhinitis and asthma. The mechanism involved in the pathogenesis of type I disease is IgE mediated. IgE antibodies, having a high affinity for mast cell receptors, bind to these cells and release mediators, such as histamine, upon contact with antigen/allergen. Arachidonic acid metabolism is also initiated through phospholipase activation and leukotriene and prostaglandin mediator synthesis occurs subsequent to the allergic stimulation. Laboratory diagnosis of type I hypersensitivity relies on testing serum for allergen-specific IgE, generally by ELISA. The availability of anti-IgE reagents for the various domestic animal species has made this diagnostic tool available in recent years. In addition, there is a commercially available service that uses a cloned alpha chain of the human IgE receptor to detect IgE bound to allergen in an ELISA format. Traditionally, intradermal skin testing has been used to determine which allergens are causing the allergic response. Many veterinary dermatologists use this method with good success.

Type II hypersensitivity occurs when IgG or IgM antibodies bind to a cell surface and fix complement. When the cell is an erythrocyte, the ultimate result is immunemediated anemia. The Coombs' test described on page 169 for antibody bound to erythrocyte surfaces is the standard agglutination-type assay used to detect incomplete antibodies causing erythrocyte destruction. Erythrocyte destruction in the affected patient results from either complement-mediated lysis or removal by fixed phagocytes lining splenic sinusoids. Other type II hypersensitivities that do not involve erythrocytes include the skin diseases of the pemphigus complex and the neuromuscular disease, myasthenia gravis (both are autoimmune). For laboratory diagnosis of these diseases, immunofluorescence or immunohistochemistry is often used to demonstrate the deposition of immunoglobulin or complement within the lesion of affected skin (pemphigus) or on cells with acetylcholine receptors within the neuromuscular junction (myasthenia gravis).

Type III hypersensitivities are caused by immune complex formation. The antigens involved are soluble. Most commonly immune complexes form in chronic diseases in which antigen persists in the circulation despite a vigorous immune response (e.g., equine infectious anemia) and in certain autoimmune diseases (e.g., systemic lupus erythematosus) in which antinuclear antibodies are made and bind to various nuclear components from cell debris. In these cases, deposition of immune complexes within the small blood vessels, such as the kidney glomerulus as shown in Figure 6-7, is common. Detection of immune complex deposition by immunofluorescence is diagnostic for their presence.

Type IV hypersensitivity, unlike the previously discussed types I to III, is not mediated by antibody but is caused by development of sensitized T lymphocytes specific for the antigen. The classic example is the intradermal skin test for tuberculosis, which shows an erythemic-indurated lesion at the site of injection of tuberculin after 48 to 72 hours in infected individuals. The ELISA for interferon gamma can be used to evaluate T cell reactivity to antigens thought to be responsible for a type IV response.

VII. MODULATION OF THE IMMUNE RESPONSE

Adjuvants in vaccines have been used for many years to increase the immune response to vaccine antigen. In recent years, increased understanding of the immune response has resulted in the emergence of new modalities for immune modulation, not only for responses to vaccine antigens but

also for therapy of disease. Toll-like receptors (specifically TLR 10), described previously in this chapter, bind to nucleotides. The oligonucleotides containing cytocine-quaninemotifs in the unmethylated form as they occur in bacteria modulate the immune response toward the T helper type 1 response. Thus, recent experiments have been performed using these synthetic CpG oligonucleotides to either alter the response in a vaccine toward cellular rather than humoral immunity or in some cases to attempt to alter the strong T helper cell type 2 response that occurs in allergy (Wilson, 2006).

Another recent advance in immune modulation is the use of recombinant cytokines or their receptors to alter the immune response. For example, inclusion of the gene for interferon • in a vectored viral vaccine facilitates the development of a cellular immune response to the antigens expressed by the vaccine. Therapy for tumor patients has utilized recombinant IL-2 (the cytokine that stimulates growth and proliferation of T cells) to enhance development of T cells specific for tumor antigens. This technique usually involves *in vitro* incubation of the patient's T cells with the recombinant IL-2 then readministration of the cells to the patient (Tizard, 2008).

The field of immune modulation is advancing rapidly and should be expected to yield useful prophylactic and therapeutic regimens for the future. Receptor antagonists, such as for interleukin 4, may diminish the progress of type 1 hypersensitivity. Similarly antagonists for some of the proinflammatory cytokines show promise for control of arthritic conditions.

VIII. SUMMARY

The current status of clinical immunology in veterinary medicine is better than it has ever been. The availability of species-specific reagents has made it possible to develop and apply a variety of immune assays previously only possible in humans and laboratory mice. These advances facilitate recognition of previously undiagnosable diseases in domestic animals and further the understanding of the pathogenesis of immune-mediated, neoplastic, and infectious diseases of domestic animals.

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The Erythrocyte: Physiology, Metabolism, and Biochemical Disorders

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I. INTRODUCTION

Mammalian erythrocytes or red blood cells (RBCs) are anucleate cells that normally circulate for several months in blood despite limited synthetic capacities and repeated exposures to mechanical and metabolic insults. Their primary purpose is to carry hemoglobin (Hb), a heme-containing protein that accounts for more than 90% of the protein within RBCs (Quigley et al., 2004). The benefits of having Hb contained within cells, as opposed to free in plasma, include the much slower turnover in blood (free Hb has a half-life of only a few hours), the metabolic capability of RBCs to maintain iron in Hb in the functional ferrous state, and the ability to control Hb oxygen affinity by altering the concentrations of organic phosphates, especially 2,3-diphosphoglycerate (2,3DPG). In addition, the presence of free Hb in plasma in concentrations normally found in whole blood would exert an osmotic pressure several times greater than that normally exerted by plasma proteins, profoundly affecting the movement of fluid between the vascular system and tissues.

A. Species Differences in Erythrocyte Shape

Most RBCs in normal dogs, cats, horses, cattle, and sheep occur in the shape of biconcave disks (discocytes). The degree of biconcavity is most pronounced in dogs and less so in cats and horses. RBCs from goats generally have a flat surface with little surface depression; a variety of irregularly shaped RBCs (poikilocytes) may be present in clinically normal goats (Jain, 1986). The apparent benefit of the biconcave shape is that it gives RBCs high surface area-to-volume ratios and allows for deformations that must take place as they circulate.

Marked acanthocytosis is reported to occur in young goats (Holman and Drew, 1964) and some young cattle (McGillivray et al., 1985; Sato and Mizuno, 1982). Acanthocytosis of young goats reportedly occurs as a result of the presence of HbC at this stage of development (Jain et al., 1980). Normal adult angora goats (Jain et al., 1980; Jain and Kono, 1977) and some breeds of British sheep (Evans, 1968) have variable numbers of fusiform or spindle-shaped RBCs that resemble sickle cells (drepanocytes) in normal deer and people with sickle cell anemia (Taylor, 1983). Drepanocyte formation in deer depends on the Hb types present. It is an in vitro phenomenon that occurs when oxygen tension is high and pH is between 7.6 and 7.8 (Taylor, 1983). The proportion of fusiform cells in angora goats varies depending on the individual and in vitro alterations in temperature, pH, and oxygenation, but the tendency to form fusiform cells could not be attributed to differences in Hb type (Jain and Kono, 1977). Echinocytosis is a consistent artifact in stained blood films from pigs (Harvey, 2001).

B. Functions of RBCs

The RBC functions of oxygen transport, carbon dioxide transport, and hydrogen ion buffering are interrelated. Each Hb tetramer can bind four molecules of oxygen when fully saturated, forming oxyhemoglobin (OxyHb). Assuming a normal arterial pO $_2$ of 100mmHg and an Hb concentration of 150g/l (15g/dl) in blood, the presence of Hb-containing RBCs increases the oxygen carrying capacity of blood approximately 70 times that which could be transported dissolved in plasma (West, 1985).

Approximately 10% of CO_2 is transported dissolved in blood, 5% to 10% is transported bound to amine groups of blood proteins, and 80% to 85% is transported in the form of bicarbonate in normal individuals (Hsia, 1998; Jensen, 2004). Carbonic acid is formed when dissolved CO_2 combines with water. This reaction occurs nonenzymatically but is accelerated by the presence of the carbonic anhydrase (CA), also called carbonate dehydratase, enzyme in RBCs. Bicarbonate is formed by the rapid spontaneous dissociation of carbonic acid as shown:

$$\mathrm{H_2O} + \mathrm{CO_2} \longleftarrow^{\mathrm{CA}} \rightarrow \mathrm{H_2CO_3} \longleftarrow \rightarrow \mathrm{H^+} + \mathrm{HCO_3^-}$$

Hb potentiates the formation of bicarbonate by buffering hydrogen ions and shifting the equilibrium of the reaction to the right. Carbamino groups are formed by the combination of CO₂ with the terminal groups of proteins. The globin of Hb is the most important blood protein in this regard. The transportation of CO₂ from the tissues to the lungs as carbamino groups is potentiated because deoxyhemoglobin (DeoxyHb) binds twice as much CO₂ as OxyHb. The formation of carbamino groups can be represented as follows:

$$Hb-NH_2+CO_2 \leftrightarrow Hb-NHCOOH \leftrightarrow Hb-NHCOO^- + H^+$$

Hb is the most important protein buffer in blood because it occurs in high concentration, has a relatively low molecular weight, and has a large number of histidine residues with pK_a values close to 7.4, enabling them to function as effective buffers. It has about six times the buffering capacity of the plasma proteins. An additional factor of importance in contributing to the effectiveness of Hb as a blood buffer is the fact that DeoxyHb is a weaker acid than OxyHb. As a result, most of the H^+ produced in the tissues under normal conditions is buffered as a direct result of the H^+ uptake by DeoxyHb owing to an increase in effective pK_a of Hb following release of oxygen to the tissues (West, 1985).

II. HEMATOPOIESIS

A. Stem Cells and Progenitor Cells

Primitive hematopoietic stem cells (HSCs) appear to develop in the embryo from a common precursor cell for both endothelial and hematopoietic lineages. The first HSCs appear to develop as clusters of cells in the wall of the dorsal aorta, with subsequent development in the yolk sac, placenta, and fetal liver (Baron and Fraser, 2005). Beginning in midgestation and continuing throughout postnatal life, mammalian blood cells are produced continuously from HSCs within extravascular spaces of the bone marrow. HSCs are capable of proliferation, life-long self-renewal, and differentiation. HSCs replicate only once every 8 to 10 weeks (Abkowitz et al., 2002). The term hematopoietic progenitor cell (HPC) refers to cells that form colonies in bone marrow culture like HSCs but do not have long-term self-renewal capacities. HSCs and HPCs are mononuclear cells that cannot be distinguished morphologically from lymphocytes. The presence of a transmembrane glycoprotein termed cluster of differentiation antigen 34 (CD34) has been used to identify HSCs and early HPCs, but some HSCs (possibly inactive ones) lack CD34 (Gangenahalli et al., 2006). In addition, CD34 is also present on the surface of nonhematopoietic stem cells and vascular endothelial cells (Kucia et al., 2005; Wu et al., 2005). CD34 is believed to play a role in cell adhesion (Gangenahalli et al., 2006).

The most primitive HSC has the capacity to differentiate into HPCs of all blood cell lineages and several cell types in tissue. The frequency of HSCs in the marrow is estimated to be <0.01% of nucleated marrow cells in adult mice and <0.0001% of nucleated marrow cells in adult cats (Abkowitz *et al.*, 2002). HSCs produce HPCs that can give rise to one or more blood cell types. HPCs are much more numerous in marrow than are HSCs. Less than 2% of nucleated bone marrow cells in adult dogs are CD34⁺, but up to 18% CD34⁺ cells have been reported in neonatal pups (Faldyna *et al.*, 2003; Suter *et al.*, 2004). A common lymphoid progenitor cell is believed to give rise to B lymphocytes, T lymphocytes, lymphoid dendritic cells, and natural killer cells (Blom and Spits, 2006). A common myeloid

II. Hematopoiesis

progenitor cell is believed to give rise to all nonlymphoid blood cells, as well as macrophages, myeloid dendritic cells, osteoclasts, and mast cells (Kaushansky, 2006a). HPCs proliferate with higher frequency than do HSCs, but the self-renewal capabilities of HPCs decrease as progressive differentiation and cell lineage restrictions occur. When measured in an in vitro cell culture assay, HPCs are referred to as colony-forming units (CFUs). HPCs that rapidly proliferate, retain their ability to migrate, and form multiple subcolonies around a larger central colony in culture are called burst-forming units (BFUs). Colony-forming unit-granulocyte, RBC, macrophage, megakaryocyte (CFU-GEMM) is a tetrapotential HPC that has been studied extensively in vitro. The existence of a bipotential HPC (CFU-GM) that is the precursor of both neutrophils (and possibly other granulocytes) and monocytes is well established, and recent studies indicate the likelihood of a bipotential HPC for RBCs and megakaryocytes (Kaushansky, 2006a).

B. Hematopoietic Microenvironment

Blood cell production occurs throughout life in the bone marrow of adult animals because of the unique microenvironment present there. The hematopoietic microenvironment is a complex meshwork composed of stromal (fibroblast-like) cells, endothelial cells, adipocytes, macrophages, subsets of lymphocytes, natural killer cells, and osteoblasts; extracellular matrix components; and glycoprotein growth factors that profoundly affect HSC and HPC engraftment, survival, proliferation, and differentiation (Abboud and Lichtman, 2006).

Stromal cells and endothelial cells produce components of the extracellular matrix (ECM), including collagen fibers, basement membranes of vessels and vascular sinuses, proteoglycans, and glycoproteins. In addition to providing structural support, the ECM is important in the binding of hematopoietic cells and soluble growth factors to stromal cells and other cells in the microenvironment so that optimal proliferation and differentiation can occur by virtue of these cell-cell interactions.

Collagen fibers produced by stromal cells may not have direct stimulatory effects on hematopoiesis but rather are permissive, promoting hematopoiesis by forming an inert scaffolding around which the other elements of the microenvironment are organized. Hematopoietic cells can adhere to collagen types I and VI.

Adhesion molecules (most importantly β_1 -integrins) on the surface of hematopoietic cells bind to ECM glycoproteins such as vascular cell adhesion molecule-1 (VCAM-1), hemonectin, fibronectin, laminin, tenascin, vitronectin, and thrombospondin. The spectrum of expression of adhesion molecules on hematopoietic cells that will differentially bind to these ECM glycoproteins varies with the type, maturity, and activation state of the hematopoietic cells. In

addition to anchoring cells to a given microenvironmental niche, binding of adhesion molecules on hematopoietic cells also plays a role in cell regulation by direct activation of signal pathways for cell growth, survival, and differentiation or by modulating responses to growth factors.

Proteoglycans consist of a protein core with repeating carbohydrate glycosaminoglycans (GAGs) attached. Major proteoglycans in the marrow include heparan sulfate, chondroitin sulfate, hyaluronic acid, and dermatan sulfate. Proteoglycans enhance hematopoiesis by trapping soluble growth factors in the vicinity of hematopoietic cells and by strengthening the binding of hematopoietic cells to the stroma

Hematopoietic cells develop in microenvironmental niches within the marrow. HSCs are concentrated near trabecular bone where osteoblasts help regulate their numbers (Yin and Li, 2006). Erythroid cells develop around macrophages, megakaryocytes form adjacent to sinusoidal endothelial cells, and granulocyte development is associated with stromal cells located away from the vascular sinuses (Abboud and Lichtman, 2006; Kaushansky, 2006a).

C. Hematopoietic Growth Factors

Proliferation of HSCs and HPCs cannot occur spontaneously but requires the presence of specific hematopoietic growth factors (HGFs) that may be produced locally in the bone marrow (paracrine or autocrine) or produced by peripheral tissues and transported to the marrow through the blood (endocrine). All cells in the hematopoietic microenvironment, including the hematopoietic cells themselves, produce HGFs or inhibitors of hematopoiesis. Some HGFs have been called poietins (erythropoietin and thrombopoietin). Other growth factors have been classified as colonystimulating factors (CSFs) based on *in vitro* culture studies. Finally, some HGFs have been described as interleukins (ILs) (Kaushansky, 2006b).

Hematopoietic cells coexpress receptors for more than one HGF on their surface. The number of each receptor type present depends on the stage of cell activation and differentiation. Binding of an HGF to its receptor results in a series of enzymatic reactions that generate signals that promote the synthesis of molecules that inhibit apoptosis, the formation of cell-cycle regulators (cyclins), and the synthesis of additional HGFs and their receptors (Kaushansky, 2006b).

HGFs vary in the type(s) of HSCs or HPCs that they can stimulate to proliferate. Factors are often synergistic in their effects on hematopoietic cells. In some instances, an HGF may not directly stimulate the proliferation of a given cell type but may potentiate its proliferation by inducing the expression of membrane receptors for HGFs that do stimulate proliferation. Some glycoproteins such as IL-1 and tumor necrosis factor- α (TNF- α) can modulate hematopoiesis indirectly by stimulating marrow stromal cells,

endothelial cells, and T cells to produce HGFs. Different combinations of HGFs regulate the growth of different types of HSCs or HPCs (Kaushansky, 2006a).

Early-acting HGFs are involved with triggering dormant (G_O) primitive HSCs to begin cycling. Stem cell factor (SCF), flt3 ligand (FL), and thrombopoietin are important early factors that act in combination with one or more other cytokines such as IL-3, IL-6, IL-11, and granulocyte-CSF (G-CSF).

Intermediate-acting HGFs have broad specificity. IL-3 (multi-CSF), granulocyte-macrophage-CSF (GM-CSF), and IL-4 support proliferation of multipotent HPCs. These factors also interact with late-acting factors to stimulate proliferation of a wide variety of committed progenitor cells. Late-acting HGFs have restricted specificity. Macrophage-CSF (M-CSF), G-CSF, erythropoietin, thrombopoietin, and IL-5 are more restrictive in their actions. They have their most potent effects on committed progenitor cells and later stages of development when cell lines can be recognized morphologically (Kaushansky, 2006b).

D. Erythropoiesis

1. Primitive Eruthropoiesis

Primitive RBC production begins and predominates in the yolk-sac but also occurs later in the liver and bone marrow. Primitive RBCs are large (>400 fl in humans) generally nucleated cells with high nuclear-to-cytoplasmic ratios. Their nuclei have open (noncondensed) chromatin, and their cytoplasm contains predominantly embryonal Hb with high oxygen affinity (Segel and Palis, 2006; Tiedemann, 1977; Tsuji-Takayama et al., 2006). Like nonmammalian species, primitive RBCs enter blood as nucleated cells, but in contrast to nonmammalian species, enucleation can eventually occur in the circulation (Kingsley et al., 2004). Primitive RBCs appear to be generated in an erythropoietin (Epo)independent manner, but their expansion and survival require Epo (Tsuji-Takayama et al., 2006). A switch to definitive erythropoiesis occurs during fetal development. Definitive erythropoiesis results in the production of smaller cells that generally extrude their nuclei before entering blood, produce fetal Hb (in some species) and adult Hb, and are highly dependent on Epo (Tsuji-Takayama et al., 2006).

2. Definitive Erythropoiesis

Oligopotent progenitor cells (including CFU-GEMM cells) are stimulated to proliferate and differentiate into BFU-E by SCF, IL-3, and GM-CSF in the presence of Epo. BFU-E proliferation and differentiation into CFU-E results from the presence of these same factors and may be further potentiated by additional growth factors. Epo is the primary growth factor involved in the proliferation and differentiation of CFU-E into rubriblasts, the first morphologically recognizable

erythroid cells. CFU-E cells are more responsive to Epo than are BFU-E cells because CFU-E cells exhibit greater numbers of surface receptors for Epo (Sawada *et al.*, 1990).

Marrow macrophages are important components of the hematopoietic microenvironment involved with erythropoiesis. Both early and late stages of erythroid development occur with intimate membrane apposition to central macrophages in so-called blood islands. Several adhesion molecules are important in forming these blood islands (Chasis, 2006). These central macrophages may regulate basal RBC production by producing both positive growth factors, including Epo, and negative factors such as IL-1, TNF- α , transforming growth factor- β , and interferon- α , - β , and - γ (Chasis, 2006; Weiss and Goodnough, 2005; Zermati et al., 2000). The finding that Epo can also be produced by erythroid progenitors suggests that these cells may support erythropoiesis by autocrine stimulation (Stopka et al., 1998). Although some degree of basal regulation of erythropoiesis occurs within the marrow microenvironment, humoral regulation is important, with Epo production occurring primarily within peritubular interstitial cells of the kidney and various inhibitory cytokines being produced at sites of inflammation throughout the body.

3. Erythropoietin

Epo is a 34kDa glycoprotein hormone that exhibits a high degree of sequence homology among mammals (Wen et al., 1993). It is the principal HGF that promotes the viability, proliferation, and differentiation of erythroid progenitor cells expressing specific cell surface Epo receptors. The main mechanism used to achieve these effects is inhibition of apoptosis. The binding of Epo to its receptor results in autophosphorylation of the receptor and the activation of several kinases that initiate multiple signaling pathways (Eckardt and Kurtz, 2005). Early BFU-E cells do not express Epo receptors, but more mature BFU-E cells express Epo receptors and are responsive to Epo. Epo receptor copies on cell surfaces increase to maximum values in CFU-E cells and then decline in rubriblasts and continue to decrease in later stages of erythroid development (Porter and Goldberg, 1993; Prchal, 2006). Because of their Epo receptor density, CFU-E cells readily respond to Epo, promoting their proliferation, differentiation, and transformation into rubriblasts, the first morphologically recognizable erythroid cell type. High concentrations of Epo may accelerate rubriblast entry into the first mitotic division, shortening the marrow transit time and resulting in the early release of stress reticulocytes (Prchal, 2006).

In the presence of Epo, other hormones including androgens, glucocorticoid hormones, growth hormone, insulin, and insulin-like growth factors (IGFs) can enhance the growth of erythroid progenitor cells *in vitro* (Leberbauer *et al.*, 2005; Miyagawa *et al.*, 2000). However, growth factors may have additional effects *in vivo*. For example,

growth hormone and IGF-1 are reported to decrease Epo synthesis in rat kidneys (Sohmiya and Kato, 2005). Glucocorticoids promote the differentiation of embryonic stem cells to hematopoietic cells and prolong the proliferation of erythroid progenitor cells but reduce the rate of spontaneous differentiation and terminal maturation of erythroid cells (Leberbauer *et al.*, 2005; Srivastava *et al.*, 2006). Glucocorticoids appear to be important in stress erythropoiesis (e.g., following hemorrhage or increased RBC destruction) when a substantial increase in erythropoiesis is required (Bauer *et al.*, 1999). The thyroid hormone 3,5,3'-triiodothyronine (T3) promotes the differentiation and maturation of erythroid cells toward enucleated RBCs (Leberbauer *et al.*, 2005). Thyroid hormones may also promote the synthesis of Epo in the kidney (Ma *et al.*, 2004).

Epo production in adult mammals occurs primarily within peritubular interstitial cells that are located within the inner cortex and outer medulla of the kidney. The liver is an extrarenal source of Epo in adults and the major site of Epo production in the mammalian fetus (Jelkmann, 2007). Bone marrow macrophages and erythroid progenitor cells themselves have also been shown to produce Epo, suggesting the possibility of short-range regulation of erythropoiesis (Stopka *et al.*, 1998; Vogt *et al.*, 1989).

The ability to deliver oxygen to the tissues depends on cardiovascular integrity, oxygen content in arterial blood, and Hb oxygen affinity. Low oxygen content in the blood can result from low partial pressure of oxygen (pO₂) in arterial blood, as occurs with high altitudes or with congenital heart defects in which some of the blood flow bypasses the pulmonary circulation. Low oxygen content in blood can also be present when arterial pO₂ is normal, as occurs with anemia and methemoglobinemia. An increased oxygen affinity of Hb within RBCs results in a decreased tendency to release oxygen to the tissues (McCully *et al.*, 1999).

Epo production is stimulated by tissue hypoxia, which is mediated by hypoxia-inducible factors (HIFs) that are heterodimers consisting of α and β subunits. An α subunit denoted 2α is most important in Epo production, at least for definitive erythropoiesis. Both α and β subunits are continuously translated, but α subunits are labile and regulated by tissue oxygen levels. At normal tissue oxygen levels in tissue (pO₂ > 36 mmHg), α subunits are hydroxylated by prolyl hydroxylases, polyubiquitinated, and removed by proteasomal degradation. When tissue oxygen levels are low $(pO_2 < 36 \,\mathrm{mmHg})$, α subunits are no longer hydroxylated and degraded, allowing them to translocate into the nucleus and combine with β subunits to form heterodimeric transcription factors. These HIF heterodimers activate the transcription of the Epo gene, and many other target genes, by binding to the hypoxia responsive elements (HREs) in their promoter/enhancer regions. Binding to the Epo gene results in increased Epo synthesis when tissue hypoxia is present (Gruber et al., 2007; Jelkmann, 2007).

Other tissues also exhibit Epo receptors, and Epo also stimulates nonhematopoietic actions including promoting proliferation and migration of endothelial cells, enhancing neovascularization, stimulating the production of modulators of vascular tone, and exerting cardioprotective and neuroprotective effects (Jelkmann, 2007).

III. DEVELOPING ERYTHROID CELLS

A. Morphological and Metabolic Changes

Rubriblasts are large cells (approximately 900 fl in humans) that are continuously generated from progenitor cells in the extravascular space of the bone marrow. The division of a rubriblast initiates a series of approximately 5 divisions over a period of 3 to 5 days to produce about 32 metarubricytes that are no longer capable of division (Prchal, 2006). These divisions are called maturational divisions because there is a progressive maturation of the nucleus and cytoplasm concomitant with the divisions. Each division yields a smaller cell with greater nuclear condensation and increased Hb synthesis. An immature RBC, termed a *reticulocyte*, is formed following extrusion of the nucleus (Harvey, 2001).

Early precursors have intensely blue cytoplasm, when stained with Romanowsky-type bloodstains, owing to the presence of many basophilic ribosomes and polyribosomes that are actively synthesizing globin chains and smaller amounts of other proteins. As the cells are nonsecretory, rough endoplasmic reticulum is scant and limited to early erythroid precursors (Bessis, 1973). Hb progressively accumulates in these cells, imparting a red coloration to the cytoplasm. Cells with both red and blue coloration are described as having polychromatophilic cytoplasm (Harvey, 2001). Kinetics of erythroid cells and changes in biochemical and metabolic pathways are depicted in Figure 7-1; time intervals were determined for cattle (Rudolph and Kaneko, 1971).

B. Iron Metabolism

Erythroid precursors have iron requirements that far exceed the iron requirements of any other cell type because of the need for Hb synthesis. Developing erythroid cells generally extract about 75% of the iron circulating in plasma (Smith, 1997).

1. Transferrin and Transferrin Receptors

Plasma iron is bound to apotransferrin, a beta globulin that can maximally bind two atoms of ferric iron per molecule. The proportion of apo-, mono-, and diferric forms of transferrin present in serum depends on the percentage saturation of transferrin with iron. Diferric transferrin is more efficient than monoferric transferrin in delivering iron

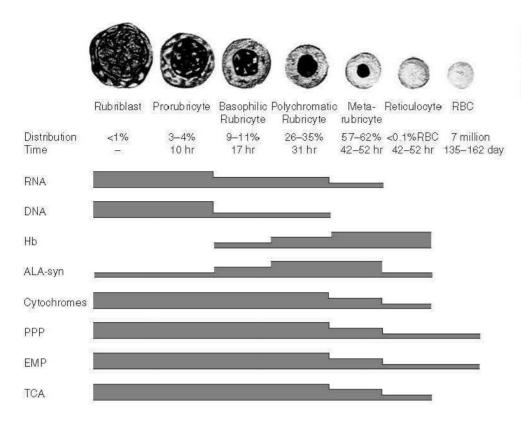


FIGURE 7-1 Summary of metabolic activities of the erythroid series. Maturation progresses from left to right. The time intervals indicated are for cattle. From Kaneko, 1980, with permission.

to cells, because it binds with higher affinity to receptors and can deliver twice the iron per molecule of transferrin incorporated (Huebers et al., 1985). Transferrin molecules transport iron to erythroid cells and bind to transferrin receptor-1 (TfR1) on cell surfaces. Transferrin-TfR1 complexes localize to clathrin-coated pits, which invaginate to initiate endocytosis (Beutler, 2006). After the complexes are internalized as endosomes, a proton pump decreases the pH in the endosome, resulting in conformational changes in the proteins and subsequent release of Fe⁺³ ions from transferrin. Following reduction with NADPH-dependent ferrireductase, Fe⁺² is exported from the endosome using divalent metal transporter-1 (Ohgami et al., 2005). The resultant apotransferrin-TfR1 complex is recycled to the cell membrane, where apotransferrin is released from the cell, and the receptor is again available for binding additional transferrin molecules.

2. Intracellular Iron Transport

A direct interorganelle transfer of iron occurs between endosomes and mitochondria (Sheftel *et al.*, 2007). Most iron within mitochondria is incorporated into protoporphyrin IX to form heme, but some mitochondrial iron is used to synthesize iron-sulfur complexes that are important prosthetic groups for numerous proteins involved in electron transfer, metabolic, and regulatory processes (Lill *et al.*, 2006). Some iron is presumably released from endosomes into a cytoplasmic labile iron pool (LIP). Various chaperone

molecules have been proposed, but the nature of the iron in the LIP remains enigmatic (Beutler, 2006).

3. Ferritin

Iron not required for iron-sulfur complex or heme synthesis is stored as ferritin within the cytoplasm. Each ferritin molecule is composed of a protein shell of 24 apoferritin subunits surrounding a central core containing as many as 4000 iron atoms as ferric hydroxide (Arosio and Levi, 2002). Individual ferritin molecules can be visualized by electron microscopy, and large aggregates of ferritin molecules can be visualized by light microscopy when stained for iron using the Prussian blue reaction. When membrane bound, ferritin aggregates have been called siderosomes (Bessis, 1973). Iron stored as ferritin is not available for Hb synthesis in erythroid cells (Ponka et al., 1998).

4. Iron Regulation of Transferrin Receptor and Ferritin Expression

Apoferritin, TfR1, and erythroid-specific 5-aminolevulinic acid synthase (eALAS) synthesis are regulated by the LIP concentration. An increase in the LIP stimulates apoferritin synthesis and inhibits TfR1 expression to minimize the potential of iron toxicity to the cell. A decrease in the cytoplasmic LIP results in decreased apoferritin synthesis and increased TfR1 expression on cell surfaces to maximize iron uptake and use for heme synthesis (Beutler, 2006). RBCs

coordinate protoporphyrin IX formation with the availability of iron by increasing the synthesis of eALAS (rate limiting enzyme in porphyrin synthesis) when the LIP is high and decreasing eALAS synthesis when the LIP is low.

5. Siderotic Inclusions in Erythroid Cells

Anucleated RBCs containing siderotic (iron-positive) inclusions are called siderocytes. Nucleated siderocytes have been called sideroblasts in human hematology, in which terminology used for RBC precursors is generally different from that conventionally used in veterinary hematology (Bottomley, 2004). Siderotic inclusions in erythroid cells may consist of cytoplasmic ferritin aggregates or ironloaded mitochondria. Ferritin aggregates can occur normally in nucleated erythroid cells of humans (Cartwright and Deiss, 1975), dogs (Feldman et al., 1981), and pigs (Deiss et al., 1966), but the presence of iron-loaded mitochondria is a pathological finding (Cartwright and Deiss, 1975). Electron microscopy is used to definitively identify the nature of siderotic inclusions (Fresco, 1981; Hammond et al., 1969); however, the location of iron-positive inclusions in a ring around the nucleus of a nucleated siderocyte (termed ringed sideroblast in human hematology) strongly suggests the presence of iron-loaded mitochondria (Bottomley, 2004). Conditions resulting in the pathological iron accumulation in mitochondria may induce the synthesis of a novel mitochondrial ferritin (Torti and Torti, 2002).

Except for iron deficiency, disorders in heme synthesis have the potential to cause excess iron accumulation in mitochondria (Beutler, 1995b; Fairbanks and Beutler, 1995). Experimental pyridoxine deficiency and experimental chronic copper deficiency have both resulted in mitochondrial iron overload in nucleated erythroid cells in bone marrow of deficient pigs (Hammond *et al.*, 1969; Lee *et al.*, 1968a). Drugs or chemicals reported to cause siderocytes or nucleated siderocytes in dogs include chloramphenicol (Harvey *et al.*, 1985), lead, hydroxyzine, zinc (Harvey, 2001), and an oxazolidinone antibiotic (Lund and Brown, 1997).

Siderotic inclusions in erythroid cells have been recognized in some dogs and cats with myeloproliferative disorders (Blue et al., 1988; Weiss and Lulich, 1999). Acquired dyserythropoiesis with siderocytes have been reported in dogs in which specific etiologies could not be determined, although some of these animals had inflammatory disorders (Canfield et al., 1987; Weiss, 2005). Congenital anemias with ringed nucleated siderocytes have been reported in humans (Bottomley, 2006). Persistent siderotic inclusions have been recognized in microcytic hypochromic erythrocytes from an English bulldog. Erythrocytes also contained Heinz bodies and rare hemoglobin crystals (Harvey et al., 2007). A congenital defect resulting in mitochondrial iron overload and secondary oxidant injury was suspected, but not identified. Refer to Chapter 9 for more information concerning iron metabolism.

C. Hb Synthesis

Hb is a tetrameric protein consisting of four polypeptide globin chains each of which contains a heme prosthetic group within a hydrophobic pocket. The molecule consists of two identical alpha and two nonalpha chains that are generally classified as beta chains in adults.

1. Heme Synthesis and Metabolism

Heme is a planar molecule composed of the tetrapyrrole protoporphyrin IX, containing a central ferrous molecule. The initial rate-controlling step in heme synthesis, the eALAS reaction, occurs within mitochondria (see Chapter 8). Glycine and the Krebs cycle intermediate succinyl-CoA are utilized as substrates, and vitamin B₆, as pyridoxal phosphate, is required as a cofactor. The ALA formed is transported to the cytoplasm where a series of reactions results in the formation of coproporphyrinogen III, which must enter the mitochondria, presumably using an ATP-binding cassette transporter ABCB6 (Krishnamurthy *et al.*, 2006) for the final steps in heme synthesis. The final reaction, catalyzed by heme synthetase, involves the insertion of ferrous iron into protoporphyrin IX.

Following synthesis, heme must be transferred from mitochondria to the cytoplasm for combination with globin chains to complete the synthesis of Hb. The mitochondrial heme exporter has not been identified at this time. Free heme is poorly soluble in water and can bind to and damage cellular components (Kumar and Bandyopadhyay, 2005). It apparently is bound to cytosolic proteins for transport to sites of globin chain synthesis (Kumar and Bandyopadhyay, 2005; Taketani *et al.*, 1998). Sometime after its synthesis, the iron moiety of heme is oxidized (presumably spontaneously) to the ferric state and is then more specifically called ferriheme (Schulman *et al.*, 1974).

Heme affects erythroid cell metabolism in different ways depending on the stage of maturation. It stimulates iron uptake and heme synthesis in early erythroid cells, but it inhibits iron uptake and heme synthesis in reticulocytes (Abraham, 1991; Battistini et al., 1991). A cellular heme exporter termed feline leukemia virus subgroup C cellular receptor (FLVCR) is up-regulated on colony-forming units-erythroid (CFU-E) progenitor cells. It may provide a safety mechanism to prevent the accumulation of toxic amounts of cytoplasmic heme before globin synthesis is initiated.

2. Globin Synthesis

The synthesis of polypeptide globin monomers occurs in association with ribosomes and polyribosomes in the cytoplasm. Evidence has been provided indicating that binding of a partially unstructured apo- β chain to a tightly folded holo- α chain to form a heme-deficient dimer is the initial step of Hb assembly. Such binding locks the β chain in a highly ordered conformation, which allows for an efficient

heme acquisition by the β chain. (Griffith and Kaltashov, 2003). Free α globin chains are highly unstable and tend to aggregate within the cell and generate reactive oxygen species through chemical reactions catalyzed by their hemebound iron molecules. Fortunately, free α globin chains are stabilized by binding to alpha Hb stabilizing protein (AHSP) until they combine with free β globin chains to form stable α - β dimers (Weiss et~al., 2005). Two like α - β dimers spontaneously combine in a readily reversible manner to form Hb tetramers (Bunn, 1987). Newly synthesized Hb is in the form of methemoglobin (MetHb) (Schulman et~al., 1974).

3. Control of Hb Synthesis

Even though three different pathways are required for Hb synthesis in RBC precursors and reticulocytes, minimal intermediates (iron, globin chains, or heme) accumulate in the cytoplasm of these cells. Several positive and negative feedback mechanisms account for the balanced production of these Hb components. As already discussed, an increase in the LIP limits the uptake of additional iron by decreasing TfR1 synthesis. The availability of iron also limits and, thereby controls, heme synthesis. Free "uncommitted" heme inhibits iron uptake by erythroid cells and consequently heme synthesis. In addition, free heme is essential for the synthesis of globin chains at both the transcriptional and translational levels (Koury and Ponka, 2004). Consequently, globin synthesis does not occur in the absence of heme.

4. Hb Tupes in Animals

Hb types are different in animal and human embryos than in fetuses or in adults. Embryonal Hbs are composed of either one or two pairs of peptide chains not found in adult Hbs (Kitchen and Brett, 1974). In higher primates, embryonal Hbs are replaced by fetal Hb composed of 2 α chains and 2 γ chains (HbF) in the fetus, followed by Hbs with 2 α chains and 2 β chains (HbA) or 2 α chains and 2 δ chains (HbA₂) after birth. The γ globin gene was deleted during evolution in artiodactyls (even-toed ungulates). The γ globin gene functions as an embryonic gene in other nonartiodactyl mammals. Among nonprimate mammals, a specific fetal globin gene is expressed only in artiodactyls. In this lineage, a duplicated β globin gene ($\beta^{\rm F}$) is expressed in the fetus, rather than a γ globin gene. The entire β globin gene locus was duplicated in cattle and HbB sheep and triplicated in goats and HbA sheep during evolution (Gumucio et al., 1996). Most fetal Hb in cattle $(\alpha_2 \beta_2^F)$ is replaced by adult Hb types during the first 3 weeks after birth (Lee et al., 1971). Embryonal Hbs are replaced by adult Hb types during the fetal period in cats, dogs, horses, and pigs. Consequently, Hb types present in fetuses are identical to those found in adults in these species (Bunn and Kitchen, 1973; Kitchen and Brett, 1974).

In addition to expressing fetal Hb $(\alpha_2\beta_2^F)$ in the fetus, most goats and some sheep express a juvenile Hb $(\alpha_2\beta_2^F)$

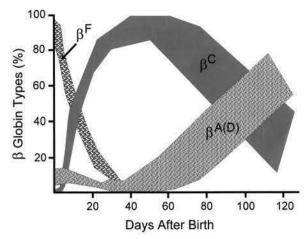


FIGURE 7-2 Changes in proportion of β globin types in Hb from five newborn goats during the first 120 days of life. Fetal Hb contains β^F . HbC contains β^C . HbD differs from HbA by a single amino acid substitution in position 21 of their β chains, β^D and β^A , respectively. Modification of a figure by Huisman *et al.*, 1969.

called HbC during the neonatal period that is subsequently replaced with adult Hb types. Sheep that produce adult HbA $(\alpha_2\beta_2^{\text{A}})$ express variable amounts (generally less than 10%) of HbC during the neonatal period, but sheep that produce adult HbB $(\alpha_2\beta_2^{\text{B}})$ do not express HbC in the neonatal period (Huisman *et al.*, 1969; Yu *et al.*, 2005). Fetal Hb in the newborn goat is replaced almost entirely by HbC during the first 40 days of life (Fig. 7-2), and then HbC is gradually replaced by adult Hbs (Huisman *et al.*, 1969).

The switch from production of fetal Hb to the production of adult Hb appears to result from an inherent programming of hematopoietic stem cells (Wood et al., 1985). In contrast, HbC synthesis appears to be mediated by Epo, which is low at birth and increases after birth as the hematocrit decreases (see Section V.C) (Barker et al., 1980; Huisman et al., 1969). Adult sheep RBCs contain little or no HbC, but adult goat RBCs may have as much as 10% HbC (Huisman et al., 1969). Sheep and goats that synthesize HbC during the neonatal period will also increase HbC synthesis in anemic adults and in nonanemic adults treated with Epo (Vestri et al., 1983; Yu et al., 2005). Most goats and sheep with HbA have 12 genes in the β -globin locus, including the β^{C} gene. Cattle and sheep with HbB have 8 genes in the β -globin locus and lack the β^{C} gene (Garner and Lingrel, 1988). HbC was not reported to occur in three breeds of Omani goats after birth even though they were reported to be either homozygous for HbA or heterozygous for HbA and HbB (Johnson et al., 2002). The composition of the β -globin locus was not investigated in these animals.

The α -globin genes have been duplicated in humans and other mammals. Triplication of α -globin genes is relatively common in sheep and quadruplication of genes also occurs. There is an expression gradient of α -globin protein and α -globin mRNA levels produced by duplicated and triplicated

 α -globin genes, based on their location in the α -globin gene cluster. Gene expression progressively decreases from 5' to 3' (Vestri *et al.*, 1994). Compared to sheep with duplicated α -globin genes, sheep with triplicated α -globin genes produce excess α -globin, resulting in an unbalanced alpha/beta ratio and larger RBCs with increased osmotic fragility (Pieragostini *et al.*, 2003).

Considerable heterogeneity of Hb types occurs in adult animals. Two or more Hb types are reported to occur in domestic animal species (Braend, 1988; Kitchen, 1974; Kohn et al., 1998, 1999; Pirastru et al., 2003; Rando and Masina, 1985). Most polymorphism of animal Hbs is determined genetically and is usually caused by multiple amino acid interchanges (Kitchen, 1974). Hb types were initially classified based on electrophoretic mobility in gels. Electrophoretic differences can result from differences in α or β chain structure. For example, HbA and HbB in sheep are attributable to differences in β chains (Garner and Lingrel, 1988), but HbA and HbB in goats are attributed to differences in α chains (Huisman and Kitchens, 1968; Pieragostini et al., 2005). Electrophoretic mobility in gels is not sensitive enough to identify many α and β chain variants, and distinctly different Hb tetramers can migrate with similar mobilities, resulting in misleading information. For example, HbB $(\alpha_2^B \beta_2^A)$ and HbD $(\alpha_2^A \beta_2^D)$ in goats have almost the same mobility at alkaline pH (Pieragostini et al., 2005).

Nongenetic alterations in Hb structure can also contribute to apparent Hb heterogeneity. Examples include the Nacetylation of beta chains in cat HbB (Taketa *et al.*, 1972) and glycosylation of Hb, a function of intracellular glucose concentration and RBC life span (Higgins *et al.*, 1982; Rendell *et al.*, 1985). Increased glycosylation of Hb has been reported in diabetic dogs and cats (Elliott *et al.*, 1997, 1999). Similar to the glycosylation of Hb by glucose, cyanate combines spontaneously and irreversibly with Hb to form carbamylated Hb. Cyanate forms spontaneously from urea. Dogs with chronic renal failure and long-term increases in urea concentration have larger amounts of carbamylated Hb than dogs with acute renal failure (Heiene *et al.*, 2001).

D. Reticulocytes

1. Formation

Most reticulocytes are formed from metarubricytes within the extravascular space of the bone marrow by a process of nuclear extrusion that requires functional microtubules (Chasis *et al.*, 1989) and is likened to mitosis (Bessis, 1973; Simpson and Kling, 1967). The erythroblast macrophage protein (Emp) associates with F-actin and is important in denucleation of metarubricytes, as well as blood island formation (Soni *et al.*, 2006). Extruded nuclei quickly expose phosphatidylserine on their surfaces, which promotes their binding to, and phagocytosis by, blood island macrophages (Yoshida *et al.*, 2005).

Reticulocyte cytoplasm contains ribosomes, polyribosomes, and mitochondria necessary for the completion of Hb synthesis. Reticulocytes derive their name from a network or reticulum that appears when stained with basic dyes such as methylene blue and brilliant cresyl green. That network is not preexisting but is an artifact formed by the precipitation of ribosomal ribonucleic acids and proteins. As reticulocytes mature, the amount of ribosomal material decreases until only a few basophilic specks can be visualized with reticulocyte staining procedures. These mature reticulocytes have been referred to as type IV (Houwen, 1992) or punctate reticulocytes (Alsaker, 1977; Perkins et al., 1995). To reduce the chance that a staining artifact would result in misclassifying a mature RBC as a punctate reticulocyte using a reticulocyte stain, the cell being evaluated should have two or more discrete blue granules that are visible without requiring fine focus adjustment of the cell to be classified as a punctate reticulocyte.

2. Metabolism

Reticulocyte metabolism and maturation have been reviewed by Rapoport (1986) and are summarized here. Immature reticulocytes continue to synthesize protein (primarily globin chains) with residual mRNA, tRNA, and rRNA formed before denucleation. About 30% of total Hb is synthesized after nuclear extrusion (Geminard et al., 2002). Synthesis of fatty acids is minimal, but phospholipids are synthesized from preformed fatty acids. Substrates for protein and lipid synthesis and for energy metabolism are provided from endogenous sources (breakdown of mitochondria and ribosomes) as well as from plasma. The reticulocyte can synthesize adenine and guanine nucleotides de novo (Rapoport, 1986).

Most ATP is generated in reticulocytes by oxidative phosphorylation in mitochondria. Glucose is the major substrate, but amino acids and fatty acids can also be utilized for energy (Rapoport, 1986).

3. Maturation into RBCs

Reticulocyte maturation into mature RBCs is a gradual process that requires a variable number of days depending on the species involved. Consequently, the morphological and physiological properties of reticulocytes vary with the stage of maturation. Early reticulocytes have polylobulated surfaces. The cell surface undergoes extensive remodeling with loss of membrane material and ultimately the formation of the biconcave shape of mature RBCs (Bessis, 1973). The loss of membrane protein and lipid components requires ATP and involves the formation of intracellular multivesicular endosomes that fuse with the plasma membrane releasing vesicles (exosomes) extracellularly (Geminard *et al.*, 2002). This is a highly selective process in which some proteins (e.g., TfR1 and fibronectin receptor) are lost and cytoskeletal proteins (e.g., spectrin) and firmly bound transmembrane

proteins (e.g., the anion transporter and glycophorin A) are retained and concentrated (Geminard et al., 2002; Ponka et al., 1998). The heat shock protein 70 (Hsp70) is concentrated in exosomes and may promote the formation of exosomes during reticulocyte maturation (Jeong et al., 2005). Some membrane proteins such as the nucleoside transporter, glucose transporter, Na,K-ATPase, insulin receptor, and adrenergic receptors decrease to variable degrees depending on the species involved (Chasis et al., 1989; Geminard et al., 2002). Examples of reticulocytes from a species that exhibit a complete or nearly complete loss of a protein that is retained in mature RBCs from other species include the adenosine transporter in sheep (Jarvis and Young, 1982), the glucose transporter in pigs (Zeidler and Kim, 1982), and Na,K-ATPase in dogs (Maede and Inaba, 1985).

Although loss of membrane components accounts for much of the change in membrane protein composition during reticulocyte maturation, certain proteins such as protein 4.1 and glycophorin C increase because they are still being synthesized in reticulocytes (Chasis *et al.*, 1989). These membrane alterations result in increased mechanical stability of blood reticulocyte and RBC membranes compared to marrow reticulocyte and nucleated erythroid cell membranes (Waugh *et al.*, 2001).

Mitochondria undergo degenerative changes in a programmed death phenomenon (mitoptosis) owing to 15-lipoxygenase attack and subsequent ATP-dependent proteolysis (Geminard *et al.*, 2002). Degenerating mitochondria are either digested or extruded following entrapment in structures resembling autophagic vacuoles (Simpson and Kling, 1968). The polysomes separate into monosomes and decrease in number and disappear as reticulocytes mature into RBCs. The degradation of ribosomes appears to be energy dependent; it presumably involves proteases and RNAases (Rapoport, 1986).

4. Species Differences in Marrow Release

Reticulocyte maturation begins in the bone marrow and is completed in the peripheral blood and spleen in dogs, cats, and pigs. As reticulocytes mature, they lose the surface receptors needed to adhere to fibronectin and thrombospondin components of the extracellular matrix, presumably facilitating their release from the bone marrow (Telen, 2000). Residual adhesion molecule receptors on newly released reticulocytes may explain their tendency to concentrate in the reticular meshwork of the spleen (Patel *et al.*, 1985).

Reticulocytes become progressively more deformable as they mature, a characteristic that also facilitates their release from the marrow (Waugh *et al.*, 2001). To exit the extravascular space of the marrow, reticulocytes press against the abluminal surfaces of endothelial cells that make up the sinus wall. Cytoplasm thins and small pores (0.5 to $2\,\mu\text{m}$) develop in endothelial cells, which allow reticulocytes to be pushed through by a small pressure gradient across the

sinus wall (Lichtman and Santillo, 1986; Waugh, 1991). Pores apparently close after cell passage.

Relatively immature aggregate-type reticulocytes are released from canine bone marrow; consequently, most of these cells appear polychromatophilic when viewed following routine blood film staining procedures (Laber et al., 1974). Absolute reticulocyte counts oscillate with a periodicity of approximately 14 days in some dogs, suggesting that canine erythropoiesis may have a homeostatically controlled physiological rhythm (Morley and Stohlman, 1969). Reticulocytes are normally not released from feline bone marrow until they mature to punctate-type reticulocytes; consequently, few or no aggregate reticulocytes (<0.4%), but up to 10% punctate reticulocytes, are found in blood from normal adult cats (Cramer and Lewis, 1972). The high percentage of punctate reticulocytes results from a long maturation time with delayed degradation of ribosomes (Fan et al., 1978). Reticulocytes are generally absent in peripheral blood of healthy adult cattle and goats, but a small number of punctate types (0.5%) may occur in adult sheep (Jain, 1986). Based on microscopic examination of blood films stained with new methylene blue, equine reticulocytes are absent from blood normally and rarely released in response to anemia. However, low numbers of reticulocytes have been reported in the blood of normal and anemic horses using an Advia 120 (Siemens Medical Solutions Diagnostics, Tarrytown, New York) automated analyzer (Cooper et al., 2005). Either the instrument is more sensitive than microscopic evaluation, or values reported in normal horses represent "noise" in the instrument.

5. "Stress" Reticulocytes

Except for horses, increased numbers of reticulocytes are released in response to anemia, with better responses to hemolytic anemia than to hemorrhage. When the degree of anemia is severe, basophilic macroreticulocytes, or so-called stress reticulocytes, may be released into blood. It is proposed that a generation in the maturation sequence is skipped and immature reticulocytes, about twice the normal size, are released (Rapoport, 1986). Increased Epo results in a diminution in the adventitial cell and endothelial cell barrier separating marrow hematopoietic cells from the sinus, thereby potentiating the premature release of stress reticulocytes from the marrow (Chamberlain et al., 1975). Although a portion of these macroreticulocytes apparently is rapidly removed from the circulation (Noble et al., 1990), it is clear from studies in cats that some can mature into macrocytic RBCs with relatively normal life spans (Weiser and Kociba, 1982).

E. Abnormalities in Erythroid Development

1. Ineffective Erythropoiesis

Ineffective erythropoiesis is a term used to describe the destruction of developing erythroid cells in marrow.

Normally, few die within the marrow (Odartchenko *et al.*, 1971), but ineffective erythropoiesis is prominent in disorders of nucleic acid, heme, or globin synthesis. Examples include folate deficiency, iron deficiency, vitamin B₆ deficiency, lead poisoning, and thalassemia in humans (Jandl, 1987). Ineffective erythropoiesis also occurs in association with myeloproliferative and myelodysplastic disorders (Meyer and Harvey, 2004) and congenital dyserythropoiesis (Holland *et al.*, 1991; Steffen *et al.*, 1992).

2. Vitamin and Mineral Deficiencies

Folate is required for normal DNA synthesis. Folate deficiency impairs the activity of the folate-requiring enzyme thymidylate synthase (Jandl, 1987). Not only is deoxythymidylate triphosphate (dTTP) synthesis decreased, but deoxyuridylate triphosphate (dUTP) accumulates secondarily in the cell such that some becomes incorporated into DNA in place of dTTP. Cycles of excision and attempts to repair these copy errors, with limited thymidine available, result in chromosomal breaks and malformations and slowing of the S phase in the cell cycle. Consequently, erythroid precursors are often large with deranged-appearing nuclear chromatin; such cells are classified as megaloblastic cells. Folate deficiency in people causes macrocytic anemia because fewer divisions occur as a result of retarded nucleic acid synthesis in the presence of normal protein synthesis (Jandl, 1987). Possible causes of folate deficiency include dietary deficiency, impaired absorption, and drugs that interfere with folate metabolism.

Macrocytic anemias resulting from folate deficiency are rarely reported in animals. A possible case was reported in a dog on anticonvulsant therapy (Lewis and Rebar, 1979), but serum folate was not measured. Megaloblastic precursors were present in bone marrow of cats with experimental dietary folate deficiency, but hematocrits and mean cell volumes (MCVs) remained normal (Thenen and Rasmussen, 1978). However, macrocytic anemia with dyserythropoiesis was reported in a cat that appeared to have both a folate-deficient diet and defective folate absorption (Myers *et al.*, 1996). Macrocytic anemia has been reported in folate-deficient pigs (Bush *et al.*, 1956), but not lambs (Stokstad, 1968).

Vitamin B₁₂ (cobalamin) deficiency in people causes hematological abnormalities similar to folate deficiency because vitamin B₁₂ is necessary for normal folate metabolism in humans (Chanarin *et al.*, 1985). In contrast, vitamin B₁₂ deficiency does not cause macrocytic anemia in any animal species (Chanarin *et al.*, 1985). Anemia has been reported in some experimental animal studies, but RBCs were of normal size (Stokstad, 1968; Underwood, 1977), although slight increases in MCV have been reported in B₁₂-deficient goats fed diets deficient in cobalt (Mgongo *et al.*, 1981). Cobalamin deficiency has been reported secondary to an inherited malabsorption of cobalamin in giant schnauzer dogs (Fyfe, 2000). Affected animals have normocytic,

nonregenerative anemia with increased anisocytosis and poikilocytosis, neutropenia with hypersegmented neutrophils, and giant platelets. Megaloblastic changes in the bone marrow were particularly evident in the myeloid cell line. The malabsorption of cobalamin in these dogs apparently results from the absence of an intrinsic factor-cobalamin receptor in the apical brush border of the ileum. No blood or bone marrow abnormalities were recognized in kittens fed a B₁₂-deficient diet for several months (Morris, 1977), but a normocytic nonregenerative anemia was present in a cobalamin-deficient cat that probably had an inherited disorder of cobalamin absorption (Vaden *et al.*, 1992).

A number of disorders exhibit macrocytic anemias with megaloblastic abnormalities in the marrow that mimic findings in human folate or cobalamin deficiency but have had normal serum levels of these vitamins when measured. Examples include cats infected with the feline leukemia virus (Dunn et al., 1984; Weiser and Kociba, 1983a), cattle with congenital dyserythropoiesis (Steffen et al., 1992), and myelodysplastic syndromes (Harvey, 2001). In addition, some miniature and toy poodles exhibit macrocytosis without anemia and variable megaloblastic abnormalities in the bone marrow with normal serum folate and cobalamin values (Canfield and Watson, 1989).

Abnormalities in heme or globin synthesis can result in the formation of microcytic hypochromic RBCs. Cellular division is normal, but Hb synthesis is delayed; consequently, one or more extra divisions occur in RBC development, resulting in smaller cells than normal.

Pyridoxine, vitamin B₆, is required for the first step in heme synthesis. Although natural cases of pyridoxine deficiency have not been documented in domestic animals, microcytic anemias with high serum iron values have been produced experimentally in dogs (McKibbin *et al.*, 1942), cats (Bai *et al.*, 1989; Carvalho da Silva *et al.*, 1959), and pigs (Deiss *et al.*, 1966) with dietary pyridoxine deficiency. Erythroid cells with iron-loaded mitochondria, secondary to impaired heme synthesis, have been demonstrated in pigs fed a pyridoxine-deficient diet (Hammond *et al.*, 1969).

With the exception of young growing animals, iron deficiency in domestic animals usually results from blood loss. Milk contains little iron; consequently, nursing animals can easily deplete their body iron store as they grow (Furugouri, 1972; Harvey *et al.*, 1987; Holter *et al.*, 1991; Siimes *et al.*, 1980). Microcytic RBCs are produced in response to iron deficiency (Holman and Drew, 1966; Holter *et al.*, 1991; Reece *et al.*, 1984), but a low MCV may not develop postnatally in species where the MCV is above adult values at birth (Weiser and Kociba, 1983b). The potential for development of severe iron deficiency in young animals appears to be less in species that begin to eat food at an early age.

Chronic iron deficiency anemia with microcytic RBCs is common in adult dogs in areas where hookworm and flea infestations are severe (Harvey *et al.*, 1982; Weiser and O'Grady, 1983). Severe iron deficiency appears to be

rare in adult cats (French et al., 1987; Fulton et al., 1988) and horses (Smith et al., 1986), but it occurs frequently in ruminants that are heavily parasitized with blood-sucking parasites such as *Haemonchus contortus*. Much more information concerning iron deficiency is given in Chapter 9.

Prolonged copper deficiency generally results in anemia in mammals (Auclair et al., 2006; Brewer, 1987; Lahey et al., 1952), although anemia was not a feature of experimental copper deficiency in the cat (Doong et al., 1983). The anemia is generally microcytic hypochromic; however, normocytic anemia has been reported in experimental studies in dogs, and normocytic or macrocytic anemias have been reported in cattle and adult sheep (Brewer, 1987). Copper deficiency results in impaired iron metabolism (Lee et al., 1968b). In experimental studies in pigs, serum iron concentration is low in early copper deficiency when iron stores are normal (Lahey et al., 1952; Lee et al., 1968b). Functional iron deficiency occurs because copper-containing proteins hephaestin and ceruloplasmin are required for normal iron transport (Lee et al., 1968a; Wessling-Resnick, 2006).

If experimental copper deficiency is prolonged in pigs, hyperferremia occurs and nucleated erythroid cells with cytoplasmic siderotic (iron-positive) inclusions increase in bone marrow (Lee *et al.*, 1968a). Reticulocyte mitochondria from copper-deficient pigs are unable to synthesize heme at the normal rate using Fe⁺³ (Williams *et al.*, 1976). A deficiency in copper-containing cytochrome oxidase within mitochondria may slow the reduction of Fe⁺³ to Fe⁺² within mitochondria. That would limit heme synthesis, which requires iron in the Fe⁺² state (Porra and Jones, 1963).

3. Deficiencies in Globin Synthesis

Hereditary deficiencies in synthesis of the globin α chain (α -thalassemia) and β chain (β -thalassemia) cause microcytic hypochromic anemias in humans with variable degrees of poikilocytosis (Weatherall, 2006). Both α - and β -thalassemia occur in mice, but thalassemias have not been reported in domestic animals.

4. Aplastic Anemia

Aplastic anemia is generally used to describe anemias where granulocytic, megakaryocytic, and erythrocytic cell lines are markedly reduced in the bone marrow. When only the erythroid cell line is reduced or absent, terms such as pure red cell aplasia, selective erythroid aplasia, or selective erythroid hypoplasia are used.

Many drugs have been incriminated in the production of aplastic anemia in humans (Shadduck, 1995). Drug-induced causes of aplastic anemia or generalized marrow hypoplasia in animals include estrogen toxicity in dogs, phenylbutazone toxicity in dogs and possibly a horse, trimethoprim-sulfadiazine administration in dogs, bracken fern poisoning in cattle and sheep, trichloroethylene-extracted soybean

meal in cattle, albendazole toxicity in dogs and cats, griseofulvin toxicity in cats, various cancer chemotherapeutic agents, and radiation. Thiacetarsamide, meclofenamic acid, and quinidine have also been incriminated as potential causes of aplastic anemia in dogs. In addition to exogenous estrogen toxicity, high levels of endogenous estrogens, produced by estrogen secreting tumors and functional cystic ovaries in dogs and prolonged estrus in ferrets, can result in aplastic anemia (Harvey, 2001). Parvovirus infections can cause erythroid hypoplasia, as well as myeloid hypoplasia in canine pups (Potgieter et al., 1981; Robinson et al., 1980), but animals may not become anemic, because of the long life spans of RBCs. Although some degree of marrow hypoplasia or dysplasia often occurs in cats with feline leukemia virus (FeLV) infections (Cotter, 1979), true aplastic anemia is not a well-documented sequela (Rojko and Olsen, 1984). Hypocellular bone marrow has been reported in cats experimentally co-infected with FeLV and feline parvovirus (Lutz et al., 1995). Dogs with acute Ehrlichia canis infections may spontaneously recover or develop chronic disease that generally exhibits some degree of marrow hypoplasia. Although rare, aplastic anemia may develop in association with severe chronic ehrlichiosis in dogs (Neer, 1998).

A retrospective review of cats with aplastic anemia identified 13 cases in which the clinical diagnoses included chronic renal failure (n=5), FeLV infection (n=2), hyperthyroidism treated with methimazole (n=1), and idiopathic aplastic anemia (n=5). The author suggested that starvation may have played a role in the development of marrow aplasia in some of these cats (Weiss, 2006). Idiopathic aplastic anemia has also been reported in dogs and horses (Harvey, 2001). CFU-E were not detected in bone marrow culture from a dog with an idiopathic aplastic anemia (Weiss and Christopher, 1985).

Other potential causes of aplastic anemia include congenital defects and primary immune-mediated disorders. Most cases of aplastic anemia in adult humans are immune mediated, with activated type 1 cytotoxic T cells implicated in the pathogenesis (Young *et al.*, 2006). The aberrant immune response may be triggered by environmental exposures, such as to chemicals and drugs or viral infections, and possibly by endogenous antigens generated by genetically altered bone marrow cells (Young, 2002).

5. Selective Erythroid Aplasia

Selective erythroid aplasia (pure red cell aplasia) occurs as either a congenital or acquired disorder in people. Acquired erythroid aplasia is often associated with abnormalities of the immune system. Erythroid aplasia may also occur secondary to disorders such as B-19 parvovirus infection, lymphoid malignancies, and drug or chemical toxicities (Erslev and Soltan, 1996).

Acquired erythroid hypoplasia or aplasia occurs in dogs (Stokol et al., 2000; Weiss, 1986). Some cases have

immune-mediated etiologies based on positive responses to immunosuppressive therapy and the presence of antibodies that inhibit CFU-E development in marrow cultures (Weiss, 1986). Acquired, presumably immune-mediated, erythroid aplasia has also been reported in FeLV-negative cats (Stokol and Blue, 1999).

Selective erythroid aplasia occurs in cats infected with FeLV subgroup C (FeLV-C), but not in cats infected only with subgroups A or B (Riedel *et al.*, 1986). CFU-E numbers are markedly decreased but BFU-E numbers are normal in infected cats, indicating that FeLV-C inhibits differentiation of BFU-E into CFU-E (Abkowitz, 1991). The cell surface receptor for FeLV-C is called FLVCR. This receptor has recently been demonstrated to be a heme exporter (Quigley *et al.*, 2004). Free heme is toxic to cells, and it is hypothesized that the binding of FeLV-C to FLVCR on CFU-E progenitor cells inhibits heme export from these cells, resulting in their destruction (Quigley *et al.*, 2004).

High doses of chloramphenicol cause reversible erythroid hypoplasia in some dogs (Watson, 1977) and erythroid aplasia in cats (Watson and Middleton, 1978). Marked erythroid hypoplasia has been reported in dogs, cats, and horses given recombinant human erythropoietin (Cowgill *et al.*, 1998; Piercy *et al.*, 1998; Woods *et al.*, 1997). Antibodies made against this human recombinant glycoprotein apparently cross-react with the animals' endogenous erythropoietin.

IV. MATURE RBC

Values for RBC glucose utilization, ion concentrations, and survival times in normal animals are given in Table 7-1. Enzyme activities are given in Tables 7-2 and 7-3, and chemical constituents in RBCs are given in Tables 7-4 and 7-5. These are not, however, comprehensive lists. Other values are provided by Friedemann and Rapoport (1974) and in various chapters of a reference book edited by Agar and Board (1983). Anemia induced by phlebotomy or by hemolytic drugs produces changes in many of the previously stated values owing to the influx of young RBCs into the circulation in response to the anemia (Agar and Board, 1983). Methods for enzyme assays vary considerably; consequently, each laboratory will need to establish its own reference intervals if enzyme studies are to be done.

A. Membrane Structure

The RBC membrane is composed of a hydrophobic lipid bilayer with a protein skeletal meshwork attached to its inner surface by binding to integral (transmembrane) proteins (Fig. 7-3). Membrane proteins from RBCs have been numbered by their migration location (Smith, 1987) on sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE); some have also been given one or more

TABLE 7-1	TABLE 7-1 Erythrocyte Glucose Utilization, Ion Concentrations, and Survival Times of Various Mammals					
Species	RBC Glucose	RBC Na ⁺ (mmol/liter)	RBC K ⁺ (mmol/liter)	RBC S		

Species	RBC Glucose Utilization (µmol/h/ml)	RBC Na ⁺ (mmol/liter)	RBC K ⁺ (mmol/liter)	RBC Survival (Days)
Human	1.48 ± 0.11 (1)	6.2 ± 0.8 (7)	102 ± 3.9 (7)	120 (11)
Dog	1.33 ± 0.12 (1)	92.8 ± 11.1 (8)	5.7 ± 1.0 (8)	100 (11)
Cat	0.94 ± 0.09 (1)	105.8 ± 14.4 (8)	5.9 ± 1.9 (8)	72 (11)
Horse	0.64 ± 0.10 (1)	10.4 ± 1.8 (9)	120 ± 11.1 (9)	143 (11)
Cattle	0.56 ± 0.05 (2)	79.1 ± 14.6 (8)	22.0 ± 4.5 (8)	130 (11)
Sheep	0.69 ± 0.19 (3)	HK ⁺ , 17.1 (10) LK ⁺ , 73.7 (10)	HK ⁺ , 98.7 (10) LK ⁺ , 39.4 (10)	135 (11)
Goat	1.94 (4)	13.4 (4)	76.1 (4)	115 (11)
Pig	0.09 (5)	15.6 ± 1.8 (8)	105.9 ± 12.7 (8)	67 (11)
Rabbit	2.26 ± 0.30 (2)	$16.8 \pm 6.3 (8)$	110.1 ± 6.0 (8)	57 (11)
Guinea pig	1.44 (6)	24.4 ± 5.4 (8)	107.2 ± 10.1 (8)	80 (11)
Mouse	2.85 ± 0.20 (2)			43 (11)
Hamster		17.2 (12)	92.0 (12)	50 (11)
Rat	2.38 ± 0.20 (2)	33.5 ± 3.5 (8)	104.7 ± 15.4 (8)	56 (11)

^aMean values have been recalculated at times to permit direct comparisons between species. Standard deviation values are given where indicated. Abbreviation: RBC, red blood cell. Figures in parentheses are the references cited as follows: (1) Harvey and Kaneko, 1976a; (2) Magnani et al., 1980; (3) Leng and Annison, 1962; (4) Harkness et al., 1970; (5) Kim and McManus, 1971; (6) Laris, 1958; (7) Beutler, 1995a; (8) Coldman and Good, 1967; (9) Contreras et al., 1986; (10) Tucker and Ellory, 1971; (11) Vacha, 1983; (12) Miseta et al., 1993.

Enzyme	Human	Dog	Cat	Horse
НК	1.78 ± 0.38 (1)	0.92 ± 0.47 (2)	2.01 ± 0.35 (2)	1.45 ± 0.22 (2)
GPI	24.1 ± 1.0 (3)	16.3 ± 1.8 (3)	49.0 ± 8.3 (3)	27.3 ± 5.9 (3)
PFK	11.0 ± 2.3 (1)	9.7 ± 1.3 (2)	2.2 ± 0.7 (2)	8.7 ± 2.5 (2)
Aldolase	3.19 ± 0.86 (1)	2.36 ± 0.32 (4)		2.71 ± 0.98 (9)
TPI	2111 ± 397 (1)	436 ± 70 (4)		
GAPD	226 ± 42 (1)	54.4 ± 2.8 (4)	59.0 (6)	57.2 ± 14.2 (9)
PGK	320 ± 36 (1)	89.6 ± 9.4 (4)	29.2 (6)	69.1 ± 19.8 (9)
MPGM	37.7 ± 5.6 (1)	4.04 ± 1.19 (4)	6.35 (6)	5.7(6)
Enolase	$5.39 \pm 0.83(1)$	0.84 ± 0.16 (4)		13.6 ± 3.9 (9)
PK	$15.0 \pm 2.0 (1)$	8.4 ± 2.0 (2)	24.5 ± 7.2 (2)	1.7 ± 0.8 (2)
LDH	200 ± 26 (1)	52.2 ± 5.0 (4)	15.1 ± 2.2 (8)	32.3 ± 3.6 (9)
AST	3.02 ± 0.67 (1)	3.14 ± 1.10 (2)		1.53 ± 0.27 (7)
DPGM	2.00 (6)	1.02 (6)	0.08 (6)	0.6 (6)
DPGP	0.021 (6)	0.010 (6)	0.005 (6)	0.006 (6)
G6PD	8.3 ± 1.6 (1)	11.3 ± 2.0 (2)	$15.3 \pm 3.2 (2)$	18.6 ± 3.3 (2)
6PGD	8.78 ± 0.78 (1)	6.73 ± 1.30 (2)	6.88 ± 1.11 (2)	2.61 ± 0.68 (2)
GR (-FAD)	7.18 ± 1.09 (1)	3.60 ± 0.96 (2)	9.31 ± 2.31	2.69 ± 0.99 (2)
GR (+FAD)	$10.40 \pm 1.50 (1)$	5.59 ± 1.05 (2)	20.31 ± 2.21	4.28 ± 1.31 (2)
GPx	31 ± 5 (1)	213 ± 82 (2)	330 ± 54 (2)	149 ± 62 (2)
GST	6.7 ± 1.8 (1)	3.2 ± 0.9 (2)	35.6 ± 8.5 (2)	6.4 ± 1.7 (2)
SOD	2352 (5)	2118 (5)	2885 (5)	
Catalase ($\times 10^3$)	153 ± 24 (1)	10 ± 2 (2)	161 ± 43 (2)	105 ± 21 (2)
Cb₅R	19.2 ± 3.8 (1)	11.3 ± 1.6 (2)	9.8 ± 2.1 (2)	13.0 ± 3.0 (2)
NADPH-D	0.51 ± 0.12 (3)	0.33 ± 0.06 (3)	0.39 ± 0.06 (3)	0.70 ± 0.06 (3)
Na ⁺ , K ⁺ -ATPase	8 ± 2 (10)	nil (10)	nil (10)	5 ± 2 (10)

^aAll enzyme units are U/g Hb, except ATPase given in µmoles phosphorus liberated/g Hb/h. Mean values have been recalculated at times to permit direct comparisons between species. Standard deviation values are given where indicated. Abbreviations: HK, hexokinase; GPI, glucose phosphate isomerase; PFK, phosphofructokinase; TPI, triosephosphate isomerase; GAPD, glyceraldehyde-3-phosphate dehydrogenase; PGK, phosphoglycerate kinase; MPGM, monophosphoglycerate mutase; DPGM, diphosphoglycerate mutase; DPGM, diphosphoglycerate phosphatase, G6PD, glucose-6-phosphate dehydrogenase; 6PGD, 6-phosphoglycerate ehydrogenase; FAD, flavin adenine dinucleotide; GR (+FAD), glutathione reductase with FAD added to assay; GR (-FAD), glutathione reductase with FAD added to assay; GR (-FAD), glutathione PAD, glutathione S-transferase; SOD, superoxide dismutase; Cb₅R, cytochrome-b₅ reductase; NADPH-D, reduced nicotinamide adenine dinucleotide phosphate diaphorase; NA⁺-K⁺-ATPase, NA⁺-K⁺-adenosine triphosphatase. Temperatures above 25°C are included in reference citations. Figures in parentheses are the references cited as follows: (1) Beutler. 1984 (at 37°C, except Cb₅R at 30°C); (2) Harvey et al., unpublished, 2007 (at 37°C, except Cb₅R at 30°C); (3) Harvey and Kaneko, 1975a; (4) Maede and Inaba, 1987 (at 37°C); (5) Kurata et al., 1993; (6) Harkness et al., 1969; (7) Franken and Schotman, 1977; (8) Schechter et al., 1973; (9) Smith et al., 1972a; (10) Gupta et al., 1974 (at 44°C).

names. Electrophoretic patterns of membrane proteins on SDS-PAGE are species variable (Gillis and Anastassiadis, 1985; Kobylka *et al.*, 1972; Smith *et al.*, 1983a; Whitfield *et al.*, 1983).

1. Lipids

The lipid bilayer and associated transmembrane proteins chemically isolate and regulate the cell interior. The bilayer consists of phospholipids arranged with hydrophobic hydrocarbon chains of fatty acids to the center of the bilayer and the polar ends of the molecules in contact with both intracellular and extracellular aqueous environments. Molecules of unesterified cholesterol are intercalated between fatty acid chains in molar concentrations approximately equal to the sum of the molar concentrations of phospholipids. Phospholipids are asymmetrically arranged, with anionic amino-containing phospholipids (phosphatidylserine and

Enzyme	Cattle	Sheep	Goat	Pig
НК	$0.36 \pm 0.14(1)$	$0.58 \pm 0.07(3)$	0.52 ± 0.15 (4)	$0.18 \pm 0.12(5)$
GPI	17.0 ± 1.0 (1)	19.8 ± 2.6 (3)	79.1 ± 8.5 (4)	90.9 ± 16.3 (5)
PFK	2.43 ± 1.26 (1)	1.53 ± 0.14 (3)	2.33 ± 0.46 (4)	1.23 ± 0.85 (5)
Aldolase	1.46 (2)	1.28 ± 0.13 (3)	1.44 ± 0.71 (4)	0.78 ± 0.67 (5)
TPI		$300 \pm 17(3)$	589 ± 122 (4)	$719 \pm 211 (5)$
GAPD	43.3 (2)	57.2 ± 5.2 (3)	73.7 ± 10.2 (4)	40.6 (5)
PGK	16.2 ± 7.3 (1)	49.6 ± 2.6 (3)	87.8 ± 18.3 (4)	94.2 ± 63.7 (5)
MPGM	10.0 ± 4.5 (1)	18.7 ± 1.5 (3)	19.3 ± 6.7 (4)	27.3 ± 12.6 (5)
Enolase	3.15 ± 0.98 (1)	8.15 ± 0.51 (3)	$13.9 \pm 2.0 (4)$	8.58 ± 6.45 (5)
PK	5.72 ± 1.13 (1)	2.82 ± 0.22 (3)	5.00 ± 1.23 (4)	$12.5 \pm 4.8 (5)$
LDH	23 (2)	33.6 ± 3.5 (3)	17.5 ± 3.05 (4)	28.7 ± 5.9 (5)
DPGM	0.42 (6)		0.04 (6)	0.58 (6)
DPGP	0.03 (6)		0.01 (6)	0.01 (6)
G6PD	5.40 ± 0.49 (1)	0.76 ± 0.13 (3)	2.06 ± 0.46 (4)	$17.3 \pm 1.2 (5)$
6PGD	$0.84 \pm 0.19(1)$		0.58 ± 0.24 (4)	$3.3 \pm 1.4 (5)$
GR	0.69 ± 0.47 (7)	2.60 ± 0.22 (3)	3.87 ± 1.57 (4)	$2.6 \pm 0.8 (7)$
GPx	165 (8)	164 ± 21 (3)	179 ± 55 (4)	
GST	4.7 ± 0.5 (9)	7.8 ± 1.0 (9)	8.0 (13)	$1.4 \pm 0.2 (9)$
SOD	2060 ± 75 (10)	1910 ± 100 (10)		1240 ± 100 (10)
Catalase (×10³)	81 (8)	16.6 ± 1.5 (12)		
Cb₅R	1.83 ± 0.24 (1)	2.00 ± 0.12 (3)		
Na ⁺ , K ⁺ -ATPase	nil (11)	HK^{+} , 3 ± 1 (11) LK^{+} , mil		10 ± 2 (11)

^aAll enzyme units are U/g Hb, except ATPase given in µmoles phosphorus liberated/g Hb/h. Mean values have been recalculated at times to permit direct comparisons between species. Standard deviation values are given where indicated. Abbreviations: HK, hexokinase, GPI, glucose phosphate isomerase; PFK, phosphofructokinase, TPI, triosephosphate isomerase, GAPD, glyceraldehyde-3-phosphate dehydrogenase; PGK, phosphoglycerate kinase; MPGM, monophosphoglycerate mutase; PK, pyruvate kinase; LDH, lactate dehydrogenase, AST, aspartate aminotransferase; DPGM, diphosphoglycerate mutase; DPGP, diphosphoglycerate phosphatase; GGPD, glucose-6-phosphate dehydrogenase, 6PGD, 6-phosphoglycerate phosphoglycerate mutase; CBPD, flavin adenine dinucleotide, GR, glutathione reductase; GPR, glutathione peroxidase; GST, glutathione S-transferase, SOD, superoxide dismutase, CBs, cytochrone-b₅ reductase, Na⁺-K⁺-ATPase, Na⁺-K⁺-adenosine triphosphatase. Temperatures above 25°C are included in reference citations. Figures in parentheses are the references aided as follows: (1) Zinkl and Kaneko, 1973b, (2) Smith et al., 1972b; (3) Agar and Smith, 1973; (4) Agar and Smith, 1974, (5) McManus, 1967; (6) Harkness et al., 1969, (7) Agar et al., 1974a, (8) Kurata et al., 1993; (9) Del Boccio et al., 1986; (10) Maral et al., 1977; (11) Gupta et al., 1974 (at 44°C); (12) Suzuki and Agar, 1983; (13) Board and Agar, 1983.

most of the phosphatidylethanolamine) located in the inner layer or leaflet of the bilaminar membrane. After uptake from plasma, these phospholipids are shuttled (flipped) from the outer leaflet to the inner leaflet by an ATP-dependent aminophospholipid-specific translocase or flippase (Zwaal et al., 1993). Most of the cationic choline-containing phospholipids, phosphatidylcholine (lecithin) and sphingomyelin, are located in the outer layer (Delaunay, 2007). These choline-containing phospholipids are readily exchangeable with plasma phospholipids, whereas the aminophospholipids are not (Reed, 1968). RBCs also contain a Ca⁺²-dependent

phospholipid scramblase that is activated by a protein kinase C catalyzed phosphorylation. This scramblase accelerates the bidirectional transbilayer movement of phospholipids. The inhibition of the flippase or the activation of the scramblase can result in increased phosphatidylserine in the outer layer, which promotes coagulation and erythrophagocytosis (Delaunay, 2007; Mandal *et al.*, 2005).

Species vary in RBC membrane phospholipid compositions (Garnier *et al.*, 1984; Nelson, 1967; Wessels and Veerkamp, 1973). Cattle, sheep, and goat RBCs are unique in that their membranes contain little or no phosphatidylcholine

Analyte	Human	Dog	Cat	Horse
G6P	27.8 ± 7.5 (1)	17.1 ± 1.8 (3)		9.1 ± 2.6 (4)
F6P	9.3 ± 2.0 (1)	5.4 ± 0.5 (3)		3.5 ± 1.1 (4)
FDP	1.9 ± 0.6 (1)	1.4 ± 0.2 (3)		$3.9 \pm 1.8(4)$
DHAP	9.4 ± 2.8 (1)	6.7 ± 1.0 (3)		7.8 ± 4.5 (4)
3PG	44.9 ± 5.1 (1)	48.8 ± 4.2 (3)		22.4 ± 6.6 (4)
2PG	7.3 ± 2.5 (1)	$17.6 \pm 4.6 (3)$		24.4 ± 4.5 (4)
PEP	12.2 ± 2.2 (1)	$20.7 \pm 4.1 (3)$		$5.3 \pm 1.3 (4)$
Pyruvate	53 ± 22 (1)	24 ± 9 (6)		
Lactate	932 ± 211 (1)	940 ± 517 (6)		600 ± 100 (8)
AMP	21.2 ± 3.4 (1)	35 ± 6 (3)		2.3 ± 1.3 (4)
ADP	216 ± 36 (1)	211 ± 50 (3)	82 (5)	16 ± 3 (4)
ATP	1438 ± 99 (1)	639 ± 140 (2)	529 ± 176 (2)	370 ± 74 (2)
2,3DPG	4171 ± 636 (1)	5989 ± 632 (2)	874 ± 317 (2)	6220 ± 1071 (2)
Pi	480 (5)	350 (5)	260 (5)	210 (5)
GSH	2234 ± 354 (1)	2027 ± 346 (2)	2117 ± 272 (2)	2455 ± 372 (2)
GSSG	$4.2 \pm 1.5 (1)$	6.9 ± 1.7 (7)		

^aGiven in nmole/ml RBC, except lactate and pyruvate, which are given in nmole/ml whole blood. Mean values have been recalculated at times to permit direct comparisons between species. Standard deviation values are given where indicated. Abbreviations: G6P, glucose 6-phosphate; F6P, fructose 6-phosphate; FDP, fructose 1,6-diphosphate; DHAP, dihydroxyacetone phosphate; 3PG, 3-phosphoglycerate; 2PG, 2-phosphoglycerate; PEP, phosphoenolpyruvate; AMP, adenosine monophosphate; ADP, adenosine diphosphate; ATP, adenosine triphosphate; 2,3-DPG, 2,3-diphosphoglycerate; P_i, inorganic phosphate; GSH, reduced glutathione; GSSG, oxidized glutathione. Figures in parentheses are the references cited as follows: (1) Beutler, 1984; (2) Harvey et al., unpublished, 2007 (using methods of Beutler, 1984); (3) Harvey et al., 1992b; (4) Smith and Agar, 1976; (5) Harkness et al., 1969; (6) Maede and Inaba, 1987; (7) Maede et al., 1982; (8) Snow and Martin, 1990.

and high sphingomyelin levels (Nouri-Sorkhabi *et al.*, 1996). Another anomaly recognized in bovine RBCs is an extreme asymmetry of phosphatidylethanolamine, with only 2% of the total present in the outer leaflet (Florin-Christensen *et al.*, 2001). Although RBC cholesterol/phospholipid ratios remain relatively constant, differences occur in membrane phospholipid composition between neonate and adult RBCs (Marin *et al.*, 1990).

A small amount of glycolipid is also located in the outer layer. Species differ in the dominant glycolipids of RBCs (Eberlein and Gercken, 1971; Yamakawa, 1983). Based on studies of human blood group antigens, it is assumed that many animal blood group antigens are also glycolipids and that specificity resides in the carbohydrate moieties.

2. Integral Membrane Proteins

Integral membrane proteins penetrate the lipid bilayer. These glycoproteins express carbohydrate residues on the outside surface of the cell. They contribute negative charge to the cell surface, function as receptors or transport

proteins, and carry RBC antigens (Chasis and Mohandas, 1992; Mohandas and Chasis, 1993; Schrier, 1985). Band 3 (anion exchanger 1) is the major integral protein. It accounts for approximately one-fourth of the total membrane protein, with about 10⁶ copies/RBC (Delaunay, 2007; Schrier, 1985). It is important as an anion transporter and provides a site for binding of the cytoskeleton internally. Additional transmembrane glycoproteins called glycophorins also help anchor and stabilize the cytoskeleton (Chasis and Mohandas, 1992).

3. Membrane Skeletal Proteins

The membrane skeleton appears as a dense sweater-like meshwork bound to the inner surface of the lipid bilayer. It is a major determining factor of membrane shape, deformability, and durability (Mohandas and Chasis, 1993). A simplified cross section of the RBC membrane is shown in Figure 7-3. Spectrin is a heterodimer of long, flexible alpha and beta chains twisted around one another. Heterodimers are bound together by self-association at their head ends

Analyte	Cattle	Sheep	Goat	Pig
G6P	52 ± 15 (1)	28 ± 10(2)	3.2 ± 0.8 (3)	11 (4)
F6P	28 ± 11 (1)	11 ± 2 (2)	1.2 ± 0.7 (3)	4.5 (4)
FDP	16 ± 12(1)	25 ± 10(2)		2 (4)
DHAP	35 ± 11 (1)	10 ± 3 (2)	1.0 ± 0.5 (3)	1 (4)
3PG	32 ± 12 (1)	11 ± 21 (2)		53 (4)
2PG	9 ± 4 (1)	14 ± 10(2)		12 (4)
PEP	19 ± 7 (1)	19 ± 14 (2)	1.0 ± 0.4 (3)	8 (4)
Pyruvate	54 ± 24 (1)	87 ± 21 (2)		22 (4)
Lactate	1989 ± 758(1)	1623 ± 1203 (2)		14,800 (4
AMP		40 ± 23 (2)	8 ± 2 (3)	250 (4)
ADP	73 ± 22 (1)	138 ± 31 (2)	17 ± 4 (3)	500 (4)
ATP	633 ± 115 (1)	532 ± 126 (8)	363 ± 52 (3)	1670 (4)
2,3DPG	289 (5)	21 ± 16(8)	59 ± 28 (3)	9500 (4)
Pi	400 (6)	666 ± 206 (9)	850 (6)	870 (6)
GSH	2490 ± 350 (7)	2257 ± 130 (10)	2500 ± 360 (7)	
GSSG		<5 (10)		λ

³ Given in nmole/ml RBC, except lactate and pyruvate, which are given in nmole/ml whole blood. Mean values have been recalculated at times to permit direct comparisons between species. Standard deviation values are given where indicated. Abbreviations: G6P, glucose 6-phosphate; F6P, fructose 6-phosphate; F6P, fructose 1,6-diphosphate; DHAP, dihydroxyacetone phosphate; 3PG, 3-phosphoglocerate; 2PG, 2-phosphoglycerate; PEP, phosphoenolygruvate; AMP, adenosine monophosphate; ADP, adenosine diphosphate, ATP, adenosine triphosphate; 2,3-DPG, 2,3-diphosphoglycerate; Pi, inorganic phosphate, G8H, reduced glutathione; GSG, oxidized glutathione. Figures in parentheses are the references cited as follows: (1) Zinkl and Kaneko, 1973b; (2) Noble et al., 1983; (3) Agar and Smith, 1974; (4) Magnani et al., 1983; (5) Agar et al., 1983; (6) Harkness et al., 1969; (7) Agar et al., 1974a; (8) Travis et al., 1985; (9) Battaglia et al., 1970; (10) Srivastava and Beutler, 1969.

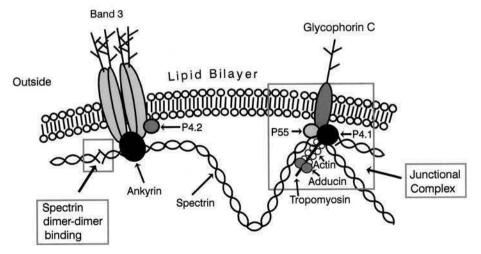


FIGURE 7-3 Schematic model of the organization of the RBC membrane skeleton.

to form tetramers. Multiple spectrin tail ends (an average of six) are joined together by binding to common short filaments of actin and a variety of other proteins, including tropomyosin and adducin, to form an anastomosed meshwork of polygons (Fig. 7-4). These junctional complexes with actin are stabilized by other proteins, including

protein 4.1 and protein 55. Protein 4.1 binds the meshwork to one or more integral membrane glycophorins (Delaunay, 2007). The meshwork is also bound to transmembrane band 3 by ankyrin in regions of spectrin self-association, and protein 4.2 may strengthen the ankyrin to band 3 linkage (Mohandas and Chasis, 1993; Rybicki *et al.*, 1996).

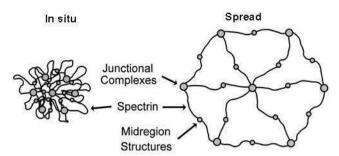


FIGURE 7-4 Schematic model of a hexagon of condensed and fully extended (spread) cytoskeletal meshwork. Spectrin dimer-dimer interactions and binding to band 3 occur in the midregions. See Figure 7-3 for components of junctional complexes.

Band 4.2 has not been demonstrated in some species including horses (Guerra-Shinohara and Barretto, 1999).

The cytoskeletal meshwork assumes a condensed configuration in nondeformed discocytes, with spectrin tetramer fibers existing in folded or coiled states (Fig. 7-4). When fully extended (accomplished by removal of lipid from the membrane), the cytoskeleton meshwork extends over an area several times the normal RBC surface area (Liu and Derick, 1992; Palek and Sahr, 1992). Because of the spectrin structure, membrane skeletons have extensional elasticity and can be stretched more than twice the normal RBC diameter without rupture.

B. Shape and Deformability

The normal biconcave shape of most mammalian RBCs (discocytes) represents the resting unstressed geometry of the cell. The biconcave shape results in a large surface area-to-volume ratio compared to that of a sphere, allowing RBCs to undergo marked deformation while maintaining a constant surface area (Lenard, 1974). This is important because an increase of 3% to 4% of surface area results in cell lysis (Mohandas and Chasis, 1993).

The RBC spends little time in a discoid shape in the microcirculation. Except for goats, RBCs from domestic animals have diameters (Jain, 1986) greater than those of capillaries (approximately $4\,\mu\text{m}$) (Henquell *et al.*, 1976; Sobin and Tremer, 1972); consequently, they must be deformable to flow through capillaries. RBCs must pass through even smaller spaces in the sinus wall of the spleen (Chen and Weiss, 1973). The biconcave shape is generally more pronounced in species with larger RBCs (Harvey, 2001), presumably because the degree of deformation required to flow through capillaries is greater. RBC deformability also reduces bulk viscosity of blood in large vessels (Smith, 1991).

RBC deformability is a function of surface-to-volume ratio, viscosity of intracellular contents (determined primarily by intracellular Hb concentration), and viscoelastic properties of the membrane (Mohandas and Chasis, 1993).

RBC shape and viscoelastic properties result from interactions between the fluid lipid bilayer and the underlying cytoskeleton, which stabilizes the lipid bilayer and provides both rigid support and elasticity. Membrane lipid fluidity varies with the cholesterol composition, concentration of phospholipids present, and the degree of saturation of fatty acids and length of acyl chains (Yawata *et al.*, 1984). Comparisons of RBC deformability among various animal species using ektacytometry have been reported (Smith *et al.*, 1979). Large RBCs are generally more deformable than small ones. RBCs from species in the family Camelidae are flat, thin, and not deformable; they apparently flow through vessels by orienting to the direction of flow.

In addition to mechanically induced deformations, a wide variety of chemical perturbations, genetic defects, and oxidative injury can result in shape changes (Mohandas and Chasis, 1993; Smith, 1987). Small changes in the surface areas of the inner or outer lipid monolayers can result in transformations of discocytes into echinocytes or stomatocytes. Biochemical abnormalities associated with certain RBC shape abnormalities follow in this text. Consult a reference text for additional information about these and other RBC shape abnormalities (Harvey, 2001).

1. Echinocytes

Echinocytes are spiculated RBCs in which the spicules are relatively evenly spaced and of similar size. When observed in stained blood films, echinocytosis is usually an artifact that results from excess EDTA, improper smear preparation, or prolonged sample storage before blood film preparation. Echinocytes form when the surface area of the outer lipid monolayer increases relative to the inner monolayer. Echinocytic transformation occurs in the presence of fatty acids, lysophospholipids, and amphiphatic drugs that distribute preferentially in the outer half of the lipid bilayer (Mohandas and Chasis, 1993; Smith, 1987). Transient echinocytosis occurs in horses with Clostridium perfringens infection and in dogs following coral snake (Marks et al., 1990) and rattlesnake (Brown et al., 1994a; Hackett et al., 2002) envenomation, presumably secondary to the action of phospholipases present in venom (Walton et al., 1997). Echinocytes also form when RBCs are dehydrated (Weiss and Geor, 1993), pH is increased, intracellular calcium is increased (Smith, 1987), and RBC ATP is depleted (Backman et al., 1998; Jacob et al., 1973). Echinocytes are the predominant RBC shape abnormality in human burn patients (Harris et al., 1981).

Echinocytosis occurs in horses in which total body depletion of cations has occurred. Increased numbers have been reported in horses during endurance exercise (Boucher et al., 1981), following furosemide-induced electrolyte depletion (Weiss et al., 1992b), and in ill horses with systemic electrolyte depletion and hyponatremia (Geor et al., 1993).

Echinocyte formation in sheep RBCs after ATP-depletion has been attributed to the degradation of membrane phosphoinositides (Backman *et al.*, 1998). Echinocytes and other shape abnormalities have been recognized in dogs with RBC pyruvate kinase deficiency, which results in a decreased ability to generate ATP (Chandler *et al.*, 1975; Muller-Soyano *et al.*, 1986; Schaer *et al.*, 1992).

Although the mechanism(s) is unknown, ATP is required for maintenance of normal shape and deformability of RBCs (Jacob *et al.*, 1973). Because ATP concentrations must be depleted for a number of hours to demonstrate changes in shape and deformability *in vitro*, the concentration does not directly control these properties; rather, the processes occurring secondary to ATP depletion alter the shapes of cells (Feo and Mohandas, 1977).

ATP is required for a number of reactions involving the RBC membrane (Cohen and Gascard, 1992). It is used as the phosphoryl donor in a wide variety of phosphorylation reactions involving membrane proteins and for the phosphorylation of membrane phosphoinositides. It provides the energy needed to pump Ca⁺² out of cells. Increased Ca⁺² activates neutral proteases (calpains), which can degrade membrane skeletal proteins, and phospholipase C, which cleaves phosphoinositides. ATP is required for the transport of aminophospholipids to the inner half of the lipid bilayer, presumably assisting in the maintenance of the asymmetry of membrane phospholipids. The relative importance of each of these ATP-dependent reactions to the maintenance of RBC shape and deformability remains to be determined (Cohen and Gascard, 1992).

2. Acanthocytes

Acanthocytes (spur cells) are RBCs with irregularly spaced, variably sized spicules. They can form when RBC membranes contain excess cholesterol compared to phospholipids. If cholesterol and phospholipids are increased to a similar degree, codocyte formation is more likely than acanthocyte formation (Cooper et al., 1972). Alterations in RBC membrane lipids can result from increased blood cholesterol content (Cooper et al., 1980) or the presence of abnormal plasma lipoprotein composition (Ulibarrena et al., 1994). Another possible contributing factor is the defective repair (acylation of lysophospholipids) of oxidant-damaged RBC phospholipids reported in human patients with cirrhosis and acanthocytosis (Allen and Manning, 1994). Acanthocytes have been recognized in animals with liver disease, possibly because of alterations in plasma lipid composition, which can alter RBC lipid composition (Christopher and Lee, 1994; Shull et al., 1978). They have also been reported in dogs with disorders such as hemangiosarcoma and disseminated intravascular coagulation that result in RBC fragmentation (Weiss et al., 1993).

Marked acanthocytosis is reported to occur in young goats (Holman and Drew, 1964) and some young cattle (McGillivray

et al., 1985; Sato and Mizuno, 1982). Acanthocytosis of young goats has been attributed to the presence of HbC at this early stage of development (Jain et al., 1980).

Acanthocytosis in blood is associated with a heterogeneous group of inherited neurodegenerative disorders in humans (neuroacanthocytosis), resulting in defects of at least four different proteins (Stevenson and Hardie, 2001). Deficient proteins appear to be important in membranes of neural or muscular tissues in addition to RBCs.

3. Stomatocytes

Cup-shaped RBCs that have oval or elongated areas of central pallor when viewed in stained blood films are called stomatocytes. They most often occur as artifacts in thick blood film preparations. Stomatocytes form when pH is decreased (Gedde *et al.*, 1997) and when amphiphatic drugs are present that distribute preferentially in the inner half of the lipid bilayer (Smith, 1987; Suwalsky *et al.*, 1999, 2000). Stomatocytes also form when RBC water content is increased, as occurs in hereditary stomatocytosis in dogs (Giger *et al.*, 1988a; Paltrinieri *et al.*, 2007; Pinkerton *et al.*, 1974; Slappendel *et al.*, 1994).

4. Eccentrocytes

Eccentrocytes are RBCs in which the Hb is localized to part of the cell, leaving an Hb-poor area visible in the remaining part of the cell. Other terms used to refer to eccentrocytes include hemighosts, irregularly contracted cells, double-colored cells, and cross-bonded RBCs (Arese and De Flora, 1990; Chan et al., 1982). They are formed by the adhesion of opposing areas of the cytoplasmic face of the RBC membrane (Fischer, 1986). Denatured spectrin is believed to be of primary importance in the cross bonding of membranes (Arese and De Flora, 1990; Fischer, 1988). Eccentrocytes that have become spherical with only a small tag of cytoplasm remaining may be called pyknocytes. Eccentrocytes have been seen in animals ingesting or receiving oxidants (Caldin et al., 2005; Harvey, 2001; Harvey et al., 1986; Harvey and Rackear, 1985; Lee et al., 2000; Reagan et al., 1994) and in horses with severe burns (Norman et al., 2005). Eccentrocytes have also been seen in horses with glucose-6-phosphate dehydrogenase (G6PD) deficiency (Stockham et al., 1994) and glutathione reductase deficiency secondary to RBC flavin adenine dinucleotide (FAD) deficiency (Harvey et al., 2003). Both of these disorders decreased the ability of RBCs to protect against endogenous oxidants.

5. Spherocytes and Elliptocytes

Defects in ankyrin, band 3, protein 4.2, and certain defects is α -spectrin and β -spectrin result in hereditary spherocytosis in mice and humans (Delaunay, 2007). A complete

absence of band 3 results in hereditary spherocytosis in Japanese black cattle (Ban *et al.*, 1995; Inaba *et al.*, 1996). Hereditary spherocytosis has been reported in golden retriever dogs with reductions in RBC membrane spectrin (Slappendel, 1998). RBCs from these dogs exhibited increased osmotic fragility, but spherocytes were not recognized in stained blood films (Slappendel *et al.*, 2005).

Hereditary elliptocytosis occurs with certain defects in α -spectrin, β -spectrin, band 3, and protein 4.1 deficiency in humans (Bruce, 2006; Delaunay, 2007). Hereditary elliptocytosis has been reported in a dog with protein 4.1 deficiency (Smith *et al.*, 1983a) and in a dog with mutant β -spectrin (Di Terlizzi *et al.*, 2007).

C. Blood Group Isoantigens

Large numbers of protein and complex carbohydrate antigens occur on the external surface of RBCs. Some antigens are present on RBCs from all members of a species, and others (including blood group isoantigens) segregate genetically, appearing in some but not all members of a species. Blood group isoantigens are detected serologically on the surface of RBCs using agglutination or hemolysis tests. With detailed genetic studies, these isoantigens can be placed into blood groups (RBC isoantigen systems). Blood groups have individual chromosomal loci, and each locus has from two to many allelic genes. Most blood groups (such as the ABO system in humans) derive their antigenicity from the carbohydrate composition of membraneassociated glycolipids and glycoproteins. The amino acid sequence of membrane proteins accounts for the antigenic determinants in other blood groups, such as the complex Rh system in humans (Agre and Cartron, 1991). Most isoantigens are produced by erythroid cells, but some, such as the J group in cattle, the DEA-7 (Tr) group in dogs, the R group in sheep, and the A and O groups in pigs, are produced by other tissues and adsorbed from plasma (Andrews, 2000; Penedo, 2000).

Blood groups in domestic animals have been reviewed (Andrews, 2000; Bowling, 2000; Penedo, 2000). They have been most extensively characterized in horses and cattle, in which blood typing was routinely used for animal identification and parentage testing. Blood typing for these purposes is being phased out in favor of assays based on DNA sequence.

1. Blood Group Isoantigens of Clinical Significance

Isoantigens vary in their potential to cause transfusion reactions when mismatched blood is given. Many isoantigens are weak (do not induce antibodies of high titer) or induce antibodies that do not act at normal body temperature. Fortunately only a few isoantigens appear to be

important in producing hemolytic disease in animals. More than 13 canine blood groups have been described. DEA 1.1 antibody-antigen interactions result in most of the acute hemolytic transfusion reactions in dogs (Andrews, 2000), but transfusion reactions have been reported against DEA 1.2 (Hale, 1995), DEA 4 (Melzer et al., 2003), and an unclassified common antigen (Callan et al., 1995) on dog RBCs. A new blood type termed Dal has been reported in a low percentage of Dalmatian dogs (Blais et al., 2007). Dalmatians lacking the Dal antigen develop alloantibodies after being transfused with Dal-positive RBCs. Sensitized animals are likely at risk for delayed or acute hemolytic reactions when transfused again with Dal-positive RBCs.

Incompatibilities in the AB blood group of cats have been recognized to cause transfusion reactions and neonatal isoerythrolysis (Auer and Bell, 1983; Giger and Akol, 1990; Giger and Bücheler, 1991; Hubler et al., 1987). The A and B isoantigens (blood types) result from the action of two different alleles at the same gene locus, with A being dominant over B (Andrews, 2000). Type A cat RBCs have glycolipids with terminal N-glycolyneuraminic acid (NeuGc) on their surface, whereas type B cat RBCs have glycolipids with terminal N-acetylneuraminic acid (NeuAc) on their surface (Andrews et al., 1992). Because the enzyme CMP-N-acetylneuraminic hydroxylase converts NeuAc to NeuGc, it has been proposed that type B cats lack this enzyme. Cats rarely express both type A and type B antigens (type AB) on RBCs. The frequency of blood types varies with location and breed of cat. From 0.3% (northeast) to 4.7% (west coast) of domestic short- and long-hair cats in the United States are type B, but up to 50% of purebred cats in certain breeds in the United States are type B (Andrews, 2000). In contrast to the low prevalence of type B in mixed-breed cats in the United States, about one-third of the mixed-breed cats in Australia are type B (Malik et al., 2005). A new blood group antigen, termed Mik, has been reported in domestic shorthair cats that is capable of inducing a hemolytic transfusion reaction when Mik-positive RBCs are transfused into a Mik-negative recipient cat that has naturally occurring anti-Mik alloantibodies in its plasma (Weinstein et al., 2007).

Horse RBC isoantigens are recognized to occur at seven blood group loci. The frequency of expression of RBC isoantigens varies by breed of horse (Bowling, 2000). Historically, Aa and Qa have been the most common antigens associated with neonatal isoerythrolysis in foals. Mares negative for one of these antigens develop antibodies against them and transfer these antibodies to their foals through colostrum. Hemolysis occurs when the foal inherits the respective antigen from the sire (Bowling, 2000). Other isoantigens associated with neonatal isoerythrolysis in foals include Db, Dg, Pa, Qb, Qc, and a combination of Qa, Qb, and Qc (Boyle *et al.*, 2005; MacLeay, 2001). Neonatal isoerythrolysis has been reported in mule foals because of an RBC antigen not found in horses but present in some donkeys and mules (Boyle *et al.*, 2005; McClure *et al.*, 1994).

Pig RBC isoantigens are recognized to occur in 16 blood groups numbered A to P (Penedo, 2000). A-negative pigs exhibit intravascular hemolysis when transfused with A-positive blood. Neonatal isoerythrolysis has been recognized in pigs, with antibodies usually directed against isoantigens of the E blood group (Tizard, 2000).

Naturally occurring neonatal isoerythrolysis has not been reported in cattle, but it occurs in some calves born to cows previously vaccinated for anaplasmosis or other bovine origin vaccines containing RBC membranes (Dimmock and Bell, 1970; Luther *et al.*, 1985). Several blood group isoantigens have been incriminated, but the most important blood group isoantigens involved in this disorder are uncertain (Dimmock and Bell, 1970). Based on experimentally produced disease, the B isoantigen group appears to generate potent hemolysins (Dimmock *et al.*, 1976).

2. Natural Antibodies

Some blood group systems, such as the ABO group in humans, the AB group and Mik group in cats, and the A group in pigs, are characterized by "naturally occurring" antibodies (i.e., antibodies occur in plasma in the absence of prior exposure to blood from another individual) (Tizard, 2000; Weinstein et al., 2007). In other blood groups, such as the Rh system in humans and most blood groups in animals, antibody formation results from prior exposure to different RBC isoantigens via transfusion, pregnancy, or vaccination with products containing blood group antigens (Stormont, 1982). Fortunately, naturally occurring antibodies of clinical significance seldom occur in animals; consequently, adverse transfusion reactions to unmatched RBCs generally do not occur at the time of the first blood transfusion. However, exceptions may occur as in the case of the AB group in cats where B-positive cats have naturally occurring anti-A antibodies with high hemolytic titer (Bücheler and Giger, 1993).

D. Membrane Transport

The lipid bilayer is impermeable to most molecules. Consequently, various membrane protein transport systems are utilized for movement of molecules into and out of RBCs.

1. Anions and Water

Water and CO_2 are transported across RBC membranes using water channels called aquaporins (Endeward *et al.*, 2006; Kuchel and Benga, 2005). Band 3 appears to function as a channel for the movement of anions, especially bicarbonate and chloride, certain nonelectrolytes, and probably cations to some extent (Bruce, 2006; Solomon

et al., 1983). Gruber and Deuticke studied phosphate as a model for anion exchange in RBCs from several species. There was a positive correlation between phosphate influx and the proportion of phosphatidylcholine in membrane phospholipids (Gruber and Deuticke, 1973).

2. Sodium and Potassium

Major interspecies, and in some cases intraspecies, differences occur in cation transport and subsequently in intracellular Na⁺ and K⁺ concentrations (Ellory and Tucker, 1983). There is a strong positive correlation between intracellular K⁺/Na⁺ ratio and ATP concentration when RBCs of different species are compared. The cause of this relationship is unknown, but it is not related to differences in glucose utilization (Miseta *et al.*, 1993).

Like humans, those animal species with high intracellular K⁺ concentrations, horse, pig, and some ruminants, have an active Na+,K+-pump that exchanges intracellular Na+ for extracellular K⁺ with the hydrolysis of ATP. This Na⁺,K⁺-activated ATPase activity is often used as a measure of Na⁺,K⁺-pump activity. In addition to individuals with high potassium (HK⁺) RBCs, some sheep, goats, buffalo, and most cattle have relatively low potassium (LK+), and consequently high sodium, RBCs. These LK+ RBCs have low Na⁺, K⁺-pump activity and high passive K⁺ permeability (i.e., high K⁺-Cl⁻ cotransport) (Dunham and Blostein, 1997; Tosteson and Hoffman, 1960). Studies in sheep and goats have demonstrated that HK+/LK+ polymorphism is determined by a single autosomal genetic locus with 2 alleles, the LK⁺ allele being dominant (Tunon et al., 1987; Xu et al., 1994). In sheep, this polymorphism is associated with an M and L blood group antigen polymorphism. The HK+ cells exhibit M antigens and the homozygous LK⁺ cells exhibit L antigens, with heterozygous LK⁺ sheep exhibiting M and L antigens. There are two classes of L antigen (Lp and Ll) that assort together. The Lp antigen inhibits the Na⁺, K⁺-pump, mainly by promoting nonspecific inhibition by intracellular K+. This antigen also modulates pump differentiation in immature cells. In contrast, the L1 antigen stimulates K⁺-C1⁻ cotransport (Dunham and Blostein, 1997).

RBCs from cats, ferrets, and most dogs do not have Na⁺,K⁺-pump activity and have Na⁺ and K⁺ concentrations near, but not at, those predicted for the Donnan equilibrium with plasma (Mairbaurl and Herth, 1996; Parker, 1977). Some clinically normal Japanese Akita dogs (Degen, 1987), mongrel dogs from Japan (Inaba and Maede, 1984), and Korean Jindo dogs (Yamato *et al.*, 1999) have HK⁺ RBCs. RBCs from the HK⁺ mongrel dogs have substantial Na⁺,K⁺-ATPase activity and altered amino acid metabolism which are discussed later. Dog, ferret, and bear RBCs have a unique Na⁺-Ca⁺² countertransport system that can remove sodium (Parker, 1992). The calcium that enters the cell is subsequently pumped out by an ATP-dependent calcium pump.

Other pathways of sodium and potassium transport occur to variable degrees in certain species. These pathways include passive diffusion, $\mathrm{Na^+,K^+,Cl^-}$ cotransport, $\mathrm{Na^+} \times \mathrm{Na^+}$ countertransport, band 3 anion transport as $\mathrm{NaCO_3^-}$, $\mathrm{Na^+}$ -dependent amino acid transport, $\mathrm{K^+,Cl^-}$ cotransport, $\mathrm{Na^+,H^+}$ exchange, and a calcium-dependent $\mathrm{K^+}$ channel (Contreras *et al.*, 1986; Ellory and Tucker, 1983; Haas, 1989; Maher and Kuchel, 2003). A negative linear correlation between internal sodium concentration and membrane protein-to-lipid ratio was found by comparison of RBC values from nine mammalian species, the significance of which is unknown (Garnier *et al.*, 1984).

RBC volumes influence cation fluxes. Sodium flux increases when cells are shrunken, and potassium flux increases when cells are swollen. The Na⁺,K⁺,Cl⁻ cotransport and Na⁺-H⁺ exchange are activated by cell shrinkage and K⁺-Cl⁻ cotransport is activated by cell swelling (Dunham, 2004; Haas, 1989; Mairbaurl and Herth, 1996). Volume changes are believed to be detected from alterations in cytoplasmic macromolecules (Parker, 1992).

Early nucleated erythroid precursors in dog bone marrow have HK+ content, whereas mature RBCs are of the LK⁺ type. The switch from HK⁺ to LK⁺ content occurs during the maturation from early to late nucleated erythroid cells (Kirk et al., 1983). When erythropoiesis is dramatically stimulated in response to a hemolytic anemia, much of the HK+ to LK+ transition does not occur until after denucleation. Consequently, stress reticulocytes, produced in response to anemia, have potassium contents much higher than reticulocytes normally released into blood. The high potassium concentration in canine stress reticulocytes results from membrane Na⁺,K⁺-ATPase activity that is lost during maturation into RBCs, possibly by ATP-dependent proteolysis (Inaba and Maede, 1986). Stress reticulocytes produced by LK⁺-type ruminants (Israel et al., 1972; Kim et al., 1980; Tucker and Ellory, 1971) also have high potassium concentrations as a result of high Na+,K+-ATPase activities (Blostein and Grafova, 1990). The decline in the number of Na⁺, K⁺ pumps on LK⁺ sheep reticulocytes during maturation is modulated by the Lp antigen (Xu et al., 1994). Fetal and neonatal RBCs examined from mammals with LK⁺ RBCs have higher potassium concentrations than adult RBCs, but the difference in dogs was not as dramatic as that in ruminants (Coulter and Small, 1973; Ellory and Tucker, 1983).

3. Calcium

Excessive intracellular Ca⁺² is deleterious to RBCs; consequently, they actively extrude Ca⁺² using a calcium pump having Ca⁺²-activated, Mg⁺²-dependent ATPase activity. A calcium-binding protein called calmodulin activates the calcium pump (Bababunmi *et al.*, 1991; Hinds and Vincenzi, 1986). This pump, working in conjunction with

a Na⁺-Ca⁺² countertransport system, appears to be important in RBC volume regulation in dogs (Parker, 1992).

4. Amino Acids

Amino acid transport in RBCs provides amino acids for synthesis of reduced glutathione (GSH). In addition, amino acid transporters may be responsible for efflux of amino acids during reticulocyte maturation (Tunnicliff, 1994).

Several amino acid transport systems have been recognized in mammalian RBCs, each with its own characteristic species distribution, ion requirements, and substrate specificity (Fincham et al., 1987; Young, 1983). In addition, the band 3 anion transporter can transport glycine and some other amino acids (Fincham et al., 1987). A y+ transport system that transports cationic amino acids has been described in human RBCs. This system is distinct from that of the y + L system, which is a cationic amino acid transporter that also accepts neutral amino acids with high affinity in the presence of Na+ (Rojas and Deves, 1999). A Na⁺-independent C amino acid transporter has been described in sheep whose optimal substrates are cationic amino acids and small neutral amino acids (Young, 1983). Sheep deficient in this transporter have low RBC GSH, because of impaired cysteine transport (Tucker et al., 1981). A similar asc transport system occurs in most equine RBCs, and deficient horses are predisposed to GSH deficiency (Fincham et al., 1987, 1988). A transport system for cationic amino acids in cat RBCs has been called the Ly⁺ system (Young, 1983). Dog and cat RBCs have a Na⁺-dependent acidic amino acid transporter that optimally transports glutamate and aspartate. The transport of 1 glutamate into dog RBCs is accompanied by 2 Na⁺ and by the countertransport of 1 K⁺ and 1 anion (Sato et al., 1994). A Na⁺-dependent anionic amino acid transporter, termed excitatory amino acid carrier 1 (EAAC1), has been reported in rat RBC membranes (Novak et al., 2002).

Glucose

Species vary in their permeability to glucose, with human RBCs being very permeable and pig RBCs being poorly permeable (McManus, 1967). RBCs of other domestic animals appear to be intermediate between these extremes (Arai et al., 1992; Bolis, 1973; Widdas, 1955). Facilitative glucose transporter 1 (GLUT-1) mediates the passive diffusion of glucose into RBCs (Jiang et al., 2006). Glucose movement into RBCs is not regulated by insulin (Baldwin, 1993). RBCs from adult pigs lack a functional glucose transporter (Craik et al., 1988) and, therefore, have limited ability to utilize glucose for energy (Kim and McManus, 1971; Magnani et al., 1983). In contrast, RBCs from neonatal piglets and pig reticulocytes have the transporter (Craik et al., 1988) and, consequently, exhibit substantial glucose transport (Kim and Luthra, 1977). A similar phenomenon

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occurs in chickens, in which erythroid cells in bone marrow transport glucose, but circulating RBCs do not (Johnstone et al., 1998). With the exception of cats, fetal and neonatal RBCs studied from humans and animals have higher glucose transport than RBCs from adults (Mooney and Young, 1978; Widdas, 1955).

6. Adenine, Adenosine, and Inosine

RBC membranes from most animal species have a nucleoside transporter (Young, 1983). The adenosine transporter from human and pig RBCs migrates in the band 4.5 region on SDS-PAGE (Kwong et al., 1986). Rabbit, pig, and human RBCs exhibit substantially more adenosine uptake than those of other species studied (Van Belle, 1969). RBCs from dogs exhibit more adenosine uptake than cats, goats, or cattle, and RBCs from horses and most sheep appear to be nearly impermeable to adenosine. A low percentage of sheep have RBCs with a high affinity nucleoside transport system with a broad specificity for both purine and pyrimidine nucleosides (Young, 1983). RBCs from most horses have a Na⁺-dependent hypoxanthine transporter. Although dog RBCs are permeable to adenosine, they are impermeable to inosine (Duhm, 1974). Dog and cat RBCs exhibit adenine uptake and incorporation into nucleotides, but values are much lower than those of human, rabbit, or rodent RBCs (Lalanne and Willemot, 1980).

E. Metabolism of Adenine Nucleotides

Adenine nucleotides in RBCs contain adenine, ribose, and one or more phosphate groups. Mature RBCs cannot synthesize adenine nucleotides *de novo* but can produce these compounds utilizing so-called salvage pathways (Brewer, 1974; Eaton and Brewer, 1974). AMP can be synthesized from adenine or from adenosine, both of which may be supplied to RBCs as they pass through the liver. One molecule of ATP interacts with one molecule of AMP to generate two molecules of ADP in the adenylate kinase reaction. ATP is generated from ADP in glycolysis.

AMP is synthesized from adenine and phosphoribosyl pyrophosphate (PRPP), utilizing the adenine phosphoribosyltransferase enzyme. Adenine is converted to ATP at a slower rate in dog and cat RBCs than in those of humans, rodents, or rabbits (Lelanne and Willemot, 1980). AMP degradation to inosine monophosphate and ammonia is catalyzed by AMP-deaminase. The activity of this enzyme is generally lower in mammalian RBCs compared to nucleated RBCs from birds, reptiles, amphibians, and fish (Kruckeberg and Chilson, 1973).

Adenosine can be phosphorylated to AMP using ATP in the adenosine kinase reaction. A competing reaction, adenosine deaminase, converts adenosine to inosine, which cannot be incorporated into AMP. The uptake or deamination of adenosine varies considerably by species (Van

Belle, 1969). Not only are dog, cat, and cattle RBCs poorly permeable to inosine, but inosine produced by adenosine deamination cannot be readily used for energy in these species because of low purine nucleoside phosphorylase activity, which converts inosine to ribose 1-phosphate and hypoxanthine (Duhm, 1974).

NAD and NADP can apparently be synthesized from nicotinate by way of a series of reactions in RBCs (Eaton and Brewer, 1974). In addition to ATP, PRPP and NH₃, or glutamine, are required. Comparative studies of the synthesis of these compounds in domestic animals have not been reported.

F. Carbohydrate Metabolism

RBCs require energy in the form of ATP for maintenance of shape and deformability, phosphorylation of membrane phospholipids and proteins, active membrane transport of various molecules, partial synthesis of purine and pyrimidine nucleotides, and synthesis of GSH (Nakao, 1974; Reimann et al., 1981). Reducing potential in the form of NADH and NADPH is needed to counteract oxidative processes. Although substrates such as ribose, fructose, mannose, galactose, dihydroxyacetone, glyceraldehyde, adenosine, and inosine may be metabolized to some extent, depending on the species, glucose is the primary substrate for energy needs of RBCs from all species except the pig (Agar and Board, 1983; Kim, 1983).

RBCs from adult pigs utilize glucose at lower rates than other species (Magnani *et al.*, 1983) because they lack a functional glucose transporter (Craik *et al.*, 1988; Zeidler and Kim, 1982). Inosine appears to be the major substrate for pig RBCs; its production by the liver is sufficient to meet their energy requirements (Young *et al.*, 1985; Zeidler *et al.*, 1985). Inosine can be used because nucleoside phosphorylase converts it to ribose 1-phosphate and hypoxanthine (Sandberg *et al.*, 1955). Ribose 1-phosphate is converted to ribose 5-phosphate, an intermediate of the pentose phosphate pathway (PPP), by phosphoribomutase (Brewer, 1974).

Glucose utilization rates of RBCs vary by species (Table 7-1). Factors such as pH, phosphate concentration, temperature, and leukocyte and platelet contamination of RBC incubations can have substantial effects on glucose utilization rates measured *in vitro*. Consequently, species comparisons of values determined in different laboratories may be misleading. Harvey and Kaneko (1976a) approximated physiological conditions *in vitro* and measured mean glycolytic rates of 0.64, 0.94, 1.33, and 1.48 µmoles/hour/ml RBC for the horse, cat, dog, and human, respectively. Once glucose enters the cell, it is phosphorylated to glucose 6-phosphate (G6P) utilizing the hexokinase (HK) enzyme. The G6P is then metabolized through either the Embden-Meyerhof pathway (EMP) or the pentose phosphate pathway (PPP) as shown (Fig. 7-5).

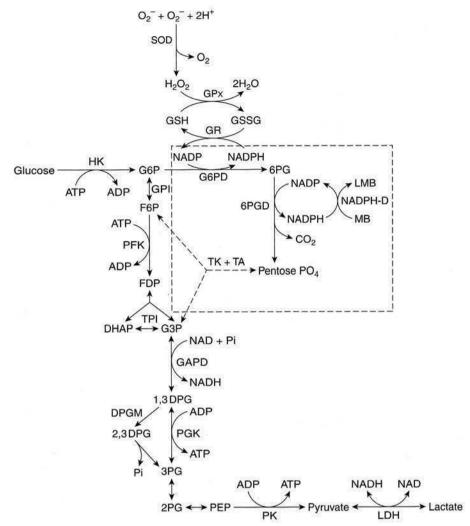


FIGURE 7-5 Metabolic pathways of the mature erythrocyte. Abbreviations: HK, hexokinase; GPI, glucose phosphate isomerase; PFK, phosphofructokinase; TPI, triosephosphate isomerase; GAPD, glyceraldehyde-3-phosphate dehydrogenase; PGK, phosphoglycerate kinase; MPGM, monophosphoglycerate mutase; DPGM, diphosphoglycerate mutase; PK, pyruvate kinase; G6PD, glucose-6-phosphate dehydrogenase; 6PGD, 6-phosphogluconate dehydrogenase; LDH, lactate dehydrogenase; LMB, leukomethylene blue; MB, methylene blue; GR, glutathione reductase; GPx, glutathione peroxidase; TK, transketolase; TA, transaldolase; GSSG, oxidized glutathione; G6P, glucose 6-phosphate; F6P, fructose 6-phosphate; FDP, fructose 1,6-diphosphate; DHAP, dihydroxyacetone phosphate; GAP, glyceraldehyde 3-phosphate; 1,3-DPG, 1,3-diphosphoglycerate; 2,3-DPG, 2,3-diphosphoglycerate; 3PG, 3-phosphoglycerate; 2PG, 2-phosphoglycerate; PEP, phosphoenolpyruvate; ADP, adenosine diphosphate; ATP, adenosine triphosphate; NAD, nicotinamide adenine dinucleotide; NADH, reduced nicotinamide adenine dinucleotide phosphate diaphorase; GSH, reduced glutathione; P_i, inorganic phosphate; SOD, superoxide dismutase.

G. Embden-Meyerhof Pathway

Most of the species variations in glucose utilization appear to result from variations in EMP metabolism, with PPP metabolism being relatively constant when not stimulated by oxidants (Harvey and Kaneko, 1976a). In addition to the phosphorylation of glucose, one molecule of ATP is used to phosphorylate fructose 6-phosphate, and one molecule of ATP is generated for each three-carbon molecule metabolized through the phosphoglycerate kinase (PGK) and pyruvate kinase (PK) reactions (Fig. 7-5). Consequently a net of two molecules of ATP is produced for each molecule

of glucose metabolized to two molecules of lactate in the EMP. Because mature RBCs lack mitochondria, the EMP is the only source of ATP production in these cells.

In human RBCs, reactions catalyzed by HK, phosphofructokinase (PFK), and PK appear to be rate-limiting steps in glycolysis, because these reactions are far displaced from equilibrium (Minakami and Yoshikawa, 1966). Under physiological steady-state conditions, the PFK enzyme reaction controls glycolysis through the EMP (Rapoport, 1968). Its activity is influenced by a variety of effectors, with ATP being the most important inhibitor and AMP and IV. Mature RBC 197 ■

inorganic phosphate (P_i) being the most influential activators. ADP is a less potent activator (Jacobasch *et al.*, 1974). Most of the adenine nucleotide pool in RBCs is maintained as ATP under normal conditions, with less as ADP and even less as AMP (Tables 7-4 and 7-5). Glycolysis is ultimately controlled by the demand for production of ATP. As ATP is utilized, concentrations of ADP, AMP, and P_i increase. These changes result in the activation of PFK and increased EMP metabolism. Other potential activators of PFK include ammonium ions (Debski and Rynca, 1985; Shimizu *et al.*, 1988), glucose 1,6-bisphosphate (Accorsi *et al.*, 1985; Harvey *et al.*, 1992b), fructose 2,6-bisphosphate (Gallego and Carreras, 1990; Harvey *et al.*, 1992b; Sobrino *et al.*, 1987), and K⁺ (Ogawa *et al.*, 2002).

When PFK is activated, HK is activated secondarily because the concentration of G6P is reduced, and G6P competitively inhibits HK (Rapoport *et al.*, 1976). Although the HK reaction is normally not a rate-controlling step in glycolysis of human RBCs, conceivably it is more important in animal species with lower HK activity (Rapoport, 1968). The glycolytic rate is correlated with HK activity when RBCs of various species are compared at pH values above 8.0. Comparisons may be less reliable when measurements are made at pH 7.4 (Harvey and Kaneko, 1976a). In human RBCs, the PK reaction becomes limiting when the PFK reaction is markedly stimulated (i.e., at pH values above 7.6) (Jacobasch *et al.*, 1974). The pH values listed earlier are external values. The pH within RBCs is generally about 0.2 units lower than the external pH (Waddell and Bates, 1969).

In addition to ATP/ADP and ADP/AMP ratios, various other factors influence EMP metabolism. Alterations in pH of plasma or *in vitro* buffers affect glycolysis. As pH is increased above 7.2, PFK is activated and glucose utilization and EMP metabolism increase (Burr, 1972; Rapoport, 1968).

At physiological pH values, high concentrations of P_i stimulate glycolysis through the EMP by reducing the ATP inhibition of PFK. Conversely, glycolysis is inhibited by short-term phosphate deficiency, primarily by decreasing intracellular Pi for glyceraldehyde-3-phosphate dehydrogenase (GAPD) (Jacobasch et al., 1974; Ogawa et al., 1989; Wang et al., 1985). Decreased glycolytic rates result in decreased RBC ATP concentrations and hemolytic anemia in experimental dogs made severely hypophosphatemic by hyperalimentation (Jacob et al., 1973; Yawata et al., 1974). Hemolytic anemia associated with hypophosphatemia has also been reported in diabetic cats and a diabetic dog following insulin therapy (Adams et al., 1993; Perman and Schall, 1983; Willard et al., 1987), in a cat with hepatic lipidosis (Adams et al., 1993), and in postparturient cattle in which decreased RBC ATP concentrations have been measured (Ogawa et al., 1987, 1989). In addition to having low ATP concentrations, dog RBCs might hemolyze as a result of decreased RBC 2,3DPG concentration, because dog RBCs with low 2,3DPG are more alkaline fragile than those of normal dogs and may hemolyze at physiological pH values (Harvey et al., 1988).

Several glycolytic enzymes, including PFK, GAPD, and aldolase, bind to the cytoplasmic domain of band 3, forming multimeric complexes on the inner RBC membrane. Other enzymes, including PK and lactate dehydrogenase (LDH), are associated with these complexes but do not directly bind to band 3 (Campanella et al., 2005; Chu and Low, 2006). The binding of enzymes to the cytoplasmic domain of band 3 results in their inhibition, which presumably also has an inhibitory effect on RBC glycolysis (Weber et al., 2004). The assembly of these glycolytic enzyme complexes appears to be regulated by band 3 phosphorylation and Hb oxygenation. The phosphorylation of tyrosine in the cytoplasmic domain of band 3 by protein tyrosine kinases prevents the binding of glycolytic enzymes, which presumably enhances glycolysis (Campanella et al., 2005). The deoxygenation of RBCs also dislodges the glycolytic enzymes from the membrane, consistent with the established ability of DeoxyHb, but not OxyHb, to bind the NH₂ terminus of the band 3, which may contribute to the increased glycolytic rate present in deoxygenated RBCs compared to oxygenated RBCs (Weber et al., 2004).

There is a strong positive correlation between intracellular Mg⁺² and ATP concentrations in RBCs from various species because of the presence of the Mg⁺²-ATP complex within cells (Miseta *et al.*, 1993). RBCs of rats and dogs with short-term magnesium deficiency have lowered glycolytic rates, because adenine nucleotide substrates in four glycolytic kinase reactions (HK, PFK, PGK, and PK) must be complexed with Mg⁺² (Rapoport, 1968). Dogs and rats on magnesium-deficient diets become anemic (Elin and Alling, 1978; Kruse *et al.*, 1933), owing to shortened RBC life spans.

The saturation of Hb with oxygen has an effect on glucose utilization. Human RBCs utilize more glucose when incubated anaerobically under nitrogen than under aerobic conditions (Asakura et al., 1966). OxyHb is a stronger acid than DeoxyHb; consequently, the intracellular pH of human RBCs is lower in oxygenated blood than in deoxygenated blood (Takano et al., 1976). The PFK reaction is inhibited as blood is oxygenated due to the pH effect. In human RBCs, 2,3DPG is bound to DeoxyHb and released on oxygenation. Based on studies of glycolytic intermediates, the increased unbound 2,3DPG in oxygenated RBCs may have additional inhibitory effects on glycolysis (Hamasaki et al., 1970). The effect of oxygenation on glycolysis of RBCs from domestic animals remains to be determined. It may not be important in ruminants because oxygenation results in insignificant decreases in intracellular pH values (Takano et al., 1976). As discussed earlier, deoxygenation of RBCs dislodges certain glycolytic enzymes from the cytoplasmic domain of band 3, which may contribute to the increased glycolytic rate present in deoxygenated RBCs compared to oxygenated RBCs (Weber et al., 2004).

2,3DPG inhibits glycolysis in part because of its reduction of intracellular pH as a consequence of the Donnan effect of this nonpenetrating anion (Duhm, 1975). 2,3DPG

also inhibits glycolysis by inhibiting HK, PFK, and PK in a manner different from its pH effect on these enzymes (Duhm, 1975; Jacobasch *et al.*, 1974). In addition, 2,3DPG inhibits 6-phosphofructokinase-2-kinase, the enzyme responsible for the synthesis of the positive PFK effector fructose-2,6-bisphosphate (Sobrino *et al.*, 1987).

The RBC glycolytic rate is higher in dogs with HK⁺ RBCs compared to dogs with LK⁺ RBCs. Glycolysis was stimulated by increasing intracellular K⁺ concentration in both types of RBCs, at least in part by the activation of PFK and PK by K⁺ (Ogawa *et al.*, 2002).

Glycolysis increases in human RBCs with increasing temperature to a maximum at 45° C, with a Q_{10} of 2 (Rapoport, 1968). Based on measurements of glycolytic intermediates, the major effect of temperature appears to be on the PFK reaction (Jacobasch *et al.*, 1974).

H. Diphosphoglycerate Pathway

Molecules of 1,3-diphosphoglycerate (1,3DPG), produced by the GAPD reaction, may be utilized by the PGK reaction in the EMP or may be converted to 2,3DPG by the diphosphoglycerate mutase (DPGM) reaction (Fig. 7-5). 2,3DPG degradation to 3-phosphoglycerate (3PG) is catalyzed by diphosphoglycerate phosphatase activity (DPGP). A single protein is responsible for both the DPGM and DPGP activities (Sasaki *et al.*, 1977). The DPG pathway or shunt (Rapoport-Luebering cycle) bypasses the ATP-generating PGK step in glycolysis; consequently, no net ATP is generated when glucose is metabolized through this pathway (Brewer, 1974).

Normally, from 10% to 30% of triose phosphate metabolism in human RBCs is shunted through this pathway (Jacobasch *et al.*, 1974; Mulquiney *et al.*, 1999; Oxley *et al.*, 1984). The proportion of 1,3DPG metabolized by PGK and DPGM is determined mostly by the concentration of ADP (Rapoport, 1968). ATP and 2,3DPG influence the relative amount of flow through each route by product inhibition of their own synthesis.

The absolute flow is also determined by the overall glycolytic rate. 2,3DPG inhibits HK, PFK, and GAPD in human RBCs (Srivastava and Beutler, 1972). The formation of 2,3DPG is stimulated by increased P_i concentration and increased pH, which stimulate glycolysis by activating PFK greater than PK (Jacobasch *et al.*, 1974). Hypoxic conditions stimulate 2,3DPG synthesis primarily by inducing hyperventilation, which results in alkalosis; however, intracellular pH also increases in hypoxia because DeoxyHb binds more H⁺ than OxyHb (Duhm and Gerlach, 1971). Conversely, acidosis and hypophosphatemia result in decreased 2,3-DPG concentrations (Haglin *et al.*, 1994; Ibrahim *et al.*, 2005).

The concentration of 2,3DPG can be affected by a decrease in PK activity. When PK activity is reduced relative to PFK activity, as occurs in PK deficiency, phosphorylated

intermediates between the PK and GAPD reactions increase in concentration (Harvey, 2006; Mueggler and Black, 1982). The synthesis of 2,3DPG is increased as a result of the increased concentration of the 1,3DPG substrate. Thyroid hormones can increase 2,3DPG in human (Snyder et al., 1970) and sheep (Studzinski et al., 1982) RBCs, possibly by a direct effect on the DPGM enzyme.

RBCs of dogs, horses, pigs, and humans normally contain high concentrations of 2,3DPG, whereas those of cats and domestic ruminants have low concentrations (Tables 7-4 and 7-5). Based on results from a large number of mammalian species, only cats, hyenas, and civets among carnivores, and deer, giraffe, antelope, and the cattle family among artiodactyls have low RBC 2,3DPG concentrations (Bunn, 1981; Bunn *et al.*, 1974). Low concentrations of 2,3DPG in cat, goat, and sheep RBCs result primarily from low RBC DPGM activities, whereas RBCs of cattle have relatively high DPGP activity in association with moderately low DPGM activity (Chemtob *et al.*, 1980; Harkness *et al.*, 1969; Pons *et al.*, 1985).

The concentration of 2,3DPG increases more than twofold during reticulocyte maturation in rats and rabbits, which are species that naturally have high 2,3DPG concentrations in their RBCs (Gallego *et al.*, 1991). In contrast to these species, sheep reticulocytes have low 2,3DPG concentration, which decreases even further during reticulocyte maturation to mature RBCs (Gallego and Carreras, 1990).

I. Hb Oxygen Affinity

1. Oxygen Dissociation Curve

DeoxyHb exists in a low affinity "tense" structure. With oxygenation it undergoes a transition to OxyHb with a "relaxed" structure that has a lower binding capacity for CO₂, H⁺, Cl⁻, and organophosphates (Barvitenko et al., 2005). The initial binding of a molecule of O₂ to a monomer of tetrameric, deoxygenated Hb facilitates further binding of additional O2 molecules to the Hb molecule. Because the O₂ binding of one heme group influences the affinity of other heme groups for O₂, this characteristic has been called the heme-heme interaction. The changing oxygen affinity of Hb with oxygenation results in a sigmoid oxygen dissociation curve (Fig. 7-6) when the percentage saturation of Hb with oxygen is plotted against the partial pressure of oxygen (pO₂). The pO₂ at which Hb is 50% saturated is the P_{50} . The steepness of the middle portion of the curve is of great physiological significance, because it covers the range of oxygen tensions present in tissues. Consequently, relatively small decreases in oxygen tension can result in substantial oxygen release from Hb (Benesch et al., 1975).

When blood from many mammalian species is studied, an inverse linear correlation is recognized between the log P_{50} of whole blood and the log of body weight (Scott *et al.*,

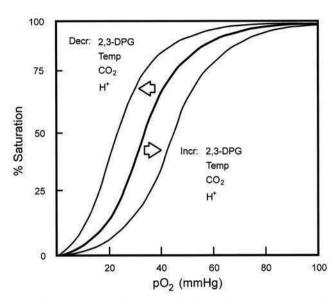


FIGURE 7-6 The hemoglobin-oxygen dissociation curve and factors influencing the position of the curve.

1977). There is an inverse relationship between metabolic rate (oxygen consumption per gram of tissue) and body weight (Kleiber, 1961). Consequently, the higher P_{50} in smaller animals should be beneficial in meeting tissue oxygen requirements associated with their higher metabolic rates. The RBCs of most mammal species adapted to living at high altitude exhibit greater Hb oxygen affinity than those living at low altitudes, and RBCs from animals of the same species adapted to living at high altitude may have greater Hb oxygen affinity than their counterparts living at low altitude (León-Velarde *et al.*, 1996).

A number of factors, including innate Hb oxygen-binding characteristics, H+ concentration, pCO2, organic phosphate concentrations, Cl⁻ concentrations, and temperature, influence the oxygen affinity of Hb within RBCs. In addition to their innate Hb oxygen-binding characteristics, different Hb types respond to differing degrees to these various effectors (Bårdgard et al., 1997; Haskins and Rezende, 2006). Fetal Hbs in ruminants have higher oxygen affinities than their respective adult Hbs (Battaglia et al., 1970; Blunt et al., 1971). HbC-containing RBCs from neonatal or anemic adult goats exhibit lower oxygen affinity, with a greater Bohr effect, than RBCs containing only adult Hb (Huisman et al., 1969). Both factors should potentiate the delivery of oxygen to the tissue in goats with HbC. The presence of MetHb (iron in the ferric state) in one or more of the Hb monomers not only results in decreased oxygen carrying capacity but also in increased oxygen affinity of the remaining monomers (Hrinczenko et al., 2000). The binding of Hb to the cytoplasmic domain of band 3 decreases the oxygen affinity of bound Hb, but a human RBC membrane has about 1 million copies of band 3 compared to 471 million Hb tetramers (Jensen, 2004).

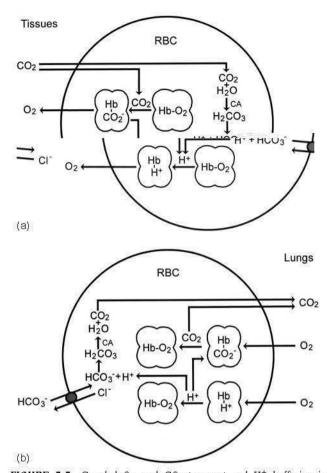


FIGURE 7-7 Coupled O_2 and CO_2 transport and H^+ buffering in RBCs. Reactions taking place in the tissues (a) and lungs (b) are shown. Hb- CO_2^- denotes carbamino Hb, and Hb-H⁺ denotes Hb binding H⁺. Abbreviation: CA, carbonic anhydrase.

2. Effects of H⁺, CO₂, and Temperature

The addition of H⁺ to a suspension of RBCs results in a decrease in oxygen affinity of Hb (Bohr effect), an increase in P_{50} , and a shift of the oxygen dissociation curve to the right (Fig. 7-6). The magnitude of the Bohr effect is defined numerically as $\Delta \log P_{50}$ /- Δ pH. There is an inverse relationship between the magnitude of the Bohr effect and average body size of various animal species (Riggs, 1960).

In capillaries of metabolizing tissues, CO_2 enters RBCs and about 80% is rapidly converted to H_2CO_3 by carbonic anhydrase (Hsia, 1998). This carbonic acid spontaneously ionizes to H^+ and HCO_3^- . The increased H^+ concentration decreases the oxygen affinity of Hb and facilitates oxygen delivery to the tissues. DeoxyHb is a weaker acid than OxyHb; therefore, DeoxyHb binds the excess H^+ and limits the decrease in pH. The increased HCO_3^- diffuses out of the cell down a concentration gradient and $C1^-$ moves in (chloride shift) to maintain electrical neutrality (Fig. 7-7a).

The direct binding of CO₂ to Hb in carbamino groups lowers oxygen affinity, but this effect is generally considered to be of minor importance. An exception appears to be in

goats and some sheep with HbC, a Hb type prominent in the neonate and induced in anemic and hypoxemic adults (see Section III.C.4). HbC binds twice as much CO₂ as does HbA in goats (Winslow *et al.*, 1989), and CO₂ decreases the oxygen affinity of HbC in goats and sheep more than it does the oxygen affinity of normal adult Hbs (Huisman and Kitchens, 1968). The carbamino formation also produces H⁺, which further lowers Hb oxygen affinity (see Section I.B).

The processes discussed previously are reversed at the lungs (Fig. 7-7b). O₂ enters RBCs and the resultant binding of O₂ to Hb promotes the release of Hb-bound CO₂ and H⁺ that were buffered by DeoxyHb. The H⁺ binds to HCO₃⁻ to form H₂CO₃, which dissociates to form CO₂ and water. The lungs expel the CO₂, and the resultant decreases in CO₂ and H⁺ concentrations increase the Hb oxygen affinity of RBCs passing through pulmonary capillaries. Because CO₂ and H⁺ effects are interrelated and additive, the combined change in Hb oxygen affinity has been called the "classical Bohr effect," whereas the change in Hb oxygen affinity produced only by H⁺ is called the "Bohr effect" (Zhang et al., 2003).

Increased temperature decreases the oxygen affinity of Hb, a response that appears physiologically appropriate considering that increased heat production accompanies increased oxygen consumption in tissues (Benesch et al., 1975). Body temperature increases during prolonged strenuous exercise (Hsia, 1998), with muscle temperature increasing more than pulmonary arterial temperature (Fenger et al., 2000). Increased muscle temperature, increased CO₂ production, and increased H+ production (from lactic acidosis and transport of CO₂) decrease the Hb oxygen affinity and promote the release of O₂ to muscles during prolonged heavy exercise. Oxygen extraction from the blood of horses increases from 20% at rest to 80% at maximal exercise (Fenger et al., 2000). Increased cardiac output and higher blood Hb concentrations (from splenic contraction) are equally important for maximal oxygen delivery to muscles in exercising horses (Fenger et al., 2000).

Breed differences in P_{50} have been described in dogs (Clerbaux *et al.*, 1993), horses, and goats (Haskins and Rezende, 2006). The P_{50} for greyhound RBCs in whole blood is lower than that for mongrel dogs, yet the groups have similar 2,3DPG concentrations (Sullivan *et al.*, 1994). The cause of this difference remains to be determined, but it is suggested that the higher hematocrit found in greyhound dogs may represent a compensatory response to a higher oxygen affinity of Hb in this species.

3. Effects of 2,3DPG

In RBCs from most mammalian species, 2,3DPG decreases the oxygen affinity of Hb, resulting in an increase in P_{50} (Bunn *et al.*, 1974). In contrast, poikilothermic animals generally use ATP or GTP (primarily fish), and birds typically use inositol pentaphosphate, to decrease the oxygen

affinity of Hb (Barvitenko *et al.*, 2005; Val, 2000). 2,3DPG reacts with Hb in a ratio of one molecule per Hb tetramer. Negatively charged groups of 2,3DPG bind to specific positively charged groups in the N-terminal region of Hb beta chains. There is a marked preference for binding to DeoxyHb as compared to OxyHb because of differences in the conformation of the molecules. The interaction of 2,3DPG with Hb is represented as follows:

$$HbDPG + O_2 \leftrightarrow HbO_2 + DPG$$

When 2,3DPG is increased the reaction is displaced to the left, and when pO_2 is increased the reaction is displaced to the right. ATP has a similar effect on Hb oxygen affinity but is generally much less important than 2,3DPG in mammals, because it usually occurs in lower concentration and is complexed with Mg^{+2} (Bunn, 1971).

When the oxygen affinity of Hb is studied in hemolysates dialyzed to remove 2,3DPG and ATP, the "stripped" Hb from species with low 2,3DPG RBCs has considerably lower oxygen affinities than stripped Hb from species with high 2,3DPG RBCs (Bunn, 1971; Bunn et al., 1974). The Hb oxygen affinity of most mammalian Hbs is decreased in the presence of chloride ions and, to a lesser extent, phosphate ions (Bårdgard et al., 1997; Fronticelli, 1990; Gustin et al., 1994; Haskins and Rezende, 2006). Consequently, the oxygen affinities of stripped Hbs can vary depending on the buffer system used for these assays. The oxygen affinity of stripped cattle Hb is lower in buffers containing NaCl than in buffers without NaCl. Although the addition of 2,3DPG to stripped cattle Hb causes a prominent increase in P_{50} in the absence of Cl⁻, it causes only a tiny additional increase in P_{50} in the presence of physiological concentrations of Cl⁻ (Marta et al., 1998). In contrast, the addition of 2,3DPG to stripped dog Hb results in a prominent increase in P_{50} , even in the presence of physiological concentrations of Cl⁻ (Bårdgard et al., 1997). Because stripped Hbs from species such as dogs with high 2,3DPG RBCs naturally have high oxygen affinities, 2,3DPG is needed within RBCs of these species to maintain Hb oxygen affinity within a physiologically useful range (Benesch et al., 1975).

When blood from many mammalian species is studied, an inverse linear correlation is recognized between the log P_{50} of whole blood and the log of body weight (Scott *et al.*, 1977); however, oxygen affinity of stripped Hb from various mammals does not correlate with body weight (Nakashima *et al.*, 1985). The maintenance of 2,3DPG in mammals is energetically expensive because the ATP-generating PGK reaction is bypassed. 2,3DPG apparently allows for an evolutionary adaption of blood Hb oxygen affinity to metabolic rate.

Mammals with naturally high 2,3DPG in RBCs may alter their Hb oxygen affinity to meet metabolic needs. The significance of (and in some cases the appropriateness of) alterations in 2,3DPG in disease states is not always clear.

RBC 2,3DPG increases in some anemic animals (Agar et al., 1977; King et al., 1992; Paltrinieri et al., 2000; Studzinski et al., 1978). The resultant increase in P_{50} would seem to be beneficial in response to anemia. Increased 2,3DPG has also been reported in RBCs from horses with hypoxic conditions (Giordano et al., 2004). When humans and animals enter higher altitude, their ventilation frequency increases. This hyperventilation decreases the pCO₂ and increases arterial pH, which increases the oxygen affinity for Hb. However, the increased pH stimulates RBC glycolysis and 2,3DPG synthesis, which tends to counteract the effect of increased pH. This response is appropriate as long as the increased ventilation can maintain alveolar O₂ tension sufficient for O₂ loading in the lungs (Nikinmaa, 2001). In the case of severe hypoxic hypoxemia the response might be detrimental, because Hb cannot be fully saturated (Jensen, 2004). Various studies in dogs indicate that cardiac output and microcirculation adjustments are much more important than changes in Hb oxygen affinity in adapting to hypoxia (Liard and Kunert, 1993; Schumacker et al., 1985; Zachara et al., 1981). However, a reduction in Hb oxygen affinity secondary to increased 2,3DPG can be beneficial, because it is far less energy demanding than is an increase in cardiac output (Liard and Kunert, 1993; Mairbäurl, 1994; Teisseire et al., 1985). RBC 2,3DPG increases in hibernating mammals, but the effect of the decrease in body temperature associated with hibernation on Hb oxygen affinity in vivo would more than offset the effect of increased 2,3DPG (Bunn, 1981). Because pH has a substantial effect on Hb oxygen affinity, changes in RBC 2,3DPG concentration, in response to acidosis and alkalosis, produce effects on Hb oxygen affinity that counteract alterations induced by the respective changes in pH (Bellingham et al., 1971).

Aged human RBCs lose K⁺, organic phosphates (especially 2,3DPG), and water. The loss of organic phosphates results in increased Hb oxygen affinity in these older RBCs (Schmidt *et al.*, 1987).

4. Maternal-Fetal Oxygen Transport

Except for in the domestic cat, the oxygen affinity of Hb in fetal blood is higher than that of maternal blood when measured under standard conditions (Bunn and Kitchen, 1973; Novy and Parer, 1969). This difference in oxygen affinity probably enhances the transport of oxygen across the placenta to the fetus (Comline and Silver, 1974; Hebbel *et al.*, 1980). Oxygen is adequately transported to cat fetuses, without the advantage of increased oxygen affinity, because of the nature of the placentation and a countercurrent arrangement of blood flows (Novy and Parer, 1969).

During late gestation, mean umbilical venous pO_2 values of 48, 38, 35, and 30 mmHg have been reported for horses, cattle, sheep, and pigs, respectively (Comline and Silver, 1974). Fetal systemic arterial pO_2 values may be even lower, especially in species such as the pig and horse,

which lack a ductus venosus, requiring that all returning umbilical venous blood pass through the liver. These pO₂ values are considerably lower than normal adult arterial pO₂ values of about 100mmHg. Because Hb in adult RBCs of these species is only partially saturated at these low arterial pO₂ values (Schmidt-Neilsen and Larimer, 1958), the increased oxygen affinity of fetal blood would result in a greater saturation of Hb and, therefore, a greater oxygencarrying capacity of blood than would otherwise be present.

Fetal RBCs maintain Hb oxygen affinities higher than those of the mother by one of three mechanisms (Bunn and Kitchen, 1973). (1) Ruminants have structurally distinct fetal Hbs that have higher oxygen affinities than adult Hbs in the absence of organic phosphates (Battaglia et al., 1970; Blunt et al., 1971). Interactions between 2,3DPG and both fetal and adult Hbs in ruminants are weak when Cl⁻ is present (Bunn and Kitchen, 1973). (2) A structurally distinct fetal Hb (HbF) also occurs in humans, but the oxygen affinity of stripped HbF and adult human Hb is about the same. Differences in whole blood oxygen affinity occur primarily because HbF interacts weakly with 2,3DPG, in contrast to the strong interaction with adult Hbs (Oski and Gottlieb, 1971). (3) Animals without structurally distinct fetal Hbs, such as dog, horse, and pig, have much lower 2,3DPG concentrations in fetal RBCs than in adult RBCs, thereby creating higher oxygen affinities in fetal RBCs (Bunn and Kitchen, 1973; Comline and Silver, 1974; Dhindsa et al., 1972; Tweeddale, 1973). The actual in vivo difference in oxygen affinity between fetal and maternal RBCs is presumably less than suggested by differences in P_{50} values measured in vitro at pH 7.4, because fetal blood pH is lower than that of maternal blood (Comline and Silver, 1974). However, the diffusion of CO₂ from fetal blood to maternal blood helps increase the pH and oxygen affinity in fetal blood, and it simultaneously decreases the pH and oxygen affinity in maternal blood. This "double Bohr effect" enhances the mother-to-fetus O₂ transfer (Zhang et al., 2003).

5. Postnatal Changes in 2,3DPG and Oxygen Affinity

Although the higher oxygen affinity of Hb in fetal RBCs may be beneficial in the uterus, it would seem to be disadvantageous to the newborn animal breathing air. Whole blood P_{50} values and RBC 2,3DPG concentrations (Fig. 7-8) increase after birth in most domestic animals.

RBC 2,3DPG concentrations are higher in fetuses than in adult ruminants, and they increase markedly within a few days after birth (Aufderheide *et al.*, 1980; King and Mifsud, 1981; Zinkl and Kaneko, 1973a). Because neither fetal nor adult Hbs from ruminants bind 2,3DPG to any extent (at least in the presence of physiological concentrations of Cl⁻), 2,3DPG decreases oxygen affinity primarily by lowering intracellular pH (Aufderheide *et al.*, 1980; Battaglia *et al.*, 1970; Blunt, 1972). Several mechanisms are involved

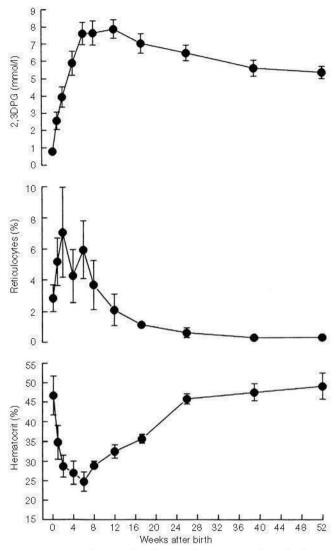


FIGURE 7-8 Changes in hematocrit, uncorrected reticulocyte count, and RBC 2,3DPG content in dogs following birth. Values are mean ± standard deviation (Harvey, unpublished, 1994).

in the 10-fold increase in RBC 2,3DPG during the first 5 days of life in lambs (Noble *et al.*, 1983). (1) Plasma glucose increases from 40 to 100 mg/dl during the first 2 days of life and allows for an increased consumption of glucose by the glucose-permeable neonatal RBC. (2) The blood pH increases during the first day of life and activates the PFK enzyme, as evidenced by changes in RBC intermediates. (3) Plasma P_i concentration increases to a level sufficient to increase GAPD activity at 3 days of age. (4) DPGM activity in neonatal RBCs is 12-fold higher than that of adults. (5) RBC PFK activity is still above adult values, but PK activity has decreased to adult values by birth.

The decline in 2,3DPG in postnatal ruminant RBCs is more gradual, requiring 1 to 2 months to reach adult values. The whole blood P_{50} is maintained, however, because of concomitant decreases in fetal Hb and increases in adult Hb types (Aufderheide *et al.*, 1980; Blunt *et al.*, 1971;

Lee et al., 1971; Zinkl and Kaneko, 1973b). In goat RBCs, HbC replaces most of the fetal Hb initially, but after 2 months, other adult Hbs begin to replace HbC (Huisman et al., 1969). Goat RBCs containing predominantly HbC have lower Hb oxygen affinity with a moderately increased Bohr effect compared to RBCs containing other adult Hbs (e.g., HbA, HbB, HbD) (Huisman et al., 1969). Only a small percentage of HbC is present in lambs up to 2 months of age. The percentage of fetal Hb at birth varies from 70% to 100%. The signal to switch production of fetal Hb to HbA in lambs appears to result from an inherent programming of he matopoietic stem cells (Wood et al., 1985).

Rapid, but modest, increases in 2,3DPG and P_{50} occur after birth in horse RBCs (Bunn and Kitchen, 1973). As in ruminants, blood pH increases significantly within 1 h after birth (Rose *et al.*, 1982). Plasma P_i concentration also increases during the first 2 weeks of life (Bauer *et al.*, 1984). Gradual, but large, increases in 2,3DPG and P_{50} occur postnatally in blood of dogs (Dhindsa *et al.*, 1972; Harvey and Reddy, 1989; Mueggler *et al.*, 1980) and pigs (Baumann *et al.*, 1973; Kim and Duhm, 1974; Watts and Kim, 1984). A decreasing activity of PK has been reported to account for the increasing 2,3DPG concentration during the first 60 days of life in dogs (Mueggler and Black, 1982), but activation of PFK may also play a role in this increase.

In human RBCs, 2,3DPG increases slightly after birth, but most of the increase in P_{50} that occurs during the first 6 months of life results from the replacing of HbF with adult Hbs (Oski and Gottlieb, 1971). Whereas P_{50} values changed slightly in kittens after birth, RBC 2,3DPG values remained in the normal adult range (Dhindsa and Metcalfe, 1974). Data concerning changes in these and other species have been compiled by Isaacks and Harkness (1983).

RBCs as Sensors and Effectors of Local O₂ Delivery

RBCs may also have a role in the regulation of local O2 delivery to tissues that is mediated by Hb O2 saturation (SO₂). In addition to releasing O₂ from Hb in the tissues, one or more signals are released from RBCs that trigger vasodilation and increased blood flow to the feeding arterioles (Jagger et al., 2001; Singel and Stamler, 2005). Nitric oxide ('NO), generated from L-arginine by 'NO synthases in the endothelium and in other cells, is a potent vasodilator. Some evidence suggests that 'NO can be transported by RBCs to microvascular sites of action in a protected form as an S-nitrosothiol on the highly conserved Hb β -93 cysteine residue. On the release of oxygen, this S-nitrosoHb purportedly delivers 'NO to arterioles (Singel and Stamler, 2005). An alternate mechanism of 'NO delivery has been proposed that reutilizes nitrite formed when 'NO reacts with OxyHb (Crawford et al., 2006). Nitrite is stored within RBCs and reduced back to 'NO by DeoxyHb, which serves as a nitrite reductase (Rifkind et al., 2006). Both

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mechanisms would result in 'NO production, vasodilation, and increased blood flow at sites where SO_2 in RBCs is low (Crawford *et al.*, 2006).

RBCs also promote vasodilation by the release of micromolar amounts of ATP, an endothelium-dependent vasodilator, when SO₂ is low. As oxygen is released from OxyHb, the increased concentration of DeoxyHb binds to the cytoplasmic domain of band 3, displacing PFK and other glycolytic enzymes. It is hypothesized that this results in a stimulation of glycolysis (see Section IV.G), which results in increased production and release of ATP (Jagger et al., 2001). The precise mechanism of ATP release is unknown, but band 3 and nucleoside transporter band 4.5 have been implicated in transport (Jagger et al., 2001). In addition, a member of the ATP-binding cassette, the cystic fibrosis transmembrane conductance regulator (CFTR), appears to mediate a deformation-induced release of ATP from RBCs (Gov and Safran, 2005; Sprague et al., 2005), and this transport mechanism may also play a role in SO2-mediated ATP release (Jagger et al., 2001). Blood levels of ATP rise and fall within minutes, compared to seconds for 'NO effects. Consequently, 'NO and ATP may have complementary roles in acute local and prolonged systemic hypoxia, respectively (Singel and Stamler, 2005).

J. Pentose Phosphate Pathway

The pentose phosphate pathway (PPP) generates NADPH, the major source of reducing equivalents in the protection of RBCs against oxidative injury. This pathway also produces ribose 5-phosphate (R5P), which is required for adenine nucleotide synthesis (Eaton and Brewer, 1974). The PPP competes with the EMP for the G6P substrate (Fig. 7-5). Normally only about 5% to 13% of glucose metabolized by RBCs flows through the PPP (Harvey and Kaneko, 1976a), but this flow can be accelerated markedly by oxidants (Harvey and Kaneko, 1977).

The first step in the metabolism of glucose through the PPP generates NADPH from the oxidation of G6P in the glucose-6-phosphate dehydrogenase (G6PD) reaction. An additional NADPH is generated from the oxidative decarboxylation of 6-phosphogluconate (6PG) to ribulose 5-phosphate in the 6-phosphogluconate dehydrogenase (6PGD) reaction. This is the only known reaction producing CO₂ in mature RBCs. The remaining reactions in the PPP are non-oxidative and freely reversible. R5P is produced from ribulose 5-phosphate by the R5P isomerase reaction. The net effect of the metabolism of 3 molecules of G6P through the PPP is as follows (Eaton and Brewer, 1974):

$$\begin{aligned} \text{3G6P} + 6\text{NADP}^+ &\rightarrow 3\text{CO}_2 + 2\text{F6P} + \text{G3P} \\ + 6\text{NADPH} + 6\text{H}^+ \end{aligned}$$

G6PD is the rate-limiting reaction in the PPP under physiological conditions. Normally, the G6PD reaction in intact human RBCs operates at only 0.1% to 0.2% of the maximal enzyme activity, as determined in hemolysates under optimal conditions. The low rate of this reaction in RBCs occurs because of limited substrate availability (especially NADP+) and because G6PD is strongly inhibited by NADPH and ATP at physiological concentrations (Yoshida, 1973). The maximal G6PD activities measured in hemolysates from goat and sheep RBCs are much lower than those of humans or of other domestic animals (Tables 7-2 and 7-3). However, this comparatively low enzyme activity does not render sheep RBCs unduly susceptible to the hemolytic effects of oxidant drugs (Maronpot, 1972; Smith, 1968), in part because ATP does not inhibit G6PD in this species (Smith and Anwer, 1971).

About 91% of total NADP is in the reduced form in horse RBCs (Stockham et al., 1994) and 92% to 99% of total NADP is NADPH in human RBCs (Kirkman et al., 1986; Zerez et al., 1987). NADPH is utilized to reduce oxidized glutathione to GSH, the substrate for the glutathione peroxidase reaction, and it is bound to catalase, preventing and reversing the accumulation of an inactive form of catalase that is generated when catalase is exposed to H₂O₂ (Kirkman et al., 1987). In the presence of oxidants, NADPH is oxidized and the PPP is stimulated because the activities of G6PD and 6PGD are directly related to the concentration of NADP and inversely related to that of NADPH (Yoshida, 1973). Glutathione metabolism affects PPP activity via the glutathione reductase (GR) enzyme, which generates NADP as a result of the reduction of GSSG with NADPH (Fig. 7-5).

K. Nature of Oxidants in Biology

Reactive oxygen species (ROS) and reactive nitrogen species (RNS) are produced as products of normal cellular metabolism. They play dual roles as beneficial and deleterious species. At low to moderate concentrations, 'NO and superoxide ('O₂') free radicals are involved in signal transductions between cells (Valko *et al.*, 2007). A free radical is defined as any species with one or more unpaired electrons. When generated at higher concentrations in diseased states, these free radicals (and even more potent oxidative metabolites they produce) can overwhelm protective systems within the body, producing cellular injury or destruction (Valko *et al.*, 2007). The oxidants generated vary in their overall reactivities, and some are fairly selective for certain biomolecules (e.g., tyrosine, glutathione, linoleic acid, and ascorbate) (Pryor *et al.*, 2006).

Increased amounts of endogenous oxidants are generated in association with various disorders including inflammation (Lykkesfeldt, 2002; Spickett *et al.*, 1998; Weiss *et al.*, 1992a; Weitzman and Gordon, 1990), RBC parasites (Otsuka *et al.*, 2001; Shiono *et al.*, 2003), neoplasia (Christopher, 1989; Della Rovere *et al.*, 2000), diabetes (Christopher, 1995), intense exercise (Hargreaves *et al.*,

2002; Lykkesfeldt, 2002), and ischemia/reperfusion (Valko et al., 2007).

1. Reactive Oxygen Species

From a thermodynamic standpoint, oxygen is a strong oxidant, but its reactivity is limited by virtue of its unusual electronic configuration (Green and Hill, 1984). Although it is relatively unreactive, oxygen can be metabolized in vivo to form highly reactive derivatives. A single-electron reduction of O_2 yields the superoxide (O_2^-) free radical. The major source within RBCs appears to be the autoxidation of OxyHb (Johnson et al., 2005). There are a wide variety of other sources of 'O₂⁻ production in various tissues; examples include the ubiquinone-cytochrome b region in mitochondria; uncoupled cytochrome P-450 reactions; autoxidation of adrenaline, certain flavins, and SH groups; enzyme reactions such as xanthine oxidase and tryptophan deoxygenase; and the oxidant burst of activated neutrophils and mononuclear phagocytes (Freeman and Crapo, 1982). In the absence of inflammation, the major source of O_2^- production in nonerythroid cells is the mitochondrion (Johnson et al., 2005). Superoxide undergoes spontaneous and enzyme catalyzed dismutation to H₂O₂. In the presence of a metal ion such as iron or copper, O_2^- and H_2O_2 interact to form the highly reactive hydroxyl radical (OH). Additional ROS include singlet O₂ (an excited state of O₂), ozone (O₃) and hypochlorous acid (HOCl), generated by myeloperoxidase in neutrophils from H₂O₂, and Cl⁻ (Pryor et al., 2006).

2. Reactive Nitrogen Species

Nitric oxide is a stable free radical generated from arginine by the nitric oxide synthase (NOS) reaction. Three isoforms of NOS exist. The endothelial enzyme (eNOS) and the neuronal enzyme (nNOS) are constitutively expressed. The third form (iNOS) is induced in a variety of cells, often as a result of immunologic/inflammatory stimulation (Pryor et al., 2006). Nitric oxide is a second messenger involved in a variety of biological functions. Within the vasculature, it promotes vasodilation and inhibits platelet aggregation and leukocyte adhesion to the endothelium. It also functions as a neurotransmitter and has antimicrobial functions in phagocytes. The half-life of 'NO in the circulation is believed to be <0.1sec (Rifkind et al., 2006). It combines with oxygen to produce additional oxidants, nitrogen dioxide ('NO₂) and dinitrogen trioxide (N₂O₃), which are eventually converted to nitrite (NO_2^-). Nitric oxide reacts with O_2^- , to form the strong oxidant peroxynitrite (ONOO-). Peroxynitrite combines with CO₂ to form ONOOCO₂, which decomposes to form NO_2 and the carbonate radical (CO_3).

Nitric oxide exhibits complex interactions with Hb to form several products, including nitrosylhemoglobin [Hb(II)NO], S-nitrosylated Hb, MetHb, and nitrate, depending on whether it reacts with OxyHb or DeoxyHb (Rifkind *et al.*,

2006). The RBC was considered to be an important 'NO scavenger in earlier literature, but much of the 'NO apparently does not enter the cytoplasm of RBCs, primarily because their membranes and associated cytoskeleton layers limit its uptake (Han *et al.*, 2005). However, free Hb in plasma scavenges 'NO up to 600 times more readily than Hb within RBCs. Consequently, intravascular hemolysis disrupts 'NO homeostasis, which may lead to vasoconstriction, decreased blood flow, platelet activation, increased endothelin-1 expression, and organ injury (Gladwin *et al.*, 2004).

3. Drugs, Environmental Agents, and Metabolic Intermediates

A wide variety of drugs, environmental agents, and metabolic intermediates either exist as free radicals or can be converted to free radicals by cellular metabolic processes (Freeman and Crapo, 1982; Mason, 1982). These free radicals can be more damaging than the ROS and RNS species listed previously.

L. Metabolic Protection against Oxidants

1. Superoxide Dismutase

Superoxide dismutase (SOD) is a copper- and zinc-containing enzyme that was first isolated from cattle blood (McCord and Fridovich, 1969). It promotes the dismutation of two 'O₂' molecules to H₂O₂ and O₂ (Fig. 7-5). SOD helps prevent the buildup of superoxide, which can act as an oxidant by itself, or combine with H₂O₂ to form the 'OH radical, or combine with 'NO to form peroxynitrite (Pryor *et al.*, 2006). The activity of SOD in RBCs of domestic animals is about the same as or higher than that in humans (Harvey and Kaneko, 1977; Suzuki *et al.*, 1984). RBC SOD activity is reduced in animals fed diets deficient in copper (Andrewartha and Caple, 1980; Williams *et al.*, 1975). Zinc is also needed for optimal activity, and consequently, SOD activity may also be low in zinc-deficient animals (Hirose *et al.*, 1992).

2. Glutathione

Reduced glutathione (GSH) is of central importance in the protection against oxidant injury. It is a tripeptide of glutamic acid, cysteine, and glycine that occurs in approximately 2mM concentrations in RBCs. It is synthesized de novo in RBCs of humans and animals from constituent amino acids via two ATP-requiring reactions, utilizing gamma-glutamylcysteine synthetase and glutathione synthetase (Beutler, 1989). GSH has a highly reactive (easily oxidizable) sulfhydryl (SH) group that, like other thiols, may act nonenzymatically as a free radical acceptor to counteract oxidant damage (Prins and Loos, 1969). GSH can also bind free hemin (containing iron as Fe⁺³) that may be

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released during Hb oxidative denaturation, thereby reducing the potential of hemin for membrane injury (Shviro and Shaklai, 1987). GSH functions as an electron donor in various reductive enzyme reactions including glutathione peroxidase (GPX), phospholipid hydroperoxide glutathione peroxidase, glutathione S-transferase, and glutaredoxin (discussed subsequently).

Although GSH is constantly being oxidized to a disulfide (GSSG) within RBCs, most glutathione is maintained in its reduced form by the glutathione reductase reaction. RBCs increase PPP metabolism to provide the NADPH necessary for the regeneration of GSH by the GR reaction (Eaton and Brewer, 1974). The selective oxidation of a renewable SH group helps limit irreversible damage to RBCs that would otherwise occur. GSSG accounts for only about 0.2% of the total glutathione in normal human RBCs (Beutler, 1984). GSH is easily oxidized during the process of sample preparation and handling for GSSG assays; therefore, care must be taken not to produce artifactual increases in GSSG concentration (Rossi et al., 2002). RBC membranes are not permeable to GSH, but GSSG is exported from RBCs using an ATP-dependent multidrug resistance protein (MRP) transporter (Keppler et al., 1998). The half-life of glutathione in dog and rabbit RBCs (2 to 5 days) is similar to that in human RBCs (4 days), whereas longer times (10 to 12 days) are reported in sheep RBCs. The GSSG transport rate may be the main determinant of glutathione turnover in RBCs (Smith, 1974). Intracellular GSSG that is not exported from RBCs or reduced to GSH reacts with protein SH (PSH) groups to form glutathione-protein mixed disulfides (GS-SP) according to the reaction GSSG + PSH → GS-SP + GSH (Di et al., 1998).

3. Glutathione Reductase

GSSG produced by the various oxidative reactions is reduced to GSH by NADPH and the flavin adenine dinucleotide (FAD)-dependent glutathione reductase (GR) reaction. Riboflavin metabolism affects the availability of FAD and the fraction of the protein that exhibits activity (Beutler, 1989; Harvey and Kaneko, 1975b). GR activity is unmeasurable in RBCs from horses that are deficient in FAD secondary to a defect in RBC riboflavin metabolism (Harvey et al., 2003). NADPH is produced by the initial enzyme reactions of the pentose phosphate pathway, and RBCs increase pentose phosphate pathway metabolism in response to oxidants to provide the NADPH necessary for the regeneration of GSH. Excepting the cat, RBCs of domestic animals have lower GR activity than those of humans (Agar et al., 1974b; Harvey and Kaneko, 1975b). RBCs from horses are slower than RBCs from other species studied in their ability to regenerate GSH after it has been oxidized in vitro. Horses also appear less able to protect their RBCs against oxidative injury induced by incubation with high levels of ascorbate, which stimulates the GR reaction by the oxidation of GSH.

These reduced abilities may be related to the finding that horses have lower RBC GR activities than RBCs from humans and most domestic animal species. In addition, the K_m of GSSG for GR is higher in horses than in three other species measured (Harvey and Kaneko, 1975b).

4. Glutathione S-Transferase

Glutathione S-transferase (GST) catalyzes the formation of glutathione S-conjugates between GSH and certain electrophilic substrates. The same ATP-dependent MRP transport system appears to transport GSSG and glutathione S-conjugates out of RBCs (Keppler et al., 1998). GST is susceptible to inactivation by electrophilic compounds; consequently, RBC GST activity may provide a marker for certain types of chemical exposure (Ansari et al., 1987). GST activity is present in RBCs of all mammalian species studied thus far, but natural electrophilic substrates and the potential involvement of these glutathione S-conjugates in glutathione turnover in normal animals are unknown (Board and Agar, 1983; Vodela and Dalvi, 1997). A direct correlation between GST activity and GSH concentration has been reported in sheep and dog RBCs (Goto et al., 1992). This is probably related to the fact that GST is stabilized by GSH.

The GST-mediated conjugation of various carcinogens and other electrophilic drugs in the liver is important in the protection of the body against these agents (Chasseaud, 1979), but the importance of this activity in protecting RBCs against xenobiotics remains to be documented. The distribution of GST isozymes varies in tissues, including RBCs. As a result, RBCs from different individuals (even within the same species) may vary in their conjugating abilities with various xenobiotics (Ploemen *et al.*, 1995). GST can also bind free hemin that is released during Hb oxidation, presumably reducing damage to RBC membranes (Harvey and Beutler, 1982).

5. Glutathione Peroxidase

Low levels of $\rm H_2O_2$ are produced in the course of normal cellular events and higher levels may be generated by exogenously administered redox active compounds (Saltman, 1989). GPx catalyzes the conversion of $\rm H_2O_2$ to $\rm H_2O$ (Fig. 7-5). It also catalyzes the reduction of fatty acid hydroperoxides, and 1-monoacylglycerol hydroperoxides (Thomas *et al.*, 1990). Another GPx in RBCs termed *phospholipid hydroperoxide glutathione peroxidase* participates in the reduction of more complex phospholipid hydroperoxides using GSH (Fujii *et al.*, 1984).

Selenium is incorporated as selenocysteine at the active site of a wide range of selenoproteins, including GPx, phospholipid hydroperoxide glutathione peroxidase, and thioredoxin reductase in RBCs (Brown and Arthur, 2001). GPx activity in RBCs correlates directly with blood selenium concentration in ruminants, horses, and rats but not in pigs or higher primates (Anderson *et al.*, 1978; Beilstein

and Whanger, 1983; Caple et al., 1978; Thompson et al., 1976). Selenium deficiency can be diagnosed by measuring decreased RBC GPx activity in some species (Anderson et al., 1978; Caple et al., 1978); however, caution is indicated in using this activity as a direct indicator of selenium status because polymorphism in GPx activity may be present, as occurs in Finn sheep (Sankari and Atroshi, 1983). A wide variety of abnormalities or lesions (most notably in skeletal and cardiac muscle) have been described in association with selenium deficiency in animals (Shamberger, 1986), but hemolytic anemia rarely, if ever, occurs in the absence of external oxidant stress. Heinz body (HzB) hemolytic anemia has been reported during the summer months in selenium-deficient cattle grazing on St. Augustine grass growing on peaty muck soils in South Florida (Morris et al., 1984). Although HzB formation was greatly reduced by selenium supplementation, it is likely that these animals were also exposed to a seasonal oxidant, possibly incorporated in the grass.

6. Thioredoxin, Glutaredoxin, and Peroxyredoxin

Thioredoxin (Trx) and glutaredoxin (Grx) are small proteins with two closely associated cysteines in their active sites (Lillig and Holmgren, 2007). They may be present in either oxidized disulfide forms or reduced dithiol forms. The reduced forms of these proteins interact with and reduce intramolecular protein disulfides that form in oxidatively damaged proteins. Resultant oxidized Trx is reduced to the dithiol form by NADPH and thioredoxin reductase (together called the thioredoxin system) (Cha and Kim, 1995; Mendiratta et al., 1998). In contrast, oxidized Grx is reduced by GSH, and the GSSG formed is reduced by NADPH and GR (together called the glutaredoxin system) (Papov et al., 1994). Reduced Grx can also reduce mixed disulfides that form between glutathione and oxidized protein SH groups (S-glutathionylation) (Klatt and Lamas, 2000). These various reactions regenerate SH groups, presumably protecting proteins such as Hb and enzymes with reactive SH groups from irreversible denaturation. Trx is also important in the scavenging of free radicals (both directly and indirectly) and as an electron donor for peroxyredoxins. Grx is also utilized in the reduction of dehydroascorbate. Both Trx and Grx have additional functions in other cell types (Lillig and Holmgren, 2007).

Peroxyredoxins are an emerging family of multifunctional SH-dependent enzymes that reduce $\rm H_2O_2$ and alkyl hydroperoxides to water and alcohol, respectively. Oxidized peroxyredoxins are regenerated using the Trx system (Lee et al., 2003). Peroxiredoxin 2 (Prx2) is the third most abundant protein in human RBCs, in which it has been shown to be an efficient scavenger of $\rm H_2O_2$ generated endogenously at low concentrations (Low et al., 2007). Knockout mice lacking Prx2 have severe hemolytic anemia characterized by an increase in RBC reactive oxygen species, leading to

protein oxidation, HzB formation, and decreased RBC life span (Lee *et al.*, 2003).

7. Catalase

Catalase is a heme-containing homotetrameric enzyme that also destroys H₂O₂ by conversion to H₂O and O₂ (Kirkman and Gaetani, 2007). Except in dogs, mammalian RBCs generally have high catalase activities (Allison et al., 1957; Nakamura et al., 1998; Paniker and Iyer, 1965; Suzuki et al., 1984). Catalase is linked metabolically to the PPP because NADPH is tightly bound to catalase in mammals (Kirkman and Gaetani, 2007). The oxidation of bound NADPH to bound NADP+ results in the accumulation of an inactive form of catalase (compound II). This inactive catalase can be returned to normal function by reduction of bound NADP+ with unbound NADPH and (to a lesser degree) unbound NADH using reductase and transhydrogenase activities, respectively, inherent in catalase (Gaetani et al., 2005). This may explain the finding of normal catalase activity in RBCs from a horse with extremely low NADPH concentration secondary to G6PD deficiency (Stockham et al., 1994). In addition to its benefit to RBCs, the presence of catalase in RBCs may help protect somatic cells exposed to high levels of H₂O₂, such as in sites of active inflammation (Agar et al., 1986).

The relative importance of catalase versus GPx in the detoxification of low levels of endogenous H₂O₂ produced in vivo has been controversial (Gaetani et al., 1994; Nagababu et al., 2003; Scott et al., 1993). Dogs have been identified that lack catalase within their RBCs but have catalase in other tissues (Nakamura et al., 1999). RBCs from acatalasemic humans, mice, and dogs have not been reported to exhibit increased oxidative damage in vivo (Goth, 2001; Kirkman and Gaetani, 2007). RBCs from mice with GPx deficiency exhibit nearly normal defenses against H₂O₂ in vitro, although some loss of membrane sulfhydryls occurs in vivo (Ho et al., 2004; Johnson et al., 2000). Based on information available at this time, it appears that Prx2 is the most important enzyme in protecting RBCs against the low levels of H₂O₂ normally produced in vivo (Lee et al., 2003). Catalase appears to be important in protecting RBCs against higher levels of exogenous H2O2 (Johnson et al., 2005; Stagsted and Young, 2002). The primary physiological role of GPx in RBCs appears to be the detoxification of organic peroxides (Johnson et al., 2000).

8. Vitamin E (α -Tocopherol)

Vitamin E is lipid soluble and the most important antioxidant in the cell membrane. It donates reducing equivalents to lipid peroxyl radicals, converting them to less toxic lipid hydroperoxides (May, 1998; Valko *et al.*, 2007). GSH-dependent phospholipid hydroperoxidase can reduce the lipid hydroperoxides that form. Oxidized vitamin E can be

reduced by ascorbate, presumably at the aqueous-lipid interface of the lipid bilayer (May, 1998). Vitamin E deficiency increases the susceptibility of RBCs to peroxidative hemolysis (Duthie *et al.*, 1989; Pillai *et al.*, 1992). Its location in the membrane provides little protection against cytosolic oxidative injury (Rotruck *et al.*, 1972). Vitamin E also inhibits hemolysis induced by hemin, purportedly by functioning as a membrane-stabilizing agent (Wang *et al.*, 2006).

9. Vitamin C (Ascorbate)

Vitamin C is an antioxidant with high reducing potential. It donates one or two electrons to a variety of oxidants, including oxygen free radicals and peroxides. It also appears to have an important function in the reduction of oxidized vitamin E within RBC membranes (May, 1998). The single electron-oxidized form is a stable free radical that can either donate or accept electrons. Dehydroascorbate, the two electron-oxidized form, can be reduced back to ascorbate nonenzymatically by GSH and enzymatically via the glutaredoxin reaction and by a separate GSH-dependent dehydroascorbate reductase enzyme (Xu et al., 1996). Dehydroascorbate can also be reduced by the NADPH-dependent thioredoxin reductase reaction (May, 1998). Ascorbate enters and leaves cells slowly, but dehydroascorbate is rapidly taken up by RBCs by facilitated diffusion on the glucose transport protein (GLUT-1) and reduced to ascorbate within RBCs. Ascorbate is a major antioxidant in plasma. Ascorbate within human RBCs readily donates electrons to extracellular ascorbate free radicals via a plasma membrane redox system (possibly involving a membrane cytochrome b561), which helps prevent depletion of extracellular ascorbate. However, this membrane redox system appears to be less active in mouse RBCs (Su et al., 2006).

10. Lipoic Acid

Lipoic acid is a sulfur-containing compound that is absorbed from the diet and synthesized in mitochondria (Smith et al., 2004). Upon entering cells, it can be reduced to the dithiol form dihydrolipoic acid by the thioredoxin system (Smith et al., 2004). Reduction of lipoic acid in human RBCs is reported to occur via GSH and glutathione reductase (Constantinescu et al., 1995). Dihydrolipoic acid scavenges various ROS and RNS and chelates heavy metals; however, it is present in much lower concentrations in tissues compared to GSH and ascorbate. Consequently, the importance of its function as an antioxidant in vivo is questionable (Smith et al., 2004).

11. MetHb Reduction

MetHb differs from Hb only in that the iron moiety of the heme groups is in the ferric rather than the ferrous state. MetHb forms *in vivo* at low levels normally and at much

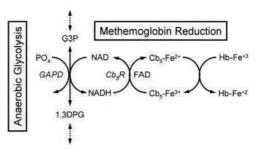


FIGURE 7-9 Methemoglobin reduction pathway. Abbreviations: G3P, glyceraldehyde-3-phosphate;GAPD, glyceraldehyde-3-phosphatedehydrogenase; PO₄, inorganic phosphate; 1,3DPG, 1,3-diphosphoglycerate; NAD, nicotinamide adenine dinucleotide; NADH, reduced nicotinamide adenine dinucleotide; Cb₅R, cytochrome b₅ reductase; FAD, flavin adenine dinucleotide; Cb₅-Fe⁺³, ferricytochrome b₅; Cb₅-Fe⁺², ferrocytochrome b₅; Hb-Fe⁺³, methemoglobin; and Hb-Fe⁺², deoxyhemoglobin. From Harvey, 2006, with permission.

higher levels in the presence of oxidative compounds (Bodansky, 1951).

MetHb is unable to bind oxygen and must be reduced to Hb to be functional. MetHb is primarily reduced by cytochrome- b_5 reductase (Cb₅R), also called MetHb reductase. In this reaction, electrons are transferred from NADH to ferricytochrome- b_5 using FAD as the enzyme-bound prosthetic group; then the resulting ferrocytochrome- b_5 reduces MetHb nonenzymatically to Hb (Higasa *et al.*, 1998) (Fig. 7-9). MetHb reduction is more corrective than protective.

RBCs contain another enzyme, NADPH diaphorase (NADPH MetHb reductase, NADPH dehydrogenase) that is capable of MetHb reduction when appropriate electron carriers are present. In addition to redox dyes, such as methylene blue, various flavins may function as substrates for reduction by NADPH, prompting investigators to classify the enzyme as an NADPH flavin reductase (Yubisui *et al.*, 1980). The contribution of this enzyme to MetHb reduction in human RBCs is believed to be insignificant because flavin concentrations are normally low (Hultquist *et al.*, 1993). The finding that this protein also binds heme, porphyrins, and fatty acids raises additional questions about its possibly physiological role in RBCs (Xu *et al.*, 1992).

Following the oxidation of Hb to MetHb with nitrite in vitro, horse RBCs reduce MetHb at a slower rate than those of other domestic animals (except pigs) when glucose is added as the substrate for energy (Robin and Harley, 1966). RBCs from adult pigs cannot reduce MetHb with glucose as the substrate because they lack a membrane glucose transporter (Kwong et al., 1986). In contrast to NADP, only about half of the total NAD is normally present in the reduced (NADH) form (Zerez et al., 1987). Horse (Medeiros et al., 1984; Robin and Harley, 1967) and pig (Rivkin and Simon, 1965) RBCs utilize lactate better than glucose to generate NADH (by the LDH reaction) for the reduction of MetHb. Because lactate occurs in blood and easily diffuses into RBCs, it may be an important substrate of MetHb reduction in vivo.

Methylene blue (MB) is used to treat toxic methemoglobinemia because it causes MetHb to be reduced faster than occurs by the relatively slow Cb₅R reaction. MB is reduced to leukomethylene blue (LMB) by the NADPH-dependent diaphorase discussed previously (Fig. 7-5), and LMB reacts spontaneously with MetHb, reducing it to Hb and regenerating MB (Sass *et al.*, 1969). MB was suggested to be of limited value in the treatment of methemoglobinemia in horses, as it had a limited ability to stimulate MetHb reduction in horse RBCs *in vitro* using glucose as the substrate (Robin and Harley, 1966). However, MB was subsequently found to be effective in reducing methemoglobinemia in horses *in vivo* (Dixon and McPherson, 1977; Harvey *et al.*, 2003).

Being a redox dye, MB can participate in various oxidative reactions on entering RBCs. It is important that it be used judiciously lest it potentiate HzB body formation and hemolysis that might result from the original oxidative insult (Harvey and Keitt, 1983).

V. DETERMINANTS OF RBC SURVIVAL

A wide variety of conditions, including immune-mediated hemolytic anemias and infectious diseases, result in short-ened RBC survival. Readers are referred to *Schalm's Veterinary Hematology* (Feldman *et al.*, 2000) for information concerning conditions beyond the scope of this chapter.

A. Oxidative Injury

Oxidants produce different patterns of intracellular and membrane damage. For example, nitrite produces MetHb with minimal damage to Hb or membranes (May et al., 2000); onion toxicity produces Heinz bodies and membrane damage with minimal methemoglobinemia in dogs (Harvey and Rackear, 1985); and acetaminophen toxicity results in significant methemoglobinemia, Heinz body formation, and membrane damage in cats (Finco et al., 1975). Different patterns may be related to differences in lipid solubility, redox potentials, reactivity with SH groups, binding to heme, and the source or site of oxidant generation. Extracellularly produced oxidants can damage the membrane before reaching the cytosolic protective mechanisms. Oxidants that are generated intracellularly in coupled reactions with OxyHb tend to produce more Hb injury than membrane injury. Hb has been described as a "frustrated" oxidase, because it potentiates the generation of oxidants by a variety of drugs (Carrell et al., 1977).

1. MetHb Formation

Although MetHb formation is reversible and does not enhance RBC destruction per se, it is a component of oxidative injury to RBCs and is generally involved as a step in HzB formation. Clinical signs associated with methemoglobinemia are the result of hypoxia because MetHb cannot

bind O₂. Lethargy, ataxia, and semistupor do not become apparent until MetHb content exceeds 50%, with a comalike state and death ensuing when it exceeds 80% (Bodansky, 1951). The cyanotic appearance of mucous membranes associated with this condition may not be easily recognized in heavily pigmented animals. MetHb content is quantified spectrophotometrically, but a spot test can determine whether clinically significant levels of MetHb are present. One drop of blood from the patient is placed on a piece of absorbent white paper and a drop of normal control blood is placed next to it. If the MetHb content is 10% or greater the patient's blood should have a noticeably brown coloration.

Approximately 3% of Hb is spontaneously oxidized to MetHb each day in normal people (Mansouri and Lurie, 1993) and dogs (Harvey *et al.*, 1991). A similar rate of MetHb formation (4%) has been calculated for mice (Johnson *et al.*, 2005). This MetHb formation results primarily from spontaneous autoxidation of OxyHb (Johnson *et al.*, 2005).

Although the iron moiety of DeoxyHb is in the ferrous state, in OxyHb it exists in (or near) the ferric state, with an electron being transferred to the O_2 molecule to give a bound superoxide (O_2^-) ion (Mansouri and Lurie, 1993). During deoxygenation, the electron returns to the iron moiety and O_2 is released. Autoxidation to MetHb with the release of O_2^- occurs when the bound O_2^- is replaced by a nucleophile such as $C1^-$ (Wallace *et al.*, 1974).

Oxidants such as hexavalent chromates, chlorates, cobalt, and copper oxidize Hb iron by extracting electrons (Umbreit, 2007). Cupric ions oxidize the reactive SH group on the beta chains of human and animal Hbs (Taketa and Antholine, 1982), and then an electron is transferred from heme iron to regenerate the SH group. Cupric ion is regenerated by interaction with oxygen. The series of reactions by which copper catalyzes Hb oxidation to MetHb (Carrell et al., 1978) are as follows:

$$\begin{array}{c} Cu^{+2} + Fe^{+2}S^{-} \rightarrow Cu^{+1} + Fe^{+2}S^{\cdot} \\ Fe^{+2}S^{\cdot} \rightarrow Fe^{+3}S^{-} \\ Cu^{+1} + O_{2} \rightarrow Cu^{+2} + O_{2}^{-} \end{array}$$

These reactions occur rapidly but continue only until about 50% of total hemes are oxidized because alpha chains are resistant to oxidation (Taketa and Antholine, 1982). Additional oxidative reactions involving copper can denature Hb and damage membranes (Hochstein *et al.*, 1978).

Rather than extracting electrons, many oxidant drugs produce MetHb by donating electrons to OxyHb (Carrell *et al.*, 1977), as is shown below for phenylhydroxylamine (PHA):

$$\begin{array}{l} {\rm Hb^{+3.\cdot O_2^-} + PHA \, \to Hb^{+3.\cdot O_2^{-2}} + PHA \cdot } \\ {\rm Hb^{+3.\cdot O_2^{-2}} + 2H^+ \to Hb^{+3} + H_2O_2} \end{array}$$

Drug free radicals, such as PHA, that are generated can donate the unpaired electron to molecular oxygen to form

superoxide or to another OxyHb molecule to form more MetHb and $\rm H_2O_2$. To achieve greater stability, free radicals may also extract electrons by oxidizing SH groups of Hb, enzymes, membrane proteins, or GSH; by oxidizing membrane unsaturated fatty acids; by oxidizing NADPH or NADH; and possibly by extracting an electron from DeoxyHb to form MetHb.

Nitrite quickly enters RBCs and equilibrates across their membranes and then continues to enter RBCs as a consequence of its intracellular removal. This involves the formation of nitrate and MetHb in the presence of OxyHb and the formation of 'NO and MetHb in the presence of DeoxyHb (Jensen, 2005). The reactions involved in MetHb formation in the presence of nitrite are complex (Titov and Petrenko, 2005; Umbreit, 2007). MetHb formation by nitrite is significantly higher in oxygenated than deoxygenated pig RBCs (Jensen, 2005). Nitrite produces MetHb within RBCs, but otherwise does not exert strong oxidant stress on these cells (May et al., 2000). Methemoglobinemia occurs in ruminants eating nitrate-accumulating plants, especially when the plants have been fertilized with nitrogenous compounds. Nitrate is relatively nontoxic, but it is reduced to nitrite by ruminal microorganisms (Burrows, 1980).

Methemoglobinemia can occur in animals following the topical application of benzocaine-containing products to skin (Harvey *et al.*, 1979; Wilkie and Kirby, 1988), or in laryngeal (Krake *et al.*, 1985) or nasopharyngeal sprays (Davis *et al.*, 1993; Lagutchik *et al.*, 1992). Benzocaine appears to have only a limited ability to produce other forms of RBC injury, although HzB formation can occur (Harvey *et al.*, 1979).

Many compounds can produce MetHb (Ash-Bernal et al., 2004; Bodansky, 1951; Umbreit, 2007), and most of them also produce variable degrees of Hb denaturation and membrane injury. Acetaminophen and phenazopyridine toxicity in cats (Harvey, 1995), red maple toxicity in horses (Alward et al., 2006), copper toxicity in sheep (Soli and Froslie, 1977), and skunk musk absorption in dogs (Zaks et al., 2005) are examples of drugs or chemicals that produce prominent methemoglobinemia and Heinz body hemolytic anemia. Following exposure to oxidants, MetHb forms within minutes, but HzB take hours to form. If the oxidant is rapidly metabolized, MetHb content will generally be reduced to values approaching normal within 24 h (Harvey and Keitt, 1983).

Low levels of MetHb may form in response to endogenous oxidant generation during inflammation (Morita et al., 1996; Ohashi et al., 1998; Weiss and Klausner, 1988). Remarkable methemoglobinemia, with evidence of RBC membrane oxidant damage, has been described in cattle infected with *Theileria sergenti* (Shiono et al., 2003).

2. HzB Formation

HzB are composed of oxidized denatured Hb. They are often not recognized on routinely stained blood films,

because they either are not stained or stain similarly to the remaining intact Hb. If they are of sufficient size (1 to $2\,\mu\mathrm{m}$), they may appear as pale inclusions within RBCs or as nipple-like projections from the surface of RBCs. HzB can be visualized as dark, refractile inclusions in new methylene blue "wet" preparations and as light blue inclusions with reticulocyte stains (Harvey, 2001).

The following sequence of oxidant-triggered biochemical events leading to HzB formation is proposed (Allen and Jandl, 1961; Chiu and Lubin, 1989; Hebbel and Eaton, 1989; Low, 1989; Mawatari and Murakami, 2004): (1) ferrohemes are oxidized to ferrihemes (MetHb). (2) Reactive SH groups of MetHb are oxidized (cysteine 93 of the human Hb β chain) and mixed disulfide bonds form following interaction with GSSG (also generated in response to oxidative stress). This glutathionylation of MetHb may be protective, because these globin SH groups can be regenerated via the glutaredoxin, and possibly thioredoxin, systems (Klatt and Lamas, 2000). (3) As further oxidation continues, normally "buried" SH groups of MetHb are oxidized causing additional glutathionylation reactions and presumably disulfide bond formation between globin chains. These reactions are probably not reversible (Mawatari and Murakami, 2004). (4) Conformational changes in globin chains result in dissociation of the tetramer to dimers and monomers and hemichrome formation (hemichromes have both the ferric iron's fifth and sixth coordinate positions occupied by a ligand provided by the globin chain). (5) Hemichromes bind to band 3 and to a lesser degree other membrane components, forming clusters of copolymers. (6) The precipitation and accumulation of denatured globin molecules result in HzB formation. Precipitation is potentiated by the dissociation of the ferriheme (hemin) moieties from the hemichromes because the resultant free globin chains are unstable.

Hemolytic anemias associated with HzB formation in domestic animals have resulted from a variety of compounds. Dietary causes include consumption of onions by cattle (Lincoln et al., 1992), sheep (Kirk and Bulgin, 1979; Knight et al., 2000; Verhoeff et al., 1985), horses (Pierce et al., 1972), cats (Kobayashi, 1981), and dogs (Harvey and Rackear, 1985; Ogawa et al., 1986), consumption of garlic by dogs and horses (Lee et al., 2000; Pearson et al., 2005; Yamato et al., 2005), and consumption of kale and other Brassica species by ruminants (Greenhalgh et al., 1969; Smith, 1980; Suttle et al., 1987). Dipropyl and diallyl di-, tri-, and tetrasulfides and possibly other organosulfur compounds derived from plants in the Allium genus (onions, garlic, and chives) cause oxidative damage to RBCs. ROS are formed during redox recycling of these compounds or their metabolites in the presence of GSH and OxyHb (Munday et al., 2003). The role of GSH as a source of electrons in this redox cycle may explain why dogs with high RBC GSH concentrations are more susceptible to onion-induced RBC damage than dogs with normal RBC GSH concentrations (Yamoto and Maede, 1992). In the

case of *Brassica* species, the hemolytic factor is reported to be dimethyl disulfide, produced by the action of rumen microbes on S-methylcysteine sulfoxide contained within the plants (Smith, 1980).

HzB hemolytic anemia occurs in Florida in cattle grazing on lush rye (Secale cereale) pastures in the winter (Simpson and Anderson, 1980) and in selenium-deficient cattle grazing on St. Augustine grass pastures in the summer (Morris et al., 1984). The nature of oxidants involved is unknown. Methemoglobinemia, HzB formation, severe intravascular hemolysis, and death have followed the consumption of red maple leaves by horses (Alward et al., 2006; George et al., 1982; Tennant et al., 1981) and alpacas (Dewitt et al., 2004). Wilted or dried leaves are toxic, but freshly harvested leaves are not. Gallic acid and other compounds have been identified in the leaves that are capable of inducing oxidative damage (Boyer et al., 2002).

Postparturient hemoglobinuria with HzB formation occurs in cattle in New Zealand grazing primarily on perennial ryegrass (Lolium perenne) (Martinovich and Woodhouse, 1971). Postparturient cattle may be more susceptible to the development of anemia because increased food consumption associated with lactation could increase exposure to an unidentified dietary oxidant. Both hypocuprosis (Gardner et al., 1976) and hypophosphatemia (Jubb et al., 1990) have been considered to contribute to the severity of the anemia in these cattle. An apparently different syndrome of postparturient hemoglobinuria has also been reported in hypophosphatemic cattle of North America (MacWilliams et al., 1982). HzB have not been reported in affected animals, and the anemia appears to develop because affected animals have decreased RBC ATP concentrations (Ogawa et al., 1987, 1989).

Methemoglobinemia and HzB hemolytic anemia occur acutely when large amounts of copper are released from the liver of ruminants that have accumulated excessive amounts of liver copper secondary to increased dietary intake (Brewer, 1987; Kerr and McGavin, 1991; Soli and Froslie, 1977). Zinc toxicity has primarily resulted from the consumption and retention of zinc-containing objects within the stomach of dogs. Sources of zinc include U.S. pennies minted after 1982, metallic hardware, toys, and ointment containing zinc oxide (Bexfield et al., 2007; Breitschwerdt et al., 1986). The mechanism(s) by which zinc produces hemolytic anemia is unclear, but HzB have been recognized in some clinical cases (Bexfield et al., 2007; Hammond et al., 2004; Harvey, 2001; Houston and Myers, 1993; Luttgen et al., 1990). HzB anemia was also reported in a dog following possible naphthalene ingestion (Desnoyers and Hebert, 1995).

Clinical cases of HzB hemolytic anemias have occurred following the administration of a variety of drugs including methylene blue in cats (Schechter *et al.*, 1973) and dogs (Fingeroth and Smeak, 1988; Osuna *et al.*, 1990), phenazopyridine in a cat (Harvey and Kornick, 1976), acetaminophen in cats (Finco *et al.*, 1975; Gaunt *et al.*, 1981; Hjelle

and Grauer, 1986) and dogs (Harvey et al., 1986; Houston and Myers, 1993), methionine in cats (Maede et al., 1987), menadione (vitamin K₃) in dogs (Fernandez et al., 1984), and phenothiazine in horses (McSherry et al., 1966). The application of benzocaine to inflamed dog skin can result in methemoglobinemia and Heinz body formation (Harvey et al., 1979). Heinz body hemolytic anemia has been reported in a dog that had been sprayed with skunk musk (Zaks et al., 2005). A variety of additional oxidants produce HzB hemolytic anemias experimentally in animals (Fertman and Fertman, 1955).

Cats are generally recognized as the species most susceptible to HzB formation. Although species differences in metabolism and excretion of various drugs may partially account for this increased susceptibility, cat Hb generally appears more susceptible to oxidative denaturation than do Hbs of other species (Harvey and Kaneko, 1976b). The presence of 8 to 10 reactive SH groups per Hb tetramer in cat Hb may render it more susceptible to oxidation (Mauk and Taketa, 1972); apparently no other species has more than 4 reactive SH groups per tetramer (Snow, 1962).

HzB are rarely recognized in RBCs from most species, but are frequently present in cat RBCs because of the susceptibility of cat Hb to form HzB, combined with a poor ability of the cat spleen to remove HzB from RBCs (Jain, 1986). Even normal cats may have low numbers of HzB (<5%), and increased HzB numbers have been seen in kittens fed fishbased diets (Hickman et al., 1990), in cats fed commercial soft-moist diets containing propylene glycol (Christopher et al., 1989; Hickman et al., 1990), and in cats fed baby food containing onion powder (Robertson et al., 1998). Increased HzB formation can occur in cats with repeated propofol anesthesia (Andress et al., 1995; Matthews et al., 2004). Increased HzB numbers have also been documented in cats with diabetes mellitus, hyperthyroidism, and lymphoma (Christopher, 1989). Diabetic cats with ketoacidosis have more HzB and lower hematocrits than do nonketotic diabetic cats (Christopher et al., 1995). Although RBC survival tends to be shortened, anemia is either absent or mild in the preceding conditions. The oxidative reactions involved in these conditions are not clearly defined.

3. Membrane Injury

Various types of oxidant-induced membrane injury have been recognized. These include oxidation of membrane SH groups, lipid peroxidation, cross-linking of spectrin, inhibition of enzymes and membrane transport systems, and band 3 clustering. Glutathionylation of oxidized SH groups in actin-, spectrin-, and membrane-associated GAPD is present. It is unclear whether this is a protective reaction or simply a biochemical reaction that occurs with excessive oxidative stress (Mawatari and Murakami, 2004). Damaged cells exhibit increased membrane rigidity, decreased deformability, and impaired ability to maintain ion gradients (Baskurt

and Meiselman, 1999; Chiu and Lubin, 1989). The relative importance of HzB formation, hemin release, and the generation of various free radicals remains to be clarified.

The binding of HzB to the inner surface of the RBC membrane and clustering of band 3 and other components alter the normal membrane organization. Potential negative effects include weakening or disruption of the cytoskeleton, altered distribution of membrane phospholipids, altered cell surface charges, and formation of abnormal external cell surface antigens that can be recognized by autologous antibodies (Low, 1989). HzB cause focal membrane rigidity but do not affect global cellular deformability until they nearly cover the entire internal surface of RBCs (Reinhart *et al.*, 1986).

Hemin released during HzB formation binds to and causes damage to RBC membranes. Evidence has been presented that hemin can mediate the dissociation of RBC cytoskeletal proteins, impair the ability of the RBC membrane to maintain ionic gradients, oxidize membrane sulfhydryl proteins, and potentiate peroxide-induced membrane lipid peroxidation (Chiu and Lubin, 1989; Hebbel and Eaton, 1989; Jarolim *et al.*, 1990). The molecular mechanism(s) has not been clearly defined, but hemin may exert its toxic effects via catalysis of the formation of reactive oxygen species (Vincent, 1989). Iron may also be released from hemin when oxidative damage results in porphyrin degradation, and free iron may be a catalyst for the generation of more oxidants (Umbreit, 2007).

Oxidative injury to RBC membranes is sometimes recognized by the appearance of eccentrocytes in stained blood films. Eccentrocytes are formed by the adhesion of opposing areas of the cytoplasmic face of the RBC membrane (Fischer, 1986). Denatured spectrin is believed to be of primary importance in the cross bonding of membranes (Arese and De Flora, 1990; Fischer, 1988). These cells have been reported to occur in horses with red maple toxicity (Reagan et al., 1994) and in dogs exposed to acetaminophen and other nonsteroidal anti-inflammatory drugs, onions, garlic, naphthalene, propofol, vitamin K, and vitamin K anticoagulant drugs (Caldin et al., 2005; Ham et al., 1973; Harvey et al., 1986; Harvey and Rackear, 1985; Lee et al., 2000). Eccentrocytes have also been reported in the blood of dogs with ketoacidotic diabetes, inflammation, and neoplasia (especially lymphoma), secondary to increased endogenous oxidants (Caldin et al., 2005). Eccentrocytes occur in RBC G6PD-deficient and FAD-deficient horses with inadequate metabolic protection against endogenous oxidants (Harvey et al., 2003; Stockham et al., 1994).

4. RBC Destruction

Following extreme oxidative injury, RBCs may lyse within the circulation. Hb released to plasma dissociates into dimers, and is bound to haptoglobin for removal by macrophages. Free Hb in plasma also scavenges NO

(Rifkind et al., 2006), which may lead to vasoconstriction, decreased blood flow, platelet activation, increased endothelin-1 expression, and organ injury (Gladwin et al., 2004; Minneci et al., 2005). Free Hb in plasma spontaneously oxidizes to MetHb, which activates endothelial cells by stimulating the production of IL-6 and IL-8 cytokines and the expression of E-selectin (Liu and Spolarics, 2003). Hemin released from MetHb is bound by hemopexin for removal by the liver. Free hemin, like MetHb, promotes inflammation. It increases vascular permeability, adhesion molecule expression, and the infiltration of leukocytes (Wagener et al., 2001). Hemoglobinuria occurs if intravascular hemolysis is of sufficient magnitude to saturate the haptoglobin-binding capacity of plasma and exceed the ability of renal tubules to reabsorb filtered Hb.

In most cases, however, enhanced RBC destruction results primarily from increased phagocytosis of injured RBCs by macrophages of the spleen, liver, and bone marrow. Of the organs of the mononuclear phagocyte system, the spleen is most adept at recognizing and removing damaged RBCs. In most species, RBCs must pass through narrow slits between endothelial cells lining venous sinus walls of the spleen to reenter the general circulation. As a consequence, oxidant-induced decreased RBC deformability tends to result in increased transit time of RBCs in the splenic reticular meshwork, thereby enhancing the likelihood of phagocytosis by resident macrophages (Baerlocher et al., 1994; Weiss, 1984).

When HzB are larger than openings in walls of splenic venous sinuses, they are retained within the trabecular meshwork of the spleen. The whole cell may be phagocytized, or the HzB and closely associated membrane may be removed. The remainder of the RBC reseals and passes through the sinus wall. This removal of HzB is the so-called pitting function of the spleen (Weiss, 1984). Cat spleens have poor pitting capabilities (Jain, 1986) owing to the presence of large openings in venous sinus walls (Blue and Weiss, 1981).

Macrophages can recognize damaged RBCs by antibody-dependent and by antibody-independent mechanisms; however, the relative importance of the different mechanisms remains to be defined. Macrophages do not recognize less-deformable cells per se, but phagocytize them at a higher rate because of their slower transit time or entrapment in the spleen as discussed earlier (Baerlocher *et al.*, 1994).

Oxidative damage to RBCs can induce suicidal death of RBCs (eryptosis), with reactions similar to some of those that occur during apoptosis of nucleated cells. Eryptosis is characterized by Ca⁺² entry, RBC shrinkage, membrane blebbing, activation of proteases, and exposure of phosphatidylserine in the outer membrane leaflet. The exposed phosphatidylserine is recognized by receptors on macrophages that phagocytize and degrade the affected cells (Lang *et al.*, 2006). Phosphatidylserine exposure has been reported in RBCs from humans with hemolytic anemia secondary to PK deficiency and unstable Hbs, but it does not

appear to be a death signal for most causes of hemolytic anemia (Boas *et al.*, 1998). Macrophages contain a number of antibody-independent receptors that can bind to oxidized lipoproteins, anionic phospholipids, polysaccharides, and polyribonucleotides (Sambrano *et al.*, 1994). Evidence has been presented to indicate that several scavenger receptors may be involved in the recognition and phagocytosis of oxidatively damaged RBCs (Terpstra and van Berkel, 2000).

The cross-linking of band 3 molecules by hemichromes during oxidative denaturation of Hb results in clustering of band 3 molecules and greatly enhanced binding of autologous IgG (Cappellini *et al.*, 1999; Low, 1991). Because macrophages contain Fc receptors on their surfaces, the damaged RBCs would presumably become bound to the surface of macrophages once a sufficient number of clusters of band 3 had formed. Sheep with chronic copper toxicity may become direct Coombs' test positive, indicating a possible *in vivo* role of antibody-mediated removal of oxidatively injured RBCs (Wilhelmsen, 1979).

B. RBC Aging and Normal Life Spans

Most RBCs circulate in blood for a finite period (survival time or life span) that varies from 2 to 5 months in domestic animals, depending on the species (Table 7-1). Methods for measurement of RBC life spans and results of life span determinations from many species have been compiled (Vacha, 1983). RBC life spans are related to body weight (and consequently metabolic rate) with the smallest animals (highest metabolic rate) having the shortest RBC life spans (Vacha, 1983). The RBC life span can be prolonged by reducing the metabolic rate within an individual animal. Examples include thyroidectomy in rats, hibernation in hibernating mammals, and reduced ambient temperatures in poikilotherms (Landaw, 1988). A variety of changes occur in RBCs as they get older (Kosower, 1993; Low, 1991; Siems et al., 2000), but the nature of the factor(s) that initiates age-related changes and the mechanism(s) of removal of senescent RBCs from the circulation require further clarification. Exposure of phosphatidylserine on the external surface, modified external membrane carbohydrate residues (e.g., desialation of sialoglycoproteins), or modified membrane proteins (e.g., partially degraded band 3) are possible signals for removal (Boas et al., 1998; Kay, 2005; Kosower, 1993).

1. Metabolic Impairment

Because RBCs cannot synthesize new enzymes, one theory is that one or more critical enzymes, involved in generating ATP or in the protection against oxidants, may decrease to a point that metabolic impairment results in irreversible RBC membrane changes (Piomelli and Seaman, 1993). Although the inability to maintain normal ATP and NADPH concentrations appears to account for premature destruction of RBCs in patients with hereditary enzyme

deficiencies of the EMP and PPP, respectively, the theory that metabolic impairment occurs as a result of age-related decreases in critical enzymes has been questioned (Beutler, 1985; Zimran *et al.*, 1990). Much of the decline in activities of enzymes, considered in the past to be correlated with RBC age, occurs as reticulocytes mature into RBCs. Even if metabolic impairment is not the primary factor responsible for RBC senescence, it may render the aged RBC vulnerable to events in the circulation that require a burst of metabolic activity (Piomelli and Seaman, 1993).

2. Cumulative Oxidant Damage

Oxidative injury, especially near the end of their life span, appears to be responsible for normal RBC aging and removal (Kay, 2005; Kiefer and Snyder, 2000; Rettig et al., 1999; Seppi et al., 1991). Senescent dog RBCs exhibit increased membrane-bound, denatured globin and only 30% of normal GSH (Rettig et al., 1999). Even reported decreases in RBC enzymes may be the result of oxidative damage (Stadtman, 1992). The presence of HzB in RBCs from splenectomized humans, horses, and dogs, and in RBCs from nonsplenectomized cats (Jain, 1986; Low, 1989), provides evidence for ongoing oxidant injury in vivo. The inverse correlation between RBC life span and metabolic rate discussed previously may result from differences in endogenous oxidant generation, but other factors such as differing amounts of mechanical stress should also be considered as a possible cause of this relationship (Landaw, 1988).

Oxidative stress promotes eryptosis that leads to externalization of phosphatidylserine. The damaged RBCs can be phagocytized by macrophages following binding to phosphatidylserine receptors such as CD36 (Kiefer and Snyder, 2000; Terpstra and van Berkel, 2000). An increased exposure of phosphatidylserine on the surface of aged rabbit RBCs correlates with their removal from the circulation (Boas *et al.*, 1998).

3. Senescent Cell Antigen

The appearance of a senescent cell antigen may be an important signal in the removal of senescent RBCs (Kay, 2005). This senescent cell antigen is derived from the band 3 anion transporter. The specific alteration required for band 3 to become antigenic remains to be clarified, but oxidative mechanisms are probably involved. Low (1991) has demonstrated copolymerization between hemichromes and band 3 and has suggested that the senescent antigen represents clusters of band 3. In contrast, studies by Kay (2005) suggest that the senescent cell antigen results from band 3 degradation rather than clustering.

A natural antibody against the senescent cell antigen is present in human plasma. This antibody binds to senescent cell antigens on the surface of aged cells and, together with bound complement, promotes the phagocytosis of aged RBCs by macrophages that exhibit Fc and C3b surface

receptors (Lutz et al., 1991). Senescent dog RBCs accumulate surface-associated immunoglobulin, which is believed to promote their removal by macrophages (Rettig et al., 1999). The relative importance of the immune- and nonimmune-mediated phagocytosis of senescent RBCs remains to be clarified.

C. Anemia of the Newborn

Animals are generally born with hematocrits near values for adults. Following birth, there is a rapid decrease in hematocrit that is followed by a gradual increase to adult values (Jain, 1986). Factors involved to variable degrees in the development of the anemia of the newborn include the following: (1) absorption of colostral proteins during the first day of life, which increases plasma volume through an osmotic effect (Harvey et al., 1987; Mollerberg et al., 1975); (2) decreased RBC production during the early neonatal period; (3) shortened life span of RBCs formed in utero (Kim and Luthra, 1977; Landaw, 1988; Lee et al., 1976; Mueggler et al., 1979); and (4) rapid growth with hemodilution resulting from expansion of total plasma volumes more rapidly than total RBC mass (Mueggler et al., 1979).

In some species, production of RBCs is decreased because of low Epo concentrations at birth (Halvorsen and Halvorsen, 1974; Huisman *et al.*, 1969; Meberg, 1980; Meberg *et al.*, 1980; Schwartz and Gill, 1983). The decreased stimulus for Epo production may occur as a result of a placental blood transfusion that increases RBC mass immediately after birth (Rossdale and Ricketts, 1980), a rapid increase in P_{50} as discussed previously.

Much of the postnatal anemia of dogs occurs as a physiological response to increased RBC 2,3DPG and subsequent improved oxygen transport (Mueggler *et al.*, 1981). The "anemia" of childhood in humans is also associated with RBC 2,3DPG above adult values. In children this increase appears to occur secondarily to increased plasma P_i concentrations (Card and Brain, 1973). Serum P_i values are also above adult values in young dogs (Pickrell *et al.*, 1974).

Although not involved in the early, rapid decrease in hematocrit, iron availability may limit the response to anemia in some rapidly growing animals (Chausow and Czarnecki-Maulden, 1987; Dhindsa *et al.*, 1971; Harvey *et al.*, 1987; Holman and Drew, 1966; Mollerberg *et al.*, 1975; Siimes *et al.*, 1980; Weiser and Kociba, 1983b).

VI. INHERITED DISORDERS OF RBCS

Many hereditary disorders of RBCs have been described in humans, but a limited number of inherited RBC disorders have been identified in laboratory and domestic animals. RBC enzyme deficiencies in dogs, cats, and horses have recently been reviewed (Harvey, 2006). Congenital porphyrias are discussed elsewhere in this volume (Chapter 8).

A. Cytosolic Enzyme Deficiencies

1. Phosphofructokinase Deficiency in Dogs

Autosomal recessive inherited PFK deficiency occurs in English springer spaniel (Giger et al., 1985; Giger and Harvey, 1987; Harvey and Giger, 1991), American cocker spaniel (Giger et al., 1992), mixed-breed (Giger, 2000), whippet (Hayes et al., 2007), and wachtelhund (Tvedten and Rowe, 2007) dogs. Canine PFK is genetically controlled by three separate loci. They code for muscle (M)-, liver (L)-, and platelet (P)-type subunits (Vora et al., 1985). Random tetramerization of the subunits produces various isozymes. PFK in normal dog RBCs consists of 86% M-type, 2% L-type, and 12% P-type subunits, and normal dog muscle is composed exclusively of M-type subunits (Mhaskar et al., 1992).

Studies of brain and RBCs from homozygous-deficient dogs indicated that native M-type subunits were not present, but small amounts of a structurally unstable truncated M-type subunit were found (Mhaskar *et al.*, 1991, 1992). A single mutation in the M-type gene of deficient dogs converted a tryptophan codon to a stop codon, resulting in a loss of 40 amino acid residues (Smith *et al.*, 1996). As would be expected from the subunit composition of normal tissues, total RBC and muscle PFK activities are markedly reduced in affected dogs (Giger and Harvey, 1987; Vora *et al.*, 1985). Changes in concentrations of glycolytic intermediates in muscle and RBCs reflect the block at the PFK step (Harvey *et al.*, 1992a, 1992b). RBCs from affected dogs also exhibit altered enzyme kinetic properties because of the loss of the M-type subunit (Harvey *et al.*, 1992b).

Homozygously affected dogs have persistent compensated hemolytic anemias and sporadic episodes of intravascular hemolysis with hemoglobinuria (Giger et al., 1985; Giger and Harvey, 1987; Harvey and Giger, 1991; Skibild et al., 2001). RBC mean cell volumes are usually between 80 and 90fl. Reticulocyte counts are generally between 10% and 30%, with hematocrit values between 30% and 40% (Harvey and Smith, 1994), except during hemolytic crises when the hematocrit may decrease to 15% or less. Lethargy, weakness, pale or icteric mucous membranes, mild hepatosplenomegaly, muscle wasting, and fever as high as 41°C may occur during hemolytic crises (Giger and Harvey, 1987).

Hemolytic crises occur secondary to hyperventilation-induced alkalemia *in vivo*, and PFK-deficient dog RBCs are extremely alkaline fragile *in vitro* (Giger and Harvey, 1987). For unknown reasons, normal dog RBCs are more alkaline fragile than those of humans and other mammals studied (Iampietro *et al.*, 1967; Waddell, 1956). The even greater alkaline fragility of PFK-deficient dog RBCs results from decreased 2,3DPG, which is formed below the PFK reaction (Harvey *et al.*, 1988). Because 2,3DPG is the major impermeant anion in dog RBCs, a substantial decrease in its concentration results in a higher intracellular pH (Hladky and Rink, 1977) and thereby greater alkaline fragility than

normal dog RBCs. As expected, the low 2,3DPG concentration also results in an increased oxygen affinity of Hb in affected dog RBCs (Giger and Harvey, 1987).

Hematological parameters of affected dogs are similar to normal dogs at birth, because all newborn dogs have RBC PFK activities about three times that of normal adult dogs (Harvey and Reddy, 1989). This high PFK activity results from the presence of the L-type subunit of PFK, which is negligible in normal adult canine RBCs (Harvey and Reddy, 1989; Mhaskar *et al.*, 1992). Both total PFK activities and the amounts of L-type subunit present decrease dramatically during the first 6 to 8 weeks of life. The M-type subunit is low at birth, but increases as the L-type decreases in normal dogs. These changes result from the replacement of RBCs formed in the fetus with those formed after birth.

Deficient dogs generally exhibit less evidence of myopathy than is observed in PFK-deficient people, probably because canine skeletal muscle is less dependent on anaerobic glycolysis than human skeletal muscle, owing to a lack of the classical fast-twitch glycolytic (type IIB) fibers in dogs (Snow et al., 1982). Affected dogs appear to tire more easily than normal, and in vivo muscle studies of PFK-deficient dogs indicate altered muscle function in these animals (Brechue et al., 1994; Giger et al., 1988b; McCully et al., 1999). A severe progressive myopathy with associated abnormal polysaccharide deposits in skeletal muscle has been recognized in an aged PFK-deficient dog (Harvey et al., 1990a). In contrast to PK deficiency, myelofibrosis and liver failure have not been recognized in dogs with PFK deficiency.

Homozygous affected animals over 3 months of age can easily be identified by measuring RBC PFK activity. Heterozygous carrier dogs have approximately one-half normal enzyme activities in RBCs (Harvey and Reddy, 1989). A DNA test using polymerase chain reaction technology has been developed that can clearly differentiate normal, carrier, and affected English springer spaniel dogs regardless of age (Giger *et al.*, 1995). This test is also positive in American cocker spaniel and whippet dogs with PFK deficiency (Giger *et al.*, 1992; Hayes *et al.*, 2007).

2. Pyruvate Kinase Deficiency in Dogs and Cats

PK deficiency occurs in basenji (Giger and Noble, 1991; Searcy et al., 1971, 1979), beagle (Giger et al., 1991; Harvey et al., 1977; Prasse et al., 1975), West Highland white terrier (Chapman and Giger, 1990), Cairn terrier (Schaer et al., 1992), miniature poodle, Chihuahua, pug, dachshund, and toy American Eskimo dogs (Giger, 2000; Harvey, 1996). PK deficiency is transmitted as an autosomal recessive trait. Homozygously affected animals have decreased exercise tolerance, pale mucous membranes, tachycardia, and splenomegaly.

Affected animals have mild to moderate anemia with marked reticulocytosis when young (Harvey, 2006). Myelofibrosis and osteosclerosis develop in the bone marrow,

and hemachromatosis and cirrhosis develop in the liver as the dogs age (Searcy et al., 1979; Weiden et al., 1981). Hematocrit and reticulocyte counts decrease as myelofibrosis and osteosclerosis become severe (Whitney and Lothrop, 1995). Affected dogs generally die between 1 and 5 years of age because of bone marrow failure or liver failure (Giger, 2000; Zaucha et al., 2001). It is proposed that the marrow fibrosis, like the cirrhosis, occurs in response to damage caused by iron overload (Zaucha et al., 2001). However, factors associated with marked erythropoiesis may also contribute to the development of myelofibrosis (Bader et al., 1992).

RBCs of affected dogs lack the normal adult R isozyme of PK but have a persistence of an M_2 isozyme that is normally present in many fetal and adult tissues, including erythroid precursor cells, but not in mature RBCs (Becker et al., 1986; Black et al., 1978; Whitney et al., 1994). Consequently, many affected dogs have normal or increased PK activity, making it difficult to diagnose this defect based solely on total RBC PK activity. Heterozygous animals have approximately 50% of normal RBC PK activity.

The enzyme activity in hemolysates of affected dogs is unstable and decreases rapidly when samples are kept at room temperature (Standerfer *et al.*, 1974). If the M₂-isozyme is unstable *in vivo*, as it is *in vitro*, its rapid loss of activity would explain the dramatically shortened life span of RBCs in this disorder (Dhindsa *et al.*, 1976).

Because the defect in glycolysis occurs below the diphosphoglycerate shunt, RBCs from PK-deficient dogs have increased concentrations of 2,3DPG (Harvey, 2006). As a consequence, the whole blood P_{50} is higher than that of normal dogs (Dhindsa *et al.*, 1976).

Additional assays (an enzyme heat stability test, measurement of RBC glycolytic intermediates, electrophoresis of isozymes, and enzyme immunoprecipitation) may be used to reach a diagnosis of PK deficiency in dogs in which the total enzyme activity is not decreased (Giger and Noble, 1991; Harvey *et al.*, 1990b; Schaer *et al.*, 1992). The defect in basenji dogs is the result of a single nucleotide deletion in the R-type PK gene (Whitney *et al.*, 1994; Whitney and Lothrop, 1995). Unfortunately, different mutations in the R-type PK gene have been identified in other dog breeds (Giger, 2000; Skelly *et al.*, 1999). Consequently, different DNA-based diagnostic assays must be developed or validated for each affected dog breed. Fortunately, DNA-based tests for PK deficiency have been developed for several breeds of dogs (Giger, 2005).

RBC PK deficiency has been characterized in Abyssinian, Somali, and domestic shorthair cats (Ford *et al.*, 1992; Giger *et al.*, 1997; Mansfield and Clark, 2005). Affected cats have intermittent mild to moderate anemia that may be slightly macrocytic and hypochromic. Reticulocyte counts are slightly to markedly increased. Splenectomy may reduce the severity of the anemia in cats. In contrast to dogs in which the anemia is typically first recognized in young animals, some cats have not been diagnosed until they were old aged

(Giger, 2000). Total RBC PK activity is markedly reduced in deficient cats, with no evidence of the persistent M_2 isozyme reported in dogs (Ford *et al.*, 1992), and all PK-deficient cats identified thus far have had the same mutation (Giger, 2000; Giger *et al.*, 1997; Mansfield and Clark, 2005). Also in contrast to dogs, osteosclerosis has not been recognized in cats (Giger, 2000).

3. Cytochrome- β_5 Reductase Deficiency in Dogs and Cats

Persistent methemoglobinemia associated with RBC Cb₅R deficiency has been recognized in chihuahua, borzoi, English setter, terrier-mix, cockapoo, coonhound, poodle, corgi, Pomeranian, toy American Eskimo, cocker-toy American Eskimo, and pit bull-mix dogs and in domestic shorthair cats (Atkins *et al.*, 1981; Fine *et al.*, 1999; Giger *et al.*, 1999; Harvey, 2000; Harvey *et al.*, 1974, 1991, 1994, Letchworth *et al.*, 1977). The deficiency appears to be an inherited autosomal recessive disorder, as it is in humans (Harvey, 2000). The nature of the enzyme deficiency is unknown; however, it does not appear to be the result of an FAD cofactor deficiency in dogs, because RBC glutathione reductase (another enzyme that requires FAD as a cofactor) activities were normal in three affected dogs assayed (Harvey, 2006).

Affected animals have cyanotic appearing mucous membranes and may exhibit lethargy or exercise intolerance at times, but they usually have no clinical signs of disease. Blood samples appear dark, suggesting hypoxemia, but arterial pO₂ values are normal. MetHb content in dogs with Cb₅R deficiency varies from 13% to 41%. The MetHb content in five deficient domestic shorthair cats varied from 44% to 52% (Harvey, 2006). There is an inverse correlation between RBC enzyme activity and MetHb content in deficient dogs (Harvey, 2000). The hematocrit is usually normal in deficient dogs, but usually slightly to moderately increased in deficient cats, secondary to the chronic methemoglobinemia and resultant decreased blood oxygen content. Animals with Cb₅R deficiency do not require treatment and have normal life expectancy (Harvey, 2006).

4. Glucose-6-Phosphate Dehydrogenase Deficiency in a Dog and Horse

G6PD deficiency is a very common X-linked inherited defect of human RBCs, affecting millions of people worldwide (Beutler, 1994). Smith *et al.* (1976) screened more than 3000 dogs for G6PD activity and found one male dog to have approximately 44% of normal activity. The enzyme was partially purified and characterized, and it was found to be similar to that of normal dogs. The deficient dog was not anemic and exhibited no clinical signs; studies were not done to determine whether his RBCs were more sensitive to oxidant damage than normal.

In contrast, a persistent hemolytic anemia and hyperbilirubinemia have been described in an American standardbred colt with <1% of normal G6PD activity (Stockham et al., 1994). Morphological abnormalities of RBCs included eccentrocytosis, pyknocytosis, increased anisocytosis, increased Howell-Jolly bodies, and rare Hb crystals. The presence of eccentrocytes in the absence of exposure to external oxidants indicated that the deficient RBCs did not have adequate metabolic capabilities to defend themselves against endogenous oxidants. Biochemical abnormalities in RBCs included low GSH, markedly reduced NADPH, and increased NADP+. RBC catalase activity was normal even though NADPH concentration was <1% of normal. It was suggested that catalase activity may have been maintained by the action of NADH. Polymerase chain reaction amplification of segments of the G6PD gene of the affected colt revealed a G to A mutation, converting an arginine codon to a histidine codon (Nonneman et al., 1993).

5. RBC FAD Deficiency and Resultant Enzyme Deficiencies in Horses

RBC FAD deficiency has been recognized in an adult Spanish mustang mare (Harvey et al., 2003) and in a 7-year-old Kentucky mountain saddle horse gelding (Harvey, 2006). FAD-deficient horses have persistent methemoglobinemia (25% to 46%), eccentrocytosis, pyknocytosis, and variable numbers of Hb crystals. No Heinz bodies were observed in RBCs stained with new methylene blue. Hematocrits were normal or slightly decreased. The presence of eccentrocytes and pyknocytes in the absence of administered or consumed oxidants indicates deficient metabolic protection against endogenously generated oxidants. RBC biochemical abnormalities measured include decreased Cb₅R activity (about 40% of normal), decreased GSH concentration (about 60% of normal), and undetectable GR activity. The GR activity increased to near-normal values after addition of FAD to the enzyme assay, indicating a severe deficiency of FAD in RBCs. FAD is a cofactor for GR and Cb₅R enzymes; consequently, both RBC enzyme deficiencies in these horses can be attributed to decreased RBC FAD concentrations.

The presence of eccentrocytes and pyknocytes were attributed to inadequate metabolic protection against endogenously generated oxidants, resulting from a marked deficiency in GR and resultant decreased GSH concentration within RBCs. The methemoglobinemia was attributable to Cb₅R deficiency.

Following transport into RBCs, riboflavin is first converted to flavin mononucleotide (FMN) by riboflavin kinase and then to FAD by FMN adenylyltransferase. Measurements of RBC flavin concentrations suggest a defect in the riboflavin kinase reaction. Systemic signs attributable to a generalized defect in riboflavin metabolism are absent, suggesting that the defect may be limited to RBCs.

Laboratory findings in these horses were similar to those from two related trotter mares that were reported to have persistent hemolytic anemia, methemoglobinemia, GR deficiency, and decreased GSH concentrations within RBCs (Dixon and McPherson, 1977). However, the GR deficiency did not appear to result from FAD deficiency, and the Cb₅R activity was reportedly normal.

6. Gamma-Glutamylcysteine Synthetase Deficiency in Sheep

An autosomal dominant inherited deficiency in RBC GSH in Corriedale and Merino sheep results from low levels of gamma-glutamylcysteine synthetase (GCS), the first enzyme involved in GSH synthesis (Fisher et al., 1986; Smith et al., 1973). Although the specific activity of the enzyme is low, the molecular weight, $K_{\rm m}$ values for glutamate and cysteine, K_i for GSH, and other characteristics of purified GCS from deficient sheep are remarkably similar to those from normal sheep (Board et al., 1980). Deficient sheep have only about 20% to 30% of normal RBC GSH but exhibit no clinical signs, are not anemic, and have normal RBC life spans (Smith et al., 1973). A similar RBC GSH-deficient syndrome has been reported in Spanish Churra sheep (Bayon et al., 1994). Although RBCs from these low-GSH sheep do not appear to have greater susceptibility to injury by superoxide or hydrogen peroxide than do RBCs from high-GSH sheep (Eaton et al., 1989), they do exhibit greater HzB formation when exposed to acetylphenylhydrazine in vitro (Goto et al., 1993) and kale feeding in vivo (Tucker et al., 1981).

B. Membrane Abnormalities

1. Hereditary Stomatocytosis in Dogs

Stomatocytes are uniconcave or cup-shaped RBCs that have slitlike areas of central pallor on stained blood films. Stomatocytosis is recognized in association with three different inherited syndromes in dogs. All disorders appear to be transmitted as autosomal recessive traits. Hereditary stomatocytosis also consists of a heterogeneous group of disorders in humans (Delaunay, 2004).

No clinical signs occur in miniature schnauzers (Brown et al., 1994b; Giger et al., 1988a), standard schnauzers (Bonfanti et al., 2004), or a Pomeranian (Harvey, 2001) with stomatocytosis. Chondrodysplasia (short-limbed dwarfism) occurs along with stomatocytosis in Alaskan malamutes (Fletch et al., 1975; Pinkerton et al., 1974). This disorder is deforming but not life threatening. The syndrome in Drentse patrijshond dogs has been termed familial stomatocytosis-hypertrophic gastritis (Slappendel et al., 1991). Affected animals have polysystemic disease with growth retardation, diarrhea, polyuria/polydipsia, hind limb weakness, pale or icteric mucous membranes, and a somnolent mental state.

Pathological findings include hypertrophic gastritis, progressive liver disease, polyneuropathy, and renal cysts. Affected Drentse patrijshond dogs are usually euthanized by the time they reach young adulthood because of a progressive deterioration in clinical condition.

Hb values and RBC counts are low-normal or slightly reduced, but hematocrits are normal in malamutes, schnauzers, and Pomeranians. The MCV is increased and MCHC decreased even though reticulocyte counts are normal or only slightly increased. Affected Drentse patrijshond dogs have lower hematocrits and higher reticulocyte counts than those found in the other breeds (Slappendel *et al.*, 1991; Slappendel *et al.*, 1994). The MCHC is moderately decreased, but the MCV is normal or only slightly increased. RBCs from all breeds have increased osmotic fragility and shortened RBC survival.

Although the specific defects are not known, the pathogenesis of stomatocyte formation in malamutes, schnauzers, and Pomeranians is attributed to an increase in monovalent cations, and consequently increased water content of RBCs caused by abnormal membrane permeability (Giger et al., 1988a; Paltrinieri et al., 2007; Pinkerton et al., 1974). The swelling associated with water accumulation accounts for the increased MCV and decreased MCHC values in affected dogs. Stomatocytosis in these breeds resembles overhydrated hereditary stomatocytosis in humans, a disease characterized by a reduced or absent stomatin expression (Fricke et al., 2003). However, standard schnauzers with hereditary stomatocytosis exhibit normal stomatin expression (Paltrinieri et al., 2007). The GSH content in affected RBCs is about 50% to 60% of normal (Giger et al., 1988a; Harvey, 2001; Pinkerton et al., 1974). This GSH deficiency appears to occur from increased catabolism, but the mechanism responsible is unknown (Smith et al., 1983b).

In contrast to malamutes and schnauzers with stomatocytosis, RBCs from affected Drentse patrijshond dogs do not have increased total monovalent cations, and cell water is only slightly increased; consequently, stomatocyte formation appears to be caused by a different mechanism (Slappendel et al., 1994). The composition of phospholipids and cholesterol in plasma and RBC membranes is abnormal in these dogs (Slappendel et al., 1994). The authors suggest that a defect in lipid metabolism results in altered membrane lipid composition and a loss or contracture of membrane components.

2. Hereditary Elliptocytosis in Dogs

Hereditary elliptocytosis is a common disorder of RBC shape in humans of African and Mediterranean ancestry. There is a mechanical weakness or fragility of the RBC membrane skeleton resulting from defects in α -spectrin, β -spectrin, or protein 4.1 (Gallagher, 2004).

Persistent elliptocytosis and microcytosis have been described in a crossbred dog that lacked RBC membrane

protein 4.1 (Smith *et al.*, 1983a). Although the animal was not anemic, the reticulocyte count was about twice normal in compensation for a shortened RBC life span. This dog was an offspring of a father-daughter mating. Both parents had decreased band 4.1 and some elliptocytes.

Persistent marked elliptocytosis was recognized as an incidental finding in a Labrador-chow crossbred dog (Di Terlizzi *et al.*, 2007). Occasional microspherocytes and rare RBCs containing Hb crystals were also present. RBC membrane mechanical stability and deformability were reduced. Further analysis revealed a decreased ability of spectrin to form tetramers because of a defect in β -spectrin.

3. Hereditary Spherocytosis in Cattle

Severe hemolytic anemia with icterus and splenomegaly is present shortly after birth in Japanese black cattle that lack band 3 in their RBC membranes (Inaba, 2000). The mortality rate is high in affected animals, especially during the first week of life. Those that survive exhibit persistent mild hemolytic anemia (hematocrit 25% to 35%) with marked spherocytosis and anisocytosis, but not reticulocytosis (Inaba, 2000; Inaba et al., 1996). Slight acidosis and growth retardation are present. Affected RBCs also have reduced amounts of other membrane proteins, including spectrin, ankyrin, actin, and protein 4.2. RBCs exhibit defective anion transport and a disrupted membrane skeletal network that makes them extremely unstable. Spherocyte formation results from a loss of surface area by invagination, vesiculation, and extrusion of microvesicles, and fragmentation. This defect is inherited as an autosomal dominant trait. RBCs from carrier cattle that are heterozygous for this defect have decreased band 3 (about 30% of normal), impaired anion transport, and mild spherocytosis, but hematocrits are generally normal. Osmotic fragility was increased in both heterozygous and homozygous cattle. This defect demonstrates the functional importance of the association of band 3 with skeletal proteins in maintaining membrane stability.

4. Hereditary Spectrin Deficiency in Dogs with Increased Osmotic Fragility

Increased RBC osmotic fragility secondary to spectrin deficiency (50% to 70% of normal) has been reported as a common autosomal dominant trait in golden retriever dogs in the Netherlands (Slappendel *et al.*, 2005). Hematocrits and reticulocyte counts are within reference intervals. Although originally reported as hereditary spherocytosis (Slappendel, 1998), spherocytes are not recognizable on stained-blood films. Rather, RBCs from affected dogs exhibited abundant echinospherocytes after 24h of incubation at room temperature. In contrast, control dog RBCs exhibited only slight echinocyte formation after incubation. The nature of the spectrin defect has not been reported, but it is presumably different from the β -spectrin defect described in a dog that caused elliptocyte formation (Di Terlizzi *et al.*, 2007).

5. Hemolytic Anemia with Increased RBC Osmotic Fragility in Cats

A hemolytic anemia with markedly increased osmotic fragility has been reported in Abyssinian and Somali cats (Kohn et al., 2000). Splenomegaly and polyclonal hyperglobulinemia were common. The hematocrit was generally between 15% and 25%, but values as low as 5% were recognized. A macrocytosis with mild to moderate reticulocytosis was present in most cats. Most samples exhibited extreme hemolysis after 1 day of refrigeration; however, in vivo hemolysis also occurred, as evidenced by hemoglobinuria in some cats. An RBC membrane defect was suspected, but none was identified using conventional membrane protein electrophoresis.

6. Amino Acid Transport Deficiency in Sheep and Horses

A second type of GSH deficiency, inherited as an autosomal recessive trait, occurs in Finnish Landrace sheep (Tucker and Kilgour, 1970). Although affected animals are not anemic, the life span of deficient RBCs is shortened (Tucker, 1974), possibly from increased oxidant injury as evidenced by the presence of HzB. These sheep are more likely to become anemic following the administration of oxidants *in vivo* (Tucker *et al.*, 1981).

The amino acid transporter normally responsible for cysteine transport (system C) into RBCs is defective (Young et al., 1975), thereby limiting cysteine uptake and restricting GSH synthesis. As a consequence, GSH concentrations in RBCs are about 30% of normal (Young et al., 1975). Intracellular Na+ and K+ are decreased, because dibasic and other amino acids accumulate in this disorder (Ellory et al., 1972). The transport deficiency appears to develop during reticulocyte maturation, and intracellular amino acids accumulating in these cells are believed to come from protein degradation during reticulocyte maturation (Tucker and Young, 1980). When RBCs are separated by age, using density gradients, intracellular GSH decreases and HzB numbers increase in older RBCs from system C-deficient sheep, but not from normal or GCS-deficient sheep (Tucker and Young, 1980). This decreasing GSH with RBC age may explain why system C-deficient sheep are more susceptible to oxidants than are GCS-deficient ones, even though they have similar whole blood GSH levels.

About 30% of Thoroughbred horses and 3% of ponies are deficient in a similar amino acid transporter. The lesion results in increased amino acid levels and GSH deficiency in some cases (Fincham *et al.*, 1985).

7. High Membrane Na⁺,K⁺-ATPase Activity in Dogs

Although dog reticulocytes have considerable membrane Na⁺,K⁺-ATPase (Na⁺,K⁺-pump) activity, it is rapidly lost

during maturation into mature RBCs in most dogs (Maede and Inaba, 1985). Consequently, RBCs from most dogs have low potassium concentrations owing to the absence of Na⁺, K⁺-pump activity (Parker, 1977). However, some Japanese mongrel dogs, some Japanese Akita dogs, some Japanese Shiba dogs, and some Korean dogs (especially Jindo dogs) have HK⁺ RBCs, because the Na⁺, K⁺-pump is retained in mature RBCs (Degen, 1987; Fujise et al., 1997a; Maede et al., 1983, 1991; Yamato et al., 1999). Clinically, these dogs appear normal. This trait is inherited in an autosomal recessive manner. HK⁺ dog RBCs have higher MCV, lower MCHC, and similar MCH values compared to LK⁺ dogs of the same breed, suggesting an increase in cell water (Maede et al., 1983). Although dogs with HK⁺ RBCs are not anemic, their RBCs have shortened life spans (Maede and Inaba, 1987), and some dogs have slightly increased reticulocyte counts (Maede et al., 1983). The osmotic fragility was increased in mongrel dogs (Maede et al., 1983), but not in Jindo dogs (Yamato et al., 1999).

RBCs from most of these dogs also have high GSH, glutamate, glutamine, and aspartate concentrations secondary to increased glutamate and aspartate uptake. GSH concentration is increased five to seven times normal because the feedback inhibition of GCS by GSH is released by the markedly increased glutamate concentration (Maede *et al.*, 1982). These HK⁺, high GSH RBCs promote *Babesia gibsoni* replication compared to LK⁺, normal GSH RBCs (Yamasaki *et al.*, 2005).

Dog RBCs have a high affinity Na⁺-dependent transport system for glutamate and aspartate (Young, 1983). The increased transport of these amino acids into RBCs of affected dogs apparently occurs as a consequence of the Na⁺ and K⁺ concentration gradients produced by the presence of a Na⁺,K⁺-ATPase activity three times higher than that of human RBCs (Inaba and Maede, 1984). The glycolytic rate of HK⁺ dog RBCs is about twice that of LK⁺ cells, because greater ATP production is required to provide energy for active cation transport by the Na⁺,K⁺-pump and for increased GSH synthesis (Maede and Inaba, 1987).

The high GSH concentration in the HK⁺ dog RBCs provides increased protection against oxidative damage induced by acetylphenylhydrazine (Ogawa *et al.*, 1992) but increased susceptibility to oxidative damage induced by 4-aminophenyl disulfide (Maede *et al.*, 1989), n-propylthiosulfate (Inaba, 2000), and onions (Yamoto and Maede, 1992). Evidence suggests that the increased GSH concentration potentiates the generation of superoxide through its redox reaction with organosulfur compounds present in onions (Munday *et al.*, 2003).

A variant of this HK⁺ RBC disorder has been reported in dogs that lack GSH accumulation (Fujise *et al.*, 1993, 1997b). It was suggested that these dogs had a defect in amino acid metabolism in addition to the persistence of the Na⁺,K⁺-pump. Potassium concentration was estimated to be increased in RBCs from a Chinese shar-pei dog that was evaluated to determine the cause of pseudohyperkalemia (Battison,

2007). Increased osmotic fragility was present along with some macrocytic hypochromic RBCs. RBC Na⁺,K⁺-ATPase activity and GSH concentration were not assayed.

C. Miscellaneous Abnormalities

Familial Nonspherocytic Hemolytic Anemia in Poodles

A nonspherocytic hemolytic anemia occurs in poodles (Randolph *et al.*, 1986). An autosomal dominant trait with incomplete penetrance mode of transmission was suggested. Affected animals had severe persistent macrocytic hypochromic anemia (hematocrits 13% to 31%) with marked reticulocytosis. As in dogs with PK deficiency, myelofibrosis, osteosclerosis, and excess iron deposition in hepatocytes and mononuclear phagocytes were observed at necropsy. One dog died when 2.5 years old with liver failure. Despite extensive studies, the defect in this disorder could not be determined, but PK deficiency cannot be ruled out.

2. Hereditary Nonspherocytic Hemolytic Anemia in Beagles

A mild hemolytic anemia with reticulocytosis, slightly increased RBC osmotic fragility, shortened RBC life span, and normal RBC morphology have been reported in beagle dogs (Maggio-Price et al., 1988). Studies of RBC enzymes, membrane protein electrophoresis, and Hb failed to identify a defect. Decreased calcium pump ATPase activity (Hinds et al., 1989) and accelerated RBC swelling under osmotic stress (Pekow et al., 1992) have been reported in RBCs from anemic dogs, but these abnormalities may be the consequence of an unknown membrane defect rather than representing primary abnormalities. Although the etiology remains elusive, this abnormality appears to be transmitted as an autosomal recessive trait.

3. Familial Erythrocytosis in Cattle

Marked erythrocytosis (hematocrits 60% to 80%) has been described in calves from a highly inbred Jersey herd (Tennant et al., 1967, 1969). Affected calves had normal Hb types and arterial blood gas values. As with normal calves, hematocrits were within the adult range at birth, fell during the first month of life, and then increased during the next 2 months. In contrast to normal calves, however, the hematocrit continued to increase in affected calves until 6 to 7 months of age. The majority of affected calves died during this time. Hematocrits of surviving animals returned slowly to normal by maturity. Serum of affected calves lacked measurable Epo but contained a growth factor that appeared to enhance Epo activity in vitro (Van Dyke et al., 1968). The pathogenesis of this disorder may involve an abnormally controlled increased RBC production in response to the anemia of the neonate (Tennant et al., 1969). A variety of familial and congenital erythrocytosis syndromes have been

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Porphyrins and the Porphyrias

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I. INTRODUCTION

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REFERENCES

I. INTRODUCTION

The metal-porphyrin complexes are found widespread in nature as constituents of compounds of fundamental importance in the metabolic processes of life. The photosynthetic pigment of plants, chlorophyll, is a magnesium porphyrin. The iron-porphyrin complexes of animals are found as prosthetic groups of proteins, including the hemoglobins, myoglobins, and the heme enzymes peroxidase, catalase, and the cytochromes. The porphyrins also exist in nature in their free state or as zinc complexes, and it is this group that is associated with the porphyrias and the porphyrinurias.

Present knowledge of the porphyrins has its basis in the classic studies of the German physician and chemist Hans Fischer, whose work on the porphyrins dates back to 1915.

The development of elegant methods of detection and identification of porphyrins and sophisticated enzymological techniques have resulted in the present clear understanding of the mechanisms of porphyrin biosynthesis and the biochemical and molecular bases for the disorders of porphyrin metabolism.

II. PORPHYRINS

A. Structure of the Porphyrins

The parent nucleus of the porphyrins is a cyclic tetrapyrrole, which consists of four pyrrole nuclei with their $\alpha(\text{adjacent to the }\beta)$ carbon atoms linked together by methene (-C=) bridges. This compound is called porphin and is shown in Figure 8-1. The various synthetic and naturally occurring porphyrins are derivatives of porphin, distinguished from each other by the type and position of the radicals substituted for the hydrogen atoms at positions 1 through 8. For convenience in discussing the substitutions, the simplified representation of the porphin nucleus as shown in Figure 8-1 is used.

The classification of the porphyrins is based on the synthetic porphyrin, etioporphyrin (ETIO), in which two different radicals are substituted at positions 1 through 8. The substituted radicals are four methyl (M) and four ethyl (E) groups. The number of structural isomers possible with these eight substituted radicals is four, as shown at the top of Figure 8-2. The naturally occurring porphyrins are only those in which the positioning of their substituted radicals correspond to isomer I or III of etioporphyrin, ETIO I and ETIO III. This observation led Fischer to speak of a "dualism" of porphyrins in nature, which is in essential agreement with present knowledge of the biosynthesis of the

porphyrin isomers as proceeding along parallel and independent paths.

The uroporphyrins also contain two different radicals, acetic (A) and propionic (P) acids, and four each of these are arranged to correspond to either isomer ETIO I or ETIO III (Figure 8-2). In this case, A corresponds to M and P

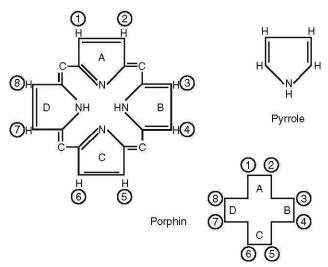
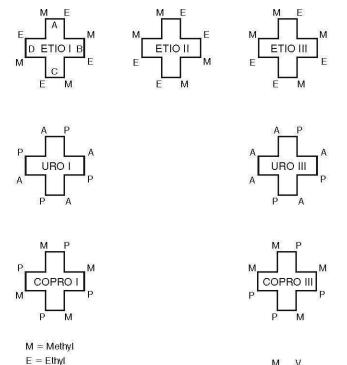


FIGURE 8-1 The precursor pyrrole and the parent porphin nucleus of porphyrins. Sites of isomeric substitutions are given as circled numbers and the pyrrole rings as letters. A schematic representation is also given.

corresponds to E. Therefore, these are designated uroporphyrin I (URO I) or uroporphyrin III (URO III). Similarly, the coproporphyrins contain four M and four P groups and are designated coproporphyrin I (COPRO I) and coproporphyrin III (COPRO III). The protoporphyrin of heme (iron-protoporphyrin, the prosthetic group of hemoglobin) corresponds to the series III isomer. In this case, however, three different radicals instead of two are substituted. These consist of four M, two P, and two vinyl (V) radicals. With three different radicals, 15 isomers are possible, but the protoporphyrin of heme is the only naturally occurring isomer known. This isomer was designated protoporphyrin IX because it was the ninth in the series of protoporphyrin isomers synthesized by Fischer. The arrangement of the methyl groups of this isomer as shown in Figure 8-2 corresponds to that of a type III etioporphyrin isomer and more properly should be called protoporphyrin III. However, by convention, the name protoporphyrin IX (PROTO IX) is the designation for this porphyrin.

An interesting isomer with only one vinyl group located on the A ring occurs and is known as harderoporphyrinogen, which is thought to be an intermediate in the synthesis of protoporphyrinogen III. Other naturally occurring or chemically synthesized porphyrins are derivatives of PROTO IX. If the two vinyl groups are hydrogenated to ethyl groups, the product is mesoporphyrin IX. If the



A = Acetic acid P = Propionic acid V = Vinyl



FIGURE 8-2 The isomeric porphyrins. The nomenclature of the porphyrins URO, COPRO, and PROTO is based on the isomeric structure of the etioporphyrins. Note that there are only the type I and type III isomers.

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two vinyl groups are converted to hydroxy-ethyl groups, the product is hematoporphyrin IX. If the two vinyl groups are replaced by hydrogen atoms, the product is deuteroporphyrin IX. Protoporphyrin and deuteroporphyrin normally occur in feces but are primarily products of intestinal bacterial degradation.

B. Synthesis of Porphyrins and Heme

The initial steps in the pathway for porphyrin and heme biosynthesis begins with the incorporation of the methyl carbon (C-2) and nitrogen atom of glycine into the porphyrin ring and ultimately into the heme of hemoglobin. Porphyrin metabolism and the porphyrias have been periodically reviewed (Elder and Sheppard, 1982; Hindmarsh, 1986; Sassa, 2006; Sassa and Kappas, 2000).

The methyl carbons (C-2) of glycine supply 8 of the 34 carbons of protoporphyrin: one for each of the four methene bridges and one for each of the pyrrole rings (Fig. 8-3).

The carboxyl carbon atom of glycine is given off as CO₂ and is not incorporated into the protoporphyrin molecule (Fig. 8-3). The direct incorporation of the nitrogen or the methyl carbon glycine into the heme of hemoglobin has been the basis for a useful technique to label the erythrocyte and to measure its survival time. After administering ¹⁵N-glycine, the concentration of ¹⁵N in heme rises rapidly, remains constant for a time, and then falls. Mathematical analysis of the curve indicates a survival time of 120 days for the human erythrocyte. On a similar basis, methyl carbon labeled glycine has been used to determine the life span of the erythrocytes of a number of domestic animals (Table 8-1).

The remaining carbons of protoporphyrin are supplied by the tricarboxylic acid (TCA) cycle intermediate, succinyl-CoA. An outline of the pathway of heme and porphyrin synthesis is given in Figure 8-4, which depicts the compartmentalization of their pathways between the mitochondria and cytosol. The nomenclature of the enzymes of porphyrin synthesis varies among authors, so a guide to the nomenclature of the enzymes is provided in Table 8-2.

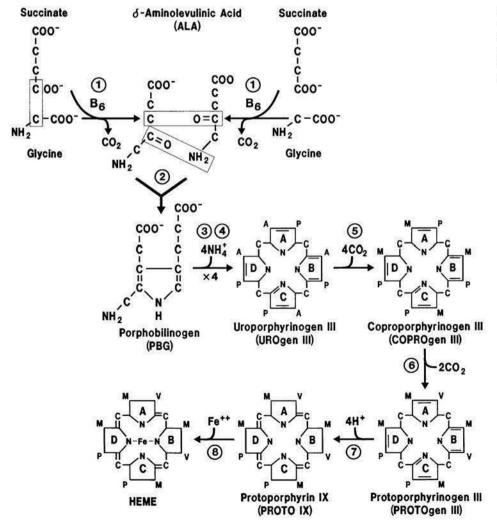


FIGURE 8-3 The synthetic pathway for protoporphyrin and heme. Note that two enzymes are required to catalyze the formation of UROgenIII. The circled numbers correspond to the enzymes listed in Table 8-2.

TABLE 8-1	Erythrocyte Life Span of Animals
Determine	d by the Cohort Labeling of Heme
Ties.	

Animal	Label	Life Span (Days)	Reference		
Antelope	¹⁴ C	80	Cornelius et al. (1959)		
Cat	¹⁵ N	77	Valentine et al. (1951)		
0	¹⁴ C	66–79	Kaneko et al. (1966)		
ű.	⁵⁹ Fe	68	Brown and Eadie (1953)		
.0	III.	36–66	Liddle et al. (1984)		
Chicken	¹⁴ C	20	Altland and Brace (1956)		
Cow	¹⁴ C	135-162	Kaneko (1963)		
Deer (Mule)	ii	95	Cornelius et al. (1959)		
Dog	W.	86–106	Cline and Berlin (1963)		
21	⁵⁹ Fe	95-109	Finch et al. (1949)		
Duck	¹⁴ C	39	Altland and Brace (1956)		
Goat	iii.	125	Kaneko and Cornelius (1962)		
Tahr Goat	M.	160-165	H H H H		
Guanaco	HL MZ	225	Cornelius and Kaneko (1962)		
Guinea Pig	⁵⁹ Fe	83	Everett and Yoffey (1959)		
Horse	¹⁴ C	140-150	Cornelius et al. (1960)		
Human	iii	120	Berlin et al. (1957)		
ш	¹⁵ N	127	Shemin and Rittenberg (1946b)		
Mouse	⁵⁹ Fe	20-30	Burwell et al. (1953)		
Pig	W.	63	Jensen et al. (1956)		
D.	¹⁴ C	62	Bush et al. (1955)		
Rabbit	¹⁵ N	65-70	Neuberger and Niven (1951)		
	⁵⁹ Fe	57	Gower and Davidson (1963)		
in the second se	¹⁴ C	50	и и и и		
Rat	25	64	Berlin and Lotz (1951)		
22	M	68	Berlin et al. (1951)		
11	⁵⁹ Fe	45-50	Burwell et al. (1953)		
Sheep	ii.	70–153	Tucker (1963)		
0	¹⁴ C	64-118	Kaneko et al. (1961)		
Bighom	11/2	147	H-H-H-H- SOF-SOF-SOF		
	W.	130	n n n n		
Aoudad	W	60 and 170	Cornelius et al. (1959)		

1. δ-Amino Levulinic Acid

The initial step in the pathway of δ -aminolevulinic acid (ALA) synthesis occurs in the mitochondria and involves the enzymatic condensation of glycine with succinyl-CoA to form ALA. This reaction requires vitamin B₆ as pyridoxal phosphate, and it is the pyridoxal-phosphate-glycine

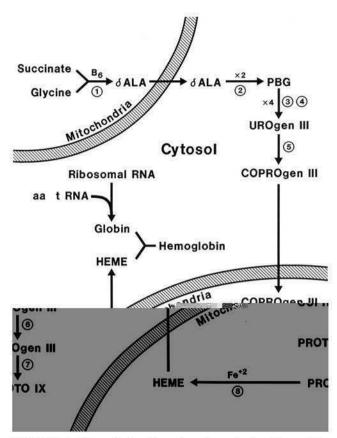


FIGURE 8-4 The synthetic pathway for protoporphyrin and heme. Note the partitioning of the heme synthetic pathway between the mitochondria and the cytosol. The circled numbers correspond to the enzymes listed in Table 8-2.

complex that condenses with succinyl-CoA (Gibson et al., 1958, Kikuchi et al., 1958). The requirement for pyridoxine explains the pyridoxine responsive anemia of pyridoxine deficiency because in the absence of pyridoxine, the condensation cannot occur. The condensing reaction is catalyzed by the enzyme ALA synthase (ALA-Syn). ALA-Syn is the rate-controlling enzyme for heme synthesis (Granick, 1966). ALA-Syn is induced by heme and is also suppressed by negative feedback inhibition by heme. Thus, the end product, heme, controls its own synthesis (Granick and Levere, 1964). The ALA is next transferred into the cytosol (Sano and Granick, 1961).

2. Porphobilinogen

Two moles of ALA are next condensed to form the precursor pyrrole, porphobilinogen (PBG) in the cytosol (Cookson and Rimington, 1953). The enzyme ALAdehydrase (ALA-D), an enzyme that is strongly inhibited by lead, catalyzes this reaction. The activity of this enzyme has been assayed in lead poisoning, and a reduced activity is generally regarded as presumptive evidence of exposure to lead.

Abbreviations	Nomenclature				
1. ALA-Syn	delta-aminolevulinate synthase (synthetase)				
2. ALA-D	delta-aminolevulinate dehydrase (dehydratase); porphobilinogen synthase				
3. PBG-D	porphobilinogen deaminase, uroporphyrinogen I synthase (synthetase), hydroxymethylbilane synthase				
4. UROgenIII-Cosyn	uroporphyrinogen III cosynthase (cosynthetase)				
5. UROgen-D	uroporphyrinogen decarboxylase				
6. COPROgenIII-Ox	coproporphyrinogen III oxidase				
7. PROTOgen-Ox	protoporphyrinogen oxidase				
8. FER-Ch	ferrochelatase; heme synthase (synthetase)				

3. Uroporphyrinogen

Next, two enzymes, porphobilinogen deaminase (PBG-D) (formerly called uroporphyrinogen I synthase [UROgenI-Syn]) and uroporphyrinogen III cosynthase (UROgenIII-Cosyn) act together to condense four moles of PBG into the cyclic tetrapyrrole, uroporphyrinogen III (UROgenIII). PBG-D initially catalyzes the formation of a symmetrical linear tetrapyrrole. UROgenIII-Cosyn then flips the D ring and closes the pyrroles into an asymmetrical porphyrin ring of the type III configuration. In the absence of the UROgenIII-Cosyn, the symmetrical linear tetrapyrrole spontaneously closes into a symmetrical porphyrin ring of the type I configuration. Normally, there is a great excess of UROgenIII-Cosyn, so UROgenIII is synthesized. Both enzymes have been isolated from the spleens of anemic mice and in vitro, in the presence of both enzymes, UROgenIII was produced (Levin and Coleman, 1967).

4. Coproporphyrinogen

The eight carboxyl UROgens I or III are next progressively decarboxylated into the four carboxyl coproporphyrinogens (COPROgen) I or III with the decarboxylations catalyzed by the enzyme uroporphyrinogen decarboxylase (UROgen-D). UROgen-D is nonspecific so it catalyzes the decarboxylation of either UROgenI or UROgenIII. The COPROgens now move back into the mitochondria (Fig. 8-4).

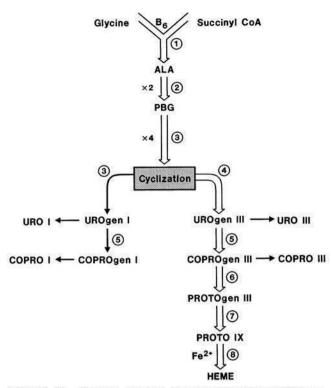


FIGURE 8-5 Alternate pathways for porphyrin synthesis. Normally, enzymes 3 and 4 function together in a coordinated manner to form heme. In the absence of enzyme 4, the alternate and terminal pathway to form the I isomers is taken. The circled numbers correspond to the enzymes listed in Table 8-2.

5. Protoporphyrinogen

Within the mitochondria, coproporphyrinogen III oxidase (COPROgenIII-Ox) catalyzes the decarboxylation of the two propionic acid groups on the A and B pyrrole rings of COPROgenIII to vinyl groups and the resulting product is protoporphyrinogen III (PROTOgenIII). COPROgenIII-Ox is highly specific for COPROgenIII, and this explains the presence of only type III porphyrin isomers in nature. This also means that COPROgenI is a terminal intermediate that is oxidized to coproporphyrin I, the end product of this path (Fig. 8-5). COPROgenIII, when in excess, is also oxidized to coproporphyrin III. Similarly, the UROgens I and III can be oxidized to their end products, the uroporphyrins I and III. Figure 8-5 illustrates that each of the -gen forms can be oxidized into their free forms, which are the forms usually found in the circulatory system. These free porphyrins and protoporphyrins are photoreactive and are the causative agents of the photosensitivity in the porphyrias.

6. Protoporphyrin

PROTOgenIII, the 2-carboxyl porphyrinogen, is next oxidized at the carbon bridges to form the methene bridges connecting the pyrroles and is catalyzed by protoporphyrinogen III oxidase (PROTOgenIII-Ox). The resulting product is protoporphyrin IX (PROTO IX).

7. Heme

Within the mitochondria, ferrous iron (Fe⁺²) is chelated with PROTO IX to form heme and is catalyzed by the enzyme ferrochelatase (FER-Ch). Iron can also be incorporated with relative ease by a nonenzymic method, but the enzymic iron incorporation is more than 10 times that of the nonenzymic route (Labbe and Hubbard, 1961). Conditions that help to maintain iron in its ferrous form including the presence of reducing agents (ascorbic acid, cysteine, glutathione) enhance both enzymic and nonenzymic iron incorporation. Because iron is incorporated into heme as an integral part of the molecule, labeled iron can also be used as a cohort label to determine the life span of the erythrocyte (Table 8-1).

8. Hemoglobin

Heme next moves into the cytosol where it is linked to the heme pocket of globin in a precise and stable position that permits binding of oxygen to the heme. Hemoglobin consists of four moles of this heme-globin moiety linked together as a globular tetramer. It is this globular tetrameric form of the hemoglobin molecule that permits the cooperative interaction of oxygen binding, which gives the familiar sigmoid oxygen-hemoglobin saturation curve.

9. Summary

In summary, the synthesis of porphyrins, heme, and globin can only occur in those respiring cells with full complements of mitochondrial and cytosolic enzymes. The TCA cycle is an aerobic cycle, and therefore a lack of oxygen would preclude synthesis of succinyl-CoA and hence of heme. PROTO IX formation and the chelation of iron to form heme are also oxygen-requiring systems. Therefore, the reticulocyte with its residual complement of enzymes can synthesize hemoglobin, but the mature erythrocyte, which is devoid of mitochondrial enzymes, cannot.

III. METHODOLOGY

The principal method now employed for the detection of porphyrins in biological materials in the clinical laboratory is based on the characteristic red fluorescence observed when acidic solutions of the porphyrins are exposed to ultraviolet light. The color of the fluorescence cannot be used to distinguish between the uroporphyrins and the coproporphyrins, and, therefore, these must be separated before examination for fluorescence. The separation procedures are based on the solubility differences of the porphyrins in various organic solvents. In general, the following solubility properties are used in the separation of the uroporphyrins from the coproporphyrins:

- 1. The coproporphyrins are soluble in diethyl ether, whereas the uroporphyrins are not, and therefore uroporphyrins remain in the aqueous phase.
- 2. Both uroporphyrin and coproporphyrin are soluble in strong acid, 1.5 N HCl. Coproporphyrins are therefore extracted from the organic phase with 1.5 N HCl. The uroporphyrins in the aqueous phase are absorbed with aluminum trioxide and subsequently eluted with 1.5 N HCl.

The acidic solutions of the porphyrins are then observed visually for fluorescence or examined in a sensitive fluorometer. The most suitable condition for the excitation of fluorescence is the use of ultraviolet light in the near visible range using aqueous solutions of the porphyrins at pH 1–2. Further means of identification include spectrophotometric examination, melting points of their methyl esters, and high-performance liquid chromatography (HPLC). The quantitative methods employing HPLC with fluorometric detection have been described in detail by Hindmarsh *et al.* (1999). The HPLC method now appears to be the most accurate method for urine and fecal porphyrins (Zuijderhoudt *et al.*, 2000, 2002). The following screening procedures are guides for further laboratory examinations.

A. Urinary Porphyrins

The urine for porphyrin examination must be alkalinized because porphyrins readily precipitate in acid urine. Addition of 0.5 g of sodium bicarbonate to the collecting bottle for each 100 ml of urine will keep the urine alkaline. The alkalinized urine can be stored at 4°C for 2 to 3 days before analysis. All contact of the urine with metal must be avoided and unfiltered urine is used. The following is a simplified screening procedure:

- 1. Place 5ml urine in a 250-ml separatory funnel and add 5ml acetate buffer (four parts glacial acetic acid: 1 part saturated sodium acetate) and adjust pH to 4.6 to 5.0.
 - 2. Add 15ml cold water.
- **3.** Extract the mixture with two 50ml aliquots of diethyl ether (or until the ether phases show no fluorescence under ultraviolet [UV] light) and pool the aliquots. The coproporphyrins will enter the ether phase.
- **4.** Most of the porphyrins in urine are in the form of their nonfluorescent precursors. Storage of the urine for 24h in a refrigerator will enhance their conversion to the fluorescent pigments. If fresh urine is used, the ether phase is gently shaken with 5ml of fresh 0.005% iodine solution (dilute 0.5ml of a stock 1% iodine in ethanol solution to 100ml with water) to convert the precursors to the porphyrins.
- **5.** Extract the pooled ether phases with 20 ml 5% HCl (1.5 N) and examine for fluorescence under UV light. Fluorescence indicates the presence of coproporphyrins.

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6. Uroporphyrins are insoluble in ether. Therefore, fluorescence in the aqueous urinary phase indicates the presence of uroporphyrins.

B. Fecal Porphyrins

The screening test as described for urine can also be used with fecal samples after prior extraction with strong acid. First, 5g of a fecal sample is emulsified with 10ml 95% ethanol. Then 25ml of concentrated HCl and 25ml water are added to the emulsion, and the mixture is kept overnight at room temperature. The mixture is next diluted to 200ml with water, filtered, and the filtrate examined for porphyrins as described for urine.

C. Blood Porphyrins

Almost all porphyrins in blood are present in the erythrocytes, and only trace amounts are in plasma. Therefore, it is important to use whole blood in the test and to understand that the test is a measure of the porphyrin content of the erythrocytes. Mix 2ml heparinized whole blood and 6ml ethyl acetate: glacial acetic acid (4:1) in a glass centrifuge tube. Stir thoroughly, centrifuge, and pour off the supernate into a second centrifuge tube. Add 1ml 3N HCl, vortex, and allow the phases to separate. Fluorescence under UV light in the aqueous layer indicates the presence of porphyrins in the erythrocytes. Normally, there will only be a trace of fluorescence so that more than a trace indicates an abnormal concentration.

D. Porphobilinogen

The Watson and Schwartz test for porphobilinogen is reliable for screening (Watson and Schwartz, 1941). For this test, 3ml fresh urine is mixed with 3ml Ehrlich's aldehyde reagent (0.7g p-dimethylaminobenzaldehyde, 150ml concentrated HCl, and 100ml water), the reagent that is used for the urine urobilinogen test. Next, 5ml chloroform are added, shaken vigorously in a separatory flask, and allowed to separate. The porphobilinogen aldehyde formed in the test is insoluble in chloroform and will remain in the lower aqueous phase. If the pink color is due to urobilinogen, it will be extracted into the chloroform phase. Porphobilinogen is found characteristically in the urine of patients with hepatic forms of porphyria, forms that have not been reported in animals.

IV. PORPHYRIAS

A. Classification

By convention, the term *porphyria* is used to define those disease states that have a hereditary basis and increased

urinary or fecal excretion of uroporphyrins and coproporphyrins. Depending on the fundamental biochemical defect, the porphyrias can be broadly classified on the basis of their tissue of origin, the erythropoietic system, or the liver. The term *porphyrinuria* is used to define those acquired conditions in which the principal, if not the sole, porphyrins being excreted are the coproporphyrins. Excess coproporphyrin excretion is observed in a wide variety of conditions including infections, hemolytic anemias, liver disease, and lead poisoning. The screening test for coproporphyrinuria has been especially useful for detecting exposure to lead.

Many systems for classifying porphyrias have been devised, and most are based on the defect in the tissue of origin, the erythropoietic system, or the liver. There is general agreement on the classification of the erythropoietic forms, but there is still some uncertainty as to the classification of the hepatic forms. These have been classified on the basis of their clinical manifestations, heredity, porphyrins excreted, and their enzymatic defects (Elder and Sheppard, 1982; Hindmarsh, 1986; Sassa, 2006; Sassa and Kappas, 2000). Each of the enzymatic defects has now been described on a molecular level in humans, and they have been summarized by Sassa and Kappas (2000). The genes have been cloned, and it is now known that there is a great deal of genetic heterogeneity, which presumably accounts for the wide variety of disease manifestations in each of the porphyrias. A useful system of classification is given in Table 8-3.

Methods are also available for the experimental production of the two major types of porphyria. In lead or

TABLE 8-3 Classification of the Porphyrias^a

Porphyria Type	Inheritance	Enzyme Deficiency	
I. Erythropoietic porphyries			
A. Congenital erythropoietic porphyria	AR	UROgenIII-Cosyr	
B. Erythropoietic protoporphyria	AD	FER-Ch	
II. Hepatic porphyrias			
A. ALA-D deficiency porphyria	AR	ALA-D	
B. Acute intermittent porphyria	AD	PBG-D	
C. Porphyria cutanea tarda	AD	UROgen-D	
D. Hepatoerythropoietic porphyria	AR	UROgen-D	
E. Harderoporphyria	AR	COPROgenIII-Ox	
F. Hereditary coproporphyria	AD	COPROgenIII-Ox	
G. Variegate porphyria	AD	PROTOgen-Ox	

^aInheritance: A= autosomal; R= recessive; D= dominant; see Table 8-2 for enzyme abbreviations.

phenylhydrazine poisoning, a type of porphyrinuria has been observed that has some of the characteristics of erythropoietic porphyria of humans and cattle (Schwartz *et al.*, 1952). A hepatic form can be produced with sedormid (allylisopropylacetylcarbamide) (Schmid and Schwartz, 1952), dihydrocollidine (Granick and Urata, 1963), or hexachlorobenzene.

B. Erythropoietic Porphyrias

1. Bovine Congenital Erythropoietic Porphyria

a. Introduction

One of the characteristic findings in bovine congenital erythropoietic porphyria (CEP) is a reddish brown discoloration of the teeth and bones. Discolorations of this type have been observed in cattle at slaughter since the turn of the century, and these cattle are presumed to have had the disease. The first living cases were encountered in South Africa in a herd of grade Shorthorn cattle. Since then, CEP has been reported in cattle in Denmark (Jorgensen and With, 1955), the United Kingdom (Amoroso *et al.*, 1957), the United States (Rhode and Cornelius, 1958), and Jamaica (Nestal, 1958). The disease has been seen primarily in Holsteins with a few cases in Shorthorns and Jamaican cattle.

The simple Mendelian autosomal recessive heredity of the disease was established by the study of the genealogy of the affected cattle and by breeding experiments. The affected homozygotes are characterized by discoloration of the teeth and urine, photosensitivity of the light areas of the skin, and generalized lack of condition and weakness. The condition is present at birth, and severely affected calves must be protected from sunlight if a state of health is to be maintained.

The predominant symptoms of teeth and urine discoloration and the photosensitization of the severely affected animal are readily apparent, and a tentative diagnosis can be confirmed by the orange-red fluorescence of the teeth and urine when examined with near ultraviolet light or a Woods lamp. The symptomatology of affected animals, however, may vary from minimal to severe and with age and season. The discoloration of the teeth may vary in the same breed, being more pronounced in the young and less apparent in the older animals. Porphyrin deposits are heavily concentrated in the dentine so the occlusal surfaces should also be examined. If porphyrins are present, the discoloration and the fluorescence of the dentine will be readily detected.

The degree of photosensitization will vary with the amount of porphyrin deposition in the dermis, coat color, density of the coat, and extent of exposure to sunlight. The photosensitization may be so slight as to escape detection. At times, loss of condition may be the only outward symptom for which the veterinarian is called. Marked variations in the urinary excretion of the porphyrins also occur. These may range from minimal to thousands of micrograms in the same animal so that the urine color may vary widely. The variations observed in this disease indicate the dynamic state of flux of porphyrin metabolism in the living animal, and the porphyrin deposits constitute a part of this dynamic state.

b. Distribution of Porphyrins

Some normal values for the porphyrins in animals are given in Table 8-4. These values are to be considered as best approximations obtained from a relatively few animals. The figures, however, indicate the very low concentrations of the free porphyrins normally found in the body. Thus, the finding of porphyrins in greater than trace amounts is always significant. Porphyrin concentrations in porphyric cows and calves are given in Table 8-5 (Kaneko and Mills, 1970).

Urine (kg/dl)		l)	Feces (kg/g)		RBC (kg/dl cells)		Plasma (kg/dl)		Bone Marrow (kg/dl cells)		
Species	URO ⁶	COPRO	COPRO	PROTO	COPRO	PROTO	COPRO	PROTO	URO	COPRO	PROTO
Cow	1.09 ± 0.92	4.06 ± 1.96	3.12 ± 0.96	0.75 ± 0.30	Trace	Trace	Trace	Trace	1	5	100
	0.80 ± 1.60	2.05 ± 6.15	1.11 ± 4.28	0.15 ± 1.25							
Pig		104 ^c				118					
Rabbit	25°	41	25°		2.6	83.3			Trace	4.5	87.5
Dog	50°			50°		35.0					

^aReferences: Cow; Jorgensen (1961); Watson et al. (1959); Kaneko and Mills (1970). Pig: Cartwright and Wintrobe (1948). Rabbit: Schmid et al. (1952). Dog: Schwartz et al. (1960). ^b Abbreviations: URO uroporphyrin; COPRO, coproporphyrin; PROTO, protoporphyrin.

^CMicrograms per day

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i. Urine It is known that porphyrin excretion varies over wide limits. Jorgensen (1961) in 52 cases found values for urinary uroporphyrins between 6.3 to $3900\mu g/dl$ (0.076 to $46.96\mu mol/l$) and coproporphyrins between 2.1 and $8300\mu g/dl$ (0.032 to $126.74\mu mol/l$). At concentrations of $100\mu g/dl$ (1.53 $\mu mol/l$) or more, a reddish discoloration is discernible in the urine. At $1000\mu g/dl$ (15.27 $\mu mol/l$) or more, an intense red fluorescence of the urine is readily observed when examined in the dark with Woods light. The principal porphyrins excreted are URO I and COPRO I and only a small fraction is of the type III isomers. The percentage of each appearing in the urine is also variable. There is usually a greater excretion of URO I than COPRO I, but Jorgensen (1961) observed a greater excretion of COPRO I than URO I.

Porphobilinogen is not usually found in bovine CEP urine, and earlier reports of its presence (Jorgensen and With, 1955) have not been confirmed (Jorgensen, 1961). Normally colored, nonfluorescent urine of a CEP cow has consistently given a definite pink Ehrlich reaction, but unlike porphobilinogen aldehyde, the pigment is soluble in chloroform. The nature of the pigment is unknown. Upon heating on a steam bath for an hour or standing at room temperature for several days, a red fluorescence is apparent on exposure to UV light. Quantitative porphyrin determinations of this urine yielded values of $135\mu g/dl$ (1.63 μ mol/l) and $87\mu g/dl$ (1.33 μ mol/l) for uroporphyrin and coproporphyrin,

respectively. Watson *et al.* (1959) also described a similar experience with bovine CEP urine.

ti. Bile and Feces Bovine fecal porphyrins may be derived from two sources: the bile and from chlorophyll of the food. The porphyrins derived from chlorophyll are excluded by the usual analytical method. Essentially, the only porphyrin found in the bile and feces of CEP cattle is COPRO I, and its concentration varies over wide limits (Tables 8-4 and 8-5). Fecal coproporphyrin varied between 1.9 and $11,800\mu g/g$ (0.003 to 18.0μ mol/g) and biliary coproporphyrin between 320 and $13,600\mu g/dl$ (4.88–207.67 μ mol/l) (Jorgensen, 1961). Only small amounts of COPRO III have been observed in feces. Watson *et al.* (1959) also observed this preponderance of COPRO I in feces and also reported the presence of small amounts of URO I.

iii. Plasma and Erythrocytes Only traces of free porphyrins are normally present in the plasma and in the erythrocytes. In bovine CEP plasma, Watson et al. (1959) observed variable amounts of porphyrins that were in general equally URO I (1 to $27\mu g/dl$; 0.012- $0.33\mu mol/l$) and COPRO I (4.2 to $25\mu g/dl$; 0.064 to $0.38\mu mol/l$). A striking difference as compared to the human disease was the high level of free protoporphyrin in the erythrocytes of the CEP cow. The significance of this high level of protoporphyrin was unclear but was most likely related to the severe hemolytic

TABLE 8-5 Porphyrins in Blood and Excreta of Normal, Porphyric, and Porphyria Carrier Cattle^a

	Erythrocyt	tes	Plasma		Urine		Feces		
Animals ^c									
	COPRO ⁶	PROTO	COPRO	PROTO	COPRO	URO	COPRO	PROTO	
Normal Matur	e Cows (N = 1	0)							
	Trace	Trace	Trace	Trace	2.05-6.15 (4.06)	0.80-1.60 (1.09)	111-428 (312 ± 96)	15-125 (75 ± 30)	
Mature Porphy	ric Cows								
1184	3.0	61	15.3	1.8	410	378	5670	46	
652 (N = 3)	3.4	64	4.5	1.6	313	336	1900	12	
2026 (N = 2)	3.1	457	8.9	1.5	498	487	2090	62	
718	89.7	36		1450	1280				
Mature Porphy	ria Carrier Cov	ws							
1140	Trace	Trace	Trace	Trace	Trace	Trace	292	88	
1141	Trace	Trace	Trace	Trace	Trace	Trace	273	92	
Porphyric Calv	es, 2 to 6 Mon	ths Old							
1857 (N = 3)	2.9	109	1.5	Trace	13	70	796	144	
1801 (N = 3)	7.8	104	38.4	2.6	1430	1144	12	72	
1959	18.6	288	Trace	Trace	480	265	22	99	
Porphyria Carr	ier Calf, 5 Mor	nths Old							
1802	Trace	Trace	Trace	Trace	Trace	Trace	495	40	

a Values are means given in micrograms per deciliter or micrograms per 100 g; values in parentheses are means plus or minus a single standard deviation (Kaneko and Mills, 1970).

^b Abbreviations: COPRO, coproporphyrins; PROTO, protoporphyrins; URO, uroporphyrins

^cN denotes number of animals or number of determinations per animal

Tissue	Uroporphyrins	Coproporphyrins	Protoporphyrins	Total
Bone marrow	Tr - 162	Tr — 1890	Tr — 394	Tr - 2,396
Bones	6,000	Tr	Tr	6,000
Teeth	18,550	Tr	Tr	18,550
Spleen	0–10	Tr - 342	Tr - 60	7–400
Liver	0-Tr	16–340	42–65	66–403
Lung	0–79	0-37	Tr - 20	20-130
Kidney	0	Tr - 117	5–16	5-133
Lymph node	0	0–40	1–7	1-49
Intestine	0	Tr — 65	7–77	18-104
Stomach	0	Tr — 58	12–82	12-111
Bile	0–690	112-12,205	0–856	112-13,750
Adrenal	0–6	Tr - 202	19–170	19–378
Ovary	0	65	Ĵ.	66
Testes	0	0	9–14	9-14
Skin	0	0	Ő	0
Muscle	0	10	30	40
Brain/spinal cord	0	23	57	80

anemia in the CEP cow. Excess PROTO IX is commonly found in iron deficiency, hemolytic anemia, and in lead poisoning. In iron deficiency, PROTO IX accumulates because of failure to form hemoglobin, but in CEP, serum iron is normal or elevated (Kaneko, 1963; Kaneko and Mattheeuws, 1966; Watson *et al.*, 1959). Similarly, PROTO IX accumulates in lead poisoning because of inhibition of ferrochelatase and a subsequent inability to insert iron into

hemoglobin.

iv. Tissue The range of concentrations of porphyrins in the tissues of CEP cattle is given in Table 8-6. The deposition of porphyrins throughout the bones and soft tissues is readily apparent at postmortem of severe cases by the generalized discoloration. A reddish brown discoloration is most apparent in the teeth, bones, and bone marrow. Greatest discoloration of soft tissues occurs in the lungs and spleen in which characteristic fluorescence may be observed with UV light. The high concentration of porphyrins in the spleen is consistent with the hemolytic type of anemias in CEP. Discoloration of skin, muscle, heart, liver, and kidney is also observed but only a part is due to porphyrins. The discoloration is likely due to other porphyrin derivatives and to hemoglobin staining.

c. Hematology

The hematological picture of the majority of reported cases is one of a responsive hemolytic anemia. In general, the degree of response is correlated with the severity of the hemolytic anemia. The anemia in mild cases of bovine CEP is normocytic and in the more severe cases is macrocytic, a reflection of the severity of the hemolysis and the acuteness of the response. In the severe cases of bovine CEP, there is reticulocytosis, polychromasia, anisocytosis, basophilic stippling, and an increase in nucleated erythrocytes. A consistent monocytosis has been observed (Kaneko, 1963; Rhode and Cornelius, 1958), but this remains unexplained. There is a markedly decreased M:E ratio in the presence of the anemia, indicating a marked bone marrow hyperplasia. Bone marrow is also a principal site of porphyrin deposition, and Watson et al. (1959) found high concentrations of uroporphyrins in the bone marrow of a CEP cow.

The presence of porphyrins in the nucleated erythrocytes is clearly evident by examination of unfixed and unstained bone marrow smears with a fluorescent microscope. These fluorescent cells have been called fluorocytes. This phenomenon was originally observed by Schmid *et al.* (1955) in the bone marrow of a human patient and helped

to localize the metabolic lesion in the erythropoietic tissue. They also reported that the fluorescence was seen only in morphologically abnormal nucleated erythrocytes that contained abnormal nuclear inclusions. Similar nuclear abnormalities were observed in bovine CEP bone marrow (Watson *et al.*, 1959). Schmid *et al.* (1955) concluded that there were two populations of erythrocytes, one normal and one containing free porphyrins.

The presence of two populations of erythrocytes was reported in humans, but this was attributed to the intermittent hemolytic crises that occurred (Gray *et al.*, 1950). Runge and Watson (1969), who were studying fluorescing bovine CEP bone marrow cells after bleeding, also concluded that there was only a single population of erythrocytes in bovine CEP.

The hematology of newborn CEP calves also has striking differences from that of older CEP calves and cows (Kaneko and Mills, 1970). There is an intense erythrogenic response in the neonatal CEP calf, which persists for the first 3 weeks of life. Nucleated erythrocyte counts during the first 24h of life ranged from 5000 to $63,500\mu$ l. Reticulocyte counts were lower than expected (6.4%) and increased to a peak of only 12.5% at 4 days of age. The persistent reticulocytosis is thought to be due to a delay in maturation of the reticulocytes (Rudolph and Kaneko, 1971; Smith and Kaneko, 1966). This delay in maturation of the reticulocyte, which is proportional to the degree of anemia, is now a well-established phenomenon during the reticulocyte response to a blood loss or hemolytic anemia. In essence, this delay represents the increase in survival time of the reticulocyte beyond its normal 1-day survival time. This increased survival time is the now commonly used maturation correction factor (MCF) for estimating the reticulocyte production index (RPI) when evaluating the response to an anemia.

d. Mechanism of the Anemia

A responsive hemolytic anemia is a well-established occurrence in CEP. Erythrocyte porphyrins are high in CEP, and if these erythrocytes with high porphyrin concentrations were more susceptible to destruction, a shortening of their life span would be expected. Erythrocyte life span is shortened in bovine (Kaneko, 1963) and in human CEP (Gray et al., 1950). There is general agreement that this shortening of life span is associated with the hemolytic process, but the mechanism of the hemolysis remains obscure. It has been shown that erythrocyte survival in bovine CEP is inversely correlated with erythrocyte coproporphyrin concentration (Kaneko et al., 1971). The shortest erythrocyte survival time of 27 days (normal = 150 days) was associated with the highest erythrocyte coproporphyrin concentration. The porphyrins through their lipid solubility are presumed to damage the erythrocyte membrane

leading to the hemolysis. *In vivo* ⁵⁹Fe metabolic studies were completely compatible with a hemolytic type of anemia, and ineffective erythropoiesis (i.e., bone marrow hemolysis) was also demonstrated (Kaneko, 1963; Kaneko and Mattheeuws, 1966). Plasma iron turnover and transfer rates, erythrocyte iron uptake, and organ uptakes were increased as expected in a hemolytic process.

The mechanism of cell damage has also been studied in reticulocytes and in nucleated erythrocytes. A biochemical defect in the bovine CEP reticulocyte *in vitro* was expressed as an increase in porphyrin synthesis, a marked decrease in heme synthesis and a delay in the maturation time of the reticulocyte (Smith and Kaneko, 1966). The $T_{1/2}$ for the maturation of the reticulocyte was 50h compared to a normal of 3 to 10h. This delay in reticulocyte maturation is thought to be the direct result of the defect in heme synthesis, because the rate of heme synthesis controls the rate of maturation of the reticulocyte (Schulman, 1968). This means that there is an increase in the reticulocyte survival time inversely proportional to the degree of anemia.

A similar delay in the maturation of the metarubricyte to the reticulocyte was observed in the bone marrow cells of CEP cows (Rudolph and Kaneko, 1971), but there was no effect on the earlier stages of nucleated erythrocytes. Therefore, the more mature erythrocytic cells are the cells most affected by the high porphyrin content. This is not surprising because heme and hemoglobin synthesis are most active in the later stages of erythrocytic cell development. Ultimately, the accumulation of porphyrins in these cells, whether in bone marrow or in blood, induces hemolysis. Upon exposure of surface capillaries to sunlight, photohemolysis of the type observed in erythropoietic protoporphyria (Harber *et al.*, 1964) would further aggravate the hemolysis.

This hemolytic mechanism might also explain the striking erythrogenic response seen in the neonatal porphyric calf. Because most of the porphyrins are within the fetal erythrocytes and these would not normally cross the placenta, the porphyrin containing erythrocytes would accumulate in the fetus and a profound hemolysis would occur in utero. This hemolysis in turn would induce a marked erythrogenic response in the fetus, and this is observed at birth. At birth, porphyrins are high, but they fall to their steady state level in about 3 weeks in CEP calves. This is comparable to the rate of clearance of ¹⁴C-porphyrin into urine, which fell to 0.1% of the initial concentration in 3 weeks. Furthermore, 3 weeks is also the time at which the erythrogenic response is stabilized at a steady state level in the neonatal calf (Kaneko and Mills, 1970).

In summary, as a result of the heme synthetic defect in erythropoietic porphyria, there is excess porphyrin accumulation in the mature and developing erythrocytes, which induces their hemolysis in the circulation or in the bone marrow. There is a corresponding shortening of erythrocyte life span. In addition, the decrease in heme synthesis induces an increase in the survival time of the reticulocyte by inhibiting the maturation of the developing erythrocytes, which further aggravates the anemia. This biochemical defect in heme synthesis is morphologically expressed in the fluorocytes and in the evidence of erythrogenic response in the blood and bone marrow, the degree of which is directly related to the severity of the enzymatic defect of the porphyria.

e. Detection of the Carrier State

Bovine CEP is inherited as an autosomal recessive trait. Previously, carrier animals were detectable only by the occurrence of the disease in their progeny. Levin (1968a) developed an assay for UROgenIII-Cosyn and found that its activity was considerably less in CEP cattle than in normals (Levin, 1968b). Heterozygous cattle, which are the clinically unaffected carriers of the porphyria gene, had UROgenIII-Cosyn activities intermediate between porphyrics and normals (Romeo et al., 1970). Similarly low UROgenIII-Cosyn activity is found in human CEP, but carriers are less readily detectable in humans than in cattle (Romeo and Levin, 1969). These findings are also in keeping with the concept that the genetic defect in CEP is a deficiency of UROgenIII-Cosyn. Romeo (1977) has reviewed the genetic aspects of all forms of hereditary porphyrias and determined that the evidence is conclusive for a UROgenIII-Cosyn deficiency in CEP.

f. Metabolic Basis of Bovine Congenital Erythropoietic Porphyria

The mechanisms for heme biosynthesis and the biochemical nature of the porphyrins in the tissues and excreta provide an explanation for the metabolic defect in CEP. Certain features of the biosynthetic mechanism are particularly important in an explanation of the clinical and metabolic manifestations of CEP, which has its ultimate pathogenesis in a hereditary deficiency of UROgenIII-Cosyn. These may be summarized as follows:

- 1. There is a compartmentation of the enzymes of heme biosynthesis between the mitochondria and the cytosol.
- **2.** Mitochondrial systems are involved in the synthesis of ALA, PROTO IX, and heme.
- **3.** Cytosolic systems catalyze the formation of PBG, UROgenIII or I, and COPROgenIII or I.
- 4. Mitochondria are present only in the immature nucleated erythropoietic cells and in the reticulocytes. Mitochondria are not present in the mature erythrocyte. The most active heme and hemoglobin synthesis occurs in the metarubricyte and secondly in the reticulocyte.
- **5.** There is no heme or hemoglobin synthesis in the mature erythrocyte.

The enzymatic deficiency in CEP is localized in the erythropoietic tissue within the developing erythropoietic cells, which are the mitochondria-containing cells. Normally, the combined action of UROgenI-Syn and UROgenIII-Cosyn catalyzes the formation of the normal type III porphyrin isomer, UROgenIII leading to the formation of heme. In the absence of UROgenIII-Cosyn, the type I isomer, UROgenI, and then COPROgenI are formed. Thus, the relative activities of these enzymes govern the extent as to which of these pathways is traversed. The type I isomers that are formed in the deficiency state cannot be converted into PROTOgenI and thus into a type I heme. This is because there is no coproporphyrinogen I oxidase and the COPROgenIII-Ox is highly specific only for the type III isomer. The type UROgenI and COPROgenI isomers are oxidized to their corresponding uroporphyrins and coproporphyrins. These oxidized free porphyrins accumulate in the erythropoietic tissues, developing erythrocytic cells, and in the mature erythrocytes where they induce the hemolysis characteristic of CEP. In addition, the porphyrins are released into the circulation and are widely distributed throughout the body in all body fluids and are readily excreted in the feces and urine. They are deposited in all tissues, most notably in the teeth, bones, and skin. When exposed to ultraviolet light, the porphyrins in the skin are excited by absorption of the ultraviolet light energy into an unstable higher-level energy state. The excitation energy is then emitted when the excited molecule returns to its ground state. The energy can be emitted as fluorescence or transferred to molecular oxygen to form singlet oxygen. Singlet oxygen is a powerful oxidant for many forms of biologically important compounds including the peroxidation of membrane lipids, membrane and cellular proteins, cell enzymes, and cell organelles. Peroxidation appears to be the primary event in the photosensitivity and photodermatitis seen in the porphyrias (Poh-Fitzpatrick, 1982).

Total deficiency of UROgenIII-Cosyn is obviously incompatible with life so that surviving cases of CEP have only a partial deficiency of UROgenIII-Cosyn. Also, there is a wide variation in the severity of the disease commensurate with the degree of the enzyme deficiency as well as with the conditions of husbandry. The severity of the disease, however, is constant in each bovine if it is kept under standard controlled conditions. The metabolic basis for bovine CEP is summarized in Figure 8-4, in which the central theme is the genetically controlled UROgenIII-Cosyn deficiency with the resultant type I porphyrin accumulation and a failure of heme synthesis.

2. Bovine Erythropoietic Protoporphyria

This disorder of porphyrin metabolism occurs in humans and in cattle. Erythropoietic protoporphyria (EPP) was first reported by Magnus *et al.* (1961), and is now well

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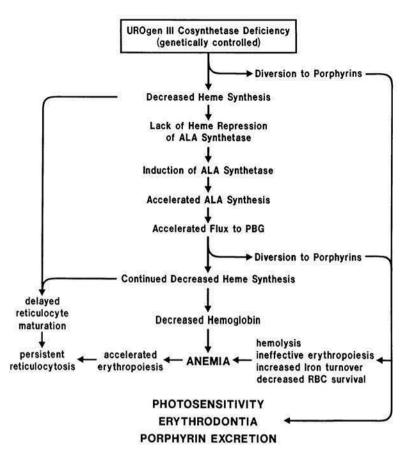


FIGURE 8-6 Metabolic basis of bovine congenital erythropoietic porphyria. The fundamental defect is a deficiency of UROgenIII-Cosyn leading to the accumulation of type I uroporphyrins and coproporphyrins. These type I porphyrins account for the clinical, hematological, and biochemical features of this disease.

recognized in humans (Harber et al., 1964; Redeker et al., 1963). It is inherited as a dominant autosomal trait (Romeo, 1977). Patients do not have the major signs of CEP such as anemia, porphyrinuria, or discolored teeth. Photosensitivity of the skin is the only significant clinical manifestation of the disease, and this is associated with a high plasma protoporphyrin concentration. In the laboratory, the most striking findings are the high concentrations of PROTO IX in the erythrocytes and feces.

In cattle, EPP is inherited as an autosomal recessive trait in contrast to humans and may be sex linked because to date it has only been seen in females. The photosensitivity also seems to diminish in adult life. Affected cattle also do not have anemia, porphyrinuria, or discoloration of the teeth. Erythrocyte and fecal protoporphyrins are very high in comparison to normal cows (Ruth *et al.*, 1977).

The fundamental enzymatic defect in bovine EPP is a deficiency of ferrochelatase (FER-Ch) (Ruth *et al.*, 1977), which results in the accumulation of PROTO IX. Low FER-Ch was found in all tissues of EPP calves so that the defect is a total body defect.

3. Porphyria of Swine

Porphyria in swine was first recognized in New Zealand by Clare and Stephens (1944) and later in Denmark (Jorgensen and With, 1955). Porphyria in swine is inherited as a simple autosomal dominant trait. Except for the very severe cases, there appears to be little or no effect on the general health of the pig. Photosensitivity is not seen even in the white pigs. The predominant feature in the affected pig is a characteristic reddish discoloration of the teeth, which fluoresces on exposure to ultraviolet light. Porphyrin deposition in the teeth of the newborn pig is virtually pathognomonic of porphyria in swine. Occasionally, darkly discolored teeth may not fluoresce, but porphyrins may be extracted from these teeth with 0.5N HCl (With, 1955). Similar, though less apparent, deposition occurs in the bones. The porphyrins are principally URO I and have been found in concentrations of up to $200\mu g/g$ of teeth or bones. The liver, spleen, lungs, kidneys, bones, and teeth are also discolored by another dark pigment, the nature of which is unknown.

The urine of the affected pig is discolored only in the more severely affected pig. The 24-h urinary excretion of uroporphyrins ranged between 100 and $10,000\mu g$ and for coproporphyrin, only $50\mu g$. These were both the type I isomers. PBG is absent in the urine. Close similarities in this pattern of porphyrin excretion to that found in bovine CEP are apparent, but the localization of the defect in the erythropoietic tissue has not been established. This disease has not been observed in pigs since the original occurrences.

4. Porphyria of Cats

Porphyria in cats was first reported in a male kitten (Tobias, 1964). One of its three littermates and kittens from a previous litter were also reported to have had the same unusually discolored teeth. The kitten's teeth were brown and fluoresced red under ultraviolet light. Its urine was amber colored and was qualitatively positive for uroporphyrin, coproporphyrin, and porphobilinogen. There was no evidence of anemia or photosensitization. These cats had been kept indoors all their lives. One of these cats was the propositus for a porphyric cat colony (Glenn *et al.*, 1968). The inheritance of the porphyria in these cats is a simple autosomal dominant trait analogous to that seen in swine.

Detailed studies of the porphyria in a family of Siamese cats has been reported (Giddens *et al.*, 1975) in which excessive accumulation of URO I, COPRO I, and PROTO IX were observed in erythrocytes, urine, feces, and tissues. These cats had photosensitivity, severe anemia, and severe renal disease. The researchers concluded that the principal defect in these cats was a deficiency of UROgenIII-Cosyn similar to CEP of humans and cows.

5. Normal Porphyrias

All fox squirrels (Sciurus niger) have red bones caused by the accumulation of URO I and COPRO I (Flyger and Levin, 1977). The fox squirrel porphyria resembles the CEP of humans, cows, and cats by having a deficiency of UROgenIII-Cosyn, type I porphyrins in their urine and feces, and discolored bones, teeth, and tissues, which fluoresce on exposure to ultraviolet light. There is increased erythropoiesis but no apparent hemolytic anemia, no photosensitivity, or any other clinically deleterious effects. These relatively benign effects are most likely due to their thick hair coats and nocturnal living habits. It is interesting that an enzyme deficiency with serious health effects in other animals should have evolved as a "normal" characteristic in the fox squirrel. This is understandable when one appreciates that CEP cattle always kept indoors and protected from sunlight tend to thrive and reproduce normally.

The UROgenIII-Cosyn deficiency is found only in the fox squirrel and not in the closely related gray squirrel (*Sciurus carolinensis*). Urine porphyrin excretion in the fox squirrel is 10-fold greater than in the gray squirrel and is markedly increased when erythropoiesis is stimulated by bleeding. The UROgenIII-Cosyn of fox squirrel erythrocytes is very heat sensitive, and this may indicate that its CEP is due to an increased lability of the enzyme.

In the feathers of certain brightly colored birds (e.g., Touracos and in certain lower animals and microorganisms), porphyrins accumulate but these appear to be normal phenomena.

C. Hepatic Porphyrias

This group of diseases is seen only in humans as naturally occurring diseases. They constitute the most common group of porphyrias seen in humans. The salient features of this group of porphyrias are also summarized in Table 8.2. As the name of this group implies, the predominant site of the metabolic defect is localized in the liver, and the group is further subdivided on the bases of their principal clinical manifestations. Specific enzyme deficiencies have been identified for all forms of hereditary porphyria (Table 8.2). As mentioned earlier, their genetic heterogeneity accounts for the wide variety of symptoms.

1. δ-Amino-Levulinic Acid Dehydratase Porphyria

This rare hepatic form of porphyria has a marked deficiency of the enzyme δ -amino-levulinic acid dehydratase (ALA-D) in the homozygous state (Brandt and Doss, 1981; Doss *et al.*, 1979) and is referred to as ALA-D porphyria (ADP). It is characterized by neurological symptoms without skin photosensitivity. It is inherited as an autosomal recessive trait. A similar though less marked ALA-D deficiency that was without symptoms was reported (Bird *et al.*, 1979), and this is thought to be the heterozygous state of the deficiency.

2. Acute Intermittent Porphyria

Acute intermittent porphyria (AIP) is a common form in humans characterized by acute abdominal pain and neurological symptoms. Photosensitivity is not a feature of this form. Most patients are not clinically affected unless some form of aggravating factor is present. The attacks are precipitated by a large number of causative factors, the principal ones being barbiturates, sulfonamides, estrogens, and alcohol. The disease occurs more commonly in the adult female than in the male. The principal urinary finding is the excretion of large amounts of ALA and PBG. This is in keeping with the hereditary deficiency of UROgenI-Syn (PBG-D) in this disease (Strand *et al.*, 1970). AIP is the major autosomal dominant form of hepatic porphyria.

3. Porphyria Cutanea Tarda

Porphyria cutanea tarda (PCT) is caused by a deficiency of UROgen-D and presents as both a sporadic form and a familial form. The sporadic form is the acquired form of PCT and is the most common of all forms of human porphyria. The familial form is inherited as an autosomal dominant. As the name implies, the characteristic clinical signs of PCT are the photosensitive lesions of the skin. The disease occurs in mid to late adult life and common precipitating causes of this disease are alcohol and

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estrogens. The disease can be successfully treated by the avoidance of alcohol and estrogens. There is a decrease in hepatic UROgen-D in both forms, but the enzyme deficiency is found in extrahepatic tissues only in the familial form (Pimstone, 1982). In the sporadic form, erythrocyte UROgen-D activity is normal, whereas in the familial form, erythrocyte UROgen-D is less than 50% of normal (McManus et al., 1988). The erythrocyte UROgen-D assay is difficult and not readily available, therefore indirect means of distinguishing the sporadic form from the familial are used. One of the most simple, indirect methods has been to assay the plasma γ -glutamyltransferase activity (GGT). Sporadic PCT has a significant increase in GGT, whereas the familial form has normal GGT activity (Badcock et al., 1993). Furthermore, the ratio of fecal COPRO III:COPRO I when combined with the plasma GGT was found to give an even more accurate differentiation of the sporadic form from the familial form (Badcock et al., 1995).

4. Hepatoerythropoietic Porphyria

Hepatoerythropoietic porphyria (HEP) is a form that clinically resembles congenital erythropoietic porphyria (CEP), but there is a severe deficiency of UROgen-D as in PCT. It is thought to be the homozygous form of familial PCT. HEP is characterized by a severe photosensitivity, but there is no liver involvement.

5. Harderoporphyria

This is a rare form of porphyria in which the propionate group on the A ring only is converted to a vinyl group. The normal next step of B ring conversion is somehow disrupted. There is a deficiency of COPROgenIII-Ox, but the mechanism explaining why the groups on both rings are not oxidized is unknown.

6. Hereditary Coproporphyria

Hereditary coproporphyria (HCP) is clinically similar to PCT with a mild cutaneous photosensitivity, and it may also have neurological symptoms as in AIP. Like AIP, HCP is commonly precipitated by drugs and alcohol. As in harderoporphyria, COPROgenIII-Ox is the deficient enzyme.

7. Variegate Porphyria

The symptoms of variegate porphyria (VP) are generally more variable than the other forms but in most cases, acute abdominal pain and photosensitivity are seen. VP is most common among the South African white population. VP is inherited as an autosomal dominant. There is a deficiency of PROTOgenIII-Ox, which can be observed in

cultured fibroblasts and in leukocytes of VP patients. Hift *et al.* (2004) found plasma fluorescence scanning to be a more sensitive and specific test for VP than fecal porphyrin analysis.

D. Porphyrinurias (Acquired Toxic Porphyrias)

1. Chemical Porphyrinurias

The two major forms of the porphyrinurias are those due to organic chemical intoxication and to heavy metal poisonings, mainly lead. Experimentally, hexachlorobenzene (HCB) (Elder *et al.*, 1976), 2,3,7,8-tetrachlorodibenzop-dioxin (TCDD) (Elder and Sheppard, 1982), allylisopropylacetylcarbamide (Sedormid) (Schmid and Schwartz, 1952), or dihydrocollidine (DHC) (Granick and Urata, 1963) has been used to produce the hepatic forms of porphyria.

2. Lead Poisoning

Lead poisoning occurs in all domestic animals and is a significant clinical problem, particularly in the dog. In the dog as in other animals, the principal clinical features are related to the gastrointestinal and the nervous systems. Anemia is usually seen only in the long-standing chronic lead toxicities. The anemia has certain features that suggest lead poisoning but are not diagnostic. The anemia is a mild to moderate normocytic normochromic anemia with basophilic stippling and nucleated erythrocytes (NRBC) out of proportion to the degree of anemia. Zook et al. (1970) considered that >15 stippled cells per 10,000 erythrocytes is suggestive of and that >40 stippled cells per 10,000 erythrocytes is diagnostic of lead poisoning in the dog. Stippling is thought to be accumulated ribosomal RNA aggregates that have not been normally degraded to their nucleotides and subsequently dephosphorylated by pyrimidine-5' nucleotidase (P5NT). Lead has been shown to decrease the activity of the dephosphorylating enzyme, P5NT in humans (Valentine et al., 1976) and in calves (George and Duncan, 1982).

Lead is known to have widespread toxic effects on sulfhydryl-, carboxyl-, and imidazole-containing proteins that would include enzymes, cell proteins, globins, and membrane proteins (Fell, 1984). However, only a few are altered specifically and significantly to be of diagnostic value. Globin synthesis and therefore hemoglobin synthesis is disrupted, and this is the major mechanism of the anemia of lead poisoning. The anemia, however, occurs late in chronic lead poisoning, and its nonspecific nature makes it of less diagnostic importance than is usually attributed to it.

A major focus is on the enzyme systems of heme synthesis because several of the enzymes are very sensitive in early exposure to small quantities of lead. The most sensitive are ALA-D and FER-Ch, and these enzymes and their accumulated substrates are widely used as screening tests

for lead exposure. Erythrocyte ALA-D is strongly inhibited by lead, and as a result, ALA rises in plasma and is excreted in the urine. Measurement of ALA is difficult, and the results lack sensitivity for low-level lead exposure; therefore, instead of its substrate ALA, erythrocyte ALA-D is more commonly assayed. Farant and Wigfield (1982), using the ratio of ALA-D activities assayed at two different pH's, demonstrated ALA-D inhibition at blood lead concentrations of 10 to $15\mu g/dl$ (0.50 to $0.70\mu mol/l$). They found this to be a highly sensitive and reliable index of the blood lead concentration.

Coproporphyrin also rises in plasma and is excreted in urine, and like ALA, it is also difficult to measure and lacks sensitivity. Hence, this method is not used as an index of lead poisoning.

FER-Ch is the second major enzyme that is strongly inhibited by lead, and as a result, PROTO IX accumulates in the erythrocytes. This PROTO IX is a zinc complexed PROTO IX instead of the "free" PROTO IX. Piomelli *et al.* (1982) found that erythrocyte zinc PROTO IX increased when blood lead concentrations were at 15 to $18\mu g/dl$ (0.75 to $0.85\mu mol/l$).

It is not quite as sensitive an index of blood lead concentration as ALA-D but is well below the diagnostic criteria for lead poisoning. George and Duncan (1981) found marked elevations in erythrocyte PROTO IX in experimental lead poisoning in calves. Modern fluorometers specifically designed to measure porphyrins have greatly simplified the assay. For these reasons, the current test of choice to monitor lead exposure is the blood zinc PROTO IX concentration.

The final diagnosis of lead poisoning ultimately rests on the measurement of blood lead concentration, and this is best done using flame atomic absorption spectrophotometry. In children, a blood lead concentration of <30 \mu g/dl $(1.45\mu\text{mol/l})$ is currently regarded as normal, but it has been shown that zinc PROTO IX rises at blood lead levels of half that amount (Piomelli et al., 1982). It is clear that the heme synthetic pathway is affected at blood lead concentrations well below that considered normal. Zook et al. (1970) reported a normal range for blood lead in the dog of 10 to 50μ g/dl (0.48 to 2.41 μ mol/l) and considered a blood lead concentration of $>60\mu g/dl$ (2.90 μ mol/l) diagnostic of lead poisoning. In the domestic rabbit, the blood lead concentration is reported to be 2 to $27\mu g/dl$ (0.10 to 1.30 μ mol/l) (Gerken and Swartout, 1986). Therefore, lead concentrations of $>30\mu g/dl$ (1.45 μ mol/l) are considered diagnostic of lead poisoning in the dog and in all animals.

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Iron Metabolism and Its Disorders

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REFERENCES

I. INTRODUCTION

Iron is an essential nutrient required in a wide variety of metabolic processes. In solution, iron exists in two oxidation states, ferrous (Fe⁺²) and ferric (Fe⁺³), which can donate or accept electrons, respectively. Iron is typically in the Fe⁺² state for transport across membranes and in the Fe⁺³ state when bound to transport and storage proteins. Iron may be in either oxidation state when present in heme and iron-sulfur (Fe-S) cluster-containing proteins. The ability of iron to vary in oxidation state and redox potential, depending on the ligands it forms, enables iron to serve multiple functions. Iron-containing proteins are critical for oxygen transport and storage, respiration, DNA synthesis, citric acid cycle function, and various enzymatic reactions. Unfortunately, the same physical characteristics that allow iron to function as a cofactor in controlled redox biochemistry also makes iron potentially toxic to cells, because of its ability to catalyze the formation of reactive oxygen species. The binding of iron to coordinating ligands, such as O, N, and S, in proteins and the tight regulation of iron uptake, transport, and storage tends to shield iron from reactions with molecular oxygen that generate free radicals and injure tissues (Ryan and Aust, 1992).

Iron is absorbed from the diet in the small intestine and transferred to plasma, where it is bound to transferrin for transport to cells within the body. Once inside the body, iron cycles in a nearly closed system (Fig. 9-1) because little iron is lost in domestic animals unless hemorrhage occurs (Finch et al., 1978). About 75% of the iron present in plasma will be transported to the bone marrow for incorporation into hemoglobin in developing erythroid cells (Smith, 1997). The remaining plasma iron is taken up by nonerythroid tissues, primarily the liver (Koury and Ponka, 2004). Erythrocytes containing hemoglobin normally circulate for several months before being phagocytized by macrophages when senescent. After phagocytosis, erythrocytes are lysed, hemoglobin is degraded, and iron is released. Most iron from degraded hemoglobin is quickly released back into plasma, but a small amount may be stored as ferritin or hemosiderin within macrophages, which is released more slowly into plasma. The vast majority of iron entering plasma each day comes from macrophage release.

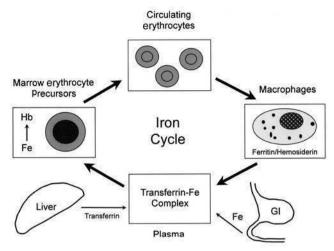


FIGURE 9-1 Iron cycle. Iron (Fe) is highly conserved in the body. Iron in plasma is bound to transferrin, a transport protein that is synthesized in the liver. Iron is transported to all tissues, but most iron is utilized to synthesize hemoglobin in developing erythroid cells. Aged blood erythrocytes are phagocytized by macrophages, and hemoglobin is degraded. Released iron is either returned to plasma or stored in macrophages as ferritin and hemosiderin. Nearly all of the iron in plasma under normal conditions comes from the release of iron by macrophages that have phagocytized and degraded erythrocytes (large arrow). Only about 3% of the iron in plasma results from gastrointestinal (GI) enterocyte absorption in normal individuals (small arrow).

Iron absorption from the small intestine compensates for the small amount of iron lost each day, largely from skin exfoliation and cell desquamation in urine, bile, sweat, and feces (Beutler, 2006b; Underwood, 1977).

II. IRON DISTRIBUTION

The relative distribution in the body is shown in Figure 9-2. Normally about 60% to 70% of total body iron is present in hemoglobin. About 20% to 30% is stored as ferritin and hemosiderin (primarily within macrophages and hepatocytes), 3% to 7% is present in myoglobin (with the higher values occurring in myoglobin-rich species such as dogs, horses, and cattle), 1% is present in enzymes, and less than 0.1% is bound to transferrin in plasma (Ponka *et al.*, 1998).

A. Hemoglobin

Hemoglobin accounts for more than 90% of the protein within erythrocytes (Quigley et al., 2004). It contains 0.34% iron by weight; consequently, each milliliter of packed erythrocytes contains 1.1mg of iron. Hemoglobin plays vital roles in oxygen transport, carbon dioxide transport, and buffering of hydrogen ions (see Chapter 7 for details). It is a tetrameric protein consisting of four polypeptide globin chains, each of which contains a heme prosthetic group. Heme is a planar molecule composed of the tetrapyrrole protoporphyrin IX, containing a central iron ion. A hemoglobin tetramer

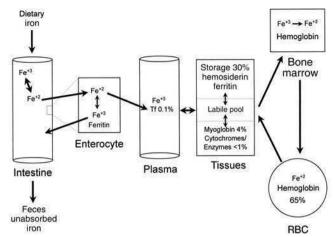


FIGURE 9-2 Overview of iron metabolism. Ferric iron (Fe^{+3}) ions present in the diet are reduced to ferrous iron (Fe^{+2}) ions and absorbed by duodenal enterocytes. Once inside enterocytes, Fe^{+2} may be oxidized and stored as ferritin or exported to plasma where it is oxidized and bound to transferrin (Tf) for transport to the tissues. Iron stored as ferritin is returned to the small intestine lumen when enterocytes are sloughed at the tip of the villus. Iron is incorporated into many proteins in all tissues, but most iron is utilized to synthesize hemoglobin in developing erythroid cells, where Fe^{+3} must be reduced to Fe^{+2} during heme synthesis. Aged blood erythrocytes are phagocytized by macrophages. Hemoglobin is degraded and iron is either returned to plasma or stored in macrophages as ferritin and hemosiderin. Modification of Kaneko, 1964, with permission.

is capable of binding four molecules of oxygen when fully saturated. To bind oxygen, iron ions within heme molecules must be in the ${\rm Fe^{+2}}$ state. Methemoglobin is formed when iron is oxidized to the ${\rm Fe^{+3}}$ state. Fortunately, the oxidation of ${\rm Fe^{+2}}$ ions to ${\rm Fe^{+3}}$ ions is minimized by the location of heme groups within hydrophobic pockets of globin chains, and methemoglobin that forms can be reduced enzymatically within erythrocytes.

B. Storage Iron

Ferritin is a ubiquitous iron storage protein that is detected in almost all animal and plant tissues, as well as in bacteria and fungi. The binding of iron in ferritin minimizes its potential to catalyze the formation of damaging free radicals (Arosio and Levi, 2002). Each mammalian ferritin molecule is composed of a protein shell of 24 apoferritin H or L monomeric subunits surrounding a central cavity that can accommodate as many as 4000 iron atoms in a ferric hydroxide core. The inner surface of the protein shell has catalytic sites (associated with H subunits) that promote the oxidation of Fe+2 ions to Fe+3 ions (Arosio and Levi, 2002). The L subunit lacks ferroxidase activity, but it is more efficient than the H subunit in the enucleation and mineralization of iron in the ferritin core (Levi et al., 1994). Subunit composition varies between cell types, with the H subunit predominating in erythrocytes and muscle, and the L subunit predominating in liver, spleen, and

II. Iron Distribution 261

plasma of humans (Beutler, 2006b). Dog and horse ferritin H and L subunit cDNA clones have been prepared and sequenced from various tissues. The amino acid residues involved in the ferroxidase center and in iron nucleation were conserved in H and L subunits of canine and equine ferritins, respectively (Orino *et al.*, 2005).

Although iron is generally stored in cells as ferritin, iron overloaded cells (macrophages in normal animals) contain another storage form of iron called hemosiderin, which represents partially degraded ferritin that forms after uptake by lysosomes (Anderson and Frazer, 2005; Ponka *et al.*, 1998). Surprisingly little is known about how ferritin releases its iron in cells. It is possible that intracellular iron release requires catabolism in lysosomes (Ponka *et al.*, 1998).

Free cytoplasmic ferritin molecules are water soluble and visible by electron microscopy, but they are not visible by light microscopy unless present in large aggregates. Hemosiderin is insoluble and visible by light microscopy. Hemosiderin appears gray to black in aspirate smears stained with routine bloodstains, golden-brown in H & E-stained tissue sections, and blue when stained with Prussian blue stain. There is a fairly good correlation between Prussian blue staining of tissues and the measurement of storage iron chemically, but staining is less sensitive in detecting storage iron (Blum and Zuber, 1975; Franken *et al.*, 1981). Storage iron can be present as ferritin that is not identified by Prussian blue staining (Blum and Zuber, 1975).

Major sites of iron storage include the liver, spleen, and bone marrow. The amount stored in a given tissue may vary by species. The liver appears to be the major site of iron storage in humans, but the spleen is reported to be more important in horses and cattle (Blum and Zuber, 1975; Franken et al., 1981; Kolb, 1963). The relative contributions of ferritin and hemosiderin to the storage iron pool vary with species, organ, and amount of iron stored. In normal adults, ferritin concentration generally equals or exceeds hemosiderin concentration in the liver, but hemosiderin concentration typically exceeds ferritin concentration in the spleen. When iron stores are high, hemosiderin tends to accumulate more than ferritin, and when iron stores are low, ferritin tends to be higher than hemosiderin (Kolb, 1963; Underwood, 1977). Total body iron stores vary at birth between species, with considerable iron stores in humans, dogs, cattle, goats, horses, and rabbits; intermediate iron stores in cats and rodents; and minimal iron stores in pigs (Keen et al., 1981; Kolb, 1963; Underwood, 1977). Iron stores decrease in nursing animals when demands for growth exceed iron absorption from milk (Blum and Zuber, 1975; Harvey et al., 1987a; Keen et al., 1981; Kolb, 1963).

C. Myoglobin

Myoglobin is an oxygen-binding protein located primarily in muscles. It contains one heme group per molecule

and has a structure similar to that of hemoglobin monomers. Myoglobin serves as a local oxygen reservoir that can temporarily provide oxygen when blood oxygen delivery is insufficient during periods of intense muscular activity. Iron within the heme group must be in the Fe⁺² state to bind oxygen. If iron is oxidized to the Fe⁺³ state, metmyoglobin is formed. The total amount of myoglobin in an animal depends on body weight, degree of muscle development, and the myoglobin concentration in muscle, which varies between muscle types (red muscle is rich in myoglobin and white muscle is myoglobin poor). The myoglobin concentration in muscle varies with species. For example, the myoglobin concentration in muscles of racehorses is about 6 times that of human muscles (Kolb, 1963). Because much of the iron in muscle is in myoglobin, the percentage of total body iron present in muscle is higher in horses than in humans.

D. Tissue Iron

In addition to the need for iron in hemoglobin and myoglobin, iron is vital in various metabolic processes. Nearly half of the enzymes in the citric acid cycle either contain iron or need it as a cofactor. Heme-containing proteins include catalase, peroxidase, and cytochromes. Cytochromes a, b, and c are required for oxidative phosphorylation in mitochondria. Other cytochromes, such as cytochrome P450 located in the endoplasmic reticulum, function in oxidative degradation of endogenous compounds and drugs. Nonheme iron, in Fe-S compounds and metalloflavoproteins, is required for enzymes including succinate dehydrogenase, cytochrome c reductase, nicotinamide adenine dinucleotide dehydrogenase, xanthine oxidase, and aconitase (Smith, 1997).

E. Plasma Iron

Nearly all of the iron present in plasma in healthy animals is bound to apotransferrin, a 72 to 83 kDa glycoprotein (containing 2 to 4 sialic acid residues/molecule) to form transferrin (Welch, 1990). Apotransferrin is a bilobar protein with two binding sites for Fe⁺³ that is synthesized by the liver. It is believed to have evolved from duplication of a primordial gene coding for a protein with one ironbinding site. The concomitant binding of a bicarbonate anion is required for each Fe⁺³ molecule bound to apotransferrin (Ponka et al., 1998). Normally 25% to 50% of the plasma apotransferrin binding sites are saturated with iron. When one or two Fe⁺³ ions are bound, the protein is referred to as monoferric or diferric transferrin, respectively. There is a random distribution of iron on binding sites (Fig. 9-3), with apotransferrin predominant at low plasma iron concentrations and diferric transferrin predominant at high plasma iron concentrations (Huebers et al., 1984). Monoferric transferrin is predominant at 50% saturation of transferrin

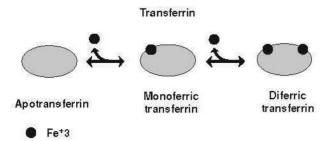


FIGURE 9-3 Apotransferrin is a bilobar protein with two binding sites for Fe⁺³ ions. When one or two Fe⁺³ ions are bound, the protein is referred to as monoferric or diferric transferrin, respectively. There is a random distribution of iron on binding sites, with apotransferrin predominant at low plasma iron concentrations and diferric transferrin predominant at high plasma iron concentrations. Monoferric transferrin is predominant at 50% saturation of transferrin with iron, with lesser amounts of apotransferrin and diferric transferrin present.

with iron, with lesser amounts of monoferric and differric iron present. Transferrin is primarily responsible for iron transport throughout the body.

Ferritin is another iron-binding protein that can be measured in plasma. Although ferritin is released in small amounts from the liver and macrophages and can be taken up by cells (especially hepatocytes), it is of little or no importance in iron transport under normal conditions. Ferritin is normally present in very low concentrations in plasma and has low iron content; consequently, it contributes little to the plasma iron pool (Ponka *et al.*, 1998).

Nontransferrin bound iron (NTBI) has been measured in people with severe iron overload, when transferrin is fully saturated, and in mice with hereditary hypotransferrinemia. The nature of NTBI is unclear, but it may be complexed with low-molecular-weight molecules such as citrate, sugars, and amino acids or nonspecifically bound to albumin and other plasma proteins. NTBI has the potential to promote the formation of oxygen-free radicals and cause tissue injury (Ponka et al., 1998).

III. IRON ABSORPTION

Iron is not actively excreted from the body and minimal iron loss normally occurs, except in menstruating primates and egg-laying birds (Finch *et al.*, 1978; Kolb, 1963); consequently, the amount within the body must be controlled at the point of absorption. Iron absorption from the diet depends on age, species of the animal, iron stores, changes in rate of erythropoiesis, hypoxia, inflammation, and pregnancy, as well as the amount and chemical form of iron ingested (Frazer and Anderson, 2005; Mackenzie and Garrick, 2005; Stewart *et al.*, 1953). A low percentage (0.2% to 4.5%) of dietary iron is generally absorbed in normal adult animals (Finch *et al.*, 1978; Nathanson *et al.*, 1985). Iron absorption occurs through mature villus enterocytes of the duodenum and proximal jejunum. Absorption

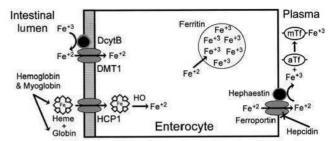


FIGURE 9-4 Mechanisms of iron absorption. Ferrous iron (Fe⁺²) ions are transported into enterocytes in the duodenum by the divalent metal transporter-1 (DMT1) after reduction of ferric iron (Fe⁺³) ions using a duodenal cytochrome b (DctyB). Heme is transported into enterocytes using heme carrier protein-1 (HCP1). Once inside, inorganic iron is released from heme by the action of the heme oxygenase (HO) reaction. Fe⁺² ions are exported from enterocytes using ferroportin, oxidized to Fe⁺³ using hephaestin, and bound by apotransferrin (aTf) to form monoferric transferrin (mTf) and diferric transferrin (not shown). Hepcidin in plasma inhibits iron export to plasma by interacting directly with ferroportin, leading to ferroportin's internalization and lysosomal degradation. Fe⁺² not transported to plasma is stored as ferritin following oxidation to Fe⁺³. Iron stored as ferritin is returned to the small intestine lumen when enterocytes are sloughed at the tip of the villus.

includes iron uptake at the apical membrane of the enterocyte, translocation within the cytoplasm, and transfer to plasma at the basolateral membrane of the enterocyte. Iron can be taken in by enterocytes as free ions or as heme by different pathways (Fig. 9-4). The relative importance of these pathways varies depending on animal species and diet (Steele *et al.*, 2005).

Compounds in the diet such as phytates, tannins, and phosphates bind iron in insoluble complexes that cannot be absorbed. Most nonheme iron in the diet is in the Fe⁺³ state. Dietary Fe⁺³ is solubilized from food by hydrochloric acid in the stomach and binds to mucins and various small molecules, which keep the iron soluble and available for absorption in the more alkaline environment of the small intestine (Mackenzie and Garrick, 2005). Unbound Fe⁺³ is prone to hydrolysis, forming essentially insoluble ferric hydroxide and oxohyroxide polymers (Ponka et al., 1998). The most important pathway for nonheme iron uptake utilizes the divalent metal transporter-1 (DMT1). A protoncoupled process facilitates this uptake. Although DMT1 has the highest affinity for iron, it can also transport manganese and potentially other divalent metal ions. Fe⁺³ ions must be reduced to Fe⁺² ions before they can be transported into the enterocyte via the DMT1. Ascorbic acid in the diet or from gastric or biliary secretions promotes the reduction of Fe⁺³ ions. Although some Fe⁺³ ion reduction may occur by direct interaction with ascorbic acid, most reduction appears to rely on the presence of one or more brush border ferrireductase enzymes. A duodenal cytochrome b (DcytB) is believed to be important in this regard, but other intestinal ferrireductases may also exist (Mackenzie and Garrick, 2005).

Although the dominant role of DMT1 is acknowledged, debate continues as to whether important alternative

pathways of nonheme iron uptake exist. The role of the protein mobilferrin needs further evaluation. It might be part of a separate uptake pathway for Fe⁺³ ions, or it might interact with DMT1 in Fe⁺² ion uptake (Conrad *et al.*, 2000; Mackenzie and Garrick, 2005). Although humans absorb Fe⁺² salts more readily from the intestine than the Fe⁺³ salts, dogs are reported to absorb both valence forms equally well (Moore *et al.*, 1944). The reason for this difference is not known, but it could be related to a greater ability to reduce Fe⁺³ in the dog intestine, the presence of an important pathway for Fe⁺³ absorption in the dog intestine, or a greater ability to prevent the formation of insoluble Fe⁺³ complexes in the gastrointestinal tract of dogs.

Heme is released from dietary myoglobin and hemoglobin by the action of digestive enzymes. Dietary heme iron is generally more bioavailable than is nonheme iron and is an important nutritional source of iron in carnivores and omnivores. Heme enters duodenal enterocytes as an intact metalloporphyrin using a membrane protein named heme carrier protein 1 (HCP1) that has homology to bacterial metal-tetracycline transporters (Shayeghi et al., 2005). The expression of HCP1 is regulated pre- and posttranslationally in hypoxic and iron-deficient mice, respectively (Latunde-Dada et al., 2006b). Once inside the enterocyte, inorganic iron is apparently released from heme by the action of the heme oxygenase reaction, and this hemesplitting reaction appears to be the rate-limiting step in the absorption of iron contained within hemoglobin and myoglobin (Wheby and Spyker, 1981).

The intracellular transport of iron within the enterocyte is poorly understood. Iron ions in this labile iron pool (LIP) are presumably bound to chaperone molecules to keep them soluble. The nature of these chaperone molecules is yet to be defined. Iron ions can catalyze oxidative reactions that would injure the cell. The enterocyte protects itself against the toxic effects of excess iron by increasing apoferritin synthesis and incorporating the excess iron into ferritin. Consequently, iron uptake into enterocytes in excess of that needed for metabolic purposes or transferred to plasma is stored as ferritin. Iron stored as ferritin is returned to the small intestine when enterocytes are sloughed at the tip of the villus after 1 to 2 days (Steele *et al.*, 2005).

The transfer of iron atoms from enterocytes to transferrin in plasma is mediated by ferroportin (also known as Ireg1), an iron transport protein located on the basolateral surface of mature enterocytes. In addition to ferroportin, the efflux of iron from enterocytes requires a copper-containing protein called hephaestin that is also located on the basolateral membranes of mature enterocytes. Hephaestin is a membrane-bound ferroxidase that has significant homology to the plasma protein ceruloplasmin. Hephaestin's function may relate to its ability to oxidize Fe⁺² ions to Fe⁺³ ions for binding to transferrin in plasma (Steele *et al.*, 2005). Sex-linked anemia (sla) mice have a block in mucosal iron transfer to plasma, with resultant microcytic hypochromic

anemia because these animals have an inherited hephaestin defect (Wessling-Resnick, 2006).

Components of brush border iron uptake, including DMT1 and DcvtB, are strongly influenced by the iron concentration within enterocytes, with increased components expressed when intracellular iron content is low and decreased components expressed when iron content is high (Frazer and Anderson, 2005). These locally responsive changes in brush border transport components help buffer the body against the absorption of excessive iron, but it is the control of the basolateral transport of iron from enterocytes to plasma that represents the primary site at which iron absorption is controlled (Steele et al., 2005). Hepcidin, a peptide produced by hepatocytes and secreted into plasma, inhibits iron transfer from enterocytes to plasma by interacting directly with ferroportin, leading to the internalization and lysosomal degradation of this iron export protein. Hepcidin production is increased in disorders such as iron overload and inflammation that result in decreased intestinal iron absorption. Hepcidin production is decreased in iron deficiency and disorders with increased erythropoiesis that result in increased iron absorption by enterocytes. Hephaestin expression is minimally affected by iron status (Vokurka et al., 2006).

IV. PLASMA IRON TRANSPORT

At physiological pH and oxygen tension, Fe⁺² in solution is readily oxidized to Fe⁺³, which is prone to hydrolysis and precipitation. In addition, unless appropriately chelated, iron in plasma can promote harmful oxygen radical formation and peroxidative tissue damage because of its catalytic action in one-electron redox reactions (Ponka et al., 1998). Fortunately, most iron in plasma is bound to the protein apotransferrin to form transferrin. The binding of iron to apotransferrin keeps iron molecules soluble and prevents iron catalyzed oxidative reactions. In addition, the vast majority of iron is transported to cells within the body following binding to apotransferrin in plasma (Ponka et al., 1998). At normal iron saturation levels, apotransferrin is most abundant in plasma, followed by monoferric transferrin and diferric transferrin (Ponka et al., 1998). Although diferric transferrin is normally much lower in concentration (about 10% of total transferrin) than monoferric transferrin, it accounts for most of the iron delivery to cells because diferric transferrin binds with 8- to 10-fold higher affinity to transferrin receptor-1 (TfR1) receptors on cells than does monoferric transferrin (Anderson and Frazer, 2005; Huebers et al., 1985). Apotransferrin has very low affinity for TfR1 receptors on cells (Ponka et al., 1998).

Iron turns over in 3h or less in plasma (Smith, 1997). Nearly all of the iron in plasma under normal conditions comes from the release of iron by macrophages that have phagocytized and degraded erythrocytes (Fig. 9-1).

In contrast, only about 3% of the iron in plasma results from enterocyte absorption in normal individuals (Ponka *et al.*, 1998).

V. REGULATION OF IRON METABOLISM

A. Intracellular Regulation of Iron Metabolism

1. Cx R

The synthesis of a number of proteins important in iron metabolism, including apotransferrin, TfR1, apoferritin, DMT1, DcytB, ferroportin, iron responsive protein-1 (IRP1), anderythroid-specific 5-aminolevulinic acidsynthase (eALAS or ALAS2), is regulated posttranscriptionally depending on intracellular iron content (Beutler, 2006b; Koury and Ponka, 2004; Latunde-Dada et al., 2006a). The mRNA for each protein contains one or more iron responsive elements (IREs), each consisting of a stem-loop structure. The IREs located at the 5' end of mRNAs regulate translation, and IREs located at the 3' end regulate mRNA stability. IRP1 contains an Fe-S (4Fe-4S) cluster and exhibits aconitase activity when cells are iron replete, but it lacks the Fe-S cluster and aconitase activity when cytoplasmic iron is scarce. IRP1 binds tightly to IREs when cytoplasmic iron content is low. IRP2 is closely related to IRP1, but it lacks aconitase activity. The regulation of IRP2 is mediated by proteosomal degradation when cellular iron is adequate, through binding to iron and possibly heme (Wingert et al., 2005). The binding of IRPs to IREs at the 5' end of mRNAs (including apoferritin and eALAS) inhibits translation and protein synthesis from these mRNAs, but the binding of IRPs to IREs at the 3' end of mRNAs (including TfR1 and intestinal DMT1) promotes mRNA stability, thereby enhancing protein synthesis from these mRNAs. When cytoplasmic iron content is high, IRPs are displaced from IREs, resulting in opposite effects on protein synthesis (Starzynski et al., 2004). Because of these controlling factors, TfR1 synthesis is higher and apoferritin synthesis is lower when cytoplasmic iron content is low, and TfR1 synthesis is lower and apoferritin synthesis is higher when cytoplasmic iron content is high (Napier et al., 2005).

Mitochondria are clearly central in the intracellular metabolism of iron; however, much remains to be discovered about their iron metabolism. The first enzyme reaction in heme synthesis (ALAS) and the final three enzyme reactions (including the insertion of Fe⁺² into protoporphyrin IX by ferrochelatase to form heme) occur within mitochondria (see Chapter 8 for details), and proteins involved in Fe-S cluster synthesis are located within mitochondria. Fe-S cluster synthesis has been studied in microbes, but

this pathway has not been well characterized in mammals. An inherited defect in a small protein called frataxin causes Friedreich ataxia in humans (Napier et al., 2005). This disorder results in spino/cerebellar ataxia, cardiomyopathy, and diabetes associated with mitochondrial iron overload in nerve and cardiac tissue, but not in erythroid cells. Frataxin participates in the synthesis of Fe-S clusters, possibly as a mitochondrial iron chaperone that shields this metal from reactive oxygen species and renders it bioavailable as Fe⁺² (Napier et al., 2005). Heme and Fe-S clusters synthesized within mitochondria are used locally and exported from mitochondria for insertion into cytoplasmic and nuclear enzymes. With the exception of a limited number of enzymes, such a ribonucleotide reductase, that have mono- or di-iron-binding sites, iron in heme and Fe-S clusters accounts for most of the enzyme iron. Consequently, almost all of the iron within cells must transit through mitochondria to be functionally active (Arosio and Levi, 2002).

Mitoferrin, a member of the vertebrate mitochondrial solute carrier family (SLC25), appears to be essential for the uptake of iron into mitochondria (Shaw et al., 2006). The transporter needed to export heme from mitochondria to the cytoplasm is unknown; however, an inner mitochondrial membrane ATP-binding cassette protein B7 (ABCB7) appears to be required as an exporter for Fe-S clusters (Napier et al., 2005). Studies in a zebra fish shiraz model indicate that heme synthesis in erythroid cells is dependent on the production of Fe-S clusters (Wingert et al., 2005). Deficient Fe-S cluster formation (resulting from glutaredoxin 5 deficiency) and the resultant deficiency of Fe-S clusters in the cytoplasm of developing erythroid cells result in IRP1 binding to IREs of eALAS and the inhibition eALAS translation. A deficiency in eALAS activity in zebra fish erythroid cells results in deficient heme synthesis and the formation of hypochromic erythrocytes (Wingert et al., 2005). A human with mitochondrial glutaredoxin 5 deficiency has recently been described with sideroblast-like microcytic anemia and iron overload (Camaschella et al., 2007).

Mitochondria can store excess iron using mitochondrial ferritin (m-ferritin). Unlike cytoplasmic apoferritin, mitochondrial apoferritin lacks an IRE and may be transcriptionally regulated by iron. M-ferritin forms homopolymeric shells that have more homology with cytoplasmic H-ferritin chains than L-ferritin chains (Napier et al., 2005). M-ferritin concentration is very low in most cells, including erythroid cells, but it is markedly increased in disorders with mitochondrial iron overload, presumably limiting the oxidative damage generated by the excess iron. M-ferritin appears to be degraded to hemosiderin-like material within mitochondria, but the mechanism is unknown (Napier et al., 2005).

B. Systemic Regulation of Iron Metabolism

Hepcidin, a small antimicrobial peptide secreted by hepatocytes into the circulation, is an important regulator of iron absorption in the small intestine, as well as iron transport across the placenta, and iron release from macrophages and hepatocytes. Hepcidin inhibits iron export from cells by interacting directly with ferroportin, leading to the internalization and lysosomal degradation of this iron export protein (Nemeth et al., 2004b). Hepcidin production is decreased in iron deficiency and disorders resulting in increased erythropoiesis, which has increased demand for iron (Pak et al., 2006). Decreased plasma hepcidin results in abundant ferroportin transporters on the basolateral surface of enterocytes and increased iron absorption and release to plasma. Ferroportin transporters are also abundant on the surface of macrophages and hepatocytes, promoting the export of iron from the storage pool to plasma (Ganz and Nemeth, 2006; Vokurka et al., 2006). Conversely, hepcidin production is increased and ferroportin transporter expression on cell surfaces is decreased in disorders such as iron overload and inflammation. As a consequence, increased plasma hepcidin results in decreased iron absorption from the intestine and decreased iron release to plasma from macrophages and hepatocytes (Steele et al., 2005). Hepcidin production is modulated by body iron requirements, which are largely influenced by the magnitude of erythropoiesis present (Pak et al., 2006; Wilkins et al., 2006). However, hepcidin production is also increased during inflammation by a pathway not dependent on body iron requirements (Steele et al., 2005). Possible mechanisms involved in the control of hepcidin production are discussed in the section on liver iron metabolism.

VI. IRON METABOLISM IN CELLS

A. Iron Metabolism in Erythroid Cells

Although all cells require iron, erythroid precursors have iron requirements that far exceed the iron requirements of any other cell type because of the need for hemoglobin synthesis. Erythrocytes contain approximately 45,0000-fold more heme iron (largely in hemoglobin) than nonheme iron, and the delivery of iron to erythrocytes is stringently dependent on transferrin as the source of iron (Koury and Ponka, 2004). Diferric transferrin molecules bind to TfR1 on the surface of cells, and these transferrin-TfR1 complexes localize to clathrin-coated pits, which invaginate to initiate endocytosis (Beutler, 2006b). Clathrin is a structural protein that enables endocytosis through the formation of concave lattices with the plasma membrane. After the transferrin-TfR1 complexes are internalized as endosomes, a proton pump decreases the pH in the endosome, resulting in conformational changes in the proteins and subsequent release of Fe⁺³ ions from transferrin (Fig. 9-5). Iron is exported from the endosome using DMT1, following reduction with Steap3, an NADPH-dependent ferrireductase (Ohgami et al., 2005). The resultant apotransferrin-TfR1 complex is recycled to the cell membrane, where apotransferrin is released from the

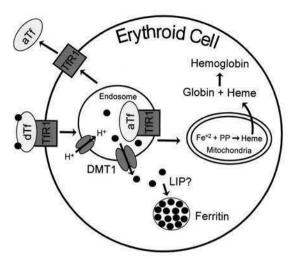


FIGURE 9-5 Iron metabolism in erythroid cells. Diferric transferrin (dTf) binds to transferrin receptor-1 (TfR1) on the surface of developing erythroid cells. The dTf-TfR1 complexes are internalized within an endosome and a proton pump decreases the pH in the endosome, resulting in release of iron ions (dark spheres). Iron is exported from the endosome using the divalent metal transporter-1 (DMT1). The resultant apotransferrin (aTf)-TfR1 complex is recycled to the cell membrane, where aTf is released from the cell, and the TfR1 is available for binding additional dTf. A direct interorganelle transfer of iron occurs between endosomes and mitochondria for insertion into protoporphyrin IX (PP) to form heme and for synthesis of iron-sulfur clusters (not shown). Some iron presumably exists in a cytoplasmic labile iron pool (LIP), with excess iron stored as ferritin.

cell, and the TfR1 is again available for binding additional iron-containing transferrin molecules. Erythroid precursor cells in the bone marrow and reticulocytes that synthesize hemoglobin have TfR1 on their surfaces for iron uptake, but reticulocytes lose their TfR1 as they develop into mature erythrocytes (Ponka *et al.*, 1998).

A direct interorganelle transfer of iron occurs between endosomes and mitochondria where iron is used for heme and iron-sulfur cluster synthesis (Sheftel *et al.*, 2007). Some iron is presumably released from endosomes into a cytoplasmic labile iron pool (LIP), with excess cytoplasmic iron stored as ferritin. Various chaperone molecules have been proposed, but the nature of the iron in the LIP remains enigmatic (Beutler, 2006b). Iron stored as ferritin in the cytoplasm is not available for hemoglobin synthesis in erythroid cells (Ponka *et al.*, 1998).

TfR1, apoferritin, and eALAS synthesis are regulated by the amount of intracellular iron present. An increase in the LIP stimulates apoferritin synthesis and inhibits TfR1 expression to minimize the potential of iron toxicity to the cell. A decrease in the LIP results in decreased apoferritin synthesis and increased TfR1 expression on cell surfaces to maximize iron uptake and use for heme synthesis (Beutler, 2006b). Erythrocytes coordinate protoporphyrin IX formation with the availability of iron by increasing the synthesis

of eALAS (rate limiting enzyme in porphyrin synthesis) when the LIP is high, and decreasing eALAS synthesis when the LIP is low.

Even though three different pathways are required for hemoglobin synthesis in erythrocyte precursors and reticulocytes, virtually no intermediates (iron, globin chains, or heme) accumulate in the cytoplasm of these cells. Several positive and negative feedback mechanisms account for the balanced production of these hemoglobin components. As already discussed, an increase in the LIP limits the uptake of additional iron by decreasing TfR1 synthesis. The availability of iron also limits, and thereby controls, heme synthesis. Free "uncommitted" heme inhibits iron uptake by erythroid cells and consequently heme synthesis. In addition, free heme is essential for the synthesis of globin chains at both the transcriptional and translational levels (Koury and Ponka, 2004). Consequently, globin synthesis does not occur in the absence of heme.

A heme exporter termed FLVCR is up-regulated on colony-forming units-erythroid (CFU-E) progenitor cells. It may provide a safety mechanism to prevent the accumulation of toxic amounts of cytoplasmic heme before globin synthesis is initiated. FLVCR is the cell surface receptor for feline leukemia virus, subgroup C (FeLV-C). Cats with FeLV-C infections develop erythroid aplasia because of a block at the CFU-E stage of erythroid development. It appears that the binding of FeLV-C to receptors on CFU-E progenitor cells inhibits heme export and results in apoptosis of these cells (Quigley *et al.*, 2004).

In addition to the entry of iron into mitochondria for incorporation into protoporphyrin IX to form heme, iron is critical for Fe-S cluster biogenesis within mitochondria. Fe-S clusters are important prosthetic groups for numerous proteins involved in electron transfer, metabolic, and regulatory processes. Although Fe-S clusters are formed within mitochondria, Fe-S proteins are located in the nucleus and cytoplasm of cells, as well as in mitochondria (Lill *et al.*, 2006). Recent studies in zebra fish provide evidence for a regulatory link between Fe-S cluster formation and heme synthesis. In the absence of Fe-S clusters, IRP1 binds to eALAS mRNA, which inhibits eALAS synthesis and heme production (Wingert *et al.*, 2005).

B. Iron Metabolism in Macrophages

In contrast to erythroid cells, virtually no iron enters macrophages via plasma transferrin. Rather, nearly all iron enters macrophages by the phagocytosis of aged or prematurely damaged erythrocytes (Fig. 9-6) (Ponka and Richardson, 1997). Following phagocytosis, erythrocytes are lysed, and hemoglobin is degraded to heme and globin. The microsomal heme oxygenase reaction within macrophages degrades heme and releases iron. Most of the iron from degraded heme is quickly exported (half-life 34min in dogs) from the macrophage and bound to plasma transferrin for transport

to other cells (especially erythrocyte precursors in the bone marrow). The export of iron from macrophages is mediated by ferroportin and controlled by hepcidin as has been discussed for enterocytes (Verga Falzacappa and Muckenthaler, 2005). In contrast to enterocytes that utilize membranebound hephaestin, macrophages utilize the copper-containing plasma protein ceruloplasmin to oxidize Fe+2 ions to Fe⁺³ ions for binding to transferrin in plasma (Nemeth *et al.*, 2004b). Iron not rapidly released to plasma is stored within macrophages as ferritin and hemosiderin. Iron that is stored within macrophages is released to plasma more slowly, with a half-life of 7 days in dogs (Fillet et al., 1974). The mononuclear phagocyte system accounts for much of the total body iron stores. Iron in the storage pool turns over slowly unless there is an increased need for iron for hemoglobin synthesis (Beutler, 2006b). In addition to iron export by ferroportin, a small amount of iron is apparently released as ferritin. It is not clear whether this is secreted or simply represents a "leak" from damaged cells (Ponka et al., 1998).

Macrophages can take up iron present in hemoglobin-haptoglobin complexes and heme-hemopexin complexes in plasma. Haptoglobin-hemoglobin complexes form following intravascular hemolysis when released hemoglobin binds with high affinity to the plasma glycoprotein haptoglobin. Heme-hemopexin complexes can also form secondary to intravascular hemolysis when hemoglobin heme is released, but additional heme-containing proteins (such as myoglobin) release heme that binds to the plasma protein hemopexin. The hemoglobin-haptoglobin complex undergoes endocytosis after binding to the hemoglobin scavenger receptor CD163. Expression of this receptor is

induced by interleukin-6 (IL-6), IL-10, and glucocorticoids (Graversen *et al.*, 2002). The heme-hemopexin complexes undergo endocytosis after binding to CD91, also called the low-density lipoprotein receptor-related protein (LRP) or α_2 -macroglobulin receptor. Once inside the cell, the complexes are transported to lysosomes for degradation, and receptors are recycled to the cell surface (Hvidberg *et al.*, 2005).

C. Iron Metabolism in Hepatocytes

The liver is essential for normal iron homeostasis in the body. It regulates iron movement into and around the body through hepcidin synthesis, accounts for about 40% of the body iron stores, and is the site of synthesis of apotransferrin, ceruloplasmin, haptoglobin, and hemopexin plasma proteins (Anderson and Frazer, 2005).

Hepatocytes synthesize hepcidin in an endocrine manner to regulate iron metabolism in the body. Hepcidin is a small cationic peptide (25 amino acids in humans) that forms from a larger prohepcidin peptide (84 amino acids) (Park et al., 2001). Hepcidin has been cloned, expressed, and sequenced in several species, including dogs (Fry et al., 2004; Verga Falzacappa and Muckenthaler, 2005). Because of its small size, hepcidin is rapidly cleared by the kidney and is measurable in urine. Using immunohistochemistry techniques, prohepcidin is localized to organelles of the secretory pathway, particularly the Golgi apparatus, of hepatocytes suggesting that molecules may accumulate before receiving a signal for secretion (Wallace et al., 2005). Prohepcidin circulates in plasma, but its plasma concentration does not correlate well with urinary hepcidin concentrations or other iron parameters (Kemna et al., 2005). The site, nature, and potential regulation of the conversion of prohepcidin to hepcidin remain to be identified.

A number of systemic stimuli, including body iron stores, anemia, hypoxia, degree of erythropoiesis, and inflammation have been reported to modulate hepcidin levels; however, the pathways involved in hepcidin production remain to be elucidated (Anderson and Frazer, 2005; Steele et al., 2005). Anemia, hypoxia, and erythropoietin themselves do not seem to be of primary importance (Pak et al., 2006; Vokurka et al., 2006). Hepcidin production is modulated by body iron requirements, which are largely influenced by the magnitude of erythropoiesis present (Wilkins et al., 2006). A signal arising from erythropoietic activity in bone marrow is proposed to regulate hepcidin production, but its nature is unknown at the time of this manuscript preparation (Pak et al., 2006). The content of diferric transferrin in plasma may be a key indicator of body iron requirement (Wilkins et al., 2006). Many questions remain concerning how hepcidin expression is modulated in response to body iron requirements; however, three molecules (hemochromatosis [HFE] protein, TfR2,

and hemojuvelin) have been identified that are involved in the regulation of this pathway(s). Defects in each of these molecules in humans or mice have resulted in inappropriately low hepcidin levels and increased iron absorption leading to hemochromatosis. It is hypothesized that hepcidin is regulated by HFE and TfR2 on hepatocyte surfaces in response to plasma transferrin saturation. TfR2 has a 25-fold lower affinity for diferric transferrin than does TfR1 and would presumably become active when diferric transferrin concentrations are high (Anderson and Frazer, 2005). The pathway involving plasma diferric transferrin concentration may not be the only way hepcidin production is regulated. Hemojuvelin may modulate this pathway or affect hepcidin synthesis independently (Steele et al., 2005). Studies indicate that hepcidin synthesis is stimulated by certain bone morphogenetic proteins (BMPs) that bind to hemojuvelin, regulating hepcidin expression through a BMP signal transduction pathway (Truksa et al., 2006). In addition, hepcidin expression increases in response to inflammation, and this increase precedes any alteration in transferrin saturation (Steele et al., 2005).

Most of the iron stored within the liver is found in hepatocytes stored as ferritin. Hepatocytes secrete a glycosylated form of ferritin into plasma (Ghosh *et al.*, 2004). Ferritin in human plasma is primarily composed of L subunits, but H subunits predominate in ferritin in dog plasma (Watanabe *et al.*, 2000). Plasma ferritin concentrations generally correlate with body iron stores; however, ferritin is an acute phase protein that increases in plasma during inflammation (Torti and Torti, 2002).

Hepatocyte membranes contain ferritin receptors. However, serum ferritin is present in low concentrations and contains little iron, so it is unlikely that hepatocytes take up significant amounts of iron from plasma by this mechanism under normal conditions (Anderson and Frazer, 2005; Ponka et al., 1998). Like other cells, hepatocytes utilize TfR1 to transport iron into the cells. NTBI is thought to be chelated by small organic acids, such as citrate, but some may be bound loosely to proteins such as albumin (Anderson and Frazer, 2005). The liver rapidly clears NTBI. In experimental studies using mice, the half-time of clearance of NTBI in plasma was 30 sec, compared to a half-time clearance for transferrin-bound iron of 50 min (Craven et al., 1987). Like macrophages and enterocytes, hepatocytes utilize ferroportin to export iron to plasma. Ferroportin expression is lower in hepatocytes than in enterocytes and macrophages, which may help explain why these cells preferentially accumulate iron in most iron-overload conditions (Rivera et al., 2005).

As discussed earlier, transferrin (apotransferrin with bound Fe⁺³ ions) is critical for normal iron transport to cells. Liver synthesis and release of apotransferrin to plasma is increased in most species in response to iron deficiency (but not generally in dogs) and decreased in response to inflammation (negative acute phase protein). Transferrin deficient

mice have iron deficiency anemia, but iron accumulates in the liver, presumably because of the ability of hepatocytes to take up NTBI (Verga Falzacappa and Muckenthaler, 2005).

Ceruloplasmin is a glycoprotein with a molecular weight of 100 to 155 kDa depending on species. It migrates in the α_2 -region in humans but in the α_1 -region in horses on protein electrophoresis (Okumura et al., 1991). During biosynthesis, six atoms of copper are incorporated into ceruloplasmin late in the secretory pathway (Hellman and Gitlin, 2002). It contains most of the copper in the circulation in all species studied, except for the dog in which ceruloplasmin accounts for only about 40% of the plasma copper content (Montaser et al., 1992). It plays no role in copper transport or delivery to tissues (Hellman and Gitlin, 2002). Ceruloplasmin has ferroxidase enzyme activity that facilitates the oxidation of Fe⁺² to Fe⁺³, a process involved in iron mobilization from liver and other tissue, but not from enterocytes (Osaki et al., 1971; Wessling-Resnick, 2006). It also appears to function as an antioxidant in plasma. Ceruloplasmin is a mild to moderate acute phase protein that increases in concentration in association with inflammation (Ceron et al., 2005; Smith and Cipriano, 1987). Its synthesis may also be enhanced by iron deficiency, estrogen, and progesterone. In contrast to iron, hepatocytes can excrete copper in the bile by a process that is dependent on the intracellular concentration of copper (Hellman and Gitlin, 2002).

Haptoglobin is a glycoprotein of approximately 80kDa molecular weight that contains approximately 20% carbohydrate. As an acute phase protein, the liver secretes increased amounts of haptoglobin into the circulation in response to inflammation (see Chapter 5). Plasma haptoglobin concentration may also increase following glucocorticoid administration in dogs and cattle (Harvey and West, 1987; Higuchi et al., 1994; Yoshino et al., 1993). Haptoglobin exists in dimer and polymer forms and is a major component of the α_2 -protein band identified by electrophoresis in most species. Lysis of erythrocytes in the circulation (intravascular hemolysis) releases free hemoglobin into plasma, and hemoglobin tetramers spontaneously dissociate into α - β dimers that are bound by haptoglobin. Each haptoglobin monomer can irreversibly bind a hemoglobin α - β dimer, preventing some hemoglobin loss (and therefore iron loss) in the urine following intravascular hemolysis. Macrophages remove hemoglobin-haptoglobin complexes from plasma, degrade the protein complex, and subsequently recycle the released iron. Haptoglobin also assists in the protection against bacterial infections by binding to free hemoglobin in infected tissues, limiting iron availability for bacterial growth. In addition, haptoglobin functions as an antioxidant because free hemoglobin promotes oxidative injury, which is inhibited by binding to haptoglobin (Melamed-Frank et al., 2001).

Hepatocytes synthesize hemopexin, a 60-kDa acute phase plasma protein with a remarkably high binding affinity for heme. Free heme may be released in toxic amounts

when intravascular hemolysis, rhabdomyolysis, or internal hemorrhage occur. Heme binds to cell membranes and other lipophilic structures, such as low-density lipoproteins, and promotes oxidative injury and inflammatory reactions. Binding of heme to hemopexin dampens these toxic effects. Like macrophages, hepatocytes can endocytose heme-hemopexin complexes following binding to CD91 on their surfaces. Once inside the cell, the complexes are transported to lysosomes for degradation and release of iron, and receptors are recycled to the cell surface (Hvidberg *et al.*, 2005).

VII. TESTS FOR EVALUATING IRON METABOLISM

A. Hematology

When the hemoglobin concentration reaches a certain level in developing erythroid cells, it appears to signal the cessation of cell division. Abnormalities in heme or globin synthesis result in deficient hemoglobin synthesis and a delay in the signal for cell division to cease. When this happens, one or more extra cell divisions occur during erythroid cell development, resulting in the formation of microcytic erythrocytes (Stohlman et al., 1963). A deficiency in hemoglobin synthesis can also result in the formation of hypochromic erythrocytes with decreased hemoglobin concentration. Iron is essential for heme synthesis; consequently, iron deficiency results in deficient heme synthesis and the formation of microcytic, hypochromic erythrocytes. In addition to true iron deficiency, disorders that result in functional iron deficiency or defective iron incorporation into heme in mitochondria may result in the formation of microcytic, and possibly hypochromic, erythrocytes. These disorders include the anemia of inflammatory disease, copper deficiency, myelodysplastic disorders, drug or chemical toxicities, and possibly portosystemic shunts (Harvey, 2000).

The mean cell volume (MCV) represents the average volume of a single erythrocyte in femtoliters ($\rm fl=10^{-15}\,l$). The MCV is determined most accurately with appropriately calibrated electronic cell counters that determine the size of individual cells and compute the MCV. The MCV varies greatly depending on species and, in some cases, depending on a breed within a species. Some dogs from Japanese breeds (Akita and Shiba) normally have MCV values below the reference intervals established for other breeds of dogs (Gookin *et al.*, 1998), but these dogs are not anemic. The MCV is a fairly insensitive indicator of the formation of microcytes because a relatively high percentage of microcytes must generally be present in blood for the MCV to decrease below the reference interval.

The mean cell hemoglobin concentration (MCHC) represents the average hemoglobin concentration within erythrocytes. It is calculated by dividing the

hemoglobin value (in g/dl) by the hematocrit (as a percentage) and multiplying by 100. The MCHC is expressed as g/dl of erythrocytes. It may be normal in iron deficiency anemia when MCV values are only slightly decreased, but the MCHC is typically low when the MCV is moderately to markedly decreased.

The mean cell hemoglobin (MCH) represents the average amount of hemoglobin in a single erythrocyte. It is calculated by dividing the Hb value (in g/dl) by the RBC count (in millions per μ l) and multiplying by 10. The MCH generally provides little added information beyond that obtained from the MCV and MCHC because the MCH depends on both the size and hemoglobin concentration of erythrocytes. It usually correlates directly with the MCV, except in animals with macrocytic hypochromic erythrocytes. Exceptionally low MCH values strongly suggest true iron deficiency is present.

Although quite useful when abnormal, MCV and MCHC values are relatively insensitive in identifying the presence of erythrocytes with abnormal volumes or hemoglobin concentrations. Many microcytic or macrocytic erythrocytes are required to move the MCV below or above the reference interval, respectively, and many hypochromic erythrocytes are needed to move the MCHC below the reference interval. In addition to counting cells, electronic cell counters can determine and plot the volume of individual erythrocytes, and examination of these erythrocyte volume histograms can reveal the presence of increased numbers of microcytes or macrocytes even when the MCV is within the reference interval (Weiser and Kociba, 1983). Some electronic cell counters such as the Advia 120 (Siemens Medical Solutions Diagnostics, Tarrytown, NY) can also determine the hemoglobin concentration of individual erythrocytes from the deflection of light that occurs when a laser beam strikes individual cells. Inspection of hemoglobin concentration histograms can reveal the presence of increased numbers of hypochromic erythrocytes, even when MCHC has not decreased below the reference interval. Individual erythrocytes can be further characterized by creating a cytogram in which the erythrocyte volumes

of individual cells are plotted against their respective hemoglobin concentrations. In addition, the percentages of microcytes, macrocytes, hypochromic erythrocytes, hyperchromic erythrocytes, erythrocytes with low hemoglobin content, and erythrocytes with high hemoglobin content can be determined, and these same parameters can also be determined for reticulocytes in a blood sample. Because reticulocytes are recently formed immature erythrocytes, reticulocyte parameters may best reflect the current state of iron sufficiency in an animal. Alterations in several reticulocyte indices—including reticulocyte MCV (MCV_{retic}), reticulocyte hemoglobin content (CH_{retic}), percentage of hypochromic reticulocytes (% Hyporetic), percentage of reticulocytes with low hemoglobin content (% Low CH_{retic}), percentage of reticulocytes with high hemoglobin content (% High CH_{retic}), and percentage of macrocytic reticulocytes (% Macro_{retic})appear to be of value in the diagnosis of iron deficiency in dogs (Fry and Kirk, 2006; Steinberg and Olver, 2005).

B. Serum Iron

Serum iron can be measured to assess the transport compartment of iron (Table 9-1). Serum iron concentration is increased in animals with hemolytic anemia and dyserythropoiesis, in which iron transfer from macrophages to plasma is increased (Harvey and Smith, 1994; Smith, 1992; Steffen et al., 1992; Stewart et al., 1953; Watanabe et al., 1998; Weiss and Lulich, 1999); in hypoplastic or aplastic anemia, in which iron transfer from plasma is decreased (Lange et al., 1976; Smith, 1992; Stokol and Blue, 1999; Stokol et al., 2000); in iron overload (Arnbjerg, 1981; House et al., 1994; Lavoie and Teuscher, 1993; Paglia et al., 2001; Sprague et al., 2003); in pigs with experimental pyridoxine deficiency (Deiss et al., 1966); in dogs and horses following the administration of glucocorticoid steroids (Fig. 9-7) (Adamama-Moraitou et al., 2005; Harvey et al., 1987b; Smith et al., 1986b); and in dogs with chronic hepatopathy (Soubasis et al., 2006). Serum iron concentrations are high at birth in foals, with most of the transferrin saturated with iron (Fig. 9-8), but serum iron

TABLE 9-1 Serum Iron Analyte Reference Intervals in Domestic Animals ^a				
Species	Iron (μ mol/l)	TIBC (μ mol/l)	Ferritin (μg/l)	Reference(s)
Dog	5.9–26.3	50.5–69.1	80–800	Weeks et al. (1988)
Cat	5.9-24.2	30.3–58.2	32-123	Andrews et al. (1994)
Horse	9.0-35.4	41.3-81.4	43–261	Smith et al. (1986a)
Cattle	7.0–27.7	33.3–48.3	33–55	Kolb (1963), Smith (1997)
Pig	9.8–33.5	43.1-70.3	20-125	Kolb (1963), Smith et al. (1984a)

^aIron and total iron-binding capacity (TIBC) values can be converted from SI units to conventional units (µg /dl) by multiplying by 5.59

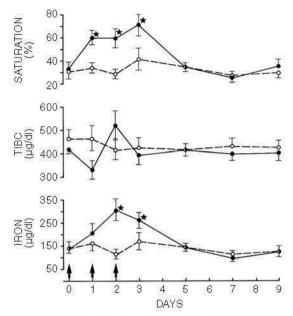


FIGURE 9-7 Effect of oral prednisone ($2 \, \mathrm{mg/kg}$ bid) administration for 3 consecutive days (arrows) on serum iron parameters in dogs (closed circles with solid lines) compared to control dogs without prednisone (open circles with dashed lines). Values are mean \pm standard error with stars indicating significant differences between groups (p < 0.05). TIBC = total iron-binding capacity. Saturation refers to the percentage saturation of transferrin with iron. From Harvey $et\ al.$ (1987b), with permission.

decreases to adult values by 3 days of age (Harvey et al., 1987a). Although serum iron values in calves and piglets are not as high as values in foals at birth, a rapid decrease in serum iron also occurs in these species within a few days after birth (Kolb, 1963). Serum iron increases markedly in chickens associated with increased estrogen secretion and the onset of egg laying (Kolb, 1963). Serum iron values may be spuriously increased if laboratory tubes or pipettes used to handle serum are contaminated with iron. The use of disposable plastic pipettes and tubes minimizes this possibility. Iron concentrations may be spuriously increased in plasma samples if kits designed for serum iron determinations are used to measure iron in plasma.

Serum iron concentration is generally low in both iron deficiency (Furugouri, 1972; Halvorsen and Halvorsen, 1973; Harvey et al., 1982; Harvey et al., 1987a; Kolb, 1963; Mollerberg et al., 1975; Weiser and Kociba, 1983) and with inflammation (Borges et al., 2007; Feldman et al., 1981b; Kolb, 1963; Neumann, 2003; Smith and Cipriano, 1987; van Miert et al., 1986; van Miert et al., 1990). It may also be decreased when demands for erythropoiesis exceed the iron flow from the diet and storage pools, such as might occur with erythropoietin administration (Brugnara et al., 1993; Cowgill et al., 1998; Pak et al., 2006). Serum iron concentration is decreased following glucocorticoid administration to cattle and goats (Maddux et al., 1988; van Miert et al., 1986; Weeks et al., 1989a).

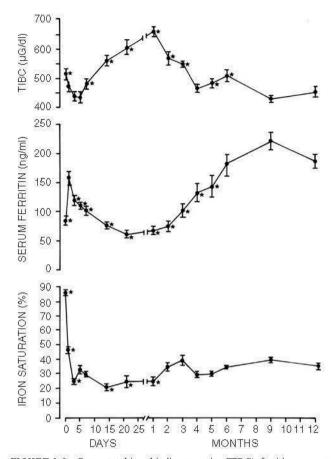


FIGURE 9-8 Serum total iron-binding capacity (TIBC), ferritin concentration, and percentage saturation of transferrin with iron (mean \pm standard error) from 21 healthy foals during the first year of life. The day 0 sample was taken before foals were allowed to nurse. The sharp increase in serum ferritin when 1 day old resulted from the consumption of colostrum with high ferritin concentration compared to that in blood. Values marked with a star were significantly different from 77 healthy adult horses. From Harvey $et\,al.$ (1987a), with permission.

C. Serum Total Iron-Binding Capacity

The total iron-binding capacity (TIBC) of serum is a measure of total serum transferrin (apotransferrin, monotransferrin, diferric transferrin) concentration because insignificant amounts of plasma iron are bound to other proteins. TIBC is calculated by measuring serum iron and serum unsaturated iron-binding capacity and summing these values. The percentage saturation of transferrin with iron is calculated by dividing the serum iron concentration by the TIBC and multiplying by 100. Serum TIBC is low-normal or decreased in association with inflammatory disorders (Feldman et al., 1981b; Ottenjann et al., 2006; Smith and Cipriano, 1987) and increased in iron-deficient humans, rabbits, pigs, horses, and cattle (Furugouri, 1972; Halvorsen and Halvorsen, 1973; Harvey et al., 1987a; Miltenburg et al., 1992b; Mollerberg et al., 1975). A slight increase in serum TIBC was reported in an experimental study of diet-induced iron deficiency anemia in young

growing dogs (Fry and Kirk, 2006), but serum TIBC is generally normal in dogs with naturally occurring iron deficiency anemia (Harvey *et al.*, 1982; Weiser and O'Grady, 1983). TIBC may be increased in some animals with iron overload (House *et al.*, 1994; Sprague *et al.*, 2003) and in dogs with chronic hepatopathy (Soubasis *et al.*, 2006).

D. Serum Ferritin

Serum ferritin concentration correlates with tissue iron stores in humans and domestic animals (Andrews et al., 1994; Smith et al., 1984a; Smith et al., 1984b; Weeks et al., 1989b). Increased serum ferritin occurs in animals with increased storage iron as reported in dogs with chronic hemolytic anemia (e.g., pyruvate kinase and phosphofructokinase deficiency) (Harvey and Smith, 1994), malignant histiocytosis (Newlands et al., 1994), and hemochromatosis secondary to repeated blood transfusion (Sprague et al., 2003). Serum ferritin is also increased in cattle with increased iron stores secondary to Theileria sergentiinduced hemolytic anemia (Watanabe et al., 1998). Serum ferritin is transiently increased in horses after moderate to severe exercise (Hyyppa et al., 2002) and in foals following consumption of colostrum, which contains high ferritin concentrations compared to milk (Harvey et al., 1987a). Serum ferritin is decreased in animals with iron deficiency (Harvey et al., 1987a; Smith et al., 1986a; Weeks et al., 1990).

Serum ferritin is an acute phase protein; consequently, increased values are expected in inflammatory conditions, in addition to conditions with increased iron stores (Ottenjann et al., 2006; Smith et al., 1986a; Smith and Cipriano, 1987). The proinflammatory cytokine tumor necrosis factor- α (TNF α) stimulated sustained ferritin secretion in cultured human hepatocytes (Torti and Torti, 2002). Serum iron concentration may be decreased in both iron deficiency and in inflammatory conditions (Andrews and Smith, 2000). Consequently, serum ferritin concentration can help differentiate true iron deficiency (serum ferritin is low) from the anemia of inflammatory disease (serum ferritin is normal or high). It should be remembered that true iron deficiency could be missed if concomitant inflammation was present and resulted in increased ferritin secretion into blood. Commercial assay kits are not available for serum ferritin assays in animals, but ferritin assays may be performed for several species at Kansas State University.

E. Bone Marrow Iron

Prussian blue stain is used to evaluate bone marrow hemosiderin stores. Smears may be sent to a commercial laboratory for this stain, or a stain kit can be purchased and applied in-house (Harleco Ferric Iron Histochemical Reaction Set, #6498693, EM Diagnostic Systems, Gibbstown, New Jersey). When this stain is applied, iron-positive material

stains blue, in contrast to the dark pink color of the cells and background. A good-quality marrow aspirate smear with at least nine particles has been recommended to adequately access marrow hemosiderin stores in macrophages using the Prussian blue stain (Hughes et al., 2004). Determination of stainable iron in bone marrow is used as a measure of total body iron stores (Blum and Zuber, 1975; Franken et al., 1981). A lack of stainable iron is consistent with iron deficiency; however, negative iron staining is not necessarily predictive of iron deficiency (Ganti et al., 2003). Cats normally lack stainable iron in the marrow (Harvey, 1981). In addition, some cattle (especially younger animals) lack stainable iron in the marrow even though marrow iron can be demonstrated by chemical assay (Blum and Zuber, 1975). Cattle that lack stainable iron generally have lower marrow iron concentrations when measured chemically than cattle with a positive iron stain. Similarly, recently weaned dogs have little or no stainable iron in marrow, presumably reflecting low iron stores at the end of the nursing period (Fry and Kirk, 2006). Stainable iron in the marrow tends to increase with advancing age in humans, horses, and cattle (Blum and Zuber, 1975; Franken et al., 1981). Stainable iron in bone marrow is generally increased in animals with hemolytic anemia and dyserythropoiesis, in which phagocytosis of erythroid cells is increased (Canfield et al., 1987; Holland et al., 1991; Steffen et al., 1992; Weiss and Lulich, 1999), and in animals with anemia resulting from decreased erythrocyte production, including the anemia of inflammatory disease (Feldman et al., 1981b).

Some nucleated erythrocytes in Prussian blue-stained smears from normal animals may contain one to three small, blue granules in their cytoplasm (Deiss *et al.*, 1966; Feldman *et al.*, 1981a). When heme synthesis is impaired (other than by iron deficiency), mitochondria accumulate excess amorphous iron aggregates, and increased siderotic granules are present that may form a ring around the nucleus (generally called a ringed sideroblast in human hematology) (Bottomley, 2004). Read Section VIII.F in this chapter for more information.

F. Erythrocyte Zinc Protoporphyrin

Heme is formed inside mitochondria when an Fe⁺² ion is inserted into protoporphyrin IX in a reaction catalyzed by ferrochelatase. The ferrochelatase enzyme also catalyzes zinc chelation with protoporphyrin IX to form zinc protoporphyrin (ZnPP) in trace amounts in normal animals. When iron is deficient or iron utilization is impaired, zinc becomes a prominent substrate for ferrochelatase, leading to increased ZnPP formation and accumulation in erythroid cells (Labbe *et al.*, 1999). ZnPP is a stable chelate that remains in erythrocytes throughout their life spans. It can be extracted from erythrocytes and measured using fluorometry or spectrophotometry. Unfortunately, ZnPP was unknowingly converted to free protoporphyrin during analysis for many years; consequently,

investigators using these methods reported ZnPP as free protoporphyrin (Labbe *et al.*, 1999). Fortunately, ZnPP can now be measured without extraction in washed erythrocytes using a hematofluorometer. This dedicated instrument measures the ratio of ZnPP fluorescence to heme (hemoglobin) absorption (Labbe *et al.*, 1999). In addition, a new fluorescent method for the direct and simultaneous measurement of ZnPP, free protoporphyrin IX, and fluorescent heme degradation product has been described using human and mouse hemolysates (Chen and Hirsch, 2006).

Increased ZnPP concentrations in circulating erythrocytes indicates that Fe⁺² availability was insufficient in the mitochondria of their precursors (nucleated erythroid cells and reticulocytes) and limited heme synthesis in these precursor cells. Because high ZnPP concentration is only present in erythrocytes formed during periods of limited iron availability and erythrocytes have long life spans in the circulation, conditions of limited iron availability need to persist for weeks before whole blood erythrocyte ZnPP concentrations are clearly above reference intervals (Martin *et al.*, 2004). Erythrocyte ZnPP concentration is high in true iron deficiency and in inflammatory disorders in which iron delivery to erythroid cells is limited (Feldman *et al.*, 1981a; Labbe *et al.*, 1999; Weeks *et al.*, 1990).

ZnPP concentration is also increased in association with lead toxicity (Hawke *et al.*, 1992; Kowalczyk *et al.*, 1981; Martin *et al.*, 2004). Lead inhibits the ferrochelatase enzyme to some degree. This inhibition should result in increased free protoporphyrin, rather than the increase in ZnPP that predominates in lead toxicity in humans (Lamola and Yamane, 1974) and the 2:1 increase in ZnPP versus free protoporphyrin that occurs in cattle with lead toxicity (George and Duncan, 1981). Consequently, it appears that lead impairs iron utilization in an additional way (Labbe *et al.*, 1999). Erythrocyte ZnPP may also increase when iron is being delivered to developing erythroid cells in the marrow at a rate insufficient to meet the demands of conditions with accelerated erythropoiesis.

G. Tissue Nonheme Iron

Iron stores (ferritin and hemosiderin) can be determined directly by measuring nonheme iron concentrations in various organs. Although it may be desirable to measure total body nonheme iron stores, this is impossible in clinical patients and impractical in most research animals. Consequently, nonheme iron concentration is generally only determined in the liver and spleen because these organs contain large quantities of stored iron and are easily biopsied.

Total tissue iron includes heme-containing proteins, including hemoglobin, myoglobin, and certain enzymes, in addition to iron stored as ferritin and hemosiderin. Heme resists acid hydrolysis; consequently, nonheme iron can be separated from heme iron by extraction in acid and determined colorimetrically or coulometrically (Smith, 1997).

Nonheme iron stores are decreased in iron deficiency and increased in iron overload disorders (see Section VII.D). Nonheme stores are also increased in animals with hemolytic anemia and in animals with anemia resulting from decreased erythrocyte production. These conditions are not necessarily associated with an increase in total body iron, but rather with a shift of heme iron normally present in hemoglobin in circulating erythrocytes to nonheme iron stored as ferritin and hemosiderin within macrophages.

H. Ferrokinetics

Ferrokinetics refers to measurements that are made following the intravenous injection of transferrin labeled with radioactive iron or the absorption of radioactive iron from the diet. Ferrokinetics studies of intravenously administered radioactive iron provide information concerning plasma iron turnover and iron incorporation in hemoglobin in circulating erythrocytes. Radioactive iron is cleared from plasma with half-time of about 60 to 90min (Nathanson et al., 1985; Smith, 1997), and from 60% to 95% of the iron present in plasma is transported to the bone marrow for incorporation into hemoglobin in developing erythroid cells in animals (Fillet et al., 1974; Gillis and Mitchell, 1974; Kaneko, 1964; Kaneko and Mattheeuws, 1966; Smith, 1997). Plasma iron transfer rates, plasma iron turnover rates, and marrow transit times may also be calculated (Smith, 1997). Ferrokinetic studies of experimental iron deficiency in dogs revealed that iron absorption was increased, plasma iron clearance was shortened, and iron retention in the body was increased compared to normal dogs (Nathanson et al., 1985). Ferrokinetic studies provide useful pathophysiological information, but they are not practical for use in diagnostic veterinary medicine. The reader is referred to the fifth edition of this text for more detailed ferrokinetic information (Smith, 1997).

VIII. DISORDERS OF IRON METABOLISM

A. Iron Deficiency

Iron deficiency may be classified in three stages: storage iron deficiency, iron-deficient erythropoiesis, and iron deficiency anemia (Table 9-2). Iron deficiency in domestic animals is generally not recognized until microcytic anemia is present. Iron deficiency results from insufficient iron absorption in the intestine (rare except in nursing animals) or from hemorrhage and associated iron loss from the body.

1. C S

Clinical signs associated with iron deficiency anemia include pale mucous membranes, lethargy, weakness, and weight loss or retarded growth. These signs result not only from decreased hemoglobin synthesis, but from deficiencies

Table 9-2	Iron Analytes in Various Stages of
Iron Defici	ency

Analyte	Iron Deficiency	Iron-Deficient Erythropoiesis	Iron Deficiency Anemia
Bone marrow iron	Low	Low	Low
Serum ferritin	Low	Low	Low
Serum iron	Normal	Low	Low
Zinc protoporphyrin	Normal	High	High
Transferrin saturation	Normal	Low	Low
Blood hemoglobin	Normal	Normal	Low
Erythrocyte size	Normal	Normal	Low

in other iron-containing proteins including myoglobin, cytochromes, citric acid cycle enzymes, and other heme and nonheme iron-containing enzymes (Kolb, 1963; Smith, 1997). Additional signs that may be present include diarrhea, dermatitis, hematuria, hematochezia, and melena, depending on the cause of the iron deficiency anemia and the presence of other concomitant disorders. Iron-deficient animals are more susceptible to infections because of lowered immunity (Kolb, 1963). Asymptomatic dogs are commonly recognized serendipitously when complete blood counts are done as a routine screen before surgery and microcytic anemia is found.

2. C & D x

With the exception of young growing animals, iron deficiency in domestic animals usually results from blood loss. Chronic iron deficiency anemia is common in adult dogs and ruminants in areas where bloodsucking parasite infestations are severe (Harvey et al., 1982; Weiser and O'Grady, 1983). Chronic hemorrhage resulting in iron deficiency may also occur with intestinal neoplasms, transitional cell carcinomas, gastrointestinal ulcers, thrombocytopenia, inherited hemostatic disorders, hemorrhagic colitis, and menorrhea (primates only). Excessive removal of blood from a blood donor animal can result in an irondeficient state. Iron deficiency anemia appears to be rare in adult cats and horses (French et al., 1987; Fulton et al., 1988; Smith et al., 1986a).

Milk contains relatively low concentrations of iron (Anderson, 1992; Kolb, 1963); consequently, nursing

animals can easily deplete body iron stores as they grow (Kolb, 1963; Smith, 1997). Piglets reared in modern facilities without access to soil are especially susceptible to the development of dietary iron deficiency anemia because they have lower iron stores at birth than other domestic animals studied, and they exhibit remarkably rapid growth while nursing (Kolb, 1963; Underwood, 1977). Iron deficiency can be prevented in piglets by allowing them to eat earth while rooting in open fields or by adding pieces of sod to their pens; however, iron deficiency is generally prevented by intramuscular iron dextran injections when pigs are raised in confinement (Kolb, 1963; Underwood, 1977). The concomitant occurrence of bloodsucking parasite infestations can result in especially severe iron deficiency anemia in nursing animals. Once the consumption of solid food begins, dietary iron deficiency in growing animals usually resolves (Kolb, 1963). At least 80mg available iron per kilogram diet is required to avoid iron-limited erythropoiesis in puppies, kittens, and piglets (Chausow and Czarnecki-Maulden, 1987; Kolb, 1963). Iron deficiency anemia is an unavoidable consequence of feeding practices designed to produce pale meat in veal calves (Miltenburg et al., 1992a).

3. L 4 4x F

Anemia in iron-deficient animals generally results from a combination of hemorrhage and impaired (iron-limited) erythrocyte production. However, iron-deficient erythrocytes also exhibit decreased life spans, with evidence of enhanced eryptosis characterized by cell shrinkage, membrane blebbing, and surface exposure of phosphatidylserine (Kempe et al., 2006). The hematocrit decreases before the MCV and MCHC decrease, especially when iron deficiency is caused by bleeding. The MCV is generally normal in acute iron deficiency. If the iron-deficient state persists for weeks to months, the number of microcytic cells produced can constitute a sufficient enough portion of the erythrocyte population to reduce the MCV below the normal reference intervals. Iron deficiency anemia is defined as iron deficiency that results in microcytic anemia. Because erythrocyte indices are generally normal during early iron deficiency, most cases of iron deficiency go undiagnosed at this stage. Microcytic erythrocytes are produced in response to iron deficiency in nursing kittens and pups, but low MCV values may not develop postnatally because the MCV is above adult values at birth in these species and some fetal macrocytes may persist during the iron-deficient nursing period. The review of erythrocyte volume histograms can reveal the presence of microcytes in iron-deficient pups and kittens that have normal MCV values (Weiser and Kociba, 1983). New hematology instruments have the ability to calculate the percentage of microcytes present.

The mean cell hemoglobin concentration (MCHC) within iron-deficient erythrocytes may be low because iron

is needed for normal hemoglobin synthesis. A decrease in MCV generally precedes a decrease in MCHC in iron-deficient animals (Harvey, 2000). A low MCHC is often present in severely affected dogs and ruminants, but it is rarely present in iron-deficient horses or adult cats. The red cell distribution width (RDW) is often increased because of the presence of increased numbers of microcytes together with normocytic cells.

Because reticulocytes are recently formed immature erythrocytes, reticulocyte parameters may better reflect the current state of iron sufficiency in an animal. A retrospective study of dogs with natural disease found that low MCV_{retic} and low, CH_{retic} were indicators of iron deficiency (Steinberg and Olver, 2005). In addition to these parameters, a prospective study of diet-induced iron deficiency in post-weaning dogs found that increased % Hypo_{retic}, increased % Low CH_{retic}, decreased % High CH_{retic}, and decreased % Macro_{retic} were indicators of iron deficiency (Fry and Kirk, 2006). Of these various reticulocyte parameters, the CH_{retic} appears to be the most widely used in the diagnosis of iron deficiency in humans (Brugnara *et al.*, 2006).

Erythrocytes from dogs and ruminants with iron deficiency anemia often appear hypochromic on stained blood smears (Harvey, 2001). In these species in which erythrocytes appear as discocytes, hypochromic erythrocytes have a narrow rim of lightly stained hemoglobin and greater than normal area of central pallor. This hypochromasia results from both decreased hemoglobin concentration within cells and from the fact that the cells are thin (leptocytes). Because these microcytic leptocytes have increased diameter-to-volume ratios, they may not appear as small cells when viewed in stained blood films. Erythrocytes from members of the family Camelidae are elliptical and not biconcave. Microcytic erythrocytes from iron-deficient llamas exhibit irregular or eccentric areas of hypochromasia within the cells (Morin *et al.*, 1993).

Poikilocytosis (keratocytes and schistocytes) is often present, being most pronounced in association with severe microcytosis (Harvey, 2001). Poikilocytosis is common in young calves. In some cases it may result from iron deficiency, but abnormalities in protein 4.2 in the membrane and hemoglobin composition have also been suggested as causative factors (Okabe *et al.*, 1996). Folded cells and dacryocytes are common erythrocyte shape abnormalities in iron-deficient llamas (Morin *et al.*, 1993).

Not only is there apparently a low incidence of this disorder in horses and adult cats, but some cases may not be recognized because hypochromasia is usually not apparent when stained blood films from iron-deficient horses and adult cats are examined. Additionally, some electronic cell counters may not count the microcytic cells present, resulting in a spuriously increased MCV. Electronic cell counters with erythrocyte histogram displays provide visual evidence that a threshold failure has occurred (Weiser and Kociba, 1983).

Increased production and release of reticulocytes from bone marrow typically occur in response to hemorrhage in species other than the horse. Consequently, absolute reticulocytosis is often present in the early stage of iron deficiency secondary to hemorrhage, at least in the dog (Harvey et al., 1982). As iron depletion becomes more severe, there is insufficient iron for reticulocyte production, and the absolute reticulocyte count no longer increases. Thrombocytosis is often present in animals with iron deficiency anemia. This platelet increase may in part be related to a stimulation of megakaryopoiesis by high erythropoietin concentration in plasma (Loo and Beguin, 1999), but the mechanism has not been clearly defined (Kadikoylu et al., 2006). Plasma protein concentrations may decrease if substantial recent or ongoing hemorrhage is present.

Serum iron concentration is usually low in animals with iron deficiency anemia (Furugouri, 1972; Halvorsen and Halvorsen, 1973; Harvey et al., 1982; Harvey et al., 1987a; Mollerberg et al., 1975; Weiser and Kociba, 1983), but it can also be low in association with inflammatory disorders (Feldman et al., 1981b; Kolb, 1963; Neumann, 2003; Smith and Cipriano, 1987; van Miert et al., 1990). Serum iron is also affected by other factors such as endogenous cortisol concentration, administration of glucocorticoids (Harvey et al., 1987b), and consumption of meat (Brugnara, 2003).

TIBC is usually normal in dogs and cats, but it is increased in humans, rabbits, pigs, horses, and cattle (Furugouri, 1972; Halvorsen and Halvorsen, 1973; Harvey *et al.*, 1982; Harvey *et al.*, 1987a; Mollerberg *et al.*, 1975; Weiser and Kociba, 1983). However, transferrin is a negative acute phase protein (Ceron *et al.*, 2005), and the concomitant presence of inflammation with iron deficiency might lower serum TIBC concentration in animals that could otherwise have high values.

Serum ferritin concentration correlates directly with body iron stores in dogs, cats, horses, and pigs (Andrews et al., 1994; Smith et al., 1984a; Smith et al., 1984b; Weeks et al., 1989b). Consequently, serum ferritin is low in iron deficiency (Fry and Kirk, 2006). A problem with serum ferritin is that it is an acute phase protein and it can increase secondarily to inflammation or liver disease (Ottenjann et al., 2006; Smith and Cipriano, 1987), resulting in an overestimation of total body iron content that might mask the presence of concomitant iron deficiency (Coenen et al., 1991). Suspected iron deficiency can be confirmed by finding minimal or absent stainable iron in the bone marrow of most species. However, stainable iron is not present in the bone marrow of normal cats (Harvey, 1981); consequently, a lack of stainable iron does not indicate iron deficiency in this species. Although cat bone marrow normally lacks stainable iron (hemosiderin), it presumably still has ferritin stores not identified using Prussian blue staining (Blum and Zuber, 1975; Navone et al., 1988).

Serum soluble TfR levels and erythrocyte ZnPP concentrations have been used in the diagnosis of iron deficiency

in humans (Metzgeroth *et al.*, 2005). Soluble TfR levels are increased in iron deficiency and other disorders with increased erythropoiesis, but not in the anemia of inflammatory disease (Ferguson *et al.*, 1992). This assay is not available for domestic animals at this time. Erythrocyte ZnPP is increased when iron delivery to mitochondria is limited (iron deficiency and anemia of inflammatory disease), but not influenced by the erythropoietic activity (Metzgeroth *et al.*, 2005).

B. Anemia of Inflammatory Disease

A mild to moderate nonregenerative anemia often accompanies chronic inflammatory disease. It has been called the anemia of inflammatory disease or the anemia of chronic disease. The anemia of inflammatory disease has also been associated with neoplastic conditions, but this could not be confirmed in dogs with lymphoma (Lucroy et al., 1998). Clinical signs and physical findings vary depending on the nature of the disorder, but weight loss and fever are often present. The anemia is generally normocytic, but it may be slightly microcytic in long-standing cases (Harvey, 2000; Ottenjann et al., 2006). The cause of the anemia is multifactorial. Abnormalities that contribute to the anemia include the production of inflammatory mediators that directly or indirectly inhibit erythropoiesis, blunt the erythropoietin response to the anemia, decrease serum iron, and shorten erythrocyte life spans (Theurl et al., 2006; Weiss and Goodnough, 2005).

The proliferation of erythroid progenitor cells (burstforming unit-erythroid [BFU-E] and CFU-E) is inhibited in the presence of TNF α , IL-1, and the interferon- α , - β , and - γ . Of these molecules, interferon- γ appears to be the most potent inhibitor. The underlying mechanism responsible for this inhibition may involve cytokine-mediated apoptosis, which may be related to down-regulation of erythropoietin receptors on progenitor cells and reduced expression of hematopoietic growth factors. Cytokines may also stimulate macrophages in the marrow to form nitrogen and oxygen-free radicals that could be toxic to neighboring progenitor cells (Weiss and Goodnough, 2005). Erythrocyte proliferation is further reduced because cytokines such as IL-1 and TNF α inhibit erythropoietin expression by the kidney. As a result, the erythropoietin response to the anemia of inflammatory disease is inadequate for the degree of anemia present (Ottenjann et al., 2006; Weiss et al., 1983; Weiss and Goodnough, 2005).

Erythropoiesis is also limited in the anemia of inflammatory disease because serum iron concentration is generally low (Feldman *et al.*, 1981a; Neumann, 2003; Smith and Cipriano, 1987; Verheijden *et al.*, 1982; Weiss *et al.*, 1983), although hypoferremia was reported in a minority of cats with naturally occurring inflammation (Ottenjann *et al.*, 2006). Altered iron metabolism associated with

inflammatory and neoplastic disorders is attributable to increased hepcidin production. Hepcidin expression is induced by IL-6 and possibly IL-1 (Lee et al., 2005; Nemeth et al., 2004a). Increased hepcidin expression occurs within hours following an inflammatory insult. Hepcidin interacts directly with ferroportin, leading to the internalization and lysosomal degradation of this iron export protein. Decreased ferroportin expression results in decreased iron absorption and decreased iron release from macrophages and hepatocytes (Verga Falzacappa and Muckenthaler, 2005). Continued utilization of transferrin-bound iron, with decreased entry of iron into plasma, results in hypoferremia (Steele et al., 2005). Injection of recombinant human hepcidin resulted in hypoferremia within 1h in mice. This decrease occurs rapidly because the plasma iron pool is small compared to the iron flux needed for erythropoiesis (Rivera et al., 2005). This hypoferremia is part of an iron-withholding defense system, which deprives microbial invaders and neoplastic cells of iron essential for their growth (Kontoghiorghes and Weinberg, 1995). Hepcidin itself has antimicrobial properties, but the clinical importance of this function is unclear (Park et al., 2001; Verga Falzacappa and Muckenthaler, 2005).

Although reduced erythropoiesis primarily accounts for the anemia associated with inflammatory diseases, shortened erythrocyte life spans also contribute to the development of anemia in these disorders (Weiss and Krehbiel, 1983). Inflammatory reactions generate free radicals that can damage erythrocyte membranes, resulting in premature erythrophagocytosis (Weiss *et al.*, 1992; Weiss and Klausner, 1988; Weiss and Goodnough, 2005).

The hematocrit is generally only slightly decreased in association with inflammation in most animals but is more likely to be moderately decreased (or rarely markedly decreased) in cats, in which anemia develops more rapidly than in dogs (Mahaffey and Smith, 1978; Ottenjann *et al.*, 2006; Weiss *et al.*, 1983). The MCV is usually at the low end of the reference interval but may occasionally be slightly below the reference interval (Harvey, 2000; Ottenjann *et al.*, 2006). The MCHC and RDW are generally normal. The erythrocyte morphology is usually normal, except for increased rouleaux formation at times in dogs. Reticulocyte counts in blood are not increased in response to the anemia because of the impaired erythropoiesis present (Feldman *et al.*, 1981a; Ottenjann *et al.*, 2006; Weiss *et al.*, 1983).

Serum iron is generally decreased (Feldman *et al.*, 1981b; Weiss *et al.*, 1983) because inflammation results in increased hepcidin secretion into plasma by hepatocytes. TIBC in serum is a measure of total transferrin (apotransferrin, monoferric transferrin, and diferric transferrin) concentration. Transferrin is a negative acute phase protein and tends to decrease during inflammation. Consequently, TIBC may be decreased in association with the anemia of inflammatory disease (Feldman *et al.*, 1981a; Ottenjann *et al.*, 2006; Smith and Cipriano, 1987). An exception to

TABLE 9-3 Laboratory Findings in Chronic Iron Deficiency Anemia versus the Anemia of Inflammatory Disease

Parameter	Iron Deficiency Anemia	Anemia of Inflammatory Disease
Hematocrit	Slight to marked decrease	Slight to moderate decrease
Mean cell volume	Slight to marked decrease	Normal to slight decrease
Serum iron	Slight to marked decrease	Slight to moderate decrease
Serum TIBC	Normal to increased	Normal to decreased
Serum ferritin	Decreased	Normal to increased
Marrow hemosiderin	Decreased or absent	Normal to increased

this pattern appears to be chronic hepatitis, in which serum iron and TIBC may be increased rather than decreased (Soubasis *et al.*, 2006). Serum ferritin, bone marrow stainable iron, and liver nonheme iron are increased with inflammation (Feldman *et al.*, 1981a, 1981c). Serum ferritin may be increased both as a result of increased iron stores and because it is an acute phase protein (Ottenjann *et al.*, 2006; Smith and Cipriano, 1987). Hematological aspects of the anemia of inflammatory disease are compared to iron deficiency in Table 9-3.

C. Portosystemic Shunts

Vascular connections between the portal and systemic circulation that preferentially divert portal blood around the liver are called portosystemic shunts (PSS). They may be congenital or develop secondary to portal hypertension associated with chronic primary hepatobiliary disease (Center and Magne, 1990).

Microcytosis occurs in approximately two-thirds of the dogs with PSS. The cause of the microcytosis is not completely understood, but it is associated with abnormal iron metabolism. The MCV is seldom more than 7fl below the reference interval and the hematocrit is within or slightly below the reference interval. The MCHC is slightly decreased and the RDW is slightly increased in a majority of cases. Codocytes are commonly observed in dogs. The MCV is slightly decreased in about one-third of the cats with PSS. Poikilocytosis (keratocytes and elliptocytes) is common, but anemia is not usually present (Center and Magne, 1990; Levy et al., 1995).

About half of the dogs with PSS exhibit hypoferremia with normal or slightly decreased serum TIBC. Serum ferritin and stainable iron (hemosiderin) in the liver and bone marrow are normal or high (Bunch et al., 1995; Doberneck et al., 1963; Laflamme et al., 1994; Meyer and Harvey, 1994; Simpson et al., 1997). Erythrocyte protoporphyrin and serum ceruloplasmin concentrations are normal (Bunch et al., 1995). Although the animals are not truly iron deficient, the low serum iron appears to be related to the development of microcytosis (Simpson et al., 1997).

D. Copper Deficiency

Copper deficiency generally results in anemia in mammals (Auclair et al., 2006; Brewer, 1987; Lahey et al., 1952), although anemia was not a feature of experimental copper deficiency in the cat (Doong et al., 1983). The anemia is generally microcytic hypochromic; however, normocytic anemia has been reported in experimental studies in dogs, and normocytic or macrocytic anemias have been reported in cattle and adult sheep (Brewer, 1987).

Copper deficiency results in impaired iron metabolism (Lee et al., 1968b). In experimental studies in pigs, serum iron concentration is low in early copper deficiency when iron stores are normal (Lahey et al., 1952; Lee et al., 1968b). Functional iron deficiency occurs because copper-containing proteins hephaestin and ceruloplasmin are required for normal iron transport (Lee et al., 1968a; Wessling-Resnick, 2006). Decreased hephaestin levels in intestinal enterocytes of copper-deficient animals result in decreased iron release from enterocytes into plasma because hephaestin facilitates iron export by ferroportin (Reeves et al., 2005; Wessling-Resnick, 2006). Copper deficiency in pigs results in a marked decrease in circulating ceruloplasmin and decreased release of iron from tissue stores. Administration of ceruloplasmin to these copper-deficient pigs results in a prompt release of iron into the circulation bound to transferrin (Lee et al., 1968b; Roeser et al., 1970). Iron is diminished in the liver of copper-deficient pigs but accumulates in the liver of copper-deficient rats and mice, suggesting that intestinal iron absorption may not be as compromised in copperdeficient rodents (Auclair et al., 2006; Wessling-Resnick, 2006). Humans with hereditary aceruloplasminemia have iron accumulation in liver and other tissues. Clinical signs include diabetes, dementia, and retinal degeneration, but not liver disease (Hellman and Gitlin, 2002).

If experimental copper deficiency is prolonged in pigs, hyperferremia occurs and nucleated erythroid cells with cytoplasmic siderotic (iron-positive) inclusions increase in bone marrow (Lee *et al.*, 1968a). Reticulocyte mitochondria from copper-deficient pigs are unable to synthesize heme at the normal rate using Fe⁺³ (Williams *et al.*, 1976). A deficiency in copper-containing cytochrome oxidase within mitochondria may slow the reduction of Fe⁺³ to Fe⁺² within mitochondria. That would limit heme synthesis, which

requires iron in the Fe⁺² state (Porra and Jones, 1963). Siderotic inclusions have also been reported in erythroid cells in copper deficiency in humans (Gregg *et al.*, 2002).

Zinc toxicity results in copper deficiency (presumably secondary to impaired copper absorption), hypoferremia, and microcytic anemia in humans (Beutler, 2006a; Fosmire, 1990; Gyorffy and Chan, 1992), and zinc toxicity, from the use of galvanized feeding bins, resulted in copper deficiency and anemia in pigs (Pritchard *et al.*, 1985).

E. Iron Overload

Iron accumulates in hepatocytes when transferrin saturation is high. In addition to transferrin-bound iron, the liver can readily take up NTBI from plasma and iron contained within heme that is bound to the plasma protein hemopexin. Ferroportin expression is lower in hepatocytes than in enterocytes and macrophages, which may help explain why hepatocytes preferentially accumulate iron in most iron overload conditions; however, considerable amounts of iron are stored in macrophages with transfusional iron overload (Anderson and Frazer, 2005; Rivera et al., 2005). The increased uptake of iron by hepatocytes results in increased synthesis of apoferritin and formation of ferritin in cytoplasm and formation of hemosiderin in lysosomes. Although binding of iron molecules in these storage proteins minimizes the amount of "free" iron available to catalyze the formation of reactive oxygen species, oxidation of polyunsaturated phospholipids within organelles and cellular membranes and oxidative damage to proteins and DNA may still occur in conditions resulting in excess intracellular iron accumulation (Ramm and Ruddell, 2005; Rothman et al., 1992; Ryan and Aust, 1992). Hepatic stellate cells become activated into highly proliferative myofibroblast-like cells during conditions with excessive iron accumulation. This activation results in increased fibrogenesis and subsequently cirrhosis within the liver in conditions with chronic iron accumulation (Ramm and Ruddell, 2005). Other sites that may accumulate iron, depending on the cause of iron overload, include pancreas, heart, kidneys, and endocrine organs (House et al., 1994; Lowenstine and Munson, 1999).

Serum iron and serum ferritin concentrations are increased in animals with iron overload, but both can be increased in other conditions (see serum iron section). Consequently, a liver biopsy is needed to definitively diagnose iron overload (Lowenstine and Munson, 1999). Excessive accumulation of iron within hepatocytes can be identified by the staining of hepatocytes using an iron stain (Perl's Prussian blue) and quantified using flame atomic absorption (Sprague et al., 2003).

1. A & T x

NTBI in plasma may play a significant role in the pathogenesis of tissue injury in severely iron-overloaded

individuals, especially in individuals with acute iron toxicity (Koury and Ponka, 2004). The amount of iron taken up by hepatocytes and other cells in acute iron toxicity far exceeds the ability of these cells to increase apoferritin synthesis as a protective response. Most fatal cases of acute iron toxicity in animals have resulted from the parenteral administration of large doses of iron, and animals with hypovitaminosis E appear to be especially susceptible (Arnbjerg, 1981). Acute iron toxicity from parenteral iron administration in calves and goats resulted in clinical findings including central nervous system signs, vocalization, respiratory distress, icterus, and death. Pulmonary edema, hemorrhages on serosal surfaces, and hepatic necrosis were documented at necropsy (Ruhr et al., 1983). The oral consumption of large amounts of iron can also result in central nervous system signs, hemorrhage, icterus, and pulmonary edema, but clinical findings can also include vomiting, bloody diarrhea, and subsequently, dehydration, metabolic acidosis, and hypovolemic shock. Histological findings associated with oral iron toxicity include small intestine ulceration, hemorrhage, and necrosis; pulmonary edema and hemorrhage; and degenerative changes in kidneys and liver (Arnbjerg, 1981). Newborn foals exhibited hepatotoxicity following the oral administration of a digestive paste containing ferrous fumarate iron at a concentration much lower than is required for acute iron toxicity in adult animals of various species (Mullaney and Brown, 1988). This liver injury may have resulted from increased NTBI uptake by the liver because serum transferrin is highly saturated at birth in foals (Harvey et al., 1987a). In addition, iron absorption may be higher in neonatal foals as reported in neonatal piglets and rats (Mullaney and Brown, 1988). Cirrhosis is not a feature of acute iron toxicity except in animals that survive long enough for fibrosis to develop (Mullaney and Brown, 1988).

2. H 4 H 4

The term hemochromatosis refers to the accumulation of iron in parenchymal cells, resulting in organ injury and dysfunction. Accumulation of iron within cells (typically macrophages), without evidence of organ dysfunction, is termed hemosiderosis. Localized iron overload occurs in macrophages in areas where hemorrhage has occurred. Systemic iron deposition may occur in a variety of organs, but it is especially prominent in hepatocytes (Lowenstine and Munson, 1999). The accumulation of large amounts of iron in hepatocytes results in hepatocellular injury, increased fibrogenesis, and liver failure in severe cases. Hemochromatosis is classified as primary, secondary, or idiopathic. Primary hemochromatosis refers to inherited disorders of iron metabolism resulting in hemochromatosis. Secondary hemochromatosis refers to disorders in which iron accumulation occurs secondary to other disorders, including excessive dietary iron, unnecessary parenteral

iron supplementation, chronic hemolytic anemia, repeated blood transfusions, ineffective erythropoiesis, and possibly primary liver disease (Lowenstine and Munson, 1999). The etiology of idiopathic hemochromatosis by definition cannot be determined.

3. H x A

Intestinal iron absorption is increased in animals and humans with hemolytic anemia and compensatory increased erythropoiesis (Latunde-Dada *et al.*, 2006a). Hepcidin synthesis is down-regulated and DMT1, DcytB, and ferroportin are up-regulated during hemolysis in rats induced by phenylhydrazine (Latunde-Dada *et al.*, 2006a).

This increased iron absorption, even with high hepatic iron stores, documents the importance of erythroid drive over iron storage in the regulation of iron absorption (Latunde-Dada *et al.*, 2006a). Hemosiderin is typically increased in macrophages in animals with hemolytic anemia because of increased phagocytosis of erythrocytes. However, increased iron absorption associated with persistent regenerative anemia can also result in iron accumulation within hepatocytes.

Hemosiderosis, hemochromatosis, and fibrosis develop in the liver of erythrocyte pyruvate kinase-deficient dogs secondary to progressive iron overload (Searcy *et al.*, 1979; Weiden *et al.*, 1981; Zaucha *et al.*, 2001). Dogs with inherited pyruvate kinase deficiency also exhibit the progressive development of myelofibrosis and osteosclerosis, and it is proposed that the marrow fibrosis, like the cirrhosis, occurs in response to damage caused by iron overload (Zaucha *et al.*, 2001). Pyruvate kinase-deficient dogs generally die between 1 and 5 years of age because of bone marrow failure or liver failure (Harvey, 2006).

4. R B To

Long-term repeated blood transfusions commonly result in iron overload in human patients, but animals are rarely given sufficient transfusions for this to be a risk in veterinary medicine. Hemochromatosis, with subsequent liver failure, occurred in a dog given whole blood transfusions every 6 to 8 weeks for 3 years as treatment for pure red cell aplasia (Sprague *et al.*, 2003). Histological evaluation of the liver revealed hepatocellular degeneration, bridging portal fibrosis, lobular atrophy, biliary hyperplasia, and large amounts of hemosiderin in hepatocytes and mononuclear phagocytes. The repeated administration of large doses of parenteral iron to dogs in experimental studies over several years resulted in hepatic iron overload and cirrhosis (Lisboa, 1971).

5. D 4x 1 O 4

Some species of wild animals develop iron overload, and sometimes hemochromatosis, when housed in captivity. These animals include black rhinoceroses, lemurs, and birds (most notably mynahs and toucans) (Lowenstine and Munson, 1999; Paglia et al., 2001). Dietary iron overload may occur from increased amounts of iron in the diet or from an alteration in the diet that results in increased absorption of iron. Compounds including tannins and phytates in natural diets may chelate much of the dietary iron into insoluble complexes. When diets fed in captivity contain less of these inhibitory compounds, iron may be absorbed in excess of what is needed for hemoglobin synthesis and other metabolic functions. For example, black rhinoceroses are browsers in the wild with a diet rich in woody plants, but they are fed manufactured pellets and domestic forages in captivity that contain more bioavailable iron (Paglia et al., 2001). Kinetic studies comparing Fe⁺² absorption by enterocytes from mynahs and chickens revealed a three-fold higher V_{max} for mynahs, even though liver iron content was at least 10-fold higher in mynahs than chickens (Mete et al., 2003). This results from an overexpression of intestinal iron transporters DMT1 and ferroportin (Mete et al., 2005). Although mynahs can down-regulate iron uptake to some extent (Mete et al., 2001), this evolutionary adaption to their natural diet results in excessive iron absorption when they are fed a different diet in captivity.

6. H 4 4x H 4

Most types of hereditary hemochromatosis in humans are characterized by primary or secondary hepcidin deficiency. Primary hepcidin deficiency results from a mutation in the hepcidin gene. Secondary hepcidin deficiency occurs when there are mutations in the HFE gene, TfR2 gene, or hemojuvelin gene (Verga Falzacappa and Muckenthaler, 2005). Murine models of each of these defects have been created and each results in iron overload (Vaulont et al., 2005). The mechanisms by which the protein products of these genes control hepcidin synthesis remain to be defined. Iron also accumulates in humans with various mutations in ferroportin that result in resistance to down-regulation by hepcidin. Most patients have iron accumulation predominantly in hepatocytes, but some have iron accumulation predominantly in macrophages (Fleming et al., 2005; Wessling-Resnick, 2006).

Hemochromatosis has been described in related Salers cattle, and it is presumed to be inherited (House *et al.*, 1994; O'Toole *et al.*, 2001). Affected animals were young (about 2 years old or less) with a history of poor growth, weight loss, and poor hair coat. They had iron accumulations in liver, spleen, lymph nodes, kidney, brain, thyroid, and other glandular organs. Histopathology of the liver revealed marked accumulations of stainable-iron in hepatocytes, Kupffer cells, and arteriolar walls, with bridging periportal fibrosis. Affected cattle also have markedly increased iron content in bone, periosteal dysplasia, and osteopenia resulting in pathological fractures and tooth loss

(Norrdin *et al.*, 2004). The cause of this disorder in cattle is unknown, but the findings that BMPs can stimulate hepcidin expression (Truksa *et al.*, 2006), and that deficient BMP activity can result in osteopenia (Rosen, 2006), suggest that a BMP defect or a BMP signal transduction pathway defect should be investigated.

7. I H

Idiopathic hemochromatosis with hepatic cirrhosis and biliary hyperplasia has been described in three full-sized horses (14 years to 16 years old) and an 11-year-old racing pony (Lavoie and Teuscher, 1993; Pearson et al., 1994). Hepatocytes and Kupffer cells contained markedly increased stainable iron in all horses, and iron accumulations were noted outside the liver in tissues including the epithelium of large bile ducts, follicular epithelium of the thyroid gland, cortical tubules of the kidneys, and in the neurohypophysis in the pony (Lavoie and Teuscher, 1993). Iron can accumulate in the liver of animals with chronic liver disease; consequently, one might speculate that the full-sized horses had primary liver disease with secondary iron accumulation (Pearson et al., 1994; Schultheiss et al., 2002). However, iron accumulation secondary to chronic liver disease is reported to occur in Kupffer cells and macrophages, but not hepatocytes (Schultheiss et al., 2002). The widespread iron deposition in the pony is similar to that seen in people with hereditary hemochromatosis.

F. Siderotic Inclusions in Erythroid Cells

Anucleated erythrocytes containing siderotic inclusions are called siderocytes. Nucleated siderocytes have been called sideroblasts in human hematology, in which terminology used for erythrocyte precursors is generally different from that conventionally used in veterinary hematology (Bottomley, 2004). Siderotic inclusions in erythroid cells may consist of cytoplasmic ferritin aggregates or iron-loaded mitochondria. Ferritin aggregates can occur normally in nucleated erythroid cells, but the presence of iron-loaded mitochondria is a pathological finding (Cartwright and Deiss, 1975). Electron microscopy is used to definitively identify the nature of siderotic inclusions (Fresco, 1981; Hammond et al., 1969); however, the location of iron-positive inclusions in a ring around the nucleus of a nucleated siderocyte (termed ringed sideroblast in human hematology) strongly suggests the presence of iron-loaded mitochondria (Bottomley, 2004).

Except for iron deficiency, disorders in heme synthesis have the potential to cause excess iron accumulation in mitochondria (Beutler, 1995; Fairbanks and Beutler, 1995). Chronic copper deficiency, as a cause for the formation of siderotic inclusions, was discussed previously. Pyridoxine, vitamin B₆, is required for the first step in heme synthesis, and erythroid cells with iron-loaded mitochondria have been documented in pigs fed a pyridoxine-deficient diet

(Hammond *et al.*, 1969). Drugs or chemicals reported to cause siderocytes or nucleated siderocytes in dogs include chloramphenicol (Harvey *et al.*, 1985), lead, hydroxyzine, zinc (Harvey, 2001), and an oxazolidinone antibiotic (Lund and Brown, 1997).

Siderotic inclusions in erythroid cells have been recognized in some dogs and cats with myeloproliferative disorders (Blue *et al.*, 1988; Weiss and Lulich, 1999). Acquired dyserythropoiesis with siderocytes have been reported in dogs in which specific etiologies could not be determined, although some of these animals had inflammatory disorders (Canfield *et al.*, 1987; Weiss, 2005). Persistent siderotic inclusions have been recognized in microcytic hypochromic erythrocytes from an English bulldog. Erythrocytes also contained Heinz bodies and rare hemoglobin crystals (Harvey *et al.*, 2007). A congenital defect resulting in mitochondrial iron overload and secondary oxidant injury was suspected but not identified.

Congenital anemias with ringed nucleated siderocytes have been reported in humans but not in domestic animals (Bottomley, 2004, 2006). Inherited causes recognized in humans include defective eALAS, adenosine triphosphate-binding cassette 7 (ABC7) transporter, glutaredoxin 5, thiamine transporter, pseudouridine synthase I, and respiratory chain components (Bottomley, 2006; Camaschella *et al.*, 2007). Siderotic inclusions occur in erythroid cells of mice genetically altered to produce eALAS deficiency (Nakajima *et al.*, 2006) and mitochondrial superoxide dismutase 2 deficiency (Friedman *et al.*, 2004).

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Hemostasis

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(1) initial vasospasm, (2) platelet activation and plug formation, (3) assembly and activation of the coagulation cascade factors, (4) fibrin clot formation at the site of injury, and (5) dissolution of the clot and vascular repair. Each of these processes is described in more detail in the discussion that follows.

Numerous reviews are available that describe the hemostatic process in detail (Crawley et al., 2007; Hoffman and Monroe, 2007; Hopper and Bateman, 2005; Sere and Hackeng, 2003). The goals of this chapter are to review the comparative biochemistry of hemostasis and to describe how the dramatic variations in blood clotting in different species impact laboratory testing, response to therapeutic agents, downstream effects on other body systems, and the array of diseases that can occur.

I. INTRODUCTION

The maintenance of blood fluidity occurs through the process of finely balancing hemorrhage and clotting. Hemostasis, which is the process of arresting the escape of blood from the vascular system, is integral to survival in animals, is regulated by a series of orchestrated events, and is dependent on the vessels through which blood flows, as well as numerous proteins (coagulation factors, inhibitors, and fibrinolytic proteins) and cells (platelets, endothelial cells, and monocytes predominantly). Other systems in the body are also closely related to blood clotting and influenced by the activation of hemostasis such as the innate immune system (complement and phagocytes) and the inflammatory response (the kinin system and the cells important for hemostasis). The stepwise process that takes place to minimize blood loss and repair the injury includes

II. MECHANISMS OF HEMOSTASIS

A. Role of Vascular Endothelium

Endothelial cells that line the lumen of blood vessels are the principal components of the vessel wall that contribute to maintenance of the fluid state of blood during health and the formation of clots during vascular compromise. Besides serving as a direct barrier between blood and tissue, these cells are actively involved in the regulation of hemostasis, inflammation, host defense, and numerous metabolic reactions. The phenotype of endothelial cells varies throughout the body, so the importance of these functions in different parts of the vascular system also varies. The endothelium exhibits plasticity with respect to hemostasis in that it is the balance of pro- and antithrombotic mediators that determine whether normal blood flow, hemorrhage, or clot formation occurs (Table 10-1). Unregulated or widespread activation of endothelial cells can have drastic consequences, as observed with disseminated intravascular coagulation (DIC).

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TABLE 10-1	Antithrombotic and	Prothrombotic
Properties of	Endothelium	

Antithrombotic Properties	Prothrombotic Properties
Prostacyclin (PGI ₂)	Tissue factor release/expression
Nitric oxide	von Willebrand factor
Thrombom odulin	Plasminogen activator inhibitor-1 (PAI-1)
Tissue factor pathway inhibitor (TFPI)	Factor V
Heparan sulfate	Platelet activating factor
Tissue plasminogen activator	P-selectin expression

During homeostasis, endothelial cells promote an anticoagulant and anti-inflammatory state. This is facilitated by several factors including the production of prostacyclin (PGI₂), adenosine, and nitric oxide, which act together to inhibit the association of platelets with the endothelium and with other platelets (Becker et al., 2000). The expression of thrombomodulin on the lumenal surface binds any thrombin that may be formed and activates protein C to down-regulate the effects of any factor V (FV) and factor VIII (FVIII) that may be activated (see Section II.C.5.c). Tissue factor pathway inhibitor (TFPI) is synthesized by endothelial cells and is important for preventing co-localization of tissue factor with activated FVII (FVIIa), which would stimulate downstream production of thrombin and subsequently fibrin (see Section II.C.5.a). Tissue plasminogen activator (tPA) from endothelial cells activates plasmin, which results in lysis of any fibrin that is formed (see Section II.D.3). Proteoglycans such as heparin, heparan sulfate, and dermatan sulfate inhibit clotting factors and platelet aggregation. Finally, there is a relative lack of expression of adhesion molecules (e.g., P selectin) that would facilitate tethering of platelets to the endothelial surface (Becker et al., 2000).

The initial reaction to vessel injury is vasoconstriction. This is a transient effect that minimizes blood flow to the affected area and is mediated by an autonomic neurogenic reflex and vasoactive mediators including endothelin. Within minutes of vascular injury or activation, the anticoagulant effect can change to a procoagulant milieu with resultant clot formation. Several factors contribute to this alteration. The expression of TF on the endothelial cell luminal surface is usually limited, but if expression is enhanced, activation of the clotting mechanism can occur. At the same time, expression of thrombomodulin and heparan sulfate can be lost, removing an important inhibitor to fibrin clot formation. Release of von Willebrand factor

(vWF) from endothelial Wiebel-Palade bodies facilitates the binding of platelets to subendothelial collagen. This contributes to platelet activation and release of granule contents, facilitating platelet aggregation and plug formation (see Section II.B.3.a). Plasminogen activator inhibitor-1 is released, negating the activation of plasmin and thereby minimizing fibrinolysis (see Section II.D.4.a). Thromboxane A2 and platelet activating factor (PAF) are released, which encourage further platelet aggregation and activation. Endothelial cells also contain FV, which, when available, greatly amplifies thrombin formation. Additionally, there is locally enhanced expression of adhesion molecules such as P-selectin, which promote tethering of platelets to the endothelial surface. If the endothelial cell becomes apoptotic, the exposure of phosphatidylserine (PS) on the outer cell membrane leaflet can support the direct formation of thrombin because it can act as the phospholipid source for the prothrombinase complex (Becker et al., 2000).

Besides traumatic injury, endothelial dysfunction can occur in various disease states, which may have clinical consequences on a proper functioning hemostatic system. Examples include systemic inflammatory mediators such as tumor necrosis factor (TNF) and interleukin-1 (IL-1), various systemic viral infections, Gram-negative bacteria, rickettsial agents, and in people, cholesterol and oxidative lipoproteins as observed in atherogenesis (see Cullen *et al.*, 2005 for a thorough review on atherosclerosis). The outcome of endothelial dysfunction observed in these disease states includes local or disseminated formation of thrombi, vascular permeability causing accumulation of extravascular fluid, and petechiae or hemorrhage.

B. Platelets

Platelet plug formation involves a complex, interrelated sequence of events that overcome local resistance to platelet activation long enough to permit the cessation of bleeding. It is not possible to describe the biochemical events involved in platelet function, activation, and aggregation in a strictly temporal sequence because of the numerous positive feedback reactions, multiple agonists, and complementary intracellular pathways that function in a cooperative, coordinated fashion (Fig. 10-1). For simplicity, the process that results from activation, and leads to platelet plug formation, can be divided into three major events. The initiating response is the tethering of platelets to ligands exposed in the perturbed extracellular matrix (ECM) and subsequent formation of a platelet monolayer around the site of damage. The platelet plug grows when additional activated platelets accumulate on top of this monolayer in a complex series of reactions involving "outside-in" and "inside out" signaling (see Section II.B.2). These events involve platelet shape change, the release of granule contents, and the formation of plateletplatelet aggregates that initiate contact-dependent signaling

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that is present in unactivated endothelial cells and monocytes, as well as free in plasma (Monroe and Key, 2007). TF is recognized to contribute to many biological processes in addition to coagulation, which include thrombus propagation, migration and proliferation of vascular smooth muscle cells, development of embryonic blood vessels, tumor neovascularization and metastasis, and induction of the proinflammatory response (Monroe and Key, 2007). Following cellular activation by vascular trauma or an inflammatory stimulus, TF becomes exposed on the plasma membrane where it interacts with circulating FVII, or its activated form, FVIIa, to form the enzymatically reactive TF-FVIIa complex (Gentry, 2004). About 99% of FVII circulates in the inactive zymogen form (Monroe and Key, 2007). Cell-associated TF binds to either FVII or FVIIa in order to either promote FVII activation or enhance catalysis, respectively. TF and FVII/FVIIa bind together over a large area at multiple sites in a Ca⁺-dependent manner to form the binary complex. The stabilization of the structure of FVIIa is crucial for its procoagulant activity. Unique from tenase and prothrombinase complex formation, TF-FVIIa complex formation is less dependent on a phospholipid surface for expression of its procoagulant activity (Monroe and Key, 2007). This complex functions primarily to convert FX to its activated form, FXa, but it also activates circulating FIX to FIXa. It is this FXa formation that results in initial production of thrombin (see Section II.C.3).

b. Tenase Complex

Factor IX in plasma is proteolytically activated to the serine protease factor IXa by the TF-VIIa complex (see Section II.C.2.a) (see Fig. 10-2). FVIII normally circulates in a complex with von Willebrand factor (vWF), which effectively extends the plasma half-life of FVIII because it is protected from proteolytic degradation in the complex form (Gentry, 2004). Initial thrombin formation not only results in dissociation of FVIII from vWF, but it also converts it to a more potent cofactor, FVIIIa. Factor IXa and factor VIIIa then assemble on phosphatidyl-L-serine-containing phospholipid membranes in the presence of Ca²⁺ to form an enzymatic complex known as the tenase complex (Blostein et al., 2003). The tenase complex converts factor X to factor Xa, the enzyme that converts prothrombin to thrombin leading to the conversion of fibrinogen to fibrin and the formation of a fibrin clot. This tenase complex cleaves FX at the same reactive site as that cleaved by the TF-FVIIa complex and hence produces the same FXa product. Factor X activation by the tenase complex is the rate-limiting step for thrombin generation during tissue factor-dependent coagulation (Blostein et al., 2003).

c. Prothrombinase Complex

The generation of thrombin requires the formation of the prothrombinase complex, which consists of FXa, phospholipid, calcium, and a protein cofactor, FV (Gentry, 2004)

(see Fig. 10-2). The complex catalyzes two cleavages in prothrombin, at Arg320 (to produce meizothrombin) and at Arg271, leading to the formation of thrombin (Autin et al., 2006). The first few molecules of thrombin generated by this prothrombinase complex initiate several positive-feedback reactions that sustain its own formation and facilitate the rapid growth of the blood clot or thrombus around the area of vascular damage. For example, thrombin can convert FXI to its proteolytically active form, FXIa, which, in turn, converts FIX to FIXa. The thrombin-induced conversion of FV to FVa, along with the increased availability of FXa, greatly enhances the rate and extent of thrombin formation by the prothrombinase complex. This is a crucial reaction for normal blood coagulation. FXa alone can slowly activate PT, but the rate of thrombin formation is enhanced several orders of magnitude by the presence of FVa in the PTase complex (Autin et al., 2006). Another positive feedback response is the increased availability of phospholipids on the surface on thrombin-activated platelets that accumulate at sites of vascular damage (Gentry, 2004).

3. Mechanisms of Thrombin Formation

Minimal activation of coagulation proteins is needed to initiate further propagation and amplification of the cascade of reactions that ultimately leads to the formation of thrombin, which then converts fibrinogen to fibrin and forms the stable clot at a site of vascular injury (see Fig. 10-2). Each complex that participates in thrombin generation is simply composed of a serine protease interacting with a cofactor or receptor on an activated cell membrane surface (Mann, 2003). Coagulation is dependent on vitamin K because adequate concentration of this vitamin is required for activation of procoagulants, FVII, FIX, FX, prothrombin, and for anticoagulants, protein C, and protein S. The clinical consequences of antagonism or deficiency of vitamin K demonstrate how important it is to proper hemostasis (see Sections IV.B.5).

The release of tissue thromboplastin or TF from damaged cells or its enhanced expression on cell membranes initiates *in vivo* coagulation. This occurs when TF binds with and activates FVII to FVIIa (see Section II.C.2.a). The TF-FVIIa complex then has the ability to activate subsequent zymogens FIX and FX in the presence of calcium ions and a negatively charged phospholipid surface provided by activated platelets (see Section II.B.2), resulting in the formation of their respective activated forms, FIXa and FXa. The latter is the more efficient substrate in the early phase of thrombin generation. Once some Xa has been formed, it actually facilitates further activation of FIX (Lawson and Mann, 1991). The TF-FVIIa activation process has historically been referred to as the extrinsic coagulation pathway and is currently often referred to

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is a relatively poor activator of TAFI, but in the presence of TM its catalytic efficiency is increased over 1000-fold (Nesheim, 2003). This enhancement of TAFI activation is dependent on the formation of a ternary complex between thrombin-TAFI and the EGF 3 through EGF 6 domains of the TM molecule. Like the thrombin-TM-mediated activation of PC, the activation of TAFI is calcium dependent (Bajzar *et al.*, 1995). Plasmin is also a physiological activator of TAFI with a catalytic efficiency eight times that of thrombin. However, the ability of plasmin to activate TAFI is reduced to only one-tenth that of thrombin-TM in the presence of glycosaminoglycans, such as those found in the extracellular matrix (Mao *et al.*, 1999).

Regulation of Plasminogen Activation and Plasmin Activity

Although it is important that fibrinolysis occurs after wound healing has been initiated, it is equally important that the degradation of the clot not occur prematurely. This is achieved because, in the initial stages of thrombus formation, the thrombin-induced release of tPA from endothelial cells is effectively opposed by the localized activation of TAFI. Through its affinity for and its ability to modify partially degraded fibrin, TAFIa functions to block the ability of tPA to activate plasminogen (Fig. 10-3). Following partial degradation by plasmin, the newly exposed lysine residues of fibrin bind both tPA and plasmin with high affinity promoting fibrinolysis (see Section II.D.3). TAFIa catalyzes the release of both arginine and lysine residues from the partially degraded fibrin thus reducing both tPA and Glu-plasminogen binding, which has the effect of reducing plasmin formation. The reduced binding of Gluplasminogen to TAFIa modified fibrin has been correlated with an increase in clot lysis time (Sakharov et al., 1997). Both TAFI and TAFIa are cross-linked to fibrin by FXIIIa, which localizes these molecules to the fibrin clot. TAFIa is an unstable molecule, which decays rapidly at 37°C such that its half-life is approximately 8 min (Nesheim, 2003). To date no physiological inhibitor of TAFI has been identified (Bajzar, 2000).

PAI-1 is the major inhibitor of both tPA and uPA (Tsikouris *et al.*, 2002). It inhibits plasminogen activation by first forming a reversible complex with tPA that then cleaves PAI-1 at its reactive site to release the C-terminal peptide of the inhibitor. Further proteolytic activity of tPA is blocked because the carboxyl group of one of the cleaved PAI-1 arginine residues remains covalently bound to a serine residue at the reactive center of tPA (Dobrovolsky and Titaeva, 2002). Because of the relatively high levels of PAI-1 in the circulation, most of the tPA released by endothelial cells is inactivated before it can interact with plasminogen. Hence, in the absence of fibrin plasminogen is only slowly converted to plasmin. Once tPA becomes bound to fibrin, it

is protected from the inhibitory effects of PAI-1 and plasmin formation can proceed rapidly.

Antiplasmin is the major physiological inhibitor of plasmin irrespective of whether the plasmin is bound to fibrin or is free in the circulation. In plasma, the reaction between AP and plasmin is rapid and the formation of a stable 1:1 bimolecular complex between the two molecules results in the irreversible inactivation of plasmin (Darien, 2000b). Because AP is partially degraded by plasmin, other circulating protease inhibitors (Table 10-6) can act as plasmin inhibitors, particularly when the capacity of AP is exceeded by high concentrations of free plasmin. These reactions are important in preventing systemic lytic states from developing such as occurs in certain disease states (see Section IV.B.4). When fibrin is formed, it binds plasminogen and AP in equimolar amounts. AP is bound via its N-terminal portion, which leaves the C-terminal portion free to bind to one of the kringle domains of the plasmin molecules that form in response to tPA activity (Coughlin, 2005a). This modulates plasmin such that the proteolytic cleavages do not initially destroy the fibrin network but rather open new affinity binding sites for additional tPA and plasminogen. As this first phase of fibrinolysis proceeds, more plasmin is generated on the partially degraded fibrin, which can induce the second phase that produces final degradation of the fibrin meshwork. The existence of the two sequential phases of fibrin degradation may be one of the mechanisms that provide temporary stability of fibrin clots at sites of vascular damage (Dobrovolsky and Titaeva, 2002).

III. LABORATORY ASSESSMENT OF HEMOSTASIS

A. Quality Control and Reagent Variation

The common laboratory assays available to assess the integrity of the hemostatic system can be divided into those assessing primary hemostasis (platelet quantity and function) and those assessing secondary hemostasis (clotting factor activity and fibrin formation and dissolution).

Proper collection of plasma that is free from clots and activated platelets is very important for all hemostasis testing. To obtain the best sample, minimal pressure should be placed on the vein and a single clean venipuncture performed, to avoid release of excessive TF, which would activate the clotting mechanism, consume coagulation factors, and therefore artifactually prolong the clotting times. The stopper should be removed from the Vacutainer and blood slowly expelled from the syringe into the tube because excessive vacuum turbulence may activate platelets. Ideally, the first few drops of blood collected should be discarded because they most likely would contain some TF. The blood should be gently mixed with the citrate, to ensure anticoagulation, but not too aggressively mixed so as to activate hemostasis.

There is controversy whether a citrated plasma sample obtained directly from a peripheral catheter is suitable for hemostatic testing. Advocates of this technique argue that the ability to get the sample from a previously placed access port minimizes patient discomfort, allows for more accurate volume extraction, and ensures no contamination with tissue factor. If this approach is used, the catheter should be flushed with saline, and then blood volume equal to six times the catheter dead space should be removed and discarded before collecting the sample for analysis (Arkin et al., 1998). A study by Mills et al. (1995) documented that this procedure did not result in significant differences compared with direct venipuncture in healthy dogs.

Several reports have compared the effect on various hemostatic parameters with respect to the concentration of citrate used and the volume of blood to citrate obtained. Historically, 3.8% sodium citrate tubes only were available, but recently 3.2% sodium citrate tubes have become the standard in Europe and are now available in the United States and elsewhere (Morales *et al.*, 2007). One study determined that for some coagulation assays there can be significant differences between the two types of tubes (Stokol *et al.*, 2000a), whereas another more recent study (Morales *et al.*, 2007) suggests that there is no difference in any common coagulation assay between the two concentrations. It is recommended that each laboratory specify the citrate concentration preferred and develop reference intervals based on results from samples collected in the defined manner.

The time a sample is stored before assessment may also have an impact on the test result. Most often samples are analyzed relatively quickly (i.e., within a few hours or at least the same day), but there may be an occasion when a sample cannot be assessed until the following day or two, or, depending on the assay, samples may be stored until sufficient numbers are collected and then run as a batch. Although longer than generally considered acceptable, a recent paper (Furlanello et al., 2006) found that samples could be kept at room temperature for up to 48 h without any significant change from immediate analysis, whereas storage at either room temperature or refrigeration was not recommended beyond 48 to 72h. The typical recommendation is to perform assays within 4h of sampling, after centrifuging and removal of plasma or to freeze the sample until analysis can be performed. Plasma samples can be safely stored at -70° C for up to 6 months with minimal change in results except for the aPTT (Bateman et al., 1999a; Iazbik et al., 2001).

B. Testing of Hemostasis

1. Assessment of Platelet Quantity

a. Platelet Concentration and Volume

Platelets can be enumerated from either an EDTA or sodium citrate-anticoagulated blood sample. Heparinized

blood is not suitable as marked platelet clumping often occurs. Assessment is best performed within 4 to 5h, but the count may remain relatively stable for up to 24 to 48h if the sample is refrigerated (Stockham and Scott, 2002).

Manual or automated methods can be used for platelet quantity assessment. Manual methods include estimation of platelet quantity from a stained blood smear and hemacytometer chamber counting. Most automated hematology analyzers using impedance or light scattering technology can also enumerate platelets.

Platelet clumping must be avoided for any platelet quantification method, or underestimation of the platelet count will occur. This can happen in any species with traumatic sampling and tends to occur most frequently in cats and cattle. Therefore, it is critical that a peripheral blood smear be examined for the presence of clumps; this also provides an opportunity to ensure appropriate cell morphology and to perform an estimate of platelet numbers as a cross-check of an automated determination.

The mean platelet volume (MPV) is frequently determined by automated analyzers and reflects the average volume of all cells that are enumerated as platelets. For an accurate determination, platelet clumping needs to be avoided, and the cells should be unactivated and circular in shape without pseudopod formation. Variables such as time to analysis, storage temperature, and anticoagulant used can all impact MPV assessment. Generally, there tends to be an inverse relationship between platelet concentration and MPV; the value is higher when platelet concentration is low, and it is lower when numerous platelets are present. This has been documented in healthy cats (Weiser and Kociba, 1984.) An increase in MPV is a favorable finding in an animal with thrombocytopenia, as it is usually a reflection of active thrombopoiesis. Excessively large platelets are often observed in Cavalier King Charles spaniels, but the corresponding platelet concentration also tends to be lower, making overall platelet mass similar to other breeds (Smedile et al., 1997).

b. Clot Retraction

Retraction of a blood clot depends on platelets, so defects in platelet quantity or quality will prolong the time required for whole blood to clot in a tube. Measurements of clot retraction are available, but this test is limited by subjectivity and is not often performed.

c. Buccal Mucosal Bleeding Time

Although typically assessed as an indicator of platelet function, a sufficient quantity of platelets also needs to be present to form a platelet plug in a standardized mucosal incision. Once thrombocytopenia is present, the BMBT may be prolonged simply from lack of platelets. See Section III.B.2.a for further details.

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2. Assessment of Platelet Quality

a. Buccal Mucosal Bleeding Time

The BMBT is an *in vivo* test of platelet function, which also depends on adequate platelet concentration and properly functioning endothelium. The incision is small enough that secondary hemostasis is not required to stop blood flow; formation of a platelet plug alone will stop the bleeding. A standardized incision using a commercially available device is made on the buccal mucosa of the lip and the time to clotting recorded in seconds or minutes. Time to clotting can vary substantially within and between observers, making this a less than ideal test, but most healthy dogs clot in less than 4 min (Sato et al., 2000). It is, however, useful as a quick and inexpensive screening test for congenital or acquired thrombopathia, which can be followed up by more specific testing if the result is prolonged. Skin and toenail bleeding time tests have also been described but are even less standardized than the BMBT and are not recommended.

b. Platelet Aggregometry

The propensity of platelets to bind to each other in the presence of certain agonistic substances can be exploited as a method to determine appropriate platelet function. Platelet aggregometers trace the optical density of a standardized platelet preparation after the addition of an aggregating substance such as ADP, epinephrine, or collagen. There are marked species differences with respect to concentration and type of agonist required to promote aggregation. Platelet aggregation is technically demanding, time consuming, and therefore generally limited to specialized or research laboratories.

c. PFA-100

The Platelet Function Analyzer-100 (Bayer) has revolutionized assessment of platelet function in human medicine. The ability to quickly assess aperture closure time in a whole blood sample in a clinical setting has eliminated all the limitations of time-consuming and technically demanding platelet aggregometry. The PFA-100 simulates high shear flow blood vessel conditions and, with the addition of either epinephrine or ADP as an agonist on a collagen membrane, determines how quickly a platelet plug can close an aperture and arrest blood flow.

The method has been evaluated for use in the dog (Couto *et al.*, 2007), and the collagen/ADP cartridge has been found to be useful to screen for platelet dysfunction in von Willebrand disease (Mischke and Keidel, 2003), NSAID administration (Gaal *et al.*, 2007; Neilsen *et al.*, 2007), and during endotoxemia (Yilmaz *et al.*, 2005).

There are single reports evaluating the instrument for horses where it was determined it was sensitive enough to detect decreased platelet function related to ASA administration (Segura *et al.*, 2005) and in a pig model of severe hemorrhagic shock (Arnaud *et al.*, 2006).

3. Screening Tests of Hemostasis

a. OSPT

The one-stage prothrombin time (OSPT) assesses the plasma activity of prothrombin, FV, FVII, and FX after addition of calcium and activator known as tissue thromboplastin. The test therefore gives information about the tissue factor (extrinsic) pathway and the common pathway. Different sources of thromboplastin will result in different clotting times, and although the use of homologous tissue is ideal, human source reagents can be used effectively (Hall, 1970; Mischke and Nolte, 1997; Mischke et al., 2003). Clotting times tend to be rapid in domestic animals, often less than 10sec, which reduces the sensitivity of the assay for detecting minor abnormalities. Dilution of reagents has been advocated as a way to extend clotting times to 10 to 15sec.

As a screening test, the OSPT is not sensitive to minor coagulation abnormalities, and it generally takes loss of >70% activity of one or more factors to prolong the clotting time. Modification of the test to assess individual factor activity can be achieved using specific factor deficient plasma, and several assays have been shown to have similar ability to detect factor deficiencies (Mischke, 2002). The effects of common interferences (lipid, hemoglobin, bilirubin) on the PT assay have been studied (Moreno and Ginel, 1999). These authors found that bilirubin caused a statistically significant prolongation of the OSPT but that clinical significance associated with this prolongation was unlikely. Point-of-care analyzers are now available that can quickly perform the OSPT at the animal's cage side and have been evaluated in dogs (Tseng et al., 2001).

The International Normalized Ratio (INR) is frequently used in place of the OSPT in human medicine for monitoring people on warfarin anticoagulant therapy because different thromboplastins have variable sensitivities to warfarin-induced changes in factor activity (Stockham and Scott, 2002). The International Sensitivity Index (ISI), which is specific for an individual reagent, is incorporated into the INR calculation and is meant to correct for reagent variation. ISI values are not widely available for domestic animal species, so the INR is not frequently used in veterinary medicine.

b. aPTT

The activated partial thromboplastin time (aPTT) assay detects fibrin clot formation after addition of an activating agent, partial thromboplastin (phospholipids that lack TF), and recalcification of plasma. It assesses the activity of prothrombin, FV, FVIII, FIX, FX, FXI, and FXII, therefore providing information about the integrity of the contact activation (intrinsic) and common pathways (see Section II.C.3). Activating agents include substances such as kaolin and ellagic acid, and clotting times can vary between species

and depending on the specific activators used. Pooled plasma from a similar species is required as a control to avoid interpretive errors. There can be significant variations in the assay method and reagents used, so it is crucial that each laboratory define its own species-specific reference interval (Evans and Flynn, 1992; Johnstone, 1984). Similar to the OSPT, the test is relatively insensitive, requiring loss of activity of 70% of at least one factor before prolongation of the clotting time. Common interferences (lipid, hemoglobin, bilirubin) may interfere with an optical method and provide an erroneous result. Hemoconcentration was demonstrated to prolong aPTT in one study, emphasizing the importance of the blood-anticoagulant ratio for accurate testing (O'Brien et al., 1995).

The aPTT can additionally be used to monitor cats and dogs receiving heparin therapy (Greene and Meriwether, 1982; Mischke, 2003). The assay can be modified to detect specific factor deficiencies (Deniz *et al.*, 1995; Mischke, 2000).

c. ACT

The activated clotting time (ACT) is similar to the aPTT in that it provides information about the same portions of the clotting mechanism (intrinsic or common pathways), but it is a less sensitive test. It is a point-of-care test performed on whole blood, where a compound in the tube, typically siliceous earth, activates the contact (intrinsic) pathway (Stockham and Scott, 2002). It depends on endogenous platelets in the sample as the phospholipid source for clotting. Because of this, although not well documented, severe thrombocytopenia may result in a prolonged ACT. It is used primarily as a screening test for coagulopathies, but it requires loss of greater than 95% loss of activity of one or more factors before it is prolonged, so disease is often advanced by the time the ACT is abnormal. The ACT is the time in seconds to first visible clot formation while gently inverting the tube after initial incubation for 60sec at 37°C either in a heating block or in a human axilla. The ACT is used in humans and sometimes in animal patients to monitor therapeutic heparinization.

Reference values have been published for cats (Bay et al., 2000), but each facility should follow a standard protocol and ideally define its own reference interval. Automated ACT methods are available on some point-of-care instruments that offer OSPT and aPTT, but they are not exactly the same as the tube ACT (phospholipid is added) and provide no advantage over an automated aPTT (Tseng et al., 2001).

d. TCT

The thrombin clotting time (or simply thrombin time) assesses the ability of thrombin to convert fibrinogen to fibrin, so it is abnormal when there are quantitative or qualitative abnormalities in fibrinogen. Variations of the test are available, so a reference interval specific for the

laboratory and species should be developed (Mischke and Jacobs, 2001). The Clauss method is specifically used to derive a concentration of fibrinogen in a plasma sample (see Section III.B.5.b).

4. Assessment of Fibrinolysis

a. FDPs

Fibrin-fibrinogen degradation product (FDP) assays measure the breakdown split products of either fibrinogen or fibrin, and increased values indicate enhanced fibrinogenolysis or fibrinolysis. Elevated FDPs are used to help confirm the presence of DIC (see Section IV.B.4). Several human assays are available, including both serum and plasma-based assays, but the plasma latex agglutination test appears more sensitive for detecting DIC in dogs (Boisvert *et al.*, 2001; Stokol *et al.*, 1999). FDP assays have also been used in cats and horses.

b. D-Dimer

D-dimers and x-oligomers are small fragments created when fibrinolysis occurs. They are products of fibrin degradation, not fibrinogen degradation, so are more specific for enhanced fibrinolysis. There are currently no available animal-specific assays, but there appears to be sufficient cross-reactivity between human and canine proteins as some human-basedsemiquantitative immunological latex agglutination methods have been used in canine patients (Griffin *et al.*, 2003; Nelson and Andreasen, 2003; Stokol, 2003; Stokol *et al.*, 2000b). D-dimers have been assessed in horses, predominantly in an attempt to document DIC related to colic (Dallap *et al.*, 2003; Heidmann *et al.*, 2005; Monreal, 2003; Sandholm *et al.*, 1995).

5. Assessment of Specific Hemostasis Proteins

a. Prothrombin, FV, FVII, FVIII, FIX, FX, FXI, FXII

Specific factor activity is traditionally assessed using coagulometric methods. Depending on the factor, modifications of the OPST (for extrinsic or common pathway factors) or the APTT (for intrinsic factors) are used where the activity of the factor in patient plasma is measured in a sample mixed with plasma known to be deficient in the factor. If the patient sample is deficient in the factor in question, the OSPT or APTT, as appropriate, does not correct (Stockham and Scott, 2002). The activity of the factor in the patient's plasma is derived from a standard curve created from serial dilutions of pooled homologous plasma from clinically healthy animals that has been added to the factor-deficient plasma. Factor-deficient plasmas for domestic animal species are not generally available, so methods must be validated using human reagents.

Chromogenic methods are now available and used quite extensively in human medicine. However, the expense, the 310 Chapter I 10 Hemostasis

necessity to use a 96-well plate, and the limited shelf life of reagents once the kit is opened limit extensive use of this methodology for veterinary medicine unless samples can be batched.

b. Fibrinogen

For the heat precipitation method, EDTA-anticoagulated blood is centrifuged in a microhematocrit tube and heated for 3 to 9 min at 56°C, and then the amount of precipitate formed is quantified as a measure of fibrinogen. This method is useful only as a crude estimate of an increased concentration of fibrinogen and is no longer routinely used.

Derivation of fibrinogen concentration from the Clauss thrombin clotting time (Stockham and Scott, 2002) is more often performed at veterinary labs, because routine fibrin-based clotting assays are usually offered. Fibrinogen concentration is inversely proportional to the time it takes for thrombin to form fibrin from fibrinogen, so it depends on the amount of fibrinogen present when excessive thrombin is used to negate the effects of any inhibitors such as heparin or fibrin degradation products (Stockham and Scott, 2002). The time to clot formation is recorded in seconds and then converted to mg/dl or g/l based on a standard curve. A fibrinogen antigen assay is available but is not a functional test. Therefore, if the antigen assay is not decreased but the TCT is abnormal, dysfibrinogenemia is likely.

c. von Willebrand Factor

Assessment of von Willebrand factor (vWF) protein is most commonly determined by detecting antigen concentration in plasma, but additional tests are available if more detailed information is needed to manage the animal. See Section IV.A.1.b for more details.

d. Antithrombin

As an indicator of anticoagulant status, there are both functional and antigenic assays for the detection of antithrombin (AT) in plasma. Functional assays can be either thrombin or FXa based, where the more AT there is, the less activity of either of these enzymes there will be. A standard curve from homologous pooled plasma must be prepared to determine the test plasma activity. Both manual and automated methods are available. The activity of AT is stable, and in one study values remained relatively unchanged after 6 months of storage of plasma at -70°C and after 6 weeks of storage of whole blood at 4°C (Green, 1988). The same study suggested that FXa-based assays may be more appropriate because heparin cofactor II activity may be measured in some thrombin-based assays and falsely elevate the AT measurement. Hemoglobin in the sample may interfere with the ability to accurately determine plasma AT activity in some chromogenic assays (van der Merwe and Reyers, 2007).

C. Additional Assays

Several additional assays of activation of coagulation and fibrinolysis have been examined on a limited basis in veterinary medicine (Roncales and Sancho, 2000). Most of these tests are used widely in human medicine but need more thorough evaluation and standardization before being offered as routine tests for domestic animals. Some of the proteins or complexes tested include activated clotting factors such as FVIIa and FVIIa, activation peptides such as fibrinopeptides A and B, and indicators of fibrinolysis such as plasmin-antiplasmin complexes and PAI-1. Thrombin-antithrombin complexes (TAT) have been measured as an indicator of coagulation activation in dogs with malignant neoplasia (Maruyama *et al.*, 2005) and horses with colic (Topper and Prasse, 1996).

The Russell viper venom time (RVVT) test is an additional assay used occasionally to provide information about common pathway abnormalities (Stockham and Scott, 2002). The time to clot formation depends on activation of FX by the venom of a Russell's viper. This test is not routinely used.

Proteins induced by vitamin K antagonism or absence (PIVKA) are inactive vitamin K-dependent clotting factors produced by hepatocytes when this important cofactor is unavailable (see Section IV.B.5). The Thrombotest PT is a modification of the prothrombin time that is sensitive, but not entirely specific, to the presence of these abnormal proteins (Center *et al.*, 2000).

Thromboelastography (TEG) provides a global assessment of hemostasis and specifically provides general information about the rate of fibrin polymerization and overall clot strength. Analysis of the TEG tracing can give a summary of platelet function, coagulation proteins, and fibrinolysis (Luddington, 2005). TEG has traditionally been used in human medicine to facilitate blood product use decisions in patients undergoing liver transplant or cardiac surgery. Its use has been minimally evaluated in veterinary medicine (Donahue and Otto, 2005). One study used TEG to document hypercoagulability in dogs with parvoviral enteritis (Otto *et al.*, 2000). Routine use of TEG suffers from lack of standardization, although a group recently developed TEG values for clinically healthy dogs (Wiinberg *et al.*, 2005).

IV. DISORDERS OF HEMOSTASIS

A. Hereditary Disorders

1. von Willebrand Disease

Although von Willebrand disease (vWD) is the most common inherited bleeding disorder in both humans and dogs, it has also been reported in swine and cats (Denis and Wagner, 1999; Thomas, 1996). Human vWD was described initially

	Type I	Type II	Type III
vWF Concentration ^a	Decreased	Decreased	Undetectable
vWF Structure ^a	Normal	Decrease in large multimers	Undetectable
Breed Predilection ^a	Doberman pinschers Airedales Dachshunds German shepherds Shetland sheepdogs Several other breeds	German shorthaired and wirehaired pointers only	Chesapeake Bay retrieve Dutch kooiker Scottish terrier Shetland sheepdog
Inheritance Pattern	Autosomal dominant	Appears autosomal recessive	Autosomal recessive
Hemorrhage	Variable	Severe	Severe

in the 1920s by Erik von Willebrand, and since then it has been classified into three distinct variants (Ewenstein, 1997). Two are quantitative deficiencies (types I and III), with a decrease in circulating vWF, and one is a qualitative defect (type II) (Ewenstein, 1997). Human type II vWD is further divided into four subtypes (A, B, M, and N) (Ewenstein, 1997). The first incidence of vWD in dogs was reported in 1970 in a family of German shepherd dogs (Denis and Wagner, 1999). Since then, as in humans, three types of vWD have been identified and are summarized in Table 10-7. Whereas types I and III vWD share phenotypic characteristics with the respective human types, the qualitative defect (type II) is characterized by a decrease in high-molecular-weight vWF multimers without further subclassification. Although modes of inheritance have been identified, the actual genetic defect is unknown for many of the affected breeds (Denis and Wagner, 1999).

Inheritance of type I vWD is autosomal dominant with incomplete penetrance. A dominant trait requires only one copy of the gene to produce abnormalities. Therefore, clinical signs can be identical for homozygotes and heterozygotes (Brooks, 2000). Hemorrhage in type I vWD can vary from mild to severe, and even dogs with comparable concentrations of vWF can show a range of clinical signs (Brooks, 2000). Manifestations of type I vWD are due to a proportional decrease in all multimer sizes (Brooks, 1999). It has been reported that in Doberman pinschers there is a decrease in vWF mRNA as well as a reduced release of vWF from endothelial cells, suggesting that the disease is a result of defective expression of the gene (Denis and Wagner, 1999). However, immunofluorescent studies have shown that there is no detectable difference in the localization of vWF:Ag or the fluorescence intensity in endothelial cells from control dogs and type I vWD-positive Doberman pinschers (Meyers et al., 1990b). As of 1981, the reported prevalence of vWD in Dobermans in North America was 63% (Dodds *et al.*, 1981). Although the Doberman pinscher is the most well-known breed associated with type I vWD, the condition is also commonly found in a variety of other breeds including Airedale terriers, dachshunds, Pembroke Welsh Corgis, and German shepherd dogs.

Type II disease is rare in dogs and has been reported only in German shorthaired and wirehaired pointers (Brooks, 2000). Disease manifestation is due to lack of high-molecularweight multimers of vWF and tends to produce recurrent severe hemorrhage. Generally, one or more episodes of bleeding occur by the time the animal is 1 year old (Brooks, 2000). In one study, a particular family line of German shorthaired pointers, in which some members were positive for type II vWD, was examined and it was found that none of the affected dogs had a vWF:Ag concentration higher than 68% (Kramer et al., 2004). Persistent spontaneous bleeding episodes in this family of dogs was confined to homozygotes, dogs with vWF:Ag concentrations of less than 10%, or both (Kramer et al., 2004). The pattern of inheritance in this family line appeared consistent with an autosomal recessive trait. This study was successful in identifying a consistent single nucleotide defect within the sequence for the vWF A2 domain of the affected dogs and an identical defect in German wirehaired pointers (Kramer et al., 2004).

Similar to type I disease, the type III phenotype is dependant on the level of vWF:Ag present. This manifestation of vWD is autosomal recessive. Homozygotes have no measurable circulating factor and are clinically affected, whereas heterozygotes exhibit low levels of vWF and clinically appear normal (Brooks, 2000). FVIII activity tends to vary between 15% to 50% in type III patients (Denis and Wagner, 1999).

a. Hemorrhagic Tendencies

In type I vWD, it is not uncommon for animals to present without a bleeding history, in contrast to type II and III

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disease where bleeding generally occurs during the first year of life. The ability of vWF to mediate platelet adherence and aggregation is a key component in primary hemostasis (see Section II.B.3.a). In the absence, or dysfunction, of vWF in high-flow conditions, the inability of platelets to successfully bind collagen and form aggregates can resemble a primary platelet disorder. As such, thrombocytopenias, thrombocytopathias, and vWD can present in a similar fashion; however, petechiae can be a distinguishing feature. In contrast to platelet disorders, petechiae are not a feature of vWD, and to the authors' knowledge, the reason for this has not been explained in the literature. When the interaction between vWF and platelets is examined, the importance of hemorrheology quickly becomes evident. The role of vWF is most important in platelet adherence in high blood flow environments. As mentioned previously (see Section II.B.3.a), the platelets are able to bind collagen through the GP VI and GP Ia-IIa receptors independent of vWF in areas of low blood flow. Capillaries are sites of low blood flow, and therefore, during normal wear and tear, platelets are able to occlude small vessel defects without assistance from vWF. Therefore, a decreased concentration or function of vWF would not be reflected as a defect in this process. However, a decrease in platelet number or function would prevent occlusion of these vessel defects and result in petechiae.

Bleeding in vWD-positive dogs generally involves hemorrhage from mucosal surfaces and excessive hemorrhage after surgery or trauma (Thomas, 1996). Epistaxis, hematuria, gastrointestinal hemorrhage, prolonged estral bleeding, and gingival bleeding at tooth eruption are some of the more commonly reported occurrences (Brooks, 2000). In some dogs, rebleeding from incised tissues has been observed as long as 24h after a surgical procedure (Brooks, 1992). In general, animals that tend to be more likely to hemorrhage have vWF concentrations below 20%; however, the concentration of vWF:Ag does not accurately predict the risk of hemorrhage (Brooks, 2000; Thomas, 1996). Multiple factors, beyond the concentration of vWF, can influence an animal's likelihood to bleed. The type of tissue affected can contribute to the level of hemorrhage. For example, the oronasal cavity and urinary tract are areas that are high in fibrinolysins and therefore tend to produce a more severe bleed (Brooks, 2000). Concurrent platelet dysfunction can also increase the likelihood that a vWD patient will experience hemorrhage. Therefore, in conditions that can influence platelet function (e.g., uremia, hypoproteinemia, anemia, and liver disease), an increased possibility of hemorrhage should be considered (Brooks, 2000).

b. Diagnosis

Determination of both vWF concentration and function is important in the diagnosis of vWD, as no single test is comprehensive enough to detect all of the variants (Favaloro *et al.*, 1999; Paczuski, 2002). The current gold standard is the vWF antigen concentration (vWF:Ag)

test. Reported ranges include normal (>70%), abnormal (<50%), and indeterminate values that comprise the difference (Thomas, 1996). This test can identify some of the dogs with types I and III vWD, but it can leave some diagnoses indeterminate. In addition, this test is not effective for identifying type II disease as this is a qualitative rather than a quantitative deficiency. Type I disease can also be problematic to diagnose because of extragenic influences that can temporarily increase vWF into the normal range, as discussed later in the chapter (Ewenstein, 1997).

In 1986 the use of a collagen binding assay (CBA) as an alternate to ristocetin-induced platelet aggregation assays (vWF:RCof) was proposed (Paczuski, 2002). This assay measures the quantity of vWF bound to immobilized collagen in a procedure similar to that of an ELISA (Paczuski, 2002). The coefficient of variation for this test has been reported as low as 4.4% (Callan et al., 2005). When compared to the vWF:RCof, the CBA was superior in its detection of type II vWD and had decreased assay variability (interassay and interlaboratory) (Favaloro, 2000). Collagen has been shown to bind vWF with a preference for the high-molecular-weight forms, and therefore the CBA can be used to assess the relative proportion of large vWF multimers (Brown and Bosak, 1986; Duggan et al., 1987). In vWD patients the collagen binding activity is significantly decreased compared to control patients (Paczuski, 2002). In types I and III vWD, the decrease in function of vWF parallels the level of vWF:Ag present; in type II vWD, there is a disproportionate decrease in activity (Denis and Wagner, 1999). Collagen binding assays, in conjunction with the antigen assay, can therefore be used to assess the quantity of vWF as well as its function (Paczuski, 2002). This makes these assays valuable not only as screening tests for vWD but also to distinguish between types I and II (Paczuski, 2002). The ratio of vWF:Ag to vWF:CBA in normal patients and type I vWD is generally less than or equal to 1 (Favaloro et al., 1991). In type II vWD, this ratio has been reported to range from 2 to 8 (Brown and Bosak, 1986; Favaloro et al., 1991). Although the CBA is currently utilized extensively in human diagnostics, it is only recently becoming available for the diagnosis of canine vWD.

c. Extragenic Influences on vWF Concentration

The value of a single sample in an animal with an indeterminate vWF:Ag ELISA result is limited by daily and weekly variation in vWF:Ag concentration (Kramer *et al.*, 2004). In humans there is question as to whether other traits may influence the phenotypic expression in type I disease. For instance, individuals with blood type O have levels of vWF 20% to 30% lower than individuals with other blood types (Ewenstein, 1997). Several other factors have also been found to increase the plasma concentration of vWF, including azotemia, liver disease, strenuous exercise, endotoxemia, parturition, and increased plasma vasopressin (Thomas, 1996). In dogs, significant increases in the vWF concentration occur during the last one-third

of gestation; however, values return to normal by 7 to 14 days postpartum (Moser *et al.*, 1998). This change is more notable in normal dogs but does also occur in type I vWD patients (Moser *et al.*, 1998). It has been suggested that this may be a result of rapidly dividing endothelial cells in a highly vascular placenta (Moser *et al.*, 1998). In contrast, there is no significant change in vWF concentration during the estrus cycle (Moser *et al.*, 1998). Age can also have an effect on the vWF concentration, with younger puppies generally having lower concentrations that gradually approach mean adult values by 11 weeks of age (Mansell and Parry, 1992).

In humans, patient response to desmopressin has a positive correlation with the level of platelet vWF (Ewenstein, 1997). Vasopressin stimulates V2 vasopressin receptors, resulting in the release of intracellular stores of vWF (Brooks, 2000). A study involving normal dogs and Doberman pinschers with vWD was conducted in order to assess the effect of exercise, DDAVP, and epinephrine on vWF concentration and FVIII activity (Meyers et al., 1990a). The vWF-deficient dogs were unable to sustain intense exercise for longer than 30min, whereas the normal dogs were able to complete a 10km run in 40min. An increase in FVIII activity was noted in the normal dogs that was related to the intensity of exercise and increased blood lactate concentrations, although the basis for the increase was not clear. vWF increased in these dogs to a greater extent than FVIII. There was no significant change in FVIII or vWF concentration in the vWD-positive dogs. Although their level of exercise was less than that of the normal dogs, the equivalent exercise was sufficient to cause an increase in vWF:Ag concentration of 30% in the normal dogs. The increase in vWF:Ag and FVIII concentrations after infusion of DDAVP in normal dogs is less than observed in humans, and some dogs react poorly to this therapy (Meyers et al., 1990a). In the Meyers et al. study, the vWF:Ag concentration increased in normal dogs after treatment with DDAVP. Although the increase noted in the vWF-deficient dogs was less than 0.1 U/ml, bleeding time decreased in three of six of these dogs. This finding suggested that DDAVP may induce the release of the high-molecular-weight (and therefore more active) forms of vWF; however, Callan et al. (2005) confirmed through multimer analysis that DDAVP produces an increase in all multimer sizes. Finally, whereas the vWF:Ag concentration increased 30 min after administration of epinephrine in the normal dogs, there was no change in FVIII activity, nor were there changes in either factor in the vWFdeficient dogs. Breed variations have also been noted, for example Airedale terriers tend to have decreased concentrations of vWF compared to control dogs, yet a history of bleeding is rare (Thomas, 1996).

2. Platelet Number

Typical clinical signs of thrombocytopenia include petechiae and ecchymoses, bleeding from mucosal surfaces, and cutaneous bruising. As mentioned (see Section IV.A.1.a), with the exception of petechiae, these signs are similar to those noted in patients with vWD.

Greyhounds and Cavalier King Charles spaniels (CKCS) have been shown to have lower platelet numbers when compared to other breeds, without apparent consequence (Cowan et al., 2004; Sullivan et al., 1994). In addition to decreased platelet numbers, in 51% of the CKCS studied, 33% exhibited macrothrombocytes (Cowan et al., 2004). In fact, the platelet counts in those dogs with macrothrombocytes were significantly lower than in CKCS dogs with normal-sized platelets (Cowan et al., 2004). The apparent connection between platelet size and number in these dogs is not surprising based on the association between platelet mass and TPO concentration (see Section II.B.1). Although, as mentioned, this platelet anomaly is benign, there is a decreased platelet aggregation response to ADP in CKCS (Cowan et al., 2004).

3. Platelet Function

As noted previously (see Section II.B.2), the GPIIb-IIIa integrin is essential for platelet aggregation. A deficiency of this integrin results in thrombasthenic thrombopathia, also known as Glanzmann's thrombasthenia. This deficiency has been identified in humans, dogs (otterhounds and Great Pyrenees), and horses. Clinical signs of bleeding in affected canine patients are generally recognized before 1 year of age and include mucosal hemorrhage, melena, hematuria, cutaneous ecchymoses, and excessive surgical bleeding (Boudreaux and Catalfamo, 2001). In platelet aggregation studies, affected platelets will undergo shape change but fail to aggregate normally. Abnormal in vitro clot retraction is also typical of this disease and has been used as a screening tool; however, this test is not reliable for identification of carrier animals (Boudreaux and Catalfamo, 2001). Documentation of decreased or absent membrane GPIIb-IIIa through flow cytometry or electrophoretic studies provides a definitive diagnosis. Thus far, two genetic defects have been identified in Great Pyrenees dogs, and a single defect in otterhounds (Boudreaux and Catalfamo, 2001; Lipscomb et al., 2000). Similar to the dog, equine cases also present with mucosal bleeding, and they have markedly impaired platelet aggregation and markedly decreased clot retraction (Livesey et al., 2005). A single genetic defect has been identified in the horse and was consistent between two affected horses of different breeds (Christopherson et al., 2006).

Platelet storage pool defects, specifically a deficiency of dense granules and their contents, result in thrombopathia in Chediak-Higashi syndrome (CHS). In both cattle and humans affected with this disease, compared to unaffected individuals, platelet aggregation was decreased in response to collagen; concentrations of serotonin, ATP, and ADP were decreased; and the ratio of ATP:ADP was abnormally increased (Bell *et al.*, 1976). Similarly, in CHS cats, platelet aggregation required considerably higher

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the role of TAFI (see Section II.C.4.c) in fibrinolysis inhibition. Although a small amount of thrombin formation is sufficient to stabilize the initial platelet plug, the secondary burst of thrombin that occurs in the propagation phase of coagulation is required for the activation of TAFI (Mosnier et al., 2001). In hemophilia A, this secondary burst of thrombin formation is inadequate, resulting in deficient TAFI and fibrinolysis of the initial clot (Monroe and Hoffman, 2006; Mosnier et al., 2001). Hemophilia A is an X-linked recessive trait, and therefore males are most commonly affected. Laboratory findings in homozygotes generally include prolonged aPTT, OSPT within reference intervals, and decreased FVIII activity. There is a poor correlation between the degree of prolongation of the aPTT and the activity of FVIII in plasma (Mansell, 2000). Heterozygotes are asymptomatic, and typical laboratory testing does not reliably discriminate between normal and carrier animals. Recently, a marker allele associated with the hemophilia A phenotype has been identified in a golden retriever family and was able to define carrier status (Brooks et al., 2005).

e. Factor IX Deficiency (Hemophilia B)

Based on clinical signs, hemophilia B is indistinguishable from hemophilia A. Generally, it is considered that clinical signs are more severe in young and large-breed dogs (Nakata et al., 2006). As with FVIII deficiency, this disorder is an X-linked recessive trait and has been reported in both dogs and cats. Laboratory testing reveals a prolonged aPTT, a normal OSPT, and a decrease in plasma FIX activity. In contrast to the variability seen in hemophilia A, FIX activity is generally low (<5%) in homozygotes (Mansell, 2000). In addition, mild decreases in FIX activity appear more consistent in heterozygotes of hemophilia B, and therefore factor activity screening is more reliable in their identification (Mansell, 2000). A variety of genetic mutations have been identified in dogs, and recently two distinct nucleotide changes were identified in two cats (Evans et al., 1989; Goree et al., 2005; Gu et al., 1999).

f. Factor X Deficiency

Canine FX deficiency was first reported in a breeding colony of cocker spaniels in 1973 (Dodds, 1973). This colony was experiencing increased morbidity and mortality in newborn puppies, within 7 to 10 days of birth, from obvious hemorrhagic complications (Dodds, 1973). Dogs with factor activities between 18% and 68% experienced moderate to severe hemorrhagic signs, and the trait appeared to be lethal in many of these dogs (Dodds, 1973). FX deficiency has also been identified in a Jack Russell terrier and domestic shorthair cat (Cook et al., 1993; Gookin et al., 1997). In humans, this deficiency is inherited as an autosomal recessive trait; however, in the initial canine report an autosomal dominant inheritance, with incomplete penetrance, was suspected (Dodds, 1973). aPTT, OSPT, and

Russell viper venom time (RVVT) are all prolonged in affected animals, factor X activity is decreased, and thrombin time is within reference intervals.

Acquired FX deficiency resulting from *Hymenoxys odo-* rata (bitterweed) has been demonstrated experimentally in sheep (Steel et al., 1976). This annual weed produces illness and death, as early as 24h postingestion, in sheep and occasionally cattle. Experimentally exposed sheep had prolongation of both the OSPT and aPTT within 3 days (Steel et al., 1976). Plasma FX activity was decreased; however, all other specific factors remained within reference intervals (Steel et al., 1976).

g. Factor XI Deficiency

Congenital FXI deficiency is uncommon in animals; however, it has been identified in dogs, cattle, and more recently in a cat (Dodds and Kull, 1971; Gentry et al., 1975; Troxel et al., 2002). Hemorrhagic signs associated with this deficiency tend to be mild; however, they can be substantial after challenge with surgery or severe trauma (Gentry, 2000a). FXI deficiency is transmitted as an autosomal recessive trait, and although the degree of reduced factor activity varies between species, in general homozygotes have less than 11% FXI activity and heterozygotes vary from 23% to 48% (Gentry, 2000a; Gentry and Ross, 1994; Knowler et al., 1994). Prolongation of the aPTT, an OSPT within reference intervals, and a decreased plasma FXI activity are typical of homozygous animals. The aPTT has been proven inadequate to identify heterozygotes, and identification based on FXI activity has proven difficult because of an overlap in the range of activities between carrier and normal animals (Gentry and Ross, 1986; Kunieda et al., 2005). Two distinct genetic mutations, both insertions, have been identified in the Holstein and Japanese black cattle, thus providing a more accurate diagnostic approach to the heterozygote in this species (Kunieda et al., 2005; Marron et al., 2004).

To the authors' knowledge, there has been a single report of acquired FXI deficiency. A 5-year-old neutered male cat without a previous history of hemorrhage was presented with epistaxis. FXI activity was markedly decreased (<5%), and mixing studies indicated the presence of an inhibitor (Feldman *et al.*, 1983). A systemic lupus erythematosus (SLE) inhibitor was suspected on subsequent detection of erythrocyte autoagglutination (Feldman *et al.*, 1983). Marked intraperitoneal hemorrhage resulted in the death of this patient.

h. Contact Factor Deficiencies

Of the contact factors, FXII (Hageman factor) deficiency is the most common. This deficiency is generally associated with cats, and an autosomal recessive mode of transmission has been identified in this species (Kier *et al.*, 1980). Homozygotes for FXII deficiency have a factor activity of

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proportions as a result of chronic liver failure (i.e. cirrhosis), suggesting decreased production (Kerr *et al.*, 2003). FXI was more notably decreased than the other factors in chronic liver failure, and FVIII was increased in relation to the other factors but not in relation to normal patients (Kerr *et al.*, 2003). The concurrent decreased production of anticoagulant factors may allow the liver to maintain its balance between procoagulant and anticoagulant systems, under stable conditions (Senzolo *et al.*, 2006).

In some studies of coagulation testing in canine liver disease, up to 93% of subjects exhibit at least one coagulation test abnormality (Badylak et al., 1983). Reports of the relative frequency of these abnormalities vary, with some suggesting a higher frequency of prolonged aPTT (Badylak et al., 1983) and others reporting a higher frequency of prolonged OSPT (Badylak and Van Vleet, 1981; Toulza et al., 2006). In general, these routine coagulation tests lack specificity for any given hepatic disease (Badylak and Van Vleet, 1981). Evaluation of specific factor changes in hepatic disease has demonstrated trends that may provide more specificity (Badylak et al., 1983). For instance, similar to humans, decreased FIX, FX, and FXI activities have been demonstrated in canine cirrhosis (Badylak et al., 1983). Coagulation factor trends have also been identified in canine congenital portosystemic shunts (CPS). Presurgically there is a decrease in prothrombin, FV, FVII, and FX, with a concurrent increase in FVIII, and in the immediate postsurgical period, fibrinogen and FVIII, FIX, and FXI also decrease (Kummeling et al., 2006). In the canine studies, hemorrhagic complications were not observed; however, more intensive monitoring of potential bleeding sites in postoperative CPS patients has been recommended (Kummeling et al., 2006). In feline studies of naturally occurring hepatic disease, the frequency of abnormal coagulation tests varied from 60% to 82% (Center et al., 2000; Lisciandro et al., 1998). Abnormal PIVKA and OSPT results were the most frequent coagulation test abnormalities reported in each study, respectively, and vitamin K deficiency appeared to be the most common underlying pathogenesis of the abnormal coagulation tests (Center et al., 2000; Lisciandro et al., 1998). In the Center et al. study (2000), only 14% of the cats with liver disease (representing 23% of those with coagulation test abnormalities) demonstrated bleeding tendencies. All of the hemorrhagic signs were associated with clinical procedures (such as catheterization or venipuncture) rather than spontaneous episodes (Center et al., 2000). In the Lisciandro et al. study (1998), only 1 of 22 cats was reported to exhibit clinically relevant (and fatal) hemorrhage after a liver biopsy. This particular patient had coagulation abnormalities consistent with vitamin K deficiency (Lisciandro et al., 1998).

Various guidelines exist for prediction of bleeding based on diagnostic test results in human medicine and are discussed in Senzolo *et al.* (2006). At this time, formal guidelines have not been developed for domestic animals,

TABLE 10-8 Diseases and Disorders That Predispose to the Development of Disseminated Intravascular Coagulation

Condition	Etiology	
Infectious disease/sepsis	Bacteria, protozoa, fungi, viruses	
Neoplasia	Solid tumors, lympho- and myeloproliferative disease	
Trauma	Tissue damage, burns, fat em bolism	
Organ damage/failure	Severe pancreatitis, liver failure	
Toxic/Immunologic	Envenomation, transfusion reactions, immune-mediated disease	

and routine coagulation tests do not appear to consistently predict the risk of hemorrhage.

4. Disseminated Intravascular Coagulation

Disseminated intravascular coagulation (DIC) is a potentially life-threatening hemostatic complication of many serious clinical diseases and disorders (Levi, 2004). (See Table 10-8 for common precipitating causes in animals.) It is characterized by systemic activation of the clotting mechanism that leads to widespread deposition of fibrin in the vascular tree, to the point of potentially compromising blood flow to tissues and causing ischemic damage and even organ failure. Concurrently, platelet and clotting factor supply becomes depleted as a result of widespread thrombus formation, and subsequent hemorrhage may occur. Although it may seem paradoxical and complicates management, a patient with DIC can be presented with clinical signs of both thrombosis and bleeding simultaneously.

The pathogenesis of DIC varies with the underlying primary disease process, and much of the understanding of how the process develops comes from human and animal sepsis models. It appears that either a systemic inflammatory response or release of procoagulant materials into the vascular space can activate coagulation on a widespread basis (Levi, 2004). The simultaneous development of increased thrombin generation, suppressed anticoagulant mechanisms, impaired fibrinolysis, and activation of the inflammatory response provide all the factors necessary for the syndrome to occur (Franchini *et al.*, 2006).

Cell membrane components of organisms (such as endotoxin and lipopolysaccharide) or bacterial exotoxins cause a generalized inflammatory response resulting in elaboration of proinflammatory cytokines that can activate endothelial cells directly (Slofstra, 2003). Excessive generation of

thrombin during endotoxemia appears to occur from activation of the TF pathway via FVIIa. Inhibition of this pathway resulted in complete absence of thrombin generation in experimental primate studies (Biemond *et al.*, 1995; Pixley *et al.*, 1993), and administration of TFPI completely abrogates this response (DeJonge *et al.*, 2000). The source of TF may be from activated monocytes, other leukocytes, or altered endothelium (Levi, 2004). The role of neutrophils in the pathogenesis of DIC has been examined, and it appears they may interact with platelets and stimulate TF production and fibrin formation (Goel and Diamond, 2001). Neutrophil elastase also has the potential to degrade fibrinolytic proteases (Moir *et al.*, 2002), thereby minimizing clot dissolution.

Additionally, the natural anticoagulant pathways may become inhibited, which would further amplify thrombin generation. AT activity can be markedly reduced because of consumption from enhanced thrombin generation, degradation by elastase released by neutrophils, and possibly decreased synthesis if liver synthetic function is impaired (Levi, 2005). The protein C system can similarly become exhausted by enhanced consumption, impaired hepatic synthesis, and down-regulation of TM expression on endothelial cells as part of the change from an antithrombotic to a prothrombotic milieu (Faust *et al.*, 2001) (see Section II.A).

Fibrinolysis is impaired due to rapidly increased levels of PAI-1, which suppresses plasminogen activation, and therefore subsequently any clots that develop are not as efficiently degraded. Many of the hemostatic abnormalities that occur during DIC can be attributed to up-regulation of proinflammatory cytokines such as tumor necrosis factor alpha (TNF-alpha) and interleukin-1 (IL-1), which then promote a procoagulatory endothelial phenotype. It is also known that parts of the coagulation cascade, once activated, can also stimulate further activation of inflammation as well. For example, thrombin, via PARs on cell membranes, activates additional inflammatory mediators. So it is indeed a bidirectional process once either inflammation or coagulation is stimulated. Additionally, suppression of the protein C pathway may result in a proinflammatory state because it has anti-inflammatory properties (Yuksel et al., 2002). It must be emphasized that different disease states can result in DIC by a different mechanism. For example, some neoplastic cells may directly express TF or other procoagulant molecules (Rickles and Falanga, 2001), whereas DIC secondary to trauma or burns is related to systemic release of fat and phospholipids (Gando, 2001).

The diagnosis of DIC is based on clinical signs of the primary disease, as well as those associated with both hemorrhage and thrombosis, in conjunction with abnormal laboratory tests. Overt hemorrhage is often more prominent in animals with DIC, which may reflect the stage the syndrome is detected, or it may be that thrombosis is not detected readily antemortem. Because there is no single test that confirms the present of DIC, most often the diagnosis is made on a series of tests in an animal with an appropriate clinical

context in which DIC can develop. Molecular markers of activation of coagulation or fibrin formation such as prothrombin fragment F1+2 and soluble fibrin concentration may be the most sensitive indicators of the presence of DIC, but they are poorly specific and not widely available (Levi, 2004).

The common laboratory test abnormalities include thrombocytopenia; presence of schistocytes on a blood smear; prolonged OSPT and aPTT; increased levels of indicators of fibrinolysis (FDPs, d-dimers); and decreased fibrinogen, AT, protein C, and coagulation factors. Some of these tests are more commonly altered than others, and serial measurements may sometimes be more helpful than a single sample. Fibrinogen concentration can sometimes actually be within the reference interval or even elevated as it is also an acute phase reactant in some species. Furthermore, although elevated products of fibrinolysis do occur during DIC, they can be increased in other disease states as well, which limits their specificity. The International Society on Thrombosis and Haemostasis (ISTH) has developed a scoring system to facilitate establishing the presence of DIC in human patients using a combination of results of different tests in a patient with a disease that can predispose to the condition and has a reported sensitivity and specificity of >90% (Bakhtiari et al., 2004).

DIC has been documented in many species of domestic animals (Bateman *et al.*, 1999b; Dolente *et al.*, 2002; Irmak and Turgut, 2005) but is most commonly reported in dogs. The most common precipitating diseases are listed in Table 10-8.

5. Vitamin K Antagonism or Deficiency

Anticoagulant rodenticides are the most common cause of acquired vitamin K-dependent factor deficiencies. Their action is through inhibition of the vitamin K epoxide reductase enzyme, preventing recycling of vitamin K and resulting in depletion of vitamin K₁H₂ (Murphy, 2002). The development of resistance, by rodents, to the first generation anticoagulant rodenticides (e.g., warfarin) necessitated the development of second-generation compounds (e.g., brodifacoum, bromadiolone) with more potent and persistent action (Boermans et al., 1991; Park and Leck, 1982). In experimental exposure to anticoagulant rodenticides, initial clinical signs generally include anorexia and somnolence, whereas clinical reports generally identify dyspnea, lethargy, and coughing/hemoptysis as the most frequent initial presenting complaints (Boermans et al., 1991; Forbes et al., 1973; Sheafor and Couto, 1999). Additional clinical signs can often include epistaxis, melena, lameness, spontaneous subcutaneous hematoma formation, hematuria, collapse, abdominal distension, and sudden death (DuVall et al., 1989; Sheafor and Couto, 1999). Case reports of rodenticide toxicity in neonates provide circumstantial evidence that rodenticides can cross the placenta and therefore should be

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a consideration in neonatal hemorrhagic disorders (Munday and Thompson, 2003).

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Although anticoagulant toxicity is most common in canine patients, accidental exposure can also occur in cats, horses, and ruminants. Ruminants have historically been thought more resistant to these rodenticides because of rumenal degradation of the compounds (Berny et al., 2006). It has recently been shown that there is no significant breakdown of the rodenticides in the rumen, and oral bioavailability of these compounds is excellent (79% for warfarin, 88% for bromadiolone) (Berny et al., 2006). Further, prolongation of the OSPT was observed for both compounds; however, no clinical signs of toxicosis were evident (Berny et al., 2006). A prolonged OSPT is generally the initial laboratory change in rodenticide toxicity because of the relatively short half-life of FVII (4 to 6h). With subsequent decreases in FIX, FX, and prothrombin, a prolonged aPTT develops. Interestingly, experimental brodifacoum toxicity in the horse resulted in prolongation of the aPTT before the OSPT was affected (Boermans et al., 1991). As a result of this observation, it was proposed that clearance of vitamin K-dependent factors in the horse may differ from that in the dog and human (Boermans et al., 1991). The onset of laboratory changes and clinical signs varies with the amount and type of anticoagulant rodenticide consumed. In addition to changes in routine coagulation tests (OSPT and aPTT), the accumulation of the nonfunctional forms of the vitamin K-dependent coagulation proteins (PIVKA) can be detected by a commercial Thrombotest assay (see Section III.C).

In addition to anticoagulant rodenticides, ingestion of moldy sweet clover (dicumarol) can result in vitamin K-dependent coagulation factor deficiencies, acting through the same mechanism. However, clinical signs generally only develop after weeks of exposure (Berny *et al.*, 2006). Ingestion of sulfaquinoxaline, a poultry coccidiostat, has also been reported to result in vitamin K-dependent factor deficiencies in dogs through inhibition of vitamin K epoxide reductase (Neer and Savant, 1992; Preusch *et al.*, 1989).

6. Thrombosis

Pathological thrombus formation can occur in any vascular structure and, depending on the extent of obstruction and the tissue affected, can elicit a wide range and severity of clinical signs. Acute dyspnea and hemoptysis is observed with pulmonary thromboemboli, hematuria, and abdominal pain with renal thrombosis and pain and paresis or paralysis in with distal aortic thromboembolism in cats with cardiac disease.

Although not frequently easily detected clinically, predisposition to thrombosis can occur with many diseases in various domestic species. Most often, thrombosis is associated with an underlying disease process that causes a disturbance of hemostatic processes, particularly those that promote a hypercoagulable state. However, additional abnormalities including inappropriate platelet and endothelium activation may exist concurrently to exacerbate the situation (Darien, 2000a).

An example of a disease associated with a high risk for thromboembolic complications in dogs is immune-mediated hemolytic anemia (IMHA). Up to 50% of patients with this disease develop thrombi, often in the pulmonary system (Balch and Mackin, 2007). These dogs are frequently in a hypercoagulable state as a result of inflammatory cytokine activation of endothelial cells from red blood cell necrosis and hypoxia, vascular stasis from catheterization and immobility, and enhanced platelet activation (Weiss and Brazzell, 2006).

Other diseases associated with pathological thrombus development include endotoxemia, heartworm disease, hemangiosarcoma, hyperadrenocorticism, and various cardiac diseases (Darien, 2000a).

V. BEYOND HEMOSTASIS: INTERACTIONS WITH INFLAMMATION

It has become quite well documented that there is a continuum between the inflammatory response and the hemostatic system and that activation of one system up-regulates the other. Numerous recent reviews summarize this active research area (Esmon, 2005; Franchini *et al.*, 2007; Gear and Camerini, 2003; Levi and van der Poll, 2005; Vincent, 2003; Zarbock *et al.*, 2007).

Inflammatory mediators can increase platelet production, activate platelets, diminish endogenous anticoagulant activity, initiate and contribute to propagation of the coagulation cascade, increase fibrinogen concentration, and inhibit fibrinolysis (Esmon, 2005). Inversely, activation of the hemostatic mechanism can cause the release of inflammatory mediators from activated platelets and endothelial cells (Table 10-9). Traditional figurative depictions of either system rarely include elements of the other. More recently, however, appreciation for interactions between the two systems has become apparent. There are even some structural similarities between proteins in the two systems, which supports the theory of a parallel evolution (Esmon, 2005). Examples include protein S with C4 binding protein, endothelial cell protein C receptor with MHC class 1, and TM's similarity with lectins.

The release or enhanced expression of TF during inflammation is central to the widespread activation of coagulation observed during a systemic inflammatory response. It has been proposed that phospholipid-rich microparticles derived from leukocytes (especially monocytes) or endothelial cells express TF, and this facilitates further development of the thrombus via P-selectin-glycoprotein ligand-1 and P-selectin binding, which brings platelets and leukocytes into close approximation (Day *et al.*, 2005). The role of TF in inflammation is further corroborated by

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Neutrophil Function

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I. INTRODUCTION

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REFERENCES

I. INTRODUCTION

Pluripotential hematopoietic stem cells in the bone marrow differentiating in response to specific growth factors give rise to committed progenitors and then neutrophils. These cells are characterized by their multilobed nuclei and abundant granules in the cytoplasm (polymorphonuclear neutrophilic granulocytes). Except in disease states, only functionally mature neutrophils are released into the blood from the bone marrow, and these cells contain much

of their effector molecules prepackaged in cytoplasmic granules or in the plasma membrane. Mature neutrophils do not multiply but instead are continuously replaced. Neutrophils circulate in the blood for only a few hours and survive in the tissue for only a few days; however, this can be extended by a day or two upon their exposure to various activating agents at sites of inflammation. In any case, neutrophils eventually succumb to programmed cell death by an intrinsic or extrinsic apoptotic pathway. Once neutrophils are recruited into the underlying tissue from the blood and encounter a microorganism, they phagocytize and kill the pathogen to eliminate the infection. This chapter provides an overview of the mechanisms of neutrophil adherence, chemotaxis, phagocytosis, and organism killing. It also discusses congenital and acquired defects in neutrophil function that predispose animals to infection. Finally, this chapter discusses neutrophil-mediated tissue injury.

II. NEUTROPHIL FUNCTIONS

A. Adherence and Chemoattractants

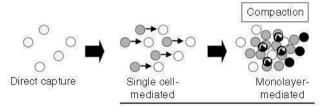
1. Overview

The recruitment of neutrophils to sites of tissue injury and infection is a hallmark of the acute inflammatory response. Efficient neutrophil extravasation at sites of inflammation requires a coordinated cascade of adhesive and signaling events. Neutrophils leave the flowing blood stream by first tethering and then rolling on the inflamed endothelium lining the blood vessel lumen. In the systemic circulation, this occurs primarily as neutrophils enter the venule from the capillaries (postcapillary venules) and in the collecting venules (Atherton, 1972; Schmid-Schonbein *et al.*, 1980). With the appropriate chemoattractants, rolling neutrophils will arrest (stop rolling) becoming firmly adherent to the endothelial surface and then migrate across the endothelium.

Estimates from in vitro studies of leukocyte extravasation show the process to be rapid, with transmigration being completed in <2 minutes (Beesley et al., 1978; Burns et al., 1997). Neutrophil transendothelial migration can occur through distinct routes, either paracellular (between endothelial cells) or transcellular (through endothelial cells) (Feng et al., 1998; Yang et al., 2005). Some of the first observations of leukocyte interactions with the vascular wall come from Albrecht von Haller (1756), Rudolf Virchow (1871), and Rudolf Wagner (1839). Early mechanistic insights into leukocyte transmigration came from Julius Conheim (1877, 1889) and Elle Metchnikoff (1893), who attributed leukocyte emigration to activities primarily by endothelial cells or leukocytes, respectively. Research since the 1980s has greatly enhanced our understanding of the molecular basis for the regulation of leukocyte interactions with the vascular endothelium at sites of inflammation. The following is a general overview of the various physiological parameters, adhesion molecules, and chemoattractants that play a critical role in neutrophil capture/ rolling, activation, and arrest/locomotion.

2. Physiological Factors Contributing to Neutrophil Adherence

Neutrophil accumulation predominantly occurs along the vascular endothelium of venules as a result of several physiological factors. Neutrophil capture by the endothelium is enhanced by red blood cells, those lined up behind neutrophils as they leave the capillaries as well as by red blood cells occupying the center of the bloodstream, which force neutrophils toward the venular endothelium (Nobis et al., 1985; Schmid-Schonbein et al., 1980). Endothelial cells lining postcapillary venules in secondary lymphoid organs of humans and mice (high endothelial cell venules) bulge into the luminal space, thus increasing their collision with lymphocytes (Von Andrian, 1996). Though endothelial cells lining venules in nonlymphoid tissues tend to be flat, attached neutrophils at sites of inflammation appear to provide temporary extensions into the blood vessel lumen, causing collisions and capture of free-flowing neutrophils. This process is referred to as indirect or secondary capture (tethering) and was observed as early as 1955 when Allison and colleagues reported that "leukocytes were frequently seen to stick to one another, indicating that the increased adhesiveness characteristic of the inflammatory response is not limited to the endothelium" (Allison et al., 1955). Indeed, neutrophils individually and as a monolayer facilitate indirect capture, and this appears to accelerate and sustain their accumulation (Bargatze et al., 1994; Eriksson et al., 2001; Sperandio et al., 2003; St. Hill et al., 2003; Walcheck et al., 1996). In addition, because leukocyte accumulation on activated endothelium at sites of inflammation shows spatial variability (Kunkel et al.,



Indirect capture

FIGURE 11-1 Indirect (2°) neutrophil capture increases their accumulation rate and density on the vascular endothelium. Direct neutrophil binding to the endothelium (e.g., via P-selectin) occurs randomly (open circles). Next, neutrophil-neutrophil interactions mediate two means of indirect capture. Individual attached neutrophils capture free-flowing neutrophils (gray circles) during initial neutrophil accumulation. In addition, a monolayer of attached neutrophils will capture free-flowing neutrophils, which roll across the neutrophil monolayer (black circles containing arrows) resulting in pavementing by the neutrophils and their compaction in discrete locations.

1998), indirect capture may be a mechanism for compacting (pavementing) leukocytes within discrete sites of activated endothelium, as illustrated in Figure 11-1.

Neutrophils from the flowing blood that adhere to the vascular endothelium incur forces (wall shear stresses) that are affected by several factors, including blood flow velocity, vessel diameter, red blood cell concentration, and plasma viscosity. Shear stresses on adhered neutrophils in venules in vivo range between ~1 and 35 dynes/cm², assuming a cell-free, plasma viscosity of 1.1 centipoise (Damiano et al., 1996). In general, leukocyte adhesion and rolling are reduced with increasing wall shear stress (Damiano et al., 1996). The wall shear stress is generally lower in venules than in arterioles of equal size. These forces are balanced by the interaction of adhesion molecules on the leukocyte with those on the endothelium, which is discussed in more detail later.

3. Selectins

Selectins mediate the initial interactions between neutrophils and the endothelium resulting in their attachment and rolling at velocities considerably below that of cells in the flowing blood. The nature of cell adhesion mediated by selectins differs from that mediated by most other classes of adhesion molecules. Rapid on and off bonding events between selectins and their ligands cause leukocytes attached to the endothelium to roll under blood flow conditions (Fig. 11-2). There are three known selectins, each with a highly specific pattern of cellular expression. Forgoing the listing of all of their earlier more descriptive names, they are known today by single letters designating the cell type by which they were first identified. L-selectin (CD62L) is expressed by leukocytes, E-selectin (CD62E) expression is limited to endothelial cells, and P-selectin (CD62P) is expressed by platelets as well as endothelial cells.

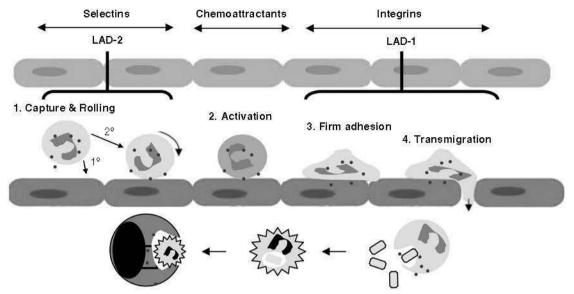


FIGURE 11-2 Multistep process of neutrophil effector functions. Neutrophils in flowing blood initially accumulate along the vascular endothelium by direct (1°) or indirect (2°) capture. The tethered neutrophils then roll and upon chemoattractant recognition become activated. This leads to firm adhesion and transendothelial cell migration. Upon entering the underlying tissues, neutrophils phagocytize bacterial pathogens, which induces their programmed cell death (apoptosis). Eventually, the apoptotic neutrophils are recognized and engulfed by macrophages to down-regulate the inflammatory response. Additionally, this figure depicts the site of classical leukocyte adhesion deficiency (LAD-1), a deficiency of CD18, and LAD-2, a defect in the selectin-mediated adhesion.

The selectins are type I integral membrane proteins composed of distinct tandem protein domains. At the aminoterminus is a C-type (Ca⁺²-dependent) lectin domain. Following this is a single epidermal growth factor (EGF)-like domain, followed by a series of short-consensus-repeat (SCR) domains. Next are a transmembrane domain and a short cytoplasmic region. The genes for the selectins are arranged in tandem on chromosome 1 for both mouse and human, indicating that they arose by gene-duplication (Watson *et al.*, 1990). Selectin ligands are carbohydrate in nature, and the lectin domain of the selectins is responsible for ligand specificity and recognition (Weis *et al.*, 1998).

E-selectin expression is induced on most cultured endothelium as well as most postcapillary endothelium in vivo by a number of inflammatory mediators (Bevilacqua et al., 1989). Induction of E-selectin by cytokines occurs exclusively at the transcriptional level. E-selectin mRNA is first detectable approximately 2h after initial exposure of endothelial cells to cytokine. In cultured endothelium, E-selectin expression peaks in 4 to 8h, and subsequently declines even in the continued presence of the cytokine. In vivo, however, E-selectin can be chronically expressed at sites of inflammation, especially in the skin (Ley and Kansas, 2004).

P-selectin is expressed on both activated platelets and activated endothelium. Unlike E-selectin, P-selectin is expressed in the Weibel-Palade bodies of endothelial cells as well as the α -granules of platelets (Bonfanti *et al.*, 1989;

McEver et al., 1989). Exposure of either of these two cell types to various stimuli induces rapid (seconds to minutes) fusion of the P-selectin-containing granules with the outer membrane of the cell, exposing P-selectin at the cell surface. In the case of endothelial cells, histamine released from tissue mast cells in response to an inflammatory insult is one of the physiological inducers of rapid P-selectin expression. Interestingly, P-selectin-deficient mice have a defect in leukocyte rolling immediately following tissue exteriorization, as determined by intravital microscopy, and neutrophil recruitment into inflammatory lesions is delayed by about 2h (Mayadas et al., 1993). In addition to its rapid surface expression, P-selectin is also transcriptionally induced (Weller et al., 1992). Moreover, P-selectin can be chronically expressed at sites of long-standing inflammation, such as atherosclerotic vessels, rheumatoid arthritis, and psoriasis (Grober et al., 1993; Johnson-Tidey et al., 1994; Terajima et al., 1998).

Neutrophils uniformly and constitutively express L-selectin. This selectin arguably plays the broadest and most significant role of the family members in neutrophil accumulation on the endothelium as it mediates both direct and indirect capture. In an assortment of inflammatory models, leukocyte accumulation along the microvasculature and recruitment into the tissue were greatly reduced ($\sim 60\%$ to 85%) upon blocking L-selectin function with antibodies or in L-selectin-deficient mice (Davenpeck *et al.*, 1997;

Jung et al., 1998; Kanwar et al., 1999; Ley et al., 1993; Tedder et al., 1995; Von Andrian et al., 1991, 1992, 1993).

Consistent with L-selectin's broad role in neutrophil recruitment, it is tightly regulated. For instance, neutrophils down-regulate L-selectin expression by ectodomain proteolysis (shedding) in a very efficient and rapid manner upon their stimulation by cytokines and chemoattractants (Alexander et al., 2000; Kishimoto et al., 1989; Rizoli et al., 1999; Walcheck et al., 1996). Studies performed in vitro and in vivo indicate that L-selectin shedding affects leukocyte adhesiveness and recruitment (Hafezi-Moghadam and Ley, 1999; Venturi et al., 2003, Walcheck et al., 1996). In addition, homeostatic L-selectin shedding maintains high levels of soluble L-selectin in the serum (~1 to 3 μg/ml) (Kishimoto et al., 1995; Palecanda et al., 1992), which is functional and can diminish leukocyte-endothelium interactions. Soluble L-selectin may thus serve as an adhesion buffer to suppress neutrophil accumulation below a certain inflammatory threshold. Interestingly, a reduced level of serum L-selectin correlates with susceptibility to inflammatory disease (Donnelly et al., 1994; Seidelin et al., 2002). TNF- α converting enzyme (TACE or ADAM17) appears to be a key sheddase of L-selectin upon overt cell activation.

The selectin family of adhesion proteins is highly selective lectins that recognize specific glycan structures displayed in most cases on an appropriate protein backbone. The main glycoprotein ligand for P-selectin on neutrophils is a homodimeric sialomucin designated PSGL-1 (P-selectin glycoprotein ligand-1) (Moore et al., 1995; Sako et al., 1993). PSGL-1 also serves as the primary ligand for L-selectin during leukocyte-leukocyte facilitated indirect neutrophil accumulation along the endothelium (Walcheck et al., 1996). Importantly, specific posttranslational modifications of PSGL-1 are required for L- and P-selectin recognition. PSGL-1 has a tyrosine sulfate motif at its amino-terminus, and mutational analyses have revealed that at least one of the three tyrosines in the motif must be sulfated for recognition (Pouyani and Seed, 1995; Sako et al., 1995). In addition, a threonine residue just downstream from the tyrosine sulfate motif must be modified by sialylated, fucosylated oligosaccharides, such as a core 2 O-glycan terminated by sialyl Lewis X (sLe^X) (Leppanen et al., 1999, 2003). PSGL-1 has also been reported to function as a ligand for E-selectin (Asa et al., 1995; Sako et al., 1993). However, leukocytes derived from PSGL-1 knockout mice demonstrated no obvious defect in rolling on E-selectin (Yang et al., 1999). Altogether, various studies suggest that PSGL-1 expression by neutrophils is not as critical for E-selectin binding as it is for L- and P-selectin. Indeed, other E-selectin ligands on neutrophils have been described, including E-selectin ligand-1 (ESL-1), glycolipids, and L-selectin (Alon et al., 1995; Steegmaier et al., 1995). In addition to recognizing its leukocyte ligand PSGL-1, L-selectin on neutrophils also mediates their

direct accumulation on the endothelium, yet these endothelial ligands remain poorly characterized.

4. Chemoattractants

Chemoattractants are small soluble molecules that bind to receptors on leukocytes causing their stimulation, polarization, and locomotion, in part through the activation of the integrin adhesion molecules. Leukocyte locomotion toward higher concentrations of a chemoattractant is referred to as chemotaxis, and this can occur in a hierarchal manner. For instance, neutrophils become less sensitive to an initially encountered chemoattractant gradient, allowing them to then respond to a newly encountered chemoattractant (Foxman et al., 1997, 1999). This process allows neutrophils to find their ultimate target through a complex stimulant environment. Different classes of chemoattractants include chemokines, lipid mediators, complement factors, and other peptides. The largest class of chemoattractants constitutes the chemokines, a superfamily of small, secreted proteins (8 to 10kDa). The chemokines are structurally homologous and are subdivided into four subfamilies based on the position of conserved cysteine residues near the amino-terminus of the molecules (Rot and von Andrian, 2004). CXC chemokines (α -chemokines) are characterized by the presence of two cysteine residues that are separated by one amino acid, CC chemokines (β -chemokines) have two cysteine residues that are adjacent, C chemokines have one cysteine residue near the amino-terminus, and the CXXXC subfamily is characterized by cysteines being separated by three amino acids. The CC and CXC chemokines represent the largest of the chemokine families and contain many members. The C and CXXXC subfamilies together consist of three members at this time. The CXC chemokines can be further subdivided based on the presence or absence of a glutamatic acid-lysine-arginine (ELR) sequence preceding the CXC motif. This structural difference determines separate functional activities of chemokines in this subfamily. CXC chemokines that contain an ELR sequence (e.g., IL-8) are chemotactic for neutrophils, whereas non-ELR-containing CXC chemokines and CC chemokines appear not to be active on neutrophils (DeVries et al., 1999). Chemokines induce leukocyte activation and locomotion by binding to specific G-protein-coupled cell surface receptors. Most chemokine receptors recognize more than one chemokine, and several chemokines can bind to more than one receptor. However, there is receptor-ligand specificity within chemokine subfamilies, with CXC chemokines binding exclusively to CXC receptors and CC chemokines binding to CC receptors (Rot and von Andrian, 2004).

5. Integrins

Integrins mediate neutrophil firm adhesion and locomotion by interacting with ligands on cells and in the extracellular

matrix with a dependence on divalent cations (Fig. 11-2). Integrins are noncovalent heterodimers (α and β subunits) of type I transmembrane glycoproteins, and are made up of various subfamilies that are organized around a particular subunit (Harris *et al.*, 2000). For instance, the β_2 (CD18) subfamily is expressed on all cells of hematopoietic origin and plays a critical role in neutrophil transendothelial cell migration (Simon and Green, 2005) and other key effector activities, such as phagocytosis and apoptosis (Mayadas and Cullere, 2005). CD18 integrins are present in an inactive state on circulating leukocytes; however, upon cell stimulation with various stimuli (e.g., chemoattractants) and ligand interactions, they undergo rapid affinity and valency changes required for optimal integrin function (Carman and Springer, 2003). There are four known α (CD11) subunits that can combine with the CD18 subunit to form unique receptors. The α subunits are defined, in part, as CD11a, b, c, and d. The α and β subunits are expressed from different genes and can be independently expressed on different cell types.

CD11a/CD18 ($\alpha_L\beta_2$ or lymphocyte function-associated antigen [LFA-1]) is the most broadly expressed leukocyte integrin. It is expressed on early hematopoietic progenitor cells and on all mature leukocytes. LFA-1 interacts with cell surface ligands known as intercellular adhesion molecules (ICAMs). ICAMs are type I transmembrane glycoproteins and belong to the immunoglobulin superfamily (Kishimoto and Rothlein, 1994). ICAM-1 is expressed on resting endothelium and is induced on many cell types by inflammatory cytokines. LFA-1 also binds to ICAM-2 or ICAM-3, which are mainly expressed on endothelial cells and leukocytes, respectively. ICAM-1 is a more active LFA-1 ligand than ICAM-2 or ICAM-3.

CD11b/CD18 ($\alpha_{\mathbf{M}}\beta_2$ or Mac-1) is constitutively expressed by neutrophils, monocytes, and macrophages. Mac-l is stored in endosome-like vesicles in neutrophils that are translocated to the surface following certain types of activation, allowing increased Mac-1 surface expression (Borregaard et al., 1987). Mac-1, like LFA-1, is less active on resting cells than activated cells. Mac-l also interacts with ICAM-l, but at a site distinct from LFA-1 (Diamond et al., 1991). Both of these CD18 subfamily members function as the primary integrins that mediate arrest and transmigration at sites of inflammation. Mac-1 interacts with a wider variety of ligands compared to LFA-1, including fibronectin, fibrinogen, vitronectin, laminin, collagen, ICAM-2, albumin, myeloperoxidase, kininogen, elastase, heparin, and zymosan (Kishimoto and Rothlein, 1994; Simon and Green, 2005). Mac-l also participates in a number of adhesion reactions where the nature of the ligand is not known, such as adhesion of neutrophils to plastic or glass surfaces and binding to a variety of denatured proteins, suggesting a scavenger receptor-like function. Of particular importance is that Mac-1, also referred to as complement receptor 3, binds to C3bi. This complement fragment bonds to surfaces when triggered by immunoglobulin or microbial surfaces, and particles

coated with C3bi (opsonization) are readily phagocytized by neutrophils (Mayadas and Cullere, 2005).

CD11c/CD18 ($\alpha_{\rm X}\beta_2$ or P150,95) is highly homologous to Mac-1, but it is expressed at lower levels on neutrophils and not nearly as well studied. Like Mac-1, CD11c/CD18 binds to some of the same ligands, including C3bi as well as binding to many pathogens directly to promote their phagocytosis, fibrinogen, and a variety of denatured proteins (Kishimoto and Rothlein, 1994; Mayadas and Cullere, 2005).

CD11d/CD18 ($\alpha_D\beta_2$) is the most recently defined member of the leukocyte integrin family and is expressed on tissue macrophages, dendritic cells, and eosinophils and binds to ICAM-3 and vascular cell adhesion molecule-I (VCAM-I) (Danilenko *et al.*, 1995; Grayson *et al.*, 1998; Van der Vieren *et al.*, 1995). The later binding interaction may contribute to recruitment of eosinophils to inflamed airways.

6. Leukocyte Adhesion Deficiency

Leukocyte adhesion deficiency (LAD) syndromes (LAD-1, LAD-1 variants, and LAD-2) result in immunodeficiency disorders caused by an impaired extravasation of neutrophils into sites of inflammation because of dysfunctional selectinor integrin-mediated adhesion events (Fig. 11-2). The LAD syndromes are relatively uncommon; however, the clinical consequences are often severe and lethal and include recurrent or unresolved localized infections, systemic sepsis, and impaired wound surveillance and repair.

Classical leukocyte adhesion deficiency type I (LAD-1) is an autosomal recessive disorder arising from germline mutations in the gene encoding CD18 and thus affects all CD18 subfamilies. LAD-1 has been described in humans, Holstein cattle, and dogs (Anderson and Springer, 1987; Gu et al., 2004; Nagahata, 2004). In humans, the CD18-integrin subunit is either not synthesized or unable to associate with the respective CD11 subunits, and the resulting LAD can be characterized as severe to moderate. Children with severe deficiency typically display less than 1% of normal levels of CD11/CD18, and children with moderate deficiency typically express 5% to 10% of normal levels of the integrin.

Bovine leukocyte adhesion deficiency (BLAD) has been extensively investigated (Nagahata, 2004; Shuster *et al.*, 1992). The molecular basis of BLAD is a single point mutation that results in an aspartic acid to glycine substitution at amino acid 128 of the CD18 polypeptide. Interestingly, expression of L-selectin on neutrophils from BLAD calves appears to be reduced as well. Neutrophil adhesion is markedly impaired and chemotactic responses are diminished. The hallmark clinical findings in BLAD include recurrent or chronic diarrhea, chronic pneumonia, ulcerative stomatitis, gingivitis, chronic dermatitis, stunted growth, and impaired wound healing. Persistent and severe neutrophilia is the most prominent hematological finding; however, neutrophil morphology is normal. Pathological lesions are primarily located in the lungs and intestinal tract. Neutrophils

are present within the lung lesions but not within intestinal ulcers or lesions in other tissues. Therefore, neutrophils can enter the lung by integrin-independent processes (Ackermann *et al.*, 1996).

Canine leukocyte adhesion deficiency (CLAD) occurs in Irish setter and Irish setter-cross-bred dogs (Giger et al., 1987; Gu et al., 2004; Kijas et al., 1999; Renshaw et al., 1975). As with BLAD, the molecular basis of CLAD is due to a single point mutation; however, in the dog, a cysteine at amino acid 36 is substituted for a serine. This cysteine is a highly conserved residue in the extracellular domain of CD18, and the structural defect from the mutation results in the failure to express the CD11/CD18 complex on the leukocyte surface. Affected dogs develop severe bacterial infections shortly after birth and usually die or are euthanized.

More recently, variant forms of LAD-1 have been reported in humans in which CD18 integrins are expressed on the surface of leukocytes at normal levels but fail to support their adhesion (Wehrle-Haller and Imhof, 2003). In one case, LAD-1 was caused by a point mutation in the β_2 -integrin, rendering it nonresponsive to inside-out activation of leukocytes (Hogg *et al.*, 1999). In other cases, the leukocyte adhesion deficiency was extended to various integrin families as well, including β_1 -, β_2 -, and β_3 -integrin function. Interestingly, these latter cases result from a failure by intracellular factor(s) or signaling pathway(s) essential for integrin activation/clustering. Under physiological shear stress, these LAD-affected leukocytes are unable to generate firm adhesion and arrest.

Another human adhesion deficiency (LAD-2) was first described in 1992 in two children of Arab origin (Etzioni et al., 1992). LAD-2 is a rare congenital disease resulting in mutations in a GDP-fucose transporter and a generalized fucosylation defect that affects $\alpha 1, 2$ -, $\alpha 1, 3$ -, $\alpha 1, 4$ -, and $\alpha 1, 6$ -linkages of fucose in glycoproteins and glycolipids (Wild et al., 2002). Among other effects, LAD-2 neutrophils demonstrate strongly reduced sLe^X expression, which diminishes their adhesivity with the selectin family members and their accumulation on endothelium (Fig. 11-2). Thus, this disorder disrupts leukocyte extravasation at an earlier stage than LAD-1.

B. Phagocytosis

The process of phagocytosis has been extensively studied in macrophages but less well studied in neutrophils. Therefore, we will present a general overview of phagocytosis based onknowledgegainedprimarily from macrophages. Phagocytic cells ingest bacteria and other particulate material by receptor-mediated phagocytosis (Peyron *et al.*, 2001; Stossel, 1974). This process forms a vesicle around the organism and internalizes it (Fig. 11-3). After internalization, the vesicle containing the organism undergoes a stepwise process of maturation in which the vesicle fuses with early

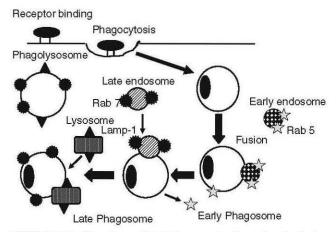


FIGURE 11-3 Receptor-mediated phagocytosis of organisms by leukocytes. After binding of organisms to cell membrane receptors, organisms are endocytosed into a phagosome. After fusion with early endosomes, the early phagosome is characterized by the presence of Rab5. Acidification of the phagosome occurs at this stage. Thereafter, fusion with late endosomes results in acquisition of Rab7 and shedding of Rab5. The late phagosome then fuses with lysosomes, forming the phagolysosome that is characterized by the presence of Rab7 and Lamp-1.

and late endosomes and lysosomes to create a phagolysosome. Within the phagolysosome, lysosomal enzymes and reactive oxygen radicals digest organisms. The process of phagolysosome fusion and organism killing is complex, and the details are still being investigated. Fusion of early endosomes coincides with initiation of vesicle acidification. This phase is marked by the presence of high levels of the GTP-binding protein Rab5 on the phagosome membrane. It is not clear whether Rab5 is brought to phagosome by the early endosome or is acquired from the cytoplasm (Via et al., 1997). Fusion of the phagosome with late endosomes is marked by acquisition of Rab7 and loss of Rab5. Subsequent fusion of lysosomes to the late phagosome is characterized by acquisition of lysosome-associated membrane protein (LAMP)-1 and LAMP-2.

Neutrophils contain primary, secondary, and, in some species, tertiary granules in addition to lysosomes (Stossel, 1974). Primary granules contain oxygen-dependent killing mechanisms and a variety of cationic proteins and bactericidal/permeability-increasing (BPI) protein (Klebanoff and Clark, 1978). Secondary granules contain most of the lysozyme and lactoferrin. Secondary granules appear to fuse first followed by primary granules (Klebanoff and Clark, 1978).

Phagosome-to-endosome fusion is a highly controlled process. The fusion system is typically composed of specific receptor-mediated processes. An ATPase N-ethyl-maleimide-sensitive factor (NSF) and α soluble NSF attachment protein (α SNAP) interact with vesicle and target integral membrane SNAP receptors (v- and t-SNAREs) that serve as docking and fusion devices (Vieira et~al.,~2002). v- and t-SNAREs form complexes spontaneously, and NSF and SNAP provide the energy necessary to separate

the v- and t-SNAREs. When separated, SNAREs from apposed membranes are paired resulting in fusion of the lipid bilayers. However, fusion occurs in a highly controlled manner being regulated primarily by an Src family of RAB GTPases (Peyron *et al.*, 2001).

Phagolysosome fusion is a critical event in killing of pathogenic organisms. Defective phagolysosome fusion occurs in Chediak-Higashi syndrome. Chediak-Higashi syndrome has been described in several breeds of cattle, Persian cats, foxes, mink, and a killer whale (Ayers *et al.*, 1988; Colgan *et al.*, 1992). Humans and animals have partial oculocutaneous albinism, frequent infections, and mild bleeding diatheses. Neutrophils, monocytes, and melanocytes contain giant granules that result of abnormal fusion events that appear to be due to defects in lysosomal docking proteins. Granule membrane fusion is defective and discharge of granule contents into the phagosome is delayed (Mills and Noya, 1993).

C. Bactericidal Mechanisms

The mature phagolysosome has multiple mechanisms to kill microorganisms. These have generally been divided into oxygen-dependent and oxygen-independent mechanisms (Klebanoff, 1975).

1. Oxygen-Dependent Mechanisms

Oxygen-dependent mechanisms are located within primary granules (Babior, 1984; Babior et al., 2002). These mechanisms are initiated by the process of phagocytosis or by perturbation of the cell membrane. A membrane-bound nicotinamide-adenine dinucleotide phosphate (NADPH) oxidase consists of five essential protein components; two of these are in the membrane and three are in the cytosol (Fig. 11-4). The membrane-associated component is a flavocytochrome b₅₅₈ that consists of a heterodimer composed of 91 (gp91^{phox}) and 22 (gp22^{phox}) kDa proteins. Flavocytochrome b_{558} is distributed in the cell membrane and in the membrane of the granules and is incorporated into the wall of phagocytic vacuoles. The cytosolic components consist of a 40-kDa protein (p40-phox), a 47-kDa protein (p47-phox) and a 67-kDa component (p67-phox). When stimulated, the cytosolic components are translocated to the membrane. Both the assembly of the NADPH oxidase complex and the electron flow are dependent on the influence of three GTPbinding proteins; Rac1, Rac2, and Rap1A. The NADPH oxidase complex catalyzes the reduction of molecular oxygen to superoxide anion (NADPH + $O_2 \rightarrow NADP^+ + H^+ + O_2^-$). The associated rapid consumption of oxygen has been termed the "respiratory burst." Superoxide anions are rapidly dismutated to hydrogen peroxide (H2O2). Superoxide anions also can be converted to hydroxyl radicals (OH') in the presence of catalytic metals such as iron according to the Haber-Weiss reaction (Fe²⁺ + H₂O₂ \rightarrow Fe³⁺ + OH⁻ + OH⁻ + O₂).

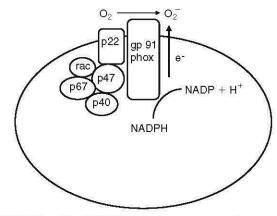


FIGURE 11-4 The NADPH oxidase complex consists of a membrane-bound flavocytochrome b₅₅₈ consisting of two subunits (22 and 91kDa) and three cytosolic molecules (p40, p47, and p67). The assembly of NADPH and electron flow are dependent on three GTP-binding proteins (Rac).

Myeloperoxidase catalyzes the conversion of H₂O₂ and halide ions to hypohalous acids with hypochlorous acid being the primary acid produced. Finally H₂O₂ interacts with hypohalous acids to produce singlet oxygen (${}^{1}O_{2}$). Singlet oxygen is a high-energy form of oxygen that can attack double bonds. These reactive oxygen intermediates interact with unsaturated lipids, carbon bonds, sulfhydryl and amino groups, nucleic acids, pyrimidine nucleotides, and enzymes within organisms. The extent to which these events contribute directly to disruption of organism function and organism killing is controversial (Segal, 2005; this subject is discussed in more detail in the next section). Myeloperoxidase is not essential for antimicrobial activity because humans with myeloperoxidase deficiency do not have severe bacterial infections. Additionally chicken heterophils lack myeloperoxidase.

Products of the respiratory burst are toxic to cells as well as to invading organisms. A variety of antioxidant systems exist to protect cells from the harmful effect of these oxidants (VanSteenhouse, 1987). These systems include cytosolic superoxide dismutase, glutathione peroxidase, glutathione reductase, and catalase, all of which convert oxygen radicals to water. Other compounds with oxygen radical scavenging potential including vitamin E, vitamin C, selenium, transferrin, and cysteine (VanSteenhouse, 1987). The glutathione needed for the reaction is maintained in the reduced state by reduced NADPH that is supplied by the hexose monophosphate pathway.

Several defects in the capacity of neutrophils to generate oxygen radicals have been described. These include chronic granulomatous disease, glutathione peroxidase deficiency, glucose-6-phosphatase deficiency, and myeloperoxidase deficiency (Malech and Nauseef, 1997).

Chronic granulomatous disease is caused by mutations in genes encoding components of NADPH oxidase. In excess of 40 specific mutations have been reported in human

patients (Cross et al., 2000). Chronic granulomatous disease is characterized by recurrent severe, but usually not fatal, bacterial and fungal infections beginning in early childhood (Malech and Nauseef, 1997). Neutrophils have an inability to mount a respiratory burst because of an inability to activate the NADPH oxidase system. A neutrophil bactericidal dysfunction with decreased capacity to mount a respiratory burst has been described in eight closely related Doberman pinschers (Breitschwerdt et al., 1987). However, the defect in oxygen radical generation was not as severe as in humans, and it is not clear if the defect is in NADPH or in another pathway such as myeloperoxidase deficiency.

Glutathione peroxidase deficiency is clinically similar to chronic granulomatous disease (Malech and Nauseef, 1997). The defects appear to result from a failure of the hexose monophosphate pump to generate NADPH. Impaired neutrophil bactericidal activity is also found in selenium-deficient cats and cattle and in copper-deficient cattle. Because selenium is required for glutathione metabolism, selenium deficiency probably causes a defect in the oxygen-dependent pathway of bacterial killing (Serfass and Ganther, 1975).

Myeloperoxidase deficiency has also been documented as the most common of the inherited neutrophil defects in humans (Malech and Nauseef, 1997). However, the condition produces few clinical signs. The number of individuals that develop severe infections is small. Although killing of bacterial organisms is delayed, killing is eventually complete. Myeloperoxidase deficiency has been described in cyclic hematopoiesis of gray collie dogs. Affected dogs have defective bactericidal activity. These dogs have an insertion of an adenine nucleotide in AP3B1 leading to a frame shift with premature termination (Horwitz *et al.*, 2004). This is equivalent to the mutation in Hermansky-Pudlak syndrome type II in humans but is unlike the ELA2 mutation seen in cyclic neutropenia in humans.

Abnormal neutrophil chemiluminescence responses have been described in a group of Weimaraner pups with recurrent fevers, diarrhea, pneumonia, pyoderma, lymphadenopathy, stomatitis, and osteomyelitis (Couto *et al.*, 1989). Decreased chemiluminescence in response to phorbol esters was the major neutrophil function alteration noted in these dogs. Defects in humoral or cellular immune responses were not detected.

2. Oxygen-Independent Mechanisms

Nonoxidative mechanisms may be more important in killing of microorganisms than previously suspected. Some investigators hypothesize that the major role of the NADPH oxidase system is to adjust phagosomal pH and to pump electrons into the phagocytic vacuole (Roos *et al.*, 2003; Segal, 2005). This movement of ions across the phagosome membrane produces conditions that are conducive to oxygen-independent organism killing. For example, the initiation of superoxide production is accompanied by phagosomal

alkalinization because of the proton-acceptor function of the superoxide anion. This pH change may be essential for activation of enzymes within the phagolysosome. Additionally, gp91^{phox} of NADPH oxidase functions as a proton channel. This proton pump appears to play a critical role in shuttling hydrogen ion and several other ions across the cell and phagosomal membranes (Geiszt *et al.*, 2001). An influx of potassium appears to be important in liberating proteases such as elastase and cathepsins from their acidic proteoglycan matrix within granules. NADPH oxidase also is thought to induce depolarization that inhibits calcium influx into neutrophils.

Neutrophil-independent bacterial killing mechanisms are present within primary, secondary, and tertiary granules and lysosomes (Klebanoff and Clark, 1978). The primary granules contain a variety of cationic proteins including defensins, cathepsin G, azurocidin, and BPI protein. Primary granules also contain hydrolases including collagenase, elastase, β -glucuronidase, and lysozyme. The secondary granules contain much of the lysozyme, lactoferrin, adhesin, and chemotactic receptors, and gelatinase. A third type of granule has been identified in humans, cows, sheep, goats, horses, dogs, and rabbits (Bertram, 1985). In some species these granules contain gelatinase and some acid hydrolases. However, tertiary granules of bovine neutrophils appear to be distinct in that they contain a potent antimicrobial system (Gennaro *et al.*, 1983).

Defensins are a family of low-molecular-weight, antimicrobial peptides that have been isolated from neutrophils and heterophils of humans, rats, guinea pigs, and rabbits (Evans and Harmon, 1995). They contain six invariant cysteine residues and are arginine rich. The cysteine residues form disulfide bonds, and pairing of the first and sixth residues creates a cyclic structure. Similar peptides have been isolated from bovine and equine neutrophils and from chicken heterophils. These defensin-like peptides have a broad spectrum of antimicrobial activity being active against both Gram-positive and Gram-negative bacteria, as well as some fungi, enveloped viruses, protozoa, and cells.

Cathepsin G is rich in cysteine and has microbicidal activity against Gram-positive and Gram-negative bacteria as well as some fungal organisms. Cathepsin G inhibits bacterial respiration and energy-dependent transport systems, as well as protein, DNA, and RNA biosynthesis.

BPI is a large, lysine-rich protein that is highly active against *E. coli* and *Salmonella typhimurium* (Weiss *et al.*, 1978). However, it lacks antimicrobial activity against Gram-positive bacteria and fungi. BPI appears to bind to the outer membrane of susceptible Gram-negative bacteria and increases membrane permeability to hydrophobic molecules. BPI also activates enzymes that degrade envelope phospholipids and peptidoglycans. Neutrophils from neonatal children were reported to have three- to four-fold less BPI per neutrophil compared to adults. This correlated with a decreased antibacterial activity against *E. coli*

(Levy et al., 1999). This selective deficiency of BPI may explain the increased incidence of Gram-negative sepsis among newborns.

Granulocytes also contain a variety of proteases and acid hydrolases, the most notable of which are cathepsin G, elastase, collagenase, lysozyme, acid phosphatase, aryl sulfatase, neuramidase, and nuclease. Elastase degrades bacterial cell wall proteins and potentiates the lytic activity of lysozyme and the microbicidal activity of cathepsin G. Lysozyme is a cationic protein that hydrolyzes bacterial cell walls by attacking the β 1-4 glycosidic linkage that joins N-acetyl muramic acid and N-acetyl glucosamine of peptidoglycan. Although a wide variety of bacteria are sensitive to the action of lysozyme, group A Streptococcus, Staphylococcus, and most Gram-negative organisms resist the action of lysozyme.

Lactoferrin has bacteriostatic activity that is associated with its capacity to sequester iron. However, lactoferrin also exerts a bactericidal effect independent of its bacteriostatic effects (Lehrer and Ganz, 1990).

Few deficiencies of oxygen-independent mechanisms have been described. A specific granule deficiency has been described in humans in which specific granules lack lactoferrin, vitamin B_{12} binding protein, and defensins (Gallin, 1985). Affected individuals have recurrent severe bacterial infections. Neutrophils have small, elongated granules that appear late in maturation.

D. Neutrophil-Mediated Amplification of Inflammation

Neutrophils secrete a variety of proinflammatory mediators that amplify the inflammatory process. These include leukotrienes, prostaglandins, cytokines, and chemokines.

1. Prostaglandins and Leukotrienes

Arachidonic acid and other C20 polyunsaturated fatty acids containing four and five carbon-carbon double bonds are the immediate precursors of prostaglandins and leukotrienes. They are released from phospholipids by the action of phospholipase A, phospholipase C, and diacylglycerol lipase. Among the leukocytes, macrophages appear to be the major producers of prostaglandins, whereas neutrophils, monocytes, macrophages, eosinophils, and mast cells are major producers of leukotrienes (Lewis and Austen, 1984). Leukotriene (LTB) synthesis begins when 5-lipoxygenase catalyzes the production of 5-monohydroperoxy-eicosatetraenoic acid (5-HPETE) from arachidonic acid. 5-HPETE is converted to 5-hydroxyeicosatetraenoic acid (5-HETE). In addition, 5-lipoxygenase converts 5-HPETE to LTA₄. Separate pathways synthesize LTB₄ and sulfidopeptide leukotrienes (LTC₄, LTD₄, LTE₄). Neutrophils synthesize mostly LTB₄ (Lewis and Austen, 1984). LTB₄ has both priming and direct activating effects on neutrophils. It is a potent chemotactic and chemokinetic agent for neutrophils, monocytes, and eosinophils. It also stimulates neutrophil aggregation, superoxide production, and integrin expression.

2. Cytokines and Chemokines

Neutrophils secrete a broad spectrum of cytokines. Most of these are involved in the innate immune response, however, neutrophils also secrete cytokines that affect adaptive immunity (Petrofsky and Bermudez, 1999; Tacchini-Cottier et al., 2000). Activated neutrophils release a variety of proinflammatory cytokines including tumor necrosis factor- α (TNF- α), IL-1 β , and IL-6. At sites of inflammation, release of these cytokines amplifies the inflammatory process. Neutrophils also produce interferon- γ (IFN- γ), IL-12, and transforming growth factor- β (TGF- β), known to affect adaptive immunity. Several studies indicate that neutrophils may modulate the adaptive immune response to infectious agents. For example, in a mouse model of leishmaniasis, depletion of neutrophils 6h before infection prevented the early Th2-type immune response suggesting a role of neutrophils in initiating this response (Tacchini-Cottier et al., 2000).

Neutrophils also have cell surface receptors for many cytokines. These include TNF- α , IL-1, IL-4, IL-6, IL-8, IL-10, G-CSF, and GM-CSF (Lapinet *et al.*, 2000; Lavkan *et al.*, 1998; Skoutelis *et al.*, 2000). Although most of these cytokines activate neutrophils, IL-4 and IL-10 are potent inhibitors of neutrophil function (Lapinet *et al.*, 2000).

Neutrophils secrete both CC and CXC chemokines (Lapinet *et al.*, 2000). CC chemokines include macrophage inflammatory protein- 1α (MIP- 1α) and MIP- 1β . CXC chemokines include IL-8 and IFN- γ -inducible protein-10. Therefore, neutrophils at a site of inflammation amplify the inflammatory response by attracting more inflammatory cells to the site.

III. VIRULENCE FACTORS PREVENTING NEUTROPHIL-MEDIATED KILLING

Many pathogenic organisms have developed the capacity to evade killing by neutrophils and macrophages. Each pathogen has developed its own group of evasion tactics that provide a competitive advantage for survival and growth (Allen, 2003; Mayer-Scholl *et al.*, 2004).

Staphylococcus aureus is resistant to neutrophil phagocytosis. This is largely due to the capsule. A slime layer is composed of capsular polysaccharide. Like other Grampositive bacteria, S. aureus is resistant to defensins, probably as a result of the composition of the cell wall.

Anaplasma phagocytophilum survives and replicates within neutrophils. The organism has the capacity to block

oxygen radical generation. Although the mechanism of this suppression is unknown, both the membrane-bound and cytoplasmic components of NADPH oxidase appear to be down-regulated in infected neutrophils.

Yersinia enterocolitica blocks phagocytosis by neutrophils and macrophages. Tight binding of the organism to cell membrane receptors of these cells causes a local decrease in extracellular calcium that results in uptake of TTSS and Yop proteins from the organism into the cytosol. Yop H is a tyrosine phosphatase that targets SH2 domains and prevents increases in intracellular calcium necessary for phagocytosis and degranulation. Mutant bacteria lacking Yop H are rapidly phagocytized and cleared by phagocytes.

Salmonella spp. have several virulence factors (Roos et al., 2003). Spi-1 encodes proteins that are secreted into cells and modulate the actin cytoskeleton. This leads to uptake of the organism into a membrane-bound vacuole within the cell. Once in the target cell, the Spi-2 gene cluster promotes bacterial survival. These proteins interfere with formation of the NADPH oxidase complex thus reducing the generation of oxygen radicals.

Shigella invade the colonic mucosa and are engulfed by macrophages and epithelial cells. Shigella contain virulence factors IpaB and IpaC that enable them to escape from phagosomes of both macrophages and epithelial cells. However, elastase within neutrophils selectively degrades IpaB and IpaC, which prevents escape of the organism from the phagosome and facilitates organism killing.

Mycobacteria enhance their survival within phagocytes primarily by inhibiting fusion of late endosomes and lysosomes with the phagosome (Peyron et al., 2001). As a result, phagosomes fail to obtain markers of maturation including Rab7, LAMP-1, and LAMP-2 (Fig. 11-3). This process has been most studied in macrophages. Mechanisms responsible for the arrest of phagosome maturation are complex and involve both host and organism factors. Coronin 1, also termed tryptophan-aspartatecontaining coat protein (TACO), has been identified as being involved. Coronin 1 is a member of a family of proteins involved in actin-cytoskeletal remodeling and phagocytosis as well as other cell functions. In murine macrophages, coronin 1 is retained on the phagosomes of macrophages infected with live mycobacteria, whereas it rapidly dissociates from phagosomal membranes of dead mycobacteria (Tailleux et al., 2003). Mycobacteria appear to be able to arrest phagosome maturation by depleting the phosphatidylinositol 3-phosphate (PI3P) content within the phagosomal membrane (Hmama et al., 2004). PI3P is synthesized in early endosome and phagosome membranes and functions as a membrane-trafficking regulatory lipid essential for phagolysosome biosynthesis. This effect may be mediated by glycosylated phosphatidylinositol lipoarabinomannan derived from the cell wall of pathogenic mycobacterial organisms (Hmama et al., 2004).

IV. ACQUIRED NEUTROPHIL FUNCTION DEFECTS

A. Neutrophil Dysfunction in Periparturient Dairy Cattle

Numerous studies have documented neutrophil dysfunction in periparturient dairy cattle. Significantly decreased random migration, iodination, and chemiluminescence of neutrophils were observed 1 week after parturition (Kehrli *et al.*, 1989). In another study, a decrease in neutrophils oxidative burst activity was observed 1 to 3 weeks after calving (Dosogne *et al.*, 1999). This was related to a pregnancy-associated glycoprotein in the blood.

The cause of periparturient neutrophil dysfunction is incompletely understood. Selenium concentrations in periparturient cattle may be a significant factor in predicting neutrophil function. Neutrophils from postparturient dairy cows with relatively high blood selenium concentrations had greater superoxide production and greater potential to kill bacteria when compared to cows with relatively low selenium concentrations (Cebra *et al.*, 2003). Excessive glucocorticoid production may also be involved in the neutrophil dysfunction. In a study in which microarrays and real-time RT-PCR techniques were used to study neutrophils from periparturient and glucocorticoid-treated cattle, similar functional alterations were observed (Burton *et al.*, 2005).

Altered neutrophil function and recruitment to the mammary gland have been incriminated as a factor in the increased incidence of mastitis during the periparturient period (Kehrli et al., 1989; Paape et al., 2003). In general, milk neutrophils are less effective than blood neutrophils in phagocytizing and killing bacteria (Paape et al., 2003). This has been attributed to the lower energy reserve of milk neutrophils and phagocytosis of milk fat globules and casein. Lysosomes fuse with phagosomes containing milk-fat globules and casein thus reducing the number available to fuse with phagosomes containing bacteria. Milk has been reported to reduce the capacity of neutrophils to phagocytize bacteria three-fold. (Paape et al., 1981). A negative correlation has been reported between the capacity of milk to support neutrophil phagocytosis and clinical mastitis (Paape et al., 2003). In another field study of 70 lactating dairy cows, decreased milk neutrophil chemiluminescence activity was associated with susceptibility to mastitis caused by S. aureus (Piccinini et al., 1999). This relationship indicates that neutrophil oxidative burst activity may play a major role in preventing mastitis. Additionally, postparturient cows have a reduced capacity to recruit neutrophils into the mammary gland during coliform mastitis (Shuster et al., 1996).

Neutrophil recruitment appears to be a critical determinant of resistance to mastitis. Several studies have documented that increasing the milk somatic cell count is highly effective in preventing mastitis. In one field trial,

insertion of a polyethylene intramammary device into the gland cisterns increased the somatic cell count and resulted in a 75% reduction in clinical mastitis (Goodger *et al.*, 1993). Additionally, intramammary administration of soluble CD14 in coliform mastitis causes binding of endotoxin and results in recruitment of neutrophils.

Genetic markers have also been associated with susceptibility to bovine mastitis. Cows with allelic variation in CXCR2 (i.e., the IL-8 receptor) had increased susceptibility to mastitis (Rameaud and Pighetti, 2005). Cows with a CC or GC at CXCR2 + 777 had decreased neutrophil migration in response to IL-8 when compared to cows expressing the GG genotype. Additionally, decreased up-regulation of cell surface CD18 was observed on neutrophils after stimulation with IL-8. The results of these studies support a genetic predisposition to mastitis and further emphasize the importance of rapid mobilization of neutrophils into the mammary gland in prevention of infection.

B. Neutrophil Dysfunction in Neonatal Animals

Neutrophil dysfunction appears to contribute to the susceptibility of newborn calves and foals to pneumonia, septicemia, enteritis, and endotoxemia. Reports on neutrophil function in calves tend to be inconsistent. This is in part due to variation in the age of the calves, the colostrum status, and the techniques used to evaluate neutrophil function. For example, both increased and decreased respiratory burst activity have been reported in neonatal calves when compared to adult cows (Dore et al., 1991; Higuchi and Nigahata, 1998). Respiratory burst activity in neonates is dependent on the agonist used. Respiratory burst activity appears to be reduced when neonatal neutrophils are activated by heat-aggregated albumin or protein kinase C and enhanced when activated by opsonized zymosan. This suggests age-related alterations in cell membrane receptors or differences in intracellular signal transduction probably involving protein kinase C activity and tyrosine phosphorylation of cellular proteins (Higuchi and Nigahata, 1998). Other functional alterations in neonatal neutrophils when compared to adult neutrophils include decreased myeloperoxidase and increased alkaline phosphatase activities, decreased concanavalin A capping, decreased Fc receptor number, increased chemotaxis, increased aggregation, and increased shape change (Zwahlen et al., 1992).

Reports of neutrophil dysfunction in foals are also somewhat inconsistent. When compared to adult horses, some reports indicate that foals have no difference in phagocytic activity, others report decreased phagocytosis when organisms are opsonized with autologous serum but not when opsonized with adult serum, whereas yet others state that phagocytosis of *Staphylococcus aureus* is decreased when opsonized with either autologous or adult serum (McTaggart et al., 2001). Bacterial killing has been reported to be similar to that of

adult horses in several studies but was found to be reduced in other studies (Wichtel *et al.*, 1991). Other neutrophil function alterations reported in foals include increased random migration, decreased chemotaxis, decreased iodination, increased CD18 expression, and the presence of an unidentified serum factor that suppresses oxidative burst activity.

C. Neutrophil Dysfunction Associated with Viral Infection

Viral infections have frequently been incriminated as predisposing food animals to bacterial infections. This effect may, in part, be mediated by virus-induced neutrophil dysfunction. The bovine viral diarrhea (BVD) virus is a classic example of a virus that induces neutrophil dysfunction (Brown et al., 1991; Roth et al., 1981). Neutrophils from cows experimentally infected with cytopathogenic or noncytopathogenic strains of BVD developed marked impairment of iodination capacity. Alternatively, other measures of oxygen radical generation were not altered. Cattle that were persistently infected with BVD had multiple alterations in neutrophil function including decreased random migration, decreased Staphylococcus aureus ingestion, decreased cytochrome C reduction, decreased iodination, decreased antibodyindependent cell-mediated cytotoxicity, decreased cytoplasmic calcium flux, and decreased oxygen radical production.

Another virus incriminated as predisposing cattle to bacterial pneumonia is infectious bovine rhinotracheitis (IBR) virus (McGuire and Babiuk, 1983/1984). In an *in vivo* study, calves were exposed to an aerosol of IBR virus and then to an aerosol of *Mannheimia haemolytica* 5 days later. When compared to calves not exposed to IBR virus, IBR-exposed calves had delayed neutrophil migration into the lungs. Neutrophils from IBR-exposed calves also had decreased random migration and chemotactic responses. Further, alveolar macrophages had decreased capacity to produce chemotactic factors. The results of this study indicate that IBR infection may predispose cattle to secondary bacterial infections.

Neutrophil function has also been investigated in cats infected with feline leukemia virus (Kiehl *et al.*, 1987). Neutrophil chemotaxis was evaluated *in vitro* by use of a modified Boyden chamber apparatus. Cats that were viremic and clinically ill had lower chemotactic responses compared to subclinically infected cats. This did not appear to be a nonspecific effect in that cats that were sick from other causes did not have reduced chemotactic responses.

D. Effects of Nutrition on Neutrophil Function

Selenium and vitamin E appear to be important nutrients in maintaining neutrophil function. As stated previously,

neutrophils from postparturient dairy cows with relatively high blood selenium concentrations had greater superoxide production and greater capacity to kill bacteria compared to cows with relatively low selenium concentrations (Cebra *et al.*, 2003). In another study, injection of selenium-vitamin E into cows was reported to increase the capacity of neutrophils to kill bacteria (Gyang *et al.*, 1984).

Copper deficiency may also adversely affect neutrophil function. Neutrophils from cattle made copper deficient by feeding diets low in copper and high in molybdenum or iron were reported to have decreased capacity to kill *Candida albicans* organisms (Boyne and Arthur, 1986).

E. Effects of Stress on Neutrophil Function

The effects of environmental, transportation, and exercise stress on neutrophil function have been evaluated. The effect of 4h of transportation was evaluated on four 4- to 6-month-old Holstein calves (Murata et al., 1987). Total and differential leukocyte counts, lymphocyte blastogenesis, nitroblue tetrazolium (i.e., measures neutrophil superoxide production), and plasma cortisol concentration were measured before and after transportation. After transportation calves had a neutrophilia, enhanced NBT activity, suppressed lymphocyte blastogenesis, and increased plasma cortisol concentration. These data suggest that neutrophilis became activated during transportation despite the potentially suppressive effects of cortisol on neutrophil function.

The effect of cold stress on neutrophil function has been evaluated in bovine neonates (Woodard *et al.*, 1980). Exposure of neonatal calves to temperatures of 1°C for 3 days had no effect on bactericidal activity of neutrophils.

The effects of exercise on equine neutrophil function have been evaluated (Raidal *et al.*, 2000). When previously untrained horses were subjected to moderately intense exercise, an increase in neutrophil oxidative burst activity and neutrophil phagocytosis was observed. However, high-intensity exercise was associated with transient impairment of these responses. After 17 weeks of exercise training, neutrophil oxidative burst activity and neutrophil phagocytosis were decreased when compared to neutrophil function test results at 0 and 10 weeks. These data suggest that high-intensity exercise training and long duration training have a general suppressive effect on equine neutrophil function.

V. NEUTROPHIL-MEDIATED TISSUE INJURY

Under certain circumstances neutrophils cause injury to host tissues by the same mechanisms used to kill invading organisms (Lee and Downey, 2001; Lehr *et al.*, 2000; Lush and Kveitys, 2000). This injury can vary from local tissue injury at a site of inflammation to systemic activation of

neutrophils resulting in a systemic inflammatory response syndrome. Several experimental models of neutrophilmediated tissue injury have been developed. These include neutrophil-mediated rat lung injury associated with thermal injury to skin, ischemia-reperfusion-induced injury to several organs, endotoxin-induced lung injury, and injury of isolated perfused organs. Localized tissue injury in which neutrophils have been incriminated include sites of inflammation and ischemia/reperfusion injury. Systemic disease states have variously been termed systemic inflammatory response syndrome, adult respiratory distress syndrome (ARDS), endotoxic shock, septic shock, and multiple organ dysfunction syndrome (MODS; Lee and Downey, 2001; Lehr et al., 2000; Maier, 2000). The lungs are particularly sensitive to neutrophil-mediated injury both because of their extensive capillary network and a unique sensitivity of their capillary endothelium to neutrophil-mediated injury. However, other organs, including heart, liver, and kidneys, also can be damaged and undergo functional compromise.

A. Neutrophil-Mediated Injury at Sites of Inflammation

The most studied localized neutrophil-mediated organ injury in veterinary medicine is lung injury associated with shipping fever in cattle. Invasion of the causative organism, Mannheimia haemolytica, results in rapid recruitment of neutrophils into the lungs within the first 2h. These changes are characterized histopathologically by a fibrinopurulent pneumonia and ultrastructurally by endothelial disruption and alveolar epithelial swelling (Whiteley et al., 1992). Lesions are characterized by severe endothelial and alveolar epithelial damage that occurs immediately beneath sites of neutrophil attachment. Alveolar and pulmonary intravascular macrophages appear to play a central role in attracting neutrophils into the lungs (Whiteley et al., 1992). Activated macrophages secrete proinflammatory cytokines, including TNF- α and IL-1, that activate alveolar capillary endothelium, converting it from an antiadhesive and anticoagulant surface to a proadhesive (for leukocytes and platelets) and procoagulant surface (Fig. 11-5). Production of IL-8 and plateletactivating factor by alveolar capillary endothelial cells may be involved in activating neutrophils while they are attached to endothelium (McClenahan et al., 2002). The resultant vascular injury and increased permeability cause flooding of alveoli with plasma and promote thrombotic events.

The role of neutrophils in the lung injury has been investigated by neutrophil depletion studies. Neutrophil depletion before experimental inoculation of *Mannheimia haemolytica* organisms into the lungs of calves markedly attenuates alveolar capillary endothelial injury in the first 6 to 8h after inoculation of the organism.

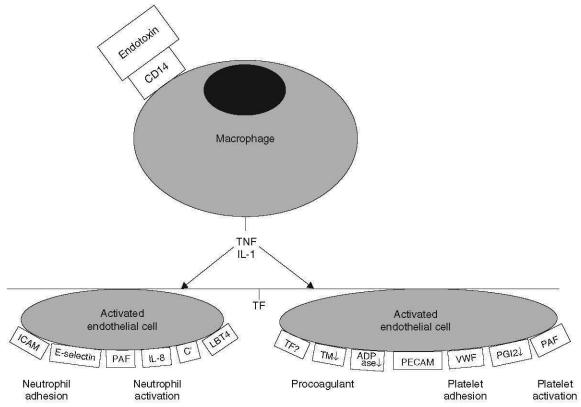


FIGURE 11-5 The activation of endothelial cells at a site of inflammation. At sites of inflammation, activated macrophages release proinflammatory mediators including tumor necrosis factor- α (TNF- α) and interleukin-1 (IL-1). These cytokines activate endothelial cells, converting their surface from antiadhesive and anticoagulant to proadhesive and procoagulant. Activated endothelium express intercellular adhesion molecules (ICAM) and selectin molecules that promote neutrophil adhesion. Activated endothelium also express platelet-activating factor (PAF), interleukin-8 (IL-8), and leukotriene-B4 (LTB4) and may bind complement, all of which will activate neutrophils while attached to endothelium. Decreased expression of adenosine diphosphatase and thrombomodulin as well as increased expression of platelet-endothelial cell adhesion molecules (PECAM) and von Willebrand's factor promote platelet adhesion. Decreased production of prostaglandin I₂ (PGI2) and expression of PAF promotes platelet activation. Finally, tissue factor (TF), a potent activator of the extrinsic clotting pathway, may be expressed on activated endothelium.

B. Ischemia/Reperfusion Injury

Prolonged ischemia results in numerous metabolic and ultrastructural changes within cells. Ischemia decreases cellular oxidative phosphorylation resulting in failure to resynthesize high-energy phosphate compounds such as adenosine 5'-triphosphate. Adenosine 5'-triphosphate catabolism during ischemia results in accumulation of hypoxanthine. Hypoxanthine is subsequently converted to oxygen radicals upon reperfusion of the tissue. Ischemia also promotes expression of leukocyte and platelet adhesion receptors on the cell surface; promotes expression of proinflammatory cytokines (e.g., IL-8) and bioactive agents (e.g., platelet-activating agents, endothelin, thromboxane A2); and suppresses certain gene products including nitric oxide, nitric oxide synthase, thrombomodulin, and prostacyclin.

Restoration of blood flow after a period of ischemia places tissues at risk of further cellular necrosis (Carden and Granger, 2000; Eltzschig and Collard, 2004). Reperfusion

injury is primarily directed at the endothelial cells lining the microvasculature and is manifest as accelerated production of a variety of bioactive agents and expression of adhesion molecules for neutrophils. Neutrophils appear to become activated while attached to endothelium-releasing oxygen radicals and granule contents directly onto the endothelial surface. In addition to production of superoxide by neutrophils, superoxide is generated from hypoxanthine within endothelial cells and is produced by mast cells and macrophages.

Neutrophils may also contribute to tissue hypoperfusion after blood flow is reestablished. Activated neutrophils are rigid and tend to lodge in capillaries, obstructing blood flow. Neutrophil-neutrophil aggregates and platelet-neutrophil aggregates also may contribute to capillary malperfusion by plugging capillaries resulting in prolonged maldistribution of blood flow. Platelet-neutrophil aggregates may also contribute to microthrombus formation.

The observation that blood flow to an ischemic organ is not fully restored after release of a vascular occlusion has been termed the no-reflow phenomenon. Experimental studies, using leukocyte depletion models, have demonstrated a central role of neutrophils in the no-reflow phenomenon.

C. Neutrophil-Mediated Injury at Distant Sites

Neutrophils become activated within the circulation during a variety of physiological and pathological conditions in domestic animals. Circulating activated neutrophils have been documented during strenuous exercise, inflammatory disease, equine colic, and equine laminitis. At least in some circumstances, there is evidence to suggest that these activated neutrophils may contribute to the pathogenesis of the disease process.

1. Exercise

Strenuous exercise has been associated with up-regulation of neutrophil surface-associated integrin receptors in dogs undergoing short duration sled-pulling activity (Moritz et al., 2003). Additionally, decreased neutrophil granularity was observed postexercise. Because CD11b/CD18 integrin is stored in neutrophil granules and transported to the cell surface when neutrophils degranulate, the combination of increased cell surface integrin concentration and decreased granularity postrace is consistent with exercise-associated neutrophil degranulation.

2. Inflammatory Disease

Neutrophils circulate in an activated state in a variety of inflammatory conditions. Dogs with naturally occurring septic and nonseptic inflammatory diseases had increased cell surface expression of CD11b (Weiss et al., 2004). Neutrophils from dogs with septic inflammatory diseases and those with evidence of multiple organ dysfunction also had decreased neutrophil granularity and increased neutrophil size. However, neutrophil activation markers may not predict neutrophil function. In a study by Gosset et al. (1983/1984), neutrophils from dogs with severe inflammatory disease were reported to have a defect in bactericidal activity. Monocytes and lymphocytes from dogs with septic and nonseptic inflammation and multiple organ dysfunction had decreased expression of major histocompatibility factor class-II suggesting impaired immune responsiveness (Weiss et al., 2004).

Leukocyte deformability, neutrophil CD11b expression, and neutrophil size were evaluated in calves experimentally inoculated intrabronchially with *Mannheimia haemolytica* organisms (McClenahan *et al.*, 1999). Infected calves had decreased leukocyte deformability and increased neutrophil size by 1h and increased CD11b expression by 6h after

organism inoculation. Decreased neutrophil deformability and increased size may contribute to sequestration of neutrophils in alveolar capillary beds during sepsis.

3. Colic

The activation status of circulating neutrophils was evaluated in horses with naturally occurring colic (Weiss and Evanson, 2003). Activated neutrophils were not detected in healthy horses or horses with impaction or gas colic. Conversely, horses with inflammatory bowel disease consistently had increased neutrophil cell membrane CD11/CD18 expression, increased neutrophil size, and decreased neutrophil granularity consistent with circulating activated and degranulated neutrophils. These horses also had decreased leukocyte deformability indicating that neutrophils were rigid. Horses with strangulating colic had variable results. Among horses with strangulating colic, changes in leukocyte deformability, neutrophil size, and neutrophil granularity correlated directly with adverse outcome.

4. Equine Laminitis

Neutrophils may become activated in the prodromal stages of equine laminitis. At various times during the first 12h after induction of black walnut-induced laminitis, horse neutrophils had increased oxygen radical production, and some horses had increased phagocytosis of bacteria (Black et al., 2006). These changes were associated with neutropenia and were presumed to be due to a systemic inflammatory response resulting from absorption of inflammatory mediators from the intestine. These activated neutrophils have been incriminated in the pathogenesis of the laminar injury (Black et al., 2006).

5. Adult Respiratory Distress Syndrome and Multiple Organ Failure

Activated neutrophils are central to the pathogenesis of ARDS and MODS associated with sepsis and endotoxemia (Lehr et al., 2000). As in ischemia/reperfusion injury, microvascular injury is a major site of injury in these conditions. The lungs are particularly sensitive to neutrophil-induced vascular injury. The process of lung involvement has been divided into four sequential stages: (1) sequestration of neutrophils in pulmonary capillaries, (2) adhesion, (3) activation, and (4) release of oxygen radicals and proteases (Lee and Downey, 2001). The process of neutrophil sequestration in the lungs differs from that of other tissues. In other tissues, neutrophil sequestration occurs predominately in postcapillary venules and is dependent on selectin and integrin adhesion molecules. Alternatively, neutrophil sequestration in the lungs occurs primarily in pulmonary capillaries and is largely independent of adhesion molecules. Sequestration References 345 ■

is thought to be due to the fact that activated neutrophils are rigid and tend to lodge in capillary beds.

Limited neutrophil activation while in contact with the endothelium is probably a normal process. This results from binding of neutrophil adhesion molecules to endothelial cells. Although not completely elucidated, these signaling pathways appear to involve the Src-family of tyrosine kinases, mitogen-activated protein kinases, and phosphatidylinositol 3-kinase (Lee and Downey, 2001). However, excessive activation of neutrophils while attached to endothelium can result in direct release of oxygen radicals and granule contents onto the endothelial surface. A variety of factors, including platelet-activating factor, IL-8, LTB₄, and complement, have been incriminated as neutrophil activating agents. Platelet activating factor and IL-8 are produced by activated endothelial cells and expressed on the cell surface. Neutralization of platelet activating factor both in vitro and in vivo has been reported to attenuate bovine neutrophil-induced endothelial injury (McClenahan et al., 2000, 2002). Multiple mediators are involved in neutrophil-mediated endothelial injury. Mediators implicated in various experimental models of ARDS include oxygen radicals, activated complement factors, nitric oxide, proteolytic enzymes, and metalloproteinases (Lee and Downey, 2001). Consequences of this endothelial injury include (1) massive leakage of plasma into alveoli, (2) vasodysregulation leading to maldistribution of blood flow, and (3) disturbances of oxygen transport and utilization. All of these lead to dyspnea and hypoxemia.

As in ischemia/reperfusion injury, capillary plugging by activated neutrophils appears to play a central role in ARDS and MODS. Activated neutrophils are stiff because of the assembly of F-actin filaments and tend to lodge in capillaries. Additionally activated neutrophils form neutrophil-neutrophil and neutrophil-platelet aggregates, which form microvascular plugs in larger vessels. This leads to microvascular thrombosis, tissue necrosis, and release of additional toxic substances.

D. Role of Neutrophils in Microvascular Thrombosis

Local and disseminated activation of coagulation frequently accompanies sepsis and endotoxemia (Weiss and Rashid, 1998). The mechanism responsible for this sepsis-induced procoagulant effect is complex. The role of neutrophils in microvascular thrombosis appears to be in their capacity to induce endothelial injury and to bind and activate platelets. Endothelial injury results in conversion of the endothelial cell surface from an anticoagulant surface to a procoagulant surface (Fig. 11-5). Destruction of endothelial cells activates the intrinsic clotting system through exposure of subendothelial collagen and activates the extrinsic coagulation system through contact with tissue factor.

Platelets also frequently become activated during sepsis and endotoxemia. Both activated neutrophils and activated endothelial cells express platelet activating factor that is a potent platelet agonist. When activated platelets degranulate, P-selectin is transported from alpha granules to the cell membrane. P-selectin interacts with PSGL-1 on the neutrophil surface forming platelet-neutrophil aggregates. Plateletneutrophil aggregates are rigid and therefore lodge in capillary beds and initiate microvascular inflammatory and thrombotic events. Platelet-neutrophil aggregates have been detected in horses undergoing near-maximal treadmill exercise and in horses and ponies with carbohydrate overloadinduced laminitis (Weiss et al., 1998a, 1998b). The potential causative role of activated platelets/platelet-neutrophil aggregates in laminitis was inferred from in vivo studies in which ponies were treated with a competitive inhibitor of platelet aggregation before administration of carbohydrate overload. The platelet aggregation inhibitor prevented the formation of platelet-neutrophil aggregates and the prevented onset of lameness in all eight ponies tested (Weiss et al., 1998a).

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Diagnostic Enzymology of Domestic Animals

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I. INTRODUCTION

The detection of proteins in serum by their catalytic activity as a reporter of tissue damage is a cornerstone of medical laboratory analyses (Rej, 1998).

Clinical enzymology is the discipline that studies and tests enzyme activity in serum, plasma, urine, or other body fluids for the purpose of helping to establish the diagnosis and prognosis of disease and to screen for abnormal organ function. Although not the subject of this chapter, it should be noted that some enzymes are also of major importance as

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analytical reagents. This chapter first explores the universal factors affecting changes in enzyme content of bodily fluids and then delves into specific details relevant to particular enzymes. Discussion of basic concepts in enzymology, such as enzyme structure, kinetics, or analysis, is limited to those that are of clinical relevance or that add insight into interpreting changes in body fluid enzyme activity. Additional information regarding enzyme structure and enzyme kinetics can be found in numerous biochemistry texts as well as clinical texts such as *Tietz Fundamentals of Clinical Chemistry* (Burtis and Ashwood, 2001) and the previous edition of this book. In addition, the methodology of the various enzyme assays can be found in the literature provided by the vendors of enzyme assay reagents.

II. HISTORY OF CLINICAL ENZYMOLOGY

Although the presence of enzymes in cells and plasma was first recognized in the 1800s, the development of clinical enzymology began after the introduction of an assay for serum amylase by Wohlgemuth in 1908 and the report in 1916 that serum amylase activity in blood and urine was a reliable test for pancreatic disorders (Rosenfeld, 1999). This finding was followed in 1927 by the discovery of alkaline phosphatase (ALP) in bone and the description of serum alkaline phosphatase as a diagnostic test (Rosenfeld, 1999). The development and marketing by Sigma Chemical Company in St. Louis in the 1950s of simplified enzyme assays in kit form, such as aspartate and alanine aminotransferases, and an ALP assay that used p-nitrophenylphosphate as substrate (Technical Bulletin 104), were major factors in their routine clinical use and encouraged additional studies in diagnostic enzymology (Berger, 1993; Bessey et al., 1946; Reitman and Frankel, 1957). In addition to assay reagent development, an equally significant contribution to the development of clinical enzymology was the invention by Leonard Skeggs of a multichannel autoanalyzer that was marketed by Technicon in 1964 (Skeggs, 2000). The autoanalyzer increased the availability of reduced cost serum enzyme analyses, which ultimately led to their routine use in both human and veterinary diagnostic medicine.

The advancement of clinical enzymology included the development and evaluation of enzyme assays for use in nonhuman animal species, some of which have been found useful, whereas others have been dropped for various reasons. In some cases, the decision to investigate an enzyme for diagnostic use may have related not only to its potential clinical relevance but also to the efficiency of offering the test. In spite of recognition of species differences, veterinary medicine has often followed human medicine in its choice of diagnostic tests. The bias toward enzyme assays used in human medicine is due in part to their availability on automated analyzers, making all tests low-cost, on-demand assays with a high degree of precision and accuracy.

The automation of enzyme assays, and the popularity of the serum chemistry profile in veterinary medicine, has allowed retrospective studies to be conducted and has given the veterinarian an opportunity to critically evaluate the diagnostic function of the common assays in a large number of animals on a regular basis, as well as gain a "feel" for the results, thereby allowing for more subtle clinical interpretations. It is likely that diagnostic tests that are not automated are less understood and interpreted in a more rigid manner with less appreciation for nuances and significance of the test result. Interestingly, during the first approximately 30 years of serum enzyme testing in veterinary medicine, these tests were often viewed as "diagnostic" tests, whereas in the past approximately 20 years their variable and often somewhat limited degree of diagnostic specificity has been appreciated and they are now most often recognized as "screening" tests.

Although serum enzyme activity is reported as part of numerous studies published in the literature, the number of studies directed primarily at answering specific questions regarding the enzymes appears to have decreased since the late 1990s from what might be considered the heyday of clinical enzymology in the 1960s to mid-1990s.

III. FACTORS AFFECTING SERUM ENZYME ACTIVITY

As the field of clinical enzymology has developed, so has our understanding of the physiological factors responsible for the alterations in serum enzyme activity that occur with disease, although several unanswered questions remain. Organ specificity, subcellular location of the enzyme, the mechanism of enzyme release from cells, the clearance from blood, and the rate of induction of enzyme synthesis all affect to a lesser or greater extent the diagnostic accuracy of the various enzyme assays (Hoffmann and Solter, 1989; Solter, 2005). This section discusses the physiological,

biochemical, and anatomical factors that affect changes to serum enzyme activity.

A. Organ Mass and Enzyme Tissue Concentration

The roles that enzyme tissue concentration and organ mass play on the magnitude of blood enzyme activity are relatively straightforward. Organs with a high concentration of an enzyme have the potential to cause a greater increase in serum enzyme activity with disease. For example, the intracellular to extracellular concentration gradient of hepatocellular alanine aminotransferase (ALT) is 100,000:1. Injury to hepatocytes, therefore, has the potential of causing markedly increased serum ALT activity. The higher the concentration gradient of the enzyme or protein marker between the cell and the interstitial space, the faster is the translocation of significant quantities of the enzyme to the interstitial space and ultimately the blood (Mair, 1999). Likewise, the liver has a large mass, thereby adding to the potential increase in serum ALT activity.

B. Cell Location

The location of cellular enzymes relative to the blood, urine, or other fluids is an especially significant determinant of whether an increase in enzyme activity will occur with enzyme release and in which fluid it will be found. A well-known example is renal tubular gamma glutamyltransferase (GGT) located on the luminal surface of renal tubular epithelial cells. Injury to these cells results in release of the gamma GT into urine but not into blood. Similarly, alkaline phosphatase (ALP) located on the luminal surface of enterocytes is lost into the gut lumen rather than blood with enterocyte injury. Hepatocellular ALP, however, with activity over both bile canalicular and sinusoidal surfaces, can be increased in both bile and blood.

C. Mechanisms of Release of Cytoplasmic Enzymes or Other Protein Biomarkers from Cells to Blood

Cytoplasmic enzymes are contained within cell membranes. Healthy plasma membranes are thought to be impermeable to macromolecules such as enzymes. Therefore, alteration in the cell membrane is necessary to allow cytoplasmic enzymes to gain access to the blood. In the event of cell necrosis, perforations and tears of the cell membrane allow the release of cytosolic contents in a relatively straightforward process. However, increases of serum enzymes do not always correlate to the degree of histological evidence of cell necrosis. Hence, it is has been long postulated that under certain circumstances, cytoplasmic enzymes may "leak" from

diseased cells that remain viable, perhaps through membrane pores or tears. However, it is difficult to envision that a cell could develop a pore or tear large enough to allow "leakage" of macromolecules such as enzymes while maintaining the intracellular-to-extracellular electrolyte ratios necessary to remain viable. An alternative mechanism by which a cell could sustain damage from which it survives and yet allows the release of cytoplasmic enzymes is the formation of membrane blebs (Coltran et al., 1999; Gores et al., 1990; Lemasters et al., 1983; Mair, 1999). These blebs then are ruptured or are released as vesicles into the blood where they are eventually broken down, releasing their contents, including cytoplasmic enzymes. The body of knowledge supporting this concept has been growing since the 1980s (Gore et al., 1990; Kristensen, 1994; Mair, 1999; Solter, 2005).

Cell membrane bleb formation has been recognized following hypoxic insults and likely reflects two sequential developments. Depletion in energy stores in the form of ATP is followed by several events including the influx of calcium into the cell (Coltran et al., 1999). This calcium influx results in activation of intracellular phospholipases, endonucleases, and proteases and ultimately in disruption in the phosphorylation state of cytoskeletal proteins and an alteration in lipid membrane content. A combination of the altered cytoskeletal proteins, lipid membrane content, and osmotic swelling of the cell leads to bleb formation, release of these blebs, and resealing of the cell membrane (Fig. 12-1). Hepatocyte bleb formation, projection of these blebs through the fenestrations of endothelial cells, and release of these blebs during hypoxia are clearly shown in scanning electron micrographs (Lemasters et al., 1983). Bleb formation has been described with many conditions including ischemia, shock, viral infections, toxemia, and cholestasis. The magnitude of serum enzyme increase with reversible cell injury and bleb formation is not clearly understood, but it is likely that the magnitude of release and resultant increase activity in serum are considerably less than what might be observed with cell necrosis. Hence, it is reasonable to assume that the greater the magnitude of serum enzyme increase, the greater likelihood of some irreversible cell death, whereas mild serum enzyme activity increases may be associated with reversible cell injury.

Although cytoplasmic enzymes may be released from cells into blood because of bleb formation, enzymes associated with the mitochondria are not released by this mechanism (Kamiike *et al.*, 1989). Appreciable loss of membrane integrity and presumably cell death are necessary for release of mitochondrial aspartic aminotransferase (mAST) from hepatocytes. Ischemic liver does not lose mAST until almost all cytoplasmic aspartic aminotransferase (cAST) is lost.

Regardless of the mechanism of release of the enzymes, there is evidence that the enzymes are released into the interstitial space where the greater portion is carried by lymphatics to the thoracic duct and emptied into the blood

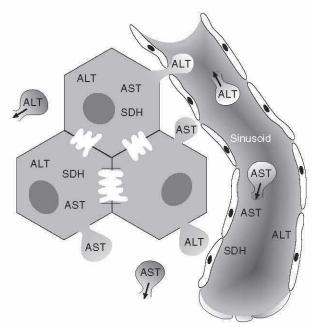


FIGURE 12-1 Membrane bleb formation in reversible injury, allowing the release of cytoplasmic enzymes either directly into blood or into the interstitial space where they can be carried by lymphatics to blood.

(Bolter and Critz, 1976; Lindena et al., 1986). Lymph-to-serum ratios of most enzymes are greater than 1:1, providing support for the delivery of enzymes to blood via the lymphatics. However, the direct delivery of the enzyme from the injured cell to blood cannot be discounted and is supported by the electron micrographs of cell blebs extending through the fenestrations of the endothelium as discussed earlier. This delivery of the enzyme from the injured cell to blood, whether directly or indirectly via lymphatics, likely affects the time of maximum serum increase of the enzyme after injury and duration of the presence of the increase of the enzyme in blood as suggested by the longer half-life of CK when injected intramuscularly as opposed to intravenous injection (Aktas et al., 1995).

D. Mechanisms of Release of Membrane-Bound Enzymes

Those enzymes attached to the external surface of cell membranes such as alkaline phosphatase (ALP), gamma-glutamyl transferase (GGT), and 5'nucleotidase (5'N) are released from cells to blood by distinctly different mechanisms than enzymes derived from the cytoplasm.

Of historical interest, increases of serum ALP activity were perhaps first thought to result from a failure of excretion of bone ALP by the liver. This concept was put to rest many years ago and followed by the concept that cholephilic enzymes were shed from the bile canalicular surface of hepatocytes or biliary epithelial cells into bile and then regurgitated into blood through tight junctions. Support for

this pathway was provided by evidence of disruptive changes to tight junctions in cholestasis and by experimental observations of infused horseradish peroxidase moving through tight junctions (Boyer, 1993; Lowe et al., 1988). However, increases of serum ALP and GGT activity have been shown to occur in the absence of increased biliary pressure and any evidence of alterations in tight junctions, and it is unlikely that this paracellular pathway is a significant contributor to the appearance of cholephilic enzymes in serum in most cases (Debroe et al., 1985; Putzki, 1989; Toyota et al., 1983). An alternative pathway of movement of cholephilic enzymes to blood has been suggested as a retrograde vesicular transport system following the observation that retrograde infusion of ferritin and polymeric and secretory forms of IgA undergo reversed transcytosis from the biliary or apical surface of the hepatocytes to the basolateral or sinusoidal surface during cholestasis (Carpino et al., 1981; Jones et al., 1984). However, neither of these pathways allows explanation of the appearance of ALP and GGT activity in blood in the absence of cholestasis. Studies using a choledochocaval shunt model show that within 12h of shunting of bile or taurocholic acid into blood, there is a marked induction of ALP synthesis, appearance of ALP on the basolateral membranes, and a parallel increase in serum ALP activity (Ogawa et al., 1990). This occurs in the absence of increased biliary pressure and any evidence of alterations in tight junctions (Toyota et al., 1983). These observations along with others led to a third and more likely mechanism of the appearance of cholephilic enzymes, especially ALP, in blood. The basolateral appearance of enzymes typically considered to be on the apical membrane or bile canalicular surface is not unexpected as following synthesis all apical membrane proteins are believed to first be transported to the basolateral surfaces before vesicular transport to their final site on the bile canalicular membrane (Bartles et al., 1987; Maurice et al., 1994; Schell et al., 1992). Therefore, these so-called biliary enzymes or proteins have a brief period of residence on the sinusoidal surface of the hepatocytes with the enzyme on the external surface in the space of Disse so that they can potentially be released into blood if and when a suitable release mechanism exists. In addition, the quantity of enzyme available on the basolateral membrane and accessible for release into blood is increased at any time there is increased synthesis of the enzyme as described previously with the choledochocaval shunt model, as occurs with cholestasis and as may occur during hormonal or drug-driven induction of enzyme synthesis (Ogawa et al., 1990; Putzki et al., 1989; Solter and Hoffmann, 1999; Solter et al., 1997). Although positioned on the basolateral membrane facing the space of Disse for a brief period allows the possibility of release into blood, this does not occur without appropriate conditions to cleave the hydrophobic anchor. Alkaline phosphatase and 5'nucleotidase are anchored to the membrane via a hydrophobic phosphatidylinositol glycan anchor, whereas GGT is anchored via a transmembrane peptide therefore requiring

different mechanisms of release. These release mechanisms will be discussed specifically in the sections dealing with each of these enzymes.

E. Blood Clearance Rates of Enzymes

The amount of enzyme activity in blood is very dependent on the rate of clearance of the enzyme from the blood following its release from cells. The half-lives of various enzymes range from minutes to hours to days, and the mechanisms or factors that determine the half-life of the various enzymes vary.

The actual mechanisms of removal of enzymes from blood are not well established but likely are varied. Some small-molecular-weight enzymes such as amylase and lipase are, in part, filtered through the glomerulus. Enzymes that are glycoproteins are likely endocytosed by the galactose receptors on hepatocytes either directly via exposed galactose molecules or after loss of terminal sialic acid molecules resulting in exposed galactose residues, or are endocytosed by mannose receptors on Kupffer cells. Other enzymes may be degraded by proteases or are labile and activity is lost while the protein continues to circulate. The rate of clearance of enzymes from blood can be affected by disease and may complicate the correct interpretation of diagnostic test results. For example, pancreatic amylase activity, which is normally cleared by the kidneys, will increase in patients with renal failure because of the decreased glomerular filtration rate. A false-positive test result for pancreatitis could result.

F. Enzyme Induction

Changes to serum enzyme activity may in some cases reflect changes in enzyme production by the cells, rather than cell injury. Although there is certainly evidence of varying concentrations of cytoplasmic enzymes in cells, these generally do not result in dramatic changes in the serum activity of these enzymes. Marked increases in serum enzymes as a result of induction are most often associated with enzymes that are membrane bound where they can readily be released from the membrane into the lymphatics or blood or secreted by the cell. This induction can be as a result of hormonal changes, pathophysiological events such as cholestasis, or can be drug induced.

IV. SPECIFIC ENZYMES

A. Alanine Aminotransferase

Alanine aminotransferase (EC 2.6.1.2) (ALT), formerly known as glutamic pyruvate transaminase, catalyzes the reversible transamination of L-alanine and 2-oxoglutarate to pyruvate and L-glutamate. ALT, along with other transaminases,

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plays a role in amino acid catabolism and interorgan nitrogen transport. Pyridoxal 5'-phosphate (PP) is the cofactor of ALT, thus forming the active holoenzyme. PP is generally present in serum in sufficient quantities to provide near maximum activity of the ALT with only a reported 11% and 7% inactive apoenzyme in dog and cat serum, respectively (Stokol and Erb, 1998). There was no difference found between the percentage of inactive apoenzyme in the serum of normal animals and those with hepatic disease. However, two dogs were identified with 14,225% and 336% greater serum ALT activity when PP was added (Mesher et al., 1998). Approximately half the ALT in serum from a group of exercising Thoroughbred horses was in the inactive apoenzyme form (Rej et al., 1990). Hence, because there are cases in which PP seems to be limiting the measured ALT activity, some, but not all, commercial assays for ALT now contain added PP reagent.

ALT activity is found in several body organs, but the magnitude of activity varies dramatically with species. In dogs, the ALT activity per gram of liver is at least four times greater than in other organs, although considerable activity is found in both heart and skeletal muscle (Clampitt and Hart, 1978; Keller, 1981; Zinkl et al., 1971). Similar findings are true for cats, but in horses, cattle, and swine, the ALT activity per gram of tissue differs little in liver when compared to muscle. Hence, based on tissue concentrations of ALT, increased serum ALT activity is somewhat specific for hepatic injury in dogs and cats but offers no specificity for detection of liver injury in horses and cattle.

ALT, found in the cytoplasm of hepatocytes, is also found in mitochondria but generally at considerably lower concentrations, depending on species and tissue. Although it has been suggested that the mitochondrial enzyme may be released into blood more slowly following hepatocellular injury, this activity is still poorly understood and has not been utilized as a diagnostic tool.

The half-life of ALT in blood is not clearly defined, although the circulation time is obviously adequately long to evaluate organ injury and release of ALT into blood for hours to days after the event. In dogs, reports have suggested half-lives of 3, 20, 45, and 60h (Fleisher and Wakim, 1963; Reichard, 1959; Zinkl et al., 1971). Semilogarithmic plots of the decline in serum ALT activity following peak activity induced by acute CCl₄ exposure suggest a half-life of between 45 and 60h in dogs, although this may be a slight overestimation, as injured tissue is still likely present and contributing to the blood pool (unpublished data). The half-life of ALT from feline liver extracts, administered intravenously to cats, was estimated as 3 to 4h (Nilkumuhaug and Thornton, 1979). This is consistent with the half-life of 6h for ALP activity in the blood of cats (Hoffman et al., 1977).

Serum ALT has been recognized as a marker of hepatocellular injury since the 1950s (Chimsky et al., 1956;

Cornelius, 1958). The use of ALT as a diagnostic tool was expedited by the development in the mid-1950s of a simple coupled assay for ALT activity in serum that eliminated the problem of product inhibition (Reitman and Frankel, 1957). Numerous studies using carbon tetrachloride have clearly shown the value of serum ALT as an indicator of hepatocellular necrosis, especially in dogs and cats, but to a much lesser extent in horses, cattle, swine, sheep, and goats (Cornelius et al., 1958; Everett et al., 1977; Noonan, 1981; Noonan and Meyer, 1979; Spano et al., 1983; Turgut et al., 1997; Zinkl et al., 1971). The length of time that serum ALT activity is increased ranges from 9 to 23 days in dogs, which suggests prolonged injury to the liver but also supports the longer half-life suggested earlier (Guelfi et al., 1982; Noonan, 1981; Turgut et al., 1997). Relatively mild increases in serum ALT activity occur in dogs and cats with biliary obstructive diseases that cause serum ALP activity to increase markedly (Everett et al., 1977; Spano et al., 1983). Hence, the ratio of serum ALT-to-ALP activity is far greater in cases of hepatic necrosis than with cholestasis, suggesting that very general interpretive conclusions can be made by comparing the magnitude of increase of serum activity of these two enzymes. Increased serum ALT activity occurs with a wide range of other disorders including hypoxia secondary to anemia, metabolic diseases such as lipidosis, nutritional disorders such as copper toxicosis, inflammatory or infectious diseases, neoplastic diseases, and traumatic liver injury. Increased serum ALT activity has also been associated with numerous drugs; in many cases, these are likely idiosyncratic reactions causing hepatocellular toxicity. Exposure to carbon tetrachloride, mushroom alkaloids, or acetaminophen is clearly a hepatotoxic event.

Mild to moderate increases in serum ALT activity are also observed in dogs and cats with endocrine diseases such as diabetes mellitus, hyperthyroidism, hyperadrenocorticism, and hypothyroidism. For example, 163 (78%) dogs with diabetes mellitus have increased serum ALT activity (Hess et al., 2000). Cats with diabetic ketoacidosis commonly have increased serum ALT activity (Bruskiewicz et al., 1997). Increased serum ALT activity is common in dogs with hyperadrenocorticism or dogs treated with glucocorticoids (DeNova and Prasse, 1983; Dillon et al., 1980; Solter et al., 1994). It has been shown in rats that ALT synthesis may be induced by glucocorticoids in order to increase function of the gluconeogenic pathways. However, experimental treatment of healthy dogs with glucocorticoids did not result in an increase in the concentration of hepatic tissue ALT activity, suggesting that increased hepatic mass plays a larger role than increased hepatocellular enzyme induction for an observed increased serum ALT activity (Solter et al., 1994).

Although early studies of increased serum ALT activity following experimentally induced hepatocellular injury and the studies demonstrating much higher ALT activity

in liver than other organs led to the early conclusion that increases of ALT activity in serum are specific for hepatocellular injury, there is clear evidence that serum ALT activity can also be increased as a result of injury to myocytes as well. Dogs in a colony with canine X-linked muscular dystrophy and ongoing muscle necrosis had increased serum CK, AST, and up to a 25-fold increase in ALT activity but a normal SDH activity, suggesting that myonecrosis contributed to the increased serum ALT activity (Valentine et al., 1988). This is consistent with the presence of some ALT activity in cardiac and skeletal muscle of dogs. In a case report of a cat with myokymia and neuromyotonia, the CK activity was 28,380, whereas the ALT activity was only 195 U/l; in a study of rhabdomyolysis in three dystrophin-deficient cats, the CK activity ranged up to 2040 times the upper limit of the reference range, whereas the ALT activity only increased to 19 times the upper limit of the reference range, suggesting only a minimal increase of serum ALT activity should be expected with muscle injury in this species (Galano et al., 2005; Gaschen et al., 1998).

Although at least one early study in dogs showed a correlation between the magnitude of serum ALT activity and histological evidence of necrosis, other studies have reported little correlation (VanVleet and Albert, 1968). Similarly, bile duct ligation of dogs led to a 25-fold increase in serum ALT activity with minimal evidence of hepatocellular necrosis. As discussed in the introduction, the recognition of the formation of membrane blebs on hepatocytes and the rupture of these blebs during various conditions such as endotoxic shock, carbon tetrachlorideinduced injury, cholestasis, and experimentally induced hypoxia have led to the understanding that there can be an increase of serum enzymes derived from the cytoplasm of the cell in cases of reversible cellular injury. In summary, the observation of increased serum ALT activity indicates hepatocellular (or myocyte) injury, but it does not necessarily imply irreversible injury and does not suggest a specific cause.

B. Aspartate Aminotransferase

Aspartate aminotransferase (AST: EC 2.6.1.1) (formerly glutamic oxaloacetic transaminase; GOT) catalyzes the transamination of L-aspartate and 2-oxoglutatarate to oxaloacetate and glutamate. As with ALT, pyridoxal-5'-phosphate (PP) is required as a cofactor. Although serum ALT was poorly saturated with PP in a study following exercise in horses, 94% of the AST was saturated and present as the holoenzyme (Rej *et al.*, 1990). Providing PP in the assay reagent may be less of a concern when determining serum AST activity than when determining serum ALT activity.

AST activity is relatively high and in similar amounts in liver and in skeletal and cardiac muscle, but it varies between species (Boyd, 1983; Keller, 1981). It is routinely

used in equine and food animal medicine as a screening test for injury to both organs. Serum AST activity is readily available on the biochemical profile, has a longer blood half-life than sorbitol dehydrogenase and creatine kinase, and is stable for days in serum at room temperature, refrigerated, or frozen. AST is found in erythrocytes, and the addition of erythrocyte lysate to serum increases the apparent AST activity (unpublished data).

AST is located in the cytosol but is in higher concentrations in mitochondria. There is only 48.1% amino acid sequence homology between cytosolic AST (cAST) and mitochondrial AST (mAST) from horse heart (Doonan et al., 1986). Likewise, the nucleotide sequences of cDNA of bovine mAST and cAST are also distinctly different (Aurila et al., 1993; Palmisano et al., 1995). Although there have been some efforts to show enhanced ability to identify organ-specific injury by assays for mAST and cAST, this has been shown to be of no diagnostic value (Jones and Blackmore, 1982). It may be theoretically possible to estimate the magnitude of reversible versus irreversible cell injury by determining mAST and cAST separately; however, this has not been studied empirically.

Although the half-life of AST has been reported to be as long as 7 to 8 days in horses and as short as 163min in dogs, neither of these seems reasonable based on data obtained following carbon tetrachloride toxicity (Fleisher and Wakim, 1963; Zinkl *et al.*, 1971). Decreasing serum AST activity in horses recovering from CCl₄-induced hepatotoxicity, as well as studies of equine myoglobinuria, suggests a half-life of 3 to 4 days (Bernard and Divers, 1989; Cardinet *et al.*, 1967; Noonan, 1981). In cattle with mild CCl₄-induced hepatotoxicity, serum AST activity during recovery suggests a half-life of approximately 1 day (Yonezawa *et al.*, 2005). Serum AST has a longer half-life than creatine kinase, and therefore it would be expected to have increased diagnostic sensitivity during recovery from myocyte or hepatocyte injury.

Increased serum AST activity is observed with both reversible and irreversible injury to hepatocytes and can be seen following hepatocellular injury and cholestasis, similar to serum ALT activity in dogs and cats. Likewise, serum AST is increased following myocyte injury. In either case, the definitive disease process cannot be identified, only that cellular injury in muscle or liver has occurred. Because serum AST activity cannot differentiate between hepatocellular or myocyte injury, further testing is often required using organ-specific enzymes such as sorbitol dehydrogenase or creatine kinase. Markedly increased serum AST and sorbitol dehydrogenase activity suggest acute or active hepatocellular injury, and markedly increased serum AST with modest to moderate sorbitol dehydrogenase activity suggests chronic hepatic injury or recovery from acute liver injury. Similar conclusions can be drawn using serum AST and creatine kinase activity. As with other cytosolic enzymes, serum AST activity cannot distinguish between IV. Specific Enzymes 357 ■

reversible and irreversible cell injury as the cAST can be released by mechanisms involving nonlethal cell membrane blebbing. However, because a major portion of AST is of mitochondrial origin, the magnitude of increase during reversible cell injury is expected to be less than in irreversible injury, but this has yet to be clearly shown.

The diagnostic sensitivity of serum AST activity in horses has been reported as 72% for hepatic necrosis and 100% for hepatic lipidosis (West, 1989). The specificity of serum AST activity was variable, and it decreased with primary gastrointestinal and orthopedic conditions secondary to affects on liver and skeletal muscle, respectively. In cattle, the sensitivity is reported to be 94% for hepatic lipidosis, 100% for leptospirosis, but only 53% for hepatic abcessation, and 46% for fascioliasis (West, 1991). Specificity was again variable depending on the primary condition.

In summary, serum AST determinations are still part of many biochemical profiles because of their relatively high sensitivity for detection of hepatocyte injury and myocyte injury and stability in serum. However, serum AST activity clearly lacks specificity when compared to tissue-specific enzymes, such as sorbitol dehydrogenase and glutamate dehydrogenase for the detection of hepatocyte injury and creatine kinase for the detection of myocyte injury.

C. Sorbitol Dehydrogenase

Sorbitol dehydrogenase (SDH; EC 1.1.1.14), also known as iditol dehydrogenase, catalyzes the following reaction:

$$sorbitol + NAD^+ \leftrightarrow fructose + NADH$$

The active sites of SDH contain $\rm Zn^{2+}$. Hence, when EDTA blood collection tubes are used, SDH activity is inhibited. Serum or heparinized plasma can be used for analysis. Sample stability has also been of concern for the use of SDH in diagnostic medicine with bovine serum SDH activity stable for at least 5h at room temperature, 24h refrigerated, and 72h frozen, whereas in equine serum SDH remains stable for 5h at room temperature, 5h refrigerated, and 48h frozen (Horney *et al.*, 1993). In another study, bovine SDH activity was stable for 1 month at $-20^{\circ}\rm C$ (West, 1991).

SDH is not membrane bound and is located in the cytoplasm of cells. The highest concentration of SDH activity is in liver followed by kidney, but it is also found in most other tissues at much lower amounts (Boyd, 1983; Keller, 1981; Nilkumang and Thornton, 1979). SDH activity is considered liver specific in all species, and there have been no reports of nephrotoxicity causing increased serum SDH activity.

The $T_{1/2}$ of SDH in blood is likely relatively short in all species. Its reported $T_{1/2}$, based on intravenous administration of cat or dog liver extracts, is 3 to 4h for cats and 5h for dogs (Nilkumhang and Thornton, 1979; Zinkl *et al.*, 1971). The $T_{1/2}$ of SDH in swine is reported as 1.6h. In dogs

and horses treated with CCl_4 , a rapid decrease in SDH activity following peak activity, supports a $T_{1/2}$ of less than 12h. The short circulatory half-life may be due in part to the labile nature of the enzyme, similar to that observed in serum samples *in vitro*. This short $T_{1/2}$ limits to some extent the usefulness of the test, as it is easy to miss peak activity following a hepatic insult, and serum SDH activity may be within reference intervals in chronic hepatic disease.

Because of its short half-life and the labile nature of SDH activity in serum, SDH activity is less favored for detection of hepatic disease in dogs than serum ALT activity. However, there are two occasions when SDH analysis may be useful in dogs. First, in dogs with traumatic muscle injury, where there is increased serum ALT and CK activity, a determination of SDH activity will quickly rule out whether there is concurrent hepatic injury. A second use of SDH activity determination in dogs might be in conjunction with ALT activity to determine if there is persistent hepatocellular injury. If the ALT activity is markedly increased and SDH activity is not, recovery is likely, but if both are markedly elevated, an ongoing insult to the liver is likely present. This sort of interpretation, however, is highly subjective and would require repeated monitoring to be of value.

Serum SDH activity is of greater value than serum AST activity in large animals because of its increased specificity for hepatocellular injury. Marked increases of serum SDH activity occur within hours of experimentally induced hepatic necrosis in horses and cattle (Noonan, 1981). Serum SDH activity has been reported as a value for the detection of hepatic lipidosis, hepatic necrosis, leptospirosis, fascioliasis, and hepatic abscessation in cattle, and detection of hepatic necrosis, lipidosis, and cirrhosis in horses (Cebra et al., 1997; Lechtenberg and Nagaraja, 1991; West, 1989, 1991). Whereas the specificity of serum SDH activity in both cattle and horses with nonhepatic disease is 100%, the sensitivity for detecting hepatic lipidosis, hepatic abscessation, and leptospirosis in cattle was less than 50%; for detecting hepatic cirrhosis and lipidosis in horses it was less than 50%; and for detecting hepatic necrosis in horses it was 76% (West, 1989, 1991). In essentially all conditions evaluated in these two species, serum AST and glutamate dehydrogenase (GDH) activity were more sensitive than SDH activity. However, the specificity of serum AST and GDH was generally less than the specificity of SDH activity. The lower sensitivity may be in part due to the short half-life of the enzyme in circulation; especially in chronic low-grade conditions where large numbers of cells are not injured at any one time, the SDH activity may not exceed the reference range.

D. Glutamate Dehydrogenase

Glutamate dehydrogenase (GDH) (EC 1.4.1.3) is a mitochondrial enzyme that catalyzes the removal of hydrogen from L-glutamate to form the corresponding ketimine acid that then undergoes spontaneous hydrolysis to

2-osoglutarate. The liver has by far the highest concentration of GDH activity (Boyd, 1983; Keller, 1981). Lesser amounts are found in the kidney and small intestine, where the GDH activity is located in the proximal and distal tubular epithelial cells and in the mucosal epithelial cells, respectively. The GDH activity of nonhepatic tissues is relatively small compared to that found in liver, where GDH is concentrated in the central areas of the lobule. In all species, increases in serum GDH activity are considered liver specific. As a result, there has been little or no interest in investigating isoenzymes of GDH in serum for diagnostic purposes. GDH is a zinc-containing enzyme whose activity can be inhibited by EDTA.

Bovine serum GDH activity reported as stable for greater than 1 month at -20° C and was considered more stable than SDH (West, 1991). The *in vivo* half-life in six cows was reported as 14h (Collis *et al.*, 1979). This value is consistent with data from cattle recovering from hepatic injury and suggests that the half-life of serum GDH is greater than SDH but slightly less than the half-life of AST (Braun *et al.*, 1995). The half-life of circulating GDH in dogs is reported as 8h, based on intravenous injection of liver extract (Zinkl *et al.*, 1971).

Serum GDH activity is used most commonly in food animals and horses. Because of its location within mitochondria, GDH should be released only with irreversible cell injury. Following carbon tetrachloride-induced hepatic necrosis in calves and sheep, GDH activity increases but peaks approximately 1 day later than serum AST activity (Boyd, 1962). This may be due to the intramitochondrial location of GDH. Nevertheless, serum GDH activity was shown to significantly increase in acute, subacute, and chronic grass sickness in horses (Marrs et al., 2001). However, serum GDH activity was found to be highly variable in ponies exposed to pyrrolizidine alkaloids, suggesting that GDH activity may only be diagnostically useful in the acute stages of liver injury (Craig et al., 1991). This study found that serum GDH activity was increased with zone 1 hepatocyte necrosis, but it returned to normal reference intervals once all cells in this region were destroyed. These findings are consistent with the reported hepatic location of GDH in humans (Burtis and Ashwood, 1994). Increases in GDH activity of approximately 12-fold and AST activity two-fold were observed 24h following halothane anesthesia in horses, which also may reflect the centrolobular location of GDH activity (Durongphongtom et al., 2006).

As suggested earlier, the sensitivity of GDH activity varies depending on the nature of the disease. For example, in a study of calves with hepatic disease, GDH activity increased in only 60% of the animals (Pearson *et al.*, 1995). Similarly, in cattle, the sensitivity of GDH activity for the detection of hepatic lipidosis, hepatic abscessation, leptospirosis, and fascioliasis was only 28%, 53%, 71%, and 72%, respectively (West, 1991). However, with all categories of hepatic disease described, the sensitivity of GDH activity

was higher than SDH activity. The specificity for GDH was slightly less than that of SDH. In a similarly designed study in horses, the sensitivity of GDH activity for detection of hepatic necrosis, hepatic lipidosis, and hepatic cirrhosis was 78%, 86%, and 44%, respectively (West, 1989). The sensitivity was higher than that of SDH and comparable to that observed with serum AST activity. The specificity of GDH in this study was nearly 100%, which was comparable to the specificity of SDH and superior to that of AST activity. In a more recent study of the sensitivity of increased liver enzymes for diagnosis of hepatic disease, GDH showed a sensitivity of 63% (Durham *et al.*, 2003). The determination of GDH activity is best done in conjunction with the determination of other hepatic enzymes and other indicators of hepatic injury or disease.

Serum GDH determinations for diagnosis of hepatic disease in domestic animals have received less attention in the United States than in some other countries. However, the increased stability of the enzyme, longer half-life, and apparent greater sensitivity discussed earlier suggest it may be a more useful test for horses and food-producing animals than the determination of SDH activity.

E. Gamma Glutamyltransferase

Gamma glutamyltransferase (GGT) (EC 2.3.2.2) functions in the gamma glutamyl cycle where it catalyzes the transfer of gamma glutamyl groups from gamma glutamyl peptides such as the tripeptide glutathione to other peptides, amino acids, and water. In conjunction with a peptidase, GGT plays a major role in regulation of intracellular glutathione by hydrolysis of the tripeptide glutathione outside the cell into its three components, which can readily be taken up by the cells and be available for glutathione synthesis as needed within the cell. GGT also functions in the GSH transferase/ GGT pathway that cleaves gamma glutamyl moieties from GSH conjugates, which aids in the detoxification of xenobiotics and carcinogens by rendering them more water soluble and readily excreted (Lieberman et al., 1995). This pathway also plays a role in metabolism of mediators such as leukotrienes, hepoxillins, and prostaglandins.

The tissue distribution of GGT has been studied in numerous domestic species with the highest concentration found in kidney, pancreas, intestines, and the mammary glands of dogs, cattle, goats, and sheep but at much lower concentration in mammary gland of horses. Less GGT activity is found in liver, spleen, intestine, lung, and seminal vesicles. The GGT activity per gram of liver tissue is consistently lower than in kidney but varies between species, with the highest liver GGT activity in cattle, horses, sheep, and goats. Serum GGT reference values are consequently higher in those species than in dogs and cats (Braun *et al.*, 1983, 1987; Milne and Doxey, 1985; Rico *et al.*, 1977a, 1977b; Shull and Hornbuckle, 1979).

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GGT is a membrane-bound enzyme on the external surface of cells and is bound to the cell membrane via a hydrophobic transmembrane peptide. The cellular location of GGT is of interest as it affects the organ specificity when used as a diagnostic test in serum. GGT is located on the luminal surface of the proximal tubular cells of the kidney where it is shed into urine during tubular injury. In the pancreas, GGT is located on the luminal surface of cells lining the acini and pancreatic ducts. Increases of serum GGT activity are not generally associated with injury to the pancreas or kidney. The location of GGT in liver is of considerable interest because the liver likely contributes most if not all of the serum GGT activity. In liver, GGT activity is primarily associated with the biliary epithelial cells. Early reports suggested GGT was not present on hepatocyte membranes; however, when GSH was used in the fixative solution to prevent fixation-induced inhibition of GGT activity, GGT activity was identified on both the canalicular and sinusoidal surfaces of hepatocytes of rats, although to a considerably lesser extent than on biliary epithelial cells (Lanca and Israel, 1991). GGT mRNA has been detected in hepatocytes of normal rats and rats depleted of glutathione, supporting the presence of GGT on hepatocytes (Moriya et al., 1994). Whether GGT activity is present on the hepatocyte membranes of domestic animals is not documented to our knowledge.

Efforts have been made to determine the presence of isoenzymes of GGT in laboratory animals and humans, but it is likely that only one form of GGT exists. Variations in sialic acid content have been reported and likely explain the ability to separate different fractions of GGT by various means, such as isoelectric focusing (Mortensen and Huseby, 1997). Only one band of GGT activity was found with cellulose acetate electrophoresis of serum from dogs (Milne and Doxey, 1985).

Removal of GGT activity from blood likely involves endocytosis by the asialoglycoprotein or galactose receptor, as purified human liver GGT that has been fractionated by ion exchange chromatography and infused into rats has shown that the slowest rate of clearance is associated with the most sialated forms (Morensen and Huseby, 1997). Clearance can be blocked with asialofetuin, also suggesting that clearance of GGT is via the asialoglycoprotein or galactose receptor on hepatocytes. GGT is apparently eliminated from blood without prior desialylation via exposed galactose units binding with low affinity to the receptor. The rate of removal of each molecule may be related to the number of available galactose molecules not blocked by sialic acid.

The half-life of GGT activity in blood is not known, as there have been no definitive studies done in domestic animals to our knowledge. However, in dogs, serum GGT and ALP activity increase and decrease in parallel during cholestasis, suggesting that in dogs the half-life of GGT may be similar to the approximate 3-day half-life of liver ALP. A half-life of 3 days is also suggested for GGT activity in horses (Barton and Morris, 1998). In any event, the

half-life of liver GGT activity is of sufficient length that increases are maintained throughout the disease process and diagnostic sensitivity is not lost because of a rapid return of the GGT activity to reference ranges.

Conditions such as hepatic necrosis and reversible hepatocellular injury induced by CCl₄, dibromobenzene, chloroform toxicity, and trauma result in minimal or no changes in serum GGT activity in dogs (Barakat and Ford, 1988; Guelfi et al., 1982; Noonan and Meyer, 1979). However, some increase in serum GGT activity is observed with chloroform toxicity in horses, although no increase is observed in calves and sheep (Barakat and Ford, 1988). Following administration of CCl₄ to ponies, a four-fold increase in serum GGT activity was observed, which persisted up to 10 days with no increase in serum ALP activity (Hoffmann et al., 1987). The minimal increase in GGT activity in serum following hepatocellular injury is likely due to the fact that GGT in liver is primarily associated with biliary epithelial cells and the absence of significant increase of bile acids to release the hydrophobic transmembrane attachment to the cell membrane. Increases in serum GGT are most often observed with cholestasis and conditions resulting in biliary hyperplasia in all species, as observed in experimentally induced cholestasis (DeNovo and Prasse, 1983; Hoffmann et al., 1987; Shull and Hornbuckle, 1979; Spano et al., 1983).

Serum GGT activity is an especially useful clinical indicator of cholestasis in horses and cattle because of relatively high liver GGT activity compared to dogs and cats. Serum GGT activity in horses and cattle has relatively higher sensitivity for the identification of cholestatic disorders than serum ALP activity. Only a two-fold increase of serum ALP activity was observed with cholestasis, whereas serum GGT activity rose nine-fold in horses (Hoffmann et al., 1987). Although the magnitude of increase is greatest in cholestasis, serum GGT activity can be used in large animals as a screening test for generalized hepatic disease as well. In a retrospective study of 50 cases of hepatic disease in horses, of the serum chemistry parameters evaluated only serum GGT activity increased in all cases (McGorum et al., 1999). Similarly, serum GGT activity showed 75% sensitivity and 90% specificity for detecting subclinical liver disease in horses exposed to pyrrolizidine alkaloids, whereas serum ALP activity showed only 58% sensitivity (Curran et al., 1996). Serum GGT activity was shown to be the most sensitive serum enzyme for detection of hepatic injury secondary to proximal enteritis in horses (Davis et al., 2003). Increases in serum GGT activity in numerous cases of plant-related hepatotoxicity in both cattle and horses have also been reported (Craig et al., 1991; Curran et al., 1996; Mendel et al., 1988).

Serum GGT activity in cats and dogs is often interpreted in conjunction with serum ALP activity. The suggested advantage of serum GGT activity determination over serum ALP activity in dogs is increased specificity, as GGT activity is derived solely from liver whereas serum ALP activity is derived from bone and liver as well as the

canine corticosteroid induced isoenzyme of ALP. A study of 270 dogs with suspected hepatic disease had the results of hepatic biopsies compared to serum hepatic enzyme results (Center et al., 1992). Hepatic disease was confirmed by histology in 207 of the 270 cases. The sensitivity of serum ALP and GGT activity to detect histologically confirmed hepatic disease was 85% and 46%, respectively. However, the specificity of serum ALP was only 51%, whereas the specificity of serum GGT was 87%. In a study of 69 cats with suspected hepatic disease, of which 54 had histological evidence of hepatic disease, the overall sensitivity of serum ALP and GGT activity was 48% and 83%, respectively (Center et al., 1886). Serum GGT activity was more sensitive than serum ALP activity for detection of extrahepatic cholestasis, cholangiohepatitis, and cirrhosis. In contrast, the percentage increase of serum ALP activity was greater than serum GGT activity in 11 of 15 cats with hepatic lipidosis. This is likely because hepatic lipidosis is a form of intracellular cholestasis, ALP is primarily associated with hepatocytes, and GGT is primarily associated with the biliary epithelial cells.

Several laboratory animal models have been used to study the mechanism of increase of serum GGT activity. These include bile duct ligation, treatment of the animals with alpha naphthyl isothiocyanate (ANIT) to cause necrosis of biliary epithelial cells, and a choledochocaval shunt (CCS) model that shunts bile from the common bile duct directly into the anterior vena cava (Bulle et al., 1990; Hardison et al., 1983; Kryszewski et al., 1973; Leonard et al., 1984; Putzki et al., 1989). In the bile duct ligation model, there is an initial increase of serum GGT activity and a decrease in liver tissue GGT activity. The rise in serum GGT activity is associated with a parallel increase in serum bile acids, and it is almost certain that the bile acids alone or in conjunction with a hydrolytic enzyme facilitate solubilization or release of GGT from the membrane. With persistence of cholestasis, there is a proliferation of biliary epithelial cells and an increase in bile duct volume in the liver, which is paralleled by an increase in GGT activity in the liver and a second phase of increase in serum GGT activity. In the ANIT model, chronic treatment with ANIT results in repeated necrosis of the biliary epithelial cells and bile duct proliferation. As the bile duct mass increases, there is a persistent increase in serum GGT activity. These two models both support the concept that there is an initial release of GGT activity from injury to biliary epithelial cells and retention of bile, the magnitude of which is determined in part by whether the species has low or high tissue GGT activity. Persistently increased serum GGT activity may indicate biliary hyperplasia that provides an increased source of GGT for release. Although not confirmed experimentally, clinical observations suggest that domestic animals with markedly increased serum GGT activity often have biliary hyperplasia.

The CCS model in rats is unique in that it provides persistently increased liver and blood bile acids and increased bile flow, but without increased biliary pressure (Hardison *et al.*, 1983). In this model, within 24h, serum GGT activity nearly equals that seen with experimental bile duct ligation, suggesting that bile acids or other bile constituents mediate the release of GGT into serum. Moreover, increased biliary pressure and regurgitation of GGT through tight junctions are not necessary for the observation of increased serum GGT activity (Putzki *et al.*, 1989). The actual pathway traveled by GGT from the biliary epithelial cells to blood is unclear. It is also unclear if the amount of GGT on the sinusoidal surfaces of hepatocytes is adequate to account for the magnitude of increase of serum GGT activity observed in any of the three models described previously. A mechanism of release from hepatocytes analogous to that described for ALP, but with a different hydrolytic enzyme, might be considered.

Increased serum GGT activity in calves led to the recognition that species that produce large amounts of GGT activity in mammary glands may excrete or release GGT into colostrum. Colostral GGT is taken up by passive transfer in the newborn and serves as an easy, inexpensive, and automated test for successful passive transfer (Braun et al., 1982; Perino et al., 1993; Wilson et al., 1999; Zanker et al., 2001). The difference between presuckling and postsuckling serum GGT activity can be more than a 100 fold in calves (Braun et al., 1982). This increase correlates well enough with increased serum immunoglobulin to allow serum GGT activity to substitute as a test of adequate immunoglobulin transfer (Perino et al., 1993). However, GGT activity decreases steadily for the first 18 to 20 days, so the ability of serum GGT activity to accurately conclude failure of passive transfer is reduced after approximately 8 days (Wilson et al., 1999). In a review of failure of passive transfer in calves, the authors concluded that the loss of correlation between serum GGT activity and immunoglobulin concentrations after the first few days of suckling negates the value of the test, and its use should be discouraged in cattle (Weaver et al., 2000). Serum GGT activity also indicates passive transfer of immunoglobulins in goats, but in foals there is no difference in pre- and postsuckling serum GGT activity (Braun et al., 1984; Patterson and Brown, 1986). In canine pups postsuckling serum GGT activity can reach up to 100 times the upper interval because of high colostral GGT activity (Center et al., 1991).

Although renal tissue has the highest concentration of GGT activity per gram of tissue in all species studied, there is no evidence supporting the presence of renal tubular GGT in blood. This is likely the result of the location of GGT on the luminal surface of the tubular epithelial cells and possibly rapid clearance from blood by the galactose receptor on hepatocytes. However, the location of GGT on the tubular epithelial cells means that the enzyme is readily shed into urine and can indicate renal tubular cell injury. Because of the variability of urine volume, urine GGT activity must be normalized to urine creatinine concentration using a GGT activity:creatinine ratio. Numerous reports show that the urine GGT activity:creatinine ratio in dogs is a sensitive

and early indicator of chemical-induced nephrotoxicity with several compounds including maleic acid, aminoglycosides, and cyclosporine (Clemo, 1998; Graur et al., 1995; Nahas et al., 1997; Rivers et al., 1996). Furthermore, both 24h excretion of GGT and the GGT activity:creatinine ratio of single urine samples have proven useful in detecting tubular injury in dogs (Gosset et al., 1987; Rivers et al., 1996). Although there is no apparent circadian variation (Uechi et al., 1994), it has been suggested that the within-day variation of the GGT activity:creatinine ratio limits its usefulness (Gosset et al., 1987). As in dogs, the urine GGT activity: creatinine ratio in horses and cattle has proven of value in detecting nephrotoxicity (Meyer et al., 2005; Rossier et al., 1995; Ulutas and Sahal, 2005). The magnitude in all species is greatest in the acute phase of injury after which the GGT activity in urine drops rapidly during the chronic stage. These studies support the use of urine GGT activity as a screening test of potentially nephrotoxic drug exposure. Evaluation of more than one enzyme and at multiple time points is required to properly evaluate for nephrotoxicity. It should also be noted that the urinary GGT activity:creatinine ratio is extremely sensitive and increases can be observed with no clinical signs of nephrotoxicity or azotemia (van der Harst et al., 2005). For example, normal horses and horses with pneumonia who are both treated with gentamycin show significant increases in the urinary GGT activity:creatinine ratio but retain normal serum creatinine concentrations (Rossier et al., 1995).

Dogs treated with glucocorticoids or dogs with hyperadrenocorticism generally have increased serum GGT activity (Abraham *et al.*, 2005; DeNovo and Prasse, 1983; Solter *et al.*, 1994). However, the ratio of serum GGT-to-liver tissue GGT activity in glucocorticoid-treated dogs is similar to that of untreated controls, suggesting that increased serum GGT activity is a result of increased liver tissue GGT and increased synthesis of the enzyme (Solter *et al.*, 1994).

F. Alkaline Phosphatase

The alkaline phosphatases (ALP) (EC 3.1.3.1) have been relatively well studied. They have been shown to hydrolyze a range of monophosphates or pyrophosphates at alkaline pH, as well as at physiological pH although at a lesser rate. There are likely several *in vivo* functions of ALP. A role for ALP in bone mineralization had been speculated since the 1920s and was first substantiated in human beings who suffer from hypophosphatasemia, which results from several different mutations that lead to a nonactive ALP and markedly defective bone mineralization in children (Mumm *et al.*, 2002; Whyte *et al.*, 1996). The role of ALP in bone mineralization has been subsequently confirmed in numerous studies using bone ALP gene knockout mice to create hypophosphatasemia, resulting in the impaired mineralization of bone (Anderson *et al.*, 2004). One specific function

of ALP in bone is the hydrolysis of pyrophosphate, which is a potent inhibitor of mineralization, thus allowing mineralization to proceed. Other suggested functions of ALP are the *in vivo* dephosphorylation of bacterial endotoxin, which diminishes its toxic effects (Poelstra *et al.*, 1997; van Veen *et al.*, 2005; Xu *et al.*, 2002), and as a rate-limiting step in intestinal fat absorption (Narisawa *et al.*, 2003).

Although many tissues or cell types have some ALP activity, cells from liver, bone, kidney, intestinal mucosa, and placenta have the greatest ALP activity on a per gram of tissue basis with intestinal mucosa having the most (Clampitt and Hart, 1978; Nagode *et al.*, 1969). Serum ALP activity, however, is not generally a reflection of tissue concentration. In most domestic species, intestinal ALP (IALP) is not found in serum, and liver, which has relatively low ALP activity, contributes over half the serum activity.

The alkaline phosphatases are ectoenzymes attached to the cell membrane via a hydrophobic phosphatidylinositolglycan (PIG) anchor. Extraction of ALP from tissue requires butanol, bile salts, or detergent in the presence of an acidic buffer to allow the hydrolytic activity of endogenous phosphatidylinositol-specific phospholipase D (PIPLD) to cleave the ALP PIG anchor (Low, 1987; Solter and Hoffmann, 1995; Solter et al., 1997). In intestine, especially the small intestines, ALP is located on the tips of villi of the enterocytes (Watanabe and Fishman, 1964), whereas in kidney the ALP is located on the luminal surface of the proximal tubular epithelial cells (Wachstein and Bradshaw, 1965). Although the ALP in bone is well recognized to be located on the osteoblasts and the matrix vesicles derived from osteoblasts, staining liver for ALP activity reveals that most of the ALP is found on the bile canalicular surface of hepatocytes in normal animals although this may vary with species. During conditions in which hepatic ALP is increased, sinusoidal and lateral surfaces of the hepatocytes also show substantial ALP activity (Ogawa et al., 1990; Sanecki et al., 1987; Solter et al., 1997). Because many newly synthesized bile canalicular (apical) membrane proteins traffic from the Golgi to the sinusoidal (basolateral) membrane before their transcytosis to the bile canalicular surface of hepatocytes, the presence of ALP on sinusoidal membrane during disease conditions likely represents increased synthesis of ALP rather than a difference in enzyme trafficking (Kipp and Arias, 2000; Maurice et al., 1994; Zegers and Hoekstra, 1998). ALP activity is presumably present on the sinusoidal membrane at all times, but because of the short time in residence before transcytosis to the canalicular membrane and relatively small amount of ALP, the insensitivity of enzymatic staining and light microscopy make it difficult to visualize the small fraction of ALP present.

In the human, chimpanzee, and orangutan, at least three genes express ALP and are named for the primary organ of their expression. These are the intestinal ALP, placental ALP, and the tissue-nonspecific or bone/liver/kidney ALP (Goldstein *et al.*, 1982). In humans, a fourth gene is

expressed in the germ cell that is similar to the placental isoenzyme (Millan and Manes, 1988). In domestic species, there are two genes, namely the intestinal gene and the tissue-nonspecific ALP gene that is expressed in bone, liver, kidney, and placenta and to a lesser extent in some other tissues. Although true ALP isoenzymes result from specific genes, posttranslational modification (primarily varied glycosylation) is generally organ specific and results in additional isoforms. For example, liver ALP and bone ALP are the products of the same gene but are glycosylated differently, resulting in isoforms or isoenzymes that can be differentiated by a number of techniques. True ALP isoenzymes expressed by different genes differ enzymatically, biochemically, and antigenically, and they respond to inhibitors such as L-phenylalanine and levamisole in a different manner; isoforms of ALP tend to behave enzymatically and antigenically in a similar manner and are equally inhibited by L-phenylalanine and levamisole, although there are some exceptions. Although these isoforms are not true isoenzymes, historical and clinical precedence has resulted in these isoforms also being referred to as isoenzymes.

Liver ALP is highly glycosylated with terminal sialic acid residues, which results in a marked anodal migration on electrophoresis. It is generally inhibited by greater than 95% with levamisole but is relatively insensitive to L-phenylalanine inhibition. LALP is only moderately sensitive to heat inhibition at 56°C.

Bone ALP is a product of the TNS ALP gene, as is the liver ALP, but has a slightly slower anodal electrophoretic migration and is more sensitive to heat inhibition (Hoffmann and Dorner, 1975). The bone ALP isoform is more susceptible to precipitation by wheat germ lectin (WGL) than is the LALP as a result of differing glycosylation (Hank et al., 1993; Kidney and Jackson, 1988; Sanecki et al., 1993). Antibodies produced against liver ALP or bone ALP generally cross-react with the other isoforms from the same gene. However, there are commercially available immunoassays for human BALP that have been validated for use in canine, feline, and equine BALP analysis (Allen et al., 2000; Delaurier et al., 2002; Jackson et al., 1996).

Intestinal ALP (IALP) is a product of the intestinal ALP gene, and it is distinctly different from BALP and LALP. IALP is more heat stable and more readily inhibited by L-phenylalanine, but it shows less than 10% inhibition with levamisole at concentrations adequate to inhibit greater than 95% of BALP and LALP (Eckersal *et al.*, 1986; Hoffmann *et al.*, 1987; Nagode *et al.*, 1969). IALP is generally thought of as an asialoglycoprotein; however, some identifiable sialic acid is present on canine IALP (Sanecki *et al.*, 1990). The reduced number of sialic acid residues is responsible for the fact that IALP has minimal anodal migration on agarose or cellulose acetate electrophoresis. Typically, IALP produces a broad band rather than a sharp narrow band when compared to LALP and BALP.

Alkaline phosphatases in other organs, such as kidney and placenta, are usually a product of the TNS gene. These alkaline phosphatases are not fully sialated and have less anodal migration on electrophoresis than BALP and LALP. However, the ALP extracted from horse kidney is likely a product of the intestinal gene as it is similar to IALP in levamisole inhibition and antigenic recognition by antiequine IALP antibodies (Hoffmann *et al.*, 1983a).

The so-called corticosteroid-induced isoenzyme of ALP (CALP) has been identified in dogs treated with corticosteroids, dogs with hyperadrenocorticism, or in some older dogs with chronic disease or possibly chronic stress (Sanecki et al., 1990; Solter et al., 1993; Wellman et al., 1982). This enzyme has not been identified in any other species; however, it is similar to one of the two forms of ALP expressed in the liver of rabbits (Noguchi et al., 1987). CALP is a highly glycosylated isoform of IALP, produced in the liver from the intestinal gene. It has markedly greater anodal migration than IALP on cellulose acetate electrophoresis, but it is antigenically similar to IALP with both monoclonal and polyclonal antibodies and responds to levamisole and L-phenylalanine inhibition in a similar manner. It also has the identical N-terminal amino acid sequence to IALP but has markedly higher concentrations of N-acetylglucosamine, mannose, galactose, and sialic acid. Hence, CALP differs from IALP only in glycosylation. Northern blot analysis with a 1338bp cloned segment of the CALP gene, which is identical to the IALP sequence, has confirmed the liver as the origin of CALP production (Wiedmeyer et al., 2002a, 2002b).

The epididymal and seminiferous tubular epithelium of the dog is rich in ALP activity. This ALP is a product of the TNS gene, but it is glycosylated differently than either BALP or LALP based on electrophoretic migration (Kutzler *et al.*, 2003). ALP activity is also present in epididymal fluid from horses (Gobella *et al.*, 2002).

Serum alkaline phosphatase isoenzyme analyses have been conducted in many species with numerous publications describing techniques and diagnostic value of the analyses. The techniques described include electrophoresis on a number of mediums, isoelectric focusing, heat inhibition, chemical inhibition, immunochemistry, and selective lectin binding. Although electrophoresis is still in use, a combination of inhibition with levamisole and selective precipitation of BALP with wheat germ lectin is an effective means to quantitatively evaluate BALP, LALP, and CALP in dog serum; BALP, LALP, and IALP in rat serum; and BALP and LALP in horse, cat, and cow serum (Hank et al., 1993; Hoffman et al., 1988; Hoffmann et al., 1994; Sanecki et al., 1993). Very likely, the combination of levamisole inhibition and wheat germ lectin precipitation will be compatible with many other species that have not yet been tried. Immunoassays for BALP in canine, feline, and equine serum have also been used (Allen et al., 2000; Delaurier et al., 2002; Delaurier et al., 2004; Jackson et al., 1996; Price et al., 1995).

Studies of the blood half-lives of the various alkaline phosphatase isoenzymes are of interest in that they help to explain the magnitude and time of increase of serum ALP activity following insult to an organ. Unlike in most species, IALP is always identified in rat serum and is often identified in human serum. It has not been observed in serum from dogs, cats, horses, and cattle (Hoffmann and Dorner, 1975; Hoffmann et al., 1983b). The clearance of intravenously injected IALP in dogs has a half-life of shorter than 5.4min, whereas the half-life of phase 2 of rat IALP is 54.1 to 68.3min (Hoffmann and Dorner, 1977; Young et al., 1984). The rate of clearance of IALP from dog blood can be inhibited by simultaneous injection of galactose-terminated bovine serum albumin, supporting the theory that IALP is cleared by hepatocyte asialoglycoprotein or galactose receptors (Kuhlenschmidt et al., 1991). However, the clearance of IALP from rat blood is not blocked by asialofetuin but is blocked by glucosamine-terminated bovine serum albumin, suggesting that IALP in rats is cleared by mannose/ N-acetylglucosamine-specific receptors on hepatic reticuloendothelial cells (Young et al., 1984). The presence of IALP in rat serum and not dog serum may be explained in part by the differences in IALP serum half-lives between the two species. However, the description in rats of a surfactantlike secretory particle containing ALP may offer a second mechanism for increased IALP in the blood of rats (Eliakim et al., 1991).

The half-life of IALP in cats following intravenous injection is approximately 4min, and in horses it is approximately 8min, which likely explains in part the absence of IALP in the serum of these two species (Hoffmann *et al.*, 1977, 1983b).

The half-life of intravenously injected LALP and CALP into dogs is approximately 3 days (Hoffmann and Dorner, 1977). The removal of the ALP under normal conditions is not known but may involve the slow hydrolysis of sialic acid from the carbohydrate portion of the molecule allowing recognition and uptake by the galactose receptor on hepatocytes. The half-life of intravenously injected cat LALP is approximately 5.8h (Hoffmann *et al.*, 1977). Likewise canine LALP intravenously injected into cats has a half-life of approximately 5h. Therefore, the difference in half-life of dog LALP and cat LALP (3 days versus 5h) is likely a species difference in removal of the enzyme and not a difference in the enzyme. The mechanism of removal of ALP from the blood of cats is not known.

The blood half-life of BALP is not known, but has an electrophoretic migration near that of LALP from dogs, cats, and horses, suggesting that a full compliment of sialic acid is present resulting in a half-life similar to LALP.

The half-life of renal and placental ALP from dogs is less than 6min (Hoffmann and Dorner, 1977). They have minimal anodal migration on electrophoresis, suggesting that they have little or no sialic acid and are likely removed by the asialoglycoprotein or galactose receptor on hepatocytes.

Approximate percentages of BALP and LALP in adult dogs are 30% and 70%, respectively, with an increasing percentage of LALP in older age, whereas in adult horses, there is approximately 20% BALP and 80% LALP (Allen *et al.*, 2000; Hank *et al.*, 1993).

Cholestasis is the most common cause of significant increases in serum LALP in most species. Experimentally induced cholestasis in dogs, generally by bile duct ligation, results in marked increases in serum LALP activity beginning after approximately 24h and reaching a maximum of 30 to 40 times normal serum ALP activity at 4 to 7 days (Guelfi et al., 1982; Shull and Hornbuckle, 1979). A similar response time of ALP increase was seen in two cats following bile duct ligation, but the magnitude of the increase was approximately 10% of that seen in the dog (Hoffmann et al., 1978). This marked difference in the magnitude of increase between the two species is in part due to the 12-fold shorter serum half-life of LALP in cats as compared to dogs. The marked increase of serum LALP in cholestasis is paralleled by a marked increase in LALP activity in the liver as well. Numerous studies in rats have shown that this increase results from increased synthesis, regulated at the level of transcription or translation (Kaplan et al., 1983; Schlaeger, 1975).

For many years, the mechanism of increased LALP in blood was thought to involve regurgitation from bile through tight junctions into blood. Although there is evidence of disruptive changes within tight junctions during cholestasis (Boyer, 1983), it is doubtful if these alterations permit passage of macromolecules the size of ALP (Debroe et al., 1985). Studies using a chloledochocaval shunt model show that within 12h of shunting of bile or taurocholic acid into blood, there is a marked induction of ALP synthesis and appearance of ALP on the basolateral membranes and a parallel increase in serum ALP (Ogawa et al., 1990). This occurs in the absence of increased biliary pressure and any evidence of alterations in tight junctions (Toyota et al., 1983). Bile acids appear to participate in both induction and release of ALP into serum.

A second model to study the mechanism of release of ALP from liver into serum utilizes the observation that dogs acutely treated with high doses of prednisone first induce LALP synthesis in the absence of cholestasis, as evidenced by a lack of increase of serum or hepatic tissue bile acids (Solter et al., 1994, 1997). This model results in the appearance of easily identified LALP activity on the basolateral surface and marked increases of serum LALP activity. The appearance of LALP activity on the basolateral membrane is likely a transient appearance of the protein before it is sorted to the apical or bile canalicular membrane as part of the normal trafficking of bile canalicular membrane proteins (Bartles et al., 1987; Maurice et al., 1994). The ALP on the sinusoidal or basolateral membrane is susceptible to release into blood or hepatic lymph. However, in contrast to the choledochocaval shunt model, where bile acid concentrations

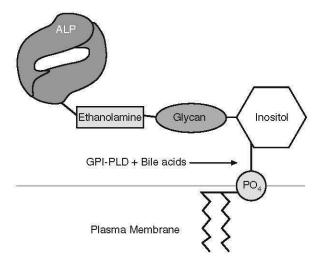


FIGURE 12-2 The glycosylphosphatidylinositol membrane anchor of alkaline phosphatase and the site of release of ALP from the membrane anchor by phosphatidyl inositol-specific phospholipase D, which is facilitated by bile acids.

are increased in both blood and hepatic tissue and facilitate the release of ALP from its membrane attachment, serum and tissue bile acids are not increased in the prednisone-treated model. However, within 2 to 4h following cholecystokinin (CCK) administration to initiate the enterohepatic circulation and a flux of bile acids through the portal vein and liver, there is a significant increase in the serum LALP activity (Solter et al., 1997). This provides support for a bile acid-facilitated release of ALP from hepatocyte sinusoidal membranes, most likely by activation of PIPLD and cleavage of the phosphatidylinositol anchor (Fig. 12-2). Whether the bile acids actually activate the PIPLD or simply allow accessibility to the PI anchor of ALP is unclear.

The marked increase of serum CALP and presence of CALP on the sinusoidal membrane of dogs with spontaneous hyperadrenocorticism or chronically treated with glucocorticoids and an absence of cholestasis provides additional support to the conclusion that the mechanism of serum ALP increase from liver does not require regurgitation. Because CALP is attached to the membrane by the same PI anchor as LALP, it is released by PIPLD during flux of bile acids through the liver (Solter and Hoffmann, 1995).

Although cholestasis is the most recognized cause of increased LALP activity, increases in serum LALP activity are also observed in steroid hepatopathy in dogs, especially early in the condition (Solter *et al.*, 1994, 1997; Syakalima *et al.*, 1997). Mild to moderate increases in serum LALP are also observed in dogs with other hepatic diseases and secondary to primary conditions ranging from enteritis to pancreatitis. In cats, increased serum LALP activity is most commonly associated with cholestasis, cholangiohepatitis, hepatic lipidosis, and hyperthyroidism. Increase in serum LALP activity is the first observed laboratory abnormality observed in cats with experimentally induced hepatic

lipidosis, occurring before the onset of hyperbilirubinemia (Biourge *et al.*, 1994). Although the increased serum ALP activity in hyperthyroid cats is attributed to both LALP and BALP activity, LALP activity is the most consistently increased isoenzyme and makes up the major portion of the ALP activity (Archer and Taylor, 1996; Foster and Thoday, 2000).

An increase in serum BALP activity is generally associated with increased osteoblastic activity and has been increasingly evaluated as a marker of bone formation in several domestic species (Allen et al., 1998; DeLaurier et al., 2002; Price et al., 2001). Increased serum BALP activity is observed in all young animals and is up to 10-fold greater in puppies than adult dogs (Allen et al., 2000; Sanecki et al., 1993). In newborn foals, serum BALP activity is nearly 100-fold greater than in adult serum, but it drops precipitously during the first 3 weeks after birth and then more gradually over the next 2 to 4 years (Hank et al., 1993; Price et al., 1995). Increased serum BALP activity can be observed in dogs with hyperparathyroidism, renal disease, and during fracture healing. Peak serum total ALP activity (presumably BALP) is reported to occur in dogs at approximately 10 days after surgical fixation of long bone fractures and returns to normal within 2 months with normal healing but can remain increased 3 to 5 months with delayed union (Komneuou et al., 2005). This study reported no increase in serum ALP activity in dogs that had nonunions and suggested that monitoring serum ALP activity may be a useful tool for identifying dogs at risk for progressing to nonunion. Familial hyperphosphatasemia with increased BALP activity has been reported in a family of Siberian huskies (Lawler et al., 1996).

By far the highest values of serum BALP have been observed in selected cases of canine osteosarcoma, but this is not consistently observed. Hence, serum BALP activity has poor diagnostic sensitivity for osteosarcoma. However, serum BALP is of prognostic value as increased preoperative serum BALP activity is associated with shorter survival time and disease-free (metastasis) intervals following surgery and chemotherapy (Ehrhart et al., 1998; Garzotto et al., 2000; Kirpensteijn et al., 2002). Furthermore, whereas a drop in serum BALP activity is often noted following surgical removal of the primary tumor, persistence of increased serum BALP activity following surgery is associated with a shorter survival time. Dogs with increased serum total ALP activity did not benefit from additional chemotherapy; however, dogs with serum ALP activity in the normal range did benefit (Kirpensteijn et al., 2002). The absence of increased serum BALP activity in a majority of dogs with osteosarcoma presents an intriguing question. Cells obtained via fine needle aspirates of all canine osteosarcomas stain positive for ALP activity (Barger et al., 2005). The mechanism that allows some of these patients to have increased serum BALP activity but not others is unclear but does not appear to relate to tumor mass (Ehrhart et al., 1998).

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Serum CALP activity is a good screening test for hyperadrenocorticism because of its high sensitivity for detecting increased cortisol secretion over time. It is not a diagnostic test because of its low specificity for the diagnosis of hyperadrenocorticism (Solter *et al.*, 1993; Teske *et al.*, 1989). Increased serum CALP activity observed in animals with disease processes other than hyperadrenocorticism is consistent with a presumed increase in cortisol secretion as indicated by reportedly abnormal low-dose dexamethasone suppression tests or ACTH stimulation tests in dogs with nonadrenal disease (Kaplan *et al.*, 1995).

Hypophosphatasemia is uncommon in domestic species, although it is observed in humans with the genetic mutations to the TNS ALP gene. Similar genetic mutations have not been reported in domestic species to our knowledge, but reduced alkaline phosphatase activity has been associated with zinc deficiency in cattle (Machen *et al.*, 1996; Sharma and Joshi, 2005).

Significant amounts of ALP activity have been found in seminal plasma from numerous species. Low seminal ALP activity has been proposed as a means of differentiating partial or complete blockage of the epididymal and deferent ducts from testicular azoospermia and oligospermia (Stornelli *et al.*, 2003; Turner and McDonnell, 2003).

The serum activity of several diagnostic enzymes appears to be induced by various pharmaceutical agents. Anecdotally, serum ALP is the enzyme most often increased in drug safety trials. There are likely many drugs that stimulate some increase in liver ALP activity; however, an incomplete understanding of the factors regulating ALP synthesis in many cases makes it difficult to determine if increased ALP activity is due to primary induction of synthesis, a secondary response of the liver to drug-mediated cytokines, or drug-induced hepatic injury.

Glucocorticoids are well-recognized inducers of ALP activity in dogs. The initial response to treatment of dogs with high doses of glucocorticoids is an increase of LALP activity in the liver and serum (Solter *et al.*, 1994). This is followed after approximately 5 to 7 days with the appearance of the CALP isoenzyme. Interestingly, the magnitude of the increase in CALP activity in the experimental treatment of normal dogs has never been shown to reach the magnitude of CALP activity in many clinical patients. This suggests that the induction of CALP synthesis may result from glucocorticoids acting in a synergistic manner with other cytokines that are increased in various pathological conditions. Glucocorticoids do not have the same ability to induce ALP activity in cats and horses (Ellison and Jacobs, 1990; Hoffmann *et al.*, 1978).

Markedly increased serum CALP activity has also been observed in vacuolar hepatopathy of Scottish terriers (Twedt, 2004). Although the hepatopathy is similar to steroid hepatopathy, and all of the dogs have the increased CALP activity, they have normal serum GGT activity, ALT activity, and bilirubin concentration, along with normal ACTH

stimulation and low-dose dexamethasone suppression tests. Preliminary evidence indicates that these dogs have increased serum 17-hydroxyprogesterone or progesterone concentrations, suggesting that other adrenal hormones may result in increased CALP activity and vacuolar hepatopathy.

Phenobarbital is another drug routinely associated with increased serum ALP activity. It is not known whether this occurs as a result of enzyme induction or hepatotoxicity. However, increased serum ALP activity is considered a frequent observation in epileptic dogs treated with phenobarbital, whereas hepatotoxicity is an infrequent observation (Foster et al., 2000; Muller et al., 2000). In a retrospective study of 78 dogs treated with phenobarbital for epilepsy, 19 had serum ALP activity greater than two-fold the upper limit of the reference interval, with 11 having predominantly CALP activity and 7 having predominantly LALP activity (Gaskill et al., 2004). However, pretreatment serum ALP activity was not reported. In a prospective study of 23 otherwise healthy epileptic dogs treated with phenobarbital, 8 had ALP activity greater than the reference range and 3 had ALP activity two-fold greater than the upper limit of the reference range (Gaskill et al., 2004). Two of these 3 dogs had predominantly the CALP isoenzyme, and 1 the LALP isoenzyme. In a subsequent study of 11 phenobarbitaltreated dogs with increased serum ALP activity, the predominant isoenzyme in 6 of the dogs was CALP and in 5 was LALP (Gaskill et al., 2005). Histopathological evaluation of liver biopsies from these 11 dogs revealed more severe and frequent abnormalities than in controls but no increase of ALP activity in liver tissue. Focal areas of injury may have enhanced the release of ALP from the membranes and an increase of serum ALP. These studies, however, do not explain why CALP is present in a few phenobarbital-treated dogs but not others, with or without evidence of hepatic disease.

G. Lipase

The lipase (EC 3.1.1.3) that is of interest in the diagnosis of pancreatic disease is a low-molecular-weight protein of approximately 42kDa that hydrolyzes triglycerides at the 1 and 3 positions, leaving a monoglyceride. Pancreatic lipase binds at the lipid-water interface emulsified in the presence of bile salts, colipase, and calcium. The original pancreatic lipase assay described used an incubation medium consisting of an emulsion of long chain triglycerides in a buffer containing glycocholic acid and colipase. Such assays minimize nonpancreatic lipase and esterase activity but do not completely inhibit it, which tends to broaden the diagnostic reference intervals. This decreases the sensitivity of total serum lipase activity to detect pancreatic disease and decreases specificity because of an increased false-positive rate. To further illustrate the phenomenon of nonpancreatic lipase in serum, serum lipase activity has been determined in two conditions in which serum lipase activity would be

anticipated to be low or nonexistent. First, the serum lipase activity of pancreatectomized dogs remains near 50% of the presurgery activity, pointing to the presence of nonpancreatic lipase and strongly suggesting that the reference interval of serum lipase activity is broadened by nonpancreatic sources (Simpson et al., 1991). Second, in a study of dogs with exocrine pancreatic insufficiency (EPI), there was no difference in the mean serum lipase activity between the dogs with EPI and the control dogs, suggesting again that a significant portion of normal serum lipase is of nonpancreatic origin (Steiner et al., 2006). Lipase assays that are likely specific for pancreatic lipase include a canine immunoreactive pancreatic lipase assay (cPLI) (Steiner et al., 2003). Using immunohistochemistry, it was demonstrated that test antibody recognizes lipase in pancreatic acinar cells and no other tissues (Steiner et al., 2002).

Although there are nonpancreatic lipases and esterases in serum, there is apparently only one gene for canine pancreatic lipase (Mickel *et al.*, 1989). Likewise, only one protein with a molecular weight of approximately 50.7kDa was recovered from dog pancreas by affinity purification of tissue lipase (Steiner and Williams, 2002), suggesting that isoenzymes of pancreatic lipase do not exist.

The blood half-life of lipase activity from pancreatic juice or extracts in dogs is 1 to 3 hours (Hayakawa *et al.*, 1992; Hudson and Strombeck, 1978). The half-life was found to increase from 2 to 11 hours following nephrectomy, suggesting that there is renal clearance or inactivation of lipase (Hudson and Strombeck, 1978). Only a small amount of lipase activity is identified in urine, and it is assumed the renal tubular cells metabolize the filtered lipase.

Activity assays for serum lipase have been used classically for the diagnosis of acute pancreatitis in dogs. Typically, an approximately 25-fold increase in serum lipase activity is observed within 2 to 5 days following experimentally induced pancreatitis (Brobst et al., 1970; Mia et al., 1978; Simpson et al., 1989). This increase tends to parallel increased serum amylase activity, but it may persist a few days longer. The sensitivity of serum lipase for the diagnosis of pancreatitis ranges from approximately 60% to 75% (Cook et al., 1993; Graca et al., 2005; Mansfield and Jones, 2000). The low sensitivity of some assays is likely associated with the broad reference range usually seen for serum lipase (influenced by nonpancreatic lipase activity) and possibly influenced by the relatively short half-life of the enzyme in blood. However, in a study using 1,2-odilaryl-rac-glycero-3-glutaric acid-(6'-methylresorufin) ester as substrate, a sensitivity of 93% was achieved suggesting this substrate may be more appropriate for identifying pancreatic lipase (Graca et al., 2005). The specificity of serum lipase is generally low, ranging from approximately 50% to 75%. These reports point to the variable results associated with assay methodology. The low specificity may be due to the wide range of conditions that can lead to increased serum lipase activity, as well as the release of nonpancreatic

lipase into serum (Cook et al., 1993; Polzin et al., 1983; Rallis et al., 1996; Strombeck et al., 1981). Renal failure, enteritis and gastroenteritis, some hepatic disorders, bile duct carcinoma, and lymphosarcoma of the gastrointestinal tract among others are examples of nonpancreatic disease conditions that have been associated with increased serum lipase activity. In addition, treatment with glucocorticoids is routinely associated with increased serum lipase activity. Dexamethasone-treated dogs had serum lipase increase fourfold from the baseline activity without histological evidence of pancreatitis (Fittscen and Bellamy, 1984; Parent, 1982). Whether this increase in serum lipase activity is truly pancreatic lipase has not been determined.

Serum lipase activity may also be useful in differentiating severe from mild pancreatitis, with values of greater than 4010 U/l having a sensitivity of 64% but a specificity of 100% (Mansfield et al., 2003). Some of the most dramatic increases of serum lipase in dogs have been associated with pancreatic or hepatic neoplasia. In three pancreatic carcinomas, two endocrine carcinomas involving liver, and one hepatic carcinoma of unknown origin, serum lipase activity increased from 11 to 93 times the upper limit of the reference interval, whereas serum amylase remained normal (Quigley et al., 2001).

Six cats with experimentally induced acute pancreatitis had increases in serum lipase activity of two- to six-fold within 4 days (Kitchel et al., 1986). However, there were no differences in serum lipase activity found between 12 cats with severe, naturally occurring pancreatitis, and 43 healthy cats or 31 cats with other diseases (Parent et al., 1995). Hence, serum lipase activity assays have little use in the diagnosis of pancreatitis in cats. However, in a study using a radioimmunoassay for determining feline pancreatic lipase immunoreactivity (fPLI), a sensitivity of 100% was demonstrated for cats with moderate to severe pancreatitis and a sensitivity of 54% for cats with mild pancreatitis, resulting in an overall sensitivity of 67% (Forman et al., 2004). In this study, a specificity of 100% was observed for healthy cats.

Serum lipase activity has been of little use in the diagnosis of exocrine pancreatic insufficiency, likely because of the presence of nonpancreatic lipase masking the loss of pancreatic lipase activity in serum. In a study of dogs with EPI and healthy dogs, the range of serum lipase activity was essentially the same in the two groups (Steiner et al., 2006). However, using a cPLI assay, all of the 25 dogs with EPI in the study had cPLI values below the reference range, allowing for 100% diagnostic sensitivity. There was some overlap of the lowest cPLI values in healthy dogs and the highest values in EPI dogs, resulting in the possibility of false-positive test results.

H. Amylase

Alpha-amylase (EC 3.2.1.1) is a low-molecular-weight enzyme (approximately 45kDa) that cleaves the alpha-D-(1-4)

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glycan linkage of starch and glycogen. It has been in use as a diagnostic enzyme longer than any of the other enzymes. It is found in very high concentration in the pancreas in dogs and cats. Human beings and rats have substantial amounts of salivary amylase activity, but in most species there is very little. Amylase is also produced in the small intestines and liver, both of which may contribute to normal serum amylase activity (Murtaugh and Jacobs, 1985; Nothan and Callow, 1971). The liver secretes its amylase in a similar fashion to albumin. Amylase mRNA has been identified by RT-PCR in dog liver, intestine, fallopian tubes, and the pancreas (Mocharla et al., 1990). Pancreatectomy results in up to a 50% decrease in serum amylase, consistent with the assumption of nonpancreatic sources of some serum amylase (Simpson et al., 1991). There have been several attempts to identify isoenzymes of amylase in serum and tissue, with the results dependent on the technique used. There have been four isoenzymes or isoforms of amylase identified using cellulose acetate and agarose gel electrophoresis (Jacobs et al., 1988; Murtaugh and Jacobs, 1985; Simpson et al., 1989). Although fraction three was increased to the greatest extent with experimentally induced pancreatitis suggesting this is the true pancreatic amylase, pancreatectomy did not result in a drop in this fraction (Murtaugh and Jacobs, 1985). Analysis of amylase isoenzymes has not found a place in diagnostic veterinary medicine.

Estimates of the half-life of serum amylase in normal dogs ranges from 1 to 5h (Hayakawa et al., 1992; Hudson and Strombeck, 1978; Yacoub et al., 1969). Following nephrectomy, the half-life increases to 14h (Hudson and Strombeck, 1978; Nakashima et al., 1980). Although nephrectomy increases its serum half-life, less than 1% of pancreatic amylase infused in normal dogs is found in urine, suggesting amylase is catabolized by the kidney. The fact that pancreatic amylase infused into nephrectomized dogs still clears suggests that there are additional means of clearing amylase from blood besides the kidneys. This is supported by an increase in amylase in liver, which could be prevented by blocking the reticuloendothelial system following infusion of pancreatic amylase (Hiatt and Bonorris, 1966).

Serum amylase is routinely used as a screening test for acute pancreatitis. In experimentally induced pancreatitis in dogs, increases of eight- to twelve-fold and as much as 29-fold were seen at 1 to 3 days, and returned to normal in 3 to 5 in one study, and 8 days in another study (Brobst et al., 1970; Mia et al., 1978; Simpson et al., 1989). An increase of serum amylase activity of two-fold or greater above the reference interval, in the absence of renal disease, is generally considered suggestive of pancreatitis. However, the sensitivity of serum amylase activity in the diagnosis of pancreatitis in dogs is low, with reports of 63% and 78% (Cook et al., 1993; Jacobs et al., 1988). The specificity of serum amylase activity has been reported as 77%. Increased serum amylase activity in dogs has been

observed with renal disease, diabetes mellitus, lymphosarcoma, and hemangiosarcoma (Strombeck *et al.*, 1981). Increased serum amylase activity is commonly observed with renal disease in dogs. Ligation of the renal vessel results in a 60% increase in serum amylase activity in 48h (Hudson and Strombeck, 1978). A 2.5-fold increase above the reference interval was observed in dogs with both induced and spontaneously occurring renal disease (Polzin *et al.*, 1983). Dogs with renal insufficiency may have both increased serum amylase and urinary amylase (Corazza *et al.*, 1994). The increased serum amylase may be due in part to a decreased glomerular filtration rate, but the authors also detected a macroamylase in 77% of the dogs with proteinuria. The increase in urinary amylase is likely due to reduced renal tubular absorption.

Serum amylase activity for the diagnosis of acute pancreatitis in cats is considered of little value and has been shown to actually decrease in experimentally induced pancreatitis (Kitchell *et al.*, 1986).

Treatment of normal dogs with either low or high doses of dexamethasone results in a statistically significant decrease in serum amylase activity (Parent, 1982). Similar observations have been made in dogs treated with prednisone (Fittscen and Bellamy, 1984). However, the stress associated with surgery does not affect the normal serum amylase activity (Bellah and Bell, 1989; Finco and Stevens, 1969), although there was a transient increase in serum amylase activity following endoscopic retrograde pancreatography (Spillmann et al., 2004).

I. Trypsin and Trypsinogen

Trypsin (EC 3.4.21.4) is a serine proteinase enzyme produced by the pancreas in the form of the proenzyme trypsinogen. The pancreas secretes trypsinogen into the intestine where it is converted by enterokinase to trypsin, the active proteolytic enzyme. Early attempts to evaluate pancreatic function by utilizing assays for the tryptic activity in serum were unsuccessful. This is likely because the enzyme released into the vascular space is trypsinogen and not trypsin and therefore has no tryptic activity (Steiner and Williams, 1999). Hence, the development of species-specific immunoassays for trypsin referred to as trypsin-like immunoreactivity (TLI) (Steiner *et al.*, 1996; Williams and Batt, 1983). These immunoassays detect both trypsin and trypsinogen.

Trypsinogen in serum is thought to be derived primarily from the pancreas. This is supported by the observation that pancreatectomy of healthy dogs reduced serum canine TLI (cTLI) from a mean of 6.2μ g/l to 1.2μ g/l (Simpson et al., 1991). The blood half-life of TLI is not reported, but it is likely relatively short, as these enzymes are rapidly scavenged by endopeptidases whose role it is to inactivate enzymes released from the pancreas (Zoran, 2006). cTLI

has been most useful for the detection of canine exocrine pancreatic insufficiency (EPI). In a group of 25 dogs with EPI, serum cTLI concentrations were all less than $1.9\mu g/l$ (reference interval = $5.2-34\mu g/l$), resulting in a test sensitivity of 100% (Williams and Batt, 1988). In 50 dogs with small intestinal disease, there was no difference in the cTLI values from normal dogs, suggesting a specificity of 100%. The high degree of sensitivity and specificity of cTLI for the diagnosis of EPI is supported by a more recent study (Steiner et al., 2006). Hence, cTLI has become the gold standard for the diagnosis of EPI in dogs. Serum cTLI may also increase in acute pancreatitis, as evidenced by the observation in one study that, following pancreatic duct ligation of eight dogs, cTLI increased within 24h and remained increased above control values in six of the eight for 5 days (Simpson et al., 1989). Serum cTLI also decreased more rapidly than amylase and lipase activity. In spontaneous pancreatitis, only 6 of 10 dogs with severe pancreatitis and 2 of 5 dogs with mild pancreatitis had serum cTLI greater than the reference range (Mansfield et al., 2003). Because trypsinogen is cleared by the kidneys, decreased glomerular filtration rate may result in increased cTLI (Geokas et al., 1982). The relative lack of sensitivity and the historically longer turnaround time for cTLI determination compared to serum lipase and amylase has made cTLI of little value for determination of pancreatitis in dogs.

When serum fTLI has been evaluated for the diagnosis of pancreatitis in cats, the results have been variable depending on the study. Reported reference ranges have been variable resulting in reported sensitivities ranging from 33% when the cutoff is $100\mu g/1$ to 86% when the cutoff is 49 µg/l (Gerhardt et al., 2001). Although specificity was not reported in this study, an overlap in TLI values in cats with confirmed pancreatitis and cats without pancreatitis are reported (Forman et al., 2004; Swift et al., 2000). Increased serum fTLI concentration may be associated with azotemia, inflammatory bowel disease, and gastrointestinal lymphoma (Simpson, 2001; Swift et al., 2000). To improve specificity, higher cutoff values must be used, which reduces sensitivity. Even at lower sensitivity, the fTLI concentration was considered a useful diagnostic test in cats because clinical signs in cats are less specific and other minimally invasive tests such as serum amylase and lipase, ultrasonography, and contrast-enhanced computed tomography were insensitive or of no value (Gerhardt et al., 2001; Simpson, 2001; Steiner, 2003). However, a more recent study has demonstrated a higher sensitivity of fPLI (67%) in the diagnosis of feline pancreatitis along with a higher specificity, suggesting that fPLI may supplant determination of fTLI in the diagnosis of pancreatitis in cats (Forman et al., 2004).

Although fewer data are available compared to that for dogs, there is evidence that determination of fTLI concentration is useful for the diagnosis of EPI in cats as well. Of 20 cats with fTLI of $\langle 8\mu g/l \rangle$ (controls = 17–49 $\mu g/l$),

17 had compelling evidence of EPI (Steiner and Williams, 2000).

I. Creatine Kinase

Creatine kinase (CK) (EC 2.7.3.2) catalyzes the exchange of a phosphate moiety between creatine phosphate and ATP. In myocardial and skeletal muscle, CK allows energy storage as creatine phosphate when demand is low, but when energy is needed for muscle contraction, CK catalyzes the transfer of the high-energy phosphate from creatine phosphate to ADP to form ATP. A small amount of CK activity is associated with the mitochondria, where it is responsible for transfer of high-energy phosphate to creatine, the cytosolic carrier.

The greatest amount of data for CK in domestic species is available for dogs, as this species has often been used as an experimental model for myocardial disease in humans. Canine CK is reviewed in depth elsewhere (Aktas *et al.*, 1993).

CK activity is in greatest concentration in skeletal muscle followed by heart muscle, diaphragm and smooth muscle, and then brain (Keller, 1981). In most species, the amount of activity is two- to four-fold greater in skeletal muscle than heart muscle, although in cats it is nearly equal (Boyd, 1983). The CK activity in brain tissue is approximately 10% of that in skeletal muscle. CK is primarily found in the cytoplasm; however, there is a mitochondrial form that makes up a small percentage of the total CK activity of the cell. There is evidence of breed differences, with higher skeletal muscle CK activity in greyhounds than mongrels and more CK activity in fastacting than in slow-twitch muscles (Guy and Snow, 1981; Lindena *et al.*, 1982).

There are two distinct subunits of CK, referred to as the M (muscle) and B (brain) subunits. These combine randomly to form three isoenzymes of CK: CK-MM, CK-BB, and CK-MB. Skeletal muscle of most species has nearly 100% CK-MM (Aktas et al., 1993; Boyd, 1983). Heart muscle has primarily CK-MM, and a variable amount of CK-MB, with dogs and horses having approximately 3% and 10% CK-MB, respectively. The brain has primarily CK-BB with a small percentage of CK-MB and CK-MM. In dogs, intestine and spleen have predominantly CK-BB, followed by CK-MB and then CK-MM. The normal distribution of serum CK isoenzymes in dogs is approximately 50% CK-MM and 40% CK-BB with the remaining being CK-MB (Aktas et al., 1993). Although CK isoenzyme analysis is of great importance in human medicine as an indicator of myocardial infarction, the need for CK isoenzyme analysis in veterinary species has not been demonstrated. However, experimentally induced left ventricular hypertrophy in dogs resulted in a 50% reduction in CK-MM and a 10-fold increase in CK-MB (Ye et al., 2001).

Serum CK-MB, presumably from myocardial injury, has also been shown to increase in foals with sepsis, but there is no difference between survivors and nonsurvivors, thereby suggesting no prognostic value to CK isoenzyme analysis (Slack *et al.*, 2005). The mitochondrial form of CK (MtCK) exists in two isoenzymes encoded by separate genes (Payne and Strauss, 1994). These have no apparent diagnostic value at this time.

The half-life of CK activity in blood is relatively short in all species. The half-life of CK from myocardial extracts is 2 to 3h in dogs (Cairns and Klassen, 1977; Sobel et al., 1977). The mean half-lives for administered CK solution made from skeletal muscle from dogs, sheep, horses, and cattle are 2.61, 2.07, 2.05, and 8.67h, respectively (Aktas et al., 1995; Houpert et al., 1995; Lefebvre et al., 1994; Volfinger et al., 1994; see also Lefebvre et al. [1996] for review). The half-life following intramuscular injection of muscle homogenate in dogs was approximately 6.5h with the rate-limiting step being the absorption from the site of injection into blood via lymphatics (Aktas et al., 1995). This half-life may be more clinically relevant as it better reflects the source of CK in blood following muscle injury. The mechanism by which CK is cleared from blood is not known, but it may involve inactivation of enzyme thiol groups. CK activity is not lost via the kidneys, and creation of a portocaval shunt with arrest of hepatic blood flow had no effect on the clearance rate of CK activity in dogs (Oostenbroek et al., 1985).

Reference values for serum CK activity are highest in dogs under 1 month of age and decline until 1 year of age (Aktas *et al.*, 1994). The reference value is nearly two-fold higher in small dogs compared to large dogs. However, these differences are not likely to have significant impact on the diagnostic value of CK activity.

In domestic species, CK activity is mainly used as a marker of skeletal muscle injury associated with trauma, nutritional myopathies, exercise-induced muscle injury, or congenital myopathies. Increased CK activity in dog serum is seen secondary to myocardial diseases such as dirofilariasis and parvovirus infection, but it is unchanged in myocardial hypertrophy (Jacobs et al., 1980; Kitagawa et al., 1991). Markedly increased serum CK activity has been reported in congenital myopathies such as myotonia and X-linked myopathy of golden retrievers (Cooper et al., 1988; Jones et al., 1977; Valentine et al., 1988). Only modest to moderate increases in serum CK activity were observed in acquired myopathies of dogs such as malignant hyperthermia, hyperadrenocorticism, hypothyroidism, and vitamin E-selenium deficiency (Green et al., 1979; Kaelin et al., 1986; O'Brien et al., 1990; Van Vleet, 1975). Serum CK can be expected to increase following surgery. Serum CK activity is often increased with neurological disorders but is usually due to increased CK-MM rather than CK-BB (Hoffmann, 1994). Therefore, the increased serum CK activity is most likely a result of involuntary skeletal muscle contractions. However,

an increase in CK-BB was observed in a Yorkshire terrier with necrotizing encephalitis (Sawashima *et al.*, 1996). The sensitivity and specificity of serum CK activity for diagnosis of skeletal muscle, myocardial, and neurological disease has been assessed in dogs (Aktas *et al.*, 1994). False-positive results, causing a decrease in test specificity, were attributed to the high concentration of CK in muscle, the large muscle mass, and the release of enzyme for nonspecific reasons. There were also several false negatives, thereby lowering the sensitivity, which were attributed to the short half-life of the CK activity in blood.

In cats, increased serum CK activity can be associated with trauma, surgery, and intramuscular injections. There has also been a reported increase (median CK activity of 2529 U/I) observed in anorectic cats (Fascetti *et al.*, 1997), suggesting that serum CK activity could serve as a marker of nutritional status in cats. The increased CK activity with anorexia in cats is thought to result from muscle catabolism secondary to decreased caloric intake. Serum CK activity decreases significantly within 48h of nutritional supplementation.

Serum CK activity is increased in horses in a variety of conditions causing muscle injury. As early as 1967, and in numerous reports since, increased serum CK activity has been associated with paralytic myoglobinuria (Cardinet et al., 1967). A markedly increased serum CK activity has been observed in four horses with severe rhabdomyolysis associated with Streptococcus equi infection (Sponseller et al., 2005). Increased serum CK activity has also been described in horses with exertional rhabdomyolysis associated with heritable polysaccharide storage myopathy (Ribeiro et al., 2004). Cattle also have increases in serum CK activity with a variety of muscle injuries and diseases. Increased serum CK activity is common with selenium and vitamin E deficiency (Arthur, 1988; Zust et al., 1996). Large animal species also frequently have increased serum CK activity because of prolonged recumbency or pressure necrosis of muscle.

There are numerous reports of increases in serum CK activity associated with intramuscular injection of drugs. A noninvasive technique using area under the curve and total plasma clearance of CK activity has been proposed for determining postinjection muscle damage in dogs, horses, sheep, and cattle (Aktas et al., 1995; Houpert et al., 1995; Lefebvre et al., 1994; Toutain et al., 1995; see Lefebvre et al. [1996] for review). For example, the amount of muscle tissue damaged following an IM injection of imidocarb in a 10-kg beagle is 2.5g (Aktas et al., 1995). This quantitative technique has potential use in evaluating local tolerance of intramuscular administration of new drugs to satisfy regulatory requirements.

There has been some interest in exercise-induced increases of CK activity in horses and dogs. Increases have been observed in sled dogs following long-distance races and in horses in various training programs (Harris *et al.*, 1998; Hinchcliff *et al.*, 1993, 1998; Vnolfinger *et al.*, 1994).

In long-distance sled dog races, there are marked interindividual variations with activity ranging from unchanged to more than 13-fold increase. However, there is minimal increase in serum CK activity following exercise in untrained beagle dogs (Chanoit *et al.*, 2002). In horses, it has been concluded through kinetic studies that the amount, in grams, of striated muscle damaged is negligible following exercise (Volfinger *et al.*, 1994). It has been reported that increases tend to occur more frequently in fillies, younger animals, and untrained horses (Harris *et al.*, 1998).

In all species, CK has the advantage over serum aspartate aminotransferase in being specific for muscle injury and not affected by hepatocellular injury. The short half-life of the enzyme may tend to reduce the diagnostic sensitivity of the test, but it also offers an effective means of monitoring response to therapy.

K. Other Enzymes

As mentioned in the introduction, numerous other enzymes have been investigated for use in diagnosis and prognosis of disease and organ dysfunction in nonhuman animals. Some of these have been dropped altogether or receive limited use, such as lactic acid dehydrogenase, 5'nucleotidase, glutathione S-transferase, leucine aminopeptidase, arginase, aldolase, and acid phosphatase, among others. The reasons for their limited use vary but include relatively poor diagnostic accuracy, diagnostic redundancy with already established tests, and a lack of readily available test kits. For example, serum lactic acid dehydrogenase (LDH) activity originates from several tissues including liver, skeletal muscle, heart muscle, and erythrocytes. This resulting decreased test sensitivity and specificity limits the diagnostic accuracy of serum LDH activity. Although LDH isoenzyme analysis may improve tissue specificity, the tests are relatively time consuming, expensive, and still only provide a subjective assessment of the contribution of each organ to the total serum activity. In addition, the test does not offer a substantial improvement over assays to other enzymes such as alanine aminotransferase or creatine kinase. A few laboratories still offer 5'nucleotidase, but it provides much of the same information as ALP and perhaps has lost favor as a result. Arginase is highly specific for injury to hepatocytes but suffers from a short half-life and is not readily available for autoanalyzers.

V. FUTURE OF SERUM ENZYMOLOGY

Although the number of studies investigating enzyme activity assays for diagnostic purposes has decreased since the 1990s, they are still of immense importance to diagnostic medicine. The currently increasing interest in identifying protein and peptide markers of organ injury or dysfunction will require immunoassays to determine their concentrations

in serum. This is often problematic to veterinarians, as appropriate antibodies to these markers in domestic animals are frequently unavailable. The divergence of human biomarker research away from classical serum enzymology has the unintended consequence of limiting the universality of new biomarker application across species. Although this continues to be a deterrent, there is increased interest in the development and utilization of immunoassays in veterinary medicine as evidenced by the assays for trypsin-like immunoreactivity and pancreatic lipase immunoreactivity in dogs and cats, as well as immunoassays for nonenzymatic proteins, such as the natruretic peptides and troponins. Problems regarding the availability of immunoassays and long turnaround time from submission to obtaining laboratory results are increasingly being addressed. For example, the development of automated analyzers for immunoassays has allowed test results to be available on the same day as sample submission. Canine TLI is available on the Immulite system from Diagnostic Products Corporation, and more are expected in the future. The fields of serum enzymology and serum protein markers have merged in human medicine as evidenced by the topic section "Proteomics and Protein Markers" in the table of contents of the journal Clinical Chemistry. The development of this merger in nonhuman animal medicine is almost certain to happen.

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Hepatic Function

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- I. INTRODUCTION
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V. OVERVIEW AND CONCLUSIONS REFERENCES

I. INTRODUCTION

The liver has an essential role in nutrient metabolism including the control and maintenance of the blood glucose level; in detoxification and excretion of hydrophobic metabolites and xenobiotics; in the synthesis of most plasma proteins; and in digestion through synthesis, biliary secretion, and conservation of bile acids that are essential both for digestion and intestinal absorption of fats and other lipids including fat soluble vitamins. The clinical manifestations of hepatic disease are directly attributable to alterations in the metabolic, excretory, synthetic, and digestive functions of the liver. The liver has great reserve, and signs of hepatic failure often do not develop until 70% or more of functional capacity is lost. Importantly, even when a major fraction of the hepatocellular mass has been lost following acute injury, recovery is possible because of the unique regenerative capacity of the liver.

Since Dr. Charles E. Cornelius wrote this chapter for earlier editions of this textbook (Cornelius, 1970), remarkable

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advances have been made in our understanding of the pathophysiological, biochemical, and molecular mechanisms responsible for hepatic disease. Our current knowledge of veterinary hepatology is the result of the collective work of individuals from a variety of disciplines, including practicing veterinarians, biomedical scientists, and, more recently, molecular and cell biologists and molecular geneticists. No one individual had a more important or sustained impact than Dr. Cornelius, and no one was more active in maintaining a comparative perspective on the subject (Cornelius, 1993). In this chapter, we describe the biochemical mechanisms responsible for the cardinal clinical manifestations of hepatic insufficiency and the biochemical tests used in the clinical diagnosis of liver disease and to assess hepatic function. As in previous editions, the goal is to provide students of veterinary medicine at all stages of career development with information useful for managing the diseases of animal patients.

II. FUNCTIONAL ANATOMY

During embryological development, the liver arises as an outgrowth of the primitive gut and is located cranial to the abdominal viscera and other abdominal organs between the splanchnic and the peripheral (systemic) circulatory systems. Unlike other mammalian organs, afferent blood to the liver is derived from two sources, the hepatic artery and the hepatic portal vein. Efferent blood leaves the liver by the hepatic vein and enters the systemic circulation via the caudal vena cava. Twenty to 30% of afferent blood comes from the hepatic artery, and the remainder from the hepatic portal vein, which drains the pancreas, spleen, stomach, small intestine, and all but the most terminal portion of the large intestine.

The peripheral border of the classical liver lobule is formed by the most peripheral row of hepatocytes (the terminal plate) and by two, three, or more portal tracks (portal triads) that contain preterminal branches of the hepatic artery, the hepatic portal vein, and bile ductules. Lymphatic vessels also are present in the portal tracts, but because of their small size and thin walls they are difficult to recognize morphologically. Although the classical lobular architecture of the liver remains in use for the morphological description of pathological lesions of the liver, most analyses indicate that the functional unit of the liver is the hepatic acinus in which blood flows from terminal hepatic artery and terminal portal vein of the portal tracts toward two, three, or more terminal collecting veins (central veins). Significant structural and functional heterogeneity has been demonstrated between hepatocytes of the periphery of the hepatic acinus (zone 1), the midzonal hepatocytes (zone 2), and perivenous hepatocytes (zone 3) (Jungermann and Katz, 1989). The functional significance of some of these differences will be discussed in this chapter.

Hepatocytes are the principal cell type of the liver and make up approximately 70% of the total volume. Kupffer cells are the macrophages of the liver located in the sinusoids with pseudopodia that are attached to endothelial cells and hepatocytes. The Kupffer cells and other perisinusoidal cells are responsible for local inflammatory and other immune responses. The stellate cells or Ito cells are found in the space of Disse where they are identified are the vitamin A-containing vacuoles in the cytoplasm. When activated by Kupffer cells, the stellate cells transform into myofibroblasts and are responsible for the production of collagen in liver diseases that are associated with fibrosis or cirrhosis.

The hepatocytes are arranged in single-cell plates separated by sinusoids lined by vascular endothelial cells. Blood from the terminal branches of the hepatic artery and the hepatic portal vein enters and mixes in the periphery of the liver acinus and percolates through the sinusoids to the terminal hepatic vein. The vascular endothelium lining the hepatic sinusoids differs from that of other capillaries in two ways. Under normal conditions, hepatocytes do not rest on a basement membrane but are separated from endothelial cells by the perisinusoidal space of Disse. Second, dynamic fenestrations of the sinusoidal endothelium are responsible for formation of hepatic lymph that has a protein content much higher than that of the lymph formed in other tissues, which is an ultrafiltrate of plasma with a characteristically low protein content.

Blood exits the liver through branches of the hepatic vein and obstruction of hepatic vein outflow increases the formation of hepatic lymph that is rich in protein. This may occur in congestive heart failure, in mechanical obstruction of hepatic vein outflow (Budd-Chisari syndrome), and in the early stages of hepatic fibrosis. In advanced hepatic cirrhosis, dense intracellular matrix forms in the space of Disse. "Capillarization" of the sinusoids develops reducing the fenestrations of the sinusoidal endothelium, and in formation of hepatic lymph that is typically low in protein, closely resembling the lymph produced by other normal tissues (see Section III.D).

Bile is secreted via the microvillous membrane of bile canaliculus located in the apical cell membrane of the hepatocyte and flows in the direction of the portal tracts in channels formed by the canaliculi of 2, 3, or more adjacent hepatocytes. These channels converge near the portal tracts forming the canals of Herring through which bile drains into the bile ductules of the portal tracts and finally into the ducts of the biliary tree. Hepatic lymph formed in the space of Disse flows in a similar direction and exits the liver via lymphatics vessels located in the portal tracts. Hepatic lymph leaves the liver primarily via the hilar lymphatic, hilar lymph nodes, and the thoracic duct. Hepatic lymph also leaves the liver by lymph vessels associated with the hepatic vein.

Cells of the periportal Zone 1 of the acinus are more likely to divide than other hepatocytes (Grisham, 1959). Mitochondria are larger and more numerous in Zone 1 hepatocytes than are those of Zone 3 (Loud, 1968; Uchiyama and Asari, 1984). Fenestrae of Zone 1 sinusoidal endothelial cells are larger than those of the Zone 3 region, and this may account for selective uptake of large, more complex molecules such as remnants of chylomicrons by Zone 1 hepatocytes (Wisse *et al.*, 1985).

A significant oxygen gradient has been demonstrated between sinusoids of Zones 1 and 3. The concentrations of glucose and amino acids that arrive primarily from the hepatic portal vein are higher in zone 1 sinusoids during digestion. Such metabolic differences are associated with important functional differences between Zones 1 and 3. The enzymes of glycolysis, gluconeogenesis, and glycogen metabolism have different activities within zones. Glucose-6-phosphatase, phosphoenolpyruvate carboxykinase, and fructose-1,6-diphosphatase activities are higher in periportal hepatocytes, whereas glucokinase and pyruvate kinase activities are higher in pericentral hepatocytes (Zakim, 1996). Glycogen appears to be uniformly distributed within the cells of the acinus during steady-state conditions, but during fasting, glycogen of periportal hepatocytes is utilized more rapidly and, during feeding, is replaced more rapidly.

Two plasma membrane transporters for glucose are expressed in the liver. Glut-2 is the primary glucose transporter of the liver and is expressed in plasma membranes of all hepatocytes. The Km of Glut-2 for glucose is 15 to 20 mM, a concentration of glucose that can be reached or exceeded in the hepatic portal vein during and immediately after feeding. Under these conditions, glucose is transported into hepatocytes for the synthesis of glycogen, amino acids, and triglycerides. Between meals, the glucose concentration in the portal vein is approximately 5mM and is similar to that in the peripheral circulation. During the interdigestive period, the concentration of glucose in hepatocytes is high relative to that of sinusoidal blood. Glut-2 also facilitates transport of glucose from the cytoplasm of hepatocytes into the sinusoid. Glucose reaching the systemic circulation, maintains the peripheral blood glucose concentration, and contribute to meeting systemic energy requirements. The Glut-1 transporter is present only in the plasma membranes of Zone 3 (Tal et al., 1990). The affinity of Glut-1 for glucose is much higher (Km 1–2 mM) than that of Glut-2. The Glut-1 gene is transcribed and translated by all hepatocytes of the acinus; however, by means of a post-translational control mechanism, Glut-1 is inserted into the plasma membrane of only pericentral hepatocytes (Bilir et al., 1993).

The liver plays a critical role in the removal of ammonia from the blood. Two separate reactions within the liver acinus are involved. The concentration of ammonia in the Zone 1 sinusoids is high compared to that of the Zone 3 sinusoids. Most of the ammonia that enters the liver diffuses into the hepatocytes of Zones 1 and 2, and a relatively small amount of ammonia reaches the hepatocytes of Zone 3. Hepatocytes of Zones 1 and 2 contain carbamoyl phosphate synthase and other enzymes of the urea cycle that are responsible for conversion of ammonia to urea. The activity of glutamine synthase is confined to the hepatocytes located adjacent to the terminal hepatic vein, and in these perivenous hepatocytes, glutamate synthase is responsible for the use of ammonia in the catalytic amination of glutamate forming glutamine. The Km of carbamoyl phosphate synthase for ammonia is approximately 1.2 mM, whereas that of glutamine synthase for ammonia is 0.3 mM (Gumucio and Berkowitz, 1992; Gumucio et al., 1994). Synthesis of urea from ammonia in periportal hepatocytes and of glutamine from ammonia in pericentral hepatocytes represents complementary enzymatic processes. Ammonia is first seen by hepatocytes that synthesize urea utilizing a low-affinity, high-capacity system. At the end of passage through the hepatic sinusoid, the small amount of ammonia remaining is removed for glutamine synthesis using a relatively high-affinity, low-capacity mechanism.

Zone 1 and 2 hepatocytes are responsible primarily for the bile salt-dependent fraction of bile formation and appear to be the primary site of bile salt synthesis. The enzymes necessary for fatty acid synthesis, CoA carboxylase and fatty acid synthase, are located primarily in Zone 3 hepatocytes. Drug-metabolizing enzymes of the cytochrome P-450 family are located predominantly in Zone 2 and 3 hepatocytes, explaining hepatocellular damage and fatty metamorphosis preferentially in Zone 3 hepatocytes (Jungermann and Katz, 1989) after exposure to toxins such as carbon tetrachloride.

III. CLINICAL MANIFESTATIONS OF HEPATIC INSUFFICIENCY

A. Icterus

1. Formation of Bile Pigments

Bilirubin is a yellow pigment produced by the enzymatic degradation of heme. Approximately 80% of the bilirubin

produced normally by mammals is derived from the removal of senescent erythrocytes from the circulation by the reticuloendothelial systems (Landau and Winchell, 1970; Robinson *et al.*, 1966). Degradation of heme from other sources (myoglobin, cytochromes, peroxidase, catalase, guanylate cyclase) accounts for production of the remaining bilirubin. Significant amounts of microsomal cytochromes (P-450, b₅) are present in the liver and are the most important non-erythroid source of bilirubin.

The initial step in bilirubin formation is the opening of the heme (ferriprotoporphyrin) ring at the α -methene bridge (Fig. 13-1). This reaction is catalyzed by microsomal heme oxygenase (Tenhunen *et al.*, 1968, 1969, 1970a, 1970b). Cytochrome P450 serves as the terminal oxidase and requires reduced nicotinamide adenine dinucleotide phosphate (NADPH) and molecular oxygen. The products of heme degradation are equimolar amounts of iron, biliverdin, and carbon monoxide (Sassa, 2004; Tenhunen *et al.*, 1968, 1969). It has been estimated that more than 86% of

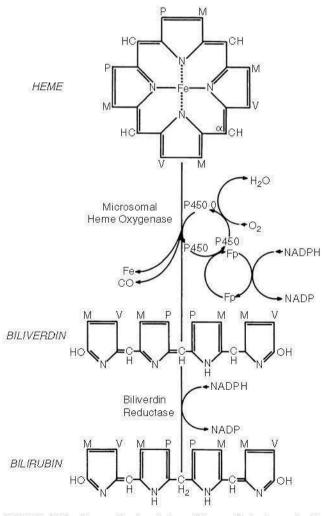


FIGURE 13-1 Enzymatic degradation of heme (ferriprotoporphyrin) and formation of bilirubin.

endogenously produced carbon monoxide is derived from the enzymatic breakdown of heme (Ryter et al., 2006), and quantitation of respiratory carbon monoxide has been used as an indirect measurement of bilirubin production (Landaw and Winchell, 1970). A minor amount of carbon monoxide arises from other metabolic processes including peroxidation of lipids (Archakov et al., 2002; Vreman et al., 1998). In primary hepatocyte cultures, degradation of hepatic heme also has been shown to use pathways that do not yield carbon monoxide (Bissell and Guzelian, 1980).

Bilirubin is formed by the catalytic reduction of biliverdin by the cytosolic enzyme biliverdin reductase, which, like heme oxygenase, requires NADPH (Tenhunen *et al.*, 1970b). In most mammals, hepatic biliverdin reductase activity is sufficient and normally is not rate limiting in the synthesis of bilirubin. Biliverdin reductase activity, however, is almost completely lacking in birds; consequently, in avian species, biliverdin is the major pigment of bile. Biliverdin also is the predominant bile pigment of the rabbit, in which 70% of bile pigment is biliverdin and biliverdin reductase activity is low (George *et al.*, 1989; Munoz *et al.*, 1986).

Heme oxygenase is most active in the tissues that remove erythrocytes and degrade heme. The spleen is the most important in this regard, followed by the liver and the bone marrow (Tenhunen et al., 1968, 1969, 1970a). The kidney normally plays a minor role in heme degradation but in hemolytic disorders associated with hemoglobinuria, the kidney has a quantitatively more important role in heme degradation. When intravascular hemolysis begins, glomerular filtration of hemoglobin is initially prevented by the binding of hemoglobin to the plasma protein haptoglobin. When the haptoglobin binding capacity is exceeded, however, glomerular filtration of hemoglobin occurs. Depending on the amount filtered, some hemoglobin is reabsorbed by renal tubular epithelium. This induces formation of heme oxygenase and production of bilirubin by the kidney (de Schepper and Van Der Stock, 1972a, 1972b; Pimstone et al., 1971). Degradation of hemoglobin in this manner may have a homeostatic function that conserves iron and diminishes renal injury associated with hemoglobinuria.

2. Hepatic Excretion of Bilirubin

Bilirubin is not soluble in aqueous solution and is transported in plasma from sites of synthesis to the liver bound to albumin. Bilirubin dissociates from albumin (Listowsky et al., 1978), and hepatocellular uptake is facilitated across the basolateral (sinusoidal) plasma membrane by the organic anion transport polypeptide (OATP), a sodiumand energy-independent process. OATP also has a role in the hepatic uptake of a wide range of other organic anions (e.g., certain drugs, other xenobiotics, sulfobromophlalein [BSP]) and in the sodium-independent hepatic uptake

of bile salts (Hata *et al.*, 2003). The primary mechanism for the hepatic uptake of conjugated bile salts is sodium dependent and utilizes the sodium taurocholate cotransporting polypeptide (NTCP) (Hata *et al.*, 2003; Jacquemin *et al.*, 1991, 1994; Wolkoff *et al.*, 1985).

Localization of OATP in the sinusoidal plasma membrane of the hepatocyte is determined by PDZ1, a 70-kDa PDZ binding motif that is present in the liver and kidney. (PDZ is an acronym that combines the first letters of the three proteins in which its binding domain was first identified; Hung and Sheng [2002].) PDZ domains function in protein targeting and in the assembly of specific protein-protein complexes involved in signal transduction or transport. In PDZK1 knockout mice, the expression of OATP1a1 in the liver is almost normal, but OATP1a1 is present only in the cytoplasm and is absent from its normal position in the plasma membrane. BSP clearance is diminished in PDZK1 knockout mice, suggesting that oligomerization of OATP1a1 with PDZK1 determines the subcellular localization and the function of OATP1a1 (Wang et al., 2005).

Upon entry into the hepatocyte, bilirubin binds to ligandin, a major cytosolic protein that has both transport and detoxification functions. Ligandin is a glutathione S-transferase that catalyzes conjugation of reduced glutathione with a variety of endogenous substrates and xenobiotics including porphyrins and certain steroid hormones including cortisol, BSP, and indocyanine green (Habig *et al.*, 1974; Kaplowitz *et al.*, 1973). By binding bilirubin and inhibiting the efflux of pigment back into the plasma, ligandin serves as a driving force for initial hepatic uptake (Listowsky *et al.*, 1978).

Conjugation of bilirubin with glucuronic acid is catalyzed by bilirubin uridine-diphosphate glucuronosyltransferase-1 (BUGT1) in a reaction in which one or both propionic acid side chains of bilirubin IX are esterified. BUGT1 is a microsomal isoenzyme that produces water-soluble bilirubin mono- and diglucuronide. The UGT family of enzymes is among the most important mammalian detoxification mechanisms.

Bilirubin diglucuronide represents more than 80% of the total bile pigment in healthy adult human bile. Glucuronic acid esters of bilirubin have been identified in the bile of a variety of species including the dog (Talafant, 1956), rat (Grodsky and Carbone, 1957), guinea pig (Schmid, 1956), and in the bile of the horse, pig, cat, sheep, and cattle (Cornelius *et al.*, 1960). Gordon *et al.* (1976) have shown that the diglucuronide is the major bilirubin conjugate excreted in canine bile. There is evidence, however, that the bile of dogs (Fevery *et al.*, 1971; Heirwegh *et al.*, 1975; Noir, 1976) and other species (Cornelius *et al.*, 1975a, 1975b) also contains bilirubin conjugates of glucose and xylose.

When the activity of bilirubin-UGT in the liver is diminished, production of bilirubin mono- and diglucuronide decreases. Reduction of conjugating enzyme activity to

approximately 30% of normal results in a modest increase in the serum bilirubin concentration. Greater deficiency of bilirubin-UGT leads to ineffective esterification of bilirubin and to more severe unconjugated hyperbilirubinemia and icterus. Bilirubin conjugation in the liver of neonatal animals is relatively low compared to the adult. Coupled with the high rate of bilirubin production related to accelerated neonatal erythrocyte turnover, the risk of hyperbilirubinemia and icterus may be increased in the neonate. Defective bilirubin conjugation as a result of inherited UDP-glucuronosyltransferase deficiency causes the severe unconjugated hyperbilirubinemia of the Crigler-Najjar syndrome of humans and the Gunn rat. Gilbert's disease is a more benign, inherited form of UDP-glucuronosyltransferase deficiency in humans that is characterized by intermittent unconjugated hyperbilirubinemia and icterus. A similar enzyme deficiency may explain the benign unconjugated hyperbilirubinemia observed in some horses.

The final step in hepatic excretion is the transport of conjugated bilirubin across the bile canaliculus and into the biliary system. The experimental intravenous infusion of unconjugated bilirubin at a rate that exceeds the maximal hepatic excretory capacity results in accumulation of conjugated bilirubin in plasma. This indicates that under normal conditions, the rate-limiting step in the transfer of bilirubin from plasma to bile is canalicular transport rather than either hepatic uptake or conjugation (Arias *et al.*, 1961). The concentration of bilirubin glucuronide in bile is 150-fold greater than in hepatocytes.

The unidirectional transport of bilirubin conjugates from the cytoplasm across the canalicular plasma membrane into bile is mediated by the multidrug resistance-associated protein 2 (Mrp2; ABCC2; canalicular multispecific organic anion transporter, cMOAT), a member of the ATP-binding cassette (ABC) superfamily, and an integral part of the bile canaliculus (Chowdhury et al., 1994; Nies and Keppler, 2007). The Mrp2 transport mechanism is functionally distinct from the ATP-dependent, bile salt export pump of the canaliculus described later in the section on bile acids (Bsep; Alpert et al., 1969; Arias et al., 1993; Muller et al., 1991; Stieger et al., 2007). Although mechanistically separate from the Mrp2 system, bile salt excretion enhances bile flow and increases the maximum transport capacity for bilirubin and other organic anions (Goresky et al., 1974).

Mutations of the Mrp2 gene are responsible for the Dubin-Johnson syndrome of humans, a benign, inherited hepatic disease associated with conjugated hyperbilirubinemia and accumulation of melanin like pigment in hepatocytes. A similar disease has been observed in two separate strains of rats. In the GY/TR(-) rat (Jansen *et al.*, 1985; Paulusma *et al.*, 1996) and in the similar Eisai hyperbilirubinemic rat (EHBR; Ito *et al.*, 1997, 2001; Takikawa *et al.*, 1991), genetic sequence variants lead to premature stop codons

and to the absence of the Mrp2 gene product in the canaliculus. A similar genetic abnormality is likely in Corriedale sheep, which develop an inherited disease that is clinically and biochemically identical to the Dubin-Johnson syndrome of humans (Cornelius *et al.*, 1965a, 1968b) and rats (Kitamura *et al.*, 1990).

3. Extrahepatic Metabolism of Bilirubin

From the bile, conjugated bilirubin enters the intestine. Conjugated bilirubin, a polar compound, is poorly absorbed in the small intestine and passes to the large intestine where it is reduced to a series of colorless derivatives collectively called urobilinogens (stercobilinogens). Reduction is catalyzed by dehydrogenases of anaerobic colonic bacteria. In germ-free animals that lack intestinal microorganisms, bilirubin passes unaltered into the feces and urobilinogen is not produced (Gustafsson and Lanke, 1960). Most of the urobilinogen formed in the colon is passed in the feces, but some is absorbed into the portal circulation, transported to the liver, and most of that is excreted in the bile. A small fraction (1% to 5%) of absorbed urobilingeen, however, passes into the general circulation and is excreted by the kidney. In the dog, urobilinogen is excreted by both glomerular filtration and tubular secretion, the latter being enhanced in acid urine (Levy et al., 1968).

Although the liver is the principal site of bilirubin conjugation and excretion, alternate pathways have been demonstrated. In normal animals, these alternate mechanisms are of minor significance but may become quantitatively more important in liver disease. After total hepatectomy, dogs have been shown to develop moderate hyperbilirubinemia and bilirubinuria. In addition to unconjugated bilirubin, the plasma of hepatectomized dogs contains the monoglucuronide conjugate (Hoffman et al., 1960) and, in some studies, the diglucuronide of bilirubin (Royer et al., 1965). The kidney and intestine both have been shown experimentally to be sites with the capacity to conjugate bilirubin (Royer et al., 1974). Differences in extrahepatic metabolism of bilirubin may explain some of the remarkable differences between species in the bilirubin levels reached after bile duct obstruction (see the following section).

4. Icterus

The clinical sign of *icterus* or *jaundice* develops when the yellow pigment bilirubin accumulates in plasma and other tissues. Yellow discoloration of tissues can first be noted by careful observation when the plasma bilirubin value exceeds 2 to 3 mg/dl and can be appreciated even by an untrained observer when the concentration exceeds 3 to 4 mg/dl. The correlation between the plasma bilirubin concentration and the degree of clinical icterus is not, however,

perfect. Elevated plasma bilirubin values are usually present for one or more days before clinical icterus is apparent, and there may be a delay between the time plasma bilirubin returns to normal and the clearance of the yellow discoloration of tissues. Conjugated bilirubin is said to have a greater affinity for connective tissue than the unconjugated pigment, possibly because conjugated pigment is less avidly bound to albumin (With, 1968).

Visible yellow discoloration of tissues is readily recognized in animals in the unpigmented sclera. The normal red color of the visible mucous membranes makes detection of a slight yellow cast more difficult. It is possible to apply pressure to the mucous membranes and temporarily reduce blood flow to the area, so that the underlying discoloration of the tissue can be better assessed.

The color of plasma (icteric index) may be useful clinically in the evaluation of icterus. Normal canine, feline, and ovine plasma is often water clear and free of yellow color. The finding of yellow plasma in these species is highly suggestive of hyperbilirubinemia. Cattle absorb and transport significant quantities of carotene in plasma. Because in cattle the icteric index varies with the dietary intake of carotene, measurement has limited use in this species. Equine plasma normally has a high icteric index, which in part is due to a plasma bilirubin concentration that normally is higher than that of other domestic species. There are other, as yet uncharacterized, noncarotene pigments, however, that may contribute to the color of equine plasma.

Notable species differences occur in the frequency with which icterus is observed in association with liver disease. In sheep and cattle with terminal hepatic insufficiency, there usually is a significant biochemical elevation in plasma bilirubin, but elevation may be insufficient to result in clinical icterus (Finn and Tennant, 1974; Hjerpe *et al.*, 1971). This is due possibly to the residual capacity of the liver to excrete bilirubin or to extrahepatic mechanisms for bilirubin excretion or degradation. Clinical icterus in ruminants is often associated with hemolytic anemia in which production of bilirubin exceeds excretory capacity (e.g., anaplasmosis in cattle and copper poisoning in sheep). In severe fatty liver, cattle that are critically ill may exhibit some degree of clinical icterus.

The assessment of clinical icterus in the horse is somewhat more complicated than in other species. The sclera and visible mucous membranes of most normal horses do not appear icteric, but in 10% to 15% of normal horses, a slight but definite yellow discoloration of the sclera or oral mucous membranes can be detected (Tennant *et al.*, 1975). Scleral icterus of a moderate degree may also be observed in horses with a variety of illnesses that do not involve the liver directly (e.g., pneumonia, impaction of the large intestine, enteritis). Reduction in food intake is a common factor in such disorders, and fasting in the horse causes a rapid increase in plasma bilirubin concentration. In both

hemolytic anemia and hepatic failure in the horse, the degree of icterus is usually remarkably greater than that seen under physiological conditions or that is associated with reduced food intake. In the horse, severe clinical icterus is almost invariably present in acute hepatic necrosis (Tennant *et al.*, 1975; Thomsett, 1971). However, in chronic hepatic disease, icterus may be a more variable sign. In a series of 34 cases of hepatic cirrhosis in the horse, significant icterus was a presenting sign in 70% (Tennant *et al.*, 1975). Icterus was even less frequent (40%) in another series of horses with cirrhosis (Gibbons *et al.*, 1950).

The dog and cat appear to be intermediate between ruminants and the horse in the propensity to develop clinical icterus. Hemolytic disease, severe hepatocellular dysfunction, and extrahepatic bile duct obstruction are characteristically associated with icterus in dogs and cats. In experimental extrahepatic bile duct obstruction in the dog, the plasma bilirubin increases at once following obstruction and clinical icterus is observed within 1 to 3 days. After 2 to 3 weeks, however, the plasma bilirubin of some dogs declines. As in sheep and cattle, this may be related to adaptation of extrahepatic mechanisms of bilirubin excretion, particularly the kidney. The kidney of the dog is capable of adapting so that the rate of renal excretion of bilirubin equals the rate of formation. In cats with complete extrahepatic bile duct obstruction, however, no such decrease is observed, and persistent hyperbilirubinemia and deep icterus are characteristic.

B. Hepatic Encephalopathy

Hepatic encephalopathy is the syndrome of disturbances in cerebral function that is caused by hepatic insufficiency or hepatic failure. Severity of neurological signs may vary from subtle and intermittent changes in behavior associated with lethargy or stupor, to bizarre, belligerent behavior, mania, convulsions, and hepatic coma. Typically, such signs are attributable to severe acute or chronic liver disease or in dogs to congenital malformation of the portal vein (portosystemic shunt).

Hepatic encephalopathy is a prominent clinical feature of hepatic failure in the horse. In one series of cases, 82% of horses presenting with acute hepatitis and 32% with cirrhosis had prominent neurological abnormalities (Tennant et al., 1973). Varying degrees of CNS derangement may be observed. Some horses stand quietly with the feet apart and the head lowered, nodding the head occasionally and appearing somnolent. Pupillary response to light may be normal or moderately sluggish, but, in some cases, vision is lost. Compulsive walking in a circle or in a single direction may be observed, and affected individuals may appear oblivious to their surroundings, walking over or through objects in their path ("walking disease"; Rose et al., 1957).

In fulminant cases, horses may become delirious with the head pressed forcibly against a wall for long periods of time, or they may assume a variety of other unusual positions or fall suddenly to the ground. Numerous unproductive attempts to rise can be followed by violent thrashing. When successful in rising, horses with hepatic encephalopathy may be completely uncontrollable, lunging forward violently and becoming a menace to attending personnel and equipment.

The syndrome of hepatic encephalopathy in cattle may have an abrupt onset and characteristically represents a terminal manifestation of chronic liver disease (Fowler, 1968; Pearson, 1977). Affected calves initially may be dull, anorectic, and stand apart from other calves in a herd. Behavioral abnormalities may include unprovoked, violent charging or unusual and unrestrained bawling. Progressive dysmetria and ataxia are followed by recumbency and affected cattle may be unable to rise or even to assume a sternal position. Tenesmus has been reported to be a conspicuous clinical feature associated with prolapse of the rectal mucosa and dribbling of urine. Characteristically, death occurs within 2 days after onset of CNS signs (Finn and Tennant, 1974).

Hepatic encephalopathy is observed frequently in dogs with congenital or acquired portosystemic vascular shunts and may be one of the most prominent presenting clinical features of this form of liver disease (Audell et al., 1974; Barrett et al., 1976; Cornelius et al., 1975a, 1975b; Ewing et al., 1974; Maddison, 1992; Schermerhorn et al., 1996). Neurological signs associated with portosystemic shunts often are episodic and may be present for some months before recognition of the underlying hepatic disturbance. Depression and stupor with amaurotic blindness are observed in approximately half the cases of congenital portacaval shunts in dogs, with circling, head pressing, and intermittent seizures observed less frequently. Hepatic encephalopathy also has been associated with other primary diseases of the canine liver (Center, 1996; Oliver, 1965; Strombeck et al., 1975b).

Inherited diseases involving the urea cycle are recognized in domestic animals in which failure of urea synthesis results in significant elevations in blood ammonia and in signs of encephalopathy. Two cases of encephalopathy in dogs have been reported that were linked to a deficiency of argininosuccinate synthetase (Strombeck et al., 1975a). Inherited argininosuccinate synthetase deficiency has been described in neonatal cattle in which rapidly progressive and fatal encephalopathy was associated with hyperammonemia and citrullinemia (Harper et al., 1986, 1988, 1989). The argininosuccinate synthetase gene of cattle has been cloned, sequenced, and the mutation of affected Holstein calves described (Dennis et al., 1989). Suspected ornithine transcarbamylase deficiency has been described in a cat (Washizu et al., 2004), and encephalopathy in two Morgan foals associated with elevated blood ammonia has been recognized and described as being similar to the human syndrome of hyperornithinemia, hyperammonemia, and homocitrullinuria (McCornico et al., 1997). A syndrome of hyperammonemia and encephalopathy associated with acute gastrointestinal disease has been described in adult horses (Gilliam et al., 2007; Hasel et al., 1999; Peek et al., 1997; Stickle et al., 2006). The pathogenesis of this syndrome has not been fully explained, but the evidence suggests that increased production and absorption of ammonia from the colon are sufficient to exceed the detoxifying capacity of the liver (Stickle et al., 2006).

Hepatic encephalopathy must be differentiated clinically from primary inflammatory, degenerative, or neoplastic diseases of the brain, and this can be accomplished by demonstrating the existence of underlying severe hepatic disease. In the horse with acute hepatitis, clinical icterus almost always is present at the time neurological signs are observed. In the dog and in cattle, frank clinical icterus is observed variably in animals with hepatic encephalopathy so that other tests of hepatic function or liver biopsy are required. In cases of primary hyperammonemia resulting from deficiency of urea cycle enzymes, conventional hepatic function tests are not expected to be abnormal (Strombeck *et al.*, 1975a).

Factors responsible for encephalopathy associated with hepatic failure are not completely understood. Ammonia is present in normal peripheral blood at a concentration of 2 to 5 mM/l. In portal venous blood, the concentration may be five times higher. Normally, most of the ammonia in the hepatic portal vein is removed by the normal liver to form urea, with only a small fraction passing into the systemic circulation. In hepatic failure, synthesis of urea is reduced, and in the horse (Cornelius *et al.*, 1965a, 1965b; Tennant *et al.*, 1975) and dog (Barrett *et al.*, 1976; Strombeck, 1975b), significant elevations of blood ammonia have been demonstrated. Ammonia has potent neurotoxic effects, and many of the neurological signs of hepatic encephalopathy can be produced when toxic doses of ammonium salts are administered intravenously (Hooper, 1972).

The reactions of blood ammonia are determined by the physicochemical principles that apply to gases in solution and to the dissociation of weak bases. The ammonia: ammonium ion buffer system of blood can be described by the Henderson-Hasselbalch equation:

$$pH = pKa - \log \frac{NH_3}{NH_4^4}$$

The pKa for this system in the dog is approximately 9.1 (Bromberg *et al.*, 1960), meaning that at physiological pH (7.4), almost all of the ammonia of blood is ionized to form (NH₄⁺). As blood pH increases, the relative amount of free ammonia (NH₃) increases, and as pH decreases, NH₃ decreases. Cells are almost impermeable to NH₄⁺ but are readily permeable to NH₃, passing through the plasma

membrane by nonionic diffusion (Castell and Moore, 1971; Stabenau *et al.*, 1959; Warren and Nathan, 1958). These principles are important in determining the amount of ammonia absorbed from the gastrointestinal tract or the amount that can pass from blood into the brain and to other tissues (Dimski, 1994).

Because of its influence on acid-base parameters, potassium status may be an important determinant of NH_3 toxicity. Potassium deficiency ultimately favors the development of metabolic alkalosis that, in turn, causes a shift in the NH_3/NH_4^+ equilibrium in the direction of the toxic freebase.

Blood ammonia ultimately is derived from dietary nitrogen. The gastrointestinal tract is the major source of blood NH₃, but other tissues also produce NH₃ (e.g., muscle and kidney). Renal ammonia is produced from glutamine and to a lesser extent from other amino acids. Synthesis of ammonium ion by the kidney represents a normal physiological mechanism for H⁺ excretion. The renal excretion of NH₄⁺ is related directly to the pH gradient between blood and urine, and total urinary excretion of NH₄⁺ is high in acid urine and proportionately low in alkaline urine.

The gastrointestinal tract is the major source of blood NH₃ based on the high concentration of NH₃ found in portal blood compared to peripheral venous blood. Part of the ammonia in the hepatic portal vein is derived from the action of bacterial enzymes on dietary amino and amide nitrogen and part is derived from urea, which is present in alimentary tract secretions and is hydrolyzed by bacterial urease of the gastrointestinal tract. The question of whether gastrointestinal urease is produced in part by mammalian cells or is entirely of bacterial origin was the subject of controversy for many years. Using germ-free rats, it was demonstrated that gastrointestinal urease was exclusively of bacteria in origin (Levenson and Tennant, 1963), and this observation has been confirmed in germ-free dogs (Nance *et al.*, 1974).

The relative importance of NH₃ produced by gastrointestinal bacteria and that produced from nonbacterial sources is still not fully known. Nance *et al.* (1971, 1974) and Nance and Kline (1971) have demonstrated that germfree dogs with Eck fistulae develop encephalopathy associated with hyperammonemia. This suggests that at least with vascular shunts, endogenous sources of NH₃ contribute to encephalopathy. The intestine metabolizes significant quantities of glutamine independent of intestinal bacteria, and at least 30% of the glutamine nitrogen that reaches the intestine appears in the portal blood as NH₃/ NH₄⁺ (Windmuller and Spaeth, 1974).

The liver plays a critical role in maintenance of the blood glucose concentration, and marked hypoglycemia is sometimes associated with liver failure. In fulminant hepatic failure in the horse, the blood glucose has been reported in some cases to be as low as 20mg/dl or less (Hjerpe, 1964; Tennant *et al.*, 1975). Hypoglycemia also has been reported in dogs with hepatic insufficiency associated

with vascular shunts (Cornelius et al., 1975b; Ewing et al., 1974).

Other neurotoxic substances may be involved in the pathogenesis of hepatic encephalopathy, and the role of these factors has been reviewed (Center, 1996; Maddison, 1992). Indole and indolyl derivatives that are formed from tryptophan by intestinal bacteria have been suggested as encephalotoxic compounds capable of inducing coma (Zieve et al., 1974). Other studies have incriminated short chain fatty acids and, in experimental models of hepatic failure, total volatile fatty acids (VFA) increase significantly before death (Zieve et al., 1968). Increased plasma VFA concentrations also have been observed in spontaneous hepatic encephalopathy and, when infused intravenously into experimental animals, VFA produces cerebral depression followed by coma.

The etiological role of ammonia in hepatic encephalopathy is generally recognized, and there is convincing evidence that cerebral edema is important in pathogenesis (Ahboucha and Butterworth, 2007; Butterworth, 1994). Astrocytes are recognized as a target of ammonia in the CNS (Albrecht and Norenberg, 2006), but how ammonia brings about astrocyte swelling, brain edema, and cerebral hypertension is not fully understood. It has been suggested that as a result of ammonia detoxification, glutamine accumulates in astrocytes and the resulting osmotic force of glutamine leads to cell swelling. In experimentally induced hepatic encephalopathy, accumulation of cerebral ammonia was associated with increased cerebral glutamine, with the development of Alzheimer type II astrocytes, and with a significant increase in the level of water in the brain (Jover et al., 2006). Based on studies of cultured astrocytes, Jayakuman et al. (2006) concluded, however, that glutamine was not acting as an osmolyte but rather that ammonia evoked oxidative stress or abnormalities of mitochondrial function (mitochondrial permeability transition) that caused injury and swelling of astrocytes (Albrecht and Norenberg, 2006; Norenberg et al., 2005, 2007).

The management of hepatic encephalopathy involves restriction of protein intake and provision of a readily digestible dairy or vegetable protein source. Further control is directed at the reduction of the production and absorption of ammonia and other neurotoxic substances from the intestine. One approach is the oral administration of a nonabsorbable disaccharide such as lactulose, which upon reaching the colon is fermented by resident bacteria to produce short chain fatty acids and which has a cathartic effect. By decreasing colonic pH, the equilibrium between ammonium ion and the freebase is shifted to poorly absorbed ammonium ion. An alternative approach is the administration of a nonabsorbable, broad spectrum antibiotic. The antibiotic inhibits production of ammonia and other organic toxins produced by bacteria in the colon (Festi et al., 2006; Rothenber and Keeffe, 2005).

C. Hepatic Photosensitivity

Photosensitivity is the result of hypersensitivity to sunlight induced by the presence of exogenous or of endogenously produced photodynamic substances. Clinical signs develop when photosensitive animals are exposed to light and are caused by inflammation and necrosis of unpigmented skin (photodermatitis). A distinction is made between sunburn and photosensitization. Animals with unpigmented skin that are maintained for long periods of time indoors and are abruptly exposed to sunlight may sustain sunburn, which is a direct response of the unpigmented and unprotected skin to ultraviolet radiation (320 µM). Photosensitization is, characteristically, a more severe reaction caused by the interaction of a photodynamic substance and solar radiation. The effective wavelength of light causing photosensitization is determined by the absorption spectrum of the photosensitizing substance, which may extend into the visible region of light. Sunburn and photosensitization also differ in that sunburn apparently can develop in the absence of molecular oxygen, whereas photosensitization occurs only in the presence of molecular oxygen (Cook and Blum, 1959; Schothorst et al., 1970). With sunburn, there is a characteristic delay between exposure to light and the development of erythema of the skin, soreness, or pruritus. With photosensitization, initial clinical signs may be noted within minutes after exposure to sunlight.

In domestic animals, three forms of photosensitization are recognized. Photosensitivity may occur when a photodynamic substance not present normally in the diet is ingested and absorbed. Examples are the ingestion of the poisonous plants Hypericum perforatum (St. John's wort, Klamath weed) and Fagopyrum esculentum (buckwheat). Photosensitization caused by administration of the parasiticide phenothiazine also is a form of primary photosensitization. The photosensitizing compound is phenothiazine sulfoxide, which accumulates in the skin and in the aqueous humor inducing keratitis. A second form of photosensitization is caused by photosensitizing compounds that are produced endogenously. Congenital porphyria (pink tooth) of cattle is one of the best characterized examples in which a marked increase in production of uroporphyrin I results in deposition of the porphyrin in the teeth and bones, and large quantities are excreted in the urine. The teeth and urine readily fluoresce when exposed to ultraviolet light. The photodermatitis and hemolytic anemia associated with the disease are directly related to the photodynamic effects of endogenous porphyrins (Kaneko et al., 1971; Scott et al., 1979). Other inherited forms of porphyria in domestic animals (reviewed by Tennant [1998]) are described in Chapter 8.

A third group of diseases associated with photosensitivity are those that are secondary to hepatic disease (hepatic photosensitization). Photodermatitis associated with both acute and chronic liver disease is recognized primarily in

herbivorous animals. The photodynamic agent responsible for hepatic photosensitivity is phylloerythrin, a porphyrin derivative from chlorophyll (Rimington and Quin et al., 1934). Chlorophyll is converted to phylloerythrin by microorganisms of the rumen or large intestine that remove the magnesium atom from the chlorophyll molecule and hydrolyze the phytyl and carboxy methoxy side chains leaving the porphyrin nucleus of chlorophyll intact. Phylloerythrin produced in the alimentary tract is excreted primarily in the feces. A small fraction of the relatively nonpolar phylloerythrin is absorbed into the portal circulation. In normal animals, phylloerythrin is quantitatively removed by the liver and excreted in the bile and does not reach the peripheral circulation. Phylloerythrin may be found in the bile and feces of herbivores that are consuming chlorophyll-containing diets and may be demonstrated in other species that ingest chlorophyll. The comparatively large amount excreted by ruminants is attributed to their frequently high chlorophyll intake and to the favorable conditions for microbial production of phylloerythrin within the gastrointestinal tract.

In hepatic insufficiency, phylloerythrin is incompletely cleared from the hepatic portal circulation, enters the systemic circulation, and ultimately accumulates in the skin. In the superficial layers of the unpigmented skin, phylloerythrin absorbs solar energy resulting in formation of free radicals. Reactive oxygen species cause peroxidation of cellular lipids and cellular components (e.g., lysosomes). Inflammation and necrosis of the skin are the result of direct oxidative injury and the secondary action of lysosomal enzymes (Slater and Riley, 1966). The critical range of wavelengths (action spectrum) that result in photodermatitis in hepatic photosensitivity was shown in geeldikkop to be between 380 and $650\,\mu\mathrm{m}$ (Riemershmid and Quin, 1941) and in facial eczema between 400 to $620 \sigma m$, ranges that are consistent with the known absorption spectrum of phylloerythrin.

The types of hepatic disease of ruminants and horses that are associated with photosensitivity vary considerably, but the effects attributable to the photodynamic action of phylloerythrin are similar. The nature and severity of the cutaneous lesions depend on the amount of phylloerythrin in the skin and on the intensity and the duration of light exposure. The most common site of photodermatitis in the horse is the muzzle, which has a sparse protective covering of hair and often is unpigmented. Unpigmented areas of the distal extremities also are frequently affected (Fowler, 1965; Tennant *et al.*, 1973). In cattle, unpigmented areas of the muzzle, back, escutcheon, and the lateral aspects of teats are especially susceptible to photodynamic injury.

The areas of skin affected in sheep are those that receive the greatest exposure to light and that lack protection provided by black pigment or wool and include the ears, eyelids, face, lips, and coronets (Riemerschmid and Quin, 1941). The first clinical signs of photodermatitis in

sheep may be apparent restlessness with shaking of the head or rubbing of affected parts. Individual animals may seek relief in the shade. Erythema and edema are the first cutaneous manifestations of photosensitization. Swelling of the lips, ears, and face have led to the descriptive terms "big head" and "facial eczema." Following edema, serum may ooze from damaged skin. Ultimately, second- or third-degree burns may develop, and the morbidity and mortality attributable to lesions of the skin may be more important than any other aspect of the underlying liver disease (Riemerschmid and Quin, 1941). In the early stages of the disease, Southdown sheep with congenital photosensitivity have no morphological abnormalities of the liver, but the photodermatitis that is observed as soon as affected lambs begin to consume green plants is associated with significant biochemical defects in hepatic organic anion excretion (Cornelius and Gronwall, 1968).

D. Ascites

The clinical sign of ascites is the result of abnormal accumulation of fluid in the peritoneal cavity. In normal animals, there is significant bidirectional movement of fluid, electrolytes, and, to a lesser degree, protein across mesenteric capillaries, through the interstitial space, and across the peritoneal mesothelium into the abdominal cavity. Such movements are determined by osmotic and hydrostatic forces that are described by Starling's equation: plasma colloidal osmotic pressure minus ascitic fluid colloidal osmotic pressure equals portal capillary pressure minus intra-abdominal hydrostatic pressure. Normal portal capillary pressure on the arterial side of the capillary bed favors formation of an ultrafiltrate of plasma, which is nearly protein free. On the venous side of the capillary bed, reabsorption of interstitial fluid occurs because the hydrostatic pressure is below that of the colloidal osmotic pressure primarily exerted by plasma proteins within the capillary bed. Under normal conditions, only a small volume of free fluid is present in the peritoneal cavity.

During investigation of the mechanical factors that influence formation of lymph, Starling (1894) observed that obstruction of hepatic venous flow by ligation of the thoracic vena cava cranial to the site of entry of the hepatic veins produced significant increase in lymph flow through the thoracic duct, and the lymph was high in protein. Obstruction of the hepatic portal vein as it entered the liver also increased the flow of thoracic duct lymph, but the protein content was found to be low. Starling concluded that the increased flow of thoracic duct lymph following ligation of the thoracic vena cava arose from hepatic lymph, and after ligation of the portal vein, increased thoracic duct lymph was derived from mesenteric capillaries.

Hepatic lymph is produced primarily in the sinusoids and contributes 25% to 50% of lymph flow in the thoracic

duct (Brauer, 1963). In the dog and other species, hepatic lymph has a much higher protein content than lymph from other tissues because hepatocytes are not associated with a conventional basement membrane and because of the unique permeability of the sinusoids to plasma proteins because of fenestrations between the endothelial cells that line the sinusoids (Bissel and Maher, 1996). In experimental cirrhosis in the dog and other species, the flow rate of thoracic duct lymph is increased two to five times and the protein content is higher than that of lymph derived from other tissues (Nix *et al.*, 1951a, 1951b).

Ascites caused by cirrhosis of the liver may be associated with increased portal vein pressure (portal hypertension). Experimental ligation of the portal vein before it enters the liver, however, results only in minimal and transient ascites or no ascites (Schilling et al., 1952; Volwiler et al., 1950). In the dog, ligation of either the hepatic vein (Orloff and Snyder, 1961a, 1961b; Orloff et al., 1963, 1964a, 1964b, 1966) or the caudal vena cava at a site cranial to entry of the hepatic vein (Berman and Hull, 1952; Schilling et al., 1952; Witte et al., 1968, 1969a, 1969b) produces prompt and intractable ascites. Lymph fluid has been observed to form droplets said to "weep" from the surface of the liver following experimental obstruction of hepatic vein outflow (Hyatt et al., 1955). Because of its origin as hepatic lymph, the protein content of such ascitic fluid may be 3.0 to 3.5 g/dl or higher. This observation is consistent with the finding that the protein content of ascitic fluid in the initial stages of cirrhosis may be higher than that of conventional transudates (a modified transudate) because of its hepatic origin. As progressive fibrosis and "capillarization" of the hepatic sinusoids develop (Bissell and Maher, 1996), the protein content of hepatic lymph decreases and correspondingly the protein content of ascitic fluid decreases. It is probable in cases of cirrhosis that there is increased production of both hepatic lymph and mesenteric lymph and that ascites develops when lymph from both sources fails to return to the systemic venous circulation (Witte et al., 1971a, 1971b). The protein content of ascitic fluid is influenced not only by the relative proportions of mesenteric and hepatic lymph but by the protein concentration of plasma. In advanced cirrhosis, when hypoalbuminemia may be present, the protein content of ascitic fluid can be expected to be proportionately low.

Although experimental portal vein obstruction per se does not result in ascites, only transient portal hypertension is actually produced by this procedure. When persistent portal hypertension is produced experimentally by aortic-portal anastomosis or when such anastomoses occur congenitally, the ascitic fluid is characteristically low in protein content because of its origin in mesenteric capillaries.

Serum albumin is synthesized exclusively in the liver and is the major determinant of plasma and tissue fluid oncotic pressure. Hypoalbuminemia associated with chronic liver disease has been considered a factor contributing to the development of ascites, but current evidence suggests the role is not primary. The intravascular and total body albumin pools may not be greatly diminished in cirrhosis, although the concentrations of albumin in plasma often are decreased (Rothschild *et al.*, 1973; Witte *et al.*, 1971a, 1971b). For ascites to develop, total body sodium and water must expand. Excessive sodium chloride intake greatly enhances development of ascites (Berman and Hull, 1952), and ascites is preceded by increased sodium retention by the kidney. Aldosterone levels have been shown to be significantly increased in dogs with ascites caused by hepatic vein obstruction (Howards *et al.*, 1968; Orloff *et al.*, 1965).

When associated with liver disease, ascites indicates a chronic process and characteristically presents with cirrhosis. There are important species differences in the occurrence of ascites in chronic liver disease. In dogs with advanced hepatic cirrhosis, ascites is a relatively common sign. Ascites is almost never observed in horses with cirrhosis (Tennant et al., 1975), and conspicuous ascites is unusual in cattle with cirrhosis (Finn and Tennant, 1974; Whitlock and Brown, 1969) but may be observed at necropsy (Pearson, 1977). Ascites has been observed in cattle with thrombosis of the caudal vena cava secondary to liver abscess (Braun et al., 1995), and, in such cases, marked hepatomegaly was characteristic (Breeze et al., 1976; Selman et al., 1974) and due apparently to obstruction of hepatic vein outflow. In sheep, ascites has been observed in cirrhosis but is unusual in cases of severe sclerosing cholangitis associated with fascioliasis (Hjerpe et al., 1971).

It is important clinically to differentiate between ascites caused by liver disease and ascites caused by other primary diseases. Biochemical and cytological examination of ascitic fluid may be useful but alone is seldom diagnostic. The protein concentration of ascitic fluid associated with clinical cirrhosis may be variable depending on the stage of the disease (Center, 1996). In early stages, the protein content may be expected to exceed 2 to 2.5 g/dl because it is a reflection of the high protein content of hepatic lymph but later, when the serum albumin has decreased and when sinusoidal fibrosis and capillarization have developed, the protein content of ascitic fluid will be correspondingly lower (1 to 1.5 g/dl). The ascitic fluid associated with peritonitis is high, whereas in neoplastic diseases of the abdomen, the protein concentration is generally below 1.5 to 2.0 g/dl. Pembleton-Corbett et al. (2000) examined the serum albumin/peritoneal effusion albumin (SA/EA) gradient in dogs with ascites. They found the gradient was significantly higher in dogs with liver disease than in those with ascites of other causes. In 25 dogs with abdominal effusions associated with hepatic disease, 23 had serum albumin-effusion albumin (SA/EA) gradients equal to or greater than 1.1 and the median SA/EA gradient was 1.4 (range 0.7 to 3.1). In human patients, SA/EA gradients

of 1.1 or greater are considered to indicate the presence of portal hypertension. The clinical observations of Pembleton-Corbett (2000) suggested that portal hypertension is a significant factor in the pathogenesis of ascites in dogs with hepatobiliary disease.

Total nucleated cell counts in ascitic fluid from dogs with cirrhosis are seldom greater than 1000 to 2000 per μ l. Bloody or turbid fluid typically results from inflammatory or neoplastic processes (e.g., feline infectious peritonitis, bacterial peritonitis, neoplasia), and nucleated cell counts are elevated. "Bile acites" or bile peritonitis is recognized most frequently in the dog and cat associated with abdominal trauma. In this case, the concentration of bilirubin in peritoneal fluid exceeds that of plasma.

IV. LABORATORY ASSESSMENT OF HEPATIC FUNCTION

The pathogenesis of the hepatic diseases of domestic animal species is remarkably complex, involving acute and chronic forms of hepatitis, cirrhosis, bile duct obstruction, intrahepatic forms of cholestasis, neoplasia, and disorders of hepatic vasculature. The frequency of these diseases varies with species, breed, age, and, in some cases, by environment (diet, geographical location). The differential diagnosis of hepatic disease involves the evaluation of clinical history, physical examination, biochemical tests, hepatic imaging, and histopathological examination of hepatic biopsies. The following section describes the biochemical tests used to assess hepatic disease.

There are several diagnostic categories with which the clinician dealing with problems of liver disease must be concerned. In clinical patients with a history and signs suggestive of hepatic disease, laboratory tests are used for confirmation. Laboratory tests are used to assess the severity of liver injury, to establish prognosis, to define treatable complications of hepatic insufficiency (e.g., ascites, encephalopathy), and to monitor clinical progress. Finally, biochemical tests of hepatic function may be performed on clinically healthy patients that are known to be at high risk of developing liver disease (e.g., exposure to infectious agents that cause hepatitis, or a familial history of chronic liver disease that requires screening for inherited diseases of the liver).

A. Hepatic Enzymes

The presence of liver disease often is recognized on the basis of elevated serum activities of enzymes of hepatic origin. Although they are sometimes referred to as "liver function tests," serum enzymes do not measure hepatic function directly but indicate alteration in the integrity of the cell membrane of the hepatocyte, necrosis of hepatocytes or biliary epithelium, impeded bile formation or bile

flow (cholestasis), or the induction of enzyme synthesis (Center, 2007).

The serum enzymes used in the clinical assessment of hepatobiliary disease have high activity in the liver. In hepatocellular or cholestatic forms of liver injury, these enzymes are released into the serum and the increased activity is used diagnostically. The duration of elevation in serum activity of the enzymes of hepatic origin depends on a variety of factors including molecular size, intracellular location, rate of plasma clearance, rate of enzyme inactivation, and, in some cases (e.g., alkaline phosphatase [AP]) and glutamyltranspeptidase [GGT]), the rate of hepatic synthesis.

The serum enzyme activities that increase when hepatic necrosis is present are alanine aminotransferase (ALT), aspartate aminotransferase (AST), ornithine carbamoyltransferase (OCT), glutamic dehydrogenase (GD), sorbitol dehydrogenase (SDH), and arginase. Elevated serum activities of AP, GGT, and 5' nucleotidase (5'-ND) are considered to indicate either intrahepatic or extrahepatic cholestasis.

Because of its location between the splanchnic and systemic circulation, the liver is exposed to a wide variety of toxins, drugs and drug metabolites, bacterial toxins, and to infectious agents that may influence the serum activity of enzymes from the liver. The clinical assessment of aberrations in liver enzymes should consider the type of enzyme change (hepatocellular versus cholestatic), the degree of increase in serum enzyme activity, the rate at which the increase or decrease in serum activity occurs, and whether fluctuations in enzyme activity occur over time or if there is a unidirectional pattern of change in enzyme activity. The reference range is characteristically established as that within +/-2 standard deviations of the mean value observed in a "normal" animal population. By definition, this means that up to 2.5% of individuals from a "normal" population can be expected to have values above such a reference range.

1. Serum Alanine and Aspartate Aminotransferases

The serum activity of the aminotransferases, AST and ALT, are measured to detect hepatocellular injury. These enzymes catalyze the transfer of the α -amino nitrogen of aspartate or alanine to α -ketoglutaric acid resulting in formation of glutamate. AST and ALT have key roles in gluconeogenesis and in formation of urea. In the liver, ALT catalyzes the transfer of the α -amino nitrogen of alanine to α -ketoglutarate forming pyruvate, which can be utilized in gluconeogenesis. In muscle, ALT transaminates pyruvate to form alanine, which then transports non-ionized nitrogen from muscle to the liver for processing (glucose-alanine cycle).

The activity of ALT is higher in the liver than in other tissues and in the dog, hepatic ALT is 10,000-fold higher than in plasma. Hepatic ALT activity is also high in cats, humans, and experimental rodent species in which measurement of serum ALT is used routinely in the assessment of hepatocellular injury. Hepatic ALT activity is lower in horses, cattle, sheep, and swine, and in these species, serum ALT is not measured routinely.

The activity of AST is high in the liver of all domestic species and the serum activity is used routinely in all for evaluation of liver cell injury. However, AST activity also is high in the kidney, heart, and skeletal muscle, so elevations in serum AST are considered less specific for liver disease than elevations in serum ALT.

There are differences in the intracellular distribution of ALT and AST within the hepatocyte. In the dog, most hepatic ALT and AST activity resides within the cytosol. An important fraction of AST (20%) and a lesser component of ALT are present within mitochondria (Keller, 1981). Distribution of the transaminases within the zones of the acinus also differs. ALT has the highest activity in Zone 1 hepatocytes, and AST has the highest activity in Zone 3 hepatocytes and the relative activity of ALT or AST in serum may reflect the acinar zone in which liver injury occurs (Rej, 1989).

The largest increases in serum ALT are observed with hepatocellular inflammation and necrosis. In such conditions, progressive decreases in ALT activity may be a sign of recovery, and a 50% or greater reduction in serum ALT activity over several days is considered a favorable prognostic sign. Some animals with severe hepatic disease, however, may have normal serum ALT activity, and declining serum ALT activity may represent a significant reduction in viable hepatocytes or reduction in transaminase synthesis (e.g., microcystins, aflatoxin).

Following severe, acute hepatocellular necrosis, in the dog serum ALT activity may increase by more than 100-fold within 24 to 48 h, peaking during the first 5 postinjury days. If the source of the injury is eliminated, ALT activity will return more gradually to normal within 2 to 3 weeks. Hepatotoxicity induced by acetaminophen is associated with marked increases in serum ALT followed by a return to near normal values within 72 h (Hjelle and Grauer, 1986; Ortega et al., 1985). Acute hepatocellular necrosis associated with infectious canine hepatitis (adenovirus) results in increased plasma ALT activity of 30-fold, peaking within 4 days (Wigton et al., 1976). Thereafter, a sustained increase in ALT activity may indicate development of chronic hepatitis.

In all domestic species, the activity of AST is high in the liver and serum activity characteristically is increased in acute and chronic liver injury. Because AST activity also is high in the muscle, kidney, pancreas, and erythrocytes, when cells of these tissues are damaged, the AST activity of serum also can be expected to be elevated. There is no simple, specific, or direct method for determining the origin of increased serum AST activity, but additional laboratory tests may be useful. When AST is increased because of skeletal muscle disease including trauma (e.g., intramuscular injections) or degenerative disease, it may be useful to measure serum creatine kinase (CK). In muscle disease, AST and CK both are expected to be elevated. In acute muscle injury, elevation in the CK activity of serum may occur before AST is maximally elevated, and CK activity characteristically decreases before AST activity fully declines. When coincidental myopathy and liver disease occur in dogs and cats, measurement of serum ALT may be useful, but severe primary muscle disease is sometimes associated with increased serum ALT activity.

Transaminase activity is known to increase following vigorous exercise in dogs (Valentine *et al.*, 1990), but the origin of the enzyme is not clear (Bolter and Critz, 1974; Loegering and Critz, 1971). A 1.4- to 2-fold increase in plasma AST in dogs associated with increases in CK and lactic dehydrogenase has been observed after short-term exercise and similar increases in plasma AST and lactic dehydrogenase activity were detected following electrophysiological stimulation of hind limb muscles (Heffron *et al.*, 1976).

The results of measurement of the half-life of transaminases following intravenous injection of hepatic homogenates have varied widely. In one study, three dogs injected with a 20% liver homogenate and sampled over 3 days, the average T_{1/2} for AST was 263min and for ALT was 149min (Zinkl et al., 1971). In another study, seven dogs received the supernatant of a liver homogenate intravenously, and a much longer time period was required for clearance with the $T_{1/2}$ for ALT determined to be 59 \pm 9h and for AST 22 ± 1.6 h (Dossin et al., 2005). The plasma $T_{1/2}$ of AST in the cat has been estimated to be 77min (Nilkumhang and Thornton, 1979). Sustained elevations in transaminase in acute liver disease may be the result of delayed clearance. Catabolism of plasma transaminases, in part, is the result of endocytosis by hepatocytes, and enzyme clearance may be delayed because of the underlying hepatic disease (acquired portosystemic shunting, nodular regeneration, hepatic fibrosis; Horuichi et al., 1985; Kamimoto et al., 1985).

The major value of serum AST and ALT measurements is in detecting hepatocellular injury and monitoring clinical progress (Table 13-1). Because both enzymes are increased in a variety of hepatic diseases, they are of limited value for differential diagnosis. Although elevations of the aminotransferases are generally considered indicative of hepatocellular injury, in severe forms of liver disease, both hepatocellular and cholestatic forms of hepatic injury often coexist. The highest aminotransferase levels are associated with acute hepatitic injury, but more modest increases in aminotransferase activity are seen in chronic liver disease including chronic hepatocellular disease, cirrhosis, parasitic hepatopathy, and primary or metastatic neoplasia.

TABLE 13-1 Serum Alanine Aminotransferase (ALT) Activity of Normal Animals

Species U/liter		Reference	
Dog	15–50	Crawford et al. (1985)	
	2.5-25	Van Vleet and Alberts (1968)	
	0-69	Abdelkader and Hauge (1986	
	20-45	Bunch et al. (1985)	
	5-80	Johnson et al. (1982)	
	3-61	Mia and Koger (1979)	
Cat	0–36	Center et al. (1983a)	
	10-80	Peterson et al. (1983)	
	16 ± 9	Meyer (1983)	
	30 (1-59)	Mia and Koger (1979)	
Minipig	35 ± 12	Kroker and Romer (1984)	
Pig	71 (37–106)	Mia and Koger (1979)	

2. Sorbitol Dehydrogenase

Because of the relatively low ALT activity in the livers of large domestic species (Cornelius, 1963; Keller et al., 1985), other liver-specific enzymes have been validated for clinical use. One of these, SDH, is a zinc metalloenzyme that is responsible for the conversion of sorbitol to fructose using NAD+ as a cofactor. SDH is one of the enzymes in the polyol pathway believed to have a central role in the pathogenesis of some of the complications of diabetes mellitus (Hoshi et al., 1996; Lee et al., 1995). SDH is found in abundance primarily in the liver and kidney, and the serum activity of SDH (Table 13-2) has been shown to be useful in assessment of hepatocellular injury in most domestic species including the dog (Noonan, 1981; Valentine et al., 1990; Zinkl et al., 1971), horses (Asquith et al., 1980; Bortell et al., 1983; Johnson et al., 2006; Noonan, 1981), and ruminants (Kalaitzakis et al., 2007). Clinically, SDH is used as a liver-specific enzyme primarily for the large domestic species. In dogs and cats, it appears to have no advantage over ALT (Center, 2006). SDH activity is not stable in serum and activity declines rapidly. Therefore, it is necessary to perform the assay for SDH as soon after the sample is taken as possible, optimally within 8 to 12h.

3. Arginase

Arginase is responsible for the terminal step of the urea cycle in which arginine is converted to urea and ornithine. In mammals, two isoforms of arginase exist, arginase type I and type II, which are encoded by different genes and differ in tissue distribution, intracellular location, and in molecular and immunochemical characteristics (Grody *et al.*, 1989; Jenkinson *et al.*, 1996). Arginase type I is a cytosolic enzyme (Ikemoto *et al.*, 1990) that is expressed primarily in the liver where it has a key role in urea synthesis. Arginase

TABLE 13-2 Serum Sorbitol (Iditol) Dehydrogenase (SOH) Activity of Normal Animals

Species	U/liter	Reference
Dog	28 ± 20	Zinkl et al. (1971)
	5.5-18	Noonan and Meyer (1979)
	1-9	Abdelkader and Hauge (1986)
	0–6	Keller (1981)
	10 ± 3	Anwer et al. (1976)
Pony	14.7 ± 3.6 Anwer et al. (1976)	
Calf	14.7 ± 1.3	Anwer et al. (1976)
Cow	4.3-15.4	Putnam et al. (1986)
Sheep	16.5 ± 1.5	Anwer et al. (1986)
	7.9 ± 2.3	Alemu et al. (1977)

type II is a mitochondrial enzyme that is expressed in most tissues including the kidney (Morris $et\ al.$, 1997; Shi $et\ al.$, 1998). Type II arginase appears to control the availability of the arginine used for nitric oxide synthesis (Gotoh and Mori, 1999) and has an important role in the synthesis of ornithine, which is a precursor of polyamines, glutamate, and proline (Jenkinson $et\ al.$, 1996).

Because the activity of arginase in liver is higher than in other organs (Aminlari and Vaseghi, 1992; Aminlari et al., 2007), elevations in serum arginase activity have been considered to be liver specific (Cornelius et al., 1963; Dittrich et al., 1974) and a convenient assay has been validated for measurement (Mia and Koger, 1978). Following acute liver injury, serum arginase increases and then decreases rapidly (Aminlari et al., 1994; Cornelius et al., 1963). Sustained elevation in serum arginase activity suggests an unfavorable prognosis. Elevations in serum arginase have been demonstrated in naturally occurring liver disease of horses (Wolf et al., 1967), cattle, sheep (Ross, 1966), goats, and dogs (Harvey and Hoe, 1971). When serum arginase and GGT activities are measured simultaneously, their respective specificities for hepatocellular injury and cholestasis can be useful (Noonan and Meyer, 1979).

4. Glutamate Dehydrogenase

GD is a mitochondrial enzyme with a key role in the detoxification of ammonia. GD serves as an ammonia scavenger by catalyzing the amination of α -ketoglutarate to form glutamate. Glutamate produced by GD is converted by mitochondrial AST to aspartate, which is urea synthesis. Glutamate also is used in the mitochondrial synthesis of N-acetylglutamate, the allosteric activator of carbamoyl phosphate synthase that is the enzyme responsible for the first step in urea synthesis (Caldovic and Tuchman, 2003; Caldovic *et al.*, 2006; Nissim *et al.*, 2003).

TABLE 13-3 Serum Glutamic Dehydrogenase (GD) Activity of Normal Animals

Species	U/liter	Reference	
Dog	0–9 1–6 0–1.2	Abdelkader and Hauge (1986 Keller (1981) Freedland et al. (1965)	
Sheep	0-9 2.6 ± 1.0	Alemu et al. (1977) Harvey and Obeid (1974)	
Goat	3.4 ± 0.9	Harvey and Obeid (1974)	

GD has been shown to be useful in the assessment of hepatic necrosis in sheep, goats, and cattle (Table 13-3). GD activity is highly concentrated in ovine and bovine liver (Keller, 1971) as well as in the liver of other domestic species (Keller *et al.*, 1985). Elevated GD activity has been reported in ruminants with hepatic necrosis (Fowler, 1971), associated with parturition (Treacher and Collis, 1977) and with obstruction of the bile duct (Ford and Gopinath, 1976).

5. Ornithine Carbamoyltransferase

OCT catalyzes the reaction between ornithine and carbamoyl phosphate forming citrulline and inorganic phosphate. The enzyme is located in mitochondria and functions as a part of the urea cycle. OCT is considered a liver-specific enzyme for the detection of hepatocellular necrosis in domestic species (Treacher and Sansom, 1969). Nearly all OCT activity is confined to the liver of cattle (Treacher and Collis, 1977) and of pigs (Dittrich *et al.*, 1974). OCT and ALT are similar in sensitivity as diagnostic tests for hepatic necrosis in the dog. A similar association of OCT with hepatocellular injury has been observed in swine (Wilson *et al.*, 1972), and Markiewicz *et al.* (1975) have reported that serum OCT activity is correlated with the severity of hepatic fascioliasis in cattle.

6. Serum Alkaline Phosphatase

The APs are a group of zinc metalloenzymes that are present in most tissues. High concentrations are found in the intestine, kidney, bone, and liver. Light and electron microscopic studies have demonstrated that alkaline phosphatase activity is highest on the absorptive or secretory surfaces of cells (Kaplan, 1972). Within liver cells, alkaline phosphatase is bound to membranes and AP activity sediments with the microsomal and plasma membrane fractions (Emmelot *et al.*, 1964).

The actual physiological functions of alkaline phosphatase are not fully understood. Localization of the enzyme to cell surfaces known to be responsible for active absorption or secretion suggests a role in membrane transport. There is evidence suggesting that the alkaline phosphatase of

TABLE 13-4	Total Serum Alkaline Phosphatase (AP)
Activity of No	ormal Adult Animals

Species	U/liter	Reference	
Dog	39-222 30.6 ± 9.9 10-82	Abdelkader and Hauge (1986) Meyer and Noonan (1981) Bunch et al. (1982)	
Cat	8 ± 0.7 10–80 8.4 ± 2.9 1–39	Spano et al. (1983) Peterson et al. (1983) Meyer (1983) Center et al. (1986)	
Horse	184 ± 57	Gossett and French (1984)	
Cow	41 ± 16 7–43 2–809	Rico et al. (1977b) Putnam et al. (1986) Allcroft and Folley (1941)	
Sheep	91 ± 41 63 ± 28 21–1178 35–234	Braun et al. (1978b) Alemu et al. (1977) Allcroft and Folley (1941) Leaver (1968)	
Pig	100 ± 35 <27	Rico et al. (1977c) Van Leenhoff et al. (1974)	
Minipig	49 ± 11	Kroker and Romer (1984)	

osteoblasts may be involved in bone calcification. Activity against both natural and synthetic nucleotides suggests a role in nucleic acid metabolism.

In normal animals, the AP of serum (Table 13-4) originates primarily from liver and bone (Hoffman and Dorner, 1975; Rogers, 1976). Elevations of serum AP are observed in normal growing animals or in adult animals with increased osteoblastic activity. Serum AP activity may be elevated in acute and chronic liver diseases. More marked elevations indicate cholestasis, with the highest serum AP activities observed in animals with cholangitis, biliary cirrhosis, or extrahepatic bile duct obstruction.

The isozymes of alkaline phosphatase from various tissues may be differentiated on the basis of differences in heat stability, urea denaturation, inhibition by L-phenylalanine, or by electrophoretic mobility (Nagode *et al.*, 1969a, 1969b; Ruegnitz and Schwartz, 1971). Alternatively, the origin and significance of elevated serum AP may be determined by measuring other related serum enzymes that are specific for biliary tract disease. These include leucine aminopeptidase (Everett *et al.*, 1977), 5/NT (Righetti and Kaplan, 1972), and GGT. When serum AP is significantly elevated, overt clinical signs may allow separation of diseases of the liver from those of tissues such as bone.

Unlike serum AST and ALT, elevations in AP are not due simply to leakage of enzyme from damaged cells. It was once believed that the high AP level of serum observed in cholestatic liver disease was the result of decreased biliary excretion of the enzyme because bile contains a great

deal of AP activity. It now is known that experimental obstruction of bile flow stimulates *de novo* synthesis of hepatic AP (Kaplan and Righetti, 1969, 1970), and the newly synthesized enzyme is refluxed into the circulation. Partial hepatectomy also stimulates increased synthesis of AP in the regenerating liver (Pekarthy *et al.*, 1972). It seems likely that increased synthesis of AP is involved in clinical extrahepatic bile duct obstruction, in intrahepatic cholestasis, in infiltrative diseases of the liver (e.g., lymphoma, hepatic metastases) in which terminal branches of the biliary tree may be obstructed, and in the regenerative processes that occur following liver injury.

There are significant species differences in the magnitude of elevation of serum AP activity in bile duct obstruction (Fig. 13-2). It has been recognized that cats differed from dogs because in cases of extrahepatic bile duct obstruction in cats there was inconsistent or negligible elevation of AP. This was thought to be due to urinary excretion of AP. Other studies have established that cholestatic liver disease in cats can be expected to cause modest but significant elevations in AP (Everett *et al.*, 1977), and induction of alkaline phosphatase synthesis following bile duct obstruction occurs in cats as it does in other species (Sebesta *et al.*, 1964).

In dogs, three AP isoenzymes (intestinal, steroid induced, and hepatic) have been identified (Wellman et al., 1982b). Two genes appear to be responsible for AP production in dogs (Hoffmann and Dorner, 1977; Solter and Hoffmann, 1995, 1999). The first is the AP gene responsible for the AP isoforms of the liver, bone, and kidney in which differences in posttranslational processing are responsible for differences in glycosylation patterns (Solter and Hoffmann, 1995). The second gene codes for intestinal AP and is specific for the AP isoenzyme of the intestinal mucosa (Solter and Hoffmann, 1995). AP can be induced in dogs, but not in cats, by endogenous or exogenous steroidogenic hormones and the steroid-induced isoenzyme is of hepatic origin (Wellman et al., 1982a). Increased serum activity of the hepatic AP isoenzyme in cholestasis is due to enhanced translation and not to increased gene expression (Seetharam et al., 1986). Hepatic AP enters the serum either from the biliary canaliculus via the paracellular shunt pathway or is derived directly from plasma membranes. Increased bile acid concentrations associated with cholestasis are believed to contribute to the release and transport of solubilized hepatic AP to the serum (Everett et al., 1977; Sanecki et al., 1987, 1990; Schlaeger et al., 1982).

Although measurement of serum GGT activity has the advantage of specificity, total serum AP activity remains the test most often performed in the assessment of cholestasis in horses, dogs, and cats. Serum AP is less valuable in the evaluation of cholestatic syndromes of cattle and sheep because of wide fluctuations in normal AP activity (Ford, 1958; Harvey and Hoe, 1971).

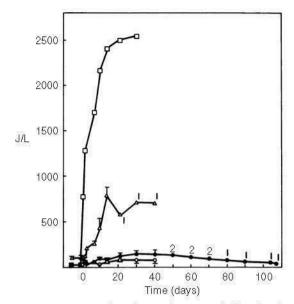


FIGURE 13-2 Comparative changes in serum alkaline phosphatase activity associated with bile duct obstruction in sheep, cats, horses, and dogs. Symbols: \bullet , ovine (5); \circ , feline (6); \triangle , equine (3); \square , canine (2). Courtesy of Dr. D. Levy.

Increases in serum AP have been described in a variety of canine cholestatic liver diseases (Abdelkader and Hauge, 1986; Center et al., 1985b; Hoe and Jabara, 1967; Solter and Hoffmann, 1995, 1999). Modest increases in serum AP occur with hepatic necrosis (Noonan and Meyer, 1979). Following the experimental production of hepatic necrosis in dogs, the serum activities of arginase, ALT, and AP increase within 1 day, a time point at which GGT is not elevated. Following bile duct obstruction, the serum activity of both AP and GGT increases remarkably along with moderate elevations in ALT and AST, but arginase activity does not increase. This has suggested that arginase (for necrosis) and GGT (for cholestasis) may have the highest specificity in evaluating the type of hepatobiliary disease in the dog (Noonan and Meyer, 1979). Although serum GGT activity may be less affected during hepatocellular necrosis than AP, GGT activity may not be as highly elevated as AP in bile duct obstruction (Guelfi et al., 1982).

7. γ-Glutamyltranspeptidase

GGT is a membrane-bound enzyme that catalyzes the transfer of γ -glutamyl groups from γ -glutamylpeptides such as glutathione to other amino acids or peptides. Glutathione and glutathione conjugates are the most abundant physiological substrates (Hanigan, 1998). GGT is found primarily in cells with high rates of secretion or absorption, and significant GGT activity is present in the liver, kidney, pancreas, and intestine. GGT is considered a serum marker primarily for diseases of the hepatobiliary system associated with cholestasis (Table 13-5; Braun *et al.*, 1983)

TABLE 13-5 Serum γ-Glutamyltransferase (GGT) Activity of Normal Animals

Species	U/liter	Reference	
Dog	11 ± 10 0-11 0-10 <5 2-4 3 ± 1	Guelfi et al. (1982) Abdelkader and Hauge (1986 Shull and Hombuckle (1979) Bunch et al. (1985) Bunch et al. (1982) Meyer and Noonan (1981)	
Cat	0.4 ± 0.3 0.3 ± 0.2	Center <i>et al.</i> (1986) Meyer (1983)	
Horse	4.5-32.5 13 ± 6 6-24	Yamaoka et al. (1978) Rico et al. (1977a) Braun et al. (1982)	
Cow	19 ± 6 6–17 1 ± 5	Rico et al. (1977b) Keller (1978) Unglaub et al. (1973)	
Calf	15 ± 4	Braun et al. (1978a)	
Sheep	33 ± 7 17–69 23 ± 5	Braun et al. (1978b) Towers and Stratton (1978) Malherbe et al. (1977)	
Goat	27 ± 3	Moursi et al. (1979)	
Pig	35 ± 21	Rico et al. (1977c)	
Piglet (8 weeks)	16 ± 8	Enigk et al. (1976)	

and is in general used for the diagnosis of liver diseases of animals (Table 13-6). GGT activity is relatively high in the livers of cows, horses, sheep, and goats, but GGT activity is considerably lower in the livers of dogs and cats. Although activity is present in many tissues and is high in the kidney, remarkable elevations in serum GGT activity are observed primarily in diseases of the liver. Urinary excretion of GGT, however, has been measured to assess renal injury (Ford, 1974; Shaw, 1976).

In experimental bile duct obstruction, serum GGT activity is increased significantly in the dog (Noonan and Meyer, 1979; Shull and Hornbuckle, 1979), sheep (Ford, 1974), and cattle. The sensitivity of GGT has been reported to be similar to that of AP as an indicator of cholestasis in the cat (Spano *et al.*, 1983; Zawie and Garvey, 1984). Within a given species, there often is a direct relationship between the activities of serum GGT and serum AP in cholestatic liver injury. In primary hepatocellular disease, elevations in GGT characteristically are not increased as remarkably as AP (Meyer, 1983).

Acute exposure to oxidative stress increases GGT transcription, indicating that expression is an adaptive response protecting the cell from oxidative injury. Although increased enzyme synthesis contributes to elevated serum GGT activity in hepatocellular injury, elevations in cholestatic disorders are believed to be, in part, related to

Species	Condition	References
Dog	Bile duct obstruction; chronic hepatitis Lipidosis; necrosis; cirrhosis; neoplasia Corticoid therapy	Braun et al. (1983) Hauge and Abdelkader (1984) De Novo and Prasse (1983)
Cat	Bile duct obstruction; cholangiohepatitis; cirrhosis; lymphosarcoma; necrosis	Center et al. (1986)
Horse	Toxic hepatic failure Subclinical hepatopathy Hyperlipemia	Divers et al. (1983) Yamaoka et al. (1978) Wensing et al. (1973)
Cow	Ragwort poisoning, fascioliasis lipidosis Fascioliasis metacercariae migrations and chronicity	Blackshaw (1978) Simesen et al. (1973)
	Metacercariae migrations Senecio poisoning	Bulgin and Anderson (1984) Johnson and Molyneux (1984)
Sheep	Bile duct obstruction; sporidesmin; toxicity; fascioliasis Lupinosis	Ford and Evans (1985) Malherbe et al. (1977)
	Cobalt deficiency (white liver disease) Ketosis	Sutherland et al. (1979) Meissonier and Rousseau (1976)
Pig	Cysticercus tenuicollis infection Arsanilic acid toxicity	Enigk et al. (1976) Ferslew and Edds (1979)

mobilization ("solubilization") of GGT from its membrane anchor related to elevated levels of bile salts (Center, 2007).

Highest tissue levels of GGT in the dog and cat are present in the kidney and pancreas with lesser amounts in the liver, gallbladder, intestines, spleen, heart, lungs, skeletal muscle, and erythrocytes (Badylak et al., 1982; Braun et al., 1983; Guelfi et al., 1982). The activity of GGT in serum is derived primarily from the liver although, as stated previously, there is considerable species variation in GGT activity within this organ. Hepatic localization has been demonstrated in the canaliculus, in bile ducts, and in Zone 1 hepatocytes (Aronsen et al., 1968; Braun et al., 1983; Center, 2005).

The diagnostic value of GGT has been assessed in clinical patients with and without liver disease (Center et al., 1986, 1992; Guelfi et al., 1982). Experimental studies in dogs and cats undergoing acute, severe diffuse necrosis have shown either no change in serum GGT or only mild increases in activity (1- to 3-fold normal) that resolve over the ensuing 10 days. In the dog, extrahepatic bile duct obstruction causes serum GGT activity to increase 1- to 4-fold within 4 days, and 10- to 50-fold within 1 to 2 weeks. Thereafter, values may plateau or continue to increase as high as 100-fold that of normal (Guelfi et al., 1982; Kokot et al., 1965; Noonan and Meyer, 1979). In the cat with extrahepatic bile duct obstruction, serum GGT

activity may increase up to 2-fold within 3 days, 2- to 6-fold within 5 days, and 4- to 16-fold that of normal within 2 weeks (Meyer, 1983; Spano *et al.*, 1983).

Glucocorticoids and certain other microsomal enzyme inducers may stimulate production of GGT in the dog similar to the influence of such drugs or other xenobiotics on AP. Administration of dexamethasone (3mg/kg SID) or prednisone (4.4 mg/kg SID IM) increased GGT activity within 1 week 4- to 7-fold and up to 10-fold within 2 weeks (Badylak and Van Vleet, 1981, 1982; Stein *et al.*, 1989). It is assumed that the increased production of GGT following glucocorticoid administration originates in the liver. In comparison to glucocorticoid induction, dogs treated with the anticonvulsants phenytoin or primidone develop only modest increases in serum GGT activity up to 2- or 3-fold that of normal unless they develop serious anticonvulsant hepatotoxicosis (Bunch *et al.*, 1985, 1987).

Some cats with advanced necroinflammatory liver disease, major bile duct obstruction, or intrahepatic cholestasis develop relatively greater increases in GGT than in AP activity (Center *et al.*, 1986). In other species, cholestasis is known to enhance enzyme synthesis as well as membrane release of GGT. It remains undetermined whether glucocorticoids or other enzyme inducers increase the expression of GGT in cats. It is noteworthy that the normal range for feline serum GGT activity is narrower and lower than that of the dog, so the interpretation of feline GGT activity

using the canine reference range will lead to erroneous conclusions. Additionally, because of the comparatively low serum GGT activity of cats, assay sensitivity may be a problem and low GGT activity may be undetectable.

Remarkable elevations of serum GGT have been observed in dogs and cats with primary hepatic or pancreatic neoplasia. Although a unique GGT isozyme is associated with hepatocellular carcinoma in humans, it has not been determined that a similar phenomenon occurs in dogs or cats. In humans, GGT also is used in surveillance for hepatic metastasis but is not suitable for this application in either the dog or the cat.

Neonatal animals of several species develop elevated levels of serum GGT activity following the ingestion of colostrum. In neonatal calves, the direct relationship between serum GGT activity and immune globulin levels allows serum GGT activity to serve as a surrogate for successful passive immune transfer (Parish *et al.*, 1997; Perino *et al.*, 1993). Similar transient neonatal elevations of serum GGT are observed following ingestion of colostrum by neonatal lambs (Maden *et al.*, 2003; Tessman *et al.*, 1997), crias (Johnston *et al.*, 1997), and pups (Center *et al.*, 1991b) but apparently not kittens (Crawford *et al.*, 2006; Levy *et al.*, 2006).

B. Serum Bilirubin

Bilirubin in serum is measured by the van den Bergh or "diazo" reaction in which bilirubin is coupled with diazotized sulfanilic acid. Azo pigments produced by this reaction are dipyrroles that are stable, and this characteristic has been useful in studies of the structure of bilirubin conjugates. Conjugated bilirubin, which is water soluble, reacts promptly with diazotized sulfanilic acid in aqueous solution (the van den Bergh "direct reaction"), but unconjugated bilirubin reacts slowly. Only after addition of an accelerator such as methanol or ethanol to the aqueous solution can the diazo reaction with unconjugated bilirubin be completed ("the indirect reaction"). It is said that approximately 10% of the unconjugated bilirubin in plasma can react with the diazo reagent giving a false "direct" reaction.

The requirement of an organic solvent for the diazo reaction with unconjugated bilirubin to occur suggests the delay was related to water insolubility. There is evidence, however, that intramolecular hydrogen bonding may be more important than aqueous solubility in determining the reaction of unconjugated bilirubin with the diazo reagent (Fog and Jellum, 1963; Nichol and Morrell, 1969). The two propionic acid side chains of bilirubin that are esterified with glucuronic acid or other carbohydrates disrupt intramolecular hydrogen bonding (Fog and Jellum, 1963) and allow the direct diazo reaction to occur. Accelerators of the van den Bergh reaction may have a similar effect

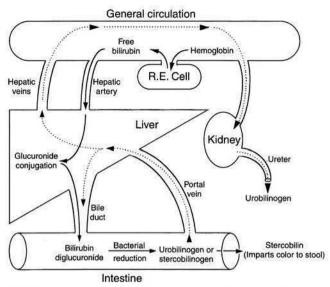


FIGURE 13-3 Normal formation, excretion, and enterohepatic circulation of bilirubin and other bile pigments.

on the intramolecular hydrogen bonds of unconjugated bilirubin.

The following is a discussion of the physiologic mechanisms of bilirubin conjugation and interpretation of the Van den Bergh reaction. There are limitations in clinical application, however, because of differences that exist in duration and severity between the spontaneous liver diseases of domestic animals and experimental liver disease models. Figure 13-3 summarizes the normal production and excretion of bilirubin and other bile pigments. Unconjugated hyperbilirubinemia is observed when there is increased production of bilirubin (e.g., hemolytic anemia) or when either hepatic uptake or conjugation of bilirubin is diminished. Although the unconjugated bilirubin of serum may be significantly increased in such disorders, essentially none of the albumin bound unconjugated bilirubin is filtered by the glomerulus. Consequently, bilirubinuria is not characteristic in animal patients with unconjugated hyperbilirubinemia. In hemolytic disease, the amount of bilirubin excreted by the liver and, therefore, the amount that reaches the intestine may be remarkably increased. This results in increased formation and urinary excretion of urobilinogen (Fig. 13-4).

Hyperbilirubinemia of the conjugated type is caused either by intrahepatic cholestasis (Fig. 13-5) or extrahepatic bile duct obstruction (Fig. 13-6). When the primary defect is impaired excretion of bilirubin into bile, hepatic uptake and conjugation may proceed at a relatively normal rate, but conjugated bilirubin is effluxed into the plasma. The plasma concentration of conjugated bilirubin increases, and the conjugated pigment, which is less avidly bound to albumin, is readily filtered by the glomerulus, resulting in bilirubinuria (Fulop *et al.*, 1965; Laks *et al.*, 1963). Because bilirubin excretion into the intestine is either significantly reduced or absent in cholestasis, formation of urobilinogen

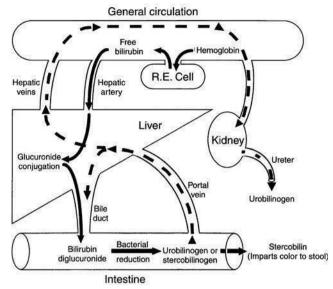


FIGURE 13-4 Formation, excretion, and enterohepatic circulation of bilirubin and other bile pigments associated with overproduction of bilirubin as a result of hemolysis.

by intestinal bacteria is remarkably reduced, and the test for urinary urobilinogen is characteristically negative in complete extrahepatic obstruction (Fig. 13-6). The therapeutic administration of oral, broad-spectrum antibiotics to patients may diminish the metabolic activity of intestinal bacteria and result in a spuriously negative test for urobilinogen in the urine in the absence of cholestasis.

The biochemical differentiation between unconjugated and conjugated hyperbilirubinemia using the van den Bergh reaction can be useful in the assessment of prehepatic or posthepatic causes of hyperbilirubinemia. In severe primary hepatitic diseases of varying cause, the excretory steps of uptake, conjugation, and excretion all may be deranged and result in elevations of both conjugated and unconjugated pigment.

Important species characteristics should be considered when interpreting results of the van den Bergh reaction. In general, the interpretation in dogs and cats is similar. Typically, in cholestatic disease, the conjugated fraction is elevated, representing 50% to 75% of the total serum bilirubin (Fig. 13-7). The normal horse has a much higher total serum bilirubin than any of the other domestic species (Fig. 13-8), and values as high as 4.0 mg/dl or higher have been observed in otherwise healthy individuals. In addition to hepatic and hemolytic diseases, hyperbilirubinemia is observed in horses with intestinal obstruction and in a variety of other serious systemic diseases. Food restriction alone causes an abrupt increase in the unconjugated serum bilirubin of the horse (Gronwall and Mia, 1972; Tennant et al., 1975), and decreased bile flow is a probable factor of the hyperbilirubinemia observed in fasting horses (Fig. 13-9).

In cattle and sheep, hyperbilirubinemia of sufficient magnitude to produce clinical icterus (≥3mg/dl) is caused

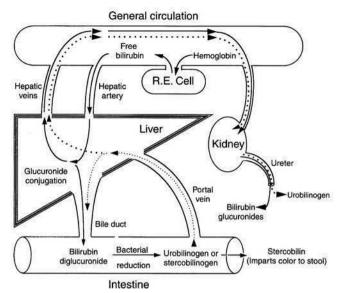


FIGURE 13-5 Formation, excretion, and enterohepatic circulation of bilirubin and other bile pigments associated with hepatocellular injury and intrahepatic cholestasis.

most frequently by hemolytic disease. Biochemical hyperbilirubinemia (1 to 2mg/dl) without clinical icterus may be observed in sheep and in cattle with fatty liver associated with ketosis/acetonemia, and in such cases, the predominant pigment is unconjugated bilirubin. Greater elevations in serum bilirubin and clinical icterus in ruminants associated with fatty liver and ketosis are unusual. Mild to moderate conjugated hyperbilirubinemia has been observed in sheep with sclerosing cholangitis caused by *Fasciola hepatica* infestation (Hjerpe *et al.*, 1971) and in cattle with hepatic cirrhosis (Finn and Tennant, 1974).

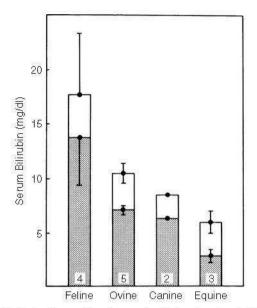


FIGURE 13-7 Comparative changes in total and conjugated ("direct reacting") serum bilirubin 14 days following complete experimental bile duct obstruction in cats, sheep, dogs, and horses Shaded portion of bar: direct reacting; bar eight: total. Courtesy of Dr. D. Levy.

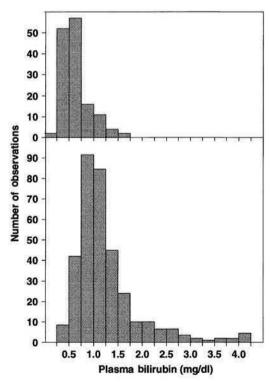


FIGURE 13-8 Total serum bilirubin concentrations of 23 normal Shetland ponies (upper; 143 observations) and 103 normal standard-sized horses (lower; 345 observations). Note the higher median values of standard-sized horses and the wide range of values in clinically healthy adults.

C. Serum Bile Acids

Synthesis of the primary bile acids is the principal pathway for catabolism of cholesterol. Multiple enzymatic

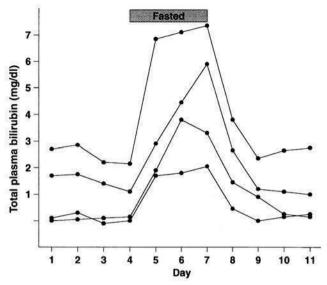


FIGURE 13-9 Serum bilirubin of horses before, during, and following a 3-day period of total fasting.

steps are required for hepatic synthesis of the primary bile acids of most species, cholic acid and, chenodeoxycholic acid (Fig. 13-10). In swine, hyocholic acid is derived in the liver from chenodeoxycholic acid and is the major primary bile acid of swine. The classic pathway of bile acid synthesis is initiated by the liver-specific enzyme, cholesterol 7α -hydroxylase (CYP7A1), which converts cholesterol to 7α -hyroxycholesterol. This is the initial, rate-limiting step in the synthesis of cholic acid. Alternate pathways are recognized that are initiated by (1) sterol 27-hydroxylase (CYP27), an enzyme expressed in the liver and in multiple other tissues; (2) cholesterol 25-hydroxylase that is present in the heart, lung, and kidney; or (3) cholesterol 24-hydroxylase (CYP46) that is present primarily in the brain. Oxysterols produced by these three enzymes are transported by the circulation to the liver where 25- and 27-hydroxycholesterol are hydroxylated further by an oxysterol 7α -hydroxylase (CYP7B1) and where 24-hydroxycholesterol is hydroxylated by another 7α -hydroxylase (CYP39A1). The 7α steroids produced by the alternate pathways are preferentially utilized as precursors of chenodeoxycholic acid (Beigneux et al., 2002). In knockout mice in which the CYP7A1 gene is missing (CYP7A1[-/-]), CYP7A1 activity was not detectable, but total oxysterol 7α -hydroxylase activity in CYP7A1(-/-) mice was similar to that of controls. Bile acid synthesis in CYP7A1(-/-) mice was remarkably reduced and total bile acid secretion was diminished. Because oxysterol 7α -hydroxylase activity was not elevated in CYP7A1(-/-) mice, the results suggested that in normal mice the alternate pathway may account for as much as 40% of total bile acid synthesis (Schwarz et al., 1998).

Following synthesis, primary bile acids are conjugated either with taurine or glycine and transported across the bile canalicular membrane primarily by the bile salt export

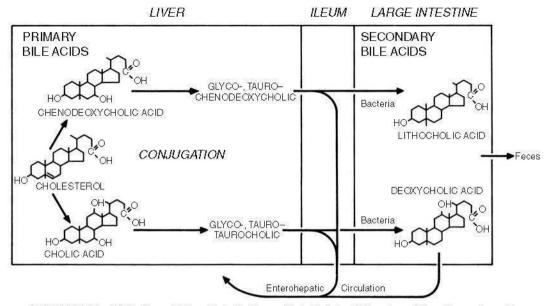


FIGURE 13-10 Metabolism of bile acids in the liver and intestinal tract. The primary bile acids are formed in the liver and the secondary bile acids in the large intestine.

pump (BSEP, Sister of P-glycoprotein [Spgp], ABCB11) a multidrug resistance P-glycoprotein that belongs to the ATP-binding cassette (ABC) superfamily of transport proteins. The sodium and ATP-mediated BSEP carrier determines the bile salt dependent fraction of canalicular bile flow. The important bile salt independent fraction of bile flow is determined by canalicular MrP2 which is responsible for transport of glutahione, glutathione conjugates, and conjugates of glucuronic acid including bilirubin glucuronide. In the dog, horse, and sheep, taurine conjugates of bile acids predominate, and in the cat, bile acids are conjugated exclusively with taurine. In cattle, the bile is rich in taurine conjugated bile acids and contains glycine conjugates of chenodeoxycholate and deoxycholate (Haslewood, 1967). Bile acids are transported from the canaliculus through bile ducts to the lumen of the duodenum. In the duodenum and jejunum, bile acids have an important role in the digestion and absorption of dietary fat and other lipids including fatsoluble vitamins.

In the terminal ileum, almost all of the bile acids secreted by the liver are absorbed by highly efficient transport mechanisms of the ileal epithelium and enter the hepatic portal vein (the enterohepatic circulation; see Chapter 14). Normally, only a small fraction (5%) of the bile acids that enter the duodenum reach the large intestine where bacteria are responsible for deconjugation and for the formation of secondary bile acids by dehydroxylation. Dehydroxylation of cholic acid at the 7α position results in formation of deoxycholic acid, and 7α dehydroxylation of chenodeoxycholic acid results in production of lithocholic acid. The secondary bile acids formed in the large intestine may be absorbed and return to the liver by the enterohepatic circulation or excreted in the feces.

Taurocholate is the major bile acid of mice and rats. These species have the unique capacity to rehydroxylate deoxycholate at the C-7 α position in the liver to reform cholic acid (Haslewood, 1967). The entire bile acid pool passes from the liver to the intestine and back to the liver via the enterohepatic circulation several times each day and under steady state conditions, approximately 5% of the bile acid pool is lost and replaced by hepatic synthesis.

The primary and secondary bile acids are transported to the liver via the hepatic portal vein and are transferred across the sinusoidal (basolateral) cell membrane of the hepatocyte by the NTCP, which is responsible primarily for the absorption of conjugated bile acids or by the sodium-independent OATP. Following entry, unconjugated bile acids are conjugated with taurine or glycine, are bound to cytosolic bile acid binding proteins, and finally are transferred actively across the bile canaliculus by the BSEP. Cholestasis may be caused by drugs that inhibit BSEP and by hereditary defects of BSEP that prevent BSEP expression and result in severe progressive familial intrahepatic cholestasis in which the bile contains almost no bile acids (Alrefai and Gill, 2007).

The canalicular Mrp2 transporter, which has a primary role in the excretion of bilirubin conjugates, BSP, and a wide range of glutathione, glucuronic acid, and sulfate conjugates (see Section III.A.2), mediates the canalicular transport of bile acid conjugates of sulfate or glucuronic acid but lacks the capacity to transport monovalent bile acids (Ito *et al.*, 2001; Trauner and Boyer, 2003). The canaliculus also contains the multidrug resistance 2 P-glycoprotein (Mdr2), which is responsible for the transport of cholesterol and phospholipids into bile. Defects in the Mdr2 gene product or the absence of Mdr2 in the canaliculus results in

cholestatic liver injury and in susceptibility to development of hepatocellular carcinoma (Mauad *et al.*, 1994). Finally, Mdr1 that is present in the canaliculus is responsible for transport of organic cations (Trauner and Boyer, 2003).

In cholestasis, bile acids may accumulate in hepatocytes and their toxicity may cause cell death (Webster *et al.*, 2002; Webster and Anwer, 1998, 2001). In cholestatic syndromes, expression of the multidrug resistance-associated protein 3 (Mrp3), another ABC transporter located in the sinusoidal plasma membrane, is increased. Mrp3 has broad affinity for organic anions, has the capacity to excrete both mono- and divalent bile acids (Hirohashi *et al.*, 2000; Ito *et al.*, 2001), and is believed to compensate for impaired function of BSEP or Mrp2 in cholestatic syndromes (Bohan *et al.*, 2003; Chen *et al.*, 2007).

In liver disease, synthesis of primary bile acids may be decreased, the proportions of cholic acid and chenodeoxycholic acid may be altered, or unusual bile acids may be produced. Removal of bile acids from the hepatic portal vein may be diminished by impaired hepatocellular function or by vascular shunts, which divert portal blood from the liver vasculature to the peripheral circulation. This is particularly noticeable after meals in animals with congenital or acquired hepatoportal shunts. The plasma bile acid concentration is increased continuously in biliary obstruction and, characteristically, urinary excretion of bile acids is increased. Increases in the serum bile acid concentration are seen in many forms of hepatic disease.

The measurement of total serum bile acids for assessment of liver disease has been greatly facilitated by development of a spectrophotometric assay that has now been validated for use in most domestic species. The predictive value of the serum bile acid test is remarkably high in the dog (Center, 1993; Center *et al.*, 1984, 1991a). In dogs with portocaval vascular shunts, the fasting serum bile acid concentration may be within normal limits but is increased diagnostically 2h following a meal (Center *et al.*, 1985a). Serum bile acid concentrations \leq 20 μ M/L in cats and \leq 25 μ m/L in dogs are predictive of significant histopathological abnormalities of the hepatobiliary system or of portosystemic vascular anastomoses (Center *et al.*, 1991a, 1995).

The serum bile acid concentration has been used to assess clinical hepatic function in cattle (Craig et al., 1992; Garry et al., 1994; Pearson et al., 1992; West, 1991) and in horses (Barton and LeRoy, 2007; Durham et al., 2003; McGorum et al., 1999). In the horse, fasting alone has been reported to increase the plasma bile acid concentration by decreasing hepatic clearance (Engelking and Gronwall, 1979), so in the horse it is necessary to consider food intake when interpreting the serum bile acid values.

D. Serum Proteins

The liver is the exclusive site of synthesis of albumin, the most abundant of the plasma proteins. Unlike most plasma

proteins, which are glycoproteins, albumin contains no carbohydrate. Degradation of albumin occurs in the liver and in other tissues including muscle, kidney, and skin. Degradation of albumin is probably favored in the liver because of the fenestrated endothelial lining cells that allow access of plasma proteins directly to the space of Disse and to the sinusoidal surface of the hepatocyte. In the general circulation, albumin has two major functions. It is the most important determinant of plasma oncotic pressure (colloid osmotic pressure) and is a major transport protein for hydrophobic or amphophilic metabolites and xenobiotics that, because of albumin binding, remain in stable aqueous solution in the plasma.

The plasma albumin concentration is determined by the hepatic synthetic rate that normally is in equilibrium with degradation. Hypoalbuminemia may be caused by defective albumin synthesis associated with severe hepatocellular disease or may be caused by increased albumin loss resulting from either glomerulopathy (protein-losing nephropathy), severe intestinal inflammation, or intestinal lymphangiectasia (protein-losing enteropathy). In severe, chronic hepatopathy, there is a tendency for elevations in IgM, IgG, and IgA. Both decreased albumin and increased globulin result in a decrease in the albumin/globulin (A/G) ratio.

The liver is the exclusive site of synthesis of coagulation factors I (fibrinogen), II (prothrombin), V, VII, IX, X, XI, and of protein C, protein S, and antithrombin. Factor VIII is synthesized both in the liver and in multiple other organs including the kidney and spleen (Hollestelle et al., 2001; Wion et al., 1985). Synthesis of coagulation proteins tends to be diminished in liver disease, and decreased plasma prothrombin synthesis is associated with a corresponding increase in the prothrombin time. Factors that contribute to increased prothrombin time include diminished hepatic protein synthesis, increased consumption of clotting factors associated with hemorrhage or hypercoagulation states, and, in some cases, vitamin K deficiency related to decreased intake or diminished absorption. Vitamin K is essential not only for the hepatic synthesis of prothrombin but also for factors VII, IX, X, and protein C. Parenteral administration of vitamin K to individual animal patients may result in improvement in prothrombin time, but coagulation time may remain prolonged. Individuals with obstructive jaundice absorb vitamin K poorly, and defects in their clotting tests can be improved rapidly by parenteral vitamin K administration. Fibrinogen is an acute phase reactant, and its concentration in plasma may be greatly increased in chronic inflammatory diseases or in neoplasia. Plasma fibrinogen is generally normal in mild or moderate liver disease, but it may be detectably decreased in more severe acute or chronic liver disease. Because of the rapid turnover of fibringen and prothrombin, the concentrations of these proteins in the plasma may decrease rapidly in fulminant hepatic injury. The turnover rate of albumin is longer and the concentration of albumin

is diminished primarily in chronic liver disease in which there is significant loss of hepatocellular mass.

Measurement of protein C has been validated for use as a biomarker for the assessment of experimental liver injury (Saha *et al.*, 2007), and when combined with other conventional laboratory tests, it was shown to be of value in the recognition of portosystemic shunts and other severe clinical forms of hepatobiliary disease in dogs (Toulza *et al.*, 2006).

Plasmin, a serine protease synthesized by the liver, is necessary for the degradation of fibrin. Antithrombin has potent protease activity against thrombin, plasmin, and other coagulation factors, and its primary physiological function is to modulate the activity of thrombin. Heparin enhances the inhibition of thrombin by antithrombin.

The complement system plays a critical role in the inflammatory response and in host defense mechanisms against infection, and most of the plasma proteins of the complement system are synthesized in the liver. *De novo* synthesis of C2, C3, C4, factor B, and other complement proteins has been demonstrated in cultured mammalian hepatocytes (Anthony *et al.*, 1985; Ramadori *et al.*, 1986). The liver also is the site of synthesis of the protease inhibitor α -1-antitrypsin, a major plasma protein that inhibits serine proteases including granulocyte elastase, and α -2-macroglobulin, an inhibitor of a variety of other proteases.

E. Dye Excretion

The rate of removal of organic anions can be measured and used to assess the functional capacity of the liver and hepatic blood flow. Sulfobromophthalein (BSP, bromsulphalein; Fig. 13-11) and indocyanine green (ICG; Fig. 13-12) have been used most frequently to assess hepatic function. Following bolus intravenous administration, these dyes are removed rapidly from the plasma primarily by the liver and excreted in the bile. Delayed plasma clearance is taken to be indicative of abnormal hepatocellular or biliary tract function or hepatic circulation (Center *et al.*, 1983c).

The overall process of hepatic excretion of BSP is similar but not identical to that of bilirubin. Hepatic uptake of BSP across the sinusoidal plasma membrane is facilitated primarily by sodium-independent OATP, but the sodium-dependent NTCP also may contribute significantly (Hagenbuch et al., 1996; Hata et al., 2003). Within the cell, BSP competes with other organic anions for binding to cytosolic ligandin, which by serving as an ion "sink" is a driving force for hepatic uptake (Levi et al., 1969). The conjugation mechanisms for bilirubin and BSP are completely separate. BSP is conjugated with glutathione by action of the cytosolic enzyme glutathione-S-aryl transferase-B. Although conjugation appears to facilitate BSP excretion, it is not a required step because approximately 50% of the BSP in bile is unconjugated. The canalicular transport of BSP is similar to that of conjugated bilirubin and involves Mrp2.

Hepatic uptake of ICG is similar to that of BSP, but canalicular transport into bile is remarkably different and involves Mdr2, the canalicular transport protein responsible for transport of cholesterol and phospholipids into bile (Huang and Vore, 2001).

In the icteric patient, the question arises whether competition between BSP and bilirubin alters the results of the BSP excretion test. In general, the BSP test is seldom justified in hyperbilirubinemic patients because hepatic disease is evident and no important additional information is likely to be provided. In general, dye excretion tests are most useful for situations in which a suggestion of occult liver disease exists but in which the results of other liver function tests are equivocal. The net competitive effect of bilirubin on BSP excretion is not great. For example, horses starved for 72 h developed a three-fold elevation in total serum bilirubin Fig. 13-9, but BSP excretion was delayed less than 25% (Tennant, unpublished).

In the dog and cat, a standard dose of 5mg/kg of BSP is administered as an intravenous bolus. A sample of blood is removed at 30 min, and the BSP concentration is determined spectrophotometrically. It is assumed that the original dose of BSP (5mg/kg) is distributed in a plasma volume of 5ml/kg so that the concentration of BSP in plasma at time zero is (by definition) 1 mg/ml. The percentage retention at 30min is calculated from the ratio of the concentration at time zero and at 30 min. Retention of 5% or less is considered to be within normal limits (Cornelius, 1970).

In the large domestic species, because it is inconvenient or impossible to obtain body weight, plasma clearance of BSP is measured. The initial slope of the disappearance curve is independent of BSP dose and a standard 1.0g dosage is administered to normal-sized horses (450 kg) or a dose of 0.5 g to smaller horses. Blood samples are obtained at 6, 9, and 12 min following injection and the fractional clearance rate or plasma half-life ($T_{1/2}$) is calculated (Fig. 13-13). In the horse, normal plasma $T_{1/2}$ values range from 2.5 and 3.5 min. In cattle, the rate of BSP excretion is similar to the horse. Sheep have a more rapid excretion rate requiring samples to be taken at 3, 5, and 7 min following injection. Normal $T_{1/2}$ values for sheep range from 1.6 to 2.7 min (Cornelius, 1970).

The BSP test is safe although the dye is irritating if infiltrated perivascularly. The test should be used only when cardiovascular function is normal. If hypovolemia or hypotension is present, hepatic perfusion will be reduced and erroneously prolong the rate of BSP clearance.

The clearance rate of ICG provides a useful estimate of hepatic excretory function. Since the hepatic extraction ratio approaches 1, and hepatic clearance corresponds to hepatic blood flow. In contrast to BSP, the plasma disappearance of ICG generally follows a single exponential after mixing. Unlike BSP, ICG is available commercially and is the dye excretion test most readily available for clinical use.

ICG clearance has been used to estimate circulation time and hepatic blood flow. The ICG clearance rate from

FIGURE 13-11 Phenolsulfonphthalein (PSP) is a dye that is cleared exclusively by the kidney and used in renal function tests. Sulfobromophthalein (BSP) is closely related structurally, but the modifications result in excretion almost entirely by the liver and its use in assessment of hepatic function.

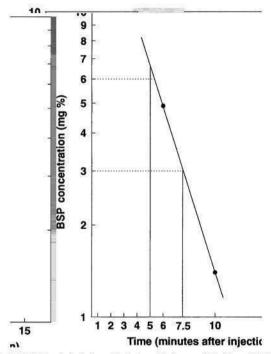


FIGURE 13-12 Calculation of $T_{1/2}$ for sulfobromophthalein (BSP) in the horse. After bolus injection intravenously, serum samples were obtained at 6 and 10 min, and the $T_{1/2}$ was calculated to be 2.5min (normal).

plasma is measured after the intravenous injection of bolus doses of dye (Warren et al., 1984). Because disappearance of the dye from plasma follows Michaelis-Menten kinetics, Lineweaver-Burke analysis provides apparent K_m and V_{max} values for the removal of ICG (Paumgartner et al., 1970). The maximal dye removal capacity can be estimated from a small number of submaximal clearance values. Although such multiple tests are not useful for routine practice, they provide clinical investigators a sensitive index of hepatic dye clearance that is independent of blood flow.

For clinical application liver function test as a $T_{\frac{1}{2}}$ and fractional clearance (K) is determined after a single

intravenous injection of ICG. Three plasma samples are usually taken between 3 and 15 min after administration and the ICG concentration measured spectrophotometrically. It also is possible to estimate plasma volume and hepatic blood flow (Ketterer et al., 1960). Normal ICG plasma $T_{\frac{1}{2}}$ and K values for the dog using 0.5 mg/kg ICG $(0.64 \,\mu\text{mol/kg})$ were reported to be $8.4 \pm 2.3 \,\text{min}$ and 0.089 ± 0.027 /minute (Bonasch and Cornelius, 1964). Using an ICG dose of 1.0 mg/kg (1.3 \(\mu\)mol/kg), Center et al. (1983c) reported an ICG $T_{1/2}$ for dogs of 9.0 \pm 2.0 min, a clearance rate 3.7 ± 0.7 ml/min/kg, and a 30-min retention of $14.7 \pm 5.0\%$. In cats that received an ICG dose of 1.5 mg/kg, Center et al. (1983b) reported a T_{ν_2} of 3.8 ± 0.9 min, a clearance rate of 8.6 ± 4.1 , and 30-min retention of $7.3 \pm 2.9\%$. Using an ICG dose of 0.5mg/kg $(0.64 \,\mu\text{mol/kg})$ in sheep, Sato (1984) reported a $T_{1/2}$ of 4.8 ± 0.5 min, and for heifers using a dose of 0.75 mg/kg $(0.97 \,\mu\text{mol/kg})$, the $T_{\frac{1}{2}}$ was 3.5 ± 0.8 min.

V. OVERVIEW AND CONCLUSIONS

Conventional tests for hepatic disease provide information about the integrity of the hepatocytes (ALT, AST, SDH) and the status of the biliary system (AP, GGT). Hepatic function can be assessed by estimating the excretory capacity (bilirubin, bile acids NH₃) and synthetic functions (NH₃/urea, albumin, fibrinogen, and prothrombin) of the liver.

For the results of clinical laboratory tests to be of optimal value, it is essential that the specific purpose(s) of the test(s) being performed be defined. Tests for hepatic disease are performed for a variety of purposes including to confirm the existence of liver disease, to assess the nature (e.g., hepatocellular injury, cholestasis) and severity of the disease to determine prognosis, to monitor the clinical course and response to therapy, and to screen individuals at risk for the existence of occult liver disease.

Many of the standard tests for liver disease are based on rather simple biochemical procedures that have been automated for use in multichannel autoanalyzers. One seldom obtains the result of a single test for liver disease but rather a panel of results or a "liver profile." In some situations, the results of multiple tests of liver injury and function may be received even when there is no specific clinical indication for them because, with autoanalyzers, it may be more efficient to perform a large series of tests than to be selective.

In a given population of animals, some will have liver disease and some will not. If a test is applied to the whole population, a certain number of those with the disease will have a positive test result (true positives), and some with the disease will have a negative test result (false negatives). Similarly, among those without the disease, some will have a positive test result (false positives), and in some, the test

FIGURE 13-13 Indocyanine green used for quantitative assessment of hepatic excretory function.

will be negative (true negatives). A laboratory test is said to be sensitive to the extent that it detects individuals with the disease (true positives divided by the total number with the disease [i.e., true positives plus false negatives]). The test is said to be *specific* to the extent that a negative result detects patients that are free of the disease (true negatives divided by the number of subjects without the disease [i.e., true negatives plus false positives]).

In the clinical setting, the sensitivity and the specificity of hepatic tests are less important than the positive predictive value, defined as the probability that a positive test result indicates the presence of the disease (true positives divided by true positives plus the false positives), and the negative predictive value, the probability that a negative test result indicates the absence of the disease (true negatives divided by the true negatives plus false negatives). The predictive value of a test, unfortunately, depends on the population being studied and the proportion of individuals in the population with the disease (prevalence). Even when test sensitivity and specificity are high and the number of false-positive tests is low, if there are few subjects with the disease in the population, a positive test result will have relatively low positive predictive value, whereas a negative test result will have proportionately high negative predictive value. If the prevalence of a disease in a population is high, however, a positive result for a test with even low sensitivity and specificity will have high positive predictive value, whereas a negative result would have proportionately low predictive value for the absence of the disease.

Although the sensitivity of a test is often discussed, the prevalence of the disease and the positive and negative predictive values of tests are often ignored in discussions of interpretation of laboratory results. Importantly, laboratory test results from a selected group of individuals with a high prevalence of liver disease cannot be compared to a population in which the prevalence is low or to that in which the predictive value of a test in one population is similar to that of the other population.

As indicated previously, it is unusual that a single test for hepatic injury or function is performed, but rather a "profile" of hepatic test results ordinarily is obtained. The combined results of a panel of tests often provide increased sensitivity and specificity and improved predictive value in assessing severity or in differentiating between acute and chronic forms of liver disease. It often is impossible to differentiate between different hepatic diseases on the basis of multiple laboratory tests alone, and hepatic imaging or liver biopsy is required. Hepatic tests, however, continue to have an important place in evaluating and monitoring clinical patients with liver disease and in understanding the underlying pathophysiological mechanisms essential for successful treatment.

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Gastrointestinal Function

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I. INTRODUCTION

The digestive system is composed of the gastrointestinal (GI) tract or the alimentary canal, salivary glands, the liver, and the exocrine pancreas. The principal functions of the gastrointestinal tract are to digest and absorb ingested nutrients and to excrete waste products of digestion. Most nutrients are ingested in a form that is either too complex for absorption or insoluble and therefore indigestible or incapable of being digested. Within the GI tract, much of these substances are solubilized and further degraded enzymatically to simple molecules, sufficiently small in size and in a form that permits absorption across the mucosal epithelium. This chapter describes the normal biochemical processes of intestinal secretion, digestion, and absorption. Once these issues have been put in perspective, the chapter explores the pathogenesis of the important gastrointestinal diseases of domestic animals and the biochemical basis for their diagnosis and treatment.

II. SALIVARY SECRETIONS

A. Mechanisms of Secretion

Saliva is produced by three major pairs of salivary glands and by small glands distributed throughout the buccal mucosa and submucosa. Two types of secretory cells are found in the acinar portions of the salivary glands: (1) the mucous cells, which contain droplets of mucus, and (2) the serous cells, which contain multiple secretory granules.

In those species that produce salivary amylase (e.g., pig and human), the secretory granules are the zymogen precursors of this enzyme. A third cell type is found lining the striated ducts. The striations along the basal borders of these cells are caused by vertical infoldings of the cell membrane, a characteristic of epithelial cells involved in rapid movement of water and electrolytes. The primary secretion of the acinar cells is modified by active transport processes of the ductal epithelium.

The distribution of the different types of secretory cells in the salivary glands varies among species. The parotid glands of most animals are serous glands, which produce a secretion of low-specific gravity and osmolality containing electrolytes and proteins including certain hydrolytic enzymes. The mandibular (submaxillary) and sublingual glands are mixed salivary glands that contain both mucous and serous types of cells and produce a more viscous secretion that contains large amounts of mucus (Dukes, 1955).

B. Composition of Saliva

1. Mucus

Mucus is an aqueous mixture of proteoglycans and glycoproteins. One of the most completely studied glycoproteins is mucin. Salivary mucins are O-glycosylated and consist of peptides with many oligosaccharides linked covalently to the hydroxyamino acid serine or threonine. The carbohydrate portion of submaxillary mucin from sheep is a disaccharide of N-acetylneuraminic acid (sialic acid) and N-acetylgalactosamine (Carlson *et al.*, 1973). The enzymes that link protein with hexosamine have been purified from the mandibular glands of sheep (Carlson *et al.*, 1973) and swine (Schachter *et al.*, 1971).

The physiological functions of mucin are related to its high viscosity. N-acetylneuraminic acid is the component responsible for the formation of viscous aqueous solutions and, at physiological pH, causes expansion and stiffening of the mucin molecule. The resistance of mucin to enzymatic breakdown is also due to the presence of disaccharide residues. Removal of terminal N-acetylneuraminic acid residues by action of neuraminidase significantly increases the susceptibility of peptide bonds to trypsin.

2. Electrolytes

The principal inorganic constituents of saliva are sodium, potassium, chloride, and bicarbonate, which, with the exception of bicarbonate, originate directly from the plasma. Rates of salivary flow vary depending on stimulation, and there are wide variations in electrolyte concentration. Saliva is formed by a process that initially requires uptake of sodium and other electrolytes from the interstitium of the terminal structural unit of the salivary gland, the acinus or end piece. Water flows passively. This primary or precursor fluid has a sodium concentration similar to plasma, and the potassium concentration is similar to or slightly higher than plasma. As the primary fluid passes from the acinus along the duct system, the concentration of sodium, potassium, and other electrolytes changes. In most species, there is net sodium absorption and potassium secretion. Wide variations in electrolyte composition may occur depending on the flow rate (Young and Schneyer, 1981), the salivary gland of origin, and the species (Table 14-1).

3. Amylase

The saliva of rodents contains the α -amylase, ptyalin, but this enzyme activity is absent in the saliva of dogs, cats, horses, cattle, and sheep (Dukes, 1955; Young and Schneyer, 1981). Salivary amylase splits the α 1,4-glucosidic bonds of various polysaccharides. Salivary amylase is similar in major respects to pancreatic α -amylase, which is described in Section V.B. Salivary amylase initiates digestion of starch and glycogen in the mouths of those species that secrete the enzyme. The optimal pH for amylase activity is approximately 7, so that this activity ceases when the enzyme mixes with acidic gastric contents.

TABLE 14-1 Electrolyte Concentration of Mandibular Gland and Parotid Gland Saliva Observed during Maximum Rates of Secretion (mmol/l)

	Mandibular Gland Parotid Gland					
	Na ⁺	K+	HCO ₃ -	Na ⁺	K+	HCO ₃ -
Sheep	20	7	23	160–175	9–10	113–140
Dog	70–100	12–15	10–30	80–110	6–14	50
Cat	40–51	9–10	26	:	Service .	Y(1
Rabbit	50–100	10–40	25	110-140	10	12-30

III. Gastric Secretions 415

4. Lipase

Lingual lipase is secreted by Von Ebner's gland of the tongue and is important in the digestive processes of the human newborn, rats, and preruminant calves (Cook *et al.*, 1994; Plucinski *et al.*, 1979).

C. Functions of Saliva

Saliva continuously bathes the oral cavity, which protects the surface epithelium. Ingested food is moistened and lubricated by saliva, thereby facilitating mastication and swallowing. Saliva also protects teeth from decay by washing food particles from the surfaces of the teeth and using its buffering capacity to neutralize the organic acids produced by bacteria normally present in the mouth. Saliva is necessary for vocalization, and, in some species that groom themselves, saliva promotes cooling as it evaporates. Additionally, it may be a source of pheromones. Salivary glands contain large numbers of growth factors, vasoactive serine proteases, and regulatory peptides (Cook *et al.*, 1994). There is reason to believe that these glandular constituents affect a wide range of biological functions not necessarily limited to the alimentary system.

Ruminants produce much greater quantities of saliva than do simple-stomached animals, and their saliva has a higher pH and bicarbonate ion concentration. In ruminants, saliva serves several unique functions (Phillipson, 1977). It is required for maintenance of the fluid composition of the contents of the rumen. The great buffering capacity of ruminant saliva is necessary to neutralize the large amounts of organic acids that are end products of rumen fermentation.

Rumen bacteria for protein synthesis can utilize the urea in saliva. Protein synthesized in the rumen is then used to meet dietary protein requirements. In this way, urea nitrogen can be "recycled" through the amino acid pool of the body, and in ruminants it need not be considered an end stage in protein catabolism. The ability to reutilize urea has also been demonstrated in the horse, and this may be of particular benefit during periods of protein deficiency (Houpt and Houpt, 1971).

III. GASTRIC SECRETIONS

The stomach is divided into two main regions on the basis of secretory function. The oxyntic gland area corresponds approximately to the body of the stomach in most species of domestic animals and also to the fundus in the dog and cat. The oxyntic glands contain (1) oxyntic or parietal cells that produce hydrochloric (HCl) acid, (2) peptic (zymogenic, chief) cells that produce pepsinogen, and (3) mucous cells. The pyloric gland area contains mucus-producing pyloric glands whose secretion is slightly alkaline. This area also contains the G cells, which produce the polypeptide hormone, gastrin.

A. Composition of Gastric Secretions

1. Basal versus Stimulated Secretion

There are two components of gastric secretion. The surface epithelial cells and other mucus-producing cells continuously secrete the basal component. This component is neutral or slightly alkaline pH. The electrolyte composition is similar to that of an ultrafiltrate of plasma (Table 14-2). The basal secretion contains large amounts of mucus, which has a cytoprotective effect on the epithelium. The secretory component produced by the oxyntic gland cells in response to stimulation contains free HCl and pepsinogen, the principal enzyme of gastric digestion.

The composition of gastric juice depends on the relative amounts of the basal and secretory components in the juice and, in turn, is a function of the flow rate of each. In the dog, gastric juice is produced in the resting state at a rate of approximately 5 ml/h. The composition is similar to that of the basal component, containing practically no peptic activity or HCl. When the flow of gastric juice is stimulated maximally, the dog may produce 80 ml or more per hour of a secretion containing large amounts of peptic activity and HCl. Na⁺, the principal cation in the basal secretion, is replaced to a large extent by H⁺ ion. The concentration of K⁺ is similar in both basal and stimulated secretions and, therefore, remains relatively constant at the various rates of flow.

HCl and pepsinogen are secreted by separate mechanisms, but their production appears closely linked under physiological conditions. Stimulation of the vagus nerve or intravenous injection of gastrin increases pepsinogen and HCl levels together. Other stimuli may affect the two processes differently; for example, in the dog histamine infusion stimulates HCl production maximally but appears to inhibit pepsinogen secretion (Emas and Grossman, 1967).

TABLE 14-2 Composition of Parietal and Nonparietal Secretions of Canine Gastric Mucos^a

Component	Parietal Secretion ^a (mmol/liter)	Nonparietal Secretion ^a (mmol/liter)	Nonparietal Secretion ⁶ (mmol/liter)
Na ⁺		155.0	138.0
H ⁺	159.0	1 5	==
K+	7.4	7.4	4.0
Ca ²⁺	ss	3.7	5.0
Cl ⁻	166.0	133.0	117.0
рН	<1.0	7.54 ⁶	7.42

^a Determined in vivo using dogs with gastric fistulas (Gray and Bucher, 1941).

^b Determined in vitro with isolated gastric mucosa (Altamirano, 1963)

 $^{^{\}circ}$ Calculated from bicarbonate concentration assuming pCO $_2$ of 40 Torr.

2. Pepsin

Pepsinogen is the zymogen, or inactive precursor, of pepsin, the principal proteolytic enzyme of gastric juice. Pepsinogen was first crystallized from the gastric mucosa of swine, and several pepsinogens have now been separated. The porcine pepsinogen has a molecular weight of approximately 43 kd and is composed of the pepsin molecule and several smaller peptides. One of these peptides has a molecular weight of 3.2kd and is an inhibitor of peptic activity. Activation of pepsin from pepsinogen occurs by selective cleavage of this small basic peptide from the parent pepsinogen (Neurath and Walsh, 1976). Autocatalytic conversion begins below pH 6. At pH 5.4, the inhibitor peptide dissociates from the parent molecule, and at pH 3.5 to 4, the inhibitor is completely digested by pepsin.

Pepsin has a very acidic isoelectric point and is stable in acidic solution below pH 6, but it is irreversibly denatured at pH 7 or above. In contrast, pepsinogen is stable in neutral or slightly alkaline solution. The optimal pH for peptic activity is generally between 1.6 and 2.5, but the effect of pH may vary with the substrate. Pepsin is capable of hydrolyzing peptide bonds of most proteins, mucin being one important exception. Pepsin splits bonds involving phenylalanine, tyrosine, and leucine most readily but can hydrolyze almost all other peptide bonds.

3. Gastric Lipase

In canines, gastric lipase is secreted in response to pentagastrin, histamine, prostaglandin E_2 , and secretin (Simpson, 2005). It parallels the secretion of gastric mucosa and plays a role in fat digestion. Unlike pepsin, it is not dependent on an acid pH, remains active in the small intestine, and constitutes up to 30% of the total lipase secreted over a 3-hour period. Gastric lipase as well as pepsin are not essential in fat digestion, but resulting fatty acids and peptides help coordinate gastric emptying and pancreatic secretion.

4. Rennin

Rennin is another proteolytic enzyme produced by the gastric mucosa and has characteristics that are similar to those of pepsin. It has been separated from pepsin in preparations from the stomachs of newborn calves. Rennin splits a mucopeptide from casein to form paracasein, which then reacts with calcium ion to form an insoluble coagulum. The coagulated milk protein probably delays gastric emptying and increases the efficiency of protein digestion in young calves.

5. HCL

The oxyntic cells produce HCl. When the normal mucosa is stimulated, both Cl⁻ and H⁺ are secreted together, but

current evidence suggests that $\mathrm{H^+}$ and $\mathrm{Cl^-}$ are secreted by separate, closely coupled mechanisms. Unstimulated oxyntic cells continuously secrete small amounts of $\mathrm{Cl^-}$ in the absence of $\mathrm{H^+}$ secretion, and this mechanism is responsible for the negative charge of the resting mucosal surface of the stomach relative to the serosa. For every $\mathrm{H^+}$ secreted, an electron is removed that ultimately is accepted by oxygen to form $\mathrm{OH^-}$, which is neutralized within the cell by $\mathrm{H^+}$ from $\mathrm{H_2CO_3}$. The $\mathrm{HCO_3^-}$ then enters the venous blood by means of a $\mathrm{Cl^-/HCO_3^-}$ exchange ("alkaline tide"), and during HCl secretion, the pH of gastric venous blood frequently is greater than that of arterial blood (Davenport, 1966).

The membrane-bound enzyme responsible for transport of H⁺ by the oxyntic cell is a K⁺-stimulated ATPase (Sachs *et al.*, 1976; Wallmark *et al.*, 1980) that serves as an H⁺/K⁺ exchange pump. At the time of oxyntic cell stimulation, the secretory membrane is altered to provide augmented K⁺ and Cl⁻ conductances (Wolosin, 1985). KCl leaves the apical cell membrane passively, and net production of HCl results from the electroneutral exchange of K⁺ for H⁺ (Fig. 14-1).

B. Control of Gastric Secretion

1. General

A variety of stimuli can initiate gastric secretion. The sight or smell of food or the presence of food within the mouth causes gastric secretion by a reflex mechanism involving the vagus nerve. The presence of certain foods within the stomach or distension of the stomach alone can also initiate both intrinsic and vagal nerve reflexes, which cause secretion of gastric fluid. In addition to neural reflexes, these stimuli also cause the release of the gastrin from the pyloric gland area, which enters the bloodstream, stimulating gastric secretion. The release of gastrin from G cells is inhibited by excess H⁺, and this negative feedback mechanism is important in the control of HCl production.

2. Gastrin

Gastrin has been isolated in pure form from the antral mucosa of swine (Gregory et al., 1964). When administered intravenously, the purified hormone causes the secretion of HCl and pepsin and stimulates gastrointestinal motility and pancreatic secretion. Two separate peptides have been obtained from porcine gastric mucosa and have been designated gastrin I and gastrin II. Gastrin is a heptadecapeptide amide, with a pyroglutamyl N-terminal residue and with the amide of phenylalanine as the C-terminal residue (Fig. 14-2). In the center of the molecule is a sequence of five glutamyl residues, which give the molecule its acidic properties. Gastrin II differs from gastrin I only in the presence of a sulfate ester group linked to the single tyrosyl residue. The C-terminal tetrapeptide amide,

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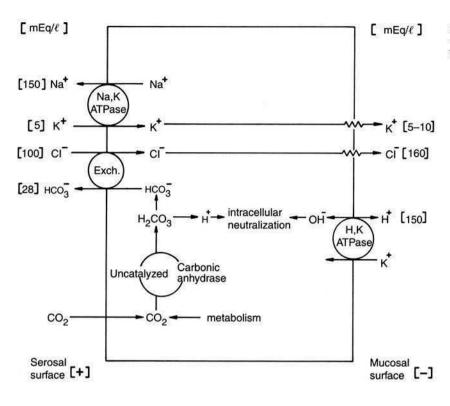


FIGURE 14-1 Movement of ions across the mucosal (apical) and serosal (basal) cell membranes of the parietal during HCl secretion.

Glu-Gly-Pro-Trp-Met-Glu-Glu-Glu-Glu-Glu-Ala-Tyr-Gly-Trp-Met-Asp-Phe-NH₂

FIGURE 14-2 Amino acid sequence of porcine gastrin I (Gregory, 1966). Gastrin II differs from gastrin I by the presence of a sulfate ester group on the single tyrosyl residue.

Trp-Met-Asp-Phe-NH₂, is identical in all species so far studied (Gregory, 1967). The tetrapeptide has all of the activities of the natural hormone. It is not as potent as the parent molecule, but lengthening of the peptide chain can increase activity.

Gastrin-releasing peptide—as well as luminal peptides, digested protein, and acetylcholine—stimulates gastrin secretion from G cells and affects histamine release from enterochromaffin-like cells (Simpson, 2005). Gastrin is the only hormone known to simulate HCl (Walsh and Grossman, 1975).

3. Histamine

Histamine secreted locally within the mucosa has a major effect on the function of oxyntic cells (Soll and Grossman, 1978). For many years, histamine has been recognized as a potent stimulant of HCl production, but this effect was not inhibited by traditional antihistaminic drugs (H_1 antagonists), and until the demonstration by Black *et al.* (1972) of H_2 receptors in the stomach (the atrium and uterus), the physiological role of histamine in HCl secretion was controversial. Specific H_2 antagonists (cimetidine) now have been shown to inhibit the secretory response not only to

histamine but to other secretory stimuli as well (Grossman and Konturek, 1974).

The complex of oxyntic cell receptors involved in the control of oxyntic cell function is shown in Figure 14-3. When the $\rm H_2$ receptor of the oxyntic cell is occupied by histamine, basal lateral adenylate cyclase is activated, resulting in increased cellular cyclic AMP (cAMP) and in a sustained secretory response. The secretogogue action of cAMP is mediated by the activity of cAMP-dependent protein kinases (Chew, 1985).

Cholinergic stimulation of the oxyntic cell involves type I muscarinic receptors and a calcium activation pathway. Calmodulin inhibitors such as trifluoroperazine inhibit H⁺ secretion (Raphael *et al.*, 1984). The Ca-calmodulin system may influence the rate of cAMP synthesis, and a more distal site of action has been suggested by the identification of a Ca-dependent protein kinase activity in a membrane fraction prepared from oxyntic cells that was rich in H⁺, K⁺-ATPase (Schlatz *et al.*, 1981). A specific receptor for gastrin has been demonstrated on oxyntic cells and a specific gastrin antagonist, proglutamide, inhibits H⁺ production. Gastrin appears to act synergistically with histamine and acetylcholine (AcCh) to regulate H⁺ production but the actual mechanism of action of gastrin is unknown.

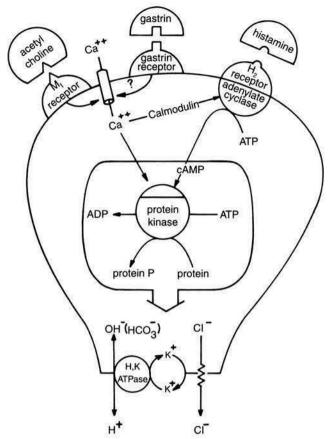


FIGURE 14-3 Pathways of secretagogue action on the parietal cell. Stimulation by gastrin and acetylcholine is mediated by entry of Ca²⁺ onto the cell. Histamine activates adenylate cyclase with production of cAMP, the action of which is mediated by protein kinase.

4. Prostaglandins

Prostaglandins, in addition to inhibiting HCl secretion, also act on a mucosal cell population that is distinct from oxyntic cells, which secrete cytoprotective substances (mucin, glycosaminoglycans). The ulcerogenic effects of inhibitors of prostaglandin synthesis (indomethacin, aspirin) apparently are the result of inhibition of the protective effect of endogenous prostaglandins.

Knowledge of the molecular aspects of receptor function of HCl secretion by oxyntic cells now provides the opportunity for specific pharmacological intervention for the control and treatment of ulcerative diseases of the upper gastrointestinal tract that appear to be the result of HCl-induced mucosal injury (Aclund *et al.*, 1983; Becht and Byars, 1986; Campbell-Thompson and Merritt, 1987). Potential therapeutic target sites are listed in Table 14-3. Famotidine is commonly administered to dogs and cats. Injectable ranitidine is administered to foals and horses during critical stages of gastrointestinal ulceration before switching to oral administration of omeprazole.

TABLE 14-3 Inhibitors of Oxyntic Cell Function

- A. Inhibitors of H⁺, K⁺-ATPase: omeprazole, verapamil, vanadate
- B. Inhibitors of carbonic anhydrase: acetazolamide
- C. Inhibitors of cell activation or response
 - 1. Calcium channel antagonists: verapamil, lanthanum
 - 2. Prostaglandin E2
- D. Receptor antagonists
 - 1. Harrecept or antagonists: cimetidine, ranitidine
 - 2. Gastrin antagonists: proglumide, benzotript
 - 3. Anticholinergic agents: atropine
- E. Inhibitors of calmodulin: trifluoroperazine

IV. BILIARY SECRETIONS

A. Composition of Bile

The hepatocytes continuously secrete bile into the bile canaliculi; it is transported through a system of ducts to the gallbladder, where it is modified, concentrated, and stored. During digestion, bile is discharged into the lumen of the duodenum, where it aids in emulsification, hydrolysis, and solubilization of dietary lipids. The digestive functions of bile are accomplished almost exclusively by the detergent action of its major components, the bile salts and phospholipids.

B. Properties of Bile

The carboxyl group of the bile acids is completely ionized at the pH of bile and is neutralized by Na⁺ resulting in the formation of bile salts. These bile salts are effective detergents. They are amphipathic molecules that have both hydrophobic and hydrophilic regions. In low concentrations, bile salts form molecular or ideal solutions, but when their concentration increases above a certain critical level, they form polymolecular aggregates known as micelles. The concentration at which these molecules aggregate is called the critical micellar concentration (CMC).

Bile salt micelles are spherical and consist of a central nonpolar core and an external polar region. Fatty acids, monoglycerides, and other lipids are solubilized when they enter the central core of the micelle and are covered by the outside polar coat. Solubilization occurs only when the CMC is reached. For the bile salt-monoglyceride-fatty acid-water system present during normal fat digestion, the CMC is approximately 2 mM, which normally is exceeded both in bile and in the contents of the upper small intestine (Hofmann, 1963, 1967). Phospholipids, principally lecithin, are also major components of bile. In the lumen of

the small intestine, pancreatic phospholipase catalyzes the hydrolysis of lecithin, forming free fatty acid and lysolecithin. The latter compound also is a potent detergent, which acts with the bile salts to disperse and solubilize lipids in the aqueous micellar phase of the intestinal contents.

C. Synthesis of Bile Acids

The primary bile acids (BA) are C-24 carboxylic acids synthesized by the liver from cholesterol. BA synthesis is the major end-stage pathway for cholesterol metabolism (Danielsson, 1963). Cholic acid $(3\alpha,7\alpha,12\alpha$ -trihydroxy- 5β -cholanoic acid) (CA) and chenodeoxycholic acid $(3\alpha,7\alpha$ -dihydroxy- 5β -cholanoic acid) (CDCA) are the primary BA synthesized by most species of domestic animals. In swine, CDCA is hydroxylated at the 6α position by the liver to yield hyocholic acid (HCA), which is a major primary BA in this species.

BA are secreted as amino acid conjugates of either glycine or taurine. Taurine conjugates predominate in the dog, cat, and rat. In the rabbit, the conjugating enzyme system appears to be almost completely specific for glycine (Bremer, 1956). Both taurine and glycine conjugates are present in ruminants. In the newborn lamb, 90% of the bile acids are conjugated with taurine. As the lamb matures, glycine conjugates increase to reach one-third of the total BA in mature sheep (Peric-Golia and Socic, 1968).

Under normal conditions, only conjugated BA are present in the bile and in the contents of the proximal small intestine. In the large intestine, the conjugated BA are hydrolyzed rapidly by bacterial enzymes so that in the contents of the large intestine and in the feces, free or unconjugated BA predominate. Several genera of intestinal bacteria, including clostridium, enterococcus, bacteroides, and lactobacillus, are capable of splitting the amide bonds of conjugated BA.

Intestinal bacteria also modify the basic structure of the BA. One such reaction is the removal of the α -hydroxyl group at the 7 position of CA or CDCA. These bacterial reactions yield the secondary BA, deoxycholic acid (DCA), and lithocholic acid (LCA) (Gustafsson *et al.*, 1957). LCA is relatively insoluble and is not reabsorbed to any great extent (Gustafsson and Norman, 1962). DCA is reabsorbed from the large intestine in significant quantities and is either rehydroxylated by the liver to CA and secreted (Lindstedt and Samuelsson, 1959) or secreted as the conjugated DCA. The extent to which bacteria transform the primary BA depends on the nature of the diet, the composition of the intestinal microflora, and the influences of these and other factors on intestinal motility (Gustafsson, 1969; Gustafsson *et al.*, 1966; Gustafsson and Norman, 1969).

D. Enterohepatic Circulation of Bile Acids

The enterohepatic circulation begins as conjugated BA enter the duodenum and mix with the intestinal contents, forming emulsions and micellar solutions. The BA are not absorbed in significant amounts from the lumen of the proximal small intestine. Absorption occurs primarily in the ileum (Lack and Weiner, 1961, 1966; Weiner and Lack, 1962) where an active transport process has been demonstrated (Dietschy et al., 1966). The absorbed conjugated BA pass unaltered into the portal circulation (Playoust and Isselbacher, 1964) and return to the liver, where the cycle begins again. This arrangement provides for optimal concentrations of BA in the proximal small intestine where fat digestion occurs and then for efficient absorption after these functions have been accomplished. Absorption of unconjugated BA from the large intestine accounts for 3% to 15% of the total enterohepatic circulation (Weiner and Lack, 1968).

In dogs, the total BA pool was estimated to be 1.1 to 1.2 g. The half-life of the bile acids in the pool ranged between 1.3 and 2.3 days, and the rate of hepatic synthesis was 0.3 to 0.7 g/day. Because the daily requirement for bile acids greatly exceeds the normal synthetic rate, the repeated reutilization of the BA is facilitated by the enterohepatic circulation. Under steady-state conditions, the total BA pool passes through the enterohepatic circulation approximately 10 times each day.

The size of the BA pool depends on the diet, the rate of hepatic synthesis, and the efficiency of the enterohepatic circulation. Surgical removal of the ileum in dogs interrupts the enterohepatic circulation, thereby increasing the BA turnover and reducing the size of the BA pool (Playoust *et al.*, 1965). In diseases of the ileum, there may be defective BA reabsorption and a bile salt deficiency. If the deficiency is severe, the utilization of dietary fat may be impaired, resulting in steatorrhea and impaired absorption of the fat-soluble vitamins.

V. EXOCRINE PANCREATIC SECRETIONS

The exocrine pancreas is an acinus gland with a general structure that is similar to the salivary glands. The cytoplasm of the secretory cells contains numerous zymogen granules, which vary in size and number depending on the activity of the gland. These granules contain the precursors of the hydrolytic enzymes responsible for digestion of the major components of the diet. Cells of the terminal ducts appear to secrete the HCO³⁻ responsible for neutralizing the HCl, which enters the duodenum from the stomach.

A. Composition of Pancreatic Juice

1. Electrolyte Composition

The cation content of pancreatic secretion is similar to that of plasma, Na⁺ being the predominant cation and the concentrations of K⁺ and Ca²⁺ being much lower. A unique

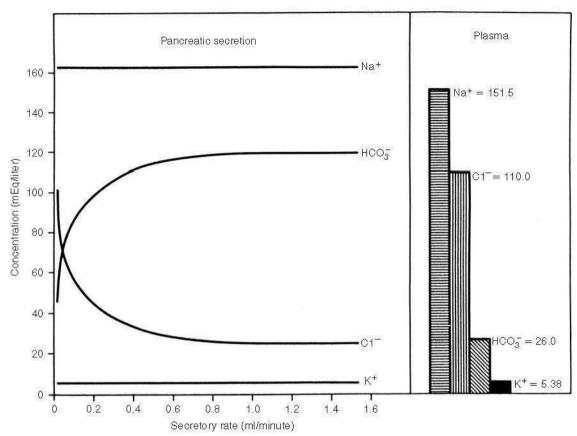


FIGURE 14-4 Influence of secretory rate on the electrolyte composition of canine pancreatic juice. From Bro-Rasmussen et al. (1956).

characteristic of pancreatic fluid is its high HCO_3^- concentration and alkaline pH. In the dog, the pH ranges from 7.4 to 8.3, depending on HCO_3^- content. The volume of pancreatic secretion is directly related to its HCO_3^- content, and the pH increases and CI^- concentration decreases as the rate of flow increases. The Na^+ and K^+ concentrations and osmolality appear to be independent of the secretory rate (Fig. 14-4).

2. α-Amulase

The amylase produced by the pancreas catalyzes the specific hydrolysis of α -1,4-glucosidic bonds, which are present in starch and glycogen (α -1,4-glycan-4-glycan hydrolase). Pancreatic amylase appears to be essentially identical to the amylase of saliva. It is a calcium-containing metalloenzyme. Removal of calcium by dialysis inactivates the enzyme and markedly reduces the stability of the apoenzyme. Pancreatic amylase has an optimal pH for activity of 6.7 to 7.2 and is activated by Cl⁻.

After synthesis of pancreatic α -amylase in the ribosomes, the enzyme is transferred from the endoplasmic reticulum to cytoplasmic zymogen granules for storage. It is secreted in active form upon stimulation of the acinar cells. Newborn calves and pigs secrete amylase at a significantly lower rate than mature animals. The rate of synthesis

is also influenced by diet. Animals fed a high-carbohydrate diet synthesize amylase at several times the rate of animals on a high-protein diet.

Unbranched α -1,4-glucosidic chains, such as those found in starch, are hydrolyzed in two steps. The first is rapid and results in formation of the maltose and maltotriose. The second step is slower and involves hydrolysis of maltotriose into glucose and maltose. Polysaccharides such as amylopectin and glycogen contain branched chains with both α -1,4- and α -1,6-glucosidic linkages. When α -amylase attacks these compounds, the principal products are maltose (α -1,4-glycosidic bond), isomaltose (α -1,6-glucosidic bond), and small amounts of glucose. Final hydrolysis of the maltose and isomaltose occurs at the surface of the mucosal cell, where the enzymes maltase and isomaltase are integral parts of the microvillous membrane.

3. Proteolytic Enzymes

The proteolytic enzymes of the pancreas are responsible for the major portion of protein hydrolysis, which occurs within the lumen of the gastrointestinal tract. The pancreas secretes two types of peptidases. Trypsin, chymotrypsin, and elastase are endopeptidases that attack peptide bonds along the polypeptide chain to produce smaller peptides.

TABLE 14-4 Relationships among the Activities of Pancreatic Endopeptidases and Exopeptidases

Enzyme	Type	Activity
Trypsin	Endopeptidase	Produces peptides with C-terminal basic amino acids
Carboxypeptidase B	Exopeptidase	Removes C-terminal basic amino acids
Chymotrypsin	Endopeptidase	Produces peptides with C-terminal aromatic amino acids
Elastase	Endopeptidase	Produces peptides with C-terminal nonpolar amino acids
Carboxypeptidase A	Exopeptidase	Removes C-terminal aromatic and nonpolar amino acids

The exopeptidases attack either the carboxy-terminal or amino-terminal peptide bonds, releasing single amino acids. The principal exopeptidases secreted by the pancreas are carboxypeptidases A and B. The endopeptidases and exopeptidases act in complementary fashion (Table 14-4), ultimately producing free amino acids or very small peptides. The free amino acids are absorbed directly, and the small peptides are further hydrolyzed by the aminopeptidases of the intestinal mucosa.

The pancreatic peptidases are secreted as the inactive proenzymes (zymogens), trypsinogen, chymotrypsinogen, and the procarboxypeptidases A and B. Trypsinogen is converted to active trypsin in two ways. At alkaline pH, trypsinogen can be converted autocatalytically to trypsin. The activated enzyme is then capable of converting more zymogen to active enzyme. Trypsinogen also can be activated by the enzyme enterokinase, which is produced by duodenal mucosa. The latter reaction is highly specific in that enterokinase will activate trypsinogen but not chymotrypsinogen. Chymotrypsinogen, proelastase, and the procarboxypeptidases A and B are converted to active enzymes by the action of trypsin.

The amino acid sequences and other structural characteristics of bovine trypsinogen and chymotrypsinogen have been determined (Brown and Hartley, 1966; Hartley et al., 1965; Hartley and Kauffman, 1966). The polypeptide chain of trypsinogen contains 229 amino acid residues. Activation of trypsinogen occurs with hydrolysis of a single peptide bond located in the 6 position between lysine and

isoleucine. As the C-terminal hexapeptide is released, enzyme activity appears along with a helical structure of the parent molecule. Chymotrypsinogen A is composed of 245 amino acid residues and has numerous structural similarities to trypsinogen. Activation of the chymotrypsinogen also occurs with cleavage of a single peptide bond.

4. Lipase

The pancreas produces several lipolytic enzymes with different substrate specificities. The most important of these from a nutritional viewpoint is the lipase responsible for hydrolysis of dietary triglyceride. This enzyme has the unique property of requiring an oil-water interface for activity so that only emulsions can be effectively attacked. The principal products of lipolysis are glycerol, monoglycerides, and fatty acids. The monoglycerides and fatty acids accumulate at the oil-water interface and can inhibit lipase activity. Transfer of these products from the interface to the aqueous phase is favored by HCO_3^- secreted by the pancreas and by the bile salts.

Two other carboxylic ester hydrolases have been characterized in pancreatic secretion. Both enzymes have an absolute requirement for bile salts, in contrast to glycerol ester hydrolase, which is actually inhibited by bile salts at pH 8. One of the enzymes requiring bile salts is a sterol ester hydrolase responsible for hydrolysis of cholesterol esters, and the other enzyme hydrolyzes various water-soluble esters. The pancreas also secretes phospholipase A, which in the presence of bile converts lecithin to lysolecithin, an effective detergent that contributes to the emulsification of dietary fat.

B. Control of Pancreatic Secretions

1. Hormonal Control

Pancreatic secretion is controlled and coordinated by both neural and endocrine mechanisms. When ingesta or HCl enters the duodenum, the hormone secretin, which is produced by the duodenal mucosa, is released into the circulation. Secretin increases the volume, pH, and HCO_3^- concentration of the pancreatic secretion.

Secretin is a polypeptide hormone containing 27 amino acid residues, and all 27 amino acids are required to maintain the helical structure of the molecule and its activity (Bodanszky *et al.*, 1969). The C-terminal amide of secretin is a property shared with other polypeptide hormones such as gastrin and vasopressin, which act on the flow of water in biological systems (Mutt and Jorpes, 1967). In addition to its effects on the pancreas, secretin also increases the rate of bile formation.

The secretin-stimulated pancreatic juice has a large volume, high HCO₃ concentration but a low enzyme activity.

Hormone	Source	Action
Gastrin	G cells of pyloric antrum	Gastric acid secretion
Secretin	S cells of duodenum and jejunum	Pancreatic fluid and HCO3 secretion, bile secretion
Cholecystokinin (pancreozymin)	Duodenal and jejunal mucosa; myenteric plexus	Pancreatic enzyme secretion, gallbladder contraction, and sphincter of Oddi relaxation
Somatostatin	D cells of pancreas, CNS, gastric and intestinal mucosa	Inhibits effect of gastrin on gastric secretion, inhibits pancreatic enzyme secretion, stimulates ileal water and NaC
Enteroglucagon L cells of small intestine, canine Control of intestinal cell growth stomach		Control of intestinal cell growth
Gastric inhibitory polypeptide	Duodenal and jejunal mucosa	Inhibits gastric secretion and stimulates intestinal secretion
Motilin	Upper small intestinal mucosa	Stimulates gastrointestinal motility

Stimulation of the vagus nerve causes a significant rise in pancreatic enzyme concentration. This type of response also is produced by cholecystokinin (pancreozymin), another polypeptide hormone produced by the duodenal mucosa, which also causes contraction of the gallbladder. The C-terminal pentapeptide of cholecystokinin-pancreozymin is exactly the same as that of gastrin. This fascinating relationship suggests that gastrin and cholecystokinin-pancreozymin participate in some integrated yet poorly understood system of digestive control.

Several molecular forms of cholecystokinin (CCK) exist (Ward and Washabau, 2005). CCK-33, CCK-39, and CCK-59 are the predominant forms that account for most of the gastrointestinal hormone responses. Endocrine cells in the duodenum and jejunum secrete CCK in response to intraduodenal fatty acids, amino acids, and H⁺ ion. CCK-8 is particularly important in cats. Intraluminal distension will activate CCK-8 containing neurons, resulting in acetylcholine release from the myenteric plexus and subsequent peristaltic reflexes in the ileum and colon. CCK-8 neurons in the brain are involved in mediating the satiety response following eating.

VI. OTHER GASTROINTESTINAL HORMONES

A large number of polypeptides have been isolated from the gastrointestinal mucosa and have been classified as gut hormones (Table 14-5). Some of these substances have not yet met all the rigid physiological requirements of true hormones. Some may have paracrine rather than endocrine activities—that is, their actions are on cells and tissues in the immediate vicinity of the cells of origin rather than being released into the vascular system.

A. Motilin

Motilin is a polypeptide containing 22 amino acids that was originally isolated from porcine duodenal mucosa (Brown et al., 1971). The amino acid composition and sequence have been described (Brown et al., 1972, 1973). Immunoreactive motilin has been found in the enterochromaffin cells of the duodenum and jejunum of several species (Polak et al., 1975), and, by means of radioimmunoassay, motilin has been identified in the plasma of dogs (Dryburgh and Brown, 1975). Motilin has been shown to stimulate pepsin output and motor activity of the stomach (Brown et al., 1971) and to induce lower esophageal sphincter contractions (Jennewein et al., 1975). Studies by Itoh et al. (1978) suggest that motilin plays an important role in initiating interdigestive gastrointestinal contractions, which are referred to as the interdigestive motility complex or the migrating motility complex (MMC).

The cyclic release of motilin from the intestinal mucosa coordinates gastric, pancreatic, and biliary secretions with phase III of the MMC (Ward and Washabau, 2005). Erythromycin has been shown to induce an MMC similar to motilin and, along with other macrolide-like antibiotics, might be useful in selected cases with motility disorders.

B. Somatostatin

Somatostatin, which is named for its activity of inhibitory release of growth hormone from the pituitary gland, has been purified from ovine and bovine hypothalamus. The hypothalamic hormone is composed of 14 amino acids. Somatostatin also has been demonstrated in the stomach, pancreas, and intestinal mucosa in concentrations higher than in the brain (Pearse *et al.*, 1977). Somatostatin from porcine intestine has been isolated and sequenced, and it

contains 28 amino acids and apparently is a prohormone (Pradayrol *et al.*, 1980). Somatostatin is a potent inhibitor of insulin and glucagon release. It also inhibits gastrin release and gastric acid secretion (Barros D'Sa *et al.*, 1975; Bloom *et al.*, 1974), apparently acting independently on parietal cells and on G cells. These and a variety of other physiological effects suggest that somatostatin has important gastrointestinal regulatory functions.

C. Enteroglucagon

Enteroglucagon is the hyperglycemic, glycogenolytic factor isolated from the intestinal mucosa. It occurs in two forms, one a 3.5 kd form and another somewhat larger (Valverde et al., 1970). Enteroglucagon differs from pancreatic glucagon biochemically, immunologically, and in its mode of release. The physiological function of enteroglucagon is not known, but its release from the mucosa following a meal and the associated increase in circulating blood levels have suggested a regulatory role on bowel function (Pearse et al., 1977). Enteroglucagon also differs significantly from the glucagon produced by the A cells of the gastric mucosa of the dog (Sasaki et al., 1975). Canine gastric glucagon is biologically and immunochemically identical to pancreatic glucagon. Gastric glucagon appears to be unique to the dog, similar activity not being observed in the stomach of the pig or the abomasum of cattle and sheep.

VII. DIGESTION AND ABSORPTION

A. Water and Electrolytes

1. Mechanisms of Mucosal Transport

The microvillous membrane of the intestinal mucosa, because of its lipid composition, acts as a barrier to water and watersoluble substances. Water and polar solutes penetrate the mucosa in one of three ways. They may pass through aqueous pores or channels that connect the luminal surface of the cell with the apical cytoplasm, they may attach to membrane carriers that facilitate passage through the lipid phase of the mucosal cell membrane, or they may pass paracellularly through tight junctions (shunt pathway). Transport of water and water-soluble compounds is influenced by the permeability characteristics of the limiting membrane and by the nature of the driving forces that provide energy for transport. Passive movement occurs either by simple diffusion or as a result of concentration gradients (activity), pH, osmotic pressure, or electrical potential that may exist across the membrane. The movement of an ion in the direction of an electrochemical gradient is considered passive in nature. Active transport is said to occur when a substance moves in a direction opposite that of an established electrochemical gradient.

Most water-soluble compounds, such as monosaccharides and amino acids, cannot diffuse across the intestinal mucosal membrane at rates that are adequate to meet nutritional requirements. The transport of these nutrients requires membrane carriers, which are integral parts of the membrane and their binding is highly specific. Carriermediated transport systems can be saturated and competitively inhibited by related compounds.

Three types of carrier transport mechanisms are recognized (Curran and Schultz, 1968). (1) Active transport, as stated previously, involves movement of electrolytes against an electrochemical gradient. In the case of nonelectrolytes such as glucose, active transport is defined as movement against a concentration gradient. Active transport requires metabolic energy and is inhibited by various metabolic blocking agents or by low temperature. (2) Facilitated diffusion occurs when the passive movement of a substance is more rapid than can be accounted for by simple diffusion. Facilitated diffusion systems may increase the rate of movement across the membrane by two or three orders of magnitude. The responsible carrier mechanism is similar to that involved in active transport in that it displays saturation kinetics, may be inhibited competitively, and is temperature dependent. However, transport does not occur against concentration or electrochemical gradients, and direct expenditure of energy is not required. (3) Exchange diffusion is a transfer mechanism similar to facilitated diffusion and was postulated originally to explain the rapid transfer of radioactive Na⁺ across epithelial cell membranes in vitro. The mechanism involves the exchange of one ion for another of like charge (e.g., Na⁺ and H⁺ or Cl⁻ and HCO₃⁻), not giving rise to net transport but contributing in a major way to unidirectional flux rate.

In the intestine, net water absorption is the result of bulk flow through pores. Diffusion in the usual sense plays no important role in water movement. When bulk flow of water occurs, it is possible for solutes to move across the membrane in the direction of flow by a phenomenon called *solvent drag*. The effect of solvent drag on the transport of a given solute depends on the rate of volume flow and on the reflection coefficient, an expression of the relationship between the radius of membrane pores and the radius of the solute molecule being transported. By means of solvent drag, it is possible for a solute such as urea to be transported by the intestine against a concentration gradient (Hakim and Lifson, 1964).

2. Sodium and Chloride Absorption

Na⁺ and Cl⁻ are the major ions in the fluid that are transported by the intestine during absorption or secretion, and under most conditions, transport of these two ions is coupled. The transport of water and electrolytes by the intestinal mucosa is a dynamic process, with rapid unidirectional fluxes of both occurring continuously. Net absorption occurs when the flow from lumen to plasma exceeds that from plasma to lumen. Active transport of Na⁺ can occur along

the entire length of the intestine, but the rate and net absorption is greatest in the ileum and colon. Na+ transport is by an energy-requiring "sodium pump" mechanism that is intimately associated with the Na+-K+-ATPase located within the basolateral cell membrane of the absorptive epithelial cell. Three mechanisms exist for the entry of Na+ at the brush border: (1) electrodiffusion down a concentration gradient, (2) cotransport of electrolytes that either enter (Cl⁻) or exit (H⁺) the cell as Na⁺ enters, and (3) Na⁺ entry coupled with organic nonelectrolytes (glucose, amino acids). Current evidence suggests that in the absence of the absorption of nonelectrolytes, electroneutral uptake accounts for most NaCl absorption. At the brush border, Na+ enters down a concentration gradient but exits at the basolateral cell surface against a substantial gradient. Maintenance of the transmembrane Na⁺ gradient by the Na pump requires continual metabolism and generation of ATP. The Na+-K+-ATPase can be inhibited by cardiac glycosides such as ouabain, which are effective inhibitors of Na+ transport. The Na+ gradient ultimately serves as an energy source for transport of other solutes (Schultz and Curran, 1970).

In the jejunum, net absorption of sodium occurs slowly unless nonelectrolytes, such as glucose or amino acids, are absorbed simultaneously. In the ileum, Na⁺ absorption is independent of glucose absorption. Net water absorption in the jejunum is almost entirely dependent on the absorption of glucose and other nonelectrolytes, whereas absorption from the ileum is unaffected by glucose. The differential effect of glucose on absorption from the jejunum and ileum is the result of fundamental differences in electrolyte transport mechanisms in these two regions of the intestine.

As Na⁺ is transported across the mucosa, an equivalent amount of anion must be transported to maintain electrical neutrality. A major fraction of Cl⁻ absorption can be accounted for by passive cotransport with Na⁺. Under certain circumstances, Cl⁻ enters the cell in exchange for HCO₃⁻.

3. Potassium Absorption

Dietary K^+ is absorbed almost entirely in the proximal small intestine. Absorption across the intestinal mucosa occurs down a concentration gradient (high luminal concentration to a low concentration in plasma). The intestinal fluid reaching the ileum from the jejunum has a K^+ concentration and a Na^+/K^+ ratio that are similar to plasma. In the ileum and colon, the rate of Na^+ absorption is much greater than that of K^+ so that, under normal conditions, the Na^+/K^+ ratio in the feces is much lower than that of plasma, approaching a ratio of 1.

4. Water Absorption

The absorption of water has been one of the most extensively studied aspects of intestinal transport. Water movement is the result of bulk flow through membranous pores, and simple diffusion plays only a minor role. The question

of whether water is actively or passively transported has been the subject of considerable controversy, and the controversy itself points to the fundamental difficulties that arise in trying to establish a definition of active transport. Hypertonic saline solutions can be absorbed from canine intestine in vivo and from canine and rat intestine in vitro. These observations indicate that water absorption can occur against an activity gradient and that the process is dependent on metabolic energy. This suggests that an active transport process is involved, but Curran (1965) presented an alternate interpretation, which is now generally accepted. This view is that water transport occurs secondarily to active solute transport and is the result of local gradients established within the mucosal membrane. Water transport is then coupled to the energy-dependent processes responsible for solute transport but is one step removed from it.

In the dog and probably other carnivores, the ileum is the main site of net $\mathrm{Na^+}$ and water absorption. In the dog, the colon accounts for no more than perhaps 20% of the total. In herbivorous animals that have a well-developed large intestine, there may actually be a net secretion of water within the small intestine during digestion. For example, in the guinea pig (Powell *et al.*, 1968) and horse (Argenzio, 1975), all net absorption of water takes place in the cecum and colon.

Vasoactive intestinal polypeptide (VIP) and acetylcholine have an important role in fluid and electrolyte balance (Hall and German, 2005). As mediators of secretion, they increase intracellular calcium and cyclic adenosine monophosphate (cAMP), inhibit neutral sodium and chloride absorption, and facilitate transcellular chloride efflux. Some bacterial infections result in diarrhea because of an increase in cAMP; functional tumors of VIP-producing cells can also produce diarrhea. Noradrenaline, somatostatin, and opioids, which are the important regulators of absorption, lower intracellular cAMP and calcium concentrations and stimulate neutral NaCl absorption. For these reasons, they can have antidiarrheal effects.

B. Carbohydrate Digestion and Absorption

1. Polysaccharide Digestion

a. Starch and Glycogen

Carbohydrate is present in the diet primarily in the form of polysaccharides. The most common polysaccharides are starch, glycogen, and cellulose. Starch and glycogen are composed of long chains of glucose molecules linked together by repeating α -1,4-glucosidic bonds. Branch points of the chains are linked by α -1,6-glucosidic bonds. In those species that secrete salivary amylase, digestion of starch and glycogen begins in the mouth when this enzyme mixes with food. The action of salivary amylase is interrupted in the stomach, however, because of the low pH of the gastric secretion.

Starch digestion begins again in the proximal small intestine with the highly specific action of pancreatic amylase

Enzyme	Substrate	Product	Reference Alpers (1969), Forstner et al. (1968)	
Lactase	Lactose	Glucose, galactose		
Sucrase	Sucrose; 1,4% dextrins	Glucose, fructose, residual 1,6-oligosaccharides	Gray et al. (1979)	
Isomaltase	1,6% Dextrins	Glucose	Gray et al. (1979), Rodriguez et al. (1984	
%-Limit dextrinase	1,6% Dextrins	Glucose	Taraval et al. (1983)	
Trehalase	Trehalose	Glucose	Eichholtz (1967), Nakano et al. (1977)	
Enterokinase	Trypsinogen	Trypsin	Grant and Herman-Taylor (1976)	
Aminopeptidase A	Acidic amino-terminal amino acids	Acidic amino acids	Benajiba and Maroux (1980)	
Aminopeptidase N	Neutral amino-terminal amino acids	Neutral amino acids	Kim and Brophy (1976), Erickson et al. (1983)	
(-Glutamyl transferase)	Peptides with (-glutamyl bonds)	(-Glutamyl amino acids)	Benajaba and Maroux (1980), Hughey and Curthoys (1976)	
Alkaline phosphatase Phosphate esters		Inorganic phosphate	Eichholz (1967), Forstner et al. (1968)	

on α -1,4-glucosidic bonds. This enzyme catalyzes a series of stepwise hydrolytic reactions, resulting in formation of the principal end products of starch digestion, the disaccharides maltose and isomaltose, and small amounts of glucose. Glucose is absorbed directly by the intestinal mucosa and transported to the portal vein. Enzymes of the intestinal cell brush border hydrolyze the disaccharides further.

b. Cellulose

Cellulose, like starch, is a polysaccharide of glucose but differs from starch in that the glucose molecules are linked by β -1,4-glucosidic bonds. All species can utilize starch, but only animals that have extensive bacterial fermentation within the gastrointestinal tract utilize cellulose indirectly as a significant source of energy. Ruminant species digest cellulose most efficiently, but other animals in which the large intestine is well developed (e.g., the horse) also utilize cellulose as an important energy source.

In ruminants, hydrolysis of cellulose is accomplished by cellulitic bacteria, which are part of the complex rumen microflora. The primary end products of cellulose fermentation are short-chain fatty acids: acetic, propionic, and butyric acids. These are absorbed directly from the rumen and serve as the major source of energy for ruminants. Propionic acid is the major precursor for carbohydrate synthesis in mature ruminants.

2. Disaccharide Digestion

Maltose and isomaltose are the disaccharides (glucose-glucose) produced as end products of starch digestion.

The diet also may contain lactose (galactose-glucose) and sucrose (fructose-glucose). There is general agreement that disaccharide digestion is completed at the surface of the cell by disaccharidases (Gray, 1975), which are components of the brush border (Table 14-6).

The disaccharidases have been solubilized from the brush border and partially purified. Sucrase and isomaltase have been purified together as a two-enzyme complex (Gray *et al.*, 1979; Kolinska and Semenza, 1967), and this enzyme complex accounts for the total hydrolysis of the products of amylase digestion (Gray *et al.*, 1979; Rodriguez *et al.*, 1984). The mutual mucosa contains two enzymes with lactase activity. One of these is a nonspecific β -galactosidase that hydrolyzes synthetic β -galactosides effectively but hydrolyzes lactose at a slow rate. This enzyme has an optimal pH of 3 and is associated with the lysosomal fraction of the cell. The other lactase hydrolyzes lactose readily, is associated with the brush border fraction of the cell, and is the enzyme of primary importance in the digestive process (Alpers, 1969).

Maltase, isomaltase, and sucrase are almost completely absent from the intestine in newborn pigs (Dahlqvist, 1961) and calves. The activity of these disaccharidases increases after birth and reaches adult levels during the first months of life. Lactase activity is highest at birth and decreases gradually during the neonatal period. The relatively high lactase activity may be an advantage to the newborn in utilizing the large quantities of lactose present in their diets. Bywater and Penhale (1969) demonstrated lactase deficiency following acute enteric infections and suggested that lactose utilization may be decreased in such cases.

3. Monosaccharide Transport

a. Specificity of Monosaccharide Transport

Regardless of whether monosaccharides originate in the lumen of the intestine or are formed at the surface of the mucosal cell, transport across the mucosa involves processes that have a high degree of chemical specificity. Glucose and galactose are absorbed from the intestine more rapidly than other monosaccharides. Fructose is absorbed at approximately half the rate of glucose, and mannose is absorbed at less than one-tenth the rate of glucose (Kohn *et al.*, 1965).

Glucose and galactose can be absorbed against a concentration gradient. The monosaccharides that are transported most efficiently against gradients have common structural characteristics: (1) the presence of a pyranose ring, (2) a carbon atom attached to C-5, and (3) a hydroxyl group at C-2 with the same stereoconfiguration as D-glucose, but these features are not absolute requirements. Both D-xylose, which has no substituted carbon atom at C-5, and D-mannose, which lacks the appropriate hydroxyl configuration at C-2, can be transported against concentration gradients under specific experimental conditions (Alvarado, 1966b).

Glucose transport is competitively inhibited by galactose (Fisher and Parsons, 1953) and by a variety of substituted hexoses that compete with glucose for carrier binding sites. The glucoside phlorizin is a potent inhibitor (Alvarado and Crane, 1962; Parsons *et al.*, 1958). Phlorizin also competes for binding sites but has a much higher affinity for these sites than does glucose.

The absorptive surface of the mucosal cell is the microvillous membrane, or brush border. It is through this part of the plasma membrane that glucose must pass during the initial phase of mucosal transport. Techniques have been developed for isolating highly purified preparations of microvillous membranes from mucosal homogenates (Forstner et al., 1968). Faust et al. (1967) studied the binding of various sugars to these isolated membrane fractions. They found that D-glucose was bound by the membrane preferentially to L-glucose or to D-mannose and that glucose binding was completely inhibited by 0.1mM phlorizin. The specificity of their observations suggested that binding represented an initial step in glucose transport, namely, attachment to a membrane carrier.

b. Sodium Requirement

The absorption of glucose and other monosaccharides is influenced significantly by Na⁺ (Kimmich, 1973; Schultz and Curran, 1970). When Na⁺ is present in the solution bathing the intestinal mucosa, glucose is absorbed rapidly, but when Na⁺ is removed and replaced by equimolar amounts of other cations, glucose absorption virtually stops (Bihler and Crane, 1962; Bihler *et al.*, 1962; Csaky, 1961; Riklis and Quastel, 1958). Glucose absorption is inhibited by ouabain, digitalis, and other cardiac glycosides that are also inhibitors of Na⁺-K⁺-ATPase activity and Na⁺ transport

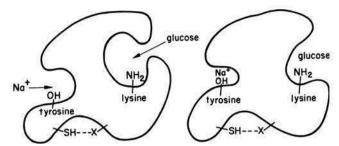


FIGURE 14-5 Model of a Na⁺-activated glucose carrier of the intestinal brush border. (From Wright and Peerce, 1985).

(Csaky and Hara, 1965; Schultz and Zalusky, 1964). These observations demonstrate the close relationship between the transport of glucose and Na⁺.

c. Characteristics of the Na⁺-Glucose Transporter (Carrier)

The concentrative step in the active transport of glucose occurs at the brush border membrane, and energy for this process is derived from an electrochemical Na⁺ gradient (Schultz, 1977; Schultz and Curran, 1970). Under conditions of net influx, Na+ and glucose enter in a ratio of 1:1 (Goldner et al., 1969; Hopfer and Groseclose, 1980). Cotransport of glucose and Na⁺ involves a membrane transporter or carrier that is believed to be a 75-kd polypeptide (Wright and Peerce, 1985). Na⁺ activates glucose transport primarily by increasing the affinity of the carrier for glucose. A model showing two hypothetical forms of the glucose carrier is presented in Figure 14-5. A galent channel or pore mechanism has been proposed in which the glucose binding site is located within the membrane. The translocation of glucose in this model is believed to be the result of a Na⁺-induced conformational change in the transporter (Semenza et al., 1984).

C. Proteins

1. Enzumatic Hudrolysis

The initial step in protein digestion is the enzymatic hydrolysis of peptide bonds by proteases with formation of smaller peptides and amino acids. The endopeptidases hydrolyze peptide bonds within the protein molecule and also hydrolyze certain model peptides. Exopeptidases hydrolyze either the carboxy-terminal (carboxypeptidase) or the amino-terminal (aminopeptidase) amino acids of peptides and certain proteins. Thus, a mixture of exopeptidases and endopeptidases cleaves long chain polypeptides from the ends as well as within the length of the chain resulting in sequentially shorter and shorter polypeptide chains and amino acids.

Dietary proteins first come in contact with proteolytic enzymes in the stomach. The best known of the gastric proteases is the family of pepsins (Samloff, 1971), which hydrolyze most proteins with the exception of keratins, protamines, and mucins. Pepsins are relatively nonselective and hydrolyze peptide bonds involving many amino acids, the most readily hydrolyzed of which involve leucine, phenylalanine, tyrosine, and glutamic acid.

The extent of proteolysis in the stomach depends on the nature of the dietary protein and the duration of time the protein remains in the stomach. The food bolus mixed with saliva has a neutral or slightly alkaline pH as it enters the stomach, and a period of time is required for it to mix with gastric secretions and become acidified. Proteolytic digestion begins when the pH of the gastric contents approaches 4 and occurs optimally in two pH ranges, 1.6 to 2.4 and 3.3 to 4 (Taylor, 1959a, 1959b). Because of the relative lack of specificity of the pepsins, some peptide bonds of almost all dietary proteins are split during passage through the stomach. The gastric phase of protein digestion may have a minor and possibly dispensable role in overall protein assimilation (Freeman and Kim, 1978), but the reservoir function of the stomach contributes to the gradual release of nutrients, ensuring more efficient utilization in the small intestine.

Partially digested peptides pass from the stomach to the duodenum, where the acidic contents are neutralized by sodium bicarbonate present in bile and pancreatic juice. Peptic activity persists in the duodenum only during the period required to raise the pH above 4. The major peptidases that are active within the lumen of the small intestine are the pancreatic enzymes trypsin, chymotrypsin, elastase, and carboxypeptidases A and B. The action of these enzymes is integrated so that the endopeptidases produce peptides with C-terminal amino acids, which then become substrates for the exopeptidases. Trypsin produces peptides with basic C-terminal amino acids that are particularly suited for the action of carboxypeptidase B. Chymotrypsin produces peptides with aromatic amino acids in the C-terminal position, and elastase produces peptides with C-terminal amino acids that are nonpolar. Carboxypeptidase A hydrolyzes both types of C terminal peptide bonds (Table 14-4).

The final steps in peptide digestion are associated with mucosal epithelial cells. Almost all of the aminopeptidase activity is associated with the mucosa, and very little activity is present in luminal contents. Mucosal aminopeptidase activity is located both in the cytosol and in the brush border membrane fractions of the epithelial cell (Heizer and Laster, 1969; Kim et al., 1972). These physically separate enzymes have remarkably different substrate specificities (Kim et al., 1974). The brush border enzyme has more than 50% of the activity for tripeptides yet less than 10% of the total activity for dipeptides relative to the cytosolic enzyme(s) (Kim et al., 1972; Peters, 1970). Almost all activity for tetrapeptides is present in the brush border (Freeman and Kim, 1978). Proline-containing peptides are hydrolyzed almost exclusively by cytosolic peptidases, whereas leucine aminopeptidase activity is located primarily in the brush border. The brush border peptidases appear

to have digestive functions similar to the disaccharidases and oligosaccharidases of the brush border. Endopeptidase activity of the intestinal mucosa is associated primarily within the lysosomal fraction of the cell.

2. Absorption of Proteolytic Products

Despite the long interest in the subject of this section, the relative amounts of the various protein digestion products (i.e., peptides versus amino acids) that are actually absorbed by intestinal mucosal cells during normal digestion remain problematic. It is a difficult process to investigate because the products of proteolysis are absorbed rapidly after they are formed and, therefore, studies of luminal contents give only an estimate of the overall rate of protein digestion. Equally important, dietary protein is continually mixed with endogenous protein in the form of digestive secretions and extruded mucosal cells. Most endogenous proteins are hydrolyzed and the amino acids absorbed in a manner similar to that of dietary protein, and the two processes occur simultaneously. Endogenous protein accounts for a significant part of the amino acids of the intestinal contents. Even when dietary protein is labeled with a radioactive tracer, there is such rapid utilization that the tracer soon reenters the lumen in the form of endogenous protein secretion.

In adult mammals, protein is not absorbed from the intestine in quantities of nutritional significance without previous hydrolysis. Most neonatal animals absorb significant amounts of immunoglobulin and other colostral proteins, but this capacity is lost soon after birth. The intestinal mucosa, however, is not totally impermeable to large polypeptide molecules. The absorption of insulin (MW 5700; Danforth and Moore, 1959; Laskowski *et al.*, 1958), ribonuclease (MW 13,700; Alpers and Isselbacher, 1967), ferritin, and horseradish peroxidase (Warshaw *et al.*, 1971) has been demonstrated.

During the digestion of protein, the amino acid content of portal blood increases rapidly, but attempts to demonstrate parallel increases in peptides in the portal blood have not been uniformly successful. This has been regarded as evidence that only amino acids can be absorbed by the intestinal mucosa and that the absorption of peptides does not occur. Although it seems clear that most dietary protein is absorbed by the mucosal epithelium in the form of free amino acids, peptides also may be taken up by the mucosal cell in quantitatively significant amounts. Peptides so absorbed may be hydrolyzed either at the cell surface or intracellularly, and individual amino acids finally enter the portal circulation via the basolateral cell membrane.

Small peptides, under certain circumstances, may cross the intestinal epithelium intact and enter the portal circulation. Webb (1986) suggested that intact peptide absorption accounted for more than half of luminal amino acid nitrogen in the calf. The amount of peptide nitrogen entering the portal circulation in other species characteristically has

been lower and variable depending on the source of protein and on the digestibility of the peptide being investigated (Gardner, 1984).

3. Transport of Amino Acids

Amino acids, like glucose and certain other monosaccharides, are absorbed and transferred to the portal circulation by active transport processes. The same type of saturation kinetics observed in studies of monosaccharide absorption are observed with amino acids, which suggests the presence of carrier transport mechanisms. Certain monosaccharides inhibit amino acid transport (Newey and Smyth, 1964; Saunders and Isselbacher, 1965), and whereas inhibition generally has been of the noncompetitive type, competitive inhibition between galactose and cycloleucine has been demonstrated (Alvarado, 1966a), which suggests that a common carrier may be involved.

Most amino acids are transported against concentration and electrochemical gradients, and the overall transport process requires metabolic energy. The chemical specificity of these transport mechanisms is shown by the fact that the natural 1-forms of various amino acids are absorbed more rapidly than the corresponding d-forms and that only the 1-amino acids appear to be actively transported. For most transport systems, Na⁺ is necessary for absorption of amino acids as it is for a variety of other nonelectrolyte substances (Gray and Cooper, 1971; Schultz and Curran, 1970).

Separate transport systems exist for different groups of amino acids. Each member of a group inhibits the transport of other members competitively, suggesting that they share the same carrier. There is demonstrable overlap between groups, indicating that the overall transport process is complex (Christensen, 1984, 1985; Stevens *et al.*, 1984). The following is a summary of the designations and substrates of the recognized amino acid transport systems of the intestinal brush border (Stevens *et al.*, 1984):

- The neutral brush border (NBB) pathway is responsible for monoaminomonocarboxylic (neutral) amino acids and histidine. Na⁺ is required, and these amino acids show mutual competition for transport.
- 2. The monoaminodicarboxylic acids (aspartic and glutamic acid) pathway (XGA) requires Na⁺. Aspartic and glutamic acids are not transported against concentration gradients. Following uptake, they are transaminated by the intestinal mucosa and under physiological conditions enter the portal vein as alanine.
- Imino acids (IMINO), proline, hydroxyproline, methylaminoisobutyric acid, and N-substituted glycine derivatives sarcosine (N-methyl glycine) and betaine (N-dimethylglycine). This pathway also has a Na⁺ requirement.
- **4.** Dibasic amino acids (Y⁺), including lysine, arginine, ornithine, and the neutral amino acid cystine.

5. Phenylalanine and methionine share the PHE amino acid transport system.

The ϑ -glutamyl cycle has been proposed as a possible transport system for amino acids (Meister and Tate, 1976). ϑ -Glutamyltransferase (GGT) is a membrane-bound enzyme that is present in a number of mammalian tissues and catalyzes the initial step in glutathione degradation. The 0-glutamyl moiety of glutathione is transferred to amino acid (or peptide) receptors with the production of cysteinylglycine:

$$\begin{array}{c} {\rm GGT} \\ {\rm glutathione} + {\rm amino} \; {\rm acid} \to \vartheta \text{-glutamyl-amino} \; {\rm acid} \\ + {\rm Cys-Gly} \end{array}$$

The highest GGT activity is present in tissues that are known to transport amino acids actively (e.g., the jejunal villus, the proximal convoluted tubule of the kidney and liver). Meister and his colleagues (1976) have suggested that GGT may function in translocation by interaction with extracellular amino acids and with intracellular glutathione. The hypothetical mechanism involves the noncovalent binding of extracellular amino acids to the plasma membrane, whereas intracellular glutathione interacts with GGT to yield a ϑ -glutamyl-enzyme complex. When the ϑ -glutamyl moiety is transferred to the membrane-bound amino acid, a ϑ -glutamyl-amino acid complex is formed, which, when released from the membrane binding site, moves into the cell. The ϑ -glutamyl-amino acid complex is split by the action of ϑ -glutamyl cyclotransferase, an enzyme appropriately located in the cytosol. Glutathione is regenerated by means of the ϑ -glutamyl cycle, which is a good substrate for GGT (Thompson and Meister, 1975).

The ϑ -glutamyl cycle does not require sodium, and the cycle would not explain the previously demonstrated sodium dependence for amino acid transport. The cycle is not considered to be the only amino acid transport system, and its quantitative significance in individual tissues is unknown.

4. Neonatal Absorption of Immunoglobulin

At birth, most domestic species, including the calf, foal, lamb, pig, kitten, and pup, absorb significant quantities of colostral protein from the small intestine. Immune globulin (Ig) either is absent in the serum of domestic species at birth or the level is low. Within a few hours after ingestion of colostrum, the serum Ig levels rise. This represents the principal mechanism by which the young of most domestic animal species acquire maternal immunity. Under normal environmental conditions, ingestion of colostrum is an absolute requirement for health during the neonatal period. The rabbit is the exception in that maternal Ig is received primarily *in utero* by transplacental transfer.

Protein enters the neonatal absorptive cell by pinocytosis and passes through the cell to the lymphatics. The process

is not selective because many proteins other than Ig can be absorbed (Payne and Marsh, 1962). The ability to absorb intact protein is lost by domestic species soon after birth. In the piglet, "closure" occurs within 1 to 2 days (Leary and Lecce, 1978; Westrom et al., 1984) beginning in the duodenum and occurring last in the ileum. In rodents, protein absorption normally continues for approximately 3 weeks. The mechanism of intestinal "closure" was studied, and researchers found that complete starvation of pigs lengthened the period of protein absorption to 4 to 5 days, whereas early feeding shortened the period (Lecce, 1965; Lecce and Morgan, 1962; Leece et al., 1964). Feeding different fractions of colostrum including lactose and galactose resulted in loss of protein absorptive capacity. The route of feeding may not be the critical factor, however. Calves that are prevented from eating but that receive nutrients parenterally lose the ability to absorb protein at the same time as control calves (Deutsch and Smith, 1957).

In the neonatal calf, Ig deficiency resulting from a failure of colostral Ig absorption plays a role in the pathogenesis of Gram-negative septicemia (Gay, 1965; Smith, 1962). Most calves deprived of colostrum develop septicemia early in life and may develop acute diarrhea before death (Smith, 1962; Tennant *et al.*, 1975; Wood, 1955). Hypogammaglobulinemia is almost always demonstrable in calves dying of Gram-negative septicemia and is the result either of insufficient Ig intake or of insufficient intestinal absorption. The Ig fraction is the essential factor in colostrum that protects against systemic infections (Penhale *et al.*, 1971).

Serum immunoglobulin values of neonatal calves vary, and a 10% incidence of hypogammaglobulinemia may occur in clinically normal calves (Braun et al., 1973; House and Baker, 1968; Smith et al., 1967; Tennant et al., 1969; Thornton et al., 1972). Most such individuals probably have insufficient colostrum intake. Even when calves were given the opportunity to ingest colostrum, however, a surprising number were hypogammaglobulinemic. Some of the reasons for varying gammaglobulinemia values are recognized, but the relative importance of each is not known. The concentration of lactoglobulin, the volume consumed (Selman et al., 1971), the time elapsed from birth to ingestion of colostrum (Selman et al., 1971), and the method of ingestion (natural suckling versus bucket feeding) may have an important influence on the serum IgG (McBeath et al., 1971; Smith et al., 1967). Calves that suckle their dams usually attain serum IgG concentrations that are higher than those attained by calves given colostrum from a bucket. The frequency of hypogammaglobulinemia may be influenced by season (Gay et al., 1965b; McEwan et al., 1970a), although this relationship is not consistent (Smith et al., 1967; Thornton et al., 1972). Familial factors also may influence development of hypogammaglobulinemia (Tennant et al., 1969).

Regardless of cause, the mortality of hypogammaglobulinemic calves is higher than that of calves with normal serum IgG levels (Boyd, 1972; Gay, 1965; House and Baker, 1968; McEwan et al., 1970a; Naylor et al., 1977; Thornton et al., 1972). In addition to having more septicemic infections (Gay, 1965; McEwan et al., 1970a; Roberts et al., 1954; Smith, 1962; Wood, 1955), hypogammaglobulinemic calves have a greater prevalence of acute diarrheal disease (Boyd, 1972; Gay et al., 1965a; Naylor et al., 1977; Penhale et al., 1970), which indicates that the local protective effects of Ig in the intestine are important (Fisher et al., 1975; Logan and Penhale, 1971).

The prevalence of hypogammaglobulinemia and the high mortality associated with it has led to the development of several rapid tests for identification of hypogammaglobulinemic calves (Aschaffenburg, 1949; Fisher and McEwan, 1967b; McBeath *et al.*, 1971; Patterson, 1967; Stone and Gitter, 1969). The zinc sulfate turbidity test (Kunkel, 1947) was the first to be used to determine the serum immunoglobulin concentrations of neonatal calves (McEwan *et al.*, 1970b). A close correlation has been established between test results and the amount of serum IgG and IgM (Fisher and McEwan, 1967b, 1967b; McEwan *et al.*, 1970b).

The sodium sulfite turbidity test is similar to the zinc sulfate test and also has been used to identify hypogam-maglobulinemic calves (Pfeiffer and McGuire, 1977; Stone and Gitter, 1969). Failure of turbidity to develop when serum is added to a saturated solution of sodium sulfite indicates immunoglobulin deficiency. A semiquantitative assessment of the Ig concentration is made by grading the degree of turbidity (Stone and Gitter, 1969).

The refractometer is used as a rapid test for Ig deficiency (Boyd, 1972; McBeath *et al.*, 1971). There is a close relationship between the concentration of IgG and total serum protein (TSP) in neonatal calves (Tennant *et al.*, 1969), and the wide variations in TSP were due to variations in IgG. Direct linear correlation between the refractive index (RI) and the Ig concentration has also been observed (McBeath *et al.*, 1971). The regression line for this relationship was independently confirmed (Tennant *et al.*, 1978). The Y intercepts in these studies were identical (4 g/dl). The refractometer has a value as a rapid field instrument for the assessment of Ig status, but in cases of hemoconcentration it has limitations (Boyd, 1972).

The glutaraldehyde coagulation test was used originally in cattle to detect hypergammaglobulinemia in samples of whole blood (Sandholm, 1974). Glutaraldehyde has also been used in a semiquantitative test to evaluate IgG in canine (Sandholm and Kivisto, 1975) and human serum (Sandholm, 1976). This procedure has been modified to detect hypogammaglobulinemic calves. Calves with a negative test result (serum IgG #0.4 g/dl) had markedly higher mortality than calves with positive results (Table 14-7) (Tennant et al., 1979), which is similar to results obtained by using the zinc sulfate turbidity test (Gay et al., 1965a; McEwan et al., 1970a) or other estimates of circulating IgG. Many tests can be initiated quickly using the glutaraldehyde coagulation test, and results can be evaluated rapidly without instrumentation.

Source of Calves	No.	Glutaraldehyde Reaction	Serum (-Globulin (/dl))		Death Rate (%)
			Mean (±SD)	Extrem es	
Calves before ingestion of colostrums	10	Negative	0.18 (±0.06)	0.1-0.25	a
Calves from production unit	60	Negative	0.35 (±0.13)	0.11-0.63	16.7 ⁶
	13	Incomplete	0.60 (±0.13)	0.42-0.85	7.7
	208	Positive	1.46 (±0.63)	0.42-4.4	3.4

TABLE 14-7 Relationship between Results of the Glutaraldehyde Coagulation Test, Serum (-Globulin) Concentration, and Death Rate

D. Lipids

1. Absorption of Fats

a. Luminal Phase

The fat in the diet is primarily in the form of triglycerides or long-chain fatty acids. In the dog, gastric lipase plays a credible role in fat digestion, leading to the formation of fatty acids, which help coordinate gastric emptying and pancreatic secretions. In other species, the initial step in utilization of triglycerides occurs in the lumen of the proximal small intestine, where hydrolysis is catalyzed by pancreatic lipase. The pancreas secretes lipase in active form. The enzyme requires an oil-water interface for activity, so only emulsions of fat can be hydrolyzed. Enzyme activity is directly related to the surface area of the emulsion, so the smaller the emulsion particle, the greater the total surface area of a given quantity of triglyceride and the greater the rate of hydrolysis (Benzonana and Desnuelle, 1965). Bile salts are not an absolute requirement but favor hydrolysis by their detergent action, which causes formation of emulsions with small particle sizes and by stimulating lipase activity within the physiological pH range of the duodenum. A colipase is present in the pancreatic secretion, which facilitates the interaction of lipase with its triglyceride substrate and protects lipase from inactivation (Borgstrom and Erlanson, 1971).

Pancreatic lipase splits the ester bonds of triglycerides preferentially at the 1 and 3 positions so that the major end products of hydrolysis are 2-monoglycerides and free fatty acids. Both compounds are relatively insoluble in water but are brought rapidly into micellar solution by the detergent action of bile salts. The mixed micelles so formed have a diameter of approximately 2nm and are believed to be the form in which the products of fat digestion are actually taken up by the mucosal cell (Hofmann and Small, 1967). The intraluminal events that occur in fat absorption are schematically summarized in Figure 14-6.

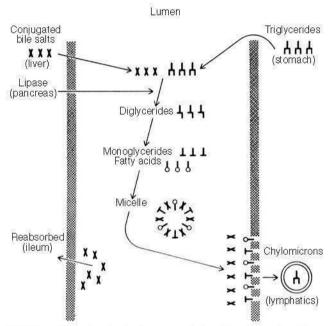


FIGURE 14-6 Intraluminal events during fat absorption. From Isselbacher (1967).

b. Mucosal Phase

The initial step in intestinal transport of fat is the uptake of fatty acids and monoglycerides by the mucosal cell from micellar solution. The precise mechanism is yet unclear, but present evidence suggests that the lipid contents of the micelle are somehow discharged at the cell surface and enter the mucosal cell in molecular rather than micellar form (Isselbacher, 1967). The net effect is the absorption of the end products of lipolysis and the exclusion of bile salts, which are absorbed farther down the intestine, primarily in the ileum. Uptake of fatty acids appears to be a passive process having no requirement for metabolic energy.

³ Samples of serum were obtained at birth, but no follow-up of calves was made.

b The death rate of calves that were test-negative was significantly (p < 0.01) greater than that of test-positive calves, using t-test for significance of differences between two percentages.

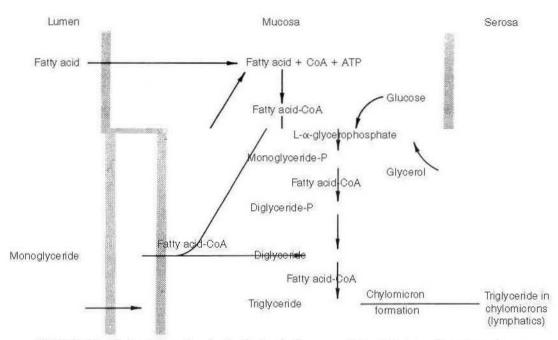


FIGURE 14-7 Biochemical reactions involved in intestinal transport of long chain fatty acids and monoglycerides. From Isselbacher (1966).

Within the mucosal cell, the fatty acids are transported by a soluble binding protein to the endoplasmic reticulum, where the fatty acids and monoglycerides are rapidly reesterified to triglyceride (Ockner and Isselbacher, 1974; Ockner and Manning, 1974). The two biochemical pathways for triglyceride biosynthesis in the intestine are summarized in Figure 14-7. Direct acylation of monoglyceride occurs in the intestine and is the major pathway for lipogenesis in the intestine during normal fat absorption. The initial step in this series of reactions involves activation of fatty acids by acyl-CoA synthetase, a reaction that requires Mg²⁺, ATP, and CoA and that has a marked specificity for long-chain fatty acids. This specificity explains the observation by Bloom et al. (1951) that medium- and short-chain fatty acids are not incorporated into triglycerides during intestinal transport but enter the portal circulation as nonesterified fatty acids. The activated fatty acids then react sequentially with monoand diglycerides to form triglycerides in steps catalyzed by mono- and diglyceride transacylases. The enzymes responsible for this series of reactions are present in the microsomal fraction of the cell (Rao and Johnston, 1966). These enzymes occur together in the endoplasmic reticulum as a "triglyceride-synthetase" complex.

An alternate route that is available for fatty acid esterification involves L- α -glycerophosphate derived either from glucose or from dietary glycerol by the action of intestinal glycerokinase. Activated fatty acid CoA derivatives react with L- α -glycerophosphate to form lysophosphatidic acid (monoglyceride phosphate), which by a second acylation forms phosphatidic acid (diglyceride phosphate). Phosphatidic acid phosphatase then hydrolyzes the phosphate

ester bond, forming diglyceride, and by means of a transacylase step similar to that described previously, triglyceride is formed. Although this pathway appears to be of minor importance for triglyceride synthesis in the intestine, intermediates in this sequence of reactions are important in the synthesis of phospholipids, which are essential for stabilization of the chylomicron.

The next step in fat transport is formation of chylomicrons within the endoplasmic reticulum. The chylomicron is composed primarily of triglyceride and has an outer membranous coating of cholesterol, phospholipid, and protein (Zilversmit, 1965). The β -lipoprotein component of the chylomicron is synthesized by the intestinal mucosal cell. Inhibition of protein synthesis by puromycin or acetoxycycloheximide interferes with chylomicron formation and significantly reduces fat transport (Sabesin and Isselbacher, 1965).

The final step in fat absorption is extrusion of the chylomicra into the intercellular space opposite the basal lateral portion of the absorptive cell by reverse pinocytosis. From the intercellular space, the chylomicra pass through the basement membrane and enter the lacteal. The chylomicra then pass from the lacteals into lymph ducts and into the general circulation, thereby completely bypassing the liver during the initial phase of absorption.

2. Absorption of Other Lipids

a. Cholesterol

Dietary cholesterol is present in both free and esterified forms, but only nonesterified cholesterol is absorbed. Cholesterol esters are hydrolyzed within the lumen of the intestine by sterol esterases secreted by the pancreas. Bile salts are required both for the action of this enzyme and for the absorption of nonesterified cholesterol. In the mucosal cell, cholesterol is reesterified and transferred by way of the lymph to the general circulation. The type of triglyceride present in the diet significantly affects the absorption of cholesterol and its distribution in lymph lipids (Ockner et al., 1969).

b. Vitamin A

The diet contains vitamin A activity in two principal forms: (1) as esters of preformed vitamin A alcohol (retinol) and fatty acids and (2) as provitamin A, primarily β -carotene. Vitamin A ester is hydrolyzed by a pancreatic esterase within the lumen (Murthy and Ganguly, 1962), and the free alcohol is absorbed in the upper small intestine. Vitamin A alcohol is reesterified in the mucosa primarily with palmitic acid. The vitamin A ester is absorbed by way of the lymph, and after reaching the general circulation, it is rapidly cleared from the plasma and stored in the liver. In the postabsorptive state, vitamin A circulates as the free alcohol, the form released as needed from the liver by the action of hepatic retinylpalmitase esterase. The blood level of vitamin A is independent of liver reserves, and, as long as a small amount of vitamin A is present in the liver, the blood level remains normal (Dowling and Wald, 1958).

In diets that lack animal fat, the carotenes, primarily β -carotene, serve as the major precursor of vitamin A. The intestinal mucosa has a primary role in conversion of provitamin A to the active vitamin, although conversion can occur to a limited degree in other tissues. The mechanism involves central cleavage of β -carotene into two active vitamin A alcohol molecules that are subsequently esterified and absorbed by the lymphatics as with preformed vitamin A.

Bile salts are required for the mucosal uptake of β -carotene and for the conversion of β -carotene to vitamin A. Uptake of carotene and release of vitamin A ester into the lymph are rate-limiting steps. Cattle absorb substantial amounts of β -carotene without prior conversion to vitamin A, and these pigments are responsible for much of the yellow color of the plasma. Most other species have no β -carotene in the plasma, and extraintestinal conversion is thought to be more efficient in these species than in cattle (Ganguly and Murthy, 1967).

c. Vitamin D

Vitamin D, like cholesterol, is a sterol that is absorbed by the intestine and transported via the lymph (Schachter et al., 1964). Intestinal absorption differs, however, in that vitamin D is transported to the lymph in nonesterified form. The uptake of vitamin D by the mucosal cell is favored by the presence of bile salts. Simultaneous absorption

of fat from micellar solutions increases transport of vitamin D out of the cell into the lymph, the limiting step.

One of the major actions of vitamin D is to enhance the intestinal absorption of calcium. Wasserman and coworkers (1968) (Wasserman and Taylor, 1966, 1968) have described the mechanism of action of vitamin D. They have shown that vitamin D causes synthesis of a calcium-binding protein that plays a central role in the transport of calcium.

E. Cobalamin

Following ingestion, cobalamin is released from food in the stomach (Batt and Morgan, 1982; Simpson et al., 2001). It is then bound to a nonspecific cobalamin-binding protein of salivary and gastric origin called haptocorrin. Intrinsic factor (IF), a cobalamin-binding protein that promotes cobalamin absorption in the ileum, is produced by parietal cells and cells at the base of antral glands in the dog but not the cat; IF is produced in the pancreas of cats. The affinity of cobalamin for haptocorrin is higher at acid pH than for IF, so most is bound to haptocorrin in the stomach. Upon entering the duodenum, haptocorrin is degraded by pancreatic proteases, and cobalamin is transferred from haptocorrin to IF, a process facilitated by the high affinity of IF for cobalamin at neutral pH. Cobalamin-IF complexes traverse the intestine until they bind to specific receptors (previously called IFCR, but recently dubbed cubilin) located in the microvillous pits of the apical brush border membrane of ileal enterocytes. Cobalamin is then transcytosed to the portal bloodstream and binds to a protein called transcobalamin 2(TC II), which mediates cobalamin absorption by target cells. A portion of cobalamin taken up by hepatocytes is rapidly (within an hour in the dog) reexcreted in bile bound to haptocorrin. Cobalamin of hepatobiliary origin, in common with dietary derived cobalamin, undergoes transfer to IF and receptor mediated absorption, thus establishing enterohepatic recirculation of the vitamin.

Low serum cobalamin concentrations in dogs have been associated with exocrine pancreatic insufficiency (EPI), severe intestinal disease, IF-Cbl receptor abnormalities, and conditions associated with the proliferation of enteric bacteria (e.g., stagnant loops). Cobalamin deficiency in cats and dogs results in a significant metabolic disorder, which can be ameliorated by treatment or correction of the underlying cause.

Dietary folate polyglutamate is deconjugated by folate deconjugase to folate monoglutamate, which is absorbed by specific carriers in the proximal small intestine. Folate deconjugase is a jejunal brush border enzyme. Folic acid, which is produced by microorganisms in the small intestine, is also absorbed and can increase existing serum levels of folate. Serum levels of folate are expected to decrease when the absorptive capacity of the proximal intestine is severely compromised, as might occur with infiltrative bowel disease.

VIII. DISTURBANCES OF GASTROINTESTINAL FUNCTION

A. Vomition

Vomiting is a coordinated reflex act that results in rapid, forceful expulsion of gastric contents through the mouth. The reflex may be initiated by local gastric irritation caused by a variety of toxic irritants, infectious agents, foreign bodies, gastric tumors, obstructions of the pyloric canal or the small intestine, or by drugs such as apomorphine or other toxic substances that act centrally on the "vomiting center" of the medulla.

Severe vomiting produces loss of large quantities of water and of $\rm H^+$ and $\rm C1^-$ ions. These losses cause dehydration, metabolic alkalosis with increased plasma $\rm HCO_3^-$, and hypochloremia. Chronic vomiting may also be associated with the loss of significant tissue $\rm K^+$ and with hypokalemia. The $\rm K^+$ deficit is caused primarily by increased urinary excretion resulting from alkalosis (Leaf and Santos, 1961). Gastric secretions contain significant quantities of $\rm K^+$, and losses in the vomitus also contribute to the $\rm K^+$ deficiency, which develops initially because of the alkalosis, perpetuates the alkalotic state by interfering with the ability of the kidney to conserve $\rm H^+$ (Brazeau *et al.*,1956; Darrow, 1964). Both $\rm K^+$ and the hypovolemia caused by dehydration may result in renal tubular damage and in renal failure.

Vomiting occurs frequently in the dog, cat, and pig but is an unusual sign in the horse, which has anatomical restrictions of the esophagus that interfere with expulsion of gastric contents. In cattle, sheep, and goats, the physiological process of rumination utilizes neuromuscular mechanisms similar to those involved in vomiting. Uncontrolled expulsion of ruminal contents is an uncommon sign, most frequently occurring after ingestion of toxic materials or associated with traumatic reticulitis and resulting "vagal indigestion." The contents of the abomasum are not expelled directly even when the pyloric canal is obstructed. Pyloric outflow obstruction does occur in cattle, which is similar metabolically to that observed in nonruminants. This obstruction may be observed in right-sided displacement of the abomasum with or without torsion, occasionally with left-sided displacement of the abomasum, in cows with functional pyloric obstruction as a result of reticuloperitonitis and from "vagal indigestion." When the pylorus is obstructed, abomasal contents are retained, causing distension of the abomasum, which in turn stimulates further secretion and retention. Retained abomasal contents may be regurgitated into the large reservoir of the rumen and sequestered there from other fluid compartments of the body. The net result is loss of H⁺ and Cl⁻ ions and development of metabolic alkalosis, hypochloremia, and hypokalemia. This metabolic syndrome often is associated with fluid distension of the rumen related to pyloric outflow obstruction. Similar distension of the rumen in the absence of hypochloremic, hypokalemic metabolic alkalosis suggests more proximal obstruction of rumen outflow, namely the omasum.

Brachycephalic, middle-aged, small breed dogs (e.g., Shih Tzus) seem predisposed to hypertrophy of the pyloric mucosa or muscularis (Simpson, 2005); this syndrome, as well as other causes of pyloric outflow obstruction, can result in vomiting, metabolic alkalemia, and paradoxic aciduria. Chronic hypertrophic gastritis, which resembles Menetrier's disease in humans, has been demonstrated in the dog (Happe and van der Gagg, 1977; Kippins, 1978; van der Gagg et al., 1976; Van Kruiningen, 1977). Van Kruiningen's series of cases were basenjis that had concomitant lymphocytic-plasmocytic enteritis. The primary disease, however, has been observed in other breeds without intestinal lesions. Signs of illness usually involve chronic vomiting, weight loss, and occasionally diarrhea. Hypoalbuminemia occurs in most cases. In humans, hyperchlorhydria or achlorhydria can occur. The morphological changes in the stomach wall (hypertrophic rugae) and some of the clinical features help to differentiate this disease from gastric neoplasia.

Functional gastrinomas have been rarely diagnosed in the dog and have been compared to the Zollinger-Ellison syndrome in humans (English et al., 1988; Straus et al., 1977; van der Gagg and Happe, 1978). Clinical disease is associated with hypergastrinemia, hyperchlorhydria, hypertrophic gastritis, peptic esophagitis, and duodenal ulcers. A more recent overview by Simpson (2000) revealed a wide variety of breeds with a mean age of 9 years; no sex bias was identified. The diagnostic workup usually centered around the problems of vomiting, weight loss and anorexia, and the pursuit of localizing findings of melena, hematemesis, and abdominal pain. Some of these dogs had signs associated with gastrointestinal preformation/ peritonitis. Surgical treatment or medical management, to include omeprazole, famotidine, sucralfate, or octreotide, is indicated. Because metastasis is frequently present, the prognosis for recovery is poor.

B. Gastric Dilatation-Volvulus

Gastric dilatation-volvulus (GDV) is an acute gastrointestinal disorder associated with high mortality (Leib and Blass, 1984; Morgan, 1982). It typically occurs in large deep-chested dogs but has been reported in smaller dogs, the cat, and other species. Gastric dilatation precedes development of volvulus and is the result of the accumulation of gas and fluid in the stomach as a result either of mechanical or functional disturbances in pyloric outflow. As the stomach distends and rotates about the distal esophagus, displacement and occlusion of the pylorus and duodenum occur. Necrosis and perforation of the stomach wall and peritonitis are common causes of death.

Distension and displacement of the stomach cause obstruction of the caudal vena cava and portal vein resulting

in venous stasis and sequestration of blood in splanchnic, renal, and posterior muscular capillary beds. This decrease in circulating blood volume (venous return) and subsequent decrease in cardiac output, arterial blood pressure, and tissue perfusion culminate in hypovolemic shock. Endotoxemia, a consequence of portal vein occlusion, contributes to the shock syndrome. The release of myocardial depressant factors from ischemic pancreatic tissue impairs the clearance of endotoxins by the reticuloendothelial system as well as causing direct cardiodepressant effects. Altered microvascular perfusion with hypoxemia and endotoxemia favors development of disseminated intravascular coagulopathy (DIC) (Lees et al., 1977).

Increased plasma gastrin immunoreactivity has been reported in dogs with GDV (Leib *et al.*, 1984). Preexisting conditions of relative hypergastrinemia may predispose to GDV. Gastrin can increase caudal esophageal sphincter pressure, delay gastric emptying, and predispose to pyloric outflow obstruction by causing gastric mucosal and pyloric muscular hypertrophy.

Experimental gastric dilatation and dilatation with torsion have been studied in the dog (Wingfield et al., 1974). Hyperkalemia and hyperphosphatemia were consistent findings in dogs with gastric dilatation and torsion (Wingfield et al., 1974). This was the result of hypovolemia, decreased renal perfusion, and renal insufficiency on the one hand and the loss of intracellular K+ from damaged tissue on the other. Increased blood urea nitrogen (BUN) and serum creatinine (Cr) levels persisted after decompression of the stomach. Hemoconcentration and increased TSP were attributed to fluid shifts from the vascular compartment into the lumen of the alimentary tract, wall of the stomach, and peritoneal cavity. Increased alanine aminotransferase (ALT) and aspartate aminotransferase (AST) were most apparent following decompression of the stomach and were attributed to alteration of hepatocytes and smooth muscle of the stomach and spleen. Increased levels of creatine kinase (CK) resulted from the effects of tissue hypoxia on striated muscle. Metabolic acidosis was attributed in part to increased production of lactic acid caused by tissue hypoxia.

A wide range of acid-base and electrolyte disturbances has been reported in clinical patients with GDV (Kagan and Schaer, 1983; Muir, 1982; Wingfield *et al.*, 1982). Dogs presenting with GDV may have normal acid-base status. Metabolic acidosis and hypokalemia commonly occur. Metabolic alkalemia and respiratory alkalosis also have been observed. Hyperkalemia is unusual. The absence of an increase in anion gap in one study indicated that the production of volatile fatty acids and lactic acid was not excessive (Wingfield *et al.*, 1982).

C. Ischemia-Reperfusion Injury

Ischemia-reperfusion injury is a contributing cause of death in horses with strangulating intestinal obstruction

(Moore et al., 1995) and in dogs with GDV. Together with luminal occlusion of the alimentary tract, functional constriction or mechanical obstruction of intestinal vasculature occurs. Depending on the duration and severity of ischemia, oxygenation of tissue is compromised, and there is a subsequent attenuation of oxidative phosphorylation and a decrease in ATP. Anaerobic glycolysis ensues, leading to intracellular acidosis and increased intracellular concentrations of Ca²⁺. Unless timely restoration of blood flow and oxygenation occurs, these metabolic derangements eventually contribute to cellular edema, lysosomal release of degradative enzymes, autolytic destruction of cellular organelles, and cell death.

When intestinal obstruction is relieved and tissue perfusion is reestablished, reoxygenation of tissue can result in a cascade of biochemical events that can aggravate ischemicinduced tissue injury. The resulting reperfusion injury is caused in part by oxygen-free radicals (OFR), particularly superoxide (O₂) and hydroxyl-free radicals (OH.), and is characterized by increased microvascular and mucosal permeability and mucosal necrosis (Moore et al., 1995). The formation of OFRs is preceded by accumulation of hypoxanthine in endothelial cells and intestinal mucosal cells during ischemia. The conversion of xanthine dehydrogenase to xanthine oxidase also occurs during ischemia, a reaction that is facilitated by high intracellular levels of calcium ions and the protease, calpain. When reperfusion occurs, xanthine oxidase converts hypoxanthine to uric acid and superoxide radicals. O2- and hydrogen peroxide (H₂O₂), a product of superoxide dismutase (SOD) reduction, are converted to highly reactive OH. in the presence of an iron catalyst. OH. initiates structural and functional cellular membrane damage via lipid peroxidation. The release of inflammatory mediators attending lipoperoxidation contributes to tissue injury.

Malondialdehyde (MDA) is a stable by-product of lipoperoxidation, and investigators can utilize its detection as an indicator of ischemia-reperfusion injury (Moore *et al.*, 1995).

Neutrophils are recruited into ischemic and reperfused tissue by xanthine oxidase-derived OFRs and chemoattractants released from cellular membranes during lipid peroxidation. Increased cytosolic calcium concentrations during ischemia and subsequent lipoperoxidation activate phospholipase A2, which in turn causes the release of platelet-activating factor (PAF), metabolites of arachidonic acid (leukotrienes and prostaglandins), and lysophosphatidylcholine. Leukotriene B4, thromboxane A2, and PAF are the primary products of phospholipid metabolism that promote infiltration and degranulation of neutrophils in affected tissue.

When neutrophils attach to endothelium, they release elastase and lactoferrin, which promotes extravasation (Moore *et al.*, 1995). The conversion of oxygen to O_2^- within neutrophils is facilitated by the NADPH oxidase

system. These O_2^- are metabolized to H_2O_2 , and the latter reacts with Cl^- to form hypochlorous acid. Myeloperoxidase (MPO), an enzyme contained in neutrophils, catalyzes this reaction. MPO activity in intestinal mucosa correlates well with the degree of neutrophil infiltration and mucosal injury.

Serine proteases are believed to play a contributing role in ischemia-reperfusion injury (Moore *et al.*, 1995). The pancreas is an important source of endoproteases (trypsin, chymotrypsin, and elastase), which can cause mucosal injury, particularly in the small intestine. Proteases produced by granulocytes, as well as lysosomes, are more important in mucosal injury of the large bowel, elastase, neutral proteases, and cathepsin G are released from granulocytes during phagocytosis. Cathepsin B is a lysosomal protease that has trypsin-like activity.

Several pharmacological agents have been used in experimental and clinical studies of ischemia-reperfusion injury (Moore et al., 1995). The mechanistic rationale for many of these agents is comparable to the role of endogenous antioxidants. Examples of commonly used agents include xanthine oxidase inhibitors (allopurinol), deferoxamine, 21-aminosteroids, inhibitors of PLA2, cyclooxygenase, and lipoxygenase. Superoxide dismutase (SOD), catalase, and glutathione peroxidase (Gpx) are free radical scavenging enzymes. Mannitol, albumin, dimethyl sulfoxide (DMSO), dimethyl thiourea, and manganese chloride represent nonenzymatic free radical scavengers. Other agents that have been studied include nitric acid, protease inhibitors, hydroxyethyl starch, and neutrophil-directed agents. Although there has been demonstrable efficacy of the aforementioned agents in some studies, there are many inconsistencies. From a clinical perspective, success has been limited with single agents, and there is more interest in combination or multimodal therapy.

D. Acute Diarrheas

The term diarrhea is used to generically describe the passage of abnormally fluid feces with increased frequency, increased volume, or both. The significance of diarrhea depends primarily on the underlying cause and on the

secondary nutritional and metabolic disturbances that are caused by excessive fecal losses.

There are theoretically three factors that can act independently or in combination to produce diarrhea. An increase in the rate of intestinal transit is one factor believed important in functional disorders of the gastrointestinal tract in which "hypermotility" has been considered to be the primary cause. Although increased intestinal motility may be a factor in certain types of diarrheal disease, when motility patterns have been investigated, diarrheal disease has actually been associated with decreased motility (Christensen et al., 1972). A second factor in the pathogenesis of diarrhea is decreased intestinal assimilation of nutrients that may result either from decreased intraluminal hydrolysis of nutrients (e.g., maldigestion resulting from pancreatic exocrine insufficiency), bile salt deficiency, or defective mucosal transport of nutrients (i.e., malabsorption) that results from various types of inflammatory bowel disease, villus atrophy, intestinal lymphoma, or intrinsic biochemical defects in the mucosal cell that interfere with digestion or absorption. Finally, increased intestinal secretion of water and electrolytes is a major factor in the pathogenesis of certain types of acute diarrhea.

Enteropathogenic strains of Escherichia coli produce soluble enterotoxins (Kohler, 1968; Moon, 1978; Smith and Halls, 1967), which alter bidirectional Na⁺ and water flux (Fig. 14-8). The most extensively studied enterotoxin is that produced by Vibrio cholerae. This bacterium produces a large-molecular-weight, heat-labile toxin (CT), one subunit of which has properties similar to those of the heat-labile (LT) enterotoxin produced by certain strains of E. coli (Richards and Douglas, 1978). The mechanism of action of CT is believed to involve the activation of adenylate cyclase. This membrane-bound enzyme converts ATP to cAMP, which through the action of protein kinase is responsible for the greatly increased secretion of water and electrolytes by the intestinal mucosa. Although species differences have been observed (Forsyth et al., 1978), this mechanism appears to be important in the mode of action of LT of E. coli as well (Richards and Douglas, 1978).

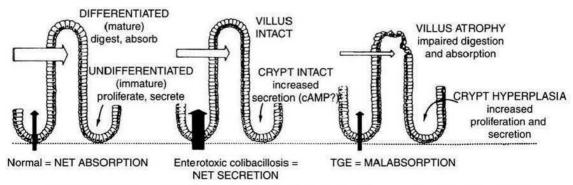


FIGURE 14-8 Pathogenesis of diarrhea caused by E. coli enterotoxin and by coronaviruses. From Moon (1978).

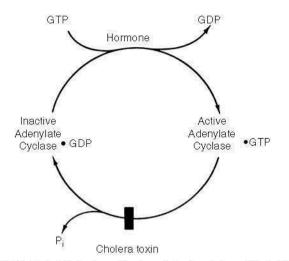


FIGURE 14-9 Mechanism of action of cholera toxin, which inhibits hydrolysis of GTP, thereby increasing adenylate cyclase activity. From Cassell and Selinger (1978).

Additional extensive studies have centered on the molecular mechanism of action of CT. Under physiological conditions, adenylate cyclase is activated by the binding of guanosine triphosphate to the inactive enzyme. An associated GTPase inactivates the enzyme by converting enzyme-bound GTP to GDP and inorganic phosphate. This GTP-GDP system plays a critical role in the physiological regulation of adenylate cyclase. Cholera toxin is believed to bind to the adenyl cyclase in a way that inhibits hydrolysis of GTP, thereby maintaining the enzyme in an activated state (Cassel and Pfeuffer, 1978; Johnson *et al.*, 1978; Levinson and Blume, 1977) (Fig. 14-9).

Certain enteropathogenic strains of *E. coli* produce a low-molecular-weight, heat-stable toxin (ST) alone or in addition to LT (Moon, 1978; Richards and Douglas, 1978). In epidemiological studies of neonatal diarrheal diseases of calves, most isolated strains of *E. coli* produce only ST (Braaten and Myers, 1977; Lariviere *et al.*, 1979; Moon *et al.*, 1976). In contrast to LT and CT, which induce intestinal Na⁺ and water secretion only after a lag phase of several hours, ST induces intestinal secretion by activating guanylate cyclase and the mediator of intestinal secretion induced by ST is cyclic 3',5'-guanosine monophosphate (Field *et al.*, 1978; Hughes *et al.*, 1978).

Enterotoxin-induced intestinal secretion may be blocked by cycloheximide, an inhibitor of protein synthesis (Serebro *et al.*, 1969). The lack of specificity and the toxicity of cycloheximide precluded its clinical use, but acetazolamide has been shown to inhibit intestinal fluid secretion (Moore *et al.*, 1971; Norris *et al.*, 1969). Ethacrynic acid, another potent diuretic, has been shown to inhibit enterotoxin-induced fluid secretion (Carpenter *et al.*, 1969). Unfortunately, the diuretic effects of these drugs preclude their clinical use but similar drugs with

"intestinal specificity" would have significant therapeutic potential. Adenosine analogues also have been shown to inhibit cholera toxin-stimulated intestinal adenylate cyclase.

Prostaglandin E₁ (PgE₁) and CT have similar effects on electrolyte transport in rabbit ileum. Application of either to the mucosa inhibits NaCl absorption and stimulates Cl⁺ secretion. Both indomethacin (Gots *et al.*, 1974) and aspirin (Farris *et al.*, 1976) inhibit enterotoxin-induced intestinal secretion in laboratory animal models, and the prostaglandins do not function as mediators in the pathogenesis of cholera (Schwartz *et al.*, 1975). However, Jones *et al.* (1977) demonstrated a positive therapeutic response to a new prostaglandin inhibitor in calves with acute enteritis.

The effects of the *E. coli* ST can be inhibited *in vitro* by the calcium channel blockers diltiazem and lodoxamide tromethamine and the prostaglandin synthesis inhibitors indomethacin and quinacrine (Knoop and Abbey, 1981; Thomas and Knoop, 1983). Neither class of drug blocks the effect of cGMP, suggesting that calcium and prostaglandin influence the earliest step(s) in ST response: either its brush border binding or the activation of guanylate cyclase.

The autonomic nervous system has important effects on intestinal ion transport and water absorption (Tapper et al., 1978). Catecholamines stimulate formation of cAMP in a variety of mammalian cells (Schultz et al., 1975), apparently by activating the GTP-GDP system described above (Cassel and Selinger, 1978). Adrenergic blocking agents, such as chlorpromazine (Holmgren et al., 1978) and propranolol (Donowitz and Charney, 1979), have significant inhibitory effects on enterotoxin-induced intestinal secretion. Although the mechanism of action of these two adrenergic blockers is not known, they represent still another class of drugs that may be of therapeutic benefit.

The intestinal "adsorbent" drug, Pepto Bismol, containing bismuth subsalicylate, and attapulgite, a heattreated silicate, have antienterotoxic effects (Drucker et al., 1977; Ericsson et al., 1977; Gyles and Zigler, 1978). Therapeutic trials with bismuth subsalicylate have significant therapeutic benefit in certain large-volume diarrheal diseases of humans, which are enterotoxigenic in origin (DuPont, 1978; DuPont et al., 1977; Portnoy et al., 1976). The mechanism of the intestinal secretion inhibition is not known, but the chemical relation of bismuth subsalicylate to other known prostaglandin inhibitors is known. It is possible that such drugs, by decreasing endogenous production of prostaglandin, decrease the basal level of cyclic nucleotides, which in turn causes an increase in the threshold of response to enterotoxin. Salicylates also may stimulate sodium chloride absorption (Powell et al., 1979). Collectively, these observations suggest that new, innovative methods for therapy and control of acute clinical diarrheal disease may be developed.

Acute diarrhea represents the leading cause of morbidity and mortality in neonatal calves and pigs. The pathogenesis

of the neonatal enteric infection is complex, often involving nutritional or environmental factors as well as infectious agents, such as enteropathogenic strains of *E. coli*, the transmissible gastroenteritis virus (TGE), rotaviruses, and other bacterial and viral pathogens. The severe clinical signs and frequently fatal outcome of acute diarrheal disease are often directly related to dehydration and to associated H⁺ and electrolyte disturbances (Dalton *et al.*, 1965; Fisher and McEwan, 1967a; Tennant *et al.*, 1972, 1978).

In acute diarrhea with large-volume, watery stools, the fecal fluid originates primarily from the small intestine. The electrolyte composition of the stool in such cases is similar to that of the fluid found normally in the lumen of the small intestine, which in turn is similar to that of an ultrafiltrate of the plasma. The rapid dehydration that accompanies acute enteritis in the newborn soon produces hemoconcentration and leads to hypovolemic shock. These cases are characterized by metabolic acidosis (Dalton et al., 1965) caused by (1) decreased excretion of H⁺ resulting from decreased renal perfusion and (2) increased production of organic acids, the result of which is observed characteristically in young, severely dehydrated animals. Hyperkalemia in such cases is the result of increased movement of cellular K⁺ into the extracellular fluid and to decreased renal excretion. Cardiac irregularities caused by hyperkalemia can be demonstrated with the electrocardiogram, and cardiac arrest related to hyperkalemia is a direct cause of death in calves with acute diarrhea (Fisher, 1965; Fisher and McEwan, 1967b). Marked hypoglycemia also has been observed occasionally before death in calves with acute enteric infections. Hypoglycemia is believed to be due to decreased gluconeogenesis and increased anaerobic glycolysis, the result of hypovolemic shock (Tennant et al., 1968). The sequence of metabolic changes that occur during acute neonatal diarrhea is summarized in Figure 14-10.

In chronic forms of diarrheal disease, excessive fecal losses of electrolyte and fluid are compensated in part by renal conservation mechanisms and in part by ingestion. If water is consumed without adequate ingestion of electrolytes, hyponatremia and hypokalemia may develop (Patterson *et al.*, 1968). In such cases, the osmolality of the plasma is significantly decreased and hypotonic dehydration occurs. In longer-standing cases of chronic diarrhea, the plasma K⁺ concentration may become dangerously low. It is imperative, in this case, that intravenous fluids contain sufficient K⁺ to prevent further reduction in concentration and to avoid additional cardiac irregularities or cardiac arrest.

E. Malabsorption

Decreased absorption of nutrients may occur either as a result of defective intraluminal digestion (maldigestion) associated with pancreatic insufficiency (juvenile pancreatic atrophy, chronic pancreatitis) or because of defects in mucosal transport (malabsorption). Intestinal malabsorption is

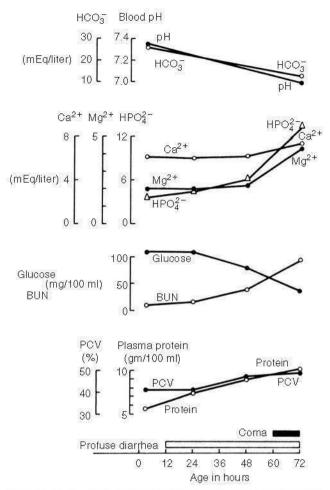


FIGURE 14-10 Metabolic alterations during the course of fatal enteric infection in a neonatal calf. From Tennant et al. (1972).

associated with several types of intestinal disease including chronic inflammatory diseases (lymphocytic-plasmacytic enteropathy, eosinophilic enteritis), granulomatous diseases (Johne's disease, intestinal parasitism), and lymphoma. The cardinal clinical signs of malabsorption include persistent or recurrent diarrhea, steatorrhea, and weight loss. In the horse, small intestinal malabsorption such as that associated with granulomatous enteritis may be associated with weight loss, but diarrhea may not be present because of the compensatory capacity of the uninvolved cecum and colon.

The initial reports of primary or idiopathic intestinal malabsorption in dogs (Kaneko et al., 1965; Vernon, 1962) were compared to nontropical sprue (adult celiac disease, gluten-induced enteropathy) of humans, but association with gluten sensitivity was not demonstrated. Wheat-sensitive enteropathy has been described in the Irish setter breed (Batt et al., 1984). Most of the dogs were seen between 7 months to 2 years of age and had poor weight gain, weight loss, inappetence, or hyperphagia. Diarrhea was not a consistent observation. The most consistent morphological abnormality in peroral jejunal biopsies was partial villus atrophy.

Enzymatic changes included decreased mucosal alkaline phosphatase and peptidases, whereas disaccharidases and GGT activities were unaffected. Recovery of morphological and biochemical abnormalities occurred in affected dogs that received cereal-free diets but recurred when wheat flour was added to the ration. A variety of other causes of intestinal malabsorption have been reported in the dog (Anderson, 1975, 1977; Burrows et al., 1979; Ewing, 1971; Hill, 1972; Hill and Kelly, 1974; Schall, 1974; Van Kruiningen, 1968; Van Kruiningen and Hayden, 1973). An enteropathy said to resemble tropical sprue in humans has been described in German shepherds (Batt et al., 1983, 1984). Affected dogs were 5 years of age or older and had diarrhea and weight loss for at least 4 months before the diagnosis was made. Peroral jejunal biopsies revealed partial villus atrophy and variable infiltrations of lymphocytes and plasma cells in the lamina propria. Subcellar biochemical studies of jejunal enterocytes revealed decreased activity of many brush border enzymes and increased lysosomal enzymes.

Enteropathy associated with bacterial overgrowth of the small intestine also has been observed in German shepherds (Batt and McLean, 1987). The dogs were 2 years of age or younger, and all had chronic histories of intermittent diarrhea with or without weight loss. Bacterial counts of greater than 10⁶ colonies per milliliter were observed in duodenal fluid. *Enterococci*, *E. coli*, and *Clostridium* spp. were identified in cultures. Peroral jejunal biopsies revealed no characteristic histopathological changes. A deficiency of the immunoglobulin, IgA, may explain the vulnerability of these German shepherds to intestinal bacterial overgrowth (Whitbread *et al.*, 1984).

Early reports of intestinal malabsorption in the cat (Wilkinson, 1969) gave the impression that this condition was more common in dogs. This has changed since inflammatory bowel disease or intestinal infiltrations of small cell lymphoma have been diagnosed more often in cats. Malabsorption syndromes similar to those recognized in dogs are being recognized with increased frequency in farm animals (Blood *et al.*, 1979). Cimprich (1974), Merritt *et al.* (1976), and Meuten *et al.* (1978), have reported malabsorption in the horse secondary to chronic granulomatous enteritis, and specific amino acid malabsorption has been reported in Johne's disease (Patterson and Berrett, 1969).

Steatorrhea, the presence of excessive amounts of fat in the feces, is a prominent sign of intestinal malabsorption in dogs. The stools are bulky, gray or tan, and, grossly, may have an oily appearance. The normal dog excretes 3 to 5g of fat in the stool each day. This level of fecal fat is quite constant and is independent of dietary fat intake over a wide range of 15 to 48g/day. In intestinal malabsorption, the ability to absorb fat is decreased and fecal fat excretion increases significantly. Under these conditions, the amount of fecal fat excreted becomes proportional to dietary intake.

Merritt et al. (1979) reported that body weight is an important factor in fat output. In small dogs (i.e., less than

10 to 15kg body weight) with intestinal malabsorption, the abnormality in fecal fat output was quantitatively less severe than in larger dogs. Fecal fat excretion for normal dogs was 0.24 ± 0.01 g/kg body weight per day.

Steatorrhea can be documented qualitatively by staining the fresh stool with a lipophilic stain, such as Sudan III, and observing increased numbers of oil droplets under the light microscope. In experienced hands, this method is a reliable diagnostic procedure (Drummey et al., 1961). The following methods can be used to demonstrate neutral and split fats. For neutral fat, two drops of water are added to a stool sample on a glass slide and mixed. Two drops of 95% ethanol are then added and mixed followed by several drops of a saturated solution of Sudan III in 95% ethanol. A coverslip is applied to the mixture, which is then examined for yellow or pale orange refractile globules of fat, particularly at the edges of the coverslip. Normally, two or three fat droplets per high-power field are present. A large number of neutral fat droplets suggest a lack of pancreatic lipase activity (i.e., exocrine pancreatic insufficiency).

For free fatty acids (split fats), several drops of 36% acetic acid are added to a stool sample on a glass slide and mixed. Several drops of Sudan III solution are then added and mixed. A coverslip is applied, and the slide is gently heated over an alcohol burner until it begins to boil. The slide is air-cooled and then quickly heated again; this procedure is repeated two or three times. The warm slide is examined for stained free fatty acid droplets, which, when warm, appear as deep orange fat droplets from which spicules and soaps, resembling the pinna of the ear, form as the preparation cools. Normal stools may contain many tiny droplets of fatty acids (up to 100 per high-power field). With increasing amounts of split fats, the droplets become larger and more numerous, which suggests an abnormality in fat absorption.

Quantitation of fecal fat is the most accurate method of assessing steatorrhea (Burrows *et al.*, 1979) with dietary fat balance being determined for a period of 48 to 72h. Fecal fat is analyzed using a modification of the technique of van de Kamer *et al.* (1949), which employs ether extraction of fecal lipid and titration of fatty acids. The results are expressed as grams of neutral fat excreted per 24h. Merritt *et al.* (1979) have suggested that dogs be fed 50 g fat per kilogram per day for 2 to 3 days before fecal collection. Analysis of a 24-hour collection of stool when this is done is believed to be as accurate as a 72-hour stool collection. Results are expressed as fat excretion in grams per kilogram body weight.

In addition to malabsorption of fat, the canine malabsorption syndrome is associated with decreased absorption of other nutrients. These defects in absorption are responsible for the progressive malnutrition that is a cardinal feature of the disease. There may be malabsorption of vitamin D or calcium that results in osteomalacia. Anemia may result from malabsorption of iron or of the B vitamins required for normal erythropoiesis. Malabsorption of vitamin K can result in hypoprothrombinemia and delayed

clotting of blood. Glucose malabsorption has been documented by Kaneko *et al.* (1965) and it is likely that amino acids are similarly malabsorbed at the small intestinal level. Carbohydrate and fat malabsorption unquestionably contribute to the energy deficit that results in weight loss. Amino acid malabsorption may contribute to the development of hypoproteinemia, although increased intestinal loss of plasma proteins is believed to be more important.

The diagnosis of idiopathic canine malabsorption is made only after ruling out other primary inflammatory, neoplastic, or parasitic diseases of the intestine and diseases of the pancreas, liver, or stomach that result in defective intraluminal digestion. The presence of parasitic infection is established by examining the feces for parasite cysts or ova. Other inflammatory or neoplastic diseases of the intestine may be suggested on the basis of clinical or radiological examination, but a definitive diagnosis usually depends on histopathological examination of an intestinal biopsy specimen.

Both idiopathic and secondary intestinal malabsorption must be differentiated from those diseases in which there is decreased intraluminal hydrolysis of nutrients. The latter are due most frequently to pancreatic exocrine insufficiency as a result of chronic pancreatitis or juvenile atrophy. In these diseases, hydrolysis of the major dietary constituents is reduced because of the lack of pancreatic enzymes. Intraluminal hydrolysis of fat may also be decreased because of a deficiency of bile salts caused either by decreased hepatic secretion or by bile duct obstruction. Experimentally, however, complete diversion of bile flow in the dog actually has a quantitatively small effect on fat absorption (Hill and Kidder, 1972a).

A radioimmunoassay for trypsin-like immunoreactivity (TLI) is currently widely used to identify dogs with pancreatic exocrine insufficiency (Williams et al., 1987) and is useful in differentiating maldigestion from primary malabsorption. The TLI in normal dog serum is trypsinogen. The route of entry of trypsinogen into the systemic circulation is believed to be the pancreatic venous or lymphatic vessels. Trypsinogen release in inflammatory pancreatic disease (i.e., acute or chronic pancreatitis) may increase TLI values. Increased TLI values have been reported in a dog with confirmed pancreatic exocrine insufficiency that had normal PABA values and fecal proteolytic activity (Williams and Batt, 1986). In most cases of pancreatic exocrine insufficiency, TLI is remarkably reduced compared to normal dogs or dogs with intestinal malabsorption (Williams and Batt, 1988).

An indirect method to detect chymotrypsin activity has been described as a means to differentiate dogs with pancreatic exocrine insufficiency from those with intestinal malabsorption (Batt *et al.*, 1979; Batt and Mann, 1981; Imondi *et al.*, 1972; Strombeck, 1978; Strombeck and Harrold, 1982; Zimmer and Todd, 1985). The synthetic peptide N-benzoyltyrosine-P-aminobenzoic acid (bentiromide) is orally administered to dogs. If chymotrypsin is present in the duodenum,

hydrolysis of the bentiromide occurs, and P-aminobenzoic acid (PABA) is released, which is subsequently absorbed and then excreted in the urine within 6h. The urine or plasma is analyzed for PABA. Less than 43% PABA excretion identifies dogs with suspected pancreatic exocrine insufficiency (Strombeck, 1978). Thirty- or 60-min blood levels of PABA are used to detect dogs with pancreatic exocrine disease (Zimmer and Todd, 1985), but this method did not identify dogs with exocrine pancreatic insufficiency as consistently as the 6h urinary excretion (Strombeck and Harrold, 1982). Factors that may influence results of the bentiromide test include the rate of gastric emptying, intestinal absorption of the PABA, and the peptide cleavage by other peptidases (Batt *et al.*, 1979).

F. Tests of Malabsorption

1. Cobalamin and Folate Absorption

The measurement of circulating serum concentrations of cobalamin and folate (Batt and Morgan, 1982; Waters and Mollin, 1961) may give an indication of the site of intestinal dysfunction in dogs and cats, but it does not define the existing lesion or etiology. The use of cobalamin and folate concentrations as an indirect indicator of intestinal and pancreatic disease has been reported less frequently in the cat; the authors have documented cobalamin deficiency in cats with severe inflammatory bowel disease and intestinal lymphosarcoma. Low concentrations have also been encountered in cats with pancreatitis and exocrine pancreatic insufficiency. Low cobalamin levels are associated with increased levels of methylmalonic acid (Ruarux *et al.*, 2005; Simpson *et al.*, 2001).

Plasma concentrations of cobalamin and folate are labile and reflect the balance among dietary intake, bacterial utilization and production, intestinal absorption, and body losses. The interpretation of plasma concentrations of cobalamin and folate concentrations with regard to small intestinal disease is only valid if exocrine pancreatic insufficiency, oral supplementation, and parenteral administration have been excluded and attention is paid to dietary vitamin content. Plasma cobalamin and folate concentrations may also be affected by certain medications (e.g., sulfasalazine). Although serum folate levels can decrease markedly within several days, the folate concentration within erythrocytes decreases much more slowly, so low erythrocyte folate values may be a more accurate indicator of a chronic disorder.

Low serum cobalamin concentrations have been observed in dogs with EPI, severe intestinal disease, and apparent idiopathic small intestinal bacterial overgrowth (SIBO). Absolute cobalamin deficiency has been recognized in giant schnauzers with inappetence and failure to thrive with laboratory findings of anemia, leukopenia, and methylmalonyl aciduria. This deficiency appears to be a consequence of the defective synthesis of the ileal cobalamin-intrinsic factor receptor and signs are completely reversed by the parenteral administration of cobalamin. Some shar-peis also appear to have a deficiency of cobalamin. The physiological significance of the low cobalamin concentrations detected in other gastrointestinal diseases has not been reported.

Low serum folate concentrations have been observed in dogs with severe jejunal disease and some Irish setters with a gluten-sensitive enteropathy. High folate concentrations have been reported in experimentally induced SIBO (blind loops), EPI, German shepherds with antibiotic-responsive enteropathy, and other Irish setters with gluten-sensitive enteropathy.

In the authors' experience, the finding of a low folate or low cobalamin concentration is useful in supporting the presence of an intestinal problem. Where low cobalamin is detected and EPI and intestinal abnormalities of the GI tract (blind loops) have been excluded, localization of the problem to the ileum can be inferred. Serum cobalamin and folate are inadequate markers of predicting response to antibiotics. Concomitant increases in folate and cobalamin are consistent with high intake or supplementation. Finally, normal serum concentrations of cobalamin and folate neither exclude nor support a diagnosis of intestinal disease.

2. Glucose Absorption

The absorption of glucose can be evaluated by the oral glucose tolerance test (OGTT) where an oral test dose of glucose is given and the blood glucose levels are measured at half-hour intervals for 3 to 4h. In canine malabsorption, the OGTT curve of blood glucose is diminished or flat (Kaneko et al., 1965). The test also has been used in the horse for evaluation of small intestinal malabsorption (Roberts and Hill, 1973). Dogs with pancreatic exocrine deficiency may have "prediabetic" or high OGTT curves (Hill and Kidder, 1972b). The major disadvantage of this test is that it does not differentiate between decreased intestinal absorption and increased tissue uptake after absorption. This problem can be alleviated by comparing results of the OGTT with those of the intravenous glucose tolerance test (IVGTT). Hill and Kidder (1972b) reported that normal dogs on low-carbohydrate/high protein diets can have "diabetic" tolerance curves so that test dogs should be on a high-carbohydrate diet for 3 to 5 days before testing.

3. D-Xylose Absorption

D-xylose is used clinically to evaluate intestinal absorption (Craig and Atkinson, 1988). The body does not metabolize D-xylose to any significant degree, and the problems of evaluating tissue utilization that occur with glucose are avoided. Because large amounts of D-xylose must be used, the rate of absorption is proportional to luminal concentration and independent of active transport processes.

Van Kruiningen (1968) has described a D-xylose absorption test for dogs. In this procedure, a standard 25-g dose of D-xylose is administered by stomach tube. During the 5-h period after administration, the patient is confined in a metabolism cage and urine is collected. At the end of the 5-h test period, the urine remaining in the bladder is removed by catheter and the total quantity excreted in 5h is determined. Normal dogs excreted an average of 12.2g of the 25-g dose during the test period, with a range of 9.1 to 16.5 g. Because this test is dependent on the rate of intestinal absorption as well as the rate of renal excretion, it is necessary to establish that kidney function is normal.

A modified D-xylose tolerance test is now most widely used clinically (Hayden and Van Kruiningen, 1973; Hill *et al.*, 1970). Dogs are fasted overnight, a baseline blood sample is taken, and D-xylose is administered by stomach tube at the rate of 0.5 g/kg. A control test is performed on a normal dog simultaneously. Blood samples are taken at 0.05, 1, 2, 3, 4, and 5h after administration. The D-xylose concentration in the blood is determined by the phloroglucinol microassay (Merritt and Duelly, 1983). The phloroglucinol procedure is more economical, requires less plasma, and is technically easier than the orcinol-ferric chloride procedure of Roe and Rice (Merritt and Duelly, 1983). Maximal blood levels of D-xylose are normally reached at 1h after administration. A D-xylose level of at least 45mg/dl within 60 to 90 min is expected in normal dogs (Hill *et al.*, 1970).

The D-xylose absorption test is also used for differential diagnosis of equine diarrheal diseases (Roberts, 1974). Bolton *et al.* (1976) reported that a dosage of 0.5-g D-xylose/kg bw was useful in detecting horses with intestinal malabsorption. The peak plasma concentration in normal horses is less than one-third that seen in normal dogs given D-xylose at comparable doses.

4. Oleic Acid and Triolein Absorption

Several tests have been developed for the clinical evaluation of intestinal absorptive capacity. The absorption of ¹³¹I-labeled oleic acid and ¹³¹I-labeled triolein has been studied extensively in normal dogs (Michaelson *et al.*, 1960; Turner, 1958), and Kaneko *et al.* (1965) used this test to study dogs with intestinal malabsorption. The day before administration of the ¹³¹I-labeled compound, a small amount of Lugol's iodine solution is administered to block thyroidal uptake of the isotope. Tracer amounts of the test substances are mixed with nonradioactive carrier and are administered orally. Absorption is determined by measuring the radioactivity of the plasma at intervals following administration and calculating the percentage of the dose absorbed on the basis of plasma volume.

The ¹³¹I-oleic acid and ¹³¹I-triolein tests performed in sequence are used to differentiate steatorrhea caused by pancreatic enzyme deficiency from that caused by a primary defect in absorption (Kallfelz *et al.*, 1968). If steatorrhea is

caused by a lack of pancreatic lipase, oleic acid absorption will be normal, whereas that of triolein, which requires lipolysis for absorption, will be significantly reduced. The absorption of both compounds is reduced in intestinal malabsorption.

5. Vitamin A Absorption

The vitamin A absorption test measures intestinal lipid absorption (Hayden and Van Kruiningen, 1976). Normal absorption of vitamin A requires secretion of bile and pancreatic enzymes. After oral administration of 200,000 units of vitamin A in normal dogs, serum vitamin A concentrations reach their peak at 6 to 8h, with values ranging between three and five times fasting serum levels. There are small differences in vitamin A absorption between breeds and delayed gastric emptying will also alter results.

6. Other Tests for Assessment of Intestinal Function

Simultaneous evaluation of pancreatic exocrine function and intestinal absorptive function is used in dogs (Rogers et al., 1980; Stradley et al., 1979) and cats (Hawkins, et al., 1986; Sherding et al., 1982). The combined bentiromide and D-xylose absorption tests have proved to be useful diagnostically in dogs. Blood is normally taken at 0, $\{\frac{1}{2}\}$, 1, $1\{\frac{1}{2}\}$, 2, $2\{\frac{1}{2}\}$, and 3h after oral administration of the test solution but a single blood sample taken at 1{1/2}h was adequate for differential diagnostic purposes (Stradley et al., 1979). The combined bentiromide/D-xylose absorption test was of limited usefulness in cats because of marked individual variations. Peak blood PABA levels (60 to 120 min) and peak blood D-xylose levels (30 to 120 min) in healthy cats were less than those of normal dogs, and blood D-xylose levels in cats with infiltrative small bowel disease were not abnormal (Hawkins et al., 1986).

The content of exhaled hydrogen gas has been evaluated as an indicator of carbohydrate malassimilation in the dog (Washabau *et al.*, 1986), cat (Muir *et al.*, 1991), calves (Holland *et al.*, 1986), and humans (Perman, 1991). Unabsorbed carbohydrate is fermented by bacteria in the colon to H₂ and organic acids. Ten to 14% of the H₂ is absorbed and excreted by the lungs (Washabau *et al.*, 1986). Increases in pulmonary H₂ excretion can occur in normal dogs fed rations containing wheat or corn flour. Increased H₂ excretion normally occurs in most species receiving lactulose. Mild increases in H₂ excretion occur in normal humans and dogs receiving xylose but not in the cat.

Breath H_2 excretion has diagnostic value in determining mouth-to-cecum transit time and for identifying small intestinal bacterial overgrowth (Muir *et al.*, 1991). Falsenegative H_2 breath tests have been seen in humans receiving antibiotics. Diet as well as variations in bacterial flora can also cause false-positive test results.

The nitrosonaphthol test qualitatively measures urinary excretion of 4-hydroxyphenylacetic acid and related compounds, which are intestinal bacterial degradation products of tyrosine. The test has been used to differentiate pancreatic or small intestinal diarrheal diseases from those associated primarily with large bowel disease (Burrows and Jezyk, 1983). The test was positive in 77% of the dogs with pancreatic and small intestinal disease and in only 9.5% of those dogs with large bowel disease. Positive tests were associated with bacterial overgrowth of the small intestine and became negative during antibiotic treatment that resulted in clinical improvement. The test may be useful in dogs to select patients with small intestinal bacterial overgrowth, which might respond to antibiotic therapy.

G. Bacterial Overgrowth

The bacterial flora of the canine intestine increases in number from the duodenum to colon. Factors maintaining this aboral gradient are luminal patency, intestinal motility, limited substrate availability, various bacteriostatic/cidal secretions, and an intact ileocecocolic valve. Abnormalities of these control mechanisms facilitate small intestinal bacterial overgrowth (SIBO). SIBO is usually secondary to another disease process, but it has been reported as a primary idiopathic form. Many clinicians prefer to use the term antibiotic-responsive diarrhea instead of idiopathic SIBO (German et al., 2003). Regardless, bacterial overgrowth can interfere with the absorption of nutrients and fluid by reducing microvillar enzyme activity, increasing cellular or intercellular permeability, deconjugating bile acids, and hydroxylating fatty acids.

A number of diseases (Rutgers *et al.*, 1988, 1993, 1995; Simpson *et al.*, 1990; Williams *et al.*, 1987) need to be ruled out before making a diagnosis of idiopathic SIBO. This includes exocrine pancreatic insufficiency (EPI), partial or complete intestinal obstruction, intestinal stasis, resection of the ileocecocolic valve, and intestinal mucosal diseases that cause malabsorption (e.g., moderate to severe inflammatory bowel disease), lymphoma, and lymphangiectasia. Dogs and cats with partial intestinal obstruction often have a history of chronic diarrhea and weight loss, which responds to antibiotics (Batt *et al.*, 1988). Much of the literature pertains to German shepherd dogs (GSD) with subnormal levels of IgA (Delles *et al.*, 1993, 1994; Willard *et al.*, 1994a, 1994b). SIBO has also been reported in beagles with normal IgA levels (Batt *et al.*, 1992).

In the dog, total bacterial counts exceeding 10^5 colony-forming units per milliliter (cfu/ml) of proximal jejunal or duodenal fluid and anaerobic bacterial counts exceeding $\geq 10^5$ cfu/ml have been reported (Burrows *et al.*, 1994). Culture of duodenal juice has been regarded as the gold standard for detecting bacterial counts $\geq 10^5$ cfu/ml; this assumption has been questioned because of the variability

of counts in other reports, which detail duodenal bacterial counts ranging from $\leq 10^2$ to 10^7 cfu/ml; counts of ≥ 10 cfu/ml in clinically healthy GSD, beagles, and greyhounds.

Healthy cats have higher numbers of bacterial flora in their small intestine than do other species (Johnston et al., 1993), in numbers that approximate those for SIBO in dogs and humans. Bacterial counts $\geq 10^5 \, \text{cfu/ml}$ are being increasingly documented in other breeds with clinical signs of weight loss, chronic diarrhea, borborygmi, intestinal cramping, or vomiting, with no evidence of intestinal obstruction, EPI, or severe mucosal infiltrates; clinical signs are often responsive to antibiotic therapy.

A comprehensive review of the literature, to include the aforementioned studies, by Johnston (1999) refuted the existing criterion for defining bacterial overgrowth. She concluded that defining SIBO as greater that 10 fifth cfu/ml in the duodenum or proximal jejunum was not appropriate for dogs and cats. Furthermore, she believed future investigations need to separate patients who have SIBO from those who have other antibiotic-responsive enteropathies.

Dogs with idiopathic SIBO may be subclinical or have chronic gastrointestinal signs. Chronic SIBO can cause inflammatory bowel disease. The lesions consist of villus atrophy and infiltrations of lymphocytes and plasmacytes in the lamina propria. There is substantial but reversible biochemical injury to enterocytes of the brush border membrane (Batt and McLean, 1987). Aerobic bacteria, such as enterococci and Escherichia coli, cause a selective loss of brush border alkaline phosphatase activity and peroxisomal catalase, as well as changes that are consistent with mitochondrial disruption. There are exceptions but aerobic overgrowth is typical for the dog, in contrast to anaerobic overgrowth in humans. The high floral counts in the intestine of cats are thought to predispose them to certain nutritional deficiencies, such as taurine deficiency, and intestinal disturbances attributed to deconjugated bile salts (Johnston et al., 1993).

The culturing and quantitation of intestinal bacterial flora (Simpson *et al.*, 1990) are the definitive means of diagnosing SIBO. Less invasive diagnostic methods include determination of serum cobalamin/folate levels, the measurement of breath hydrogen or intestinal permeability, and the nitrosonaphthol test on urine (Burrows *et al.*, 1995; Simpson, 2005). Deconjugation of bile acids by intestinal flora, with subsequent disproportionate increase in unconjugated bile acids in the circulation, is seen in humans with bacterial overgrowth (Einarsson *et al.*, 1992).

The treatment of SIBO is directed at correcting the underlying structural abnormalities, treating EPI, and controlling the abnormal flora with antibiotics. Patients with IBD often require treatment of SIBO and the mucosal infiltrate. In dogs with suspected idiopathic SIBO, antibiotic therapy is usually given for 28 days. Suitable antibiotics include oxytetracycline (10 to 20 mg/kg TID PO), tylosin (10 mg/kg TID PO), or metronidazole (15 mg/kg BID

PO). Dietary supplementation with fructooligosaccharide in IgA-deficient German shepherds resulted in decreased bacterial counts in luminal fluid and intestinal mucosa tissue (Willard *et al.*, 1994a, 1994b). Plasma-cell infiltrations in jejunal villi were decreased by feeding different protein sources (Edwards *et al.*, 1995). There is also anecdotal evidence that supports the use of highly digestible, low-fat diets, which may less be likely to metabolize to hydroxy fatty acids and stimulate colonic secretions.

The overall prognosis for idiopathic SIBO is guarded and the prognosis for secondary SIBO depends on the underlying disease. Many animals with suspected idiopathic SIBO relapse when antibiotics are stopped and require further courses or long-term maintenance.

H. Helicobacteria

Helicobacter pylori have been associated with chronic gastritis, atrophic gastritis, peptic ulcers, and gastric adenocarcinoma and lymphosarcoma in humans (Handt et al., 1994; Isaacson 1994; Paarsonnett et al., 1991). Studies in other animals have led to the discovery of *H. mustelae* in ferrets with gastritis and peptic ulcers, H. acinonychis in cheetahs with severe gastritis, and H. Heilmannii in pigs with gastric ulcers. The presence of gastric Helicobacter-like organisms (HLO) in the stomachs of dogs and cats has been known for many years, but the relationship of these organisms to gastric disease remains controversial. H. felis, "H. heilmannii," H.bizzozeronii, and H. pametensis have been detected in gastric mucosa of pet cats. H. bizzozeronii, H. heilmannii, H. felii, H. salomonis, H. rappini, and H. bilis have been isolated from dogs. Simultaneous colonization of the stomach with multiple species of Helicobacter has been observed in the dog and cat.

Helicobacter pylori, which is the predominant pathogen in humans, can cause infection in other animals (anthroponosis). Although it has not been isolated from the stomachs of pet dogs and cats, experimental infections have been produced in the nonhuman primates, cats, dogs, and pigs. Studies of the pathogenicity of H. felis, as well as H. pylori, in laboratory cats have demonstrated gastritis, lymphoid follicular hyperplasia, and seroconversion. Many of these cats did not exhibit clinical signs of illness. "H. heilmannii," the predominant species in pet cats and 20% to 40% pet dogs, is also found in the mucosa of 0.4% to 4.0% of people. The zoonotic risk posed by dogs and cats was regarded as small because their 16s rDNA sequences were not consistent with H. heilmanii type I, which is the principal subtype in people; subtypes in dogs and cats were predominantly types II and IV.

Heliocobacter spp. isolated from the stomachs of dogs and cats are spiral-shaped or curved or sometimes coccoid Gram-negative bacteria that inhabit the glands, parietal cells, and mucus of the stomach. They are morphologically indistinguishable by light microscopy and are classified into several Helicobacter spp. on the basis of 16S rRNA sequencing, DNA hybridization, and electron microscopic appearance. The majority of studies in cats and dogs with naturally acquired Helicobacter infections demonstrates that the fundus and cardia are more densely colonized than the pylorus. Large HLO colonize the superficial mucus and gastric glands and may also be observed intracellularly. Degeneration of the gastric glands, with vacuolation, pyknosis, and necrosis of parietal cells, is more common in infected than uninfected dogs and cats. Inflammatory infiltrates in the gastric mucosa of infected animals are generally mononuclear and range from mild to moderate in severity.

Analysis of gastric juice and biopsies from kittens in an *H. pylori*-infected cat colony, using rapid urease tests, *UreB* PCR patterns, and histopathology demonstrated *H. pylori* in nine of 17 kittens by 8 weeks and in 16 by 14 weeks of age. *UreB* PCR patterns and sequences of PCR products from gastric mucosa were identical in mothers and kittens. Bacterial densities were similar in the stomach and the presence of circulating anti-*Helicobacter* IgG antibodies and histopathological findings were consistent with infection.

Studies have been done in cats chronically infected with H. pylori to measure the development of inflammatory and immune responses, and their relationship to the putative bacterial virulence factors cag pathogenicity island (cagPAI), vacA allele, and oipA in combination with bacterial colonization density. Infecting H. pylori strains were positive for vacAsI but lacked the cagPAI and an active oipA gene. Colonization density was uniform throughout the stomach. Up-regulation of IFN-gamma, IL-1a, IL-B, IL-8, and increased severity of infiltrates and fibrosis were observed in infected cats. The median number and total area of lymphoid aggregates were five and ten times greater, respectively, in the stomachs of infected cats than uninfected cats. Secondary lymphoid follicles were frequent and positive for BLA.36, CD79a, and CD3 but negative for B220. Cats with H. pylori can also develop antigastric antibodies that crossreact with Helicobacter antigens, as well as changes in gastric acid secretion and serum gastrin levels.

The evaluation of cytokines in cats with naturally acquired Helicobacter spp. seems to complement histopathological changes in the stomach. Compared to uninfected cats, infected cats have up-regulation of IL-8 and IL-1beta, but not IFN-gamma or IL-10 and gastric lymphoid follicle hyperplasia is more common and extensive. Circulating anti-Helicobacter IgG has been detected in sera of naturally infected cats. To date, there has been no association made between infection and gastrointestinal ulcers or gastric neoplasia in cats. Spontaneous gastritis in the dog is typically consistent with lymphoplasmacytic infiltrations and the expression of IL-10 and IFN-gamma. Helicobacter spp. infection is associated with increased expression of TGF- β and fibrosis.

HLO have been observed in gastric biopsies from 41% to 100% clinically healthy cats, 67% to 100% healthy dogs,

57% to 100% of vomiting cats, 74% to 90% vomiting dogs, and 100% laboratory beagles. The prevalence of individual *Helicobacter* spp. has not been thoroughly investigated because of specialized techniques. The high prevalence of gastric colonization with HLO in healthy and sick dogs and cats indicates that there is no simple "infection = disease" relationship. An uncontrolled treatment trial of pets with gastritis and *Helicobacter* infection showed that clinical signs in 90% of 63 dogs and cats responded to treatment, with a combination of metronidazole, amoxicillin, and famotidine, and that 74% of 19 animals reendoscoped had no evidence of *Helicobacter* in gastric biopsies. However, controlled studies in asymptomatic cats suggest that it is difficult to eradicate gastric organisms with a variety of therapeutic agents.

I. Intestinal Permeability

Changes in intestinal mucosal permeability can be a factor in the pathogenesis of mucosal injury and subsequent gastrointestinal disease (Burrows et al., 1995; Sanderson and Walker 1993). Whether as a primary or secondary disorder, increased permeability predisposes to the passage of intraluminal macromolecules across the intestinal mucosa. Depending on the noxious or antigenic characteristics of these macromolecules, pathological features of toxic or immune-mediated injury may occur. A primary mucosal permeability defect is suspected in humans and Irish setters with gluten-induced enteropathy (Hall and Batt, 1991a, 1991b). Enhanced mucosal permeability resulting from small intestinal bacterial overgrowth has been reported in clinically healthy beagles (Batt et al., 1992). Secondary permeability disorders have been resolved by appropriate treatment of giardiasis and bacterial overgrowth in dogs (Hall and Batt, 1990).

Clinicopathological evaluation of intestinal permeability is based on the oral administration of simple, nondigestible molecules (probes) and their recovery in urine (Elwood et al., 1993; Papasouliotis et al., 1993). Inappropriate levels of these probes in urine indicate abnormal macromolecular permeation through transcellular or paracellular pathways. Polyethylene glycols, ⁵¹CR-labeled ethylenediaminetetraacetate (51EDTA) (Batt et al., 1992; Hall et al., 1989; Hall and Batt 1991a, 1991b), and nonhydrolyzable sugars have been used in permeability tests. The disaccharides, cellobiose and lactulose, and the monosaccharides, mannitol and L-rhamnose, are unable to penetrate healthy enterocytes (Papasouliatis et al., 1993). In the presence of abnormal mucosal permeability, the disaccharides diffuse passively through the mucosa via paracellular pathways, and the monosaccharides passively diffuse transcellularly.

Differential sugar absorption and calculated disaccharide-to-monosaccharide excretion ratio are preferred over single sugar measurements. The use of lactulose and mannitol in the evaluation of intestinal permeability has been reported in healthy cats (Papasouliotis *et al.*, 1993). The cellobiose-to-mannitol urinary excretion ratio was increased in Irish setters with gluten-sensitive enteropathy (Hall and Batt, 1991a, 1991b). Simultaneous quantification of rhamnose, lactulose, 3-O-methyl-D-glucose, and xylose in urine by a unique chromatographic technique has been reported to assess both intestinal function and permeability (Sorensen *et al.*, 1993).

J. Protein-Losing Enteropathy

Albumin, IgG, and other plasma proteins are present in low concentration in normal gastrointestinal secretions. Because protein usually undergoes complete degradation within the intestinal lumen, it has been suggested that the gastrointestinal tract must have a physiological role in the catabolism of plasma proteins. The relative significance of this pathway, however, has been the subject of considerable controversy. Some investigators have concluded, for example, that as much as 50% or more of the normal catabolism of albumin (Campbell et al., 1961; Glenert et al., 1961, 1962; Wetterfors, 1964, 1965; Wetterfors et al., 1965) and θ-globulin (Andersen et al., 1963) may occur in the gastrointestinal tract. Others believe that the physiological role of the intestine in plasma protein catabolism is far less significant, accounting for about 10% of the total catabolism (Franks et al., 1963a, 1963b; Katz et al., 1961; Waldmann et al., 1967, 1969).

Regardless of questions concerning the physiological significance of the gastrointestinal tract in plasma protein catabolism, it is well established that normal intestinal losses are substantially increased in a variety of gastrointestinal diseases, collectively referred to as the protein-losing enteropathies (PLE). The increased loss causes hypoproteinemia (especially hypoalbuminemia), which may be observed in various types of chronic enteric diseases. The excessive losses are the result of ulcerations or other mucosal changes that alter permeability or obstruct lymphatic drainage from the intestine. If severe, hypoalbuminemia may result in retention of fluid with development of ascites and subcutaneous edema of pendant areas.

Excessive plasma protein loss has been seen in swine with chronic ileitis (Nielsen, 1966), in calves with acute enteric infections (Marsh et al., 1969), in cattle with parasitic or other inflammatory abomasal disease (Halliday et al., 1968; Murray, 1969; Nielsen and Nansen, 1967), and in Johne's disease (Patterson et al., 1967; Patterson and Berrett, 1969). In addition to the classic mucosal and submucosal lesions of Johne's disease, secondary intestinal lymphangiectasia can occur. Meuten et al. (1978) observed PLE associated with granulomatous enteritis in two horses.

PLE is seen with some frequency in the dog (Campbell et al., 1968; Farrow and Penny, 1969; Finco et al., 1973; Hayden and Van Kruiningen, 1973; Hill, 1972; Hill and

Kelly, 1974; Mattheeuws et al., 1974; Milstein and Sanford, 1977; Olson and Zimmer, 1978). The most common cause appears to be lymphocytic-plasmacytic enteropathy. Intestinal lymphangiectasia also has been reported as a cause of increased intestinal protein loss; it is most commonly seen in small terrier breeds (e.g., Yorkshire, Maltese) and the Norwegian Lundehund, suggesting a genetic predisposition (Simpson, 2005). Increased plasma protein loss from the stomach has been seen in dogs with hypertrophic gastritis.

Familial protein-losing enteropathy (PLE) and protein-losing nephropathy (PLN) have been described in soft-coated wheaten terriers (Littman et al., 2000). Dogs with PLE were diagnosed earlier than dogs with PLN or with both diseases. Clinical signs included vomiting, diarrhea, weight loss, pleural and peritoneal effusions, and throm-boembolic disease. Panhypoproteinemia and hypocholesterolemia were consistent findings and intestinal lesions included inflammatory bowel disease, dilated lymphatics, and lipogranulomatous lymphangitis. In another study, food hypersensitivities were identified in six affected dogs (Vaden et al., 2000), but the presence of preexisting inflammatory disease made it impossible to determine if food allergies were the cause or result of enteric disease.

Increased intestinal protein loss is the most likely cause of the hypoalbuminemia associated with certain other enteric diseases including lymphoma and malabsorptive syndromes. Munro (1974) demonstrated that protein loss in dogs with experimentally induced protein-losing gastropathy occurs by an intercellular route. Isotope-labeled polyvinylpyrrolidone (131-PVP), 51Cr-labeled ceruloplasmin, and 51Cr-labeled albumin have been used to evaluate enteric protein loss in the dog (Finco *et al.*, 1973; Hill and Kelly, 1974; Olson and Zimmer, 1978; van der Gagg *et al.*, 1976).

Fecal alpha 1-proteinase inhibitor (α 1-PI) is minimally degraded as it passes down the gastrointestinal tract. In conditions where there is excessive loss of plasma protein into the gut, there is an increase in fecal α 1-PI (Williams et al., 1990). The value of this test has been reported in dogs with chronic gastrointestinal disease (Murphy et al., 2003; Ruaux et al., 2004) and in cats with inflammatory bowel disease or gastrointestinal neoplasia (Fetz et al., 2006a, 2006b).

Murphy et al. (2003) reported that fecal α 1-PI concentrations in dogs with gastrointestinal diseases associated with histological abnormalities (median 60.6µg/g, range 7.4–201.7µg/g) were higher than dogs with gastrointestinal disease and normal histology (median 3.8, 0.7–74) and control dogs (9.9, 0.0–32.1). Although there was no direct correlation with serum albumin levels, the fecal α 1-PI was believed to be a useful test in identifying early stages of PLE before decreased levels of serum albumin occurred. Moreover, the test was useful in justifying gastrointestinal biopsies in some cases. Ruaux et al. (2004) reported that

increased fecal loss of α 1-proteinase inhibitor in dogs with PLE is associated with a significant decrease in fecal proteolytic activity and may result in a false-positive diagnosis of exocrine pancreatic insufficiency.

The studies performed by Fetz *et al.* (2006b) proved that cats with chronic gastrointestinal disease can be associated with gastrointestinal protein loss. The upper limit of the reference range for mean fecal α 1-PI concentrations in healthy cats was 1.6µg/g; the concentrations in eight of the nine study cats ranged from 2.2 to 180.77. Fetz *et al.* (2006a) reported that increased fecal α 1-PI concentrations in association with low serum albumin and total protein levels are common findings in cats with inflammatory bowel disease (IBD) and gastrointestinal neoplasia. Furthermore, fecal α 1-PI concentrations tend to be higher in cats with severe IBD or neoplasia when compared to cats with mild to moderate IBD.

K. Ulcerative Colitis

Canine ulcerative colitis, including granulomatous colitis of boxer dogs, has been reported (Ewing and Gomez, 1973; Gomez et al., 1977; Kennedy and Cello, 1966; Koch and Skelley, 1967; Russell et al., 1971; Sander and Langham, 1968; Van Kruininger et al., 1965). In boxer dogs, the disease is characterized by intractable diarrhea that is often hemorrhagic. Histopathologically, there is a granulomatous or histiocytic submucosal infiltrate and the macrophages are laden with periodic-acid-Schiffpositive material. Immunopathological studies describe an increase in IgG3 and IgG4 plasma cells, PAS positive macrophages and CD3-T cells, L1- and MHC11-positive cells, with pathological lesions similar to human ulcerative colitis (German et al., 2003). Electron photomicrography demonstrated bacteria in the macrophages (Russell et al., 1971), and, in some instances, these organisms resembled Chlamydia, Mycoplasma has been cultured from the colon and regional lymph nodes in four boxers, but attempts to reproduce granulomatous colitis with Mycoplasma have been unsuccessful. Until recently, this failure to identify or isolate an infectious agent has led to the belief that granulomatous colitis of boxers is an immune-mediated disease.

The development of culture-independent techniques utilizing PCR probes has renewed suspicion that granulomatous colitis in normal dogs is an infectious disease (Simpson et al., 2006). Colonic biopsies from affected dogs (13 boxers with colitis) and 38 control dogs were examined by fluorescent in situ hybridization (FISH) with a eubacterial 16s rRna probe. Culture, 16s rDNA sequencing, and histochemistry were used to define invasive flora and guide subsequent FISH. Intramucosal bacteria, predominantly Gram-negative coccobacilli, were present in the affected boxers, but none of the controls. Culture and 16s rDNA sequencing yielded mostly Enterobacteriaceae

and invasive bacteria hybridized with FISH probes to *E. coli*. These findings complement the observation that affected boxers often respond to enrofloxacin alone or in combination with amoxicillin and metronidazole.

Cases of ulcerative colitis in dogs have also been attributed to trichuriasis, balantidiasis, protothecosis, histoplasmosis, eosinophilic ulcerative colitis, or neoplasia (Lorenz, 1975). Severe ulcerative colitis has also been reported in cats and in some the feline leukemia virus (FeLV) is demonstrated. Feline panleukopenia can also cause colonic lesions.

Biochemical manifestations of ulcerative colitis depend on the duration and severity of illness, the degree of colorectal involvement, and the presence of systemic complications. In severe cases of long duration with extensive colorectal involvement, hypoalbuminemia and hypergammaglobulinemia are often observed. Hypoalbuminemia is attributed to increased loss of plasma through the denuded and inflamed colorectal mucosa and hypergammaglobulinemia is the response to continuing chronic inflammation.

L. Equine Hyperammonemia

Hyperammonemia and subsequent neurological signs have been documented in horses with liver disease (Divers et al., 2006), adult horses with gastrointestinal disease and presumed bacterial overgrowth (Desrochers et al., 2003; Peek et al., 1997; Sharkey et al., 2006), nursing foals with portosystemic shunts (Fortier et al., 1996), and weanling Morgan foals with a genetic abnormality in hepatic ammonia metabolism (McCornico et al., 1997). The shunt foals and Morgan weanlings are discussed elsewhere.

Pertinent to this chapter is the incidence of hyperammonemia in horses with gastrointestinal dysfunction (i.e., colic and diarrhea) that do not have hepatic disease. There is an increased absorption of ammonia across inflamed intestinal mucosa, or massive overproduction of ammonia within the lumen of the gut, or a combination of both. Under normal circumstances, ammonia is delivered to liver via portal circulation and is metabolized by the Krebs-Hensleit cycle to urea and glutamine. Systemic hyperammonemia results when this cycle is overwhelmed. Ammonia readily crosses the blood-brain barrier and, in high concentrations, has a toxic effect on neuronal cell membranes. Resulting encephalopathic signs may be reversible if the underlying intestinal lesion or ammonia-producing bacteria are treated in an appropriate manner.

A diagnosis of idiopathic hyperammonemia of intestinal origin is based on the absence of infectious, toxic, or developmental causes. In some of the reported cases, recent travel or suspected changes in feeding or husbandry preceded the acute onset of gastrointestinal signs. Increased blood ammonia levels and subsequent encephalopathy developed within a matter of hours. Hyperglycemia and metabolic acidemia were also unique to the cases described by Peek *et al.*

(1997). Although Gram-negative bacilli such as *Escherichia coli*, *Klebsiella*, *Proteus*, and *Pseudomonas* spp. are known to be potent ammonia producers, reports pertaining to the role of specific organisms in affected horses has been limited to *Clostridium sordelli* (Desrochers *et al.*, 2003).

Urea toxicity and ammonia intoxication, as well as ingestion of high protein feeds, were ruled out as causes of hyperammonemia in horses described by Peek *et al.* (1997). Horses would have to ingest a large amount of urea to become toxic for a couple of reasons: (1) most of the urea is absorbed in the small intestine before reaching the caecum, which is the predominant site of urease activity; (2) urease activity in the horse's caecum is much less than that in the cow's rumen. Although horses are much more susceptible to ammonia salts than urea, none of the horses were on pastures that had been fertilized with ammonia salts.

M. Clostridial-Associated Diseases in Horses and Cows

Clostridium difficile has been reported in several sources as a cause of colitis in horses and various small bowel disorders in horses, foals, and ponies. The potential role of this organism in causing duodenitis-proximal jejunitis (DPJ) in horses was proposed by Arroyo et al. (2006). DPJ was previously thought to be an idiopathic condition characterized by inflammation and edema of the duodenum and jejunum. Affected horses acutely developed signs of colic, depression, ileus, fluid accumulation in the small intestine and stomach, and endotoxemia. In the study reported by Arroyo and his coinvestigator, toxigenic strains of C. difficile were isolated from 10/10 horses with DPJ, and only 1 of 16 horses with other causes of nasogastric reflux. C. perfrigens or Salmonella spp. were ruled out as causes of DPF in affected horses.

Horses with proximal enteritis are predisposed to hepatic injury (Davis et al., 2003). When compared to horses with small intestinal strangulation obstruction (SISO), horses with proximal enteritis had significantly higher serum gamma-glutamyltransferase (GGT), aspartate aminotransferase, and alkaline phosphatase activities. Horses with proximal enteritis were 12.1 times more likely to have high GGT activities than were horses with SISO. Suspected mechanisms for hepatic injury were ascending infection from the common bile duct, absorption of endotoxin or inflammatory mediators from the portal circulation, or hepatic hypoxia resulting from systemic inflammation and endotoxemic shock.

Hemorrhagic bowel syndrome (HBS) or jejunal hemorrhage syndrome is an acute sporadic enteric disease recognized most frequently in dairy cattle (Berghaus *et al.*, 2005). Affected cows develop clinical signs consistent with intestinal obstruction, which is attributed to segmental necrohemorrhagic enteritis and large intraluminal blood

clots. Clostridium perfringens and Aspergillus fumigatus have received the most attention as possible etiological agents. The implementation of management practices to achieve high mild production, as well as increased consumption of high-energy rations, seems to be predisposing a risk factor. The fatality risk is very high, and seldom does medical and surgical intervention change the outcome.

IX. DISTURBANCES OF RUMEN FUNCTION

The digestive process of ruminants differs from that of other animals because rumen microbial digestion occurs before other normal digestive processes. The short-chain fatty acids (acetic, propionic, and butyric acids) are the primary end products of rumen fermentation and are the chief sources of energy available to ruminants from the diet. Cellulose, which undergoes only limited digestion in most simple-stomached animals, is readily digested because of the cellulitic bacteria in the rumen. Ruminal bacteria can also use significant quantities of nonprotein nitrogen (NPN) for protein synthesis, and this bacterial protein subsequently can be utilized to meet the protein requirements of the animal. Under experimental conditions, ruminants may grow and reproduce while receiving diets containing only NPN (e.g., urea) sources of nitrogen. Bacterial production of vitamins can also meet essentially all the requirements of ruminants.

Although nutritionally essential, bacterial fermentation within the rumen presents certain unusual hazards for ruminants. For example, when rapid changes in diet occur, the products of fermentation can be released more rapidly than they can be removed or utilized. Acute rumen tympany, acute indigestion or D-lactic acidosis, and urea poisoning are diseases that result from such abrupt changes in diet (Hungate, 1966, 1968).

A. Acute Rumen Indigestion (Rumen Overload, Lactic Acidosis)

Acute rumen indigestion occurs in sheep or cattle consuming high-roughage diets when they inadvertently are allowed access to large amounts of readily fermentable carbohydrate (e.g., grain or apples) (Dunlop, 1972). Streptococcus bovis is the rumen microorganism believed to be chiefly responsible for rapid fermentation and for production of large quantities of lactic acid (Hungate et al., 1952; Krogh, 1963a, 1963b).

When lactic acid accumulates more rapidly than it is absorbed, rumen pH falls and rumen atony develops. Rumen bacteria produce a racemic mixture of lactic acid. Some L-lactate is absorbed and metabolized by the liver and other tissues, but D-lactate cannot be utilized and contributes significantly to the acid load of the body. The excessive lactic acid production results in metabolic acidosis

characterized by reduced blood pH and HCO₃⁻ concentration and by a fall in urine pH from a normal alkaline value to as low as pH 5. Fluid accumulates in the rumen because of the increased osmolality of the rumen fluid. This accumulation of fluid into the rumen causes hemoconcentration, which in turn may lead to hypovolemic shock and death. If affected animals survive the initial period of rapid fermentation, chemical rumenitis induced by the hyperosmolality of the rumen fluid and by the excess lactic acid may develop. Secondary mycotic rumenitis may then follow, which in severe cases can be fatal. In surviving cattle, metastatic hepatic abscesses may also occur.

B. Acute Rumen Tympany (Bloat)

The rumen of mature cattle can produce 1.2 to 2 liters of gas per minute (Hungate *et al.*, 1955, 1961). The gas is the product of rumen fermentation and is composed primarily of carbon dioxide (CO_2) and methane. CO_2 is also released when salivary HCO_3^- comes in contact with the organic acids in the rumen. Under normal conditions, these large amounts of gas are continually removed by eructation.

Any factor that interferes with eructation can produce acute tympany of the rumen (bloat) leading to rapid death. Interruption of the normal eructation reflex or mechanical obstruction of the esophagus typically results in free gas bloat. The most important form of bloat, however, is seen in cattle consuming large quantities of legumes or in feedlot cattle on high-concentrate diets. The primary factor in these more common forms of bloat is a change in the ruminal contents to a foamy or frothy character because of altered surface tension. Gas becomes trapped in small bubbles within the rumen and cannot be eliminated by eructation (Clarke and Reid, 1974).

The chemical changes that cause foam to form within the rumen are not fully understood. Some reports (Nichols, 1966; Nichols and Deese, 1966) suggest that plant pectin and pectin methyl esterase, a plant enzyme, are critical factors. The enzyme acts on pectin to release pectic and galacturonic acids, which greatly increase the viscosity of the rumen fluid, resulting in formation of a highly stable foam. A soluble legume protein fraction with ribulose diphosphate carboxylase activity has been suggested as another important dietary factor in the pathogenesis of bloat (Howarth, 1975). Slime-producing bacteria also have been incriminated in the pathogenesis of frothy bloat. These microorganisms produce an extracellular polysaccharide that results in stable foam formation.

Effective medical treatment and control are directed toward decreasing or preventing foam formation. This has been accomplished with certain nonionic detergents with surfactant properties that break up or prevent formation of foam within the rumen (Bartley, 1965). Another approach has been the prophylactic administration of sodium alkyl sulfonate, which inhibits pectin methyl esterase activity

and prevents foam formation by eliminating the products of this enzyme reaction (Nichols, 1963). Antifoaming agents such as poloxalene administered before ingestion of bloat-producing diets have been shown to be effective prophylactically (Howarth, 1975). Silicone antifoaming agents also have been used for this purpose (Clark and Reid, 1974). Genetic selection of cattle that are less susceptible to rumen tympany has also been pursued (Howarth, 1975).

C. Urea Poisoning

Unlike monogastric animals, ruminants, via their microbial flora, can effectively use nonprotein nitrogen (NPN) to meet some of their dietary protein requirements. Urea, biuret (Oltjen et al., 1969), and ammonium salts (Webb et al., 1972) can serve as dietary NPN sources. Urea, which is the most frequently used, is hydrolyzed by ruminal bacterial urease into CO₂ and NH₃. The free NH₃ is incorporated into amino acids and protein by the rumen microorganisms. The bacterial protein is digested and absorbed in the abomasum and small intestine along with dietary protein.

Signs of urea poisoning typically develop within minutes after consumption of food containing toxic amounts of urea. Clinical manifestations reflect the encephalotoxic effects of excess absorbed NH₃ (Word *et al.*, 1969). Tolerance to urea may be significantly increased by increasing the amount of urea in the diet gradually or by adding readily fermentable carbohydrate to the diet. Ruminants can actually adapt and thrive on a diet in which urea is the sole source of dietary nitrogen. However, if urea is fed at more than 3% in the diet in unadapted animals, toxic effects are likely to occur.

Urea poisoning may occur accidentally when animals engorge on large amounts of urea-containing dietary supplement, when there has been an error in formulation of bulk feed, or when the urea-containing additive is incompletely mixed. Oral administration of acetic acid has been shown to reduce acute urea toxicity, apparently by decreasing absorption of free NH₃ from the rumen. Normally, NH₃ is in equilibrium:

$$NH_3 + H^+ \rightarrow NH_4^+$$

with only 1% in the free form. Acidification shifts the equilibrium farther to the right, thereby reducing the amount of the NH₃. Because only the free form crosses cell membranes, the net effect is a reduction of absorption of NH₃ by the cell. Acetic acid is used as a treatment for urea poisoning, but it is of more value as a prophylactic agent (Word *et al.*, 1969).

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Skeletal Muscle Function

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I. INTRODUCTION

This chapter outlines skeletal muscle form and function, reviews mechanisms of assessment, and introduces selected neuromuscular diseases that highlight the close relationship between muscle form and function. Skeletal muscle comprises approximately 50% of the body's mass. It is largely composed of long multinucleated spindle shaped skeletal muscle cells (myofibers) that are highly specialized by virtue of a structured array of muscle-specific contractile proteins and conductile membranes containing ion channels and pumps. Muscles in the body differ in their constituent myofiber populations and vascular and nerve supply, which affects the speed and force developed during muscle contraction as well as the neuromuscular disorders with which they are afflicted.

II. SPECIALIZATION OF THE SARCOLEMMA AND SARCOPLASM FOR MUSCULAR CONTRACTION

A. Neuromuscular Transmission: Excitation-Conduction

The plasmalemma (sarcolemma) of the skeletal myofiber is an electrically excitable membrane that can activate the contractile machinery in response to signals received from the motor nerve. The properties of excitation and conduction largely result from the presence of membrane-spanning ion conducting pathways and channel gaits that regulate the selective and nonselective conductance of sodium, potassium, calcium, and chloride across the sarcolemma. They activate (open) in response to ligands,

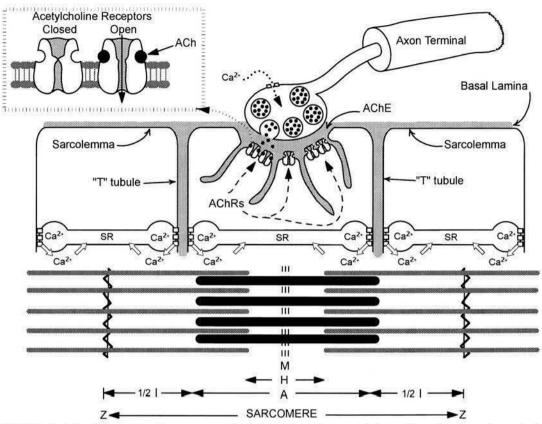


FIGURE 15-1 Excitation of myofibers to contract involves neuromuscular transmission and the subsequent release of calcium ions into the sarcoplasm. Arrival of an impulse at the axon terminal activates voltage-gated calcium ion channels, resulting in the influx of calcium ions that initiate the calcium-dependent release of the neurotransmitter acetylcholine (ACh) by exocytosis. Liberated ACh diffuses across the synaptic cleft to bind with ACh receptors (two molecules of ACh per receptor) on the postsynaptic sarcolemma. Binding of ACh with AChRs increases the conductance of sodium and potassium ions across the postsynaptic membrane to produce a local end-plate potential at the neuromuscular junction. The end-plate potential generates a muscle action potential that spreads away from the neuromuscular junction in all directions over the surface of the myofiber and into its depths via the transverse (T) tubules. Within the depths of the myofibers, excitation is coupled to contraction through the release of calcium ions from terminal cisternae of the sarcoplasmic reticulum (SR) through calcium release channels of the terminal cisternae. The calcium release channels form small "feet" that extend from the terminal cisternae to the T tubules. The liberated calcium ions bind to the regulatory protein troponin and release the inhibitory action of the regulatory proteins on the contractile events that lead to sliding of the thin (actin) and thick (myosin) filaments. The liberated ACh is subsequently hydrolyzed by AChE (acetylcholinesterase) within the basal lamina of the synaptic cleft.

transmitters, or changes in voltage and inactivate (close) by intrinsic regulatory processes. Voltage-gated channels contain additional voltage-sensing transmembrane domains and are essential for the generation and modification of action potentials. Ligand-gated ion channels are essential for setting myoplasmic calcium concentrations and establishing signal transduction pathways. Abnormal function of these ion channels produces muscle weakness or altered muscle contractions through altered excitability of the sarcolemma.

The neuromuscular junction or motor end plate is the synaptic site for chemical transmission of excitation from the presynaptic axon terminal of a motor neuron to the post-synaptic skeletal myofiber (Fig. 15-1). The position of the

neuromuscular junction on a muscle fiber can vary among species, among muscles in a species, and among fibers in a given muscle. The axon terminal rests within a primary depression of sarcolemma, the primary cleft, and contains numerous small vesicles that contain acetylcholine (ACh), the neurotransmitter for excitation of skeletal myofibers. Each vesicle contains a quantum of neurotransmitter, consisting of 6000 to 8000 molecules of ACh. Arising from the primary cleft underlying the axon terminal are numerous smaller secondary clefts and complementary folds. The space within the primary and secondary clefts, located between the axon terminal and the postsynaptic sarcolemma, comprises the synaptic cleft. This space is filled with basal lamina containing acetylcholinesterase (AChE). The synaptic

basal lamina also plays an important role in the development and regeneration of the neuromuscular junction.

The arrival of a nerve action potential at the axon terminal results in activation of voltage-gated calcium ion channels in the presynaptic membrane. The calcium influx initiates a calcium-dependent exocytosis of ACh-containing vesicles from the active zone of the presynaptic membrane. Voltage-gated potassium channels in the presynaptic membrane close the voltage-gated calcium channels and restore resting membrane potential in the axon. The ACh released diffuses across the synaptic cleft to bind with acetylcholine receptors (AChRs), which are concentrated on the crests of the secondary folds of the postsynaptic sarcolemma. The structure of the AChR is similar among animal species. The AChR molecule is an integral transmembrane protein that is formed of five homologous subunits that form a central pore through which ions can flow. It consists of two alpha subunits and single δ , γ , and ε subunits, which possesses a binding site for ACh at the external interfaces of the α/δ and the α/ε subunits. Somewhat deeper within the troughs of the secondary folds are voltage-gated sodium ion channels, which are also present within the sarcolemma throughout nonjunctional regions of the myofiber (Engel, 2004).

Excitation of the myofiber is initiated by the reversible binding of ACh with AChRs. The binding of ACh with AChR (two ACh molecules/receptor) results in a local depolarization of the postsynaptic membrane caused by the transient-increased conductance of the AChR cation ion channels to sodium and potassium ions. The amplitude of the end-plate potential (depolarization) is proportional to the number of ACh-AChR complexes formed. At rest, individual quanta of ACh are spontaneously released at a slow rate and cause transient, low-amplitude depolarizations at the end plate. These are referred to as miniature end-plate potentials (MEEPs). The interior of a resting muscle fiber has a resting potential of about $-95 \,\mathrm{mV}$. The binding of ACh to AChRs is transient, and its effects are abolished by diffusion of ACh away from the receptors and its hydrolysis by AChE. With the arrival of a nerve action potential, approximately 200 quanta of ACh are released, and with the increased number of ACh-AChR combinations, there is a greater conductance of sodium and potassium ions that form a large amplitude depolarization, the end-plate potential (EEP). When the amplitude of the EEP exceeds threshold (approximately $-50\,\mathrm{mV}$), a wave of depolarization (muscle action potential, MAP) is generated over the sarcolemma, away from the end plate in all directions. The MAP is propagated by voltage-gated sodium channels over the surface of the myofiber and into its depths via transverse (T) tubules. The T tubules are invaginations of the sarcolemma that tranverse the long axis of the myofiber, and their lumina openly communicate with the extracellular fluid space (Engel, 2004).

B. Coupling Excitation to Contraction

Excitation-contraction coupling involves the transformation of depolarizing events in the sarcolemma into the initiation of mechanical shortening of the myofibrils within the myofiber by calcium ions released from the terminal cisternae of the sarcoplasmic reticulum (SR). These events occur within the depths of the myofiber at "triads" where the T tubules form junctional complexes with adjacent terminal cisternae of the SR. A triad occurs twice in each sarcomere. The sarcoplasmic reticulum functions in the uptake, storage, and release of calcium ions to regulate the concentration of calcium ions in the aqueous sarcoplasm bathing the myofilaments and other organelles. The concentration of calcium in the SR is aided by the presence of calsequestrin, a calcium-binding protein that is maintained in the lumen of the cisternae by triadin and junctin.

At the T-SR junctional complex of triads, the sarcolemma contains voltage-sensitive dihydropyridine receptors (DHPRs) and the terminal cisternae of the SR possess ryanodine-sensitive calcium ion channels (ryanodine receptors) that form "feet" that fill the gap between the terminal cisternae and T tubules. Accessory proteins that regulate ryanodine receptor function include calmodulin and FK-506 binding protein. With depolarization of the sarcolemma within the T tubules, DHPRs interact with ryanodine receptors to mediate the voltage-dependent release of calcium ions from the SR into the sarcoplasm, elevating the calcium ion concentration from 10^{-7} to 10^{-5} M. This elevation in calcium ion concentrations initiates contraction through its interaction with the calcium binding proteins such as troponin C and calmodulin, a component of the myosin light chain kinase system (Magleby, 2004).

Relaxation is initiated by a reduction in the sarcoplasmic calcium ion concentration through active transport of calcium ions into the lumen of the SR by the SR calcium-ATPase (SERCA). At low calcium concentrations, SERCA activity is inhibited by phospholamban. However, relaxation is promoted by SERCA at higher myoplasmic calcium concentrations generated by stimulation of the ryanodine receptor. Further details concerning the structures and functions involved in neuromuscular transmission and coupling of excitation to contraction are available elsewhere (Engel, 2004; Magleby, 2004; Martonosi and Pikula, 2003; Numa et al., 1990).

C. Muscular Contraction

The ability of skeletal muscle to contract is conferred by the elementary contractile unit, the sarcomere (Fig. 15-2). The sarcomere has three crucial properties: (1) the ability to shorten rapidly and efficiently, (2) the ability to switch on and off in milliseconds, and (3) precision self-assembly and structural regularity. There are three major functional classes

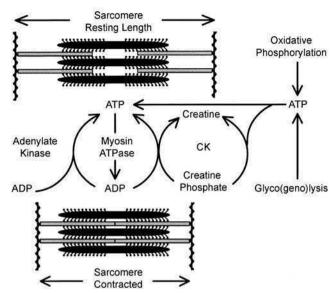


FIGURE 15-2 Muscular contraction involves the shortening of sar-comeres by sliding of the overlapping arrays of thick (myosin) and thin (actin) myofilaments. The energy for contraction is derived from the hydrolysis of ATP in the presence of an actin-activated ATPase present within the head regions of the myosin thick filament cross-bridges. The ATP is generated by the energy metabolism of the myofiber, principally by anaerobic glycolysis or oxidative phosphorylation. The utilization of ATP may be direct from those sources or indirect from the phosphorylation of ADP from creatine phosphate by creatine kinase (CK).

of constituent proteins that form the sarcomere: contractile, regulatory, and structural.

1. Myofilaments and Contractile Proteins

Together, myosin, the principal contractile protein component of thick myofilaments, and actin, the principal component of thin myofilaments, account for more than 70% of myofibrillar protein. Lateral projections of the myosin thick myofilaments (myosin cross-bridges) form reactive sites with actin, which cyclically associate and disassociate during contraction and relaxation. The force-generating step for sliding of the filaments past each other results from changes in the angle of the cross-bridge attachments (Fig. 15-3).

a. Thick Myofilaments and Myosin

To understand the physicochemical changes that occur at the cross-bridges, the composition and properties of myosin need to be considered. Myosin is an asymmetric protein with both structural and enzymatic properties. It is composed of two identical heavy chains (polypeptide chains with an approximate molecular mass of 200kDa) and two pairs of light chains (polypeptide chains with molecular masses ranging from 16 to 27kDa). The two myosin heavy chains are arranged in a double helix to form a long stable tail at one end, and at the other end each heavy chain is folded to

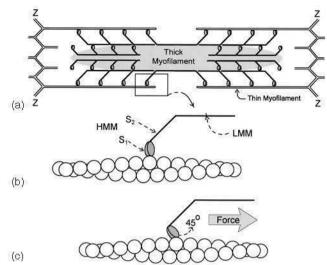


FIGURE 15-3 Schematic presentation of myosin cross-bridges on thick myofilaments. Portions of the myosin molecules (cross-bridges) project from the thick myofilaments and make contact with the thin myofilaments (a). The light meromyosin (LMM) portion of myosin molecules forms the major structural component (backbone) of the thick myofilament, whereas the heavy meromyosin (HMM) component forms the cross-bridge connections between the thick and thin myofilaments (b). The cross-bridges of myosin are composed of two fractions: (1) the $\rm S_1$ fraction, a globular protein fraction composed of two heads, each possessing binding capacities for ATP and actin and the actin-activated ATPase activity of myosin, and (2) the $\rm S_2$ fraction, a fibrous protein fraction that forms the flexible linkage between the $\rm S_1$ fraction and the LMM portion of myosin (b). The force for sliding of the myofilaments results from a change in the angle of attachment (i.e., a change from 90 to 45 degrees) between the $\rm S_1$ globular head and actin filament (c).

form one globular pear-shaped head. The four myosin light chains are contained within the globular heads (two per head) near the junction of the head and neck domains.

The composition of myosin heavy chains within sarcomeres varies among species, among individual muscles, and among individual muscle cells. Mammalian skeletal muscle cells may express six distinct heavy chain genes: perinatal (or neonatal), fast type IIa, fast type IIx (or IId), fast type IIb, and extraocular. The speed of contraction of these myosin heavy chain isoforms increases in the order listed here. Three additional sarcomeric myosin heavy chain genes (super fast, slow A, and slow B) exist, but their expression is unknown, with the exception of expression of superfast myosin in jaw muscles (Sweeney and Houdusse, 2004).

A range of myosin light chain isoforms also exist in skeletal muscle that may affect their function. Skeletal muscle possesses both fast skeletal and slow skeletal muscle isoforms of essential light chains as well as regulatory light chains. Biochemically, two classes of light chains can be distinguished: (1) two identical DTNB light chains, which disassociate from their globular heads with the thiol reagent 5,5'-dithiobis(2-nitrobenzoic acid) (DTNB), which correspond to regulatory light chains, and (2) two related

but different species of 1/alkali light chains (AI and A2), which disassociate at a high pH corresponding to essential light chains (Lowey *et al.*, 1969; Sweeney and Houdusse, 2004; Weeds, 1969).

The myosin molecule is composed of head, neck, and tail domains. Proteolytic digestion with trypsin results in the formation of two fragments: (1) heavy meromyosin (HMM), which is composed of the two globular heads of myosin and a short neck domain composed of the initial segment of the fibrous portion; and (2) light meromyosin (LMM), the tail domain composed of the remaining long fibrous portion. The HMM fragments correspond structurally to the cross-bridges, whereas the LMM fragments comprise the bulk of the thick filaments (Fig. 15-3). The actin-binding capacity, ATP-binding capacity, and actinactivated ATPase activity of myosin reside in the globular head. The actin-activated ATPase activity of myosin appears to reside primarily in the heavy chains and is greatly boosted by interaction of the heads with myosin (Sweeney and Houdusse, 2004).

b. Thin Myofilaments and Actin

The thin filaments are composed of two F-actin strands arranged in a double helical configuration. The F-actin strands are polymers of the globular protein G-actin, and each G-actin monomer possesses a complementary binding site for the myosin globular head. Upon combining with myosin, actin activates the ATPase activity of the myosin globular head (Huxley *et al.*, 1983).

2. Regulatory Proteins

Two proteins (tropomyosin and troponin) work in concert with calcium to regulate muscle contraction. Tropomyosin, a fibrous protein, is arranged along the length of the thin filaments, within the grooves of the two F-actin strands. Troponin is a globular protein complex composed of three subunits: TN-I (troponin inhibitory component), TN-T (tropomyosin-binding component), and TN-C (calciumbinding component). The TN-T component attaches the complex to tropomyosin at intervals along the thin myofilaments. With low sarcoplasmic calcium concentrations (10^{-7}M) , tropomyosin molecules block the myosin-binding sites on actin, which prevents the interaction of actin and myosin. At higher concentrations (10^{-6}M) , calcium ions combine with the TN-C component to initiate a conformational change in the TN-I component, which results in the movement of tropomyosin to free the myosin-binding sites on actin. With the myosin-binding site on actin exposed, actin and myosin combine and initiate the cyclical changes associated with that interaction. When calcium ion concentrations are reduced through uptake of calcium by SERCA, the process is reversed and the interaction of actin and myosin is inhibited.

3. Structural Proteins

The organization of myofilaments within sarcomeres and the organization of myofibrils are supported by a complex cytoskeletal network of intermediate filaments (Wang and Ramirez-Mitchell, 1983). Intermediate filaments, and a number of accessory proteins that form fine filaments, (1) maintain the alignment of myofilaments and sarcomeres, (2) attach and maintain alignment of adjacent myofibrils, (3) attach the sarcomeres of peripheral myofibrils to the sarcolemma, and (4) connect terminal sarcomeres to the sarcolemma at myotendinous junctions. Collectively, the cytoskeletal filaments maintain the structural and functional relationships of the myofilaments and transfer the forces developed by the myofilaments to the sarcolemma.

a. Alignment of Myofilaments, Sarcomeres, and Myofibrils

Thick myofilaments are attached to Z lines by small filaments composed of the protein titin (Labeit et al., 1997; Maruyama, 1999). The titin filaments arise near the M line within the axes of the thick filaments and span the length of the thick filament as well as the I-band region to attach to the Z line. Within the I-band region, the titin filaments provide an elastic attachment to the Z line, which imparts a passive elasticity to sarcomeres. Myosin-binding proteins attach to the thick filaments and titin and appear to serve a structural role as well as a role in myofibrillogenesis. An additional protein, nebulin, forms small filaments that run the length of thin myofilaments and may regulate the length of thin myofilaments. The M line within the sarcomere stabilizes the thick filament lattice by linking neighboring filaments to each other and has an enzymatic role as well. It is composed of creatine kinase, myomesin, and M protein (Craig and Padron, 2004).

At the periphery of myofibrils, adjacent Z lines within the same sarcomere are connected by intermediate filaments of desmin. Also, intermediate filaments of desmin encircle the circumference of Z lines and appear to form linkages with Z lines of adjacent myofibrils to aid in the alignment of sarcomeres in register with adjacent myofibrils.

b. Attachment of Myofilaments to the Sarcolemma

At the periphery of myofibrils adjacent to the sarcolemma there are riblike attachments (costomeres), which are present on either side of Z lines (Franzini-Armstrong and Horowitz, 2004; Maruyama, 1999). Desmin filaments appear to be anchored to the sarcolemma by a number of adhesion proteins such as vinculin. At myotendinous junctions, the thin myofilaments of the last sarcomere attach to the sarcolemma, which is thrown into numerous villous projections. The thin myofilaments are anchored by the proteins α -actinin and vinculin, among others. Growth in the length of muscle fibers occurs at the myotendinous junctions by the addition of new sarcomeres (Griffin *et al.*, 1971).

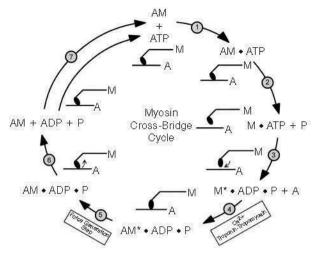


FIGURE 15-4 Muscular contraction results from the cyclical association and disassociation of actin (A) and myosin (M) in which conformational changes occur in the cross-bridge linkages between the thick and thin myofilaments, associated with the hydrolysis of ATP.

D. Muscular Energetics

Muscular contraction results from the transformation of chemical energy into mechanical energy. The energy for contraction is derived from the hydrolysis of adenosine triphosphate (ATP) into adenosine diphosphate (ADP) and inorganic phosphate (Fig. 15-2) catalyzed by myosin adenosine triphosphatase (ATPase) activity in the myosin head. Chemically, the transformation of energy is associated with the cyclical association and disassociation of the contractile proteins actin and myosin, whereas mechanically the transformation is associated with shortening of sarcomeres, which is achieved by conformational changes of the myosin molecules that result in sliding of the overlapping arrays of thick and thin myofilaments (Fig. 15-4) (Eisenberg et al., 1972; Huxley et al., 1983; Lymn and Taylor, 1971).

In the noncontracting state, actin and myosin are combined at the cross-bridges (step 1, Fig. 15-4), and the angle of attachment between the cross-bridge heads and the actin filaments is 45 degrees. Binding of ATP to each globular head (two molecules ATP/myosin molecule) results in a rapid disassociation of actin and myosin (step 2, Fig. 15-4). ATP hydrolysis is rapid when myosin is not associated with actin resulting in the release of phosphate and ADP. The globular head of myosin moves to a new location on the thin filament (step 3, Fig. 15-4), which permits the angle of attachment to become 90 degrees when the globular head recombines with the actin filament (step 4, Fig. 15-4). This recombination step between the globular head and actin is controlled by the regulatory proteins troponin and tropomyosin in response to calcium ion concentrations. The force for contraction is generated by movement of the cross-bridge head to a 45 degree angle of attachment (step 5, Fig. 15-4), and the cycle is completed with the detachment of the hydrolytic products of ATP from the head (step 6, Fig. 15-4). With the formation of ATP through rephosphorylation (step 7, Fig. 15-4), the cycle may be repeated. Measurements indicate that each cycle (stroke) shortens a sarcomere by 12 nm (Barden and Mason, 1978).

Rigor mortis, the rigid and stiff condition of skeletal muscles that develops following death, involves cessation of the cross-bridge cycle in the post-force-generating step (step 6, Fig. 15-4). After death, when all ATP stores have been utilized, disassociation of actin and myosin will not occur, and the contraction cycle is terminated with a large number of actin myosin complexes formed with the myosin heads set at 45 degrees.

III. HETEROGENEITY OF SKELETAL MUSCLE

A. Gross Muscle Coloration

The first indication that different muscles had different physiological prosperities arose from the observation that there was variation in muscle coloration not only among species of animals, but also among individual muscles within the same individual. As a result of these differences in coloration, the terms "red" and "white" were introduced to distinguish between muscles of different gross coloration. Red coloration of muscles was subsequently found to be due to the presence of myoglobin and other cytochromes within the myofibers. Numerous biochemical, histochemical, and physiological studies have since been conducted to detail a variety of differences in both the metabolic and contractile properties of "red" and "white" skeletal muscle.

B. Physiological Properties

The speed of contraction of red muscles was most often found to be slower than that of white muscles in a variety of animals. In addition, redness of a muscle was associated with the development of tetanus at lower frequencies of stimulation, the development of smaller twitch tensions, and a greater resistance to fatigue. Conversely, white muscles required greater frequencies of stimulation for the development of tetanus, developed larger twitch tensions, and tended to fatigue quickly. From this data, the terminology of slow-contracting or slow-twitch and fast-contracting or fast-twitch muscles evolved. Moreover, because speed of contraction was closely associated with gross muscle coloration, the terms "red" and "white" came to be used interchangeably with "slow" and "fast," respectively. However, there are numerous exceptions to this association of gross coloration with physiological properties of contraction. Therefore, direct associations must not be assumed.

C. Motor Units

The morphological and functional unit of skeletal muscles is the motor unit (Fig. 15-5). The motor unit is composed

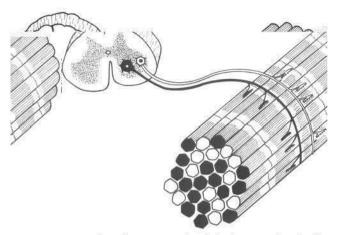


FIGURE 15-5 Schematic representation of the homogeneity of skeletal muscle motor units. Motor units have a homogeneous myofiber-type composition whereby slow-twitch motor units are composed of only type 1 myofibers (light staining for myosin ATPase), whereas fast-twitch units are composed of only type 2a (fast-twitch, fatigue resistant) myofibers, or only type 2b (fast-twitch, fatigable) myofibers (dark staining for myosin ATPase).

of (1) the motor neuron, consisting of its cell body located within the central nervous system (selected cranial nerve nuclei or the ventral horn of the spinal cord), and its axon, which extends along the ventral root and peripheral nerve; (2) the neuromuscular junctions; and (3) the myofibers innervated by the motor neuron.

Motor neurons may differ based on their rates of discharge: (1) phasic motor neurons with a fast discharge rate and (2) tonic motor neurons with a slow discharge rate. In addition, the phasic motor neurons are characterized by shorter after hyperpolarization potentials, faster conduction velocities, and larger axons than the tonic motor neurons. Investigations of these parameters in motor neurons of slow-contracting and fast-contracting muscles indicate that tonic motor neurons, which discharge at rates of 10 to 20 per second, innervate slow-contracting muscles, and phasic motor neurons, which discharge at rates of 30 to 60 per second, innervate fast-contracting muscle. Thus, there are at least two types of motor units, which differ in their physiological properties and type of motor neuron innervation (Eccles *et al.*, 1958).

Physiological measurements performed on isolated motor units in the cat have revealed two types of fast-twitch motor units and one type of slow-twitch unit (Burke, 1975). Some fast-twitch motor units are resistant to fatigue and designated FR units (i.e., fast-twitch, resistant to fatigue); others fatigue rapidly and are designated FF units (i.e., fast-twitch, fatigable). All of the slow-twitch units are resistant to fatigue and are therefore designated as S units (i.e., slow-twitch). The average number of muscle fibers per motor unit in cats ranges from 550 to 650. Subsequent immunohistochemical studies (discussed later) reveal that slow-twitch motor units contain type I myosin isoforms, and most FR motor units contain type IIa or IIa/x myosin isoforms and most FF motor units contain type IIx (mammals) or IIb (rodent) myosin isoforms.

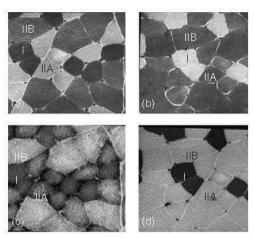


FIGURE 15-6 Serial sections of cat medial gastrocnemius muscle incubated for the histochemical demonstration of (a) myofibrillar ATPase, incubated at pH 9.4; (b) myofibrillar ATPase, preincubated at pH 4.5; (c) NADH-tetrazolium reductase. Type 1 myofibers comprise slow-twitch motor units that are resistant to fatigue. Type IIA myofibers comprise fast-twitch motor units that are resistant to fatigue, whereas type IIB myofibers comprise fast-twitch motor units that fatigue rapidly. Dark NADH staining indicates high mitochondrial content; and (d) myofibrillar ATPase, preincubated at pH 4.2.

D. Histology and Histochemistry

- 1. Histochemical Properties of Myofibers
- a. Histoenzymic Properties Associated with Myosin-ATPase

Myofibers have been differentiated histochemically into type I and type II myofibers based on their staining reaction for myosin ATPase (Fig. 15-6) (Dubowitz and Brooke, 1973). Furthermore, type II myofibers, classified by the myosin ATPase staining reaction, may be further subdivided into type IIA, type IIB, and type IIC myofibers based on the lability of their ATPase activity following preincubation in acid and alkaline media (Fig. 15-6) (Brooke and Kaiser, 1970). If the actin-activated myosin ATPase activity is rate limiting in the speed of contraction, it follows that the histochemical method for myosin ATPase would be a specific method for the differentiation of myofiber types based on their speed of contraction. This classification scheme is generally applicable to all mammalian species except for canine muscles, which do not contain classical type IIB myofibers (Braund et al., 1978; Orvis and Cardinet, 1981).

b. Immunocytochemical Identification of Myosin Isoforms

More recently, immunohistochemical differentiation of fiber types based on antibodies directed against myosin heavy chain isoforms have been used to identify contractile muscle fiber types (Gorza, 1990). To henceforth distinguish between the two methods for fiber-type identification roman numerals will be used for histochemical fiber types

and Arabic numbers will be used for myosin isoforms. Immunohistochemical staining for myosin isoforms reveals that the true number of distinctly identified muscle fiber types supersedes the number recognized by histochemistry alone. Myosin isoforms include neonatal and slow-twitch myosin isoforms as well as five distinct fast-twitch isoforms (Rubenstein and Kelly, 2004). Type 2a, type 2b, and type 2x are the most widely expressed skeletal muscle isoforms in the body with 2m fibers found in the jaw muscles of carnivores and 2eom in extraocular muscles. Unfortunately, type IIB fibers distinguished histochemically by myosin ATPase activity do not correspond to type 2b fibers distinguished by immunhistochemical staining for myosin heavy chains. Rather type IIB fibers correspond more closely with type 2x fibers (also called 2d) found in many mammalian species whereas 2b fibers correspond to myosin found in rapidly contracting muscle fibers found in rodents and camelids (Gorza, 1990). Moreover patterns of contractile protein isoforms and enzyme activities recognized in developing or pathological muscles do not correlate with standard histochemically derived fiber types (Linnane et al., 1999). Hence, though useful as a screening tool for pathologists and physiologists, histochemical techniques have limitations.

Most limb muscles are "mixed" and contain variable proportions of type 1, type 2a, and type 2x myofibers. Intermediate fiber types called type IIC by myosin ATPase histochemistry or neonatal by immunohistochemistry are normally rare in mature muscle. These fibers presumably represent fibers capable of transitioning between type 1 and type 2 myofibers (Brooke and Kaiser, 1970).

c. Histoenzymic Properties Associated with Aerobic and Anaerobic Energy Metabolism

Histochemical studies show that type 1 myofibers have higher activities of oxidative enzymes such as succinate dehydrogenase (SDH), reduced nicotinamide adenine dinucleotide tetrazolium reductase (NADH-TR) (Fig. 15-6), and reduced nicotinamide adenine dinucleotide phosphatetetrazolium reductase (NADPH-TR) than type 2 fibers (Dubowitz and Brooke, 1973). In conjunction with electron microscopic observations, the activities of these enzymes have been localized to mitochondria, which are present in abundance in type I fibers. Associated with the large mitochondrial volume of type 1 myofibers are lipid inclusions. Type II fibers in general stain darkly with glyco(geno)lytic stains such as phosphorylase or phosphofructokinase activity. Because various intermediate histochemical reactions of myofibers also exist, a classification system that describes type 1, type 2 oxidative, and type 2 glycolytic is often used.

d. Relationships with Functional Properties

Each motor unit is homogeneous with respect to its myofiber-type composition. Motor units with slow-twitch fibers

TABLE 15-1 Metabolic Properties of Muscle Fiber Types in an Untrained Animal

	Type 1	Type 2a	Type 2x
Speed of Contraction	Slow	Intermediate	Fast
Myoglobin Content	High	Intermediate	Low
Fatigue Resistance	High	Intermediate	Low
Oxidative Capacity	High	Intermediate	Low
Fat Content	High	Intermediate	Low
Glycolytic Capacity	Low	High	High
Glycogen Content	Low	High	High

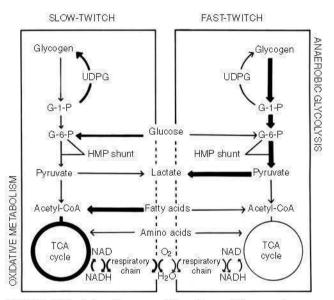


FIGURE 15-7 Schematic representation of some differences in energy-yielding metabolic pathways of slow-twitch and fast-twitch muscles. In slow-twitch muscles, energy for contraction is derived primarily by oxidative phosphorylation resulting from the oxidation of fatty acids, carbohydrates, and perhaps amino acids via the tricarboxylic acid cycle (TCA). Fast-twitch muscles derive their energy primarily via anaerobic glycogenolysis and glycolysis through the degradation of glycogen and glucose to lactate. Aerobic glycolysis via the HMP (hexose monophosphate) shunt is a minor pathway in both types of muscle.

are fatigue resistant and corresponded to type 1 fibers in histochemical stains. Two types of fast-contracting motor units exist; fast-twitch fatigue resistant, corresponding to type 2a fibers, and fast-twitch rapidly fatigable corresponding to type 2x fibers identified histochemically (Burke, 1975).

The physiological and histochemical properties and classifications of myofibers are summarized in (Table 15-1) and illustrated in Figure 15-6 and Figure 15-7.

E. Quantitative Biochemistry

1. Metabolic Pathway for Utilization of Energy (ATP) for Contraction

As previously discussed, the actin-activated myosin ATPase activity catalyzes the cyclical physiochemical interactions of actin and myosin during contraction (Fig. 15-4). Furthermore, the intrinsic speed of contraction (sarcomere shortening) has been demonstrated to be proportional to the activity of actin-activated myosin-ATPase, and differences exist between the myosin-ATPase activities of type I and type II muscle fibers. From those observations it is postulated that the rate-limiting step of sarcomere shortening during contraction is the hydrolysis of ATP. The ATPase activity of type I fibers is lower than in type II fibers, and their pH dependency and liability in acid and alkaline conditions also differ (Barany, 1967; Seidel, 1967).

2. Metabolic Pathways That Generate Energy (ATP) for Contraction

Quantitative differences in enzyme activities and various substrate concentrations have been reported between slow-twitch type 1 and fast-twitch muscle fibers type 2 as well as between fibers expressing myosin isoforms type 2a and 2x. Those biochemical differences between fiber types reflect differences in their principal metabolic pathways active in the generation of energy (ATP) for muscular contraction (Fig. 15-7).

The ATP required for contraction is not stored in significant quantities. Therefore, ATP must be readily produced through the metabolism of fats, carbohydrates, and creatine phosphate stores to support the energy requirements for contraction. Aerobically, ATP is produced in muscle mitochondria by oxidative phosphorylation coupled to electron transport. Anaerobically, ATP is produced in the aqueous sarcoplasm through substrate phosphorylation of ADP by (1) creatine kinase, utilizing creatine phosphate stores; (2) glyco-(geno)lysis, utilizing muscle glycogen stores, and (3) myokinase kinase, utilizing ADP produced by the ATP hydrolysis.

a. Aerobic and Anaerobic Energy Metabolism

In general, fast-twitch muscle fibers in the untrained state are biochemically suited to derive energy for contraction by anaerobic glyco(geno)lysis. Fast-twitch fibers, particularly type IIx, tend to have higher concentrations of glycogen and creatine phosphate as well as higher activities of enzymes associated with glycogenolysis and glycolysis (Table 15-1). Slow-twitch type I fibers, on the other hand, generally have higher concentrations of triglycerides and myoglobin and are better suited to derive their energy by oxidative phosphorylation via the electron transport system following the oxidation of fatty acids and glucose via the Krebs cycle (Table 15-1). Type IIa fibers are intermediate

in their glycolytic and oxidative capacity between type IIx and type I fibers (Adhihetty *et al.*, 2003; Rubenstein and Kelly, 2004).

Triglycerides and glycogen serve as primary substrates for muscle metabolism. In general, the rate of glycogen utilization is greatest with high-intensity anaerobic exercise, whereas low-intensity submaximal exercise results in a lower rate of glycogen utilization and reliance on oxidation of fatty acids as fuel (Kiens, 2006). Under conditions of restricted energy intake or prolonged exercise, amino acids may also serve as energy substrates within skeletal muscle (Rennie *et al.*, 2006).

b. Purine Nucleotide Cycle

With strenuous exercise when the regeneration of ATP fails to meet energy demands, the myokinase reaction can be used to generate ATP from accumulated ADP. The accumulation of the additional product of this reaction, AMP, stimulates the purine nucleotide cycle, which removes AMP thereby preventing product inhibition of the myokinase reaction. The deamination of AMP by AMP deaminase in the purine nucleotide cycle results in the production of ammonia and inosine monophosphate (IMP) (Lowenstein, 1972). The cycle further involves the regeneration of AMP through deamination of aspartate and the hydrolysis of guanosine triphosphate to form adenylosuccinate, and the cleavage of adenylosuccinate to form fumarate and AMP. The activity of AMP deaminase is greater in type II compared to type I muscle fibers.

The purine nucleotide cycle regulates energy requirements for muscular contraction through (1) maintenance of a high ATP:ADP ratio by regulating the relative AMP, ADP, and ATP levels; (2) regulation of phosphofructokinase (PFK) activity through activation of this enzyme by ammonia; (3) regulation of phosphorylase activity through activation by IMP; (4) replenishment of citric acid cycle intermediates by the production of fumarate; and (5) deamination of amino acids for oxidative metabolism through the formation of aspartate (Tullson and Terjung, 1991).

From the foregoing, it is evident that the deamination of AMP can promote ATP synthesis by (1) stimulating anaerobic glyco(geno)lysis through the activation of phosphorylase b by IMP, and the activation of PFK by ammonia, and (2) supporting oxidative metabolism through the production of intermediates into the TCA cycle. The degradation of adenine nucleotides in equine muscle appears to occur mainly through deamination of AMP. Reported AMP deaminase activities are greatest for equine middle gluteal muscles, which were approximately double reported values for muscles of the rat and rabbit (Cutmore *et al.*, 1986).

c. AMP Kinase

Another emerging key sensor and regulator of energy metabolism in skeletal muscle is AMP-activated protein kinase (AMPK), which monitors astonishingly small shifts in the cellular AMP:ATP ratio (Hardie, 2004). The activation of AMPK—either by allosteric interactions of AMP, phosphorylation by AMPK kinase, or both—triggers a shift from energy-consuming pathways, such as glycogen, fatty acid, and cholesterol synthesis, to ATP-generating pathways via the phosphorylation of key regulatory enzymes (Carling, 2004). AMPK activity may be necessary for contraction-stimulated glucose transport. AMPK has also been implicated in regulating gene transcription and, therefore, may function in some of the cellular adaptations to training.

F. Muscle Fiber Composition

Most muscles in domestic animals contain a mixture of muscle fiber types (Fig. 15-6). The muscle fiber composition, the percentage of type 1, 2a, and 2x fibers, and muscle fiber cross-sectional areas vary greatly among species, muscle groups, individuals, and breeds. When comparing the fiber-type composition of different individuals, a standardized site must be used as fiber-type proportions vary along the length and depth of a muscle. Locomotor muscles in most domestic animals have a combination of type 1, 2a, and 2x fibers (or I, IIA, and IIB depending on the technique used for fiber typing). Locomotor muscles in dogs contain type I and type IIA fibers and no type 2B fibers using ATPase stains for fiber typing; however, histochemical type IIA fiber types appear to correspond to both type 2a and hybrid type 2a/x MHC isoforms (Strbenc et al., 2004). Camelid muscles contain an unusual mixture of 1, 2a, 2b, and 2x fibers (Graziotti et al., 2001). Horses have a high proportion of type 2a and 2x fibers relative to type 1 fibers in their locomotor muscles. Breed differences have been extensively studied in horses (Snow and Valberg, 1994). In general, quarter horses and Thoroughbreds have the highest percentage of fast-twitch muscle fibers, 80% to 90%; standardbreds have an intermediate number, 75%; and donkeys have the lowest percentage of fast-twitch fibers in locomotor muscles (Snow and Valberg, 1994).

Fiber-type composition is not constant, as growth and training can alter the fiber-type composition and fiber size in the same muscle over time. With growth and training, there is a change in the length and breadth of a fiber as well as a change in the proportion of fiber types rather than an increase in the number of muscle fibers. Growth and training at speed results in an increase in the proportion of type IIA (2a) fibers and a concomitant decrease in type IIB (2x) fibers (Eto *et al.*, 2003, 2004).

G. Muscle Fiber Recruitment

When a muscle contracts during exercise, it does so in response to a predetermined recruitment of particular muscle fibers. This orderly recruitment of muscle fibers leads to smooth, coordinated movement. As exercise begins, a select number of motor units are recruited to provide the power to advance the limb. Motor units are recruited with respect to their contractile speed and oxidative capacities (Burke, 1975; Valberg, 1986). At slow exercise intensities, type I and a small number of type II fatigue-resistant muscle fibers are stimulated. The force produced by any muscle is proportional to the cross-sectional area that is active. As the speed or duration of exercise increases, more muscle fibers are recruited, and this occurs in the order of their contractile speed from type I to type IIA and type IIB (Lindholm et al., 1974; Valberg, 1986). With moderate intensity, type I and type IIA myofibers are preferentially recruited, whereas moderate intensity of long duration or maximal exercise intensity is required for recruitment of type IIB myofibers.

More recent studies suggest that muscle fiber recruitment may be regulated by the central nervous system, with the subconscious brain producing an anticipated regulated response governed by peripheral feedback mechanisms and predetermined patterns of recruitment acquired from training and modulated by conscious motivation (Noakes *et al.*, 2004).

IV. ORIGINS OF FIBER DIVERSITY

The origins of muscle fiber-type composition appear to lie in lineage directives that developing embryonic myoblasts obtain from their progenitors, which limit to some extent the plasticity of the adult myofibers (Rubenstein and Kelly, 2004). Specification to become slow- or fast-twitch fibers appears to exist already in the myoblast stage and manifests when myotubes express one or the other MHC isoform. Subtypes of fast-twitch fibers become established following the commitment to the fast-twitch phenotype and occur in concert with the development of thyroid function (Russell et al., 1988). In the embryo, the primordial myoblasts migrate to their position in the limb of the embryo where their fiber type is further influenced by temporal and positional factors, synaptogenesis, imposed neuronal activity, and activation of specific signal transduction pathways. At least two signal transduction pathways may contribute to fiber-specific synthesis of slow myosin. These include calcineurin, a calciumregulated serine/threonine phosphatase, and Ras (Rubenstein and Kelly, 2004). A mosaic of fiber types subsequently forms with fiber-type predominance programmed in certain muscles or portions of muscles.

In mature muscle, the nerve has important trophic influences on the innervated muscle, which regulate its structural and metabolic properties. Motor units contain fibers of the same type. When motor neurons that normally innervate slow muscles are cross-innervated to supply muscles that are normally fast, and motor neurons that normally innervate fast muscles come to innervate muscles that are normally slow, a reversal of contractile properties occurs—that is, fast-twitch muscles become slow, and slow-twitch muscles become fast. Accompanying this is a corresponding change in the enzyme histochemical profiles of the myofibers. Therefore, the motor neuron influences (1) the type of energy metabolism employed by a myofiber and all the structural changes in fiber organelles that this implies and (2) the myofiber's physiological properties of contraction. More recent cross-innervation studies, however, demonstrate that the embryogenic determination of muscle fiber types provides an inherent bias toward an original phenotype. Transplantation of myoblasts from cat jaw muscles (type 2m fibers) into the fast-twitch extensor digitorum limb muscle results in expression of their 2m myosin isoform even when enervated by the extensor digitorum nerve (Hoh and Hughes, 1988). Conversely, when jaw muscle myoblasts are transplanted into the slow-twitch soleus muscle, the soleus nerve can override type 2m expression and switch fibers to myosin heavy chain type 1 expression. Taken together, these studies suggest that muscle fiber type is determined by competitive interactions between endogenous programming and exogenous cues from motor neurons that require continual reinforcement (Rubenstein and Kelly, 2004).

V. EXERCISE AND ADAPTATIONS TO TRAINING

A. Exercise Intensity and Sources of Energy

The rate of energy utilization during intense exercise can be as much as 200 times greater than at rest and the rate of ATP utilization is closely associated with the rate of ATP synthesis (Holloszy, 1982a). Hence, the availability of ATP is a central requirement for sustaining the rate and duration of exercise. Because the stores of creatine phosphate and ATP available for immediate use within myofibers are small, the metabolic pathways for ATP synthesis serve a vital function in the maintenance of exercise. A number of interdependent factors appear to influence the metabolic pathways used for energy production during exercise. These include the speed and duration of exercise, the muscle fiber composition, the metabolic properties of the muscle fibers recruited, and the availability of oxygen and different energy substrates.

Aerobic pathways such as the Krebs cycle, β oxidation of free fatty acids (FFA) and the electron transport chain are located within mitochondria and provide the bulk of ATP for the cell as long as oxygen is plentiful. The efficiency of mitochondrial pathways is demonstrated by the ability to generate 38 molecules of ATP from oxidation of one molecule of glucose or the generation of up to 146 molecules of ATP from β oxidation of an FFA. Anaerobic pathways such as glycolysis, creatine phosphate, and the purine nucleotide cycle are found within the cell cytoplasm. Anaerobic glycolysis

converts glucose to pyruvate, and then lactate provides only two molecules of ATP for each molecule of glucose metabolized. Anaerobic glycolysis, although less efficient, rapidly supplies ATP even when oxygen is not available.

The main fuels for aerobic muscular contraction are FFA and glucose, which are supplied by intramuscular (lipid droplets, β glycogen particles) and extramuscular (liver and adipose tissue) depots during exercise. The rate-limiting factor in the supply of plasma free fatty acid (FFA) to muscle appears to be the rate of FFA release from adipose tissues (Bennard *et al.*, 2005). The rate-limiting factor in the extramuscular supply of glucose to working muscle is glucose uptake by the myofibers. It is estimated that 65% or more of the oxygen utilization during moderate to heavy exercise is accounted for by the oxidation of carbohydrates (Holloszy, 1982a; Sahlin, 1986). Glycogen is the primary fuel metabolized to synthesize ATP during anaerobic metabolism (Holloszy, 1982a).

At rest, oxidation of FFA and stored triglycerides contributes the bulk of the fuel used for maintaining muscle tone, whereas the oxidation of glucose accounts for only 10% to 20% of the CO₂ produced (Havel et al., 1967). At the onset of exercise, energy is initially derived from creatine phosphate and anaerobic glyco(geno)lysis. However, as the duration of exercise is increased and blood flow increases, there is a shift to aerobic metabolism in which glucose, FFA, and triglycerides are oxidized. At low to moderate exercise intensities, the oxidation of fatty acids provides the major source of energy. At moderate to high aerobic exercise intensities, the oxidation of fatty acids decreases and carbohydrates account for 50% or more of the amount of substrate utilized (Wahren, 1977). At moderately high aerobic exercise intensities, muscle glycogen accounts for the majority of the glucose oxidized, and oxidized FFA are primarily derived from muscle triglyceride depots. By using FFA, intramuscular glycogen stores are spared. Metabolic events within skeletal muscle, which are believed to contribute to fatigue during prolonged submaximal exercise, involve a combination of the following: intramuscular glycogen concentrations become depleted, muscle temperatures become markedly elevated, electrolyte concentrations are altered, or neuromuscular fatigue occurs. Very little lactic acid accumulates at fatigue during submaximal exercise.

At maximal exercise speeds, oxygen consumption peaks, oxidative energy metabolism is maximal, and further energy must be generated by anaerobic glycolysis or deamination of ATP. Glycogen serves as the major fuel utilized at maximal speeds and exponential accumulation of lactate results. Depletion of glycogen stores normally does not appear to limit maximal exercise because marked depletion is not observed before the onset of fatigue. Conventional theories regarding muscle fatigue with maximal exercise have hypothesized that an acidosis, arising from accumulation of lactic acid, is the primary determinant of muscle fatigue. Lactic acid was said to inhibit the activity of phosphofructokinase,

the rate-limiting step in glycolysis, and possibly impair muscle contractile mechanisms (Sahlin et al., 1981). Muscle pH can fall as low as 6.4 following maximal exercise. However, more recent studies show that PKF activity is not inhibited at pH 6.4 (Spriet, 1991), and hydrolysis of ATP, which generates ADP, free phosphate, and hydrogen ion, is a more likely source of acidosis than lactic acidosis (Lindinger et al., 2005; Robergs et al., 2004). When ATP demands of muscle are met by mitochondrial respiration, hydrogen ions are used by the mitochondria for oxidative phosphorylation. With maximal exercise, when ATP is largely generated by glycolysis, hydrogen ions accumulate creating an acidosis. Under more current thinking, lactate is generated to prevent pyruvate accumulation and generate NAD+ to facilitate glycolysis and serves as an anion to actually buffer hydrogen ion accumulation (Lindinger et al., 2005). Thus, lactate serves as a marker for acidosis but is not directly involved in acidotic conditions within muscle cells (Lindinger et al., 2005). The ability to buffer or remove hydrogen ions remains very important for muscle function during maximal exercise.

Further metabolic limitations to maximal high intensity exercise may relate to the demand for ATP outstripping the myofiber's innate ability to produce ATP at maximal speeds. Under these circumstances, the cell turns to its last venue of energy production, the purine nucleotide cycle (Harris et al., 1991). Short term, this produces ATP for muscle contraction from the accumulated ADP. However, the total nucleotide pool becomes depleted from deamination of AMP to IMP. For intracellular stores of ADP and ATP to be replenished, at least 30 to 60 min is required for reamination of IMP. Whole muscle concentrations of ATP rarely decline by more than 50% with maximal exercise; however, ATP in individual fibers during maximal exercise can be minimal, and concentrations in individual fibers may be more important for the onset of fatigue than the measured concentrations in whole muscle samples (Essen-Gustavsson et al., 1997).

A more complex model of fatigue has recently been developed that refutes the classic model of peripheral fatigue of muscle contraction arising from inadequate oxygen delivery or substrate depletion. In this newly proposed model, fatigue is not a physical limit within muscle but rather a sensation that arises from conscious perception of subconscious regulatory processes in the brain that control muscle fiber recruitment. Termination of exercise occurs when a conscious desire to continue exercising is overridden by the summation of negative sensations arising from afferent feedback from physiological systems that would include sensors of substrate availability and muscle pain (Noakes *et al.*, 2004).

B. Adaptations to Exercise Training

Exercise induces major biochemical adaptations in skeletal muscle. The nutritional state, intensity and duration of exercise, and degree of physical fitness are all factors that qualitatively and quantitatively affect the metabolic pathways used in the generation of energy for muscular contraction.

The principal metabolic adaptation of skeletal muscles to training is an increase in the oxidative capacity to utilize fat, carbohydrate, and ketones (Grobler et al., 2004; Hurley et al., 1986). Muscle glycogen content often increases with training (Gollnick et al., 1972), whereas glycogenolytic and glycolytic enzyme activities are largely unchanged (Baldwin et al., 1973; Holloszy, 1982b). In contrast, the mitochondrial protein content increases approximately 60% with training (Holloszy, 1982b). The activities of enzymes that transport FFA into the mitochondria, the capacity for oxidation of FFA, as well as the activities of oxidative enzymes in the citric acid cycle increase in response to a training program (Gollnick et al., 1972; Holloszy, 1982b; Mole et al., 1973; Saltin et al., 1977). In horses, training studies most frequently show increased activities of oxidative enzyme markers such as citrate synthase and variable to no measurable increase in markers for fat oxidation such as 3-OH-acyl-CoA dehydrogenase and glycolysis (Cutmore et al., 1986; Golland et al., 2003; Hodgson, 1985).

Histochemical studies show that the increase in oxidative capacity that occurs with training occurs most notably in type 2 and particularly type 2x (IIB) fibers of horses and the capillarization of all fiber types increases (Henckel, 1983; Serrano et al., 2000; Yamano et al., 2005). Most studies indicate that over time the cross-sectional area of type 2x (IIB) muscle fibers decreases with training and type 1 and type 2a fibers increase in size (Rivero et al., 1993). These metabolic adaptations favor the delivery of oxygen and blood-borne substrates, the early activation of oxidative metabolism, and the utilization of FFA in muscle fibers. By sparing muscle glycogen, endurance is enhanced, and fatigue is delayed. At high exercise intensities, improved oxidative capacity decreases the rate of hydrogen and lactate ion accumulation in trained versus untrained subjects performing the same exercise. Although an increase in oxidative capacity may be metabolically advantageous, a decrease in the percentage of type 2x fibers and a decrease in their cross-sectional areas may also deleteriously affect their speed and force of contraction. Obviously a balance is required between skeletal muscle fiber metabolic and contractile properties for optimum speed and endurance. Because the muscle fiber composition and fiber properties vary so greatly among individuals, achievement of this balance may be different for each horse and each type of equestrian competition.

In summary, the major metabolic consequences of the adaptations of muscle to endurance exercise are a slower utilization of muscle glycogen and blood glucose, a greater reliance on fat oxidation, and less lactate production during a given intensity. At workloads below maximal O_2 utilization, aerobic pathways are the principal sources of energy through the oxidation of FFA and glucose. This

correlates with the evidence that type 1 and type 2a myofibers are activated first and their metabolic orientation is toward aerobic pathways. With workloads approaching maximal O_2 utilization and beyond, the sources of energy are derived principally from anaerobic pathways through glyco(geno)lysis. Type 2x (IIB) myofibers are recruited with increased workload intensities, and their metabolic energy derivation is mainly by anaerobic pathways.

VI. DIAGNOSTIC LABORATORY METHODS FOR THE EVALUATION OF NEUROMUSCULAR DISORDERS

Neuromuscular diseases are classified, whenever possible, as to the origin or site of the primary lesion. Myopathies are those diseases in which the primary defect or disease process is considered to be limited to the myofibers, and neuropathies are those diseases of muscle that are secondary changes resulting from defects or diseases of the neuron (e.g., denervation).

Muscular weakness, abnormal muscle contraction, and stiffness or muscular pain are principal clinical signs of neuromuscular disorders. Manifestations of muscular weakness may be functional (e.g., paresis, paralysis, gait abnormalities, exercise-related weakness, dysphagia, regurgitation, dyspnea, and dysphonia) or physical (e.g., gross atrophy, hypotrophy, hypertrophy, and skeletal deformities). Abnormal muscle contraction may be a functional disturbance of neuronal or muscular excitation/conduction. Muscle pain is often the result of physical disruption of muscle cells or sustained muscle contractions.

Instituting measures of prevention or therapy for neuromuscular disorders depend on an accurate definition of the functional and physical manifestations of muscle weakness/contraction/pain and the identification of the specific pathoanatomic motor unit component(s) involved (i.e., neurons in neuropathies, neuromuscular junctions in disorders of neuromuscular transmission, or myofibers in myopathies) and, when possible, identification of the specific cellular dysfunctions underlying the muscular dysfunction.

The evaluation of neuromuscular disorders requires a coordinated approach and special examinations, some of which fall outside the scope of this chapter. This approach involves the neurological examination and includes the signalment (e.g., species, breed, age, sex), history (e.g., congenital or acquired, course of the disease, exposures, and responses to treatment), clinical findings (e.g., presence and distribution of signs, neurological deficits, and abnormal reflexes) and electrodiagnostic tests that involve electromyography (EMG), and the evaluation of sensory and motor nerve conduction velocity measurements and evoked MAPs.

Standard hematological and clinical chemistry panels are indicated to provide general screening that would suggest possible infectious, immune, or metabolic abnormalities. Exercise testing may be revealing in cases of exertional myopathies or exercise intolerance. In addition to these evaluations, there are some more specific tests that provide insight into the pathoanatomic involvement and in some instances specific identification of the etiology and pathogenesis of the muscular weakness.

A. Muscle-Specific Serum Enzyme Determinations Used in the Diagnosis of Neuromuscular Disorders

A valuable adjunct to the clinical diagnosis of neuromuscular diseases has been the utilization of serum enzyme determinations. The activities or concentrations of the enzymes are usually low in serum or plasma because they are normally located within healthy myofibers. Necrosis of myofibers is a primary example of a process by which serum activities of intracellular enzymes are elevated, and the elevations are roughly proportional to the mass of tissue involved. Elevations in serum enzyme activities may also occur in association with increased cell permeability (leakage), increased enzyme production by the parenchymal cells, obstructions to normal enzyme excretory routes, increased amount of enzyme-forming tissue, delayed removal, or inactivation of enzyme (Cornelius *et al.*, 1959; Dawson and Fine, 1967).

The initial examination of a patient with muscle disorders should always include the measurement of muscle-specific enzyme activities. This provides immediate information concerning the possible presence of muscle necrosis and provides a course-grain analysis for distinguishing between myopathies and neuropathies.

1. Creatine Kinase

The most widely used serum enzyme determination in neuromuscular diseases of domestic animals is creatine kinase (CK), previously designated creatine phosphokinase (CPK). In muscle, this enzyme provides ATP for contraction by phosphorylation of ADP from creatine phosphate (Fig. 15-2). Analysis of tissues from humans indicates that significant CK activities are present in skeletal muscle, myocardium, and brain, with lesser amounts in the gastrointestinal tract, uterus, urinary bladder, kidney, and thyroid (Dawson and Fine, 1967). The diversity of organs tested in other animals is not as broad, but those tested correlate well with these findings. The liver has negligible amounts of CK (Dawson and Fine, 1967). Normal values for CK activity vary with physical activity, restraint, age, and sex (Anderson, 1975; Blackmore and Elton, 1975; Heffron et al., 1976; Tarrant and McVeigh, 1979). Intramuscular injections may also increase CK activities because of local areas of muscle necrosis (Steiness et al., 1978). The amount of CK liberated following intramuscular injection of a drug depends on the properties of the injected solution and on such muscle factors as species differences in muscle CK activity, local blood flow, susceptibility of the muscles, and local muscle binding of the drug (Steiness *et al.*, 1978). Therefore, an accurate history is important in evaluating CK activities. A three- to five-fold increase in serum CK from normal values is believed to represent necrosis of approximately 20g of muscle tissue (Volfinger *et al.*, 1994).

There are three principal isoenzymic forms of CK. Creatine kinase has a dimeric structure consisting of M (muscle) subunits and B (brain) subunits, which combine to form the three heterogeneous MM (or CK3), MB (or CK2), and BB (or CK1) isoenzymes (Dawson et al., 1968; Dawson and Fine, 1967). A fourth variant form, CK-Mt, is found in mitochondrial membranes and may account for up to 15% of the total cardiac CK activity. The isoenzymes can be separated by three methods: (1) electrophoresis, (2) immunological techniques, and (3) ion-exchange chromatography (Fiolet et al., 1977). The pattern of isoenzyme distribution varies among the organs of different species. Thus, identification of the isoenzymes present can be used to help determine the tissue source of elevations in CK (Bulcke and Sherwin, 1969). Ontogenic studies in the rat revealed that all organs investigated contained only BB-CK in early stages of fetal development. In skeletal muscle, BB-CK forms slowly disappear and are initially replaced by MB-CK forms followed by CK-MM forms. Mixtures of isoenzymes occur during the transition. In the adult pattern, MB-CK forms have been variously reported to be present or absent in skeletal muscle. The inconsistency in noting the presence of MB-CK forms in skeletal muscle of animals may be due to the source of skeletal muscle sampled, because all skeletal muscles may not contain the MB-CK isoenzyme (Bulcke and Sherwin, 1969; Thorstensson et al., 1976). However, other studies indicate that, although there is more MM-CK in white muscle of the rat than in red, there is no MB-CK fraction in either (Dawson and Fine, 1967). Reasons for the discrepancies in the detection of MB-CK forms in mammalian skeletal muscles are not evident. The adult isoenzyme pattern in muscles of the rat appears at 90 days after birth, whereas in cardiac muscle, the shift occurs earlier and the adult pattern has both MB-CK and MM-CK forms. In the brain, BB-CK is the major isoenzyme throughout life (Eppenberger et al., 1964). Determinations of serum isoenzyme patterns have found clinical application in human medicine. The determination of MB forms has been used as a biochemical diagnostic tool for acute myocardial infarction (Hamdan et al., 2006). However, studies of the isoenzyme composition in cardiac muscle of the horse reveal that less than 1.5% to 3.9% of the total CK activity is attributable to the MB-CK form. Hence, its determination cannot be used for detection of myocardial disorders in the horse (Argiroudis et al., 1982).

Elevations in total CK activities have been reported in a wide variety of species with muscle disorders typified

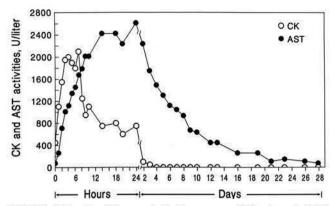


FIGURE 15-8 The difference in the time course of elevations in AST and CK activities as a result of muscle necrosis (equine exertional rhabdomyolysis). The AST activity remained elevated for much longer periods than CK activity, Adapted from Cardinet et al. 1967).

by myofibers necrosis. Pre- and 4h postexercise CK determinations have been useful when combined with exercise testing to identify patients with exertional rhabdomyolysis (Valberg et al., 1999). Peak serum CK activity occurs about 4 to 6h after muscle damage and declines fairly rapidly with a half-life in serum of approximately 108 min in horses (Fig. 15-8) (Cardinet et al., 1967; Valberg et al., 1993a). CK activity in itself, however, does not provide information relative to the etiology of the disease process. More precise information regarding the etiology of muscle diseases can be obtained by the use of histological and histochemical examination of muscle biopsies.

2. Aspartate Transaminase

Another enzyme that has been used as a diagnostic aid in neuromuscular disorders of domestic animals is serum aspartate transaminase (AST), formerly called serum glutamic oxaloacetic transaminase (SGOT). Normal values do not appear to differ greatly between sexes, although reported values for cows are somewhat higher than values for bulls (Cornelius *et al.*, 1959; Roussel and Stallcup, 1966). Differences associated with age have been reported in sheep (Lagace *et al.*, 1961), and there are seasonal differences in bulls (Lagace *et al.*, 1961; Roussel and Stallcup, 1966). Also, physical activity is associated with higher values in horses (Blackmore and Elton, 1975; Cardinet *et al.*, 1963, 1967; Cornelius *et al.*, 1963).

Elevations of AST activities have been reported in numerous muscle disorders characterized by myofiber necrosis. Although the use of AST determinations has proven valuable as a diagnostic aid, the enzyme lacks organ specificity because in addition to high concentrations in skeletal and cardiac muscle, AST activities are also high in the liver as well as other organs and tissues, including the red blood cells (RBCs) (Cardinet *et al.*, 1967; Cornelius *et al.*, 1959; Loeb *et al.*, 1966).

Combined determination of CK and AST can be of value in assessing the course of rhabdomyolysis (Fig. 15-8). Elevations in AST activities are present for weeks after the onset of clinical disease, whereas CK activities remain elevated for only a few days after myonecrosis. The course of elevations of these enzymes in this disease can be directly attributed to different half-lives and disappearance rates of their activity in the plasma. Although CK is more specific for myonecrosis than AST, the simultaneous determinations of AST and CK in the horse are potentially valuable diagnostic and prognostic aids owing to the different disappearance rates of their serum or plasma activities: (1) elevated CK activities indicate that myonecrosis is active or has recently occurred; (2) persistent elevations of CK indicate that myonecrosis continues to be active; and (3) elevated AST resulting from myonecrosis accompanied by decreasing or normal CK activities indicates that myonecrosis is no longer active.

3. Lactate Dehydrogenase

Lactate dehydrogenase (LDH) activities are high in various tissues of the body. Therefore, measurements of LDH are not organ specific. Molecules of LDH are tetrameric, made up of four subunits of the two parent molecules, M (muscle) and H (heart). Various combinations of those subunits result in five isoenzymes of LDH, which can be separated by electrophoresis. The M monomer is found in purest form in skeletal muscle as the isoenzyme M4 (or LDH5), whereas the H monomer is found predominantly in the heart muscle as the isoenzyme H4 (or LDH1). The other three forms are molecular hybrids forming the isoenzymes M3H (or LDH4), M2H2 (or LDH3), and MH3 (or LDH2), and they are found in various amounts in different organs. The H4 isoenzyme is maximally active at low concentrations of pyruvate and strongly inhibited by excess pyruvate, which favors the oxidation of lactate (Dawson and Romanul, 1964). The M form, on the other hand, maintains activity at relatively high pyruvate concentrations, which favors anaerobic reduction of pyruvate (Dawson and Fine, 1967). Thus, tissues with essentially aerobic metabolism, such as heart muscle, contain mostly heartspecific isoenzymes, whereas tissues with more viable or flexible metabolic properties, such as skeletal muscle, contain predominantly the muscle-specific isoenzyme. Elevated LDH activities have been reported in numerous muscle disorders characterized by myonecrosis as well as a variety of hepatic disorders. Therefore, unless isoenzyme analysis is utilized, the measurements of LDH elevations are not organ specific.

B. Muscle-Specific Serum Proteins and Antibodies

With the advent of immunochemical procedures such as enzyme-linked immunosorbent assay (ELISA), radial immunodiffusion assays, radioimmunoassays, and

immunocytochemical assays, sensitive tests for the detection of tissue-specific proteins and antibodies in serum are being applied to the diagnosis of neuromuscular disorders.

1. Myoglobin

Myoglobin is a 17, 500-Da heme protein that stores and transports oxygen in myofibers. Elevated levels of myoglobin have been found in myopathies of humans (Sieb and Penn, 2004). The specificity of myoglobin for skeletal and cardiac muscle and its plasma clearance make myoglobin determinations a potentially effective method for monitoring myonecrosis (Holmgren and Valberg, 1992). In horses, myoglobin concentrations peak shortly after myonecrosis occurs, and clearance from the blood stream is more rapid than CK activity (Valberg *et al.*, 1993b).

2. Troponin

Troponin I assays have been used to identify myocardial degeneration in several species (Burgener *et al.*, 2006; Fuchs *et al.*, 1999; Slack *et al.*, 2005). These assays offer improved specificity and sensitivity for differentiating myocardial versus skeletal myofiber necrosis. However, a false-positive rate of 17% may still occur when using troponin I to assess myocardial necrosis in patients with marked rhabdomyolysis (Li *et al.*, 2005).

3. Carbonic Anhydrase III

Serum carbonic anhydrase III has been proposed as a marker for rhabdomyolysis. It increases and decreases in concentration more rapidly than creatine kinase (Nishita *et al.*, 1995).

4. Acetylcholine Receptor Antibodies

Detection of circulating autoantibodies to acetylcholine receptors (AChR) is a valuable adjunct to the diagnosis of immune-mediated myasthenia gravis (MG) in humans (Engel and Hohfield, 2004) and dogs (Dewey et al., 1997; Palmer, 1977; Pflugfelder et al., 1981). It has been estimated that approximately 80% of human patients with MG have detectable AChR antibodies (Engel and Hohfield, 2004). Positive serum antibody titers to acetylcholine receptors measured by immunoprecipitation radioimmunoassay are normally less than 0.6nmol/l (Shelton et al., 1990). A valuable immunocytochemical screening test for circulating AChR antibodies in canine MG employs staphylococcal protein A conjugated to horseradish peroxidase (SPA-HRP), a reagent that localizes IgG. Control sections of muscle containing neuromuscular junctions when incubated with canine MG patient sera and subsequently with SPA-HRP localizes IgG at neuromuscular junctions (Pflugfelder et al., 1981). This immunoreagent has also served to detect antinuclear antibodies, antistrial antibodies, and sarcolemmal-associated antibodies in immune-mediated myasthenia gravis and inflammatory muscle disorders in the dog (Shelton and Cardinet, 1987).

VII. MUSCLE BIOPSY AND HISTOCHEMISTRY IN THE DIAGNOSIS OF NEUROMUSCULAR DISORDERS

Muscle biopsies provide an excellent opportunity to evaluate the integrity of myofibers, neuromuscular junctions, intramuscular nerve branches, connective tissues, and blood vessels in various neuromuscular disorders. As discussed previously, histochemical examination of skeletal muscle provides information relative to the morphological, biochemical, and metabolic properties of myofibers. Therefore, the application of histochemical techniques in conjunction with routine light and electron microscopic examination of muscle biopsies offers the potential to evaluate and integrate the pathoanatomical, biochemical, and physiological manifestations of neuromuscular disorders. Further, the advent of immunocytochemistry has extended our ability to recognize immunopathological mechanisms and disorders as well.

The application of histochemical techniques has become an essential diagnostic procedure for the evaluation of neuromuscular disorders in humans, dogs, cats, and horses (Cardinet and Holliday, 1979; Dubowitz and Brooke, 1973; Engel and Franzini-Armstrong, 2004; Schatzberg and Shelton, 2004; Valberg, 1999). Their application has been most helpful in determining which portion of the motor unit (neuron, myofiber, or both) is involved in the disease process or providing profiles specific for selected neuromuscular disorders (Dubowitz and Brooke, 1973). Neuropathic disorders frequently produce angular atrophy of type 1 and type 2 muscle fibers. In contrast, myopathic disorders may be characterized by angular atrophy of type 2 fibers, muscle fiber necrosis, inflammatory infiltrates, or abnormal storage products. Common histochemical stains used with frozen sections include myosin ATPase stains for fiber typing, trichrome and NADH staining of mitochondria, oil-red-O and periodicacid Schiff's staining for lipid and glycogen, respectively, acid phosphatase stains for lysosomal storage products, and a variety of stains for enzymatic activity of phosphorylase, PFK, and cytochrome oxidase. Appropriate immunostains can be used to identify deficiencies in interstitial components such as dystrophin, to adherent immunoglobulin to end plates or myofibers, as well as to subtype lymphocytic infiltrates. A detailed consideration of muscle biopsy techniques in the evaluation of neuromuscular diseases is beyond the scope of this chapter. For details, refer to Dubowitz and Brooke (1973) and Engel and Franzini-Armstrong (2004).

VIII. MOLECULAR DIAGNOSTIC TESTING

Advances in the understanding of the genetic basis for a number of myopathies in dogs, horses, swine, and cattle have led

to the development of new molecular diagnostic tests. These tests are often performed on hair roots or blood samples and distinguish the presence of a mutation (homozygous or heterozygous) in a specific portion of a gene that has previously been shown to be associated with a disease. A selection of these genetic diseases is reviewed in Section IX.

IX. SELECTED NEUROMUSCULAR DISORDERS OF DOMESTIC ANIMALS

Neuromuscular disorders in animals are associated with spontaneous and inherited endocrine, immune-mediated, infectious, toxic, metabolic, and neoplastic diseases. This section presents a selection of neuromuscular disorders to highlight the spectrum of acquired and genetic myopathies found in domestic animals. With continued application of advanced histochemical, biochemical, and molecular techniques and sequencing of animal genomes, undoubtedly many heretofore unrecognized neuromuscular disorders in animals will be recognized.

A. Ion Channelopathies

1. Acetylcholine Receptor Ion Channels and Myasthenia Gravis

Myasthenia gravis is a disorder of neuromuscular transmission in which there is a reduction in the number of ligand-gated AChR ion channels on the postsynaptic sarcolemmal membrane (PSM). This condition results in weakness because of the reduced sensitivity of the PSM to the transmitter, ACh. Two basic forms of MG exist: (1) acquired autoimmune MG and (2) congenital MG. Different mechanisms are responsible for the reduction of AChRs in these two disorders.

a. Acquired Autoimmune Myasthenia Gravis

Acquired MG is an immune-mediated disorder of humans (Engel and Hohfield, 2004), dogs, and cats (Dewey *et al.*, 1997; Shelton, 2002; Shelton *et al.*, 2000) in which autoantibodies are produced against AChRs. The density of AChRs is reduced by the complement-mediated destruction, accelerated internalization, and degradation of AChRs by crosslinking of the receptors by antibody. In humans and dogs, the autoantibody response is heterogeneous. Most antibodies are IgG and directed against the main immunogenic region (MIR), a specific external portion of the α -subunit that is distinct from the ACh-binding site; however, autoantibodies are also produced against all of the other subunits (Engel and Hohfield, 2004; Shelton, 1999). Only a small percentage of antibodies is directed against the ACh-binding sites on the α -subunits.

Dogs with MG usually exhibit some form of muscular weakness; however, this can be quite variable and may

include the following presentations: acute quadriplegia, degrees of exercise-related weakness, gait abnormalities, no apparent limb muscle weakness with dysphagia, or regurgitation associated with a megaesophagus. There appears to be a bimodal distribution in the onset of this disease in dogs (early and late onset). Dogs are rarely affected before 1 year of age and peak frequencies were found at 3 and 10 years of age; the prevalence did not appear to be gender related (Dewey et al., 1997; Shelton et al., 1988). Frequently, overt signs may be limited to esophageal dysfunction. In a study of 152 dogs afflicted with idiopathic megaesophagus, 40 to 57 dogs (26% to 38%) had MG (Shelton et al., 1990).

A definitive diagnosis of MG is provided by detection of circulating antibodies to the AChR. Additional diagnostic tests that provide for a presumptive diagnosis include clinical, pharmacological, electrodiagnostic, and immunocytochemical methods of evaluation (Dewey et al., 1997; Shelton, 2002). When clinical signs permit the objective assessment of strength, pharmacological testing can be employed through the intravenous administration of 1 to 10 mg of edrophonium chloride, an ultra-short-acting anticholinesterase agent. Improved strength with edrophonium provides a presumptive diagnosis of MG. A presumptive diagnosis of MG is also suggested when the application of low-frequency (2 to 10Hz), repetitive nerve stimulation results in the reduced amplitude of the first few evoked compound MAPs (decrementing response). Immunocytochemical procedures provide presumptive tests for MG. In muscle biopsies of human and canine MG patients that contain neuromuscular junctions, it is possible to localize the IgG bound to the PSM using the immunoreagent (Engel and Hohfield, 2004; Pflugfelder et al., 1981). A more specific diagnosis can be established by measuring acetylcholine receptor antibody titer in serum samples from affected animals (Dewey et al., 1997; Shelton, 2002).

b. Congenital Myasthenia Gravis

Congenital MG is a developmental disorder of humans and dogs (Dickinson *et al.*, 2005; Engel *et al.*, 2004; Shelton, 2002). In the dog, the synthesis of AChRs appears to be normal, and degradation does not appear to be accelerated. The reduced AChR density is believed to be due to a low insertion rate of AChRs into the PSM (Engel *et al.*, 2004). In humans, several congenital myasthenic syndromes are recognized, which result from a number of inherited defects that may be presynaptic (7% of cases), synaptic basal lamina-associated (14%), or postsynaptic (79%) defects (Engel *et al.*, 2004). Because congenital MG is not immune mediated, the immunodiagnostic tests used in acquired MG are of no value in establishing the diagnosis of congenital MG.

2. Sodium Ion Channels and Periodic Paralysis

Hyperkalemic periodic paralysis (HyPP) is a dominantly inherited disorder of muscle in quarter horses, American

paint horses, Appaloosas, and quarter horse crossbred animals that causes episodes of tremors, myotonia, weakness, or paralysis in association with elevated serum potassium (Naylor, 1997; Spier et al., 1990). Weakness or paralysis can be induced by the ingestion of potassium. HyPP is caused by a single base pair sequence defect within the gene encoding the voltage-dependent equine skeletal muscle sodium channel α -subunit (Rudolph et al., 1992). The point mutation produces a Phe to Leu substitution in the transmembrane domain IVS3. Patients are usually heterozygous and express both normal and mutant α -subunits, although homozygous HyPP horses have been identified. The primary physiological defect in the mutant sodium channels is impaired inactivation (Cannon et al., 1995). This results in a resting membrane potential that is closer to firing than in normal horses. Sodium channels are normally briefly activated during the initial phase of the muscle action potential. The HyPP mutation results in a failure of a subpopulation of sodium channels to inactivate when serum potassium concentrations are increased. As a result, an excessive inward flux of sodium and outward flux of potassium ensues, resulting in persistent depolarization of muscle cells and temporary weakness. It appears likely that the clinical variability and severity of signs are associated with the ratio of mutantto-normal sodium ion channels expressed in the skeletal muscles of heterozygous horses (Zhou et al., 1994). This disorder in horses is similar to HyPP in humans in which there are also a number of mutations involving the gene encoding the sodium ion channel α -subunit (Lehmann-Horn et al., 2002). A definitive diagnosis is possible by base-pair analysis of the DNA sequence responsible for encoding of the α -subunit (Rudolph *et al.*, 1992).

3. Chloride Ion Channels and Myotonia

Myotonia is a clinical sign in which uncontrolled, prolonged, and painless contraction of skeletal muscles occurs. The condition is due to hyperexcitability of the sarcolemma and the abnormal production of repetitive depolarizations of the sarcolemma followed by delayed repolarization and relaxation. Affected patients exhibit varying degrees of muscle stiffness with the onset of exercise. The stiffness will often subside with continued exercise or repeated movements and is not aggravated by cold. Muscles may be grossly hypertrophied with well-defined muscle groups. Percussion of muscles results in local contractions that create dimpling of the surface overlying the contracting muscles.

Two principal myotonic disorders occur in humans: (1) myotonia congenita and (2) myotonic dystrophy (Harper and Monckton, 2004). Myotonia congenita is a nonprogressive childhood disorder in which there is a diminished chloride conductance across the sarcolemma caused by mutations of the skeletal muscle chloride ion channel (Heine *et al.*, 1994). A similar form of myotonia congenita occurs as a recessive trait in miniature schnauzers

and goats (Bryant and Conte-Camerino, 1991; Vite et al., 1998). Histopathological changes in skeletal muscle are usually minimal and nonspecific. The canine mutation results in a threonine residue in the D5 transmembrane segment with methionine (Bhalerao et al., 2002; Rhodes et al., 1999). Functional characterization of the mutation demonstrates a profound effect on the voltage dependence of activation such that mutant channels have a greatly reduced open probability at voltages near the resting membrane potential of skeletal muscle.

Myotonic dystrophy differs from congenital myotonia in that it is progressive and variably involves a variety of other systems (e.g., smooth muscle of hollow organs, heart, brain and peripheral nerves, endocrine glands, eyes, skeletal system, and integument) (Harper and Monckton, 2004). In humans, this disorder results from repeat expansion in the untranslated region of the DMPK (dystrophic myotonia protein kinase) gene (Davis et al., 1997) or a specific zinc-finger gene (Liquori et al., 2001). Part of the unique molecular pathogenesis of these repeat expansions appears to involve production of toxic RNA repeats, which lead to aberrant splicing of many other proteins. The systemic features are most helpful in differentiating between myotonia congenita and myotonic dystrophy in addition to consistent histopathological features, which include increased central nuclei, ringed fibers, sarcoplasmic masses, and type 1 fiber atrophy. Myotonic disorders have been described in horses that have similar histopathological and electromyographic abnormalities (Hegreberg and Reed, 1990; Montagna et al., 2001; Reed et al., 1988). The precise molecular mechanism for myotonic dystrophy in horses is unknown.

4. Calcium Release Channels of the Sarcoplasmic Reticulum and Malignant Hyperthermia

Malignant hyperthermia (MH) is an inherited pharmacogenetic disorder of humans, swine, dogs, and horses. When exposed to halogenated anesthetics or succinylcholine, genetically MH susceptible (MHS) individuals exhibit tachycardia, hyperthermia, elevated carbon dioxide production, and death if the anesthetic is not discontinued. Metabolic acidosis and muscle rigidity are severe in both swine and humans, whereas in dogs metabolic acidosis is usually moderate and muscle rigidity is minimal (Nelson, 1991). In swine, stresses such as fighting, transport, and exercise also trigger its onset. During an attack, serum CK and AST enzyme activities are markedly elevated because of extensive myonecrosis. MH is inherited as an autosomal recessive gene in swine (Fujii et al., 1991) but as an autosomal dominant gene in humans, horses, and dogs (Monnier et al., 2005; Roberts et al., 2001; Aleman et al., 2004). Genetic mapping of the MH locus in pigs and humans placed it in the vicinity of the RYR1 gene, which encodes the sarcoplasmic reticulum ryanodine receptor (calcium release channel). In addition, many biochemical and physiological measurements implicated this very large protein as the site of the defect in both pigs and humans (Mickelson and Louis, 1996). Base pair sequence defects in the RYR1 have now been identified for pigs (Fujii *et al.*, 1991), humans (Treves *et al.*, 2005), dogs (Roberts *et al.*, 2001), and horses (Aleman *et al.*, 2004).

The clinical signs of increased muscle metabolism and muscle contracture are likely due to the effects of the RYR1 mutation on the gating properties of this channel. Many studies have shown that calcium release channels in MHS have a greater open probability, allowing greater rates of calcium efflux from the SR terminal cisternae into the myoplasm, which is exacerbated by the MH triggering agents (Mickelson and Louis, 1996). The SR calcium ATPase is unable to resequester the calcium back into the SR lumen fast enough, and the myoplasmic calcium concentration rises. The resulting MH episode is due to calcium stimulation of phosphorylase, myofilament contractile activity, and the resultant activation of aerobic and anaerobic metabolism to fuel the contraction.

No specific histopathological features are present in most susceptible individuals apart from nonspecific findings of central nuclei. One specific form of MH seen in children with muscle weakness produces central core disease. Biopsies in these cases are characterized by lack of mitochondrial and myofibrillar staining in discrete areas of type I fibers (Treves *et al.*, 2005).

Molecular genetic testing for the specific mutations in RYR1 provides the most specific means of testing swine, dogs, and horses and has largely replaced standardized *in vitro* contracture tests.

B. Cytoskeletal Dystrophin Deficiency and Muscular Dystrophy

Duchenne muscular dystrophy is an X-linked recessive disorder of skeletal muscle in humans, dogs, and cats (Kornegay *et al.*, 1988; Shelton, 2004; Shelton and Engvall, 2002, 2005). The disorder is due to a deficiency of a subsarcolemmal cytoskeletal protein dystrophin that participates in the attachment of myofibrils to the sarcolemma (Hoffman *et al.*, 1987). Dystrophin in concert with a transmembrane protein complex (dystrophin-associated protein) is believed to provide stability to the sarcolemma. The deficiency of dystrophin presumably creates structural instability of the sarcolemma allowing uncontrolled focal ingress of extracellular fluid components such as calcium.

A number of mutations of the dystrophin gene have been identified. Golden retrievers have a splice site mutation in the dystrophin gene, which causes a premature termination codon in exon 8 and a peptide that is 5% the size of normal dystrophin (Sharp *et al.*, 1992). The mutation in the cat has not been identified.

The expression of the disease varies among species but may be characterized as a progressive degenerative disorder of muscle in which there is a marked increase in serum CK and AST enzyme activities, gross hypertrophy of some muscle groups, and weakness and atrophy of other muscles in dogs and cats. Affected individuals may also have a cardiomyopathy, as the dystrophin deficiency also involves cardiac myofibers (Moise *et al.*, 1991). In dogs, the onset of clinical signs is usually evident by 2 to 4 months of age and somewhat later in cats. In dogs, this disorder was first observed in golden retrievers and has subsequently been identified in other breeds, including Irish terriers, rottweilers, German short-haired pointers, and Samoyeds.

Histological sections reveal focal lesions consisting of myofiber clusters undergoing the spectrum of change from myonecrosis through macrophage infiltration and phagocytosis to regeneration. Individual fibers may be atrophic or hypertrophic, calcified, and hypercontracted, and may possess central nuclei. Beyond clinical signs and biopsy features, immunoblotting and immunocytochemical staining for dystrophin within muscle biopsies and genetic screening are valuable diagnostic methods for detecting dystrophin deficiency.

C. Immune-Mediated Canine Masticatory Muscle Myositis

The muscles of mastication are selectively affected in an inflammatory muscle disorder in dogs known as masticatory muscle myositis (Evans et al., 2004). Limb muscles are essentially spared. The muscles of mastication in the dog are principally composed of type 2m myofibers, fast-twitch fibers that possess a unique isoform of myosin, heavy and light chains (Shelton et al., 1985). This disorder appears to be an MHC-1-restricted CD8(+) T-cell-mediated autoimmune disease (Shelton and Paciello, 2006). Dogs afflicted with this disorder produce autoantibodies directed against type 2m fibers LC2-M (myosin light chain 2-masticatory), which has little cross-reaction with type 2a fibers of limb muscles. Diagnosis of the disorder includes muscle biopsy demonstration of lymphocytic and plasmocytic cellular infiltrates around muscle fibers and in perivascular locations. Occasionally eosinophils are present as the predominant infiltrate. In chronic cases, muscle atrophy and fibrosis are apparent. Localization of immunoglobulins fixed to type 2m fibers within the biopsy and demonstration of circulating antibodies against type 2m fibers employing SPA-HRP are more specific diagnostic tests (Shelton et al., 1987).

D. Disorders of Glyco(geno)lysis Affecting Skeletal Muscle

Disorders of glyco(geno)lysis affecting skeletal muscles variably involve some excess storage of glycogen within affected myofibers, resulting in the presence of glycogencontaining vacuoles. In humans, glycogen storage diseases

(GSD) affecting muscle include deficiencies of]-l, 4-glucosidase (GSD-II), debranching enzyme (GSD-III), branching enzyme (GSD-IV), myophosphorylase (GSD-V), phosphofructokinase (GSDVII), phosphorylase b kinase (VIII), phosphoglycerate kinase (GSD-IX), phosphoglycerate mutase (GSD-X), and lactate dehydrogenase (XI) (Tsujino *et al.*, 2000). Though variably documented, some of these disorders also occur in domestic animals.

1.] -1,4-Glucosidase Deficiency (GSD II)

Lysosomal α -1,4-glucosidase deficiency, also known as Pompe's disease, generalized type II glycogenosis, and acid maltase deficiency, occurs in humans with childhood (infantile and juvenile) and adult forms, which are variations of the same disorder based on the age of onset and tissue and organ involvement (Reuser et al., 1995). This disorder is inherited as an autosomal recessive trait. This disorder has also been reported in shorthorn and Brahman cattle (Dennis et al., 2000). Both infantile and late-onset equivalent variations have been described (Dorling et al., 1981; Howell et al., 1981). Clinical signs in Brahman calves become evident at 2 to 3 months of age with a loss of condition, poor growth, and lethargy followed by incoordination and muscle tremors with death by 9 months of age. Although the onset of clinical signs may also occur within the first 2 to 3 months of age, some affected shorthorn calves appear clinically normal until 5 to 9 months of age when weight gains are not maintained and progressive muscular weakness develops with death by 12 to 16 months of age. Excessive accumulation of glycogen occurs in skeletal and cardiac muscle, brain, and spinal cord (Howell et al., 1981). The disorder in cattle is also inherited as an autosomal recessive trait. Two mutations have been identified in Brahmans, and one in shorthorns, that lead to generalized glycogenosis. All three mutations result in premature termination of translation (Dennis et al., 2000). In other species, single cases of generalized glycogenoses in which α -glucosidase deficiency was demonstrated include the Lapland dog (Walvoort et al., 1982) and Japanese quail (Murakami et al., 1980).

2. Debranching Enzyme Deficiency (GSD III)

Debranching enzyme possesses two activities, α -l,4glucan transferase and α -l,6-glucosidase. In the hydrolysis of glycogen, myophosphorylase acts on the α -l,4 linkages of the terminal glucose residues up to the last four glucose residues preceding the α -l,4 linkages. The α -l,4-glucan transferase transfers the last three residues to another branch and the α -l,6-glucosidase hydrolyses the α -l,6 branch point. Several presumed cases of debranching enzyme deficiency have been described in the dog (Ceh *et al.*, 1976; Otani and Mochizuki, 1977; Rafiquzzaman *et al.*, 1976). Biochemical demonstration of debranching enzyme deficiency is limited to a single case (Ceh *et al.*, 1976). There is diffuse organ involvement with glycogen storage. Onset of signs appears

at 2 months of age, and the disorder is progressive with death by $10\ \mathrm{to}\ 15$ months.

3. Branching Enzyme Deficiency (GSD IV)

Glycogen storage associated with branching enzyme deficiency has been reported in a family of Norwegian forest cats (Fyfe, 1995; Fyfe et al., 1992) and in quarter horses (Render et al., 1999; Valberg et al., 2001). Clinical signs and involved organs include skeletal muscle, heart, and the central nervous system. Abnormal glycogen is evident in tissues at birth and abortion or stillbirths are common. GSD-IV is an autosomal recessive disorder in both species. Eight percent of quarter horses are carriers of the mutation, and at least 3% of abortions can be attributed to the disease (Wagner et al., 2006). Diagnosis in foals is made by identifying characteristic amylase-resistant PAS-positive globular inclusions in tissues and, in horses, was confirmed by DNA testing for the point mutation in exon 1 (Ward et al., 2004).

4. Myophosphorylase Deficiency (GSD V)

Myophosphorylase deficiency (McArdle's disease) is an inherited, autosomal recessive, glycogenosis in humans (DiMauro et al., 1995; Tsujino et al., 2000), Charolais cattle (Angelos et al., 1995), and sheep (Tan et al., 1997). In cattle, clinical signs include recumbency and fatigue with forced exercise and elevated serum CK and AST activity. Muscle glycogen concentrations are elevated 1.6 times higher than controls, and histopathological changes are modest with some vacuolated myofibers that do not appear to contain glycogen. A rapid diagnosis is possible by genetic testing for the autosomal recessive point mutation in the myophosphorylase gene (Bilstrom et al., 1998).

5. Phosphofructokinase Deficiency (GSD VII)

Phosphofructokinase (PFK) is a key enzyme of the Embden-Meyerhof pathway in all tissues, and inherited deficiencies of this enzyme in humans are expressed primarily as a myopathy in which it is designated as type VII glycogen storage disease (GSD-VII). There is a partial expression of hemolysis, and the deficiency is otherwise quite heterogeneous (Giger *et al.*, 1988a; Harvey and Reddy, 1989). Deficiency of PFK was reported in springer spaniel dogs that presented a clinical picture remarkably similar to that seen in humans. The dogs presented with a history of intermittent severe hemolytic episodes, and total erythrocyte PFK activity was 10% of normal controls (Giger *et al.*, 1985). The disorder is inherited as an autosomal recessive trait (Giger *et al.*, 1986).

Mammalian PFK is present in different tissues as tetramers of three subunits: PFK-M (muscle), PFK-L (liver), and PFK-P (platelets). Human muscle and liver contain homogeneous tetrameric PFK-M4 and PFK-L4, respectively. The erythrocytes contain an admixture of PFK-M

and PFK-L tetramers. In normal dogs, a similar isoenzymic distribution pattern exists except in the erythrocytes where the PFK tetramers consist of an admixture of PFK-M and PFK-P subunits. As in humans, PFK deficiency was found to be a deficiency of the PFK-M subunits, and the erythrocytic hybrids consisted of the PFK-L and PFK-P subunits. Giger *et al.* (1985) speculated that exertional stresses inducing hyperventilation and respiratory alkalosis in turn directly or indirectly enhance the alkaline fragility of their erythrocytes and subsequent hemolysis.

Early reports suggested that affected dogs did not manifest severe muscle-related signs, which were possibly masked by signs referable to hemolysis. However, biochemical studies have revealed reduced glycolysis in muscle (Giger *et al.*, 1988a, 1988b), and pathological studies have established the presence of a myopathy that included the presence of PAS-positive polysaccharide storage vacuoles in up to 10% of the myofibers (Harvey *et al.*, 1990). Lack of M-PFK enzyme activity is caused by a nonsense mutation in the penultimate exon of the M-PFK gene, leading to rapid degradation of a truncated (40 amino acids) and therefore unstable M-PFK protein. A genetic test can identify M-PFK-deficient and carrier animals (Smith *et al.*, 1996).

6. Polysaccharide Storage Myopathy

An inherited polysaccharide storage myopathy (PSSM) has been described in quarter horses, quarter horse crossbreeds, American paints, and Appaloosa horses (Valberg et al., 1992). The horses have chronic episodes of exertional rhabdomyolysis and myoglobinuria. In addition, draft horse breeds and their derivatives also have polysaccharide storage myopathy (Firshman et al., 2005). Up to 30% of the type 2 muscle fibers have subsarcolemmal vacuoles as well as cytoplasmic vacuoles that contained acid mucopolysaccharide inclusions that are brilliantly stained with the periodic-acid Schiff's (PAS) stain and resistant to amylase digestion. These inclusions consisted of β -glycogen particles and filamentous material. Glycogen concentrations are approximately 1.5 times higher in the muscles of horses with these polysaccharide inclusions (Annandale et al., 2004). Glycogen accumulation in PSSM quarter horses appears to have a novel basis related to enhanced insulin sensitivity, muscle glucose uptake, and glycogen synthesis (Annandale et al., 2004; De La Corte et al., 1999). An arginine to histidine mutation in the gene encoding glycogen synthase (GYS1) was recently identified (McCue et al., 2008). The connection between increased synthesis of glycogen and rhabdomyolysis has yet to be fully explained but appears to be related to decreased energy generation in individual muscle fibers (Annandale et al., 2005). A genetic test can identify this disease.

E. Mitochondrial Myopathies

A large number of complex mitochondrial myopathies have been described in humans (DiMauro and Bonilla, 2004), References 479

and, recently, there have been reports of mitochondrial muscle disorders in animals (Breitschwerdt et al., 1992; Houlton and Herrtage, 1980; Paciello et al., 2003; Valberg et al., 1994; Vijayasarathy et al., 1994). When the utilization of oxygen is reduced, lactate formation is favored and results in elevated blood lactate even with low-intensity exercise. Disorders of the respiratory chain often result in increased numbers of abnormal mitochondria and aggregates of mitochondria under the sarcolemma. In sections, these mitochondrial aggregates impart a "ragged-red" appearance to the periphery of myofibers when stained with the modified trichrome stain. The presence of ragged red myofibers and elevated lactate concentrations with exercise warrant investigation of metabolic abnormalities involving mitochondria.

1. Respiratory Chain Complex I (NADH Ubiquinone Oxidoreductase) Deficiency

A deficiency of complex I was observed in an Arabian mare with severe limitations to even mild exercise in which there was an elevated venous pO₂, low oxygen consumption and lactic acidosis (Valberg *et al.*, 1994). Complex I of the respiratory chain is one of four complexes involved in oxidative phosphorylation and transfers electrons from NADH to CoQ in the conversion of oxygen to water.

2. Cytochrome c Oxidase Deficiency

An episodic weakness reported in Old English sheepdog littermates is accompanied by elevated serum enzymes, lactic acidosis, and increased pO_2 (Breitschwerdt *et al.*, 1992). This disorder may involve a reduction in cytochrome c oxidase (Vijayasarathy *et al.*, 1994).

3. Lipid Storage Disorders

Abnormal accumulation of lipid droplets has been observed in type 1 fibers of dogs with generalized myalgia, weakness, and muscle atrophy (Platt et al., 1999; Shelton et al., 1998). In human beings, lipid storage myopathies have been attributed to several different deficiencies of enzymes involved with lipid transport into mitochondria (carnitine palmityl transferase deficiency) or beta oxidation of free fatty acids. Clinical features include muscle necrosis and myoglobinuria without painful contractures. A specific enzyme deficiency remains to be defined for the lipid storage myopathies in dogs.

F. Endocrine Myopathies

Signs referable to muscle weakness are frequently observed as part of the clinical presentations of endocrine disorders.

1. Corticosteroid Myopathy

Hyperadrenocorticism causes muscle wasting (atrophy) and weakness in dogs and horses with Cushing's disease

and following corticosteroid administration (Braund *et al.*, 1980a, 1980b; Duncan *et al.*, 1977); (Aleman *et al.*, 2006). The muscle wasting is due to a rather selective anguloid to angular atrophy of type 2 myofibers; however, quantitative studies reveal atrophy of type 1 fibers as well. Myotonia is a variable accompanying sign of this disorder (Duncan *et al.*, 1977). Muscle wasting appears to be due to catabolism with decreased protein synthesis and increased protein degradation mediated by altered transcription in protein metabolism.

2. Hypothyroid Myopathy

Thyroid status has a profound affect on skeletal muscle, and hypothyroid states are often accompanied by manifestations of neuromuscular disease. However, descriptions of muscle disorders in clinical veterinary medicine are limited (Braund et al., 1981). Selective type 2 myofiber atrophy and type 1 myofiber predominance (or type 2 myofiber paucity) are common findings in canine hypothyroidism. Experimental studies reveal that the proportion of myofiber types is influenced by thyroid status in which thyroidectomy results in type 1 myofiber predominance and thyroid excess results in type 2 myofiber predominance (Li et al., 1996; Li and Larsson, 1997).

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Kidney Function and Damage

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REFERENCES

I. INTRODUCTION

Clinical biochemistry in nephrology is mainly used to diagnose and monitor renal dysfunction or damage. This is of special importance in human medicine, because of the frequent observation of renal failure in elderly people, and in canine and feline medicine, especially for the early detection of chronic renal failure (CRF), which is frequent. In U.S. private practice, however, renal disease was not reported as one of the 29 most common disorders in dogs and was ranked 17th in cats (Lund et al., 1999), and in a survey of Australian practices, the expected frequency of renal failure ranged from one case per week to one case every 2 weeks (Watson et al., 2001). A British survey indicated that 0.2% of dogs were presented with suspected renal disease, which was confirmed in 25% of the cases with no evidence of breed or sex predisposition (MacDougall et al., 1986). The reported prevalence of CRF in a U.S. university hospital was three times higher in cats than in dogs (Polzin et al.,

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1992). However, the frequency of renal disease increases with age, especially in dogs and cats, as in humans (Cowgill and Spangler, 1981; Gobar *et al.*, 1998; McCall Kaufman, 1984). In U.S. veterinary university hospitals, renal disease was observed in 15% of dogs more than 10 years old, in 33% of cats more than 15 years old (Polzin *et al.*, 1989), in 3% of cats aged 7 to 10 years, and in 30% of cats more than 15 years old (Krawiec and Gelberg, 1989).

Kidney diseases are uncommon in equids and cattle (Fetcher, 1985). The latter show better resistance to a loss of kidney function than monogastric animals because of the filtration function of the rumen epithelium as shown by bilateral nephrectomy (Fetcher, 1986).

II. KIDNEY MORPHOLOGY AND FUNCTION

A. General Structure of the Kidneys

The mammalian kidney consists of tens of thousands to millions of nephrons that function as parallel units. The larger the species, the greater the number of nephrons per kidney (Kunkel, 1930; Rytand, 1937–1938; Vimtrup, 1928). This ranges from about 10,000 in mice (Cullen-McEwen et al., 2003), 175,000 in cats (Brown et al., 1993), 300 to 700,000 in dogs (Finco and Duncan, 1972), and 7 million in elephants, as compared to about 1 million in humans. The number of nephrons progressively increases during fetal development and is complete at birth (e.g., in sheep or humans) or during the few days following birth (e.g., in rat) (Gimonet et al., 1998). The number of nephrons in the dog decreases slightly (5%) during the 2 first months of life, whereas the glomerular volume increases by 33% (Horster et al., 1971). However, great interindividual variability is observed within species. In dogs, the size or the weight has little influence on the number of glomeruli, but the size of these latter is larger in larger breeds (Finco and Duncan, 1972; Kunkel, 1930). In sheep, the number of nephrons in twins was about 30% lower than in single lambs (Mitchell et al., 2004) or showed no difference (Bains et al., 1996).

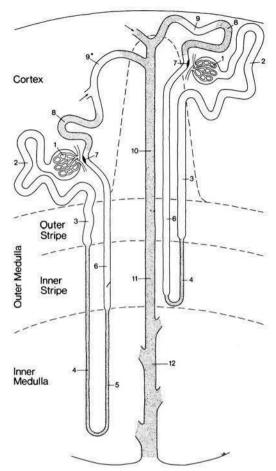


FIGURE 16-1 Schematic representation and international nomenclature of the parts of the nephron. 1: Renal corpuscle including Bowman's capsule and the glomerulus (glomerular tuft). 2: Proximal convoluted tubule. 3: Proximal straight tubule. 4: Descending thin limb. 5: Ascending thin limb. 6: Distal straight tubule (thick ascending limb). 7: Macula densa located within the final portion of the thick ascending limb. 8: Distal convoluted tubule. 9: Connecting tubule; 9*: Connecting tubule of the juxtamedullary nephron that forms an arcade. 10: Cortical collecting duct. 11: Outer medullary collecting duct. 12: Inner medullary collecting duct. Reproduced with permission of the publisher from Kriz and Bankir (1988).

The general architecture of the nephrons is identical in all species (Fig. 16-1) (Kriz and Bankir, 1988), but their disposition within the kidney differs between species (Bankir and de Rouffignac, 1985).

Blood is supplied to the kidneys by the renal arteries. These divide into interlobar and arcuate arteries located at the corticomedullary junction. Branches of the latter supply blood to the afferent arterioles of the tuft of capillaries in the glomerulus, from which it is collected by the efferent arterioles. Depending on the location of the glomeruli, blood is then supplied to a network perfusing the cortical tubules or to the vasa recta vessels, which penetrate deep into the medulla in "hairpins" parallel to the loops of Henle. See the review in Pallone *et al.* (1998). The total blood supply to the kidneys (renal blood flow, RBF) is very high, about 20% of the cardiac output, and most of it goes to the cortex. Only a fraction of the plasma flow (renal plasma flow, RPF) is



FIGURE 16-2 Electron micrograph of the glomerular filtration barrier. 1: Footlike processes of the podocytes; arrow shows the slit diaphragm of a filtration slit. 2: glomerular basement membrane. 3: fenestrated endothelium of the glomerular capillaries. Reproduced with permission of the publisher from Poirier et al. (1999).

filtered resulting in the glomerular filtration rate (GFR). This is the filtration fraction (FF), which generally amounts to 20% to 30% of RPF: GFR = RPF \times FF.

The RBF remains quite stable, because of autoregulation, even with variations in systemic blood pressure. The precise mechanism of autoregulation is unknown but results from vasoconstriction/dilation of the afferent and efferent glomerular arterioles, which maintains an almost constant hydrostatic pressure within the glomerulus. Autoregulation is efficient in healthy dogs between 70 to 180 mmHg and may be altered in disease, especially during CRF (Brown et al., 1995).

B. Glomerulus and Filtration

Each glomerulus consists of a tuft of anastomosed capillaries within the Bowman's capsule, which collects the primitive urine formed by plasma filtration and opens into the tubule conducting urine to the renal pelvis. The filter between the plasma and urine consists of three layers (Fig. 16-2) (see reviews in Deen *et al.* [2001], and Rennke and Venkatachalam [1977]):

- The fenestrated endothelium of the capillaries, allowing direct contact between the plasma and the basal membrane via large pores and fenestrae (~50 to 100 nm); the luminal face is coated with sulfated glycosaminoglycans and glycoproteins.
- The basement membrane made of a gel containing approximately 90% water and negatively charged sulfated glycosaminoglycans.
- \bullet The filtration slits (~25 nm) between the footlike processes of the podocytes (i.e., the visceral epithelial cells covering the external surface of the capillaries) (Gubler, 2003). The slits are made porous by the slit diaphragm in which proteins, consisting mainly of the extracellular part of the nephrin molecule anchored in the membrane of the

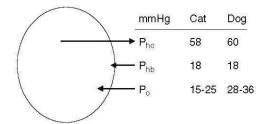


FIGURE 16-3 Pressures involved in glomerular filtration within a glomerular capillary. The driving force is the hydrostatic pressure in the capillary (Phc), which is opposed by hydrostatic pressure within Bowman's space (Phb) and oncotic pressure in the capillary (Po). Values are pressures in dogs and cats.

podocytes, are arranged in zipper-like fashion (Wartiovaara *et al.*, 2004). This slit diaphragm seems to be the basis of filter selectivity, although this has long been considered a function of the basement membrane; it may also act as a signaling system to modulate filtration (Benzing, 2004).

The driving force of filtration is hydrostatic pressure of cardiac origin (Fig. 16-3). This is opposed by plasma colloidal (oncotic) pressure produced by plasma proteins and urine hydrostatic pressure within the Bowman's capsule. The colloidal pressure in the Bowman's capsule is negligible because of the almost total absence of proteins. As a result, the effective filtration pressure is 10 to 15mmHg (Navar *et al.*, 1977).

The limits of filtration (see the review in Tryggvason, 1999) are as follows:

- Size and shape: Neutral molecules with a diameter <2.5nm diffuse freely. Then as diameter increases, filtration decreases to approximately 0 when the diameter is >3.5nm (i.e., the approximate diameter of the albumin molecule).
- Charge: Because of the high concentration of sulfated glycosaminoglycans at the surface of endothelial cells and in the GBM, the filtration slit tends to repel negatively charged molecules (i.e., most plasma proteins at blood pH).

As a result of glomerular filtration, all small hydrosoluble plasma molecules, including water and ions, are freely filtered but high molecular weight proteins are not. Albumin, which has an MW \sim 67,000 and a pI = 4.9 (Purtell *et al.*, 1979), is very close to the limit of filtration so that only a minimal amount is filtered by "normal" kidneys. The albumin concentration in primitive urine is \sim 20 to 30 mg/l and many smaller proteins are also present, most of which are reabsorbed in the tubule.

C. Tubule: Reabsorption and Secretion

1. Parts of the Tubule

The tubule is divided into different segments (Fig. 16-2) (see standard nomenclature in Kriz and Bankir, 1988):

• The proximal tubule begins with a convoluted portion followed by a straight section dipping toward the medulla;

the epithelium of the proximal tubule consists of thick cuboidal cells with a very dense brush border on the luminal side, which provides an immense surface of exchange with the glomerular urine; this is the portion of the nephron where most solutes and water are reabsorbed.

- The loop of Henle produces a "hairpin" bend within the medulla, ending close to the glomerulus at the juxtaglomerular apparatus. Long and short loops descend only into the inner or outer medulla, respectively. The loop of Henle is essential to urine concentration mechanism and it is often stated that long loops are mostly observed in species living in desert areas, and thus related to higher concentrating ability. Almost all the nephrons in dogs and cats are long looped (Bulger et al., 1986), whereas those in humans and pigs are mostly short looped (Bankir and de Rouffignac, 1985), and the average urines are more concentrated in dogs and cats than in humans or swine. The two main points regarding function are that (1) the water and urea permeability of the descending thin limb is high, because of the presence of the aquaporin 1 water channel and (2) the ascending thin limb shows very low water and high sodium chloride permeability.
- The juxtaglomerular apparatus is a morphological entity at the confluence of the afferent and efferent arterioles of the glomerulus and a differentiated part of the loop called the macula densa (Spangler, 1979a, 1979b). The cells in the macula densa respond to decreases in blood pressure or hyponatremia by secreting renin stored in the granules, thus activating the angiotensin-aldosterone response.
- The distal convoluted tubule stretches from the macula densa to the confluence into a collecting tubule within the cortex. The reabsorption capacity is lower than in the proximal nephron (e.g., only $\sim 5\%$ to 10% of sodium and chloride), and secretion of potassium may occur. See the review in Reilly and Ellison (2000).
- The collecting tubules leading to the renal pelvis. The final regulation of urine volume and solute excretion occurs in the final segment of the distal tubule and the collecting tubule, and it is partly regulated by hormones.

2. Functions of the Tubule

The main tubule functions are the reabsorption of water, electrolytes, and small molecules and, to a lesser extent, the secretion of ions and small molecules. Reabsorption is dominant in healthy animals and mainly occurs in the proximal tubule by active and passive transport. Further adjustment of urine excretion occurs in the distal tubule and is controlled by hormones, so that the final urine is usually more concentrated than the ultrafiltrate. See the review in Reilly and Ellison (2000). Reabsorption and secretion continually adapt to maintain an almost constant plasma composition, whereas the intake and utilization of ions and small molecules vary with food and water supply,

proximity of meals, environment, physical effort, and so on. This is why the following occurs:

- Urine composition can show large variations in the same healthy or diseased subject.
- A notable overlap of urinary analyte concentrations is observed in healthy and diseased animals.
- The reference intervals for urine analytes are of little relevance when determining spot urine compositions.

a. Small Hydrophilic Molecules

Glucose, amino acids, and low-molecular-weight proteins are mostly reabsorbed in the proximal tubule. Almost 100% of the glucose, amino acids, and proteins are reabsorbed. The former return to the plasma, whereas small proteins are degraded in the tubular cells. Other small hydrophilic molecules are not or only poorly reabsorbed (e.g., creatinine) (see Section II.A.1.a).

Glucose reabsorption is permitted by the SGLT1 (sodium glucose transporter) in the apical membrane, which couples glucose reabsorption with sodium transport down a gradient produced by an Na/K-ATPase in the basolateral membrane, across which glucose diffuses in a concentration gradient by means of GLUT-2 transporters. This glucose reabsorption capacity is limited by the finite number of transporters: maximal tubular reabsorption (Tm) is attained when plasma glucose concentration (P-Glucose) is about 12 mmol/l in dogs, 15 to 18 mmol/l in cats, and 8 to 10 mmol/l in cows.

No urea reabsorption occurs before the medullary part of the collecting duct because of the presence of urea transporters activated by antidiuretic hormone (ADH). This is part of the mechanism creating a high inner medullary osmolality. A small amount of urea is even secreted into the medullary part of the ascending branch of the loop of Henle. Urea reabsorption is increased when urine flow is low (e.g., during dehydration or volume depletion). Urea is central to the concentrating mechanism in the kidney and is ensured by the presence of urea transporters at the thin extremity of the descending loop of Henle and corresponding segments of the vasa recta, which enable urea diffusing from the medullary part of the collecting tubule to enter the nephron and to a lesser degree the blood. See the review on urea transporters in Smith and Rousselet (2001).

b. Electrolytes

Electrolytes are mostly reabsorbed in the proximal tubule. The rate of reabsorption differs considerably according to the internal balance of each ion. Under "normal" conditions it is almost 100% for sodium, chloride, calcium, and phosphates, but much lower for potassium, especially in ruminants owing to their high dietary intake.

The extent of reabsorption can be estimated from the fractional excretion (FE) of solutes (i.e., the fraction of the filtered load of an electrolyte that is finally excreted in urine) (see Section II.C.3):

- Sodium concentration is kept low in tubule cells, as in other cells, by an Na/K-ATPase in the basolateral membrane. See the review in Feraille and Doucet (2001). This active transport of sodium ions from the urine accounts for most sodium reabsorption. It also creates a sodium concentration gradient that allows cotransport of amino acids, glucose and other ions, and so on. See the review in De Weer (1992). Further reabsorption of sodium and chloride occurs in the ascending branch of the loop of Henle via an Na-K-2Cl cotransporter in the luminal membrane. See the review in Russell (2000). Final adjustment in the distal part of the nephron is hormonally controlled by aldosterone and natriuretic peptides.
- Chloride is the most abundant anion in the extracellular compartment. The plasma concentration and elimination of chloride are usually concomitant with those of sodium, except in the case of acid-base disorders. In metabolic acidosis, bicarbonate ions secreted by the kidney cells are exchanged with chloride by an Na-independent Cl/HCO₃ exchanger, thus increasing urine chloride elimination and the plasma anion gap.
- Potassium is reabsorbed in the proximal tubule (~70%) and in the ascending part of the loop of Henle, the distal tubule, and the medullary collecting duct. Potassium is also secreted by the distal tubule and cortical collecting duct, mainly during hyperkalemia (Berliner and Kennedy, 1948). See the review in Hebert *et al.* (2005). Part of this process is based on aldosterone secretion, which induces the synthesis of Na/K-ATPases, thereby favoring potassium excretion and sodium reabsorption.
- The inorganic phosphates (P_i) in plasma comprise about 80% HPO₄²⁻ and 20% H₂PO₄. They are reabsorbed in the proximal tubule by a sodium cotransporter, which is inhibited by PTH, thus increasing phosphodiuresis. Some newly identified peptides may also decrease tubular phosphate reabsorption without any alteration of glucose and amino acid reabsorption and thus lead to renal loss of phosphates. See the reviews in Laroche and Boyer (2005) and Ritz *et al.* (2003). The Tm for phosphate reabsorption is higher in ruminants than in other species (Summerill and Lee, 1985; Symonds and Manston, 1974).
- Calcium: Free and complexed calcium ions are freely filtered by the glomerulus. Calcium is mostly reabsorbed in the proximal tubule and in the ascending branch of the loop of Henle, except in horse and rabbit, which excrete significant amounts of this ion when supply is sufficient. Reabsorption occurs by paracellular route. Epithelial channels in the distal part of the nephron permit the transcellular transport of about 15% of filtered calcium. See the

reviews in Hoenderop and Bindels (2005) and Hoenderop *et al.* (2005). This final reabsorption is mainly under the hormonal control of PTH.

• Magnesium: Non-protein-bound magnesium is filtered by the glomerulus. Only about 25% are reabsorbed in the proximal tubule. Most reabsorption occurs in the ascending branch of the loop of Henle (50% to 60%) (Rosol and Capen, 1996).

c. Water

Most water (\sim 75%) is reabsorbed passively in the proximal tubule along with ions and small hydrophilic molecules, so that the fluid entering the descending branch is isotonic. Water is reabsorbed in the descending branch of Henle's loop as a result of the corticopapillary osmolar gradient, whereas the ascending branch is impermeable to water. Final reabsorption occurs in the collecting tubule, mainly under the hormonal control of the antidiuretic hormone (ADH). This hypothalamic nonapeptide is secreted by the posthypophysis when osmolality increases and during hypovolemia or decreased blood pressure. Receptors in the collecting tubule cells trigger the AMPc-dependent synthesis and shuttling of aquaporins, mainly the AQP2 water channel, into the apical and basolateral membrane, thereby permitting water reabsorption and the final adjustment of urine concentration. See the review in Nielsen et al. (2002). The final urine concentration is usually much greater than that of the glomerular filtrate (Fig. 16-4).

d. Acid-Base Regulation

The main organs involved in acid-base regulation are the kidneys and lungs. The lungs modulate the elimination of ${\rm CO}_2$ and the kidneys the elimination of protons and generation of bicarbonate ions.

The carbonic acid (H_2CO_3) -bicarbonate (HCO_3^-) buffer system is the most efficient extracellular buffer system. It is based on the low dissociation of carbonic acid (pKa \sim 6.1) at the pH of the extracellular/intravascular compartment and the fact that it is an open system eliminating CO_2 in the lungs. Its efficiency is enhanced by the action of carbonic anhydrase, which accelerates the hydration of carbonic anhydride (CO_2) into carbonic acid. High concentrations of carbonic anhydrase occur in many tissues including the kidney tubule. The relationship between CO_2 , H_2CO_3 , and HCO_3 is expressed in the following equation:

$$H_2O + CO_2 \leftrightarrows H_2CO_3 \leftrightarrows HCO_3^- + H^+$$

Bicarbonate ions filtered by the glomerulus are mainly reabsorbed in the proximal tubule ($\sim 80\%$) as CO₂, which is lipophilic and able to diffuse across the membrane. Within the cell, CO₂ is hydrated by carbonic anhydrase into carbonic acid, which dissociates into bicarbonate ions and protons. The secretion of protons into the tubule lumen ensures the

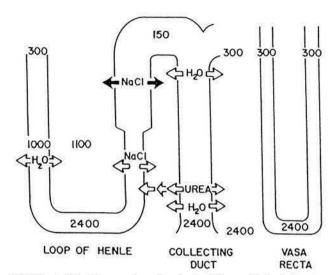


FIGURE 16-4 Water reabsorption in the kidney tubule (values are osmolalities). The corticomedullary osmotic gradient results from reabsorption of sodium and chloride without concomitant reabsorption of water in the ascending limb of the loop of Henle and from urea reabsorption in the medullary part of the collecting ducts. Owing to water permeability of the descending limb of the loop of Henle plasma isoosmotic urine flowing from the proximal tubule is first concentrated in the descending branch of the loop of Henle, then is diluted by escape of NaCl and water retention in the ascending branch, and finally is concentrated along the collecting ducts. Reproduced with permission of the publisher from Finco (1995b).

conservation of bicarbonate and its transfer to the plasma. This occurs principally in the distal tubule, where protons are excreted and buffered in urine with filtered organic anions, phosphate, and ammonia generated from glutamine in the tubule cells by the action of glutaminase and glutamate dehydrogenase. This process is enhanced during acidosis, when distal tubule and collecting tubule cells excrete protons against a concentration gradient by the action of an H⁺-ATPase in the basolateral membrane.

e. Endocrine Functions

Two major hormones, erythropoietin (EPO) and 1α , 25-dihydroxycholecalciferol (calcitriol), are synthesized by the kidneys and released into the blood.

EPO is a cytokine that regulates erythrocyte production synthesized in the peritubular cells in response to hypoxia. Minor amounts are also produced in the liver, mainly in the newborn. EPO binds to receptors of bone marrow progenitor cells and acts synergistically with other growth factors to proliferate and mature the erythroid progenitor cells. In advanced chronic renal disease, the synthesis of EPO decreases and is insufficient to meet the demands for new red cell production, resulting in anemia. See the review in Fisher (2003).

Calcitriol is a secoBsteroid hormone derived from vitamin D_3 . It is produced in the proximal tubule cells by the

action of 1α -hydroxylase on 25-hydroxyvitamin D_3 produced by liver hydroxylation of vitamin D_3 . Calcitriol is a major antihypocalcemic hormone acting at the transcriptional level to induce active intestinal absorption of calcium. It acts in synergy with PTH to activate calcium release from bone. PTH increases the expression and activity of 1α -hydroxylase activity during calcium and vitamin D_3 deficiency. The direct modulating effects of calcium and phosphates are weaker. 1α -Hydroxylase activity was recently shown to be down-regulated by phosphaturic peptides called phosphatonins. See the reviews in Ebert *et al.* (2006), Jones *et al.* (1998), and Kumar (1984). Calcitriol synthesis is decreased in CRF, and its administration is recommended for the treatment of animals with CRF and concomitant hyperparathyroidism. See the review in Nagode *et al.* (1996).

III. TESTS OF KIDNEY FUNCTION

Kidney function can be evaluated from the concentrations of plasma or urine analytes, which are mainly dependent on their elimination (e.g., P-Creatinine). These indirect markers can be easily and rapidly measured, but their sensitivity is poor and generally remains unaltered until 75% of renal function has been lost and their concentrations may be modified by extrarenal factors. Direct tests of kidney function are based on the elimination kinetics of markers of glomerular filtration, blood flow, or tubule reabsorption/secretion and are based on the clearance concept. These tests are more difficult and take longer to perform but allow earlier detection of reduced function.

A. Indirect Tests of Glomerular Function

P-Creatinine is the test most often used to diagnose and monitor kidney disease in human and animal clinical pathology. P-Urea is also used frequently but is subject to more numerous extrarenal factors of variation. These molecules are almost totally eliminated by glomerular filtration, so that in the case of kidney failure their plasma concentration increases. However, neither test is sensitive in the early diagnosis of kidney disease because of the large functional reserve of the kidneys. Moreover, variations of P-Urea and P-Creatinine are not proportional to the number of functional nephrons (e.g., a mean increase of 85% in P-Creatinine and of 140% in P-Urea was observed after a two-fold reduction of GFR, with values close to the upper limit of the reference interval) (Lefebvre *et al.*, 1999).

1. Creatinine

a. Creatinine Metabolism

Creatinine is a small molecule (MW 113) produced by degradation of creatine and creatine-phosphate, an energy-storing

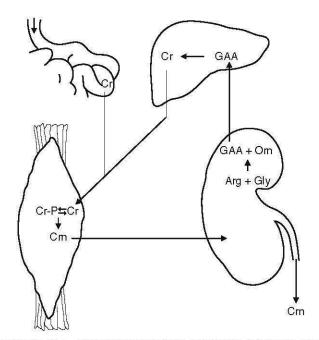


FIGURE 16-5 Schematic representation of creatinine metabolism. The first step is the transamidination of arginine and glycine yielding guanidinoacetic acid (GAA) by the kidney enzyme arginine:glycine amidinotransferase. In the liver, N-methylation of guanidinoacetate into creatine is catalyzed by guanidinoacetate methyltransferase, using methyl groups donated by S-adenosylmethionine. Creatine is distributed to muscle cells where it is reversibly phosphorylated to creatine phosphate by creatine kinase. Creatinine is the product of the spontaneous, irreversible, nonenzymatic, internal dehydration of creatine, and dephosphorylation of creatine phosphate.

molecule mainly present in skeletal muscles. See the reviews in Braun et al. (2003), Perrone et al. (1992), and Wyss and Kaddurah-Daouk (2000). Creatine is synthesized from the amino acids glycine, arginine, and methionine, the final step occurring in the liver (Fig. 16-5). It is then taken up by the muscles where it is reversibly phosphorylated by creatine-kinase into creatine-phosphate. Skeletal muscles contain about 95% of the total body creatine and creatine-phosphate pool. The estimated turnover of creatine-phosphate (about 2%) is fairly constant in a given individual. The resulting estimated daily input of endogenous creatinine into plasma was $380 \pm 45 \mu \text{mol/kg BW}$ in healthy beagles and 10% to 20% lower in animals with reduced kidney mass (Watson et al., 2002a). In carnivores and omnivores, creatinine can also originate from the creatine and creatinine in food (Harris and Lowe, 1995; Harris et al., 1997).

Creatinine mainly circulates in a free form in the plasma and is distributed into the whole body water compartment (Schloerb, 1960; Watson *et al.*, 2002a). It was reported that, in dog plasma, 6% were bound to plasma proteins (Kennedy *et al.*, 1952). Creatinine is freely filtered by the glomerulus and is not reabsorbed or secreted in cats (Finco and Barsanti, 1982) and ponies (Finco and Groves, 1985), but it may be strongly secreted in horses

(Bickhardt et al., 1996). In dogs, either no secretion has been observed (Finco et al., 1993; Watson et al., 2002a) or very weak proximal tubule secretion has been reported in males but not in females (O'Connell et al., 1962; Swanson and Hakim, 1962). This secretion is slightly increased following reduction of the renal mass (Robinson et al., 1974). Secretion of creatinine by active transport in the proximal tubule has been reported in humans, in whom it is nonuniformly increased in renal failure (Walser et al., 1988), also in sheep (Bickhardt and Dungelhoef, 1994), rabbit (Matos et al., 1998), pig (Wendt et al., 1990), and goat (Ladd et al., 1957). Creatinine is reabsorbed by the tubules in newborn rabbits and humans, probably by back-leak through the immature tubules (Matos et al., 1998).

As observed in humans and rats, extrarenal intestinal catabolism may be suspected in cases of renal failure. This may involve the bacterial flora, as demonstrated in the rat by accumulation creatine bacterial catabolites including methylguanidine (Jones and Burnett, 1972; Mitch *et al.*, 1980). This hypothesis is supported by the observed increase in cats with ARF (Ohashi *et al.*, 1995). Finally, creatinine is rapidly cleared from plasma (half-life of 3h in dogs; Watson *et al.*, 2002a) and eliminated in urine, where its total excretion depends on body weight (Gartner *et al.*, 1987).

b. Preanalytical Factors of Variation

• Specimen: Serum and plasma creatinine concentrations are identical, although a slightly higher serum value has been reported in dogs (Thoresen *et al.*, 1992). Differences between jugular and cephalic vein may be overlooked (5μ mol/l in dogs) (Jensen *et al.*, 1994). Canine P-Creatinine is not changed by storage at -20° C or -70° C for up to 8 months (Thoresen *et al.*, 1995) or by three freeze-thaw cycles (Reynolds *et al.*, 2006).

In human urines, the creatinine concentration decreased by about 20% to 30% in 1 week at any temperature between 4° C and -80° C, and was not altered by five freeze-thaw cycles (Schneider *et al.*, 2002), whereas others found that it was stable up to 30 days when stored at 4° C and minimally decreased at 25° C (Spierto *et al.*, 1997).

• Diet and meals: P-Creatinine in dogs and cats was increased by meals containing meat, especially when this was cooked (Epstein et al., 1984; Evans, 1987; Harris and Lowe, 1995; Lowe et al., 1998; Sagawa et al., 1995; Watson and Church, 1980; Watson et al., 1981) or after oral loading with creatine (Lowe et al., 1998), although large interindividual differences were observed. P-Creatinine was higher in dogs fed chicken-based diets than egg- or casein-based diets (Bartges et al., 1995a). No differences in P-Creatinine were observed between cats receiving highor low-protein diets (Adams et al., 1993). P-Creatinine was moderately higher in young dogs on a low-salt diet (Bagby and Fuchs, 1989) and about 50% higher in goats fed on a low-protein diet (Valtonen et al., 1982).

- Hydration status: P-Creatinine was only moderately increased in dogs deprived of water for 4 days, even when the weight loss was $\geq 10\%$ (English *et al.*, 1980; Hardy and Osborne, 1979).
- Physical exercise: P-Creatinine was decreased (by about 40%) by physical training in sled dogs (Kronfeld et al., 1977; Querengaesser et al., 1994). It was not significantly changed after strenuous physical exercise in untrained dogs (Chanoit et al., 2002). It was increased by about $20\,\mu\text{mol/l}$ after a 400m sprint in greyhounds (Rose and Bloomberg, 1989; Snow et al., 1988) and by approximately 50% after strenuous sprint efforts in sled dogs (Hammel et al., 1997; Querengaesser et al., 1994), but not after very long races (up to 415 miles) (Hinchcliff et al., 1993; Querengaesser et al., 1994).
- Housing: P-Creatinine was slightly higher (10 to $20\,\mu\text{mol/l}$) in dogs kept indoors than outdoors (Kuhn and Hardegg, 1988; Rautenbach, 1988), whereas others found no difference (Spangenberg *et al.*, 2006).
- Drugs: P-Creatinine was decreased in dogs receiving glucocorticoids (Braun et al., 1981), whereas U-Creatinine was increased (Iversen et al., 1997). P-Creatinine was unchanged or little affected by a single dose of nonsteroidal anti-inflammatory drugs (NSAID) (Lobetti and Joubert, 2000; Mathews et al., 2001) or by halothane anesthesia (Lobetti and Lambrechts, 2000), and moderately increased by furosemide administration (Adin et al., 2003) and high-dose trimethoprim-sulfadiazine in dogs (Lording and Bellamy, 1978). P-Creatinine could increase (Kitagawa et al., 1997), remain unchanged (Atkins et al., 2002), or decrease (Pouchelon et al., 2004) in dogs treated with ACE inhibitors for heart failure.

c. Analytical Factors of Variation

HPLC is considered to be the reference method (Blijenberg et al., 1994; Hanser et al., 2001), but routine analyses are based on the nonspecific Jaffé reaction (alkaline picrate) and enzymatic procedures (Guder et al., 1986). See the reviews on creatinine measurement in Spencer (1986) and recommendations for improvement in Myers et al., (2006). The enzymatic methods give slightly lower results than HPLC and Jaffé reaction, \sim 5 and 20 μ mol/l, respectively, in dog plasma (Evans, 1987; Palm and Lundblad, 2005). The main interferents in the Jaffé reaction are glucose, ketones, and hemoglobin, but canine plasma was unaffected by hemolysis up to 25 g/l (Jacobs et al., 1991, 1992; O'Neill and Feldman, 1989). Interference in the kinetic Jaffé technique is limited in normal dog plasma as the other chromogens react more slowly than creatinine (Palm and Lundblad, 2005). There is less interference in urine because of the lower proportion of Jaffé chromogens, so that calculated creatinine clearances may differ greatly according to the technique used, as shown in rats (Jung et al., 1987).

The accuracy of plasma creatinine measurement is far from satisfactory in human medicine and cannot be expected to be better in veterinary medicine (Miller *et al.*, 2005; Myers *et al.*, 2006). The measurement of urine creatinine has not been validated in animals, but a poor correlation between different techniques was shown in dogs, especially in concentrated urines (Trumel *et al.*, 2004).

d. Reference Intervals and Physiological Factors of Variation

$100 \mu \text{mol/l} = 1.13 \text{mg/dl}$

Reference intervals for P-Creatinine have been poorly defined in most species because of animal selection and the chosen analytical method. The reference intervals for dogs were shown to differ considerably from one textbook to another (Lefebvre *et al.*, 1998b), so that any interchanging of reference limits or decision levels would be risky:

- Breed: P-Creatinine is higher in large breeds of dogs (Braun *et al.*, 2002; Feeman *et al.*, 2003; Hilppo, 1986; Medaille *et al.*, 2004), even in puppies (Kühl *et al.*, 2000).
- Gender: No differences related to gender were observed in dogs (Broulet *et al.*, 1986; Passing, 1981), although moderately higher concentrations (~+10%) were observed in 1- to 3-year-old and 9- to 11-year-old male beagles (Fukuda *et al.*, 1989).
- Age: A high P-Creatinine concentration was reported in newborn calves, puppies, and foals, probably because of the accumulation of creatinine ingested from the allantoic fluid (9 to 23 mmol/l in bovines) (Edwards et al., 1990; Klee et al., 1985; Kühl et al., 2000; Lupke et al., 1967). This decreased during the following weeks and then showed a moderate increase again. In dogs and cats, P-Creatinine almost doubled from the first weeks to 1 year of age, then remained stable up to 10 years (Kraft et al., 1996; Passing and Brunk, 1981; Strasser et al., 1993, 1997; Swanson et al., 2004; Vajdovich et al., 1997; Wolford et al., 1988), although other authors reported a regular decrease with age in dogs (Fukuda et al., 1989; Lowseth et al., 1990). In piglets, P-Creatinine almost doubled between 2 and 6 months of age (Krogh et al., 1979).
- Biological rhythms: Both a circadian and a seasonal rhythm were observed in dogs, but they were of limited significance (Singer and Kraft, 1988; Sothern *et al.*, 1993; Strasser *et al.*, 2001), whereas no such rhythms were reported in bulls (Boehnke, 1980). In healthy sheep, creatinine was 25% higher in summer than in winter (Nawaz and Shah, 1984).

e. Variations of P-Creatinine in Disease

An inverse curvilinear relationship was observed between P-Creatinine and GFR in dogs (Fig. 16-6) (Finco *et al.*, 1995, 1993; Miyamoto, 2001a; Westhoff *et al.*, 1993). This was the same in both sexes (Finco *et al.*, 1995). The assessment

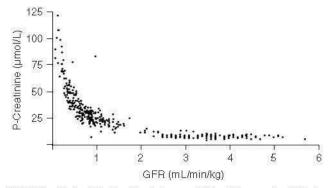


FIGURE 16-6 Relationship between P-Creatinine and GFR in dogs. Observe that (1) on the right-hand part of the graph, GFR can be decreased from about 6 to 2 ml/min/kg without any significant change of P-Creatinine; (2) on the left-hand part of the graph, dramatic reductions of P-Creatinine, as observed during animal rehydration in clinics, do not imply a significant increase in GFR. Reproduced with permission of the publisher from Finco (1995a).

of GFR from creatinine is hazardous because of interindividual variability (e.g., P-Creatinine = $200 \,\mu$ mol/l could be observed in dogs with GFR ranging from 0.4 to 1.4 ml/min/kg) (Finco *et al.*, 1995). In cats the relationship is almost linear (Haller *et al.*, 2003).

The sensitivity and specificity of P-Creatinine for the diagnosis of CRF are not very high in dogs and neither are the predictive values (Braun and Lefebvre, 2005; Gleadhill, 1994). The critical difference for P-Creatinine in dogs is $35 \,\mu$ mol/l (Jensen and Aaes, 1993). These criteria have not been reported for other species.

It was suggested that the evolution of renal disease in dogs could be monitored by repeated creatinine measurement and that the time of death could be predicted from the 1/P-Creatinine versus the time curve (Allen *et al.*, 1987); however, this approach gave false estimates in humans (Walser *et al.*, 1988).

P-Creatinine is the most efficient indirect marker of GFR in mammals. It is increased in chronic and acute renal failure, and also in some conditions not directly involving the kidney. For example, see the review as it pertains to dogs in Table 16-1 and in Braun *et al.* (2003). Similar variations were also observed in cats, equines, and bovines.

2. Urea

a. Urea Metabolism

Urea is a small hydrosoluble molecule (MW 60) synthesized in the liver from bicarbonate and ammonium in the Krebs-Henseleit cycle. Urea is the main form in which nitrogen is eliminated in mammals. After synthesis, it is distributed into the total body water compartment (Dunegan *et al.*, 1978; Schloerb, 1960). It is freely filtered by the kidney glomeruli and reabsorbed from the collecting tubule. Its passive reabsorption is increased when urine flow in the tubule is reduced (Park and Rabinowitz, 1969),

7 P-Creatinine		
Primary renal disease amyloidosis glomerulosclerosis polycystic disease uremic crisis kidney graft rejection congenital renal diseases	Intoxication by arsenate fluoride citrinin ochratoxin vitamin D	
Secondary renal disease babesiosis leptospirosis trypanosomiasis histiocytosis	leishmaniasis borreliosis encephalitozoonosis heartworm disease	
Extrarenal disease ureteral obstruction	uroperitoneum	
➤ P-Creatinine portosystemic shunts early babesiosis hyperthyroidism	cachexia kidney graft	

which can lead to increased P-Urea in dehydrated patients or in patients with hemorrhage or to decreased P-Urea in overhydrated patients. Some urea also filters into the intestine, where it is degraded by bacteria into ammonium, which is absorbed and provides a notable proportion of the ammonium supply to the liver. Another important source of ammonium is the catabolism of amino acids. Proteins are thus a major source of ammonium for urea synthesis. Intense recycling of urea occurs in ruminants by transfer to the gastrointestinal tract and to saliva. Urea can also be added to ruminant food (Cirio *et al.*, 2000; Marini and Van Amburgh, 2003), whence it is incorporated into bacterial proteins. The dietary supply of urea is low in other species.

b. Preanalytical Factors of Variation

- Specimen: No difference between canine serum and heparin plasma was observed and only minor changes were noted when specimens were stored frozen for up to 8 months (Thoresen *et al.*, 1995). P-Urea is little affected by hemolysis (up to 25 g hemoglobin/l) and icterus in cattle, horses, cats, or dogs; it is decreased by lipemia in dogs (Jacobs *et al.*, 1992; O'Neill and Feldman, 1989). P-Urea is stable in plasma and whole blood stored for up to 3 days at 20° C (Thoresen *et al.*, 1992) and in serum or plasma stored frozen at -20° C and at -70° C up to 8 months (Thoresen *et al.*, 1995).
- Diet and meals: P-Urea is increased in dogs after meals. Peak postprandial increase can be as high as 7 mmol/l about 6h after the meal and last for more than 18h; it is greater with high-protein diets or in animals fed large amounts (Anderson and Edney, 1969; Epstein

et al., 1984; Evans, 1987; Vogin et al., 1967). In most species, basal P-Urea reflects the balance between nitrogen utilization and excretion and can be greatly influenced by nutrition (Kohn et al., 2005). The fasting concentration of P-Urea was lower in dogs on low-protein diets with normal or reduced renal function (Polzin et al., 1983, 1991; Reynolds et al., 1999), in horses (Doreau and Martin-Rosset, 1985), in sheep (Rabinowitz et al., 1973), in goats (Valtonen et al., 1982), and in cats (Hesta et al., 2005). P-Urea was also increased by prolonged fasting, because of catabolism of body proteins (Rabinowitz et al., 1973).

- Hydration status: Dehydration had little effect on P-Urea in dogs (≤12.5 mmol/l in 4 days, with weight loss up to 16%) (Hardy and Osborne, 1979), but it produced a two-fold increase in calves within 4 days (Bianca *et al.*, 1965).
- Drugs: P-Urea was unchanged by halothane anesthesia in dogs (Lobetti and Lambrechts, 2000) and was increased at high doses of trimethoprim-sulfadiazine (Lording and Bellamy, 1978).
- Physical exercise: P-Urea in sled dogs was higher after 12 weeks of training, probably as a result of increased protein intake (Reynolds *et al.*, 1999). In greyhounds, P-Urea was unchanged by a 235 m sprint and moderately increased 30 min after a 420 m run (Snow *et al.*, 1988).

c. Analytical Factors of Variation

Most techniques are based on the specific action of a bacterial urease. The accuracy of P-Urea measurements is not usually reported.

d. Reference Intervals and Physiological Factors of Variation

1 mmol/l = 6 mg/dl. There is no good reason to continue using BUN, as the analytical procedure was abandoned long ago and is merely a source of confusion. If required, the factors are BUN (mg/dl) \times 0.356 = Urea (mmol/l), and BUN (mg/dl) \times 21.4 = Urea (mg/l).

- Gender: No significant effect of gender was observed in young beagles (Passing and Brunk, 1981) or in adult dogs (Broulet *et al.*, 1986).
- ◆ Age: P-Urea decreases in dogs (by about 50%) between birth and 1 to 2 months (Kühl et al., 2000; Wolford et al., 1988), after which irregular but moderate variations have been reported (Broulet et al., 1986; Cowgill and Spangler, 1981; Lowseth et al., 1990; Passing and Brunk, 1981; Strasser et al., 1993, 1997; Vajdovich et al., 1997). P-Urea increased from birth to 6 months in Great Danes, except those fed on low-protein diets (Nap et al., 1991). P-Urea was similar in adult horses and newborn foals, decreasing by about 50% in foals on the first day and remaining low for at least 2 months (Edwards et al., 1990). P-Urea decreased during the first week in calves (Hartmann et al., 1987), although others did not report any change during this time (Klee et al., 1985).
- Individuals: Intraindividual variations in horses were more important than the variations observed before and after foaling (Doreau and Martin-Rosset, 1985).
- Biological rhythms: P-Urea in sheep was 30% higher in summer than in winter (Nawaz and Shah, 1984), but other authors found no seasonal difference in dogs (Strasser *et al.*, 2001).

e. Pathological Factors of Variation

The efficiency of P-Urea in renal failure diagnosis has not been reported. The critical difference in dogs was 2.4 mmol/l around a mean value of 5 mmol/l (Jensen and Aaes, 1993). A threshold of decision of 10 mmol/l has been suggested for cattle with suspected renal disease (Campbell and Watts, 1970).

The relationship between P-Urea and P-Creatinine in dogs was reported to be low (Gabrisch, 1973) or high and linear (Toutain *et al.*, 2000), except for frequent increases in P-Urea concentration, with normal P-Creatinine likely the result of poor preanalytical conditions (Medaille *et al.*, 2004). Because of great interindividual variations, differences in the urea/creatinine ratio cannot be related to specific diseases (Finco and Duncan, 1976).

The variations in P-Urea with disease are similar to those of P-Creatinine, but numerous extrarenal factors may contribute to increased P-Urea, such as gastrointestinal hemorrhage, fasting, or sepsis, which increase protein catabolism; thyrotoxicosis, which lowers P-Urea by increasing GFR; and decreased renal perfusion, which increases

renal reabsorption (DiBartola *et al.*, 1996; Prause and Grauer, 1998). Other factors of decreased P-Urea include portosystemic shunts, malnutrition (Davenport *et al.*, 1994), liver insufficiency, and Krebs-Henseleit cycle enzyme defects. See the reviews in Dial (1995) and Sutherland (1989). These extrarenal factors of variation explain why P-Urea is less specific than P-Creatinine for the diagnosis and management of CRF and should not be recommended as a test of renal function. However, as P-Urea greatly depends on protein supply, it is a useful tool for monitoring the effects of dietary protein restriction (Devaux *et al.*, 1996).

P-Urea is less effective in cattle than P-Creatinine to evaluate decreased GFR in diarrheic calves (Brooks *et al.*, 1997), and only 30% of cattle with P-Urea above the upper limit of the reference interval had renal disease (Campbell and Watts, 1970).

f. Effects of Prolonged Increases of P-Urea: Carbamylated Hemoglobin

The carbamylation of proteins is an irreversible nonenzy-matic reaction occurring between amino groups of proteins and isocyanate, the active form resulting from cyanate isomerization. This latter is derived from the spontaneous dissociation of urea in solution into ammonium and cyanate ions. Thus, the higher and longer the concentration of P-Urea, the higher the concentration of carbamylated proteins, for instance, of hemoglobin (a process analogous to protein glycation in diabetes mellitus). As proposed in human medicine (Stim *et al.*, 1995; Wynckel *et al.*, 2000), carbamylated hemoglobin may be useful for assessing canine CRF and distinguishing it from ARF (Heiene *et al.*, 2001b), whereas others found a significant overlap with specificity and sensitivity equal to 96% and 84%, respectively, at a $100 \mu g/g$ Hb threshold (Vaden *et al.*, 1997a).

3. Cystatin C

Cystatin C is a small constitutive protein (MW \sim 14,000) synthesized by all nucleated cells and only cleared by glomerular filtration. The plasma concentration is thus increased in cases of renal failure. Cystatin C is considered the most sensitive marker of renal failure in humans. See the reviews in Filler et al. (2005), Laterza et al. (2002), and Price (2000). It can be measured with reagents used in humans in plasma from dogs (Almy et al., 2002; Jensen et al., 2001; Martin et al., 2002) but not cats (Martin et al., 2002). A 50% decrease of P-Cystatin C was reported in dogs following a meal, so sampling should be done after a 12-hour fast (Braun et al., 2002). The upper limit of the reference interval in dogs is 1.3 mg/l (Braun et al., 2002) and similar to the human threshold. P-Cystatin C is well correlated with P-Creatinine and GFR in normal dogs and in dogs with reduced GFR (Almy et al., 2002; Braun et al., 2002). At present, no diagnostic advantage of P-Cystatin C over P-Creatinine has been demonstrated in dogs.

B. Direct Tests of Glomerular Function

The determination of glomerular filtration rate (GFR)—that is, the volume of ultrafiltrate produced per unit of time (e.g., ml/min) by glomerular filtration—is considered the best way to evaluate kidney function. This is based on the hypothesis of the "intact nephron": as "the surviving nephrons of the diseased kidney largely retain their essential functional integrity" and "retain a remarkably uniform relationship between glomerular and tubular function" (Bricker *et al.*, 1997).

GFR depends on the size of the animal, so different modes of expression have been proposed. One of the most frequently used is to relate GFR to body weight (ml/min/kg) or better still to lean body mass in humans (Swaminathan *et al.*, 2000). Another approach is to use the body area (ml/min/m²), but as the equations used to calculate this from BW have not been validated in all species, this may introduce further inaccuracy (Price and Frazier, 1998). Another mode of expression consists of relating GFR to the volume of the extracellular compartment, as one kidney function is the regulation of body water content. This indexing is rarely adopted but has been used in humans (Peters *et al.*, 2000) and dogs (Gleadhill, 1994; Gleadhill and Michell, 1996).

1. Determination of GFR

There is no easy method for determining GFR from a single blood or urine specimen. In human clinical pathology, there are equations to estimate GFR from P-Creatinine, gender, weight, and age. The most frequently used are the Cockroft-Gault's equations, but these are imprecise and the results depend on the techniques used for P-Creatinine (Grubb and Nordin, 2006; Wuyts *et al.*, 2003). No such equations are available for use in animals. To our knowledge, the only study relating GFR to P-Creatinine showed that the estimation of canine GFR from P-Creatinine was imprecise, and the authors did not recommend using the equation to estimate GFR (Finco *et al.*, 1995).

The measurement of GFR is based on the clearance of markers freely filtered by the glomerulus and having no or minor secretion and reabsorption. See the review in Heiene and Moe (1998). The accepted reference for GFR determination is the urinary clearance of inulin, a low-molecular-weight polysaccharide (Shannon, 1935). The total amount of this marker eliminated in urine over a period of time is equal to the filtered load:

Total amount eliminated during time $t = (U-Inulin) \times (U-Volume) = GFR \times (P-Inulin)$

$$GFR = (U-Volume) \times (U-Inulin)/(P-Inulin) \times t$$

in which U-Inulin and P-Inulin are in the same units, volume is in ml, and time in min, thus GFR is in ml/min.

However, such determinations are labor intensive: they necessitate the maintenance of a constant plasma concentration by constant infusion of the marker, the accurate determination of urine volume, and precise and accurate measurement of the marker. Urine collection is often impractical as the total urine is required, which implies careful washing of metabolic cages (Watson *et al.*, 2002a) or the use of indwelling catheters. See the review on urine collection and preservation in cats and dogs in Osborne (1995).

Easier procedures have been proposed, based on the following:

- The use of other markers: Some markers; such as ferrocyanide (Ladd et al., 1956), thiosulphate (Bing and Effersoe, 1948; Dalton, 1968), and sulfanilate (Maddison et al., 1984; Ross and Finco, 1981) have been abandoned. The endogenous or exogenous clearance of creatinine is the most frequently used technique, whatever the species. Iodinated radiocontrast media (e.g., iohexol) provide a good alternative to inulin, but most laboratories are not equipped to measure iodine (Westhoff et al., 1993, 1994). The metabolism of these various markers has not been documented in domestic animals. In some cases extrarenal metabolism can be significant, as shown in cats for diethylenetriaminepentaacetic acid (DTPA), which first concentrates in the heart and lung, then accumulates moderately in the liver before being concentrated in the kidneys (Drost et al., 2000; Uribe et al., 1992). Radiolabeled markers (see the review in Daniel et al. [1999]) allow easier and more accurate measurement of concentrations, but their use is restricted to specially equipped centers: 131I-Inulin, 51Cr-EDTA (Biewenga and van den Brom, 1981; van den Brom and Biewenga, 1981), and 99 mTc-DTPA (Gleadhill et al., 1999). They have also been used in large species such as horses (Matthews et al., 1992; Walsh and Royal, 1992).
- The technique of administration: Single injection instead of continuous infusion and determination of plasma clearance of the marker, with calculations based on the decreased plasma concentration of the marker (Pihl and Nosslin, 1974; Summerville and Treves, 1986).
- The use of nuclear imaging techniques and ^{99m}Tc-labeled tracers such as DTPA. These permit the functions of each kidney to be evaluated separately (Assailly et al., 1977; Drost et al., 2000; Krawiec et al., 1988), as these may be similar in healthy but not always in diseased dogs (Cowgill and Hornhof, 1986; Lourens et al., 1982). Determination of GFR by imaging is not as precise as clearance methods (Barthez et al., 1998; Kampa et al., 2002).

2. Variations of Results of GFR Determination According to Procedure

a. Preanalytical Factors of Variation

• Anesthesia: In most cases, anesthesia/sedation was reported to have little or no effect on GFR determination in the dog (Bostrom et al., 2006; Gagnon et al., 1982; Lourens

et al., 1982; Newell et al., 1997), but a decrease was reported after acepromazine alone (Lourens et al., 1982) and others found that GFR was slightly higher in anesthetized than in nonanesthetized dogs (Balint and Forgacs, 1967), maybe as a consequence of the intravenous fluids. Anesthesia could lead to reduction of GFR in dogs with reduced renal mass (Stone et al., 1981). Thiopental anesthesia had no effect on GFR in sheep (Cirio et al., 1990).

- Hydration status: GFR was higher in dogs that were hyperhydrated, so hydration status should be standardized (Kerr, 1958; Kunze et al., 2006; Tabaru et al., 1993). In cats, infusion of Ringer lactate at 1 to 2ml/min produced on average a 40% increase of GFR (Rasmussen et al., 1985). In sheep, GFR was lower in summer than in winter because of hemoconcentration (Nawaz and Shah, 1984).
- Diet: GFR was higher in dogs fed animal proteins rather than other proteins (Bartges et al., 1995a, 1995b, 1995d; Kerr, 1958). It was higher with high levels of proteins in the diet in normal dogs and cats and after renal mass reduction (Adams et al., 1994; Bartges et al., 1995c; Bovee, 1992; Bovee and Kronfeld, 1981; Robertson et al., 1986). In partially nephrectomized dogs, low- or high-sodium diets had little influence on GFR (Greco et al., 1994). In cats, GFR was moderately reduced by low-sodium diets (Buranakarl et al., 2004), unchanged in cats receiving potassium-depleted food, but lowered when the same food was acidified with ammonium chloride (Dow et al., 1990). In cows, GFR was unchanged by mineral supply (Hartmann et al., 2001). GFR was higher in sheep fed a normal or high rather than a low protein diet (Cirio and Boivin, 1990a, 1990b; Cirio et al., 1990; Eriksson and Valtonen, 1982; Rabinowitz et al., 1973; Valtonen et al., 1982).
- Meals: GFR increases ranging from 10% to 45% were observed after a meal of proteins in normal dogs and in dogs with renal mass reduction (Bourgoignie et al., 1987; Brown, 1992; Ewald, 1967; Jolliffe and Smith, 1932; Moustgaard, 1947; O'Connor and Summerill, 1976; Reinhardt et al., 1975). This postprandial increase of GFR was not observed in dogs with experimental Fanconi's syndrome (Woods and Young, 1991). It was not reduced by fasting for more than 1 day (Moustgaard, 1947). No difference in GFR was observed when ponies were fed twice a day or received the same amount of food every 2h (Clarke et al., 1990).
- Exercise: GFR was unchanged by training in horses and dogs (McKeever *et al.*, 1985, 2002) or by submaximal exercise for 20 or 60min despite hemoconcentration (Hinchcliff *et al.*, 1990; McKeever *et al.*, 1991). During effort under anaerobic conditions in horses, GFR decreased sharply then returned to prerun values within 15min (Schott *et al.*, 1991).
- Drugs: In dogs and sheep, GFR was increased by glucocorticoids, probably by an increase of plasma flow rate as in rats (Baylis and Brenner, 1978; Gans, 1975). GFR

was decreased by furosemide in dogs and cats (Bostrom et al., 2003; Hanna et al., 1988). Controversial effects of NSAIDs were reported in dogs: either no change of GFR with meloxicam, carprofen, and ketoprofen (Crandell et al., 2004; Ko et al., 2000; Narita et al., 2005) or a moderate decrease with carprofen or ketoprofen (Forsyth et al., 2000). GFR was moderately decreased after repeated excretory urograms in dogs (Feeney et al., 1980a). In cats with experimental CRF, the ACE inhibitor benazepril increased GFR up to 30% (Brown et al., 2001), whereas ACE inhibitors could decrease GFR in sodium-restricted dogs (Hall et al., 1979).

• Biopsy: GFR was not affected after repeated renal biopsies in dogs (Drost et al., 2000; Groman et al., 2004).

b. Techniques of GFR Measurement

The procedures used to calculate plasma clearances may also influence the results as they depend on the mathematical model chosen (Heiene and Moe, 1999; Powers *et al.*, 1977; Watson *et al.*, 2002a) and on the proposed limited sampling strategies (Barthez *et al.*, 2001; Blavier *et al.*, 2001; Finco, 2005; Watson *et al.*, 2002a). Others have suggested measuring the concentration of an exogenous marker at a given time after injection (e.g., P-Creatinine after I.V. load in dogs) (Labato and Ross, 1991; Watson *et al.*, 2002a).

The measurement of creatinine concentrations by Jaffé technique (discussed earlier) led to erroneously high values for creatinine clearance in early studies. Because of the larger proportion of interfering substances in plasma than in urine with the Jaffé technique, P-Creatinine was overestimated in comparison to U-Creatinine (e.g., in dog urine) (Shannon *et al.*, 1932). This discrepancy between creatinine and inulin clearances does not exist when the measurements are based on the more accurate enzymatic techniques (Finco *et al.*, 1993).

The results of GFR determination may vary according to the technique so their transferability is limited (Driehuys et al., 1998; Finco, 2005; Gagnon et al., 1971; Izzat and Rosborough, 1989; Oester et al., 1968; Rogers et al., 1991), and caution is required when using decision limits from other laboratories or from the literature. Correcting factors have been proposed in some cases, for instance, for iohexol plasma clearance and exogenous creatinine urinary clearance in dogs (Finco et al., 2001; Gleadhill and Michell, 1996). However, such results remain controversial. The estimation of GFR based on iohexol clearance, for example, was considered reliable in dogs and cats (Brown et al., 1996), whereas others showed differences of up to 0.95ml/min/kg with creatinine clearance (Miyamoto, 2001b). The urine clearance of endogenous creatinine and the urine and plasma clearance of exogenous creatinine gave similar results (Finco et al., 1981, 1991; Lee et al., 1983; Watson et al., 2002a), but plasma DTPA clearance was 1.15 time higher than plasma iohexol clearance in dogs (Moe and Heiene, 1995).

2000) and almost the same in both kidneys of dogs (Groman et al., 2004).

• Biological rhythms: Creatinine clearance remained almost stable over 1 day in dogs (Hartenbower *et al.*, 1974; Uechi *et al.*, 1994b), horses (Morris *et al.*, 1984), cats (Uechi *et al.*, 1998), and sheep (Garry *et al.*, 1990c).

d. Variations with Disease

Decreased GFR is the gold standard of renal failure, whatever its cause or subsequent evolution (discussed later). A critical approach should always be applied in the clinical evaluation of renal function (Finco and Barsanti, 1989), especially as the results obtained differ according to the method used (discussed earlier).

Alterations of GFR may be secondary to many extrarenal diseases. GFR is decreased in hypothyroidism (Adams et al., 1997) and hypoxia (Lobetti et al., 1996). It may be decreased or not in experimental or spontaneous diabetes mellitus (Kaneko et al., 1978, 1979). GFR evaluation is also useful for monitoring the toxicity of drugs eliminated by renal filtration (e.g., carboplatin) (Bailey et al., 2004; Shapiro et al., 1988) and as an indicator of the effects of renal dysfunction on the pharmacokinetics of drugs (e.g., oxytetracyclin in dogs) (Duffee et al., 1990).

C. Tests of Tubule Function

1. Concentrating Ability

a. Urine Osmolality versus Urine-Specific Gravity

One of the most important functions of the kidney in mammals is to reabsorb more than 99% of the filtered water, so that the final urine is more concentrated than the glomerular ultrafiltrate.

Urine concentration is best evaluated by osmolality (Bovee, 1969)—that is, the concentration of particles in a solution, independently of their chemical characteristics (1 sodium ion has the same osmotic effect as 1 molecule of urea or as 1 molecule of albumin). The main determinant of specific gravity in dog urine is urea (Meyer *et al.*, 1997). The laws of osmolality are only valid for dilute solutions in which the different particles are completely independent and this is not verified in urine or plasma. See the review in Sweeney and Beuchat (1993).

The urine concentration is determined in routine tests from the specific gravity (U-SG)—that is, the ratio of the weight of 11 of solution to 11 of water, which depends on the relative proportions and the molecular weight of all the compounds in solution (for instance, 300mOsm/kg solutions of NaCl, urea, and glucose would have SGs of approximately 1.009, 1.014, and 1.054, respectively; the latter would correspond to ~1mOsm/kg solution of albumin).

Urine osmolality and specific gravity were highly correlated in dogs (Bovee, 1969; Dossin *et al.*, 2003; Harvey, 1973; Hendriks *et al.*, 1978; Meyer *et al.*, 1997), sheep (English and Hogan, 1979), and cats (Lees and Osborne,

1979). The correlation was weaker in calves (Thornton and English, 1976). Maximum concentrations could be as high as 1400mOsm/l in rabbits, 2400mOsm/l in dogs, and 3200mOsm/l in cat and sheep (Anderson, 1982).

b. Preanalytical Factors of Variation

- Specimen: In cats, U-SG was not changed by freezing (Lees and Osborne, 1979). Spot urines could give very different U-SG results in the same animal depending on the time of sampling.
- Diet: U-SG was lower in cats supplied with moist food than with dry food (Palmore *et al.*, 1978). In cows, U-osmolality was little changed when the mineral supply was reduced by 50% or increased to 200%, but the urine volume was greatly increased during the high mineral supply period (Hartmann *et al.*, 2001).
- Physical exercise: In greyhounds, U-Osmolality was decreased (~8%) by training because of increased diuresis resulting from plasma volume expansion (McKeever *et al.*, 1985). U-SG was transiently decreased for 1 to 2h after an effort under anaerobic conditions in horses (Schott *et al.*, 1991). The 25% to 75% interval for U-SG in postrace Thoroughbred horses was 1.021 to 1.033 (Cohen *et al.*, 2002).
- Environment: Water intake, urine volume, and osmolality differed significantly in sheep depending on whether the environment was cool or hot, dry or humid (Guerrini *et al.*, 1980).
- Drugs: U-SG was increased in dogs after the administration of radiographic contrast media (Feeney *et al.*, 1980b). In dogs, urine volume was increased and osmolality decreased after medetomidine (Burton *et al.*, 1998) and after glucocorticoids administration, which interferes with the action of vasopressin on the kidney (Joles *et al.*, 1980; Sirek and Best, 1952; Waters *et al.*, 1997). In horses, U-Osmolality was increased after oral sodium bicarbonate loading (Rivas *et al.*, 1997) and was low after treatment with furosemide (median 1.018) (Cohen *et al.*, 2002).
- Anesthesia: U-SG was not changed by halothane anesthesia in dogs (Lobetti and Lambrechts, 2000) but was decreased by isoflurane anesthesia in horses because of increased diuresis, maybe from the fluid support (Watson *et al.*, 2002b). In horses, U-Volume was increased, and U-SG and U-Osmolality were decreased by xylazine and detomidine (Gasthuys *et al.*, 1986, 1987; Nunez *et al.*, 2004; Steffey and Pascoe, 2002; Thurmon *et al.*, 1984; Trim and Hanson, 1986).

c. Analytical Factors of Variation

Measuring osmolality requires expensive equipment, thus is not easy to do in most veterinary clinics. Freeze-point osmometers are usually unsuitable for the direct measurement of highly concentrated urines, especially in cats (Lees and Osborne, 1979).

U-SG must be measured by refractometry. See the review in George (2001). The reagent strips available for U-SG

determination in humans should not be used for animal urine. The measurement is based on changes in ionic strength and gave satisfactory results with 80% to 90% of human urines (Burkhardt *et al.*, 1982), but it was less accurate than refractometry (Dorizzi *et al.*, 1987). Results with these test strips did not correlate with osmolality or refractometry in dogs (Allchin *et al.*, 1987; Dossin *et al.*, 2003; Paquignon *et al.*, 1993; van Vonderen *et al.*, 1995). To the authors' knowledge this test strip has not been validated in other domestic species.

d. Reference Values and Physiological Factors of Variation

The range of variations of U-SG or U-Osmolality in healthy animals is large in all species, so that reference intervals are devoid of any relevance for spot urines.

- Breed: U-SG was higher in miniature schnauzers than in labradors and might be a factor in oxalate stone formation (Stevenson and Markwell, 2001).
- Gender: Sex had no effect on canine U-SG (van Vonderen *et al.*, 1997).
- Age: U-SG was lower in aged dogs than in young adults (van Vonderen *et al.*, 1997). U-SG was low at birth in puppies, then increased over the first 2 months to values higher than those of mature dogs (Faulks and Lane, 2003; Laroute *et al.*, 2005). U-SG/osmolality in newborn foals was similar to or moderately lower than that of adults, then it decreased to a state of hyposthenuria during the first day of life and remained so for at least 2 months (Edwards *et al.*, 1990).
- Inter- and intraindividual variability: U-SG high in canine urine, CVs were in the range of 30% to 40% (van Vonderen *et al.*, 1997).
- Biological rhythms: U-SG in dogs was slightly lower in evening than in morning samples (van Vonderen et al., 1997).

e. Pathological Factors of Variation

As variations in healthy animals can be large, hypo- or isosthenuria must either be confirmed on repeated samples or observed in moderately dehydrated or azotemic animals to be interpreted as an indicator of kidney dysfunction.

A concentrating ability below the commonly accepted limits of 1.030 and 1.035, in dogs and cats, respectively, is considered inadequate. See the review as this pertains to dogs in Watson (1998).

2. Tests of Water Deprivation

When plasma osmolality increases, osmoreceptors in the hypothalamus stimulate the release of ADH in blood, thus increasing water reabsorption and equilibrating plasma osmolality. Diabetes insipidus is an uncommon condition characterized by polyuria and polydipsia without glucosuria. It can be congenital or acquired (Harb *et al.*, 1996) and results from decreased secretion of ADH by the hypothalamus

(central diabetes insipidus) or from insensitivity of kidney cells to the effects of ADH. See the reviews in Cohen and Post (2002) and Neiger and Hagemoser (1985).

Tests of water deprivation are based on the fact that sudden or progressive withholding of water produces progressive dehydration. The resulting increase in extracellular fluid osmolality triggers the release of ADH, thus an increase of U-Osmolality and U-SG in healthy subjects. The concentrating ability after exogenous ADH administration can be measured to test whether the absence of urine concentration results from a central defect of ADH secretion or from peripheral resistance to ADH. See the review in Finco (1995a). Protocols for test combination have been proposed (Mulnix et al., 1976), as well as for ADH measurement, but they have not gained wide acceptance (Biewenga et al., 1987). In dogs as in humans, urinary excretion of aquaporin-2 occurs in parallel to ADH action and can be used as a marker of collecting duct responsiveness (van Vonderen et al., 2004).

Water deprivation tests may be hazardous, so should only be used to investigate polydipsia-polyuria once significant kidney damage has been ruled out (MacDougall, 1981). They are contraindicated in dehydrated, azotemic, or hypercalcemic dogs or cats (Barsanti *et al.*, 2000).

Water restriction in 10-month-old beagles produced maximum U-SG = 1.070 after up to 23h of water deprivation (Balazs *et al.*, 1971). In adult dogs, maximum U-Osmolality was 2738mOsm/kg with corresponding U-SG of 1.076 after 72h and a weight loss of 16% (Hardy and Osborne, 1979). In horses, water deprivation for 72h led to an average loss of weight of 8%, and an increase in U-SG (mean \sim 1.050), the hematocrit, and P-Proteins (Genetzky *et al.*, 1987; Rumbaugh *et al.*, 1982).

3. Urine Excretion of lons

a. Fractional Excretion

Many electrolytes are intensely reabsorbed after filtration, mainly in the proximal tubule; their excretion is thus increased when tubule dysfunction occurs. Urine electrolyte concentrations also depend on the alimentary supply as the homeostatic mechanisms aimed to stabilize plasma concentration modulate tubule reabsorption. They can thus greatly differ as a function of the diet in all species and on the proximity of meals in monogastric animals.

The most meaningful information would be obtained from daily urine excretion, which is often impossible to obtain because of the difficulties associated with urine collection. Expressing the urinary elimination of a solute (X) as the ratio of the filtered load that is found in urine has been proposed, whence the name fractional excretion (FE):

$$\begin{aligned} \text{FE}_{X} &= \text{amount in urine/amount filtered} \\ &= (\text{U-X} \times \text{U-Volume}) / (\text{P-X} \times \text{GFR}) \end{aligned}$$

in which U-X and P-X are the urine and plasma concentration of X respectively.

If creatinine clearance is used as a measurement of GFR, it can be demonstrated that FE is equal to the ratio of the solute clearance to creatinine clearance, thus the synonym fractional clearance may be preferred (Constable, 1991). FEs can easily be determined in "spot" samples of plasma and urine according to the following equation:

$$FE_x = (U-X/P-X) \times (P-Creatinine/U-Creatinine)$$

Such spot measurements are often well correlated with daily elimination. See the reviews in Coffman (1980) and King (1994). However, spot determinations in cats are highly variable compared with 72-h values, and should thus be interpreted with caution (Finco *et al.*, 1997). FE_{Na} and FE_{K} are poor indicators of the daily excretion of these ions in animals with renal failure (Adams *et al.*, 1991). FEs and standard clearances are highly correlated in horses for sodium, potassium, and phosphates (Traver *et al.*, 1977) (e.g., spot measurements of FE_{Pi} are within 0.1% of the calculated 24h value) (Lane and Merritt, 1983). In sheep FE_{Na} , FE_{K} , FE_{Cl} , and FE_{Pi} are highly correlated with the respective daily urine excretion of these ions (Garry *et al.*, 1990c).

b. Preanalytical Factors of Variation of Ion Excretion

• Diet: Daily elimination and FE_{Na}, FE_K, FE_{Ca}, and FE_{Mg} are higher in fed than in nonfed healthy dogs, whereas the excretion of phosphate is unchanged (Lulich et al., 1991). Diuresis and urine mineral composition differ according to food composition and intake in dogs (Zentek et al., 1994) and cats (Sauer et al., 1985a, 1985b) (e.g., cats fed a low K diet had lower FEK and higher FE_{Cl}) (Dow et al. 1990); cats supplemented with magnesium showed a four-fold increase of FE_{Mg} (Norris et al., 1999a), and FE_{Ca} was increased in phosphate-depleted dogs (Goldfarb et al., 1977). FE_{Pi} is lower in dogs fed lowphosphate diets (Polzin et al., 1991) and low-protein diets (Polzin and Osborne, 1988). In cattle (see a review in Lunn and McGuirk [1990]), the composition of the diet greatly influences electrolyte balance, especially for calcium and magnesium (Gray et al., 1988): FE_{Mg} was about three times higher in cows receiving oral magnesium hydroxide than in controls (Kasari et al., 1990) and is mainly used to test magnesium status (Sutherland et al., 1986). In cows receiving a high mineral diet, FE_{Ca}, FE_{Mg}, and FE_{Pi} were increased (Hartmann et al., 2001). In sheep artificially loaded with NaCl by oral or intraruminal route, GFR, FE_{Na}, and FE_K increased, whereas P-Sodium remained stable (Meintjes and Engelbrecht, 1993). In cattle, sodium bicarbonate loading increased FE_{Na} and FE_{HCO3} , decreased FE_{Mg} and FECa, but had no effect on FE_K, FE_{Cl}, and FE_{Pi} (Roby et al., 1987). In mares, FE_{Ca} and FE_{Pi} are dependent on the diet (e.g., FE_{pi} ranged from almost 0% to 20% when mares fed on pasture alone or with a high-P mineral supplement) (Caple et al., 1982a, 1982b). FE_{Ca}, FE_{Mg}, and FE_{Pi} were

increased in cows receiving a high-mineral diet (Hartmann et al., 2001).

- Meals: In cats, urine excretion of phosphates and magnesium is moderately higher in the postprandial period (Finco *et al.*, 1986). Postprandial variations of FE_{Na} and FE_{Pi} were observed in cats fed a high-phosphate high-sodium diet (Finco *et al.*, 1989). FEs were altered after large meals in ponies (Clarke *et al.*, 1990)
- \bullet Physical exercise: In horses, FE_K, FE_{Na}, and FE_{Cl} were decreased by training (McKeever *et al.*, 2002). FE_{Na} was increased, whereas FE_{Cl} was decreased, and FE_K remained unchanged during submaximal exercise (McKeever *et al.*, 1991) or transiently decreased immediately after effort under anaerobic conditions (Schott *et al.*, 1991).
- Drugs and fluid therapy: In dogs, FE_K is increased in volume expansion by NaCl infusion (Massry *et al.*, 1969). FE_K and FE_{Cl} but not FE_{Na} are increased after medetomidine administration in dogs (Burton *et al.*, 1998). In horses, I.V. infusions of glucose or saline solutions increased FE_{Na} , FE_{Cl} , and FE_{Pi} , and FE_K was also increased after glucose infusion (Roussel *et al.*, 1993). In ponies, administration of xylazine increased FE_K , FE_{Na} , and FE_{Cl} (Trim and Hanson, 1986).

c. Analytical Factors of Variation

Ion concentrations in urine are usually measured with the same techniques as in plasma with ad hoc dilutions when necessary, but techniques have not been validated in animal species. An interfering substance in the urine of sheep, cattle, horses, and cats, but not dogs, causes falsely low results for potassium but not sodium with ion-selective electrodes (Brooks *et al.*, 1988).

d. Reference Intervals and Physiological Factors of Variation

Mean values of FEs collected from the literature are summarized in Figure 16-8. It should be remembered, as emphasized in horses (Morris *et al.*, 1984), that interindividual variations are large and the values observed in individuals may be outside the limits of each group.

- Age: In puppies, FE_{Na} increased during the first 6 months of life, whereas FE_{K} peaked at about 4 months, and FE_{Cl} , FE_{Ca} , and FE_{Pi} were little changed (Lane *et al.*, 2000).
- Pregnancy-lactation: In cows, significant changes in FE_{Na} , FE_{K} , FE_{Cl} , FE_{Ca} , and FE_{Pi} were observed prepartum and during lactation (Ulutas *et al.*, 2003). FE_{Na} , FE_{K} , and FE_{Cl} were unchanged with the stage of lactation, whereas FE_{Pi} , FE_{Ca} , and FE_{Mg} differed (Fleming *et al.*, 1992).
- Biological rhythms: In fasted dogs, FE_{Na} and FE_{Ca} were higher in the morning and FE_{Pi} in early afternoon (Hartenbower *et al.*, 1974). In cows, FE_{Na} , FE_{K} , FE_{Cl} , FE_{Ca} , FE_{Pi} , and FE_{Mg} did not vary significantly over 24h

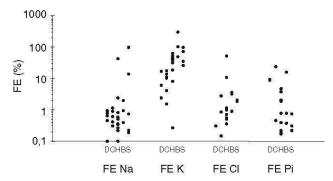


FIGURE 16-8 Mean values of FE of sodium, potassium, chloride, and inorganic phosphates. Each point is the result of an original study in dogs (D), cats (C), horse (H), bovine (B), and sheep (S). The y-axis has a logarithmic scale.

FE_{Na} *Dog: Burton et al., 1998; Clarke et al., 1990; Deguchi and Akuzawa, 1997; Finco et al., 1997; Fleming et al., 1991; Gagnon et al., 1982. *Cat: Gans, 1975; Garry et al., 1990a, 1990b; Gelsa, 1979. *Horse: Izzat and Rosborough, 1989; Kohn and Strasser, 1986; Lobetti and Joubert, 2000; Lobetti and Lambrechts, 2000; Lulich et al., 1991; McKeever et al., 1991; Morris et al., 1984; Neiger and Hagemoser, 1985. *Sheep: Roussel et al., 1993. *Cattle: Adams et al., 1991; Bickhardt and Dungelhoef, 1994; Buranakarl et al., 2004.

FE_K *Cattle: Adams et al., 1992; Beech et al., 1993; Bickhardt and Dungelhoef, 1994. *Dog: Buranakarl et al., 2004; Burton et al., 1998; Clarke et al., 1990. *Cat: Clarke et al., 1990; Deguchi and Akuzawa, 1997; Dow et al., 1990; Edwards, 1989; Finco et al., 1997; Fleming et al., 1991. *Horse: Gans, 1975; Garry et al., 1990a, 1990b; Gelsa, 1979; Lulich et al., 1991; McKeever et al., 1991; Morris et al., 1984; Neiger and Hagemoser, 1985. *Sheep: Roussel et al., 1993; Russo et al., 1986; Toribio et al., 2005; Traver et al., 1977; Ulutas et al., 2003.

FE_{Cl}*Cattle: Buranakarl et al., 2004; Burton et al., 1998; Dow et al., 1990. *Dog: Edwards, 1989. *Cat: Fleming et al., 1991; Garry et al., 1990a, 1990b. *Horse: Gelsa, 1979; Kohn and Strasser, 1986; McKeever et al., 1991; Morris et al., 1984; Neiger and Hagemoser, 1985; Roussel et al., 1993; Russo et al., 1986. *Sheep: Toribio et al., 2005; Ulutas et al., 2003.

FE_{Fi} *Cattle: Bickhardt and Dungelhoef, 1994; Caple et al., 1982. *Dog: Caple et al., 1982; Edwards, 1989. *Cat: Finco et al., 1997. *Horse: Fleming et al., 1991; Garry et al., 1990a, 1990b; Gelsa, 1979; Kohn and Strasser, 1986; Lane and Merritt, 1983; Lulich et al., 1991; Neiger and Hagemoser, 1985; Roussel et al., 1993; Russo et al., 1986. *Sheep: Toribio et al., 2005; Traver et al., 1977; Ulutas et al., 2003.

but most showed great interindividual variability (Fleming *et al.*, 1991). Other authors have observed significant changes in Pi, Na, and K total excretion and FEs with maximal values at the middle of the day, which did not depend on age or production category (high or low) (Fleming *et al.*, 1992).

e. Pathological Factors of Variation

The main difficulty when interpreting FEs in the diagnosis of renal disease is that they are greatly influenced by all extrarenal factors involved in the regulation of plasma electrolyte balance, mainly by dietary supply (Finco and Barsanti, 1989; Finco *et al.*, 1992a). As a result, the interpretation of increased FEs in terms of tubular dysfunction is often hypothetical. Only repeated measurements under well-controlled conditions (e.g., experimental settings) may offer some relevance.

In cats with severe CRF, the FEs of ions were normal in most animals, and their measurement did not seem to improve diagnosis (Filippich, 1992). In dogs, it was shown that FE_{Pi} was a less accurate indicator of CRF than P-Creatinine (Gleadhill, 1994).

FEs are also changed in nonrenal diseases. In diabetic cats, FE_{Mg} was about 20 times higher than in controls and could be responsible for the frequent hypomagnesemia observed in diabetes mellitus (Norris *et al.*, 1999b). In the cat, a case of increased FE_{Pi} with normal P-Phosphates is described, probably because of deficient reabsorption and resulting in rickets-like symptoms (Henik *et al.*, 1999). In

horses, FE_K is moderately but insignificantly lower during rhabdomyolysis (Beech *et al.*, 1993)

IV. TESTS OF KIDNEY DAMAGE

A. Glomerular Damage

1. Proteinuria

Proteinuria is one of the most frequent abnormalities in routine urinalysis and was observed in about 43% and 50% of canine and feline samples submitted to a university hospital (Barlough *et al.*, 1981). See the review on human proteinuria in Waller *et al.* (1989). Although glomerular damage is the cause of the most intense proteinurias, it is not the only one: these can also originate from the tubules and their cause may be pre- or postrenal (Table 16-2). See the consensus statement on canine proteinuria in Lees *et al.* (2005).

The following systematic approach is required in cases of confirmed proteinuria: (1) check that it is persistent, (2) evaluate the magnitude, and (3) localize the origin. See the reviews in Hurley and Vaden (1995), Kunze *et al.* (2006), and Lees *et al.* (2005).

a. Origin of Urinary Proteins

The characteristics of the glomerular filtration slit (see Section I.B) are such that almost no or very little plasma protein is filtered. The MW of albumin is closest to the filtration threshold, so this is the first plasma protein to

TABLE 16-2 Categories of Causes of Proteinuria Based on the Site or Mechanism of the Underlying Abnormality

Prerenal (Definition: due to abnormal plasma content of proteins that traverse glomerular capillary walls having normal permselectivity properties.)

Normal proteins that are not normally present free in the plasma (e.g., hemoglobin or myoglobin). Abnormal proteins (e.g., immunoglobulin light chains) (Bence-Jones proteins).

Renal (Definition: due to abnormal renal handling of normal plasma proteins.)

Functional (Definition: proteinuria that is due to altered renal physiology during or in response to certain transient phenomena [e.g., strenuous exercise, fever, and so on].) The key distinction here is that the proteinuria is not attributable to presence of renal lesions. The hallmarks of this type of proteinuria are that it is mild and transient—that is, it promptly resolves when the condition that is generating it resolves.

Pathological (Definition: proteinuria that is attributable to structural or functional lesions within the kidneys, regardless of their magnitude or duration.)

Glomerular (Definition: due to lesions altering the permselectivity properties of the glomerular capillary wall.)

Tubular (Definition: due to lesions that impair the tubular recovery of plasma proteins that ordinarily traverse glomerular capillary walls having normal permselectivity properties.) These plasma proteins traffic into the urine from glomerular capillaries. They consist mainly of low-molecular-weight proteins but may also include small amounts of moderate molecular weight proteins (e.g., albumin).

Interstitial (Definition: due to inflammatory lesions or disease processes (i.e., acute interstitial nephritis) causing exudation of proteins into the urinary space.) These proteins traffic into the urine from peritubular capillaries.

Postrenal (Definition: due to entry of protein into the urine after it enters the renal pelvis.)

Urinary (Definition: due to entry of proteins derived from hemorrhagic or exudative processes affecting the walls of the urine excretory pathway, renal pelvis, ureter, urinary bladder, and urethra (including into the urethra from the prostate gland in males).)

Extraurinary (Definition: due to entry of proteins derived from secretions or from hemorrhagic and/or exudative processes affecting the genital tract and/or external genitalia during voiding or in the process of collecting urine for analysis.)

Reproduced from Lees et al. (2005) with permission of JVIM.

escape into urine in the case of glomerular disturbance. See the review in Mathieson (2004). Filtered proteins are almost totally reabsorbed in the tubule, and the remaining molecules are substantially degraded before excretion in human or rat urine; this may lead to underestimation of U-Proteins with certain techniques but has not been documented in domestic animals (Greive *et al.*, 2001).

A mixture of serum-derived proteins can be identified in normal dog urine (Porter, 1964, 1966), but their concentrations are very low, except for albumin, and undetected by routine techniques. Other "normal" urinary proteins (i.e., Tamm-Horsfall protein secreted by the distal tubule) (see the review in Pressac [2000]), urokinase, and secretory immunoglobulins A are added in the tubule. Tamm-Horsfall protein is the major constituent of proteinaceous casts (Sanders *et al.*, 1990) and may be involved in vitamin A excretion in dogs (Schweigert *et al.*, 2002) and in urine stone formation as its excretion is about 10 times lower in stone formers (Raila *et al.*, 2003).

b. Protein Concentration versus Daily Protein Output versus U-(Protein/Creatinine) Ratio

Spot urine protein concentration can differ considerably within a given animal, depending mainly on the urine concentration. Better estimates of proteinuria would be obtained by measuring total daily excretion, but this is difficult due to the need to collect urine for 24h. The concentration of creatinine, which is inversely related to urine dilution, is used as

a correction in spot samples as its excretion in a given animal is supposed to be fairly constant. Urine protein excretion is thus expressed as the U-(Protein/Creatinine) ratio (U-P/C), in which the concentrations of the two analytes are expressed in mg/l. This ratio has gained general acceptance in clinical pathology. See the reviews in Lulich and Osborne (1990) and Price *et al.* (2005).

The U-P/C ratio in spot urines is well correlated with 24-h urine excretion in healthy and CRF dogs and cats (Adams *et al.*, 1992; Barsanti and Finco, 1979; Grauer *et al.*, 1985; Monroe *et al.*, 1989; White *et al.*, 1984).

c. Preanalytical Factors of Variation

- Specimen: The method of urine collection (natural voiding, catheterization, cystocentesis) was not found to have a significant effect on U-Proteins in dogs (Barsanti and Finco, 1979). U-P/C could be increased in cases of cystitis and blood contamination of urine (Bagley *et al.*, 1991).
- Diet and meals: U-Protein was higher in dogs with CRF, fed high-protein diets (Polzin et al., 1983, 1984).
 U-P/C is moderately decreased 4 to 8h after a meal (Jergens et al., 1987).
- Housing: U-P/C was higher in hospitalized nonproteinuric dogs than in nonhospitalized ones and was generally lower than 0.5 (McCaw et al., 1985).
- Drugs: In dogs, long-term glucocorticoid therapy produced a regular increase of U-P/C > 0.5 at 2 weeks

and peaked slightly above 1.0 after 4 weeks (Waters et al., 1997). Nephroangiography often produces transient proteinuria (Holtas et al., 1981).

d. Techniques

- · Detection: The different screening techniques do not provide the same results. See the review in human medicine (Thysell, 1969). Proteinuria detection is most often based on the use of test strips, the detection limit being 0.25 to 0.30g/l for albumin but much higher for globulins (Behr et al., 2003). Moreover, as the reagent patch is based on a pH indicator, falsely positive results are often observed in alkaline urines. Hemoglobin interference remains negligible as long as the urine is not colored, even if the "blood" patch is strongly positive (Jansen and Lumsden, 1985; Vaden et al., 2004). False positives are not encountered with denaturation tests such as the sulfosalicylic acid and nitric acid ring tests, which give identical reactions with globulins and albumin. Caution should be taken in the interpretation of dipstick results for the effects of urine dilution/concentration, and readings must be interpreted with U-SG as a possible correction factor.
- Quantification: The quantification and fractionation of proteins should preferably be performed in nonconcentrated urines, although the U-Protein content is usually low, necessitating special procedures. Concentration techniques may alter the relative composition of urine proteins in human urines (Ala-Houahala et al., 1984). The biuret reaction cannot be used unmodified as its quantification limit is too high (a few g/l). Older methods based on heat denaturation, turbidimetry (Hendriks et al., 1976), and more recent ones using special stains such as Ponceau S and Coomassie Blue have mostly been abandoned. The main stain currently used is pyrogallol red, which gives slightly higher results with albumin than with globulins (Behr et al., 2003). Calibrations are performed with different specimens (albumin, dilution of serum, concentrated urines, etc.), thus urine protein measurements can greatly differ from one laboratory to another, as with older techniques (Barsanti and Finco, 1979). U-Protein and U-P/C can be measured in canine but not feline urine with special dipsticks used for human urine (Welles et al., 2006).

Reference Values and Physiological Factors of Variation

Proteinuria is not detected by routine techniques in urines of healthy animals, except for possible "traces" or "+" readings in highly concentrated urines. In most species, the upper limit of U-Proteins is about 0.3 to 0.4g/l as observed in piglets (Ruhrmann *et al.*, 1986) and horses (Edwards *et al.*, 1989). In normal dogs, the urinary loss of proteins is very low and the median is 6mg/kg BW/day. The value in 80% of dogs was ≤10mg/kg/day (Biewenga *et al.*, 1982),

whereas others reported 14mg/kg/day (DiBartola *et al.*, 1980a). The upper limits of U-Proteins in cats were <21/mg/kg/d and 27mg/kg/d in females and males, respectively (Monroe *et al.*, 1989). The commonly accepted reference limits for U-P/C are 0.5 and 0.4 in dogs and cats, respectively (Lees *et al.*, 2005; Elliot, 2007).

- Age: In puppies, total proteinuria and U-P/C decreased in the first 6 months of life (Lane et al., 2000). Total daily excretion of proteins was lower in 2-monthold puppies than in adults (Laroute et al., 2005), but others detected proteins in urines of almost all dogs aged less than 3 months (Faulks and Lane, 2003). In newborn piglets there was a transient increase of U-Proteins between 6 and 24h (Parker and Aherne, 1980) because of absorption of fragments of colostral IgGs during the first day of life, and after 3 days proteins become almost undetectable (Martinsson, 1972).
- Gender: U-Protein is higher in naturally voided urine of male than female dogs; the difference is not observed in urines obtained by cystocentesis (Barsanti and Finco, 1979).
- Intra- and interindividual variations: Interindividual variations in proteinuria are much greater in adult dogs than in 2-month-old puppies (Laroute *et al.*, 2005) and are very large in cats (Russo *et al.*, 1986). In sheep, total protein excreted per 4h and U-P/C were stable for 2 days (Garry *et al.*, 1990c).
- Biological rhythms: In dogs, no differences in protein output and U-P/C were observed between night and day samples (McCaw et al., 1985).

f. Pathological Factors of Variation

In human medicine, U-PC is considered a safe method to rule out the possibility of significant excessive 24-hour protein excretion (Price *et al.*, 2005). One-quarter of a colony of beagles 4 to 6 years old with no clinical signs and normal U-SG showed transient or permanent proteinuria (Stuart *et al.*, 1975).

Glomerulopathies are the cause of the most severe urine protein losses. See the review in Lulich *et al.* (1996). Dramatic increases in protein excretion are observed in canine and feline amyloidosis and membranous glomerulonephritis with values attaining 900mg/kg/day and U-P/C >10 (Biewenga and Gruys, 1986; Center *et al.*, 1985, 1987; DiBartola *et al.*, 1980b; Minkus *et al.*, 1994). Proteinuria is the earliest sign of glomerular disease in experimental glomerulonephritis of the cat (Bishop *et al.*, 1991).

Proteinuria is also observed in extrarenal conditions: exercise in the dog (Epstein and Zambraski, 1979) as in humans, plasma protein overload in dogs when P-Proteins exceed 95 to 100g/l (Terry et al., 1948), and urinary infections in 90% to 95% of cases when leukocytes or bacteria are identified (Fettman, 1987, 1989). About half of the dogs

with pituitary-dependent hyperadrenocorticism show moderate increases of U-P/C (Hurley and Vaden, 1998; Ortega et al., 1996).

2. Albuminuria-Microalbuminuria

More than 99% of filtered albumin is reabsorbed in the proximal tubule. See the review in Gekle (1998). Maximal reabsorption capacity is lower in rodents, so that a minimal increase in plasma concentration results in urine excretion, which is not observed in dogs (Gartner, 1981). The word *microalbuminuria* is used to qualify the urinary elimination of traces of albumin, below the detection limit of urine total proteins (i.e., below ~300mg/l) but above 20 to 30mg/l. These thresholds correspond to those used in human medicine for the early diagnosis of renal complications of diabetes mellitus.

Microalbuminuria cannot be detected in canine or feline urine with tests used for human microalbuminuria (Pressler et al., 2002). Special tests are commercialized for the semi-quantitative evaluation of microalbuminuria in canine urines (Pressler et al., 2002). Preliminary studies showed that microalbuminuria was observed in a large proportion of dogs without any clinical sign of renal disease, but the upper limit of "normal" urine elimination of albumin and the effects of possible factors of variation have not been determined in dogs. Moreover, the detection of microalbuminuria does not provide any information about the existence of a possible evolutive disease of the kidney. More basic studies will be required before any use of this new test.

U-albumin in human urine is stable for up to 5 months when stored at -20° C and correctly homogenized after thawing (Brinkman *et al.*, 2005). An ELISA test with a limit of quantification of 10mg/l has been set up for use in dog urine (Vaden *et al.*, 2004). Albuminuria is unchanged in dogs after exercise for 20 min at 8km/h (Gary *et al.*, 2004).

3. Urine Protein Electrophoresis

The diagnostic use of electrophoresis of urinary proteins is based on the identification of selective proteinuria versus unselective proteinuria. The latter results from severe glomerular damage allowing massive transfer of all plasma proteins (unselective) including high MW immunoglobulins. The former is observed during the first stages of glomerular damage, when only low MW plasma proteins are eliminated or when tubular damage impairs the reabsorption of filtered low MW proteins, thus producing selective proteinuria. Protein identifications are most frequently performed in SDS-agarose gels where the proteins are separated according to their MW (Meyer-Lindenberg et al., 1997; Muller-Peddinghaus and Trautwein, 1977; Schultze and Jensen, 1998). Protein electrophoresis permits the identification of severe glomerulo- and tubulointerstitial nephropathies but not their differentiation (Zini, 2004). The technique is 100% sensitive for glomerular damage but only 40% specific in dogs (Zini et al., 2004). A more selective identification of urine proteins can be obtained by mass spectrometry; for instance, retinol-binding protein is only present in the urine of dogs with kidney damage and Tamm-Horsfall protein excretion is reduced in kidney disease (Forterre et al., 2004).

In normal dogs and cats, electrophoresis shows only traces of albumin and rarely of proteins migrating in the globulin zone—that is, mainly transferrin and $\alpha 1$ -microglobulin (Groulade *et al.*, 1977, 1978; Harvey and Hoe, 1966; Meyer-Lindenberg *et al.*, 1997; Pages and Trouillet, 1990; Yalcin and Cetin, 2004) and sometimes low-molecular-weight proteins (Muller-Peddinghaus and Trautwein, 1977; Zaragoza *et al.*, 2003).

Monoclonal or polyclonal immunoglobulin light chains (Bence-Jones proteins) are identified in \sim 40% of cases of monoclonal gammopathy (Leifer and Matus, 1986; Matus *et al.*, 1986), in spontaneous ehrlichiosis (Varela *et al.*, 1997), and in plasma cell malignancy (Hurvitz *et al.*, 1971).

B. Tubule Damage

1. Urine Enzyme Activities

a. Pathopathology

The enzymes found in urine have two origins. See the reviews in Dubach and Schmidt (1979) and Jung *et al.* (1992). Low MW plasma enzymes are filtered by the glomerulus (e.g., lysozyme or amylase) and are almost totally or partially reabsorbed by the tubule. High MW plasma enzymes cannot be filtered by the glomerulus, but they can be released by the tubule cell. The release of enzymes by other parts of the urinary tract seems negligible.

Most of the enzyme markers used in routine analysis occur mainly in the proximal tubule cell. Renal damage causes their excretion into urine to increase, but there are no increases in plasma enzyme activity, except in severe cases (Shaw, 1976). The kidney specificity of enzymes used as markers of tubule damage is not an issue as long as the MW of the enzymes is large enough to preclude glomerular filtration of the corresponding plasma circulating enzyme.

The localization of enzymes along the nephron is not homogeneous. In rats, proximal and distal tubule damage can be distinguished by measuring the respective glutathione-S-transferase and lactate dehydrogenase (Bomhard et al., 1990) but this has not been used in domestic species. The intracellular localization of enzymes differs considerably, for example, alkaline phosphatase (ALP), alanine aminopeptidase (AAP), GPDAP (glycyl-prolyl-dipeptidyl aminopeptidase), and gamma-glutamyl transferase (GGT) occur in the brush borders, β -glucuronidase, N-acetyl- β -glucosaminidase (NAG) in the lysosomes, lactate dehydrogenase (LDH) and glutathione-S-transferase (GST) in the cytoplasm, and glutamate dehydrogenase (GLD) in the mitochondria. This has been used in experimental toxicology to study the progression of cell damage (Bret et al., 1993), but it has not been used in clinical cases.

Enzymes cannot accumulate in urine, as they do in plasma because of their elimination with each urination. Thus, the amount of enzyme eliminated in a urine sample reflects the amount of kidney damage that has occurred since the preceding urination. However, the urine concentration of enzymes not only depends on the release of these latter from the kidneys but also on urine concentration/dilution, hence the frequent use of the U-(Enzyme/Creatinine) ratio as a correction factor in the determination in spot urines (Gossett *et al.*, 1987).

b. Preanalytical Factors of Variation

- Specimen: Many enzymes are unstable in refrigerated canine urine and are almost totally inactivated by freezing (e.g., GGT, LDH, and amylase) (Keller and Freudiger, 1984), whereas NAG and AAP are stable for one month at 4°C and −18°C after gel filtration (Reusch *et al.*, 1991). GGT is stable for 3 days at +4°C (Adams *et al.*, 1985; Gossett *et al.*, 1987).
- Anesthesia: U-GGT and U-(GGT/Cr) were increased after prolonged sevoflurane anesthesia in horses (Driessen et al., 2002).

c. Analytical Factors of Variation

No technique has been validated for the measurement of urine enzyme activities, so results may differ greatly from one laboratory to another.

Better measurements of AAP and NAG enzyme activities in dog urine are obtained after the elimination of small-molecular-weight inhibitors by dialysis or gel filtration (Reusch *et al.*, 1991). Others have reported that GGT and NAG in urine from dogs (Sato *et al.*, 2002a), cattle (Sato *et al.*, 1997), horses (Adams *et al.*, 1985; Gossett *et al.*, 1987), and cats (Sato *et al.*, 2002b) can be measured without prior preparation.

d. Reference Intervals and Physiological Factors of Variation

As with plasma enzyme activity measurements, no validly transferable reference intervals are available for urine enzymes because of the lack of standard techniques and primary control material to ensure interlaboratory controls of accuracy. It is thus unwise to take such information from the literature without checking values of the laboratory.

- Gender: U-NAG was approximately two times higher in male dogs than in females (Nakamura *et al.*, 1983; Reusch *et al.*, 1991; Sato *et al.*, 1997), and was reduced by castration and by vasectomy (Higashiyama *et al.*, 1983). U-NAG was also higher in steers than in cows, but the U-(NAG/Creatinine) ratio was not influenced by sex in cattle (Sato *et al.*, 1997) and cats (Sato *et al.*, 2002b). U-GPDAP activity was higher in males than in females (Uechi *et al.*, 1997).
- Age: Neither age nor gender affected U-GGT and U-ALP activities in horses (Brobst *et al.*, 1986).
- Individuals: Inter- and intraindividual variations were large in dogs and cats (Ogura, 1986; Reusch *et al.*, 1991).

• Biological rhythms: No circadian rhythm of U-GGT or U-NAG was observed in cats (Uechi et al., 1998), but the excretion of both enzymes in dogs was higher between 12:00 and 16:00 (Uechi et al., 1994b) and that of GPDAP was higher between 8:00 and 12:00 (Uechi et al., 1997). U-GGT and U-ALP were moderately higher in morning urines in horses (Brobst et al., 1986).

e. Pathological Factors of Variation

Increased urine enzyme excretion is a sign of acute kidney damage, whatever its cause, but does not imply organ dysfunction (Ellis *et al.*, 1973b, 1973c). In many cases, significant increases of urine enzyme excretion are observed without or earlier than any alteration of the function markers (Rivers *et al.*, 1996). Urine enzyme excretion shows little or no modification in chronic renal diseases (e.g., canine CRF) (Heiene *et al.*, 1991).

Although NAG is largely used in toxicology, GGT is often preferred in routine clinical practice because of its easy measurement without urine predialysis and use of readily available reagents. Only urine NAG was increased initially when primary damage was located in the papillary zone of dogs (e.g., ethyleneimine) (Ellis *et al.*, 1973a).

Enzymuria is probably the most sensitive test for monitoring kidney damage induced by treatments with potentially nephrotoxic drugs such as gentamicin in dogs (Adelman et al., 1979; Davies et al., 1998; Grauer et al., 1994, 1995; Greco et al., 1985; Lora-Michiels et al., 2001; Martinez et al., 1996; Spangler et al., 1980), sheep (Brown and Garry, 1988; Garry et al., 1990a), and horses (Rossier et al., 1995). Similar changes were observed in horses treated with neomycin: enzyme excretion was increased whereas creatinine clearance and plasma creatinine concentration were unaltered (Edwards et al., 1989).

Urine enzyme excretion was also increased in renal damage secondary to extrarenal disease, such as canine leishmaniasis (Palacio *et al.*, 1997), approximately 40% of cases of pyometra (de Schepper *et al.*, 1989a, 1989b; Heiene *et al.*, 2001a), and in heartworm disease (Uechi *et al.*, 1994a). The U-NAG and U-(NAG/Creatinine) ratios were increased in cows with interstitial nephritis and amyloidosis (Sato *et al.*, 1999).

2. Blood

Hematuria can originate from any part of the kidney or the urinary tract. See the review in Forrester (2004). It is detected by the color of the urine, ranging from light pink to red in macrohematuria, or more frequently by routine urinalysis for invisible microhematuria. This latter is detected by the peroxidase activity of hemoproteins and can therefore give false positives with other proteins such as myoglobin or catalase. The limit of detection of hemoproteins is low, so that occult blood can be detected in the absence of a positive reaction for proteins. See the review on techniques of blood detection in Syed *et al.* (2002).

Idiopathic kidney bleeding has been reported in Weimaraners (Hitt *et al.*, 1985). See the review in Hitt (1986). Occult blood could be detected in approximately 25% of cases in 2-month-old dogs (Faulks and Lane, 2003). Blood in urine can result from sample collection, especially catheterization, as in large animals when indwelling systems are used (Godeau *et al.*, 1990). Macroscopic and microscopic hematuria could result from kidney biopsy in cats (Nash *et al.*, 1983) but only rarely (Osborne, 1971).

V. BIOCHEMICAL CHANGES IN KIDNEY DISEASE

Renal or kidney disease is a pathological process affecting any part of the kidney and may or may not be associated with alterations in kidney function. Kidney or renal failure (insufficiency) is characterized by a decrease in one or several kidney functions, first the urine concentrating ability, then the elimination of small-molecular-weight molecules from the plasma, characterizing azotemia (i.e., increases of P-Urea and/or P-Creatinine). Uremia is the syndrome resulting from renal failure. Cases of azotemia are not always primary renal azotemia caused by parenchymal damage but may be prerenal or postrenal azotemia resulting from reduced kidney perfusion and interferences with urine excretion, respectively. See the reviews in DiBartola (2005b) and Osborne and Polzin (1983).

Although the etiology of renal diseases and associated lesions may differ markedly, the differential diagnosis, prognosis, and monitoring of disease evolution or therapy is mainly based on clinical biochemistry. However, clinical biochemistry cannot identify the cause of the renal dysfunction/lesion, which requires other tools, such as renal biopsy, imaging, genetic testing, urine culture, cytology, and so on. Most of the literature available is concerned with dogs and cats and, to a much lesser degree, horses. The major renal syndromes of interest to the clinical pathologist are chronic renal failure, acute renal failure, nephrotic syndrome, and Fanconi-like syndromes because of their specific pattern of alterations in biochemical variables and the time course of these changes.

A. Chronic Renal Failure

Chronic renal failure is a slow irreversible deterioration of kidney function, which, on account of the very large functional reserve of the kidneys, occurs without clinical or biological signs over a long period. Chronic renal failure in dogs and cats is highly prevalent in old animals and is a frequent cause of death: it usually evolves more or less rapidly from early renal disease by a largely unknown process. See the reviews in Brown *et al.* (1997) and Finco *et al.* (1999). As renal disease does not necessarily imply CRF, the main challenge is to detect any kidney disease as early as possible to limit its progression by appropriate dietary and therapeutic

renoprotective maneuvers. See the reviews in Braun and Lefebvre (2005), Grauer (1985, 2005), Greco (2005), and Lees *et al.* (1998).

1. Development and Progression of Renal Failure

Experimental models of chronic renal failure have helped to extend our knowledge of CRF progression and of the concomitant changes in biochemical variables. However, some results obtained in rodents seem not to be applicable to cats and dogs. The most commonly used model is the remnant kidney model, based on nephrectomy of the right kidney and reduction of the left kidney mass by selective ligatures of the renal arteries or by electrocoagulation of the left renal cortex. The intensity of left kidney mass reduction determines the postoperative decrease in renal function quantified by the decrease of GFR between the control and postoperative periods.

In dogs, a 5/6 renal mass reduction led to a 65% decrease in GFR and only moderate increases of P-Creatinine and P-Urea, which remained within the reference limits (Brown *et al.*, 2000). One month after the renal mass had been reduced to 1 /₁₆ in dogs, GFR was approximately 0.75ml/min/kg and P-Creatinine approximately 300 μ mol/l (Finco *et al.*, 1992b). In cats, 3 /₄ and 5 /₆ reductions of renal mass led to reductions in renal function of one-half and two-thirds, respectively (Adams *et al.*, 1994; Miyamoto, 1998).

The only compensatory mechanism observed was hypertrophy of the remaining tissue except after subtotal kidney mass reduction, when hyperplasia occurred (Filippich *et al.*, 1985). For example, the removal of one kidney in dogs was followed by a 40% increase in weight of the remaining kidney (Carriere, 1978). Compensation was progressive, being rapid for the first 2 to 12 weeks, then slower (Churchill *et al.*, 1999). This explains why the immediate postoperative GFR value is not indicative of the GFR measured several weeks later (Lefebvre *et al.*, 1998a). The GFR decrease was generally less than expected from the reduction of renal mass, and hypermetabolism was observed in the remaining kidney tissue (Fine, 1991). It is thus recommended to wait at least 6 to 8 weeks after surgery to obtain stationary conditions before testing renal function.

Compensation is dependent on many factors, especially nutrition. It was less effective in dogs fed a low-protein (White *et al.*, 1991) or a high-phosphorus diet (Finco *et al.*, 1992b) and in the case of hypertension (Finco, 2004), whereas dietary sodium supply had no effect, except when very low (Greco *et al.*, 1994). Cats with experimental CRF fed a low-sodium diet had lower GFR values than cats fed a normal diet (Buranakarl *et al.*, 2004).

The progression of renal disease is poorly understood (Terzi *et al.*, 1998) and leads to clinical disturbances of increasing severity. This has led experts to propose dividing canine and feline CRF into four stages based on P-Creatinine

Stage	Creatinine (μ mol/L)	Nonazotemic Some other renal abnormality present (e.g., inadequate urinary concentrating ability without identifiable nonrenal cause); abnormal renal palpation or abnormal renal imaging findings; proteinuria of renal origin; abnormal renal biopsy results; increasing plasma creatinine concentration noted when serial samples have been collected		
I	<125 dogs <140 cats			
II	125-179 dogs 140-249 cats	Mild renal azotemia (lower end of the range lies within the reference range for many laboratories but the insensitivity of creatinine as a screening test means that animals with creatinine values close to the upper reference limit often have excretory failure) Clinical signs usually mild or absent		
III	180–440 dogs 250–440 cats	Moderate renal azotemia Many extrarenal clinical signs may be present		
V	>440 dogs and cats	Severe renal azotemia Many extrarenal clinical signs are usually present		

at presentation (Table 16-3) and substagings based on proteinuria and blood pressure (Elliott, 2007). Experimental CRF does not necessarily lead to self-perpetuating renal disease (i.e., a spontaneous decline of GFR over time). Kidney function in dogs with a 75% reduction of renal mass was stable up to 4 years, except in some animals fed low-protein diets (Bovee et al., 1979), but not in others (Robertson et al., 1986). Following uninephrectomy in 7- to 8-year-old dogs, ²³/₃₁ animals survived 4 years, and the GFR remained stable at ~3.5ml/min/kg, irrespective of dietary protein content (18% and 24%) (Finco et al., 1994). GFR was stable for 1 year, and U-P/C was moderately increased but remained below 0.3 after uninephrectomy in young cats (Finco et al., 1998). After 15/16 nephrectomy, renal function decreased rapidly in dogs fed a high-Ca, high-P diet (Brown et al., 1991).

Spontaneous CRF results mainly from tubular-interstitial disease, amyloidosis, and glomerulonephritis, which progress to the uremic syndrome (multiple organ dysfunctions) when end-stage renal failure is reached (Polzin *et al.*, 2000). These dysfunctions probably result from the accumulation of solutes normally excreted by the kidney, the so-called uremic toxins. Ninety molecules, most of them nonidentified, are considered to be potential uremic toxins. See the reviews in Boure and Vanholder (2004), Vanholder and De Smet (1999), Vanholder and Glorieux (2003), and Yavuz *et al.* (2005), but urea and creatinine have little or no toxicity.

Familial renal diseases in dogs and cats provide unique models for human medicine, as most of them lead to the development of CRF. See the reviews in DiBartola (2005a) and Lees (1996). The biochemical findings in such patients when clinical signs are present are the same as in dogs with CRF (see the review in DiBartola, 2000). Proteinuria and hypercholesterolemia are present in primary glomerular disease, as observed in Bernese mountain dogs. Glucosuria may be observed in primary renal tubular defects in

Norwegian elkhounds and basenjis (see later Fanconi-like syndromes). The major laboratory finding in Welsh corgis with renal telangiectasia is marked hematuria.

2. Biochemical Changes in Animals with Spontaneous CRF

The most frequent biochemical findings in CRF are isosthenuria, proteinuria, azotemia, hyperphosphatemia, and metabolic hyperchloremic acidosis. When the CRF diagnosis cannot be confirmed, GFR should be measured. Although it is relatively rare in horses, CRF produces biochemical alterations similar to those observed in dogs and cats. See the review in Schott (2004).

- Azotemia is a common finding in dogs and cats with CRF and is sometimes the only biochemical criterion used to diagnose CRF. However, it was absent or mild at presentation in about 50% of dogs with glomerulonephritis or renal amyloidosis (Cook and Cowgill, 1996; DiBartola et al., 1989). It was commonly observed in cats and sharpei dogs with amyloidosis (Grauer and DiBartola, 2000). Survival was inversely correlated to P-Creatinine in cats and dogs with CRF (Allen et al., 1987; Elliott et al., 2000). The variations of P-Urea in dogs and cats with CRF are usually similar to those of P-Creatinine, except in cases when P-Urea synthesis is decreased (e.g., in animals with liver insufficiency). Serial P-Urea measurements to determine the need to adapt dietary protein level in dogs with CRF have been recommended (Devaux et al., 1996).
- Isosthenuria is a frequent finding in dogs with CRF and is the earliest observable urine alteration. It probably results from the increased filtration by remaining nephrons leading to a high urinary volume that overwhelms reabsorptive capacity, thereby producing urine dilution, polyuria, and polydipsia. See the review in Hughes (1992). Isosthenuria in azotemic or dehydrated animals is a good

indicator of the inability of the kidney to concentrate urine. U-SG and P-Creatinine are inversely related in cats (Elliott *et al.*, 2000), but cats with CRF retained concentrating ability longer than dogs (Ross and Finco, 1981), although decreased U-SG was frequently observed in cases of severe CRF (Deguchi and Akuzawa, 1997; Elliott and Barber, 1998). As U-SG is higher in puppies than in adult dogs (noted earlier), the cutoff value for abnormally low U-SG should therefore be higher.

- Proteinuria may or may not be present in CRF. The identification of proteinuria does not mean that its cause is renal and this needs to be ascertained by rational diagnosis. When proteinuria is observed in dogs and cats with CRF, it is generally mild to moderate. However, there is some evidence that the presence of proteinuria in CRF dogs or cats aggravates prognosis (Brown et al., 1998a, Grauer, 2005). The persistence of proteinuria should be confirmed by repeated measurement over time (Lees et al., 2005). Although U-P/C is usually higher in dogs with amyloidosis than in dogs with glomerulonephritis, and higher in the latter than in dogs with interstitial nephritis, proteinuria cannot be relied on for differential diagnosis of the underlying renal disease, which requires a renal biopsy (Grauer and DiBartola, 2000). When renal proteinuria is present in dogs or cats, the current recommendation is to treat it to avoid complications, when the U-P/C in nonazotemic animals is higher than 1, and in azotemic patients, higher than 2. See reviews in Lees et al. (2005) and Lulich et al. (1996). Angiotensin-converting enzyme inhibitors are the currently used antiproteinuric agents. The administration of such agents was shown to decrease U-P/C in dogs (Grauer et al., 2000) and cats (Brown et al., 2001). The magnitude of proteinuria may decrease in end-stage renal disease, when GFR decrease is severe (Jaenke and Allen, 1986).
- Hypoalbuminemia occurs in many dogs and cats with glomerular disease as a consequence of increased urinary loss of proteins. Severe hypoalbuminemia (<21g/l) was observed in 70% of dogs with amyloidosis (DiBartola *et al.*, 1989).
- Hyperphosphatemia is a frequent finding in clinical CRF, but it is uncommon in patients with subclinical renal disease. It is currently considered that hyperphosphatemia per se does not directly contribute to clinical signs but is the main cause of renal secondary hyperparathyroidism, which is observed in models of CRF (Grunbaum et al., 1984) and in spontaneous cases (e.g., in about 84% of cats with CRF) (Barber and Elliott, 1998). The precise pathophysiology of secondary hyperparathyroidism in dogs remains unclear. See reviews in Nagode et al. (1996) and Yaphé and Forrester (1994). Phosphate retention is increased as renal failure progresses. As a result of phosphate binding and decreased formation of calcitriol in the kidney, the ionized calcium concentration progressively decreases, thus inducing a progressive increase of PTH

secretion, which enhances calcium mobilization from bone and absorption from the intestine and phosphate elimination by the kidney. Finally at the ESRF stage, PTH secretion becomes resistant to calcium suppression, leading to tertiary hyperparathyroidism. In rare cases, hyperphosphatemia may lead to renal osteodystrophy (Nagode and Chew, 1992), but PTH probably contributes to the pathophysiology of the uremic syndrome and may be a uremic toxin. The parathyroid concentration therefore needs to be measured in uremic animals for hyperparathyroidism diagnosis (Polzin et al., 2000), and results should be interpreted with regard to serum calcium concentration, especially that of ionized calcium. In small animal nephrology, minimizing hyperphosphatemia and hyperparathyroidism by dietary phosphorus restriction, the use of intestinal phosphate binding agents, and the possible administration of calcitriol is a therapeutic goal (Nagode et al., 1996). Careful monitoring of phosphatemia is therefore recommended in dogs and cats with CRF.

- Hyperphosphatemia is frequently associated with moderate hypocalcemia, which may be masked when hypercalcemia is the cause of renal disease. See the reviews in Kruger and Osborne (1994a, 1994b) and Kruger et al. (1996). In horses, CRF usually produced hypercalcemia and hypophosphatemia (Brobst et al., 1977, 1978a; Roberts and Seiler, 1979) but hyperphosphatemia was also observed in a few cases (Brobst et al., 1977). This hypercalcemia may result from the fact that calcium is more strongly excreted by the horse kidney than in other species (see excretion of crystals of calcium carbonates) (Kruger et al., 1996). Hypocalcemia and hyperphosphatemia were observed in 70% of azotemic cattle but did not permit differentiation of prerenal from renal or postrenal causes (Brobst et al., 1978b).
- Electrolyte and water disturbances are frequent, especially in advanced stages of CRF. Dehydration is highly prevalent in cats (\sim 70%) (Lulich et al., 1992) and should be regularly determined during renal disease from repeated measurements of PCV, total proteins, and body weight. Metabolic hyperchloremic acidosis is due to the decreased excretion of hydrogen ions, bicarbonate wasting, and chloride retention. The estimated overall prevalence in cats was 63% to 80% (DiBartola et al., 1987; Lulich et al., 1992), or 0% in nonuremic cats to about 50% in cats with end-stage CRF (Elliott and Barber, 1998). Thus, the acid-base status should be investigated in all uremic patients. Acidosis may have several adverse effects on cardiovascular physiology, promote protein malnutrition, and induce bone demineralization. Hypokalemia has been reported in cats with CRF (Lulich et al., 1992) but is apparently uncommon in dogs. The muscle potassium content decreased in normokalemic cats with CRF (Theisen et al., 1997). The cause-effect relationship between kalemia and CRF remains unclear, but hypokalemia may be

responsible for general weakness, anorexia, and decreased renal function.

- Hyperlipidemia and hypercholesterolemia have been reported in dogs with glomerular diseases and nephrotic syndrome (Cook and Cowgill, 1996; DiBartola *et al.*, 1989). For example, the estimated prevalence of hypercholesterolemia in dogs with glomerular amyloidosis was 86% (DiBartola *et al.*, 1989). These changes probably result from a combination of increased hepatic synthesis and decreased catabolism of proteins and lipoproteins. Hypercholesterolemia and hyperlipidemia may contribute to further renal damage.
- Hematological alterations: CRF is associated with the development of progressive nonregenerating anemia. The main cause in dogs and cats (Oishi *et al.*, 1993) is the decreased synthesis of erythropoietin (EPO). See the review in Cowgill (1992). However, an overlap of EPO concentrations was observed in anemic dogs or cats with and without CRF (Oishi *et al.*, 1995; Pechereau *et al.*, 1997). A loss of antithrombin III by increased filtration and platelet hypersensitivity resulting from hypoalbuminemia was reported in canine nephrotic syndrome and other forms of glomerulonephritis (Greco and Green, 1987; Green *et al.*, 1985), resulting in the thrombus formation reported in cases of amyloidosis (Slauson and Gribble, 1971).

B. Acute Renal Failure

1. Definition, Etiology, and Pathophysiology

Acute renal failure (ARF) is "a clinical syndrome characterized by the sudden onset of hemodynamic, filtration and excretory failure of the kidneys with subsequent accumulation of metabolic (uremic) toxins and dysregulation of fluid, electrolyte and acid-balance" (Cowgill and Elliott, 2000). ARF is generally reversible (unlike CRF) if diagnosed early and given adequate therapy. Whereas CRF results from a progressive decline of GFR, the cause of which most often remains unknown, ARF is associated with an acute decline in GFR frequently caused by an ischemic or toxic insult. Probably, one of the most difficult challenges in nephrology is to differentiate ARF from end-stage CRF as the clinical signs (depression, vomiting, diarrhea, anorexia, and dehydration) and laboratory findings (azotemia, hyperphosphatemia, hyperkalemia, metabolic acidosis, and isosthenuria) are identical.

The etiology of ARF is generally classified as prerenal, intrinsic renal parenchymal, and postrenal (Narins *et al.*, 1992). Prerenal ARF is a functional decline in glomerular filtration resulting from a decrease in renal blood flow or perfusion pressure. Azotemia and increased U-SG are hallmarks of this condition. However, increased urine concentration may be masked by concomitant diseases (e.g., CRF, hepatic insufficiency) that impair the kidney's ability

to concentrate urine. Renal parenchymal ARF is produced by intrinsic damage to the kidney caused by toxic insults, CRF, systemic diseases affecting renal function, or by prerenal ARF. Postrenal ARF is the consequence of obstruction or diversion of urine outflow, and accumulation of excretory products in the body. See the review in Cowgill and Elliott (2000). Three consecutive phases of ARF have been described: the initiation phase (subclinical lasting from hours to days) in which the kidneys are subjected to the renal insult; the maintenance phase (lasting from a few days to 2 to 3 weeks), which develops when lesions of the renal tubules have been established; and the recovery phase associated with an improvement of renal function. The initiation phase is difficult to identify from a pathological point of view unless the onset of ARF is relatively slow. In such conditions, GFR decreases progressively, P-Creatinine and P-Urea increase but may remain within the reference intervals, urine-concentrating ability may change, and urine abnormalities (proteinuria, cylindruria, enzymuria) may be present. The maintenance phase is characterized by the most severe clinical signs and by plasma and urine biochemical alterations. The clinical signs and biochemical findings are generally resolved during the recovery phase unless the lesions are irreversible. Polyuria is usually observed. See the reviews in (Grauer and Lane, 1995; Lane et al., 1994a, 1994b; Lulich et al., 1992).

Experimental models of ARF in various domestic animal species were first based on binephrectomy in ponies (Tennant et al., 1981), sheep (Simesen et al., 1979), and bulls (Watts and Campbell, 1970, 1971). Ruminants survived longer than monogastric animals (about 7 days) and showed almost linear increases of P-Urea and P-Creatinine, whereas P-Phosphates and P-Potassium were little changed and P-Calcium was decreased. Many other models based on nephrotoxic agents such as mercuric chloride, uranyl acetate, and so on, surgery, radiation have been used, but the resulting alterations in urine/plasma biochemistry tend to differ as the various agents do not produce the same initial events and subsequent cascade (Stein et al., 1975). Moreover, the biochemical alterations may differ considerably, according to the renal status of the animal. For example, in gentamicin-induced nephrotoxicity, polyuric hypokalemic ARF is observed in dogs with normal renal function or renal impairment at the onset of treatment, and this can lead respectively to reversible polyuric hypokalemic ARF or to fatal oligoanuric hyperkalemic ARF (Frazier et al., 1986).

Urine and Plasma Biochemical Findings in ARF

Urine and plasma biochemical findings are essential to distinguish between types of ARF. Generally, in prerenal ARF, hypersthenuric urine is produced as the ability of the kidney

to concentrate urine is not impaired. If azotemia is present and the U-SG decreased, then renal parenchymal ARF should be considered. Distinction from CRF may be difficult. Nonregenerative anemia, normo- or hypokalemia, is more common in CRF (Grauer and Lane, 1995). Metabolic acidosis is generally more severe in ARF. For a similar degree of azotemia, animals with ARF exhibited more severe electrolyte disturbances than animals with prerenal azotemia or CRF (Cowgill and Elliott, 2000). The commonest life-threatening electrolyte disturbance in ARF is hyperkalemia, which may cause weakness, cardiac arrhythmia, and possible death of the animal. However, hypokalemia may also develop during the diuretic stage of ARF. Moreover, abnormally low plasma concentrations of sodium, calcium, magnesium, and potassium may exacerbate the development of ARF, especially in case of nephrotoxicity (e.g., caused by gentamicin) (Grauer, 1996). GFR measurements are not indicated in ARF as hydration status and renal function may vary considerably from one hour to another. Once the animal has recovered, GFR determination may permit quantification of the residual renal function, as ARF events may predispose to CRF development. In spontaneous ARF of dogs, P-Urea, P-Creatinine, or P-Phosphates were ineffective predictors of outcome, whereas oliguria was the best indicator of poor prognosis (Behrend et al., 1996).

Diagnosis of postrenal ARF is based on history, clinical signs, and imaging. Hematuria and peritoneal fluid with a higher creatinine concentration than that of plasma and similar to the urine creatinine concentrations are observed when rupture of the urinary tract occurs. Casts were detected in about 30% of dogs with ARF (Vaden *et al.*, 1997b).

Urine enzyme activities are useful early markers of cell damage in ARF principally in nephrotoxicity studies, as they are more sensitive than other markers. In dogs treated with gentamicin, urine excretion of GGT increased by day 2 of administration, P-Creatinine remained below 180µmol/l until day 9, and endogenous creatinine clearance remained within normal values until day 8 (Greco *et al.*, 1985). The intensity of total enzyme excretion may help to quantify the extent of renal damage. The use of enzymuria in routine nephrology remains questionable except in toxicological settings and to monitor the effects of potentially nephrotoxic drugs.

Proteinuria is often detected in uremic animals because of frequent evidence of inflammation and hemorrhage in the urine sediment. However, its relevance in the differential diagnosis, prognosis, and follow-up of ARF patients, in contrast to CRF, remains unknown.

Unusual causes of ARF in dogs and cats have been reviewed recently (Stokes and Forrester, 2004). Many cases of spontaneous acute renal failure result from intoxications. A common example is the ingestion of ethylene-glycol (antifreeze) by dogs and cats, which is oxidized by alcohol dehydrogenase in the liver to glycolaldehyde and acids, with oxalic acid the terminal metabolite. These metabolites are responsible for severe metabolic acidosis and

ARF with dramatic increases of P-Urea and P-Creatinine, proteinuria, and calcium oxalate crystalluria. In the initial phase, affected animals are polyuric with decreased U-SG and U-pH (Connally et al., 1996; Fox et al., 1987; Grauer et al., 1984; Hamlin, 1986; Thrall et al., 1984). In ruminants, equids, and swine, ARF is more frequently caused by nephrotoxic plants or mycotoxins than by infections; for example, ochratoxin A and citrinin produced increases in urinary enzyme excretion, proteinuria, and decreased U-SG in pigs (Szczech et al., 1973, 1974). Diagnosis is based on increases of P-Urea and P-Creatinine and a decrease of U-SG (Divers et al., 1982; Gouda et al., 1986).

Treatments with nephrotoxic drugs can also cause ARF. Cisplatin toxicosis is mainly due to damage of the lower segment of the proximal tubule. This produces a decrease of GFR in dogs and no change or increases of P-Urea or P-Creatinine and increases of FE_{Mg} and FE_{Pi}, and of U-GGT and U-GGT/Creatinine (Forrester *et al.*, 1993; Hardie *et al.*, 1991). A decrease of GFR, U-SG, and P-Potassium was observed with amphotericin B, as was an increase of P-Urea and P-Creatinine (Randall *et al.*, 1996).

Gentamicin nephrotoxicity determines progressive renal failure characterized by increases of urinary enzyme excretion, proteinuria, hematuria and cylindruria, azotemia, hyperphosphatemia, hypoalbuminemia, hyper- or hypokalemia, reduction of GFR, and an increase of FE_{Na} and FE_{K} in dogs (Daugaard *et al.*, 1987; Riviere *et al.*, 1984), cats (Hardy *et al.*, 1985; Mealey and Boothe, 1994), and sheep (Garry *et al.*, 1990b).

C. Nephrotic Syndrome

Nephrotic syndrome is characterized by the presence of proteinuria, hypoalbuminemia, hypercholesterolemia, and edema or ascites. It is mainly a consequence of diabetic nephropathy in humans. See the review in Orth and Ritz (1998). In dogs and cats, it is caused by glomerular renal disease, mainly by immune-mediated glomerulonephritis and amyloidosis. See the reviews in Osborne and Jeraj (1980) and Relford and Lees (1996). A model has been obtained in dogs by repeated administration of cationized bovine albumin after endotoxin sensitization (Choi and Lee, 2004). The hallmark of nephrotic syndrome is severe proteinuria in the absence of active sediment. The prevalence of hypoalbuminemia and hypercholesterolemia in dogs ranged from 61% (Center et al., 1987) to 100% (Jeraj et al., 1984; Kurtz et al., 1972). Edema was usually less prevalent (from 0% to 15%) (Center et al., 1987; Kurtz et al., 1972). When the GFR decrease is substantial, animals with nephrotic syndrome become azotemic and present the clinical signs and biological findings characteristic of CRF and ARF. The prevalence of azotemia in dogs with glomerular disease varied from 20% (Wright et al., 1981) to 100% (Jeraj et al., 1984; Kurtz et al., 1972). In cats, azotemia, hypercholesteremia, hypoalbuminemia, anemia, and edema/ascites

were reported to occur in 67%, 77%, 96%, 63%, and 75% of cases, respectively (Arthur *et al.*, 1986).

D. Fanconi-Like Syndromes

Fanconi-like syndromes are observed in some breeds of dogs, mainly basenjis (Noonan and Kay, 1990). They are characterized by multiple defects in the reabsorption of glucose, sodium, potassium, calcium, phosphate, amino acids, and water by the tubule (Bovee *et al.*, 1979; Settles and Schmidt, 1994) producing decreased U-SG, proteinuria, and glucosuria (Darrigrand-Haag *et al.*, 1996; Easley and Breitschwerdt, 1976). They may also be acquired as a result of gentamicin toxicity (Brown *et al.*, 1986) or calcitriol deficiency (Freeman *et al.*, 1994), they may be experimentally produced by maleic acid (Al-Bander *et al.*, 1985) or 4-pentaenoate administration (Gougoux *et al.*, 1989), or they may be transient and of unknown etiology (Hostutler *et al.*, 2004; Jamieson and Chandler, 2001).

GFR in dogs with Fanconi-like syndrome may be decreased or remained unchanged (Bovee *et al.*, 1979; Breitschwerdt *et al.*, 1983). Glucosuria, which is one of the major findings in Fanconi-like syndromes, was not correlated with a reduction in GFR or with defective reabsorption of phosphate and sodium (Bovee *et al.*, 1978a,b). The cause of death is apparently not progressive nonspecific renal failure, as the final events are papillary necrosis and pyelonephritis (Bovee *et al.*, 1978b, 1979).

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Fluid, Electrolyte, and Acid-Base Balance

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REFERENCES

I. INTRODUCTION

The body fluids are arranged in dynamic, but orderly, functional compartments. Maintenance of these compartments in terms of volume and composition is essential for sustaining normal physiological and biochemical events. The electrolytes dissolved in body fluids fulfill vital roles in virtually all of life's processes. Transmembrane movements of electrolytes are responsible for the electrical events that result in nerve conduction and muscular contraction, and the electrical stability of membranes is highly dependent on the concentration of electrolytes on both sides. Electrolytes also serve as essential cofactors in many enzymatically mediated metabolic reactions. The pH of body fluids is maintained within narrow limits. This fine control is necessary to maintain the structure and function of proteins essential for normal progression of metabolic events. Virtually every organ system participates in the maintenance of fluid and electrolyte balance or is adversely affected by imbalances. In many disease states, impaired fluid intake, excessive fluid losses, or organ damage and dysfunction will lead to a state of altered fluid and electrolyte balance. Regardless of whether fluid and electrolyte alterations are the primary problem or simply represent secondary manifestations of some other disease process, successful patient management depends on correct evaluation and appropriate therapy. To achieve this goal, one must have a clear understanding of the anatomy

and physiology of the body fluids, the pathological mechanisms by which normal processes become deranged, the means by which these disturbances can be identified accurately, and finally the procedures that can be used to correct such disturbances in a prompt, safe, and effective manner (Tasker, 1980).

II. PHYSIOLOGY OF FLUID AND ELECTROLYTE BALANCE

A variety of units have been used in the quantitative evaluation of biological specimens. To avoid confusion, this chapter describes the units of measure that apply directly to the body fluids and electrolytes. An international standard for clinical chemistry units, the "Systeme Internationale d'Unites" (SI units), was developed to provide consistent terminology and usage. Although SI units are the international standard, they may not be familiar to all students or clinicians. All solute concentrations are expressed in moles or millimoles per l, blood gas partial pressure in kilopascals, and osmolality in millikelvins of freezing point depression.

Electrolytes are substances that exist as positive or negative charged particles in aqueous solution. The positively charged particles are "cations," and the negatively charged particles are "anions." For univalent ions such as sodium, potassium, chloride, and bicarbonate, 1 mole equals 1 equivalent. For multivalent ions, 1 equivalent is equal to the molecular weight in grams (i.e., 1 mole) divided by the charge on the particle. To maintain electrical neutrality in biological fluids, there must be an equal number of equivalents or milliequivalents of anions and cations in solution. Electrolytes in solution combine equivalent for equivalent, not on a gram for gram or mole for mole basis.

The osmotic properties of a solute in solution are related to the number of particles in solution and not to its weight or its charge. One osmole of a nondissociable substance is equal to its molecular weight in grams. One osmole of any substance that dissociates in solution into two or more particles is equal to the molecular weight in grams divided by the number of particles into which each molecule dissociates. Osmolarity is defined as the number of osmoles per l of final solution, whereas osmolality is the number of osmoles per kilogram of water. Although the expressions are similar, osmolality more correctly describes the osmotic properties as measured in the clinical laboratory.

Most solutes in biological fluids are present in relatively dilute concentrations, and it is more convenient to express these concentrations as millimoles, milliequivalents, or milliosmoles. These simply represent one-thousandth of the standard unit. Conventional terms are milligrams per deciliter (mg/dl), millimoles per 1 (mmol/l), milliequivalent/l (mEq/l), and milliosmole per kg water (mOsm/kg). The concentrations of the principal anions and cations in plasma are presented in Table 17-1 as expressed in these conventional terms.

III. BODY FLUID COMPARTMENTS

Before discussing the assessment of fluid deficits or imbalances, it is necessary to consider the organization and composition of the fluid compartments from which these losses occur. An understanding of the forces that govern the relative volume and composition of the body fluid compartments is central to understanding both the clinical and clinicopathological manifestations of altered fluid balance.

A. Total Body Water

Water is the most abundant compound in the body, and most of life's essential processes take place in this aqueous environment. Although there is substantial variation, the total body water (TBW) of most domestic animals is approximately 60% of body weight (0.60 l/kg). In a 500-kg horse, this amounts to approximately 3001 (Carlson, 1983c), whereas in a 20-kg dog, it amounts to just over 121 (Kohn and DiBartola, 1992).

Adipose tissue contains little water, and the amount of body fat has a major impact on the relative TBW. The average body water content of women is 0.45 to 0.501/kg as compared to 0.55 to 0.601/kg for men (Edelman et al., 1958; Elkinton and Danowski, 1955). This difference is largely the result of the larger fat deposits in the adult woman and the larger muscle mass of the adult man (Elkinton and Danowski, 1955). Clear sex-associated differences in body fat are not appreciated in domestic animals. However, certain species of domestic animals such as fattened swine or sheep have a large amount of body fat. Although lighter sheep had a TBW of near 0.651/kg (Wade and Sasser, 1970), the TBW of these fattened animals may be less than 0.501/kg (English, 1966b; Hansard, 1964), whereas the TBW of the athletic horse is generally greater than 0.651/kg (Dieterich and Holleman, 1973; Judson and Mooney, 1983; Robb et al., 1972). The relative water content of newborn animals is much higher than adults. Data in human infants, calves, foals, and lambs suggest a water content in excess of 75% of body weight at birth (Bennett, 1975; Dalton, 1964; Edelman and Leibman, 1959; Phillips et al., 1971; Pownall and Dalton, 1973). The large TBW is primarily the result of the very large extracellular fluid (ECF) volume, which exceeds 0.401/kg at birth in most species (Bennett, 1975; Kami et al., 1984; Spensley et al., 1987; Tollertz, 1964). There is an initial rapid decline during the first few days to weeks of life with TBW, and ECF volumes approach adult levels by 6 months of age (Spensley et al., 1987).

The TBW consists of two major compartments, the intracellular fluid (ICF) volume and the ECF volume. The distribution of body water is illustrated in Figure 17-1 indicating the normal fluid balance of a 450-kg horse. The ICF accounts for approximately one-half to two-thirds of the TBW, and the ECF accounts for the remainder. Although these two compartments differ markedly in electrolyte

	Normal Concentration					
Plasma Electrolyte	mOsmol/kg	mg/dl	mm ol/l	mEq/l		
Cations Sodium (Na ⁺)	326.6	142.0	142.0	142.0		
Potassium (K ⁺)	16.8	4.3	4.3	4.3		
Calcium (Ca ²⁺) ⁶	5.0	1.25	2.5	1.25		
Magnesium (Mg³+) ^β	1.3	0.55	1.1	0.55		
Total cations	349.7	148.1	149.9	148.1		
Anions Chloride (Cl ⁻)	369.2	104.0	104.0	104.0		
Bicarbonate (HCO3)	146.4	24.0	24.0	24.0		
Phosphate (H2PO ₄ , HPO ₄ ⁻²) ^c	3.4	1.1	2.0	1.1		
Proteins ⁶	7000.0	2.5	14.0	2.5		
Others (sulfate lactate, etc.)	97 <u>—9</u> 5	5.5	5.9	5.5		
Total anions	7519.0	135.5	149.9	137.0		
Total milliosmoles from electrolyte			285.1			

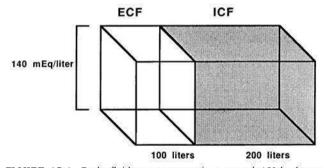


FIGURE 17-1 Body fluid compartments in a normal 450-kg horse. Serum sodium concentration is 140 mEq/l (140 mmol/l). Extracellular fluid (ECF) volume is 1001 and intracellular fluid (ICF) volume is 2001.

composition, they are in osmotic equilibrium, and water is freely diffusible between them. The relative volume distribution of water between these two compartments is largely governed by the number of osmotically active particles in each compartment. The ECF sodium content determines the ECF volume, whereas ICF volume is a function of ICF potassium content. The relationship between the exchangeable cation content (sodium in the ECF, potassium in the ICF) and the total body water was defined by Edelman et al. (1958):

Because most cell membranes are very permeable to water, there are no major osmotic gradients between the ECF and the ICF, and serum sodium concentration and osmolality reflect the osmolality of the ICF compartment as well as that of the ECF compartment (Edelman et al., 1958; Saxton and Seldin, 1986; Scribner, 1969).

B. Extracellular Fluid Volume

The ECF should be viewed as a physiological rather than a strictly definable anatomical space (Carlson et al., 1979a). The ECF volume of adult animals ranges from 0.15 to 0.301/kg body weight (Carlson et al., 1979a; English, 1966b; Evans, 1971; Hankes et al., 1973; Hix et al., 1953; Kohn, 1979; Spurlock et al., 1985; Thornton and English, 1977; Zweens et al., 1975), depending on the species and

^a From Tasker (1980).

^b Only ionized calcium and magnesium have been considered here.

^c Phosphate concentration is that of organic phosphorus. Because a variable equilibrium exists between $H_2PO_4^-$ and HPO_4^{-2} , the actual valence and milliequivalents must be estimated. The same variability is true of protein anions as well.

the volume dilution procedure used. Regulation of ECF volume is a complex process in which a variety of factors interact. The ECF consists of all the fluids located outside the cells and includes the plasma (0.051/kg), interstitial fluid and lymph (0.151/kg), and the transcellular fluids (Edelman and Leibman, 1959; Rose, 1984; Saxton and Seldin, 1986). The transcellular fluids, which include the fluid content of the gastrointestinal tract, are generally considered a subcomponent of the ECF. In small animal species, the fluid content of the gastrointestinal tract is relatively small (Strombeck, 1979). In the large animal herbivore species, a substantial volume of fluid is normally present within the gastrointestinal tract. In the horse, this may amount to 30 to 451 (Carlson, 1979a), and in cattle, the forestomach may contain as much as 30 to 601 of fluid (Phillipson, 1977). During periods of water restriction and certain other forms of dehydration, this gastrointestinal fluid reservoir can be called on to help maintain effective circulating volume (McDougall et al., 1974). All of the fluids of the ECF contain sodium in approximate concentrations of 130 to 150 mEq/l H₂O. Sodium provides the osmotic skeleton for the ECF, and the sodium content is the single most important determinant of ECF volume (Rose, 1984). Sodium deficits result in decreases in ECF volume, whereas sodium excess is most often associated with water retention and results in edema (McKeown, 1986; Rose, 1984).

C. Intracellular Fluid Volume

The ICF volume represents the fluid content within the body's cells. This volume cannot be measured directly but is calculated as the difference between the measured TBW and the measured ECF volume. Potassium provides the osmotic skeleton for the ICF in much the same way that sodium provides the osmotic skeleton for the ECF. Because water is freely diffusible into and out of the cell, changes in the tonicity of the ECF are rapidly reflected by similar changes in ICF tonicity (Saxton and Seldin, 1986). This is largely the result of the movement of water across the cell membrane with resultant changes of ICF volume. Thus, whereas plasma sodium concentration decreases in response to water retention, ICF volume increases (Humes, 1986). On the other hand, with water depletion resulting in hypernatremia, ICF volume decreases (Humes, 1986). Relatively little is known about the organization of intracellular water into the various subcellular compartments and organelles.

IV. REGULATION OF BODY FLUIDS AND ELECTROLYTES

A. Effective Circulating Volume

The effective circulating volume refers to that part of the ECF that is within the vascular space and is effectively perfusing the tissues (Rose, 1984). Effective circulating

volume tends to vary with ECF volume, and both parameters vary with the total body sodium stores (Rose, 1984). Sodium loading produces volume expansion, whereas sodium depletion leads to volume depletion.

Effective circulating volume is not a quantitatively measurable entity but refers to the rate of perfusion of the capillary circulation. Effective circulating volume is maintained by varying vascular resistance, cardiac output, as well as renal sodium and water excretion (Rose, 1984). Decreases in effective circulating volume result in decreased venous return, decreased cardiac output, and decreased blood pressure. Decreased volume and pressure are recognized by special volume receptors in the cardiopulmonary circulation and kidney, which trigger increased sympathetic tone resulting in increased arterial and venous constriction as well as increased cardiac contractility and heart rate. These responses tend to correct for the volume deficit by increasing cardiac output and systemic blood pressure. Volume and pressure changes associated with decreases in effective circulating volume also result in activation of the renin-angiotensin system with subsequent enhancement of aldosterone secretion by the adrenal cortex (Brobst, 1984). Aldosterone acts to enhance renal sodium resorption, which is a critical factor for maintaining and eventually restoring effective circulating volume. Additional factors that influence sodium resorption in response to changes in fluid volume include alterations in glomerular filtration rate, renal hemodynamics, atrial natriuretic factor, and plasma sodium concentration.

B. Antidiuretic Hormone

Antidiuretic hormone (ADH) plays a primary role in the regulation of the osmolality of the body fluids. Antidiuretic hormone is synthesized in the hypothalamus, stored in the neurohypophysis, and released in response to changes in plasma osmolality. Because sodium concentration is the primary determinant of plasma osmolality, ADH-release is closely correlated to plasma sodium concentration. Special sensors in the hypothalamus recognize increases in plasma osmolality, and the normal response is increased thirst to enhance water intake and the release of ADH, which increases water reabsorption by the renal collecting tubules. Antidiuretic hormone exerts its activity on the collecting tubules by activating adenyl cyclase; this results in the generation of cyclic adenosine monophosphate (cyclic AMP) and protein kinases, which in turn alter the permeability of the tubules to water (Rose, 1984). Antidiuretic hormone also is released in response to decreases in effective circulating fluid volume, although the renin-angiotensin system exerts primary control over volume changes. Antidiuretic hormone acts extrarenally as an arterial vasoconstrictor, thus increasing blood pressure. Plasma osmolality decreases in response to a water load, and ADH release is inhibited. The resultant reduction in ADH-mediated reabsorption of water in the collecting tubules allows for appropriate renal excretion of the water load and a return of plasma osmolality toward normal.

This highly sensitive system responds rapidly to small changes in osmolality, and as a result, plasma osmolality is normally maintained within a relatively narrow range.

C. Renin-Angiotensin

The renin-angiotensin system plays an important role in the maintenance of effective circulating fluid volume. Renin is a proteolytic enzyme produced by special juxtaglomerular cells of the glomerular afferent arteriole. Renin is released in response to reduced renal perfusion produced by hypotension, volume depletion, or increased sympathetic activity. Renin converts the circulating globulin angiotensinogen to angiotensin I, which is subsequently converted by an enzyme in the lung and vascular endothelial cells to the biologically active form, angiotensin II. Angiotensin II exerts a variety of systemic effects that tend to correct hypovolemia and hypotension. Angiotensin II increases renal retention of sodium and water by enhancing secretion of aldosterone from the adrenal cortex as well as having direct effects on the renal tubule. Angiotensin II exerts hemodynamic effects, which tend to increase blood pressure by inducing arteriolar vasoconstriction.

D. Aldosterone

Aldosterone plays a central role in the maintenance of effective circulating fluid volume and potassium balance largely through its effects on renal resorption of sodium in exchange for potassium and hydrogen ion. Aldosterone is produced in the adrenal cortex and exerts its effects on sensitive cells, such as the renal collecting tubules, by interacting with specific cytoplasmic receptors. The aldosterone receptor complexes subsequently enhance RNA-mediated production of specific proteins, which actually mediate the physiological effects of the hormone. There is evidence for aldosterone-mediated effects on gastrointestinal sodium and potassium absorption as well as effects on sweat glands to alter the electrolyte composition of sweat in response to sodium depletion (Michell, 1974). Aldosterone secretion is enhanced by the renin-angiotensin system in response to changes in effective circulating fluid volume.

E. Atrial Natriuretic Factor

Atrial natriuretic factor (ANF), also known as atrial natriuretic peptide (ANP), exists as a group of diverse peptide hormones produced in the heart and released into the circulation in response to processes that increase central venous pressure and thereby stretch the atrial wall (Inagami, 1994). The actions of ANF that tend to reduce cardiac output and systemic blood pressure are mediated by transmembrane receptors, which result in the production of cyclic guanidine monophosphate generated by guanylyl cyclase (Inagami, 1994). ANF results in natriuresis and diuresis by the kidneys. ANF also causes vasodilatation and reduces

fluid volume by acting directly on vascular smooth muscle and inhibiting the release of aldosterone from the adrenal cortex and norepinephrine from peripheral adrenergic neurons. Additionally, ANF has been found in the brain, and centrally medicated effects on fluid volume regulation may be important. Elevated plasma ANF has been noted in humans with a variety of diseases ranging from congestive heart failure to obstructive lung disease to chronic renal failure. However, the physiological importance of ANF in these disease processes is not completely resolved. In humans, Bartter's syndrome and Gordon's syndrome are thought to be due to an excess or deficiency of ANF, respectively (Christensen, 1993). In horses, the elevation of plasma ANF has been associated with treadmill exercise (McKeever et al., 1991).

V. PHYSIOLOGY OF ACID-BASE BALANCE

The hydrogen ion concentration of the ECF is maintained within remarkably narrow limits and is normally approximately 40 nmol/l. This concentration is roughly one-millionth the concentration of other common electrolytes. Even at these extremely low concentrations, hydrogen ions have profound effects on metabolic events largely through interaction with cellular proteins. These interactions alter protein configuration and thus alter protein function. Most enzymatic reactions have a narrowly defined range of pH optimum, and changes in hydrogen ion concentration have direct effects on the rates of reaction and, thus, many basic biological processes.

A. Definition of pH

Although the hydrogen ion concentration can be expressed in nmol/l a more useful expression is that of pH. The pH of a solution is equal to the negative logarithm of the hydrogen ion concentration.

$$pH = -\log[H^+]$$
 (17-2)

It is important to remember that the pH varies inversely with hydrogen ion concentration. When hydrogen concentration in the blood increases, pH decreases, and the animal develops an acidosis. When the hydrogen ion concentration in the blood decreases, the pH rises, and the animal develops an alkalosis. The traditional view of acid-base balance involves the following:

- 1. Extracellular and intracellular buffering
- 2. Regulation of the rate of alveolar ventilation to control carbon dioxide concentration
- 3. Regulation of renal hydrogen excretion

B. Buffers

A buffer system consists of a weakly dissociated acid and the salt of that acid. The body buffers are able to take up or release hydrogen ions so that changes in hydrogen ion concentration are minimized. According to the law of mass action, the dissociation of a weak acid, HA, into H^+ and A^- can be written

$$K_{\rm a} = \frac{[{\rm H}^+][{\rm A}^-]}{[{\rm HA}]}$$
 (17-3)

where K_a is the dissociation constant for the reaction. The equation can be rewritten

$$[H^+] = K_a \frac{[HA]}{[A^-]} \text{ or } [H^+] = K_a \frac{[Acid]}{[Salt]}$$
 (17-4)

Taking the negative logarithm of both sides of the equation yields

$$-\log [H^+] = -\log K_a - \log \frac{[HA]}{[A^-]}$$
 (17-5)

Substituting pH for $-\log$ [H⁺], \log [A⁻]/[HA] for $-\log$ [HA]/[A⁻] and defining p K_a as $-\log$ K_a , the formula now reads

$$pH = pK_a - log \frac{[A^-]}{[HA]}$$
 (17-6)

This formula is the familiar Henderson-Hasselbalch equation for the dissociation of a weak acid. The buffering capacity of the body includes the extracellular buffers, intracellular buffers, and bone. The extracellular buffers include the bicarbonate (HCO₃/H₂CO₃) and phosphate (HPO₄/H₂PO₄⁻) buffer pairs as well as the plasma proteins. The intracellular buffers include protein; organic and inorganic phosphates; and in the red cell, hemoglobin. Cation exchange involving the intracellular movement of hydrogen ion in exchange for potassium and (to a much lesser extent) sodium is an additional and important means whereby cellular mechanisms buffer an acid load. The carbonate in bone provides a large and often overlooked buffer store. Although difficult to accurately measure, it has been estimated that bone carbonate may contribute up to 40% of the buffering capacity of an acute acid load. It is important to remember that a change in hydrogen ion concentration will affect all the body's buffer pairs. Thus, evaluation of any one buffer pair reflects the changes that occur in all of the buffer pairs.

1. Bicarbonate/Carbonic Acid Buffer System

Large amounts of carbon dioxide are produced by oxidative metabolism each day. Although carbon dioxide is not an acid, it combines with water as it is added to the blood-stream resulting in the formation of carbonic acid. The enzyme carbonic anhydrase in red blood cells and other cells facilitates this reaction:

$$CO_2 + H_2O \cdot H_2CO_3 \cdot H^+ + HCO_3^-$$
 (17-7)

The ensuing elevation in the hydrogen concentration is minimized because most of the excess H⁺ ions combine with the intracellular buffers, particularly hemoglobin (Hb):

$$H_2CO_3 + Hb^- \cdot HHb + HCO_3^-$$
 (17-8)

The bicarbonate generated by this reaction then leaves the erythrocyte and enters the extracellular fluid in exchange for extracellular chloride ion. The net effect is that CO_2 is primarily carried in the venous circulation as HCO_3^- with little change in the extracellular pH. These processes are reversed in the alveoli. As HHb is oxygenated, H^+ is released and combines with HCO_3^- to form H_2CO_3 , which dissociates to CO_2 and H_2O . Carbon dioxide then is excreted by alveolar ventilation.

From a clinical and physiological standpoint, the bicarbonate-carbonic acid buffer pair is clearly the most important. Bicarbonate is present in relatively high concentrations, it is relatively easy to measure, and it is the buffer system over which the body has the greatest control. The Henderson-Hasselbalch equation applied to this buffer pair becomes

$$pH = 6.1 - \log \frac{[HCO_3^-]}{[H_2CO_3]}$$
 (17-9)

where $6.1 = pK_a$ for the HCO_3^-/H_2CO_3 buffer pair.

Plasma pH is determined by the concentration of bicarbonate and carbonic acid or, more importantly, the ratio between bicarbonate and carbonic acid. An equilibrium exists between the partial pressure of CO_2 in the alveolar air, the partial pressure of gaseous CO_2 dissolved in the blood, and the carbonic acid concentration of the blood. The conventional method of evaluating carbonic acid is the determination of the partial pressure of carbon dioxide ($p\mathrm{CO}_2$) in the blood. The carbonic acid concentration can be calculated by multiplying $p\mathrm{CO}_2$ by 0.03 (0.03 is the solubility constant for carbon dioxide in plasma). The Henderson-Hasselbalch equation for this buffer pair then becomes

$$pH = 6.1 - \log \frac{[HCO_3^-]}{0.03 (pCO_2)}$$
 (17-10)

The four primary acid-base imbalances and their compensating responses are presented in Table 17-2. Acidosis is associated with a decrease in pH resulting from an increase in hydrogen ion concentration. Alkalosis is due to a decrease in hydrogen ion concentration, which is reflected by an increase in pH. In the metabolic disorders, the primary imbalance is due to changes in bicarbonate concentration.

The compensating response is mediated by the respiratory system, which, within limits, alters the $p\text{CO}_2$ so as to counterbalance the primary imbalance and to partially restore the pH toward normal. As the name implies, the primary imbalances of the respiratory disorders are related to alterations in alveolar ventilation, which result in increases in $p\text{CO}_2$ in respiratory acidosis and decreases in $p\text{CO}_2$ with

Disorder	рН	[H ⁺]	Primary Imbalance	Compensating Response
Metabolic acidosis	•	•	• [HCO ₃ -]	• pCO ₂
Metabolic alkalosis	•	•	• [HCO ₃]	• pCO ₂
Respiratory acidosis	(0)	*•():	• pCO ₂	•[HCO ₃ -]
Respiratory alkalosis	(# 5)	:•.5	• pCO ₂	•[HCO ₃]

respiratory alkalosis. The compensating responses for these primary respiratory imbalances are mediated by the kidney through alterations in the excretion or retention of hydrogen ions or bicarbonate.

Blood samples drawn for acid-base evaluation must be drawn anaerobically and sealed so as to avoid alteration in the blood gas tension. Heparin is the anticoagulant of choice. The rectal temperature of the patient should be taken so that appropriate temperature corrections can be made. For evaluation of blood gases during intense exercise, central blood temperature should be used for this correction because rectal temperature may not accurately reflect blood temperature under these non-steady-state conditions. The temperature correction usually has a greater impact on the pO_2 and pCO_2 than bicarbonate or base balance. Arterial blood samples are generally preferred and are essential for the evaluation of primary respiratory disorders or the patient's status under general anesthesia. Venous blood samples provide reliable data on metabolic acid-base abnormalities, and because they are easier to obtain, they are routinely used. Acid-base parameters of venous blood are significantly influenced by the acid-base status of the tissues from which the blood is draining. Central veins (e.g., vena cava) provide blood that better reflects the acid-base status of the body as a whole. As a general rule, blood gas determination should be made as soon after collection as possible. However, appropriately collected blood samples can be held in ice water for as long as 4h and still yield reliable results.

The effects of various sampling sites (arterial, venous, and capillary blood) on blood gas determination have been evaluated in dogs (Ilkiw et al., 1991; van Sluijs et al., 1982), horses (Littlejohn and Mitchell, 1969; Speirs, 1980), and swine (Hannon et al., 1990). Consistent differences were demonstrated between arterial and venous blood. Arterial blood samples yield higher values for pH and lower values for pCO₂ and bicarbonate than venous blood, but calculated base balances tend to be similar for both arterial and venous blood samples. In horses, venous-arterial differences in bicarbonate can exceed 10 mEq/l during intense exercise. The higher venous bicarbonate reflects the important role of bicarbonate as a means of CO₂ transport in the venous circulation (Carlson, 1995). At rest and during exercise, more

than 70% of the CO₂ produced in the tissues is transported in the venous circulation to the lungs as bicarbonate. This process is greatly facilitated by erythrocyte carbonic anhydrase and the mechanism of the "chloride shift." The effects of temperature on oxygen content of dog blood have been studied (Hedey-Whyte and Laver, 1964), as has the oxygen affinity and Bohr coefficient of dog blood (Reeves et al., 1982). Recumbency in neonatal patients and body position during general anesthesia may have a significant impact on both arterial and mixed venous blood gas data (Madigan et al., 1992; Mason et al., 1987; Steffey et al., 1977). Nomograms have been developed relating the effects of temperature, CO₂ content, and hemoglobin saturation for dog blood (Rossing and Cain, 1966). The use of blood gas data for the evaluation of acid-base imbalances for clinical problems has been reviewed (DiBartola, 1992a, 1992b, 1992c; George, 1994; de Morais, 1992a, 1992b).

C. Acidosis

1. Metabolic

Metabolic acidosis is characterized by a decrease in pH and bicarbonate. Metabolic acidosis, as traditionally viewed, can be produced by the addition of hydrogen ions or a loss of bicarbonate ions. The initial buffering of an acid load is by the ECF buffers, primarily the bicarbonate-carbonic acid buffer pair (Rose, 1984). Intracellular buffers, particularly protein and phosphate, assist in the buffering process. The intracellular movement of hydrogen in exchange for potassium helps to prevent an excessive increase of the ECF hydrogen ion concentration in the face of an acid load. This exchange is called the "cation shift" and can result in hyperkalemia even though the total body potassium stores have been depleted due to renal or gastrointestinal losses.

a. Causes of Metabolic Acidosis

The most common causes include lactic acidosis; ketoacidosis; gastrointestinal problems, such as indigestion colic or diarrhea; and renal failure, which may result in a decreased ability to excrete hydrogen and thus to retain bicarbonate (Emmett and Nairns, 1977). It has long been held that

the acidosis in calves associated with diarrhea was largely due to the loss of bicarbonate into the bowel. This view has been challenged in an elegant paper utilizing a quantitative strong ion approach to assess the mechanism of the acid-base abnormality (Constable et al., 2005). A profound metabolic acidosis without dehydration leading to depression, recumbency, and death has been described in goat kids (Tremblay et al., 1991), which appears to be similar to reports in calves (Kasari and Naylor, 1984, 1986). The cause was undetermined, but the acidosis was usually associated with an increased anion gap. Sodium bicarbonate therapy, if initiated early in the course of the disease, was often curative. The acidosis observed in these calves may have been associated with increases in both D and L isomers of lactate generated by bacterial fermentation as described in several recent clinical and research studies of calves with indigestion associated with milk ingestion (Ewaschuk et al., 2003, 2004; Lopez et al., 2004; Lorenz et al., 2005; Omole et al., 2001; Stampfli, 2005). Additional causes of a metabolic acidosis include ingestion of certain medications or toxic compounds such as salicylate, methanol, ethylene glycol, or paraldehyde, which result in the accumulation of exogenous anions (DiBartola, 1992b).

b. Compensation

A metabolic acidosis is recognized quickly, and the compensating respiratory response of increased ventilation will begin reduction of the pCO_2 within minutes. In dogs, the anticipated respiratory response is a reduction of pCO₂ by 0.7 mmHg for each mEq/l decrease in bicarbonate (de Morais, 1992a, 1992b). This minimizes the fall in pH, but the protective effects of the respiratory response are relatively short lived, lasting only a few days. Long-term correction of a metabolic acidosis requires renal bicarbonate retention and enhanced renal acid excretion, primarily as ammonium ion because there is little ability to increase the titratable acidity, which consists primarily of phosphate buffers (Rose, 1984). Complete correction of a metabolic acidosis may be difficult in patients with intrinsic renal disease or diseases that would impair the kidney's ability to excrete acid or retain bicarbonate such as renal tubular acidosis.

2. Respiratory

A respiratory acidosis is characterized by a decrease in pH and an increase in pCO_2 . Respiratory acidosis develops because of decreased effective alveolar ventilation or breathing an atmosphere with elevated CO_2 . The initial buffering of the acid load produced by a respiratory acidosis is almost exclusively by the intracellular buffers. The principal ECF buffer, the bicarbonate-carbonic acid buffer pair, cannot buffer a respiratory acidosis. Carbon dioxide diffuses through the lung much more readily than O_2 ; thus, diseases that compromise ventilation normally result in decreases in pCO_2 before significant increases in pCO_2

develop. The respiratory center is extremely sensitive to minor changes in pCO_2 , and increased pCO_2 normally provides the major stimulus to ventilation (Rose, 1984). In contrast, hypoxemia does not begin to promote enhanced ventilation until the arterial pO_2 is substantially decreased. If, however, the arterial pCO_2 is held at normal values or is elevated because of intrinsic lung disease, then ventilation begins to be enhanced as the arterial pO_2 falls below 70 to 80 mmHg (Rose, 1984).

a. Causes of Respiratory Acidosis

Any disorder that interferes with normal effective ventilation may produce a respiratory acidosis. The most common causes are primary pulmonary diseases ranging from acute upper respiratory obstruction, to pneumonia, to pneumothorax, and chronic obstructive lung disease. Diseases or drugs that affect the central nervous system may inhibit the medullary respiratory center and can produce a profound respiratory acidosis. An additional cause of special importance in veterinary medicine is general anesthesia with volatile agents using a closed system. Under these conditions, ventilation may be seriously reduced without producing hypoxia. The high oxygen content of the gas mixture maintains high pO_2 in the blood, but depression of the respiratory center may result in insufficient alveolar ventilation so that CO₂ accumulates. This problem can be overcome through the use of a positive pressure ventilatory apparatus and careful monitoring of arterial blood gases during general anesthesia.

b. Compensation

The compensating response for a respiratory acidosis is renal retention of bicarbonate and increased excretion of hydrogen ion. This response requires several days, and thus the response is seen only in a chronic respiratory acidosis. In dogs with chronic respiratory acidosis, a compensating increase of 0.35 mEq/l of bicarbonate is anticipated for each mmHg increase in pCO_2 (de Morais, 1992a, 1992b). The extent of the rise in the plasma bicarbonate concentration in chronic respiratory acidosis is determined by increased renal hydrogen secretion (Rose, 1984). Exogenous bicarbonate is unnecessary, and should bicarbonate be administered to patients with a respiratory acidosis, it would be excreted without affecting the final plasma bicarbonate concentration.

D. Alkalosis

1. Metabolic Alkalosis

Metabolic alkalosis is characterized by an increase in pH and bicarbonate. Metabolic alkalosis occurs with some frequency in domestic animals and is commonly observed in association with digestive disturbances in ruminants. The development of a metabolic alkalosis requires an initiating process capable of generating an alkalosis and the additional factors that are necessary for maintaining the alkalosis (Rose, 1984). Generation of a metabolic alkalosis can be due to excessive

hydrogen loss, bicarbonate retention, or as a contraction alkalosis. A contraction alkalosis occurs with reduction of ECF fluid volume resulting from a loss or sequestration of sodium and chloride containing fluid without commensurate loss of bicarbonate (Garella *et al.*, 1975). Excessive hydrogen ion losses can result in a metabolic alkalosis.

a. Causes of Metabolic Alkalosis

The most common causes of increased hydrogen loss are gastrointestinal losses of chloride-rich fluids associated with vomiting in small animals (Strombeck, 1979) or sequestration of chloride-rich fluid in the abomasum and forestomach of ruminants (Gingerich and Murdick, 1975b; McGuirk and Butler, 1980). Excessive renal hydrogen loss associated with mineralocorticoid excess, diuretic usage (particularly the loop diuretics such as furosemide), and low chloride intake may cause or contribute to the generation of a metabolic alkalosis (Rose, 1984). Most of these disorders are also associated with the development of significant sodium and chloride deficits and resultant decreases in effective circulating volume. These deficits and the responses that decreased effective circulating volume induce are central features of the processes that maintain and perpetuate a metabolic alkalosis. Hydrogen loss from the ECF can also occur with hydrogen movement into the cells in response to potassium depletion (Irvine and Dow, 1968). Excessive bicarbonate administration is an additional potential cause of metabolic alkalosis. Most normal animals can tolerate large doses of bicarbonate, and excesses are rapidly eliminated by renal excretion (Rumbaugh et al., 1981). However, patients with decreases in effective circulating blood volume or with potassium or chloride deficits may not tolerate a bicarbonate load because renal clearance of excess bicarbonate is likely to be impaired.

The factors that are responsible for the maintenance of a metabolic alkalosis all impair renal bicarbonate excretion. These factors may include decreased glomerular filtration of bicarbonate seen in some types of renal failure. However, the most common factor is increased renal tubular bicarbonate resorption, which is associated with the renal response to decreases in the effective circulating fluid volume, potassium depletion, or chloride depletion (Rose, 1984). Sodium resorption is enhanced in response to hypovolemia to help restore normal effective circulating fluid volume. The maintenance of electroneutrality requires that sodium resorption in the proximal tubule must be accompanied by a resorbable anion such as chloride, whereas in the distal tubule, sodium resorption is associated with the secretion of a cation, usually hydrogen or, to a lesser extent, potassium. The only resorbable anion normally present in appreciable quantities in the proximal tubular fluid is chloride. In a metabolic alkalosis, plasma bicarbonate is increased and chloride concentration is generally decreased as the result of disproportionately high chloride losses that result from vomiting, sequestration of gastric fluid (Whitlock et al., 1975b), diuretic usage, or heavy

sweat losses in exercising horses (Carlson, 1975, 1979b). The relative lack of the resorbable anion, chloride, in the proximal tubule thus allows a larger amount of sodium to reach the distal tubule where the action of aldosterone enhances hydrogen loss into the tubular lumen in exchange for sodium. The maintenance of effective circulating volume is so critical that the body chooses to maintain circulating volume by enhanced sodium resorption by whatever means necessary, even at the expense of extracellular pH. Renal hydrogen excretion is directly linked with bicarbonate resorption. Thus, it is not possible to eliminate the excess bicarbonate, and the metabolic alkalosis is maintained (Rose, 1984). This mechanism is the reason for the paradoxic acid urine seen in some patients with metabolic alkalosis (Gingerich and Murdick, 1975a, 1975b; McGuirk and Butler, 1980). Hypokalemia is another factor that contributes to the maintenance of a metabolic alkalosis. Hypokalemia is associated with an increase in intracellular hydrogen ion concentration. Increased renal tubular cell hydrogen ion concentration may enhance hydrogen secretion and thus bicarbonate reabsorption by the tubular cells.

b. Compensation

Chemoreceptors in the respiratory center sense the alkalosis, and the respiratory response to a metabolic alkalosis is hypoventilation resulting in an increase in pCO_2 . In dogs, the expected compensating response is an increase of pCO_2 of 0.7 mmHg for each mEq/l increase in bicarbonate.

2. Respiratory Alkalosis

Respiratory alkalosis is associated with an increase in pH and a decrease in pCO_2 .

a. Causes of Respiratory Alkalosis

Respiratory alkalosis is due to hyperventilation, which may be stimulated by hypoxemia associated with pulmonary disease, congestive heart failure, or severe anemia. Hyperventilation may also be associated with psychogenic disturbances or neurological disorders that stimulate the medullary respiratory center such as salicylate intoxication or Gram-negative sepsis. Respiratory alkalosis may be seen in animals in pain or under psychological stress. Hyperventilation may occur in dogs and other nonsweating animals as they employ respiratory evaporative processes for heat loss to prevent overheating (Tasker, 1980).

b. Compensation

The initial compensating response to an acute respiratory alkalosis is a modest decline in ECF bicarbonate concentration as the result of cellular buffering. Subsequent renal responses result in decreased ECF bicarbonate concentration through reduced renal bicarbonate reabsorption. These responses require 2 to 3 days for completion. The decline in bicarbonate is partially offset by chloride retention in order to retain electroneutrality. Thus, hyperchloremia

and decreased $p\text{CO}_2$ may be associated with compensated respiratory alkalosis as well as compensated metabolic acidosis. Compensating responses for chronic respiratory alkalosis lasting several weeks may actually be sufficient to return pH to normal. In dogs, anticipated renal compensation for a chronic respiratory alkalosis results in a decrease of bicarbonate of $0.55\,\text{mEq/l}$ for each mmHg decrease in $p\text{CO}_2$ (de Morais, 1992a, 1992b).

E. Mixed Acid-Base Imbalances

Mixed acid-base disorders occur when several primary acid-base imbalances coexist (de Morais, 1992a). Metabolic acidosis and alkalosis can coexist and either or sometimes both of these metabolic abnormalities may occur with either respiratory acidosis or alkalosis (Nairns and Emmett, 1980; Wilson and Green, 1985). Evaluation of mixed acid-base abnormalities requires an understanding of the anion gap, the relationship between the change in serum sodium and chloride concentration, and the limits of compensation for the primary acid-base imbalances (Saxton and Seldin, 1986; Wilson and Green, 1985). Clinical findings and history are also necessary to define the factors that may contribute to the development of mixed acid-base disorders. The following are important considerations in evaluating possible mixed acid-base disorders:

- 1. Compensating responses to primary acid-base disturbances do not result in overcompensation.
- 2. With the possible exception of chronic respiratory acidosis, compensating responses for primary acid-base disturbances rarely correct pH to normal. In patients with acid-base imbalances, a normal pH indicates a mixed acid-base disturbance.
- **3.** A change in pH in the opposite direction to that predicted for a known primary disorder indicates a mixed disturbance.
- 4. With primary acid-base disturbances, bicarbonate and pCO₂ always deviate in the same direction. If these parameters deviate in opposite directions, a mixed abnormality exists.

Although mixed acid-base abnormalities undoubtedly occur in animals and have been documented in the veterinary literature, they are often overlooked (Wilson and Green, 1985). An appreciation of the potential for the development of mixed abnormalities is essential for the correct interpretation of clinical and clinicopathological data, which would otherwise be quite confusing. Care should be taken when evaluating suspected mixed acid-base abnormalities that sufficient time has elapsed so that anticipated compensating responses could have occurred (de Morais, 1992a).

F. Anion Gap

The anion gap can be calculated as the difference between the major cation (sodium) and the measured anions (chloride +

TABLE 17-3 Causes of Alterations in Anion Gap

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Decreased anion gap:
Increased cationic protein
Polyclonal gammopathy (IgG)
Hypoalbuminemia
Hyperchloremic acidosis
Altered protein anionic equivalents
Laboratory error
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Increased anion gap:
    Metabolic acidosis
         Organic acids (lactic, keto acids)
           Hypovolem ic shock
           Anaerobic exercise
           Diabetes
           Grain overload
           Ketosis
         Nonmetabolizable acids
           Inorganic acids (sulfate, phosphate)
             Uremic acidosis
           Intoxication or poisoning
             Salicylate
             Paraldehyde
             Metaldehyde
             Methanol
             Ethylene glycol
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Laboratory error

bicarbonate) (Emmett and Narins, 1977). Some investigators prefer to use the following formula:

The addition of potassium to the equation, however, adds little to the diagnostic utility of this calculation (Emmett and Narins, 1977; Epstein, 1984; Oh and Carroll, 1977); the anion gap calculated with the inclusion of potassium concentration will be about 4 mEq/l higher. Because most of the published data on the anion gap in animal species are the result of calculations using the second equation (Eq. 17-11), this form will be used in Table 17-3. Provided the component determinations are valid, the calculated anion gap provides an approximation of the so-called "unmeasured anions." Normally, these unmeasured anions consist primarily of negatively charged plasma proteins because the charges of the unmeasured cations (potassium, calcium, and magnesium) tend to balance out the charges of the unmeasured anions (phosphate, sulfate, and organic ions). The anion gap is most useful in situations where the concentrations of phosphate and plasma proteins, particularly albumin, are within the normal range (see Section V.I). The anion gap for most species of domestic animals appears to be similar to that defined for human subjects (i.e., approximately 10 to 20 mEq/l) (10 to 20 mmol/l). However, there do appear to be significant differences in the normal range of the anion gap of different species as indicated in Table 17-4 (Adrogue *et al.*, 1978; Bristol, 1982; Feldman and Rosenberg, 1981; Gossett and French, 1983; Polzin *et al.*, 1982; Shull, 1978, 1981). Age-related changes in anion gap have been reported in horses (Gossett and French, 1983), with young foals having a significantly larger anion gap than adults. Further experimental data will be necessary to more clearly establish the normal range for the anion gap of animals under varying conditions.

The simple calculation of anion gap can be employed in the categorization of acid-base disorders with regard to potential causal factors and may serve as a prognostic guide in a variety of circumstances (Bristol, 1982; Garry and Rings, 1987; Shull, 1978). Decreases in anion gap can be seen with increases in cationic proteins associated with polyclonal gammopathy or multiple myeloma. Decreases in anion gap resulting from decreases in unmeasured anions occur most commonly with hypoalbuminemia and hyperchloremic metabolic acidosis, but they also may be noted with overhydration. The causal factors associated with a hyperchloremic metabolic acidosis with a normal to low anion gap can often be differentiated based on the serum potassium concentration. Hyperchloremic metabolic acidosis associated with gastrointestinal fluid losses from diarrhea or renal causes such as renal tubular acidosis most often manifests a hypokalemia (Saxton and Seldin, 1986; Ziemer et al., 1987a, 1987b). Hyperchloremic metabolic acidosis associated with decreased mineralocorticoid secretion or activity such as seen in Addison's disease or renal failure generally presents with a hyperkalemia (Saxton and Seldin, 1986). There are indications that changes in hydrogen ion concentration may alter protein equivalency and thus alter the anion gap in either an acidosis or alkalosis (Adrogue et al., 1978; Madias et al., 1979).

Dehydration and alkalosis are potential, but minor, causes of increased anion gap. Most commonly, elevations of anion gap are associated with the development of a metabolic acidosis in which there is an increase in anions, which are not routinely measured in the clinical laboratory. This is called a high anion gap acidosis and may be associated with an accumulation of metabolizable acids as in a lactic acidosis associated with anaerobic exercise, grain overload, or hypovolemic shock or ketoacidosis resulting from diabetes or ketosis or with the accumulation of nonmetabolizable acids as in uremic acidosis or various intoxications (see Table 17-3). The presence of a metabolic acidosis with a high anion gap thus provides grounds to undertake a thorough investigation of disease processes capable of producing an accumulation of these unmeasured anions. The anion gap also may be useful in the identification of mixed acid-base imbalances. When the change in the anion gap does not approximate the change in bicarbonate, a mixed metabolic acid-base imbalance should be suspected. In cases of grain overload in herbivores, a large ion gap may be due to increased ECF levels of D-lactic acid, which is not detected by the usual assays for lactic acid because they detect only the L-isomer produced in mammalian metabolism. Either a special assay for D-lactate must be performed, or an increased level of D-lactate may be assumed based on history and other clinical data.

G. Bicarbonate and Total CO₂

If respiratory disturbances can be eliminated, the metabolic component of acid-base balance is indicated by the bicarbonate concentration. Bicarbonate is usually estimated by determination of the " $\rm CO_2$ " content" or "total $\rm CO_2$ " of plasma or serum samples.

Bicarbonate actually accounts for approximately 95% of the measured total CO_2 , and thus the total CO_2 provides a measure of metabolic changes in acid-base balance. The bicarbonate determined in this fashion will be decreased in a metabolic acidosis and increased in a metabolic alkalosis. Estimates of bicarbonate are often provided in automated chemistry profiles. These determinations may indicate the metabolic acid-base status. However, if acid-base abnormalities are suspected, a proper blood gas evaluation should be undertaken.

H. Buffer Base, Standard Bicarbonate, and Base Excess or Base Deficit

These values are mathematically derived from the measurements of blood pH and pCO_2 and provide an indication of the metabolic component of acid-base balance. It should be noted that the metabolic changes indicated by these parameters do not always reflect the primary acid-base imbalances but may represent compensating responses for primary respiratory disorders.

The buffer base indicates the sum of all the buffer anions in blood under standardized conditions. The standard bicarbonate is the plasma bicarbonate concentration that would be found under specific conditions, which eliminate respiratory influences on the values obtained. The base excess, which is sometimes considered as the base deficit when the value is negative, indicates the deviation of the buffer base from normal. This derived value is often supplied in routine assessment of acid-base balance and is generally taken as an indication of the deviation of bicarbonate from normal. In an animal with a metabolic acidosis, the calculated base deficit provides a means of estimating the amount of bicarbonate required to correct acid-base balance to normal. This estimate is calculated by multiplying the base deficit by the probable bicarbonate space (which is variably estimated from 0.25 to 0.551/kg body weight). In newborn animals, the bicarbonate may be even higher, 0.40 to 0.651/kg body weight. The usual figure used is 0.3 to 0.41/kg. For a 20-kg animal with a base deficit of 10 mEq/l (10 mmol/l), the bicarbonate required would be calculated as follows:

bicarbonate required =
$$20 \text{kg} \times 0.31/\text{kg} \times 10 \text{mEq/l}$$

= 60mEq (17-12)

This calculation provides only a crude guide to bicarbonate requirements, but it can be a useful step in the quantitative approach for correcting a serious primary metabolic acidosis.

I. Nontraditional or Strong Ion Approach to Acid-Base Balance

Peter Stewart (Stewart 1981, 1983) was the first to describe a quantitative physiochemical approach to acid-base balance. In this approach, the acid-base status of the aqueous solutions of the body is determined not only by the Henderson-Hasselbalch equation but also by a series of seven other relationships, all of which could be represented by equations which must be satisfied simultaneously. Acid-base balance is determined by three independent variables: (1) strong ion difference [SID], (2) the partial pressure of CO₂, and (3) the total concentration of nonvolatile weak acids [Atot], the principal component of which is the plasma proteins but also includes inorganic phosphate. Bicarbonate and hydrogen ion concentration, and thus pH, are dependent variables determined by the independent variables listed here. The appeal of Stewart's approach is the focus on factors that are causally related to acid-base balance, the independent variables. The interested reader is referred to Stewart's original work. A number of authors have attempted to adapt Stewart's approach for practical application in human and veterinary medicine (de Morais, 1992b; Fencl and Leith, 1993; Fencl and Rossing, 1989; Frischmeyer and Moon 1994; Gilfix et al., 1993; Jones, 1990; Kowalchuck and Scheuermann, 1994; Whitehair et al., 1995). Many of these early papers directed to animal species used the human values for Atot and Ka, which may not be appropriate. Species-specific data are now available.

In a landmark paper, Peter Constable (1997) refined Stewart's model and developed an approach that he called the simplified strong ion model of acid-base equilibrium. The simplified strong ion model was developed from the assumption that plasma ions act as strong ions, volatile buffer ions (HCO_3^-), or nonvolatile buffer ions. Plasma pH is determined by five independent variables: pCO_2 , strong ion difference, concentration of individual nonvolatile plasma buffers (albumin, globulin, and phosphate), ionic strength, and temperature. The simplified strong ion model conveys, on a fundamental level, the mechanism for change in acid-base status, explains many of the anomalies when the Henderson-Hasselbalch equation is applied to plasma, and is conceptually and algebraically simpler than

Stewart's strong ion model. The model has provided an *in vitro* method for determination of species-specific values for [Atot] and *Ka*, which has been applied to the plasma of horses, dogs, cattle, pigeons, and humans (Constable, 1997; Constable and Stampfli, 2005; Stampfli *et al.*, 1999, 2006; Stampfli and Constable, 2003).

Strong electrolytes are completely dissociated in aqueous solution and chemically nonreactive. The [SID] is simply the difference between the total concentration of strong cations (sodium, potassium, and magnesium) and the total concentration of strong anions (chloride, sulfate, lactate, acetoacetate, and 3-OH-hydroxybutyrate). Because they are present in higher concentrations in the body fluids, sodium, potassium, and chloride are normally the principal determinants of [SID]. The [SID] is synonymous with buffer base as described by Singer and Hastings (1948) and, as such, can be considered as roughly equivalent to the metabolic component of the traditional approach to acid-base balance. In fluids such as the CSF, which are normally devoid of protein, bicarbonate concentration is the same as the [SID]. Abnormalities in pCO_2 are viewed in essentially the same manner in both the traditional and nontraditional approach to acid-base balance as described earlier. The contribution of plasma proteins to acid-base balance is not considered in the traditional approach to acid-base balance. The plasma proteins, or, perhaps more correctly, plasma albumin, make up the majority of [Atot], whereas inorganic phosphate normally accounts for less than 5% of [Atot]. The [Atot] in body fluids exists in both dissociated [A⁻] and undissociated [HA] forms. A decrease in [Atot] because of hypoalbuminemia causes an alkalosis with an increase in bicarbonate, whereas hyperalbuminemia has the opposite effect. Hypoalbuminemia is one of the most common causes of alkalosis in older human patients (McAuliffe et al., 1986). Changes in A⁻ associated with changes in albumin concentration also have a direct and frequently overlooked effect on anion gap. Increases in A- result in an increase in anion gap, whereas decreases in A cause a decrease in anion gap (McAuliffe et al., 1986). Change in protein concentration may potentiate or ameliorate the effects of alterations in SID on acid-base balance. As an example, in a vomiting dog, the elevated plasma protein associated with dehydration may reduce the bicarbonate increase anticipated for a given change in chloride concentration and SID.

Protein and inorganic phosphate remain within the normal range in many clinical situations, and acid-base balance is then largely controlled by changes in $p{\rm CO}_2$ mediated by the respiratory system, whereas changes in [SID] are largely under the control of the kidneys. Renal compensation for primary respiratory disorders and respiratory compensation for primary metabolic acid-base disturbances are thought to be similar in both the traditional and nontraditional approach. Precise quantification of the anticipated compensating responses to primary acid-base disturbances based on change in SID has not yet been determined.

Vomiting in a dog; heavy sweat loss in an endurance horse; displaced abomasum in a cow; and the administration of the loop diuretic, furosemide, in a cat result in similar acid-base disturbances. In each circumstance, a disproportionate loss of chloride relative to sodium results in a hypochloremia and an increase in [SID]. Correction of the alkalosis is brought about by the provision of chloride, generally as sodium chloride or potassium chloride, which results in a decrease in [SID] and thus a return of the dependent variables, bicarbonate and pH (hydrogen ion), toward normal. A metabolic acidosis with a large base deficit is generally treated with sodium bicarbonate. In the traditional approach, the calculated bicarbonate requirement is administered as sodium bicarbonate to replace the bicarbonate deficit, whereas in the strong ion approach, the sodium bicarbonate is administered to provide the strong cation, sodium, without a strong anion. Other metabolizable anions could be substituted for bicarbonate and achieve a similar effect. In practice, both approaches work, but the rationale is substantially different.

Calculation of SID is simple and provides useful insight in patients with metabolic acid-base disturbances. Factors that influence SID range from changes in free water, to sodium-chloride imbalances that result from excessive losses or disproportionate retention of sodium or chloride, to the accumulation of strong organic anions. Organic acidosis can be produced by the accumulation of exogenous as well as endogenous organic anions. Examples of exogenous anions include salicylate, glycolate and formate associated with the ingestion of aspirin, ethylene glycol, and methanol, respectively. Many of these endogenous and exogenous organic anions are not routinely monitored in the diagnostic laboratory. This situation can create problems when calculating the SID because the presence of these unmeasured strong anions may not be appreciated. Although the anion gap can be helpful, it does not always accurately predict the presence of these compounds. More sophisticated mathematical methods have been suggested as a means for the detection of unmeasured anions the strong ion gap (Constable et al., 1998; Stewart, 1981) and, more recently, the simplified strong ion gap (Constable and Stampfli, 2005). In animals with major changes in protein or albumin concentration, the primary concern must be a thorough investigation of the cause of the increase or decrease in protein. The acid-base consequences of change in protein and albumin concentration tend to be modest but are a potential source of confusion when evaluating acid-base data.

The traditional approach and the nontraditional or strong ion approach to acid-base balance each has its supporters, and discussion over the benefits and limitations of either approach has at times been strident. However, this need not be an either-or situation. Both approaches have proven useful to address practical problems in both research and medical settings. The traditional approach based on the Henderson-Hasselbalch equation is simpler, more user

friendly, and more widely accepted. Bicarbonate concentration estimates the severity of the acid-base disorder, but the strong ion approach may provide a better understanding as to why the bicarbonate is changing because it integrates acid-base and electrolyte disorders (de Morais, 2005). The strong ion approach has been gaining acceptance from the critical care community, which finds it useful in the analysis of the complex fluid, electrolyte, and acid-base problems presented to intensive care units. A number of computer programs adaptable to hand-held electronic devices have been developed and take some of the mathematical fear out of using the strong ion approach.

J. Dietary Factors in Acid-Base Balance

Dietary factors, particularly the dietary cation-anion balance (DCAB), have been extensively studied in cattle, swine, poultry, and horses. The calculation of DCAB of a dry feed ration is remarkably similar to the calculation SID of body fluids. The DCAB in mEq is generally represented as (sodium + potassium) - (chloride + sulfate) per kg of dry matter of the diet. Diets with a high DCAB, such as alfalfa hay, have an alkalinizing effect and are an important factor in the alkaline urine of most herbivores. High grain rations tend to have a lower DCAB. Manipulation of the DCAB has been employed to enhance milk yield in dairy cattle, to reduce the incidence and severity of gastric ulceration in swine, to decrease the incidence of milk fever in cattle, and to alter the urine pH and calcium balance in horses. The addition of sodium bicarbonate to the ration of lactating dairy cattle to raise the DCAB from -100 to + 200 mEq/kg diet DM resulted in an increase of milk production of over 8%, which was due, in part, to more effective ruminal digestion (Block, 1984). On the other hand, supplementation of the diet of late-term cattle with calcium chloride or ammonium chloride so as to lower the DCAB and have an acidifying effect has been shown to reduce the incidence of milk fever by enhancing the mobilization of calcium from the bone (Block, 1984). As the application of these dietary practices becomes more widespread, it is essential that we appreciate the implications of dietary factors and electrolyte supplementation on mineral metabolism and acid-base balance (Fredeen et al., 1988).

Sodium bicarbonate supplementation has been used as a prerace ergogenic aid in racehorses. Relatively large doses, 500 g or more of sodium bicarbonate, often mixed with sugar and water and referred to as "milk shakes," have been given via nasogastric tube and result in a marked metabolic alkalosis. Although experimental studies have often failed to detect a measurable performance benefit from sodium bicarbonate supplementation, practical experience suggests that some horses, particularly standardbreds, show marked improvement in race times. Administration of any substance with intent to alter the performance is illegal in most racing jurisdictions. In many racing states,

horses must meet specific guidelines for venous blood pH and bicarbonate or risk disqualification.

VI. EVALUATION OF IMBALANCES

It is important to understand the difference between volume regulation and osmoregulation. Osmoregulation is governed by osmoreceptors influencing ADH and thirst, whereas volume disturbances are sensed by multiple volume receptors that activate effectors such as aldosterone. Antidiuretic hormone increases water resorption (and therefore urine osmolality), but it does not affect sodium transport directly. Aldosterone enhances sodium reabsorption but not directly that of water. Thus, osmoregulation is achieved by changes in water balance and volume regulation mostly by changes in sodium balance.

Water balance is achieved when water intake from all sources is equal to water output by all routes. Water is available as drinking water, as water content of feedstuffs, and as metabolic water derived by oxidative metabolism. Oxidation of 1g of fat, carbohydrate, or protein results in the production of 1.07, 0.06, or 0.41g of water, respectively. Water is normally lost from the body by four basic routes: urine, feecs, insensible loss (respiratory and cutaneous evaporation), and sensible perspiration (sweat) in some animal species. Water intake and output may vary considerably from day to day, but normal animals are able to maintain water balance within remarkably narrow limits and, at the same time, maintain the critical interrelationship between water balance and electrolyte balance.

For human subjects, there are well-established normal values for water intake and output via various routes. Although there is a substantial amount of data on water balance for many domestic animals (English, 1966a; Fonnesbeck, 1968; Hinton, 1978; Kamal et al., 1972; Leitch and Thomson, 1944; Sufit et al., 1985; Tasker, 1967a; Yoshida et al., 1967), these data vary markedly from species to species and are valid only for the specific experimental conditions under which they were collected. Animals eat to meet their caloric requirements. The nursing or grazing animal may have a feed intake that is greater than 90% water as compared to animals on dry hay or dried prepared pet food, which may contain less than 10% water. Some desert rodents are so well adapted that they are able to maintain water balance without water intake and rely on the water content of feedstuffs and metabolic water derived from oxidative metabolism. The koala in its native state in Australia obtains virtually all its water from the leaves of specific species of eucalyptus trees, which constitute its entire diet. Dehydration because of water restriction with and without heat stress has been studied widely in a variety of animal species (Bianca et al., 1965; Brobst and Bayly, 1982; Carlson et al., 1979a; Elkinton and Taffel, 1942; Genetzky et al., 1987; Hix et al., 1953; Kamal et al., 1972;

Rumbaugh *et al.*, 1982; Rumsey and Bond, 1976; Schultze *et al.*, 1972; Tasker, 1967b).

Quantitative assessment of the compartmental distribution of TBW between the ECF and the ICF has largely been based on the volume dilution of certain compounds or isotopic tracers. These studies require steady-state conditions and take a substantial period of time; as such, they are not well suited to the dynamic and often rapidly changing fluid balance characteristic of many clinical situations. Bioelectrical impedance analysis (BIA) may prove a useful tool in this regard. Bioelectrical impedance has been used for the assessment of body fat and fluid balance in humans and many animal species. Multifrequency bioelectrical impedance analysis has been successfully employed to monitor the rapid fluid changes in small animal patients undergoing dialysis. Algorithms for multifrequency BIA have been developed for use in the horse (Fielding et al., 2004).

A. Water

1. Depletion-Dehydration

Dehydration is a relatively common problem in domestic animals. Dehydration results from inadequate fluid intake in the face of normal to increased fluid losses. When water losses occur with little or no electrolyte losses (i.e., panting or feed and water restriction), serum sodium concentration and osmolality increase. This situation is called a hypertonic dehydration and occurs when water losses exceed losses of the exchangeable cations sodium and potassium (Carlson, 1987). This imbalance between total body water and exchangeable cations is best characterized as a relative water deficit (Scribner, 1969). The effects of a pure water loss of 30l in a 450-kg horse are illustrated in Figure 17-2. In this theoretical example, there has been a 10% loss of body water but no change in electrolyte balance. Plasma

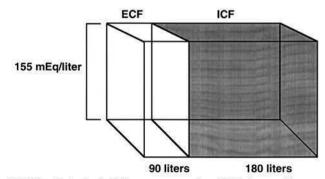


FIGURE 17-2 Body fluid compartments in a 450-kg horse with a pure water loss of 30l. Hypertonic fluid volume contraction is indicated by the increase in serum sodium concentration from 140 mEq/l (140 mmol/l) to 155 mEq/l (155 mmol/l). Fluid losses are shared by the extracellular fluid (ECF) volume and the intracellular fluid (ICF) volume.

sodium concentration has increased from a normal of 140 mEq/l (140 mmol/l) to 155 mEq/l (155 mmol/l). Fluid losses are shared proportionately by the ICF and ECF, and few other clinical or clinicopathological abnormalities will be noted until the fluid losses become more severe. Hypernatremia is associated with contraction of the ICF volume and shrinkage of the cells.

When water losses are associated with proportionate losses of exchangeable cations (i.e., 130 to 150mEq [130 to 150mmol] of sodium plus potassium per 1 of water lost), an isotonic fluid volume contraction develops. Despite what at times may be large water and electrolyte losses, plasma sodium concentration and osmolality remain unchanged. The ECF and ICF share the fluid losses in a distribution that reflects the relative losses of sodium and potassium as proportional of the initial content in their respective fluid compartments. In many instances, isotonic dehydration may occur in which sodium loss exceeds potassium loss. This type of dehydration is observed with heavy sweat loss in horses, with acute diarrhea in most species, and with inappropriate diuretic administration. The effects of an isotonic fluid loss of 301 of water, 3800 mEq (3800 mmol) of sodium, and 400 mEq (400 mmol) of potassium in a 450-kg horse are illustrated in Figure 17-3. With this type of isotonic fluid loss, plasma sodium concentration remains within normal limits despite the development of large sodium deficits. These animals manifest clinical signs of inadequate circulating fluid volume reflecting the sodium deficit and the associated decrease in plasma and ECF volume as has been shown in human subjects (McCance, 1937, 1938). When a substantial portion of the water deficit is replaced by water consumption or free water administration in these animals, the serum sodium concentration and osmolality decline, and a hypotonic, hypovolemic dehydration can develop (Sufit et al., 1985). The hyponatremia noted in this circumstance is best considered as an indication of a relative water excess (Scribner, 1969).

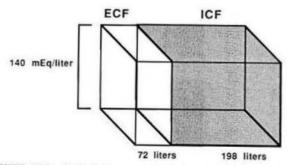


FIGURE 17-3 Body fluid compartments in a 450-kg horse with an isotonic fluid loss of 301 of water, 3800mEq (3800mmol) sodium, and 400mEq (400mmol) potassium. Serum sodium remains unchanged at 140mEq/l (140mmol/l) despite the development of the substantial sodium deficit. Fluid losses are borne primarily by the extracellular fluid (ECF) volume.

2. Water Excess-Overhydration

The effects of the administration and retention of 301 of water in a 450-kg horse are illustrated in Figure 17-4. In this example, total body water has been increased by 301, and there is an absolute as well as relative water excess. The primary effect of this water load is to dilute the electrolytes in the body fluids producing a substantial decline in plasma sodium concentration and osmolality. These changes occur despite the fact that there has been no change in sodium or potassium balance. In this example, the ECF and ICF share the water excess proportionately. The hyponatremia is associated with expansion of ICF and thus swelling of the cells.

Overhydration rarely occurs in normal individuals. The large water load described in Figure 17-4, if administered to a normal animal, would produce only transient changes, and the excess water would be eliminated by renal excretion. Even animals with psychogenic polydipsia ordinarily are able to maintain normal water balance through appropriate renal water excretion unless sodium depletion and renal medullary washout occur. However, overhydration can occur iatrogenically as the result of excessive fluid administration to patients with compromised renal function. If these fluids provide free water, as with 5% dextrose, plasma sodium concentration will decrease reflecting the change in relative water balance. If these fluids consist of isotonic sodium-containing replacement fluids such as saline or lactated Ringer's solution, there will be little or no change in plasma sodium concentration (Carlson and Rumbaugh, 1983; Cornelius et al., 1978), but there will be an increase in plasma and ECF volume with the potential for cardiovascular overload, pulmonary edema, or generalized edema formation. In this instance, the primary problem is sodium retention, and the changes in water balance are secondary.

B. Sodium

The ECF volume contains approximately one-half to onethird of the body's sodium. Most of the remaining sodium is bound in skeletal bone, relatively little of which is rapidly

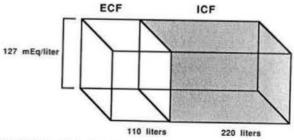


FIGURE 17-4 Body fluid compartments in a 450-kg horse after administration and retention of 301 of water. Although no sodium or potassium losses have occurred, serum sodium concentration has declined from 140 mEq/I (140 mmol/I) to 127 mEq/I (127 mmol/I) reflecting a relative water excess. Water retention results in proportionate expansion of extracellular fluid (ECF) volume and intracellular fluid (ICF) volume.

exchangeable (McKeown, 1986). The ECF volume thus contains essentially all of the body's readily available and exchangeable sodium. The exchangeable sodium content is the principal determinant of ECF volume, and sodium deficits are the principal causes of decreased ECF volume (Saxton and Seldin, 1986). Increases in sodium content result in expansion of ECF volume, which may lead to the development of hypertension or edema formation (Dow et al., 1987b; McKeown, 1986). In either instance, the observed plasma sodium concentration will primarily depend on relative water balance. Because monitoring daily electrolyte balance is difficult in most animal species, urinary fractional excretion or creatinine clearance ratios have been useful to provide an index of daily intake or potential deficits of sodium, potassium, chloride, and other electrolytes. Normal values have been established for dogs, cats, horses (Morris et al., 1984), and cattle (Fleming et al., 1992).

1. Sodium Depletion

Sodium depletion is rarely the result of dietary sodium deficiency (Aitken, 1976). This is true even for herbivores whose feedstuffs are normally very low in sodium. Chronic sodium depletion has been reported in lactating dairy cows on a low salt diet (Whitlock et al., 1975a), but the sodium deficit was most probably the result of sodium losses in milk (Michell, 1985). Mastitis markedly enhances sodium loss in milk and could play a role in sodium depletion in lactating cows maintained on a low-salt diet (Michell, 1985). Sodium depletion almost invariably is associated with excessive losses of sodium-containing fluid (McKeown, 1986; Rose, 1984), most often occurring as the result of gastrointestinal losses through vomiting or diarrhea (Fisher and Martinez, 1976; Lakritz et al., 1992). Excessive renal sodium losses can occur with intrinsic renal disease or as the result of diuretic therapy (Rose, 1984; Rose and Carter, 1979; Rose et al., 1986). Cutaneous losses via sweating are important in the exercising horse and may occur in any animal with extensive exfoliative dermatitis or burns. Salivary losses as the result of esophagostomy have been reported as a cause of sodium depletion in horses (Stick et al., 1981). A history suggestive of excessive loss of sodium-containing fluid is thus an extremely important criterion for the diagnosis of sodium depletion in domestic animals. The foregoing discussion applies indirectly to the so-called third space problems associated with a sequestration or compartmentalization of a portion of the ECF volume (Rose, 1984). This situation can occur with obstructive bowel disease or with the sudden accumulation of fluid within the abdomen or thorax resulting from peritonitis, ruptured bladder, ascites, or pleural effusion. These fluids have an electrolyte composition similar to the ECF and initially are drawn from the plasma volume and interstitial fluids. The resultant change in plasma volume and effective circulating fluid volume produce the same clinical and clinicopathological changes as those observed with excessive

external losses of sodium containing fluid (Billig and Jordan, 1969). In these cases, fluids have not been lost from the body, but there has been an internal sequestration of fluid which can be mobilized if treatment is effective.

Sodium Excess

Sodium excess occurs most often in association with an increase in body water leading to an isotonic expansion of ECF volume and the development of hypertension or generalized edema (Saxton and Seldin, 1986). Congestive heart failure, hypoalbuminemia, and hepatic fibrosis may lead to a failure to maintain effective circulating volume, which then, in turn, results in compensating renal sodium retention (Rose, 1984). In these cases, expanded ECF volume represents an attempt to restore effective circulating fluid volume, and, at least initially, plasma volume may decrease whereas ECF volume expands. An expansion of ECF volume of 20% to 30% may be necessary before edema is first evident (Scribner, 1969). Sodium excess and edema can develop iatrogenically as the result of the excessive administration of sodium-containing fluid to patients with severely compromised renal function.

Most domestic animals can tolerate a large sodium intake provided they have adequate drinking water (Aitken, 1976; Buck et al., 1976; Pierce, 1957). Excessive salt intake may occur when animals that had been on salt-restricted diets are first allowed free access to salt (Michell, 1985). Salt intoxication can occur in cattle that are feeding in reclaimed salt water marshes or on pastures contaminated by oil field wastes when they are deprived of fresh water (Aitken, 1976; McCoy and Edwards, 1979; Michell, 1985; Pierce, 1957; Sandals, 1978). Salt poisoning in swine also occurs in association with water restriction followed by access to water (Aitken, 1976; Buck et al., 1976). Salt intoxication is generally associated with increases in plasma or cerebrospinal fluid sodium concentrations. Neurological signs associated with excessive salt consumption coupled with water restriction are related to development of cortical edema and, in swine, a characteristic eosinophilic meningoencephalitis (Aitken, 1976).

C. Potassium

Potassium is largely an intracellular ion with over 98% of the exchangeable potassium located intracellularly (Brobst, 1986; Strombeck, 1979). This distribution of potassium is coupled with the active extrusion of sodium from the cells, which is maintained by an energy-dependent sodium:potassium pump at the cell membrane (Brobst, 1986; Tannen, 1986). Potassium distribution across the cell membrane plays a critical role in the maintenance of cardiac and neuromuscular excitability. Changes in potassium concentration that alter the ratio of intracellular to extracellular potassium alter membrane potential (Tannen, 1986). In general, hypokalemia increases membrane potential, producing a

hyperpolarization block resulting in weakness or paralysis, whereas hyperkalemia decreases membrane potential causing hyperexcitability (Patrick, 1977). These features depend on the state of total body potassium content but also depend on the speed with which hypokalemia or hyperkalemia develops (Saxton and Seldin, 1986). As an example, acute potassium depletion may result in hypokalemia before the development of marked change in intracellular potassium, producing a substantial alteration in the ratio of intracellular-to-extracellular potassium. Acute hypokalemia can produce a much greater alteration in membrane potential and thus more marked clinical signs than a gradually developing hypokalemia of the same magnitude (Saxton and Seldin, 1986). Potassium homeostasis involves regulation of internal balance (i.e., the distribution of potassium between the ECF and ICF) as well as external balance (i.e., the relation of potassium input to output) (Brobst, 1986; Rose, 1984). Internal potassium balance is influenced by changes in acidbase status, glucose and insulin administration, exercise, and catecholamine release and will be discussed in greater detail in the section on factors that influence plasma potassium concentration.

1. Potassium Depletion

Potassium depletion is the result of altered external balance in which potassium losses by all routes exceed intake. Potassium is present in relatively high concentrations in most animal feeds. Therefore, dietary deficiency alone is not a common cause for potassium depletion (Tasker, 1980). However, dietary factors were associated with hypokalemia in hospitalized cats, particularly when associated with diseases linked to increased potassium loss (Dow et al., 1987a). Herbivores, such as the horse, fed an all-hay diet may have a daily potassium intake of 3000 to 4000 mEq (3000 to 4000 mmol) per day (Hintz and Schryver, 1976; Tasker, 1967a). Most of this potassium is absorbed in the small intestine and colon with subsequent renal excretion of more than 90% of the daily potassium intake (Hintz and Schryver, 1976). These animals are thus highly adept at excretion of a large daily potassium intake. However, renal compensation for deficient potassium intake is not efficient, and renal conservation of potassium may be delayed for several days when animals normally fed a high-potassium diet are suddenly taken off feed or develop anorexia (Tasker, 1967b).

Potassium depletion most commonly develops as the result of gastrointestinal losses from vomiting or diarrhea. Excessive renal losses can occur as the result of diuretic usage, mineralocorticoid excess, renal tubular acidosis, and in the diuresis state that follows relief of urinary obstruction (Saxton and Seldin, 1986). Potassium depletion may result in decreased ICF volume, altered membrane potential, altered intracellular pH, and alterations of potassium-dependent enzymatically mediated reactions (Elkinton and Winkler, 1944). Clinical features include muscle weakness,

ileus, cardiac arrhythmias, rhabdomyolysis, and renal dysfunction (Dow *et al.*, 1987a, 1987b; Earley and Daugharty, 1969; Tannen, 1986).

2. Potassium Excess

Potassium excess occurs relatively rarely and is generally a consequence of some alteration of renal excretion of potassium. Potassium excess may be associated with Addison's disease, some forms of renal disease, and clinical situations associated with hypovolemia and renal shutdown (Rose, 1984; Weldon *et al.*, 1992). Care should be taken to avoid the rapid administration of large amounts of potassium salts orally or intravenously to patients with severely compromised renal function. Even normal animals can develop significant electrocardiographic abnormalities with potassium (Dhein and Wardrop, 1995; Epstein, 1984; Glazier *et al.*, 1982) or calcium infusions (Glazier *et al.*, 1979).

D. Chloride

Modest changes in hydration tend to produce roughly proportional changes in plasma sodium and chloride relative to sodium concentration. Acid-base alterations are associated with disproportionate changes in plasma chloride concentration (Saxton and Seldin, 1986). A disproportionate hyperchloremia is seen in association with a low to normal anion gap metabolic acidosis. Chloride concentration increases in this type of acidosis as the result of proportionately smaller losses of chloride than bicarbonate and enhanced renal chloride resorption in response to decreased bicarbonate (Saxton and Seldin, 1986). Disproportionate hypochloremia is a consistent feature of metabolic alkalosis (Rose, 1984). Chloride depletion develops in these animals as a result of excessive loss or sequestration of fluids with high chloride content. Changes in water balance can result in modest alterations in the relative concentrations of plasma sodium and chloride. A pure water deficit produces increased sodium concentration, which exceeds the increases in chloride. This contributes to the development of a contraction alkalosis with an increase in bicarbonate. A pure water excess has the opposite effect and can result in an expansion acidosis.

VII. CLINICAL FEATURES OF FLUID AND ELECTROLYTE BALANCE

It is convenient to discuss the theoretical ramifications of pure water loss or specific electrolyte deficits. However, in practical clinical situations, the issue is almost never so clearly defined, and most often, there is a combination of fluid, electrolyte, and acid-base alterations. Many medical problems result in a consistent pattern of fluid and electrolyte loss with predictable changes in fluid volume, electrolyte concentration, and acid-base balance.

A clear understanding of the interrelationships between specific deficits and their clinicopathological consequences is essential if an appropriate initial diagnosis is to be made. Once treatment has been initiated, all clinical and clinicopathological data will be influenced not only by the primary medical problem and compensating responses but also by the effects of chemotherapeutic agents and fluid therapy as well. Therapeutic intervention may not always be appropriate, organ function may be impaired, and thus the anticipated clinicopathological responses become less predictable. These situations represent the bulk of clinical case material and laboratory data evaluated by clinicians and clinical pathologists. Under these circumstances, it is essential to understand the basic mechanisms that underlie changes in clinicopathological data and how these changes relate to specific imbalances.

Rational fluid therapy depends on accurate evaluation of the fluid and electrolyte deficits, the associated acid-base alterations, and the primary disease processes that underlie these imbalances. Evaluation must include an accurate history, a complete physical examination, and, of course, laboratory evaluation of appropriate parameters.

A. History

An accurate history is absolutely essential for the evaluation and management of the patient with fluid and electrolyte imbalances. Basic signalment factors of age, sex, breed, pregnancy, and stage of lactation are important because these factors influence the incidence and severity of many disorders. The presence of a preexisting or coexisting disease process and an accurate drug history can be exceedingly important not only in the evaluation of fluid and electrolyte disorders but also in fluid selection and patient management. Of particular importance is the history of prior renal disease, diuretic usage, or exposure to potentially nephrotoxic drugs. Status of feed and water intake is exceedingly useful. Most animals that continue to eat and drink normally are able to maintain fluid balance even in the face of excessive fluid losses. However, reduced or restricted fluid intake in the face of normal to enhanced fluid losses can quickly result in dehydration. Inadequate fluid intake may result from neurological disorders or traumatic injuries to the head or neck, whereas painful or obstructive lesions in the mouth, pharynx, or gastrointestinal tract may restrict feed and water intake. Inadequate water intake is often the result of management errors, broken or frozen water lines, and other factors. A history of polydipsia suggests that excessive fluid losses have occurred. Vomiting and diarrhea are obvious causes of fluid and electrolyte loss, but these findings also reflect gastrointestinal disorders, which may contribute to inadequate fluid and electrolyte intake or absorption.

Excessive fluid losses may be associated with vomiting, diarrhea, polyuria, excessive salivation, copious drainage from cutaneous wounds or burns, and as the result of

heavy sweat losses in exercising horses. The water losses that occur in these situations are generally associated with significant sodium depletion and subsequent decreases in the effective circulating fluid volume. Vomiting in small animals (Clark, 1980), gastrointestinal stasis in ruminants (Gingerich and Murdick, 1975b), and excessive sweat losses in endurance horses (Carlson, 1983b) are associated with large losses or compartmentalization of chloride-rich fluids, which contribute to the metabolic alkalosis that frequently accompanies these disorders.

B. Clinical Signs

Dehydration is defined as a loss of body water. Clinical signs of dehydration are said to be first apparent with fluid losses equivalent to 4% to 6% of body weight. Moderate dehydration is said to be present with fluid losses of 8% to 10%, and severe dehydration is present when fluid losses exceed 12% of body weight. It is important to realize that although these guidelines have been clinically useful, there is relatively little documentation of this precise quantitative relationship in most animal species. Accurate measurement of fluid intake from all sources and output by all routes is not possible in most clinical situations. In acute situations, changes in body weight provide the most accurate guide to change in net water balance. Repeated measurement of body weight is a key component of the monitoring of patients on fluid therapy. The clinical signs of dehydration include weight loss, altered skin turgor, sunken eyes, and dry mucous membranes. If control of renal function is normal, urine volume is generally markedly reduced. The clinical consequences of dehydration depend much more on the pattern of electrolyte loss than the absolute water deficit.

Clinical signs associated with acute sodium deficits are largely related to hypovolemia and decreases in the effective circulating volume. These signs include increased pulse rate, decreased pulse pressure, delayed jugular distensibility, increased capillary refill time, and decreased blood pressure. Urine output is generally decreased and urine sodium and chloride concentrations are normally reduced. Decreases in ECF volume are always reflected by decreases in plasma volume, but the reverse is not always true. In the absence of blood or protein loss, the PCV and TPP concentrations increase, reflecting the decrease in plasma and ECF volume as will be discussed more fully in Section VIII.A.

VIII. CLINICOPATHOLOGICAL INDICATORS OF FLUID AND ELECTROLYTE IMBALANCE

A. Packed Cell Volume and Total Plasma Protein

The packed cell volume (PCV) and total plasma protein (TPP) concentration are simple, convenient, and useful tools

Electrolyte or Gas	Dog	Cat	Horse	Ox	Sheep	Goat	Pig
Sodium (mEq/l)	140-155	147-156	132-146	132-152	139-152	142-155	135-150
Potassium (mEq/l)	3.7–5.8	4.0-5.3	2.6-5.0	3.9-5.8	3.9-5.4	3.5-6.7	4.4-6.7
Chloride (mEq/l)	105-120	115-123	99–109	97–111	95–103	99–110	94-106
Calcium (mg/dl)	9.0–11.3	6.2-10.2	11.2–13.6	9.7–12.4	11.5–12.9	8.9-11.7	7.1–11.6
Magnesium (mg/dl)	1.8-2.4	2.2	2.2-2.8	1.8-2.3	2.2-2.8	2.8-3.6	2.7-3.7
Phosphorus (mg/dl)	2.6-6.2	4.5-8.1	3.1-5.6	5.6-6.5	5.0-7.3	6.5	5.3–9.6
Anion gap (mEq/l)	15-25		6.6-14.7	13.9-20.2			
рН		7.31-7.42	7.24-7.40	7.32-7.44	7.35-7.50	7.32-7.54	7.39
pCO ₂ (mmHg)	29–42	29–42	38–46	35–44	37–46		44.3
Bicarbonate (mEq/l)	17–24	17–24	24–30	20–30	20–25		25.6
Osmolality (mOsm/kg H ₂ O)	280-305	280-305	270-300		270-300		

When sodium-containing fluids are administered to dehydrated volume-depleted subjects, plasma volume reexpansion is reflected by the return of PCV and TPP toward the normal range (Hayter *et al.*, 1962). Declining PCV and TPP in response to fluid therapy are the two most useful laboratory indicators of return of effective circulating fluid volume and should be correlated with clinical evidence such as return of normal pulse pressure, capillary refill time, and jugular distensibility.

B. Serum Sodium

Serum sodium concentration varies within relatively narrow limits in the normal individual, but there is substantial interspecies variation in the normal range of sodium, chloride, and osmolality as indicated in Table 17-4. A serum sodium concentration of 134 mEq/l (134 mmol/l), although quite normal for a horse or cow, represents a significant hyponatremia in a dog or cat. Before proceeding with a discussion of the significance of alterations of sodium concentration, some comment on the methods used for electrolyte determination is appropriate. In the past, flame photometry was the standard method for the determination of both sodium and potassium concentrations. Presently, electrolyte concentrations in biological fluids are generally determined utilizing ion-specific electrode technology. Although consistent differences might have been expected, ion-specific electrode instruments, which dilute samples, tend to yield values that are similar to values reported from the flame photometer. This situation may be a function of the mathematical algorithm employed in these devices to convert changes in electric potential to electrolyte concentration. Interfering substances in the urine of some animal species render urine potassium determinations inaccurate when assessed by ion-specific potentiometry (Brooks *et al.*, 1988).

1. Hyponatremia

The common causes of hyponatremia are listed in Table 17-5. A falsely low sodium concentration may be noted when there is marked hyperlipemia or hyperproteinemia. Large quantities of lipid or protein occupy a significant volume in a serum or plasma sample, and because electrolytes are dissolved only in the aqueous phase, the measured concentrations will be falsely low. The presence of obvious lipemia or markedly elevated serum protein concentration should alert the clinician to the probable cause of an accompanying hyponatremia. Should this information not be available, the presence of a false hyponatremia can be determined by comparison of the measured serum osmolality and the calculated osmolality based on sodium, glucose, and urea concentration as explained in Section VIII.E. This potential cause for confusion in interpretation of hyponatremia can be avoided if ion-specific electrodes are used for electrolyte determination.

Marked hyperglycemia associated with diabetes mellitus or the administration of glucose at an excessive rate generally produces a hyponatremia. As glucose concentration increases in the ECF, osmotic forces are generated, which result in the movement of cellular water into the ECF, diluting serum sodium concentration. The actual

TABLE 17-5 Causes of Hyponatremia

False hyponatremia Hyperlip idem ia Hyperproteinem ia Hyperglycemia

Hyponatremia (relative water excess)

Decreased effective circulating volume

Vom iting

Diarrhea

Excessive sweating

Cutaneous loss-burns

Blood loss

Repeated pleural drainage

Pleuritis, chylothorax

Adrenal insufficiency

"Third space problems"

Sequestration of fluid

Peritonitis

Ascites

Ruptured bladder

Excess circulating volume

Congestive heart failure

Chronic liver failure

Nephrotic syndrome

Normal effective circulating volume Psychogenic water drinking

Renal disease

Inappropriate ADH secretion

mechanics of this process are complicated by the fact that cells and tissues are variably permeable to glucose, and thus the glucose space clearly exceeds the ECF volume. For practical purposes, it can be anticipated that serum sodium concentration will decline 1.6 mEq/l (1.6 mmol/l) for each 100 mg/dl (5.55 mmol/l) increase in glucose concentration (Saxton and Seldin, 1986). Serum osmolality may be increased by hyperglycemia, but this should not cause a large disparity between the measured and calculated serum osmolality.

Changes in water balance are principally responsible for changes in serum sodium concentration (Leaf, 1962). Hyponatremia should be considered as an indication of a relative water excess (Scribner, 1969). Hyponatremia is often, but not invariably, associated with conditions that cause sodium depletion and resultant decreases in effective circulating volume. These conditions include vomiting, diarrhea, excessive sweat losses, and adrenal insufficiency. Dehydration and volume depletion induce neurohormonal responses that result in increased water consumption via increased thirst and enhanced renal conservation of water as well as sodium (Rose, 1984). Fluid losses in these forms of dehydration are most often hypotonic or isotonic, and initial fluid and electrolyte deficits do not result in hyponatremia until water intake or renal water retention disturbs the balance between the remaining exchangeable cations and the total body water. Thus, whereas substantial sodium and potassium deficits are associated with these conditions, plasma sodium concentration does not always reflect these deficits, and a diagnosis of sodium depletion should be based on other grounds (Scribner, 1969).

The accumulation of sodium-containing fluid within body cavities as a result of ascites, peritonitis, or a ruptured bladder is referred to as a "third space problem" (Rose, 1984). The fluid that accumulates in the "third space" has a composition similar to the ECF. When this accumulation of fluid occurs rapidly, plasma volume is reduced, and serum sodium concentration then may decrease as the compensating responses result in water retention. A classic example of this situation is the marked hyponatremia associated with ruptured bladder in neonatal foals. As the dilute urine of the neonate accumulates in the abdomen, osmotic equilibrium is established first with the ECF and then with all of the body fluid compartments. Sodium and chloride as well as other ions are drawn from the rest of the ECF into this progressively expanding fluid compartment, and hyponatremia develops despite the fact that there has been no appreciable loss of sodium from the body. The severe neurological signs associated with ruptured bladder in foals are related in large part to the effects of the sudden and marked hypotonic hyponatremia on the central nervous system. Hyponatremia associated with excessive retention of water also can occur without the development of significant sodium depletion or decreases in effective circulating fluid volume. Hyponatremia may be observed with psychogenic polydipsia if the rate of water consumption exceeds renal capacity for free water clearance because of intrinsic renal disease or renal medullary washout (Tyler et al., 1987). This condition also may occur in patients with impaired free water clearance resulting from renal disease or when under the influence of inappropriate release of ADH (McKeown, 1986). Hyponatremia and associated neurological disturbances can develop with naturally occurring disease (Lakritz et al., 1992) or iatrogenically if excessive amounts of free water are administered to patients with altered renal function (Arieff, 1986; Sterns et al., 1986).

Urine sodium concentration can be useful in the differentiation of the causes of hyponatremia as indicated in Figure 17-6. Renal adaptive responses normally result in sodium retention and production of urine with very low sodium concentration in patients with sodium depletion resulting from vomiting, diarrhea, excessive sweat loss, or third space problems (Rose, 1984). Hyponatremia and hypokalemia of adrenal insufficiency are generally associated with a relatively high urine sodium concentration (Rose, 1984). With the syndrome of inappropriate secretion of antidiuretic hormone (SIADH), urine sodium tends to be high in the presence of hyponatremia, whereas urine sodium concentration tends to be low in animals with psychogenic polydipsia. Urine sodium concentration in animals with renal failure can be quite variable.

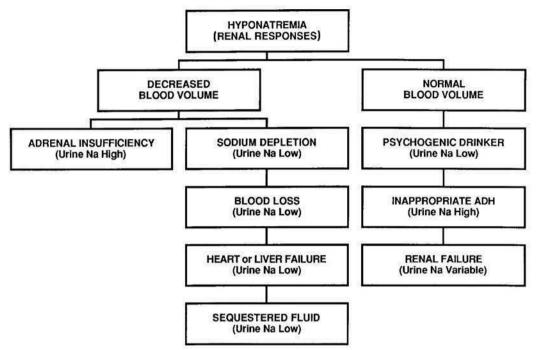


FIGURE 17-6 The urinary sodium concentration as an aid in the differentiation of possible causes of hyponatremia. Urinary electrolyte concentration is also influenced by dietary intake.

A form of moderate to pronounced hyponatremia associated with elevated levels of ADH, which develops in the absence of an appropriate osmotic or volume stimuli, has been well recognized in human subjects. This syndrome has been associated with several systemic disorders including malignant neoplasms that produce an ADH-like material, with a variety of brain diseases that apparently stimulate synthesis and release of ADH, or in association with certain pulmonary diseases that may result in abnormal neural inputs from the lung that trigger the inappropriate ADH release from the pituitary.

The diagnosis of SAIDH in the absence of readily available procedures for ADH determination depends on ruling out a number of other potential causes for persistent hyponatremia. The diagnostic criteria for SIADH for human subjects include the following (McKeown, 1986):

- Demonstration of a hypotonic hyponatremia without hypovolemia or edema
- 2. Normal renal, adrenal, and thyroid function
- 3. Inappropriately elevated urine osmolality relative to plasma osmolality
- Relatively high urine sodium concentration
- **5.** Correction of the hyponatremia by strict fluid restriction

The SIADH has been reported in the dog (Breitschwerdt and Root, 1979; Brofman *et al.*, 2003; Crow and Stockham, 1985; Fleeman *et al.*, 2000; Giger and Gorman, 1984; Houston *et al.*, 1989), but the incidence and importance of this problem in other animal species are uncertain. A variant

of SIADH has been described in certain chronically ill and malnourished human subjects that maintain a mild but persistent hyponatremia. In these individuals, ADH secretion remains under osmotic control, but it would appear that the osmoreceptor threshold functions at a lower value than normal (McKeown, 1986). Thus, it is the resetting of the osmostat that results in persistent chronic hyponatremia. Resolution of the underlying medical problem usually results in correction of this variant form of SIADH.

2. Hupernatremia

Hypernatremia almost always is associated with elevation of serum osmolality. Hypernatremia occurs in dehydrated subjects when water losses exceed losses of sodium and potassium and should be considered as an indication of a relative water deficit (Scribner, 1969). This situation can occur in the initial stages of diarrhea, vomiting, or renal disease if losses of water exceed the electrolytes lost (see Table 17-6) (Saxton and Seldin, 1986). As water losses are replaced by increased water consumption or enhanced renal water retention, serum sodium concentration tends to decline into or below the normal range. Hypernatremia also develops as the result of an essentially pure water loss, such as the evaporative respiratory water loss in panting animals (Tasker, 1980). Hypernatremia is associated with excessive renal free water loss with either central or nephrogenic diabetes insipidus if water intake is restricted (Breukink et al., 1983). Food and water deprivation in normal individuals is associated with substantial reduction of

TABLE 17-6 Causes of Hypernatremia

Water losses in excess of electrolyte loss

Digestive

Vomiting

Diarrhea Cutaneous

Cutaneous

Burns

Renal

Diuretics

Diabetes mellitus

Mannitol

Intrinsic renal disease

Pure water losses

Insensible

Panting

Diabetes insipidus

Central

Nephrogenic

Inadequate water intake

Water deprivation

Abnormal thirst mechanism

Sodium excess (water restriction)

Hypertonic saline or sodium bicarbonate

Salt poisoning

Mineralocorticoid excess

renal and fecal output (Brobst and Bayly, 1982; Carlson et al., 1979b; Genetzky et al., 1987; Tasker, 1967b). However, continued cutaneous and respiratory insensible water loss may result in hypernatremia (Carlson et al., 1979b; Elkinton and Taffel, 1942; Genetzky et al., 1987; Rumsey and Bond, 1976). Abnormal thirst mechanisms with resultant hypernatremia have been reported in young dogs (Crawford et al., 1984; Hoskins and Rothschmidt, 1984). Hypernatremia may occur transiently following administration of hypertonic saline or sodium bicarbonate if water intake is restricted or impaired. The hypernatremia observed with salt poisoning in cattle and swine is, in fact, triggered by water restriction in animals with a high salt intake (Padovan, 1980; Pearson and Kallfelz, 1982). So long as adequate water is available, salt poisoning does not occur. In human subjects, hypernatremia is reported with mineralocorticoid excess (McKeown, 1986).

C. Serum Potassium

Serum potassium concentration does not always reflect potassium balance but is influenced by factors that alter internal balance (i.e., the distribution of potassium across the cell membrane between the ECF and ICF) as well as factors that change external balance (i.e., potassium intake and output) (Brobst, 1986; Patrick, 1977; Rose, 1984). The effective adjustment of external and internal balance in normal

individuals in response to either large potassium loads or excessive potassium losses usually maintains serum potassium concentration within normal limits. However, changes in potassium concentration occur in a wide variety of clinical circumstances and have profound neuromuscular effects largely because of changes in cell membrane potential (Brobst, 1986). The compensating responses to change in circulating fluid volume distribution and acid-base balance can result in confusing and contradictory findings. As an example, calves with acute diarrhea may develop significant depletion of body potassium stores because of excessive losses and inadequate intake (Phillips and Knox, 1969). However, the serum potassium concentration in these calves may actually be normal to increased as the result of renal shutdown and the metabolic acidosis induced by dehydration and sodium depletion with resultant decreases in the effective circulating fluid volume. Electrolyte replacement fluids given to these calves should include potassium (Fettman et al., 1986). Correct interpretation of serum potassium concentration thus requires a knowledge of probable intake and sources of excessive loss as well as the status of renal function and acid-base balance. Measurement of erythrocyte potassium concentration has been suggested as an aid in the assessment of the potassium status of horses with exerciserelated myopathy (Bain and Merritt, 1990; Muylle et al., 1984a, 1984b). However, experimental studies have failed to demonstrate a close correlation between erythrocyte potassium concentration and potassium depletion.

1. Hypokalemia

Hypokalemia occurs relatively frequently in domestic animals and may result from depletion of the body's potassium stores or from a redistribution of potassium from the ECF into the ICF space (Brobst, 1986), as indicated in Table 17-7. Hypokalemia most often is associated with excessive potassium losses from the gastrointestinal tract as the result of vomiting or diarrhea (Tasker, 1967c). Excessive renal loss of potassium results from the action of mineralocorticoid excess, the action of certain diuretics, and as the result of altered renal tubular function in animals with renal tubular acidosis or postobstructive states. Chronic dietary potassium deficiency eventually can lead to modest hypokalemia even in normal individuals (Aitken, 1976; Dow et al., 1987a). A rapidly developing and profound hypokalemia can occur in animals with reduced dietary intake as a result of anorexia when coupled with other causes of excessive potassium loss (Tasker, 1980).

Hypokalemia may develop without potassium depletion as the result of intracellular movement of potassium from the ECF space. This situation occurs with an acute alkalosis (Burnell *et al.*, 1966) and in patients treated with insulin and glucose infusions (Tannen, 1986). In fact, medical management of severe life-threatening hyperkalemia often involves the administration of glucose, insulin, and,

TABLE 17-7 Causes of Hypokalemia

Hypokalemia resulting from altered external balance Gastrointestinal losses

Vomiting

Diarrhea

Renal losses

Mineralocorticoid excess

Diuretics

Renal tubular acidosis

Postobstruction diuresis

Hypokalemic nephropathy in cats

Dietary deficiency

Hypokalemia as a result of altered internal balance Excessively rapid bicarbonate administration

Insulin with glucose administration

Catecholamine release

Hypokalemic periodic paralysis

in some circumstances, bicarbonate in an effort to shift potassium intracellularly. Catecholamines exert a biphasic effect on potassium concentration (Tannen, 1986). The initial response to catecholamine administration is a modest transient increase in potassium as the result of • -adrenergic stimulation followed by hypokalemia as the result of • -adrenergic receptor responses (Bendheim *et al.*, 1985; Clausen *et al.*, 1980; Williams *et al.*, 1985). • -Adrenergic agents have been used for the treatment of hyperkalemic periodic paralysis (Bendheim *et al.*, 1985). The relationship between catecholamine release, receptor stimulation, and potassium balance may play a significant role in the development of exertional fatigue in human and equine athletes (Carlson, 1987; Sjogaard *et al.*, 1985).

2. Hyperkalemia

Hyperkalemia can be produced iatrogenically by the excessive administration of potassium salts but does not usually result from high dietary intake in individuals with normal renal function (Aitken, 1976). There are three major causes of hyperkalemia as indicated in Table 17-8. Hyperkalemia may develop in vitro in blood sample containers because of hemolysis or prolonged storage of blood samples before the serum or plasma has been separated from erythrocytes in the sample. This leakage of erythrocyte potassium can result in significant errors in those species (horse, pig, most cattle) with a high potassium content in their erythrocytes (Tasker, 1980). The erythrocytes of cats and most dogs have a high sodium content and relatively low potassium. Slight hemolysis will have little effect on serum or plasma potassium concentration in these species. There are, however, polymorphisms in intracellular cation content of certain breeds of sheep, cattle, and dogs, which relate to sodium-potassium ATPase activity of mature erythrocytes.

TABLE 17-8 Causes of Hyperkalemia

False hyperkalemia

Hemolysis

Markedly elevated leukocyte or platelet count

Hyperkalemia as a result of altered external balance

Addison's disease

Renal disease

Ruptured bladder

Urethral obstruction

Hypovolemia with renal shutdown

Hyperkalemia as a result of altered internal balance

Metabolic acidosis

Diabetes

Tissue necrosis

Hyperkalemic periodic paralysis

Vigorous exercise

Release of potassium from leukocytes or platelets into the serum following clot formation in the sample collection vial is a potential cause of hyperkalemia in subjects with a marked leukocytosis or thrombocytosis (Degan, 1986; Mandell *et al.*, 1988).

Hyperkalemia may be associated with excessive renal potassium retention in conditions such as Addison's disease, acute renal failure, and renal shutdown secondary to inadequate effective circulatory fluid volume. Hyperkalemia results, in a number of circumstances, from the movement of intracellular potassium into the ECF without change in external potassium balance. Hyperkalemia develops in association with a metabolic acidosis, particularly when the acidosis results from volume depletion complicated by renal shutdown. Hyperkalemia may be noted in patients with diabetes or transiently in animals with massive muscle necrosis. Interestingly, most horses with exertional rhabdomyolysis do not develop hyperkalemia or a metabolic acidosis (Koterba and Carlson, 1982). Vigorous short-term exercise at high intensity results in a profound hyperkalemia in horses. Serum potassium as high as 9 to 10 mEq/1 (9 to 10 mmol/l) has been observed transiently in horses exercising at maximal intensity on a high performance treadmill (Harris and Snow, 1986). Muscular exhaustion in these horses appeared to be related to the hyperkalemia and the profound lactic acidosis seen in the immediate postexercise state (Carlson, 1987; Krzywanek, 1974; Krzywanek et al., 1976; Milne et al., 1976). An interesting and unusual condition of episodic hyperkalemia and muscular weakness has been recognized in the dog (Jezyk, 1982) and certain quarter horses (Cox, 1985; Pickar et al., 1991; Spier et al., 1990, 1993; Steiss and Naylor, 1986). In the horse, the condition closely resembles the heritable disease hyperkalemic periodic paralysis, which has been reported in human subjects and is due to an alteration in the voltage-regulated sodium chemical (Rudolph et al., 1992a, 1992b). Sudden marked increases in serum potassium concentration result from the transcellular movement of potassium. Serum potassium concentration can reach 8 to 9 mEq/1 (8 to 9 mmol/l) and is associated with profound electrocardiographic abnormalities and fluid shifts, which result in marked increases in PCV and protein concentration. The disease is inherited as an autosomal dominant, and all affected horses can be traced back to a common ancestor. A DNA test that can detect the single base pair substitution responsible for this disease has been developed (Rudolph et al., 1992ba). Using this procedure, it is possible to identify individuals that are heterozygous or homozygous for this trait.

D. Serum Chloride

It long has been assumed that the anion, chloride, which combines with sodium to form common salt, simply follows sodium in the physiological processes that regulate body fluid and electrolyte balance. It is becoming increasingly apparent that this may not always be true and that some of the problems ascribed to sodium retention do not occur unless chloride is present in excess as well (Kurtz *et al.*, 1987). Causes of alterations in chloride concentration are given in Table 17-9. The hyperchloremia and hypochloremia, which are normally seen in association with roughly proportional changes in sodium concentration, are due to changes in body water balance.

Changes in chloride concentration that are not associated with a similar change in sodium concentration are usually associated with acid-base imbalances (Divers *et al.*, 1986). Chloride concentration tends to vary inversely with bicarbonate concentration. A disproportionate increase in chloride most commonly is associated with a normal to low anion gap hyperchloremic metabolic acidosis such as renal tubular acidosis and may be seen as a compensating response for a primary respiratory alkalosis (Saxton and Seldin, 1986). Disproportionate decreases in chloride characteristically are seen in a metabolic alkalosis but also may be seen as part of the compensating response for a chronic primary respiratory acidosis (Saxton and Seldin, 1986).

E. Osmolality

It has been demonstrated that the concentration of sodium in serum water is closely correlated with the serum osmolality over an extremely wide range of physiological and pathological states provided appropriate corrections are

TABLE 17-9 Causes of Alterations in Chloride Concentration

Hyperchloremia

With proportional increase in sodium
Dehydration (relative water deficit)
Without proportional increase in sodium
Hyperchloremic metabolic acidosis
Compensation for respiratory alkalosis

Hypoch lorem ia

With proportional decrease in sodium
Overhydration (relative water excess)
Without proportional decrease in sodium
Hypochloremic metabolic alkalosis
Compensation for respiratory acidosis

made for the contributions made by variations in glucose and urea concentrations (Edelman *et al.*, 1958). The measurement of serum osmolality has two specific and very useful purposes (Gennari, 1984): first, to determine whether serum water content deviates widely from normal and, second, to screen for the presence of foreign low-molecular-weight substances in the blood. Interpretation of serum osmolality for these purposes requires simultaneous comparison of the measured osmolality and the calculated osmolality as determined from the measured concentrations of the major solutes in serum (sodium, glucose, and urea). The difference between the measured and the calculated osmolality is sometimes referred to as the "osmolal gap" (Feldman and Rosenberg, 1981; Shull, 1978, 1981).

Sodium is the principal cation in serum, and sodium is balanced by a number of different anions (chloride, bicarbonate, protein, sulfate, and phosphate). Sodium concentration thus provides a reasonable estimate of the total electrolyte concentration (anions and cations) in the sample and, in this calculation, is usually multiplied by a factor of 2. As mentioned earlier, the water content of serum samples is approximately 94%. Correction for the water content of serum is not necessary because, fortuitously, it is counterbalanced by the fact that sodium chloride does not dissociate completely and has an osmotic coefficient of 0.93 in serum (Dahms et al., 1968; Rose, 1984; Wolf, 1966). The osmolality can thus be calculated using the measured sodium concentration, and the concentration of the two nonelectrolyte components of serum that are normally present in amounts sufficient to influence osmolality:

 $mOsm/kg H_2O = 2 \times sodium + glucose + urea (17-15)$

This calculation is valid if concentrations of sodium, glucose, and urea are expressed in mmol/l. Conversion of glucose concentration from mg/dl to mmol/l requires division by 18, and urea concentration in mg/dl can be converted to mmol/l by dividing by 2.8.

Decreases in osmolar gap indicate laboratory error. Increases in osmolar gap (>10 mOsm/kg) could also represent laboratory error but generally result from one of two circumstances: either a decrease in serum water content or the addition of low-molecular-weight substances in serum. Decreases in serum water content occur with marked hyperlipidemia or hyperproteinemia, and the calculated osmolality will exceed the measured osmolality. This is the cause of the false hyponatremia discussed in Section VI.B. The presence of a similar disparity between the measured and calculated osmolality in the absence of hyperlipidemia or hyperproteinemia should prompt an alert for the presence of exogenous substances in abnormally high concentrations in the serum. These substances could include a variety of exogenous and potentially toxic compounds, such as mannitol, ethanol, methanol, ethylene glycol, isopropanol, ethyl ether, acetone, trichloroethane, and paraldehyde (Saxton and Seldin, 1986).

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Pituitary Function

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I. HYPOTHALAMUS-PITUITARY SYSTEM

The hypothalamic-pituitary system is a preeminent example of the integration of neural and endocrine control. It consists of three major systems: (1) a neuroendocrine system connected to an endocrine system by a portal circulation, (2) a neurosecretory pathway, and (3) a direct neural regulation of endocrine secretion (Fig. 18-1).

The neuroendocrine system involves clusters of peptideand monoamine-secreting cells in the anterior and midportion of the ventral hypothalamus. Their products reach the median eminence by axonal transport. From there they are released into the capillary vessels of the hypothalamicpituitary portal system and transported to the pituitary to regulate the secretion of hormones from the anterior lobe (AL) of the adenohypophysis (Amar and Weiss, 2003).

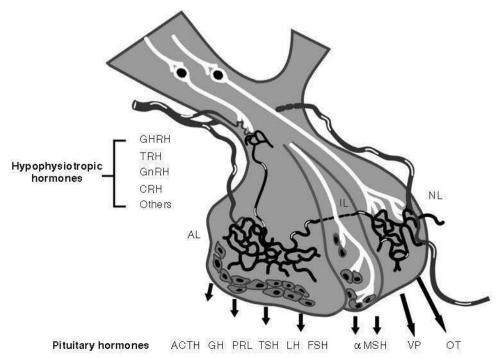


FIGURE 18-1 Schematic representation of the relationship between the hypothalamus and the pituitary in a generalized mammal. The hypothalamus exerts control over the anterior lobe (AL) by releasing and inhibiting factors. These hormones reach the AL cells via capillaries of the pituitary portal system. The neural lobe (NL) of the pituitary is a downward projection of the hypothalamus. The intermediate lobe (IL) is under direct neurotransmitter control.

The neurosecretory pathway runs from the anterior hypothalamus, traverses the floor of the ventral hypothalamus, and terminates in the neural lobe (NL) of the neurohypophysis on fenestrated blood vessels (Page, 1986). The system is involved in osmoregulation through the production and release of vasopressin, and in parturition and nursing through the secretion of oxytocin.

In the intermediate lobe (IL) the secretory activity is regulated via direct neuronal inhibitory and stimulatory influences (Saland, 2001). In amphibians (Vazquez-Martinez et al., 2003) and reptiles (Dores et al., 1987), the IL plays an important role in adaptation to background color. The function of IL cells in mammals has not been fully established, but they may play a role in opioid-regulated functions.

A. Anatomical Considerations

The hypothalamus and pituitary control vital functions such as growth, reproduction, lactation, basal metabolism, stress response, parameters of immune function, and the state of hydration. Understanding of the complicated functional relationship of the hypothalamus to the pituitary requires an appreciation of the anatomical relationships.

1. Hypothalamus

Hypophysiotropic neurohormones are produced in several areas of the hypothalamus. For example, in an immunofluorescence study of hypothalami of dogs, the majority of cell bodies with immunoreactivity to corticotropin-releasing hormone (CRH) was found in the region of the periventricular and paraventricular nuclei, but they were also found in the supraoptic and suprachiasmatic area as well as craniodorsal to the mammillary bodies (Stolp *et al.*, 1987).

The cell bodies of the neurohormone-producing neurons that project to the median eminence are in part intermingled with cell bodies that also synthesize these neurohormones but project to other brain areas. The majority of the neurons that project to the median eminence are found in the preoptic and suprachiasmatic region of the hypothalamus. Axons containing the same neurohormone may have synaptic contacts that enable regulation of cellular function between these neurons.

The neurohormone-producing cells receive a complex neural input from a variety of chemical messengers, such as neurotransmitters and other neurohormones. Not only the neurohormones CRH and arginine vasopressin (AVP), but in general the combination of a neurohormone and another chemical messenger, may colocalize within a single neuron.

The neurons of the neurohypophyseal system represent a more anatomically distinct entity, with cell bodies located in the paraventricular and supraoptic hypothalamic nuclei (Sawchenko and Swanson, 1983). However, within these areas there are also neurons producing a variety of other neuropeptides.

2. Neurotransmitter Systems

The major neurotransmitter systems for intercellular communication within the central nervous system consist of monoamines and peptides. These chemical messengers regulate the biosynthesis and release of the hypophysiotropic neurohormones. Through a network of axodendritic and axoaxonic contacts, these neurons are connected to the neurohormone-producing cells. In addition, many monoamines and peptides are found within the hypophysiotropic hormone-producing cells, where they are released together with the neurohormones into the portal system and modify the effect of hypothalamic hormones on the pituitary.

The biogenic amine neurotransmitters, known to play a regulating/modulating role in the hypothalamic-pituitary system, include catecholamines (dopamine, noradrenalin, and adrenalin), indolamines (serotonin, melatonin), acetylcholine, γ -aminobutyric acid (GABA), and histamine. Neuropharmacological agents can be used to alter neurotransmitter effects and, as a consequence, hypothalamic and pituitary hormone release.

Many of the peptides with potential effects on hypophysiotropic hormone release are widely distributed in hypothalamic and extrahypothalamic areas of the brain. They include, among many others, peptides common to the gastrointestinal tract, such as gastrin, cholecystokinin, and pancreatic polypeptide as well as bombesin, angiotensin II, galanin, substance P, neurotensin, enkephalins, neuropeptide Y, natriuretic peptide, the vasoactive intestinal peptide (VIP), and the peptide histidine isoleucine (PHI). The last three peptides may, through vasoconstriction and vasodilatation activities, play an important role in the control of the portal blood flow.

3. Vascular System

The releasing and inhibiting hormones are stored in nerve terminals in the median eminence, where their concentrations are 10 to 100 times as great as elsewhere in the hypothalamus. The uniquely organized capillary plexus (Halasz, 1994; Page, 1986) of the median eminence is in close proximity to nerve terminals of the hypophysiotropic neurons. In contrast to other brain regions, the blood-brain barrier in the area of the median eminence is incomplete, permitting protein and peptide hormones as well as other charged particles to move to the intercapillary spaces and the nerve terminals contained therein. These terminals respond to humoral and neuronal stimuli by secreting releasing and inhibiting factors into the portal system.

The portal capillaries coalesce into a series of vessels that descend through the pituitary stalk and form a second capillary plexus that surrounds the AL cells (Fig. 18-1).

Inferior hypophyseal arteries supply the neurohypophysis. From the primary plexus of the neural lobe (NL), blood flows not only to the systemic circulation but also to the AL and the hypothalamus. There is evidence for some degree of

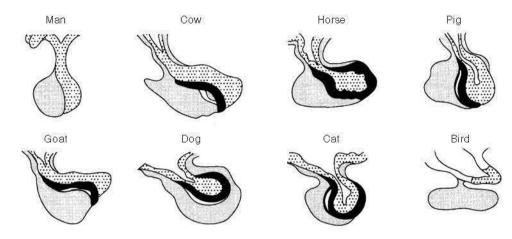


FIGURE 18-2 Schematic illustration of median sections through mammalian and avian pituitaries. Key ⊞, anterior lobe; ■, intermediate lobe; ⊞, neural lobe. Redrawn from Batten and Ingleton (1987).

circulatory flow within the pituitary, that is, from the AL to the NL, from there to the infundibulum, and then back to the AL. The primary capillary plexus of the NL appears to be well positioned in the minicirculatory system, controlling all of the afferent vascular events and many of the efferent vascular events in the pituitary (Page, 1986).

The vascularization of the intermediate lobe is closely linked to that of the neurohypophyis. In spite of the rich blood supply of the NL, the IL is a poorly vascularized structure. In horses the IL is supplied by a vast capillary or sinusoidal plexus, which connects that in the AL with that in the NL (Okada *et al.* 1997).

4. Pituitary Organogenesis and Ontogenesis

During embryogenesis the adenohypophysis develops from Rathke's pouch, which arises from the primitive roof of the mouth in contact with the base of the brain. Rathke's pouch subsequently separates by constriction from the oral cavity. The anterior wall thickens and forms the anterior lobe of the adenohypophysis. This largest portion of the adenohypophysis remains separated from the intermediate lobe by the hypophyseal cleft, which is the residual lumen of Rathke's pouch. In several species (Fig. 18-2), the adenohypophysis also extends into a pars tuberalis that forms a cuff or collar around the proximal neurohypophysis and may even envelop part of the median eminence (Batten and Ingleton, 1987; Hullinger, 1993).

In the embryonic development, the anterior lobe undergoes major cellular proliferation and differentiation (Dubois et al. 1997). Totipotent pituitary stem cells give rise to two main cell lineages, the acidophilic (mammasomatotropic, somatotropic, lactotropic) and the basophilic (corticotropic, thyrotropic, and gonadotropic) differentiated pituitary cell types. Determination of AL cell-type lineages results from a temporally regulated cascade of homeodomain transcription factors that are being dissected by genetic and molecular approaches at a rapid pace (Treier et al. 1998). Although most pituitary developmental information has been acquired from murine models, histological and pathogenetic observations

in others mammals and human subjects have corroborated these developmental mechanisms. The transcription factor Rpx (Rathke's pouch homeobox) is the earliest known specific marker for the adenohypophyseal primordium. Rathke's pouch expresses several transcription factors of the LIM homeodomain family, including Lhx3 and Lhx4 (Sheng et al. 1997). Lhx3 is one of the earliest markers for cells that are destined to form the AL and the IL and is required for Pit-1 expression (Sheng et al. 1996). The homeodomain transcription factor Ptx1 behaves as a universal pituitary regulator and activates transcription of α -GSU (the α -subunit of gonadotroph hormones) and POMC (Drouin et al. 1998; Lamonerie et al., 1996). Transcription factors Tpit (T box transcription factor) (Lamolet et al., 2001) and NeuroD1 (Lamolet et al., 2004) appear to be a prerequisite for POMC expression and determine the development of the corticomelanotrope cell lineage. TSH and gonadotropin (LH and FSH)-expressing cells share the common α-GSU expression under developmental control of GATA-2. Prop-1, the prophet of Pit-1, stimulates the Pit-1 gene. Pit-1 (or POU1F1), a POU-homeodomain transcription factor, determines the development and appropriate temporal and spatial expression of cells committed to GH, PRL, and TSH. Corticotroph cell commitment, although occurring earliest during fetal development, is independent of Pit-1-determined cell lineages. Mutations arising within these transcription factors may result in isolated or combined pituitary hormone failure syndromes. German shepherd dogs with pituitary dwarfism have a combined deficiency of GH, TSH, and PRL together with impaired release of gonadotropins, whereas ACTH secretion is intact (Kooistra et al., 2000c). The transcription factors Lhx4 (van Oost et al., 2002), Prop-1 (Lantinga-van Leeuwen et al., 2000a), Pit-1 (Lantinga-van Leeuwen et al., 2000b), and the LIF receptor gene (Hanson et al., 2006b) have been excluded as candidates for pituitary dwarfism in German shepherds and the cause remains to be elucidated.

The posterior wall of Rathke's pouch is closely apposed to the neural tissue of the NL, thereby forming the intermediate lobe (IL), which is well developed in most mammals,

Cell Type	Hormone	MW^a	Subunits	Staining	Orange G	PAS^b	Color
Somatotrope	GH	22,000		Acidophil	Ŧ	8238	Yellow
Lactotrope	PRL	22,500	=	Acidophil	*	8 -1 3	Yellow
Gonadotrope	LH FSH	30,000 32,000	α/β α/β	Basophil Basophil		4	Blue Blue
Thyrotrope	TSH	28,000	α/β	Basophil	FER.	5 1 78	Blue
Corticotrope	ACTH eta -End	4,500 3,500	=	Basophil Basophil		+	Red Red
Melanotrope	α-MSH	1,700	=	Basophil	17	1	Red

TABLE 18-1 Molecular Weights of Adenohypophyseal Hormones and Staining Properties of Adenohypophyseal Cells

but not in humans and birds (Fig. 18-2). In humans only during fetal life is a distinct IL found (Amar and Weiss, 2003), whereas there is some debate about the view that in adults "invading" cells of the posterior lobe are homologous with the IL cells of lower vertebrates (McNicol, 1986). Unlike reptiles and mammals, birds have no IL (Batten and Ingleton, 1987). Nevertheless the typical "IL hormone" MSH is present in birds, having been found in the adenohypophysis, where it coexists with ACTH in cells named corticomelanotrophs (Iturriza *et al.*, 1986).

The pituitary stalk (infundibulum) and the neurohypophysis (posterior lobe, neural lobe) develop from the basal outgrowth of the diencephalon in connection with the development of Rathke's pouch. The cells of the diencephalic outgrowth later develop into glial cells (pituicytes), whereas nerve fibers from the supraoptic and paraventricular nuclei grow into the NL.

5. Cells of the Anterior Lobe (AL)

The peptide hormones secreted by the AL can be divided into three categories: (1) the somatomammotropic hormones growth hormone (GH) and prolactin (PRL); (2) the glycoprotein hormones thyrotropin (TSH), follicle-stimulating hormone (FSH), and luteinizing hormone (LH); and (3) the corticomelanotropins, which are all derived from the precursor molecule proopiomelanocortin (POMC), and the corticomelanotropins include adrenocorticotropin (ACTH), α -melanotropin (α -MSH), β -endorphin (β -END), and β -lipotropin (β -LPH).

Identification of the adenohypophyseal cells has been developed by histochemical staining techniques, immuno-histochemical methods, and ultrastructural studies. Staining and immune reactions depend on the chemical nature of the hormones that are stored in granules within the cytoplasm. A general identification of adenohypophyseal cells

(Batten and Ingleton, 1987) is given in Table 18-1, although it must be realized that the various cell types do not always give exactly the same reaction in different animal species. Having undergone a number of modifications over the years to improve sensitivity and specificity, immunohistochemistry remains the single most often used method in the identification of normal and diseased AL cell types. Apart from staining for the full spectrum of hormones (GH, PRL, ACTH, FSH, LH, TSH, and α -subunit), special stains investigate the diseased AL cell, such as staining with MIB-1, a cell proliferation marker that recognizes the KI-67 antigen, or demonstration of the protein p53, the product of a tumor suppressor gene, as parameters for aggressive behavior of cells. Development of sophisticated tools of molecular biology during the 1990s has enabled researchers to study chromosomal defects, genetic abnormalities such as specific mutations, the presence of oncogenes or of tumor suppressor genes, cell membrane receptors, signal transductions mechanisms, and intracellular changes that affect hormone synthesis and release. Pituitary cell cultures (normal and diseased, e.g., murine AtT-20 corticotrope cell line) allow various in vitro studies to be undertaken. In situ hybridization demonstrates specific messenger RNAs (mRNAs) at the subcellular level, which allows the reliable assessment of gene expression. In situ hybridization can be used to demonstrate the mRNA of receptors, growth factors, transcription factors, genes, and other regulatory peptides. Whereas immunocytochemistry provides information regarding cell hormone content, in situ hybridization confirms the presence of ongoing hormone synthesis.

Transmission electron microscopy allows the study of AL cells at the ultrastructural level. Applications of immunohistochemical methods at the ultrastructural level have also contributed to the rapid progress in the field. Ultrastructural studies of AL cells demonstrate the presence of distinct varieties of secretory granules and typical

a Molecular weight.

b Periodic-acid-Schiff reaction.

Color obtained after costaining with PAS-Orange G and performic acid-Alcian blue.

organization of cytoplasmic organelles for peptide synthesis and release. Characteristics such as the form, size, and location of the various organelles and the size and shape of the cells and their nuclei allow identification of adenohypophyseal cells at the ultrastructural level (Batten and Ingleton, 1987; Mikami, 1986).

The distribution of the various secretory cells of the AL is not random, different hormone-secreting cells preferentially accumulate at different sites in the AL. This topological organization of the AL is well known in the human and may also be true for domestic animals. The gland comprises a central "mucoid" wedge containing thyrotropes and corticotropes and lateral wings containing somatotropes and lactotropes. The gonadotropes are distributed diffusely throughout the gland. Also, the numerical contribution of each AL cell type is not the same. In the pituitary anterior lobe of humans the distribution is corticotrope (10% to 15%), thyrotrope (5%), somatotrope (50%), lactotrope (10% to 30%), and gonadotrope (15% to 20%).

The concept of one pituitary cell making one hormone is an oversimplification. Immunoelectron microscopy has shown that one hormone can be produced by the same or different cell populations. In some instances, multiple hormones are produced by the same cell population and have even been visualized within the same secretory granule. The majority of gonadotropes and somatomammotropes is multihormonal cells that contain LH and FSH or GH and PRL, respectively. Also, other combinations such as gonadotroph hormones and ACTH or TSH and ACTH have been described.

In addition to the functionally distinctive AL cell types noted above, cells termed "stellate" or "folliculostellate" also occur within the normal pituitary. Folliculostellate cells lack secretory granules as well as hormone secretion and are characterized by processes that insinuate themselves between the AL cells. The physiological role of folliculostellate cells is uncertain. They are thought to be supportive in nature and synthesize a variety of chemical messengers, intrapituitary growth factors, and cytokines that exert local paracrine effects on cell function and proliferation (Denef, 1994).

6. Cells of the Intermediate Lobe (IL)

The predominant IL cell in mammals is the melanotrope, a cell with immunoreactivity for α -MSH, which is sparse in the AL (Halmi and Krieger, 1983). In some species, including the dog (Halmi *et al.*, 1981) and the horse (Amann *et al.*, 1987), the IL is cytologically heterogeneous. ACTH-containing cells (B cells) have been found to be dispersed among the predominant melanotropes (A cells). In the dog, the immunoreactive ACTH content of the IL even exceeds that in the AL (Halmi *et al.*, 1981). In ferrets, as in dogs and cats, the melanotropic cell was the most abundant cell type of the IL (Schoemaker *et al.*, 2004). In agreement with the previously mentioned direct neural regulation of

IL secretory activity, the presence of neural elements was demonstrated in the bovine IL (Boyd, 1987). Evidence is accumulating that the cells of the pars intermedia are also involved in the biosynthesis and release of a yet unknown prolactin-releasing factor. The blood supply of the IL is poor, and therefore the peptides released from the IL are thought to mainly act by diffusion in a paracrine manner.

7. Cells of the Neural Lobe (NL)

The NL contains axonal nerve fibers, often swollen by being packed with neurosecretory granules. These nerve fibers and the glial cells (pituicytes) have synaptoid contacts. The pituicytes play an intermediary role in the regulation of the release of vasopressin and oxytocin (Rosso *et al.*, 2004).

B. Regulation of Pituitary Functions

For the regulation of each of the five major adenohypophyseal hormone systems (ACTH, LH and FSH, TSH, GH, and PRL), there is a feedback (closed-loop) system. AL hormone and hypophysiotropic hormone secretions are suppressed by the products of target endocrine glands such as the thyroid, adrenals, and gonads. Apart from this long-loop feedback, some hormones (e.g., PRL) regulate their own secretion directly by acting on the hypothalamus (short-loop feedback). On this powerful feedback control with primarily blood-borne signals, other signals are superimposed. These may originate within the central nervous system (open loop) and can be mediated through neurotransmitters and hypophysiotropic hormones. Thus, influences are exerted that represent the environment (temperature, light-dark), stress (pain, fear), and intrinsic rhythmicity.

These regulatory factors influence peptide synthesis or release in adenohypophyseal cells, where each of the steps in hormone synthesis and ultimate secretion represents a potential control point in the regulation of circulating hormone concentrations.

1. Hupophusiotropic Hormones

The main hypothalamic neurohormones may stimulate or inhibit the release of a single hormone, or it may affect several hormone-producing cells (Fig. 18-3). The predominant influence of the hypothalamic hormones on the pituitary is stimulatory, and these peptides are known as releasing hormones (Guillemin, 2005). Interference with the integrity of the hypothalamic-pituitary connections results in decreased secretion of pituitary hormones. The exception is PRL, the secretion of which is increased when hypothalamic influence is removed.

As the complexity of the peptide structures of the hypophysiotropic hormones increases, species variation in sequence may occur. Whereas the structures of TRH, GnRH, and somatostatin (SS) (3, 10, and 14 amino acids, respectively) are identical for mammals, those of GHRH and CRH (44 and 41 amino acids) exhibit species specificity. The one nonpeptide hypophysiotropic hormone is dopamine. In addition to its major role as a neurotransmitter, dopamine is the most important inhibitor of PRL release.

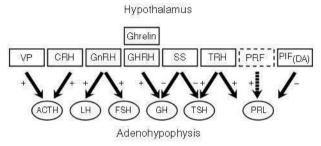


FIGURE 18-3 Hypophysiotropic regulation of the secretion of pituitary hormones. Solid lines denote hormones whose structures have been determined. Dashed lines indicate a factor whose identity is still unknown.

2. Regulation of Gene Expression

The developments in recombinant DNA technology have enabled an increased knowledge on the genes encoding pituitary hormones and the regulatory elements involved in gene transcription. In short, the main elements regulating the gene transcription will be mentioned (Fig. 18-4). Eukaryotic genes encoding peptide hormones consist of a promoter (regulatory) unit and a transcription unit encoding the primary transcript that after appropriate processing will form the messenger RNA (mRNA).

The promoter unit is the upstream part (5') of the gene. The promoter has specific DNA sequences (response elements) permitting the binding of transcription factors that enhance or inhibit gene expression by changes in the stability of the RNA polymerase-TATA box complex at the constitutive promoter. Also, with a higher distance to the gene-specific enhancer elements, or locus control regions as seen with GH expression (Ho et al., 2006), may regulate gene transcription. Tissue-specific silencers and enhancers that are mandatory for gene expression regulate the highly

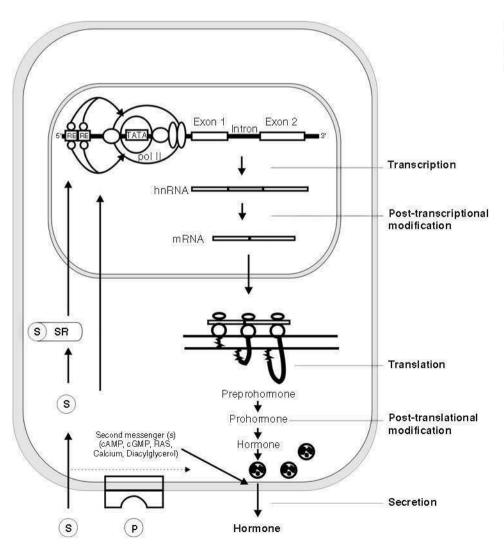


FIGURE 18-4 Schematic representation of potential control points in pituitary hormone synthesis and secretion.

specialized hormone production. Methylation patterns of the promoter may also strongly influence the overall expression level (Newell-Price, 2003).

Tissue-specific intracellular transcription factors play a crucial role in the regulation, whether a gene comes to expression. Specific binding of neurohormones from the hypothalamus to membrane receptors of individual AL cells will result in changes in intracellular second messenger concentrations or activity, a process called signal transduction. Using microarray technology important signaling pathways for pituitary hormone expression can be revealed (Ma et al., 2005). Activation of these pathways results in differences in phosphorylation of transcription factors that may bind to a response element (RE) in the promoter unit. Steroid hormones, thyroid hormone, and retinoids will, after binding to specific cytoplasmic or nuclear localized receptors, induce receptor binding to specific areas of the promoter. For example, a glucocorticoid response element (GRE) in the promoter of the gene encoding proopiomelanocortin binds the glucocorticoid-receptor complex resulting in inhibition of gene transcription (negative GRE). Transcription is thus regulated by responses to extracellular signals, which may also be derived by components of the extracellular matrix (ECM) (Paez-Pereda et al., 2005).

After transcription has been initiated, a primary transcript is made containing an RNA copy of the entire transcription unit, the heteronuclear RNA (hnRNA). After excision of the intron areas, a process called splicing, a cap formation at the 5' end and the addition of a poly(A) tail at the 3' end a mature mRNA is formed. Through alternative splicing reactions, length variants of the mRNA may ultimately result in variation of the coding sequence (see Section II.C.1), changes in mRNA stability as found for the insulin-like growth factors (IGFs), or by differences in exon coupling even completely different peptides can be obtained from a single gene as for instance in the gene encoding calcitonin.

3. Prohormone Biosynthesis, Processing, and Release

The process of peptide synthesis occurs principally on the rough endoplasmic reticulum (RER). Messenger RNA (mRNA), encoded by nuclear DNA, passes to cytosolic ribosomes, whereby sequential processing of transfer RNAs (tRNA), with their attached amino acids, the translation process of mRNA to a peptide starts (Fig. 18-4). The beginning of the growing peptide forms a specific signal peptide that facilitates the attachment of the translation complex to the RER and enables the passage into the lumen of the RER. The signal sequence of the preprohormone is cleaved, and the remaining prohormone undergoes several modifications as disulfide formation and glycosylation. The peptide passes along the RER lumen into the Golgi complex, where peptides are packaged and released into the cytoplasm as membrane-bound granules. During storage of these granules, the prohormone is further processed by specific proteolytic cleavage, C-terminal amidation, or N-terminal carboxylation. Characteristic for proteolytic cleavage sites are pairs of the basic amino acids arginine and lysine. The granules are stored until the hormone is released by exocytosis. This process involves fusion of the granule membrane with the cell membrane.

II. ANTERIOR LOBE AND INTERMEDIATE LOBE

A. Proopiomelanocortin-Derived Peptides

The corticotropic cells of the AL and the melanotropic cells of the IL are both able to synthesize proopiomelanocortin (POMC), the common prohormone for ACTH, α -MSH, β -lipotropin, and a family of β -endorphin-related peptides (Fig. 18-5).

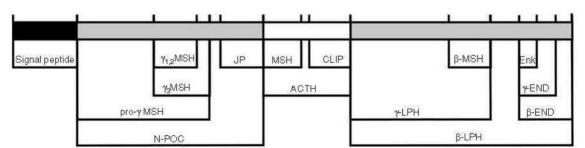
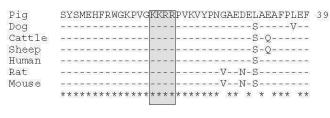


FIGURE 18-5 Schematic representation of preproopiomelanocortin (horizontal bar), with four domains: the signal peptide, which is cleaved after entrance to the lumen of the RER; the N-terminal peptide (N-POC), containing the (pro) γ -MSH sequences and the joining peptide (JP); the ACTH domain from which MSH and corticotropin-like intermediate lobe peptide (CLIP) is generated; and the β -lipotropin (β -LPH) domain, including the endorphin (END) family of peptides and a metenkephalin sequence (Enk). Pairs of basic amino acid residues are indicated with vertical lines, representing potential sites of proteolytic cleavage. In the AL major cleavage products are N-POC, ACTH, and β -LPH. In the IL the major products are N-POC, γ -MSH, α -MSH, and β -endorphin.



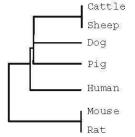


FIGURE 18-6 Comparison of the ACTH sequences from various species using the one-letter code for amino acids. Identical amino acids are given by a single line (-). The asterisk (*) means an identical sequence for all species. The shaded box indicates the two pairs of basic amino acids prone to proteolytic cleavage. The insert shows a dendrogram indicating the mutual relationship.

ACTH/α-MSH

a. Gene Expression

The gene encoding POMC contains three exon areas. Exon 1 encodes a 5' untranslated region (5'-UTR), and exon 2 encodes the signal peptide and the N-terminal part of the POMC prohormone. The majority of the prohormone, including γ -MSH, is encoded by exon 3. After the splicing process, an mRNA of approximately 1150 nucleotides is formed in the pituitary of most species, including the dog (Mol *et al.*, 1991). In many peripheral tissues, very low expression of shorter POMC mRNA sequences are found, whereas in nonpituitary tumors, an mRNA of 1350 nucleotides can be found (Newell-Price, 2003).

The presence of an unmethylated CpG island in the POMC promoter is a prerequisite for gene expression, whereas methylation completely blocks gene expression (Newell-Price, 2003). These methylation patterns are set during early development. Synergistic stimulation of gene expression requires binding of yet partially characterized transcription factors in the distal and central part of the POMC promoter. The expression of the POMC gene in corticotropes and melanotropes is regulated by the same promoter elements as shown by experiments with transgenic mice (Tremblay et al., 1988). Three important response elements act within the central promoter region. The pituitary homeobox 1 (Ptx1) protein, which is widely expressed in the pituitary, acts in synergy with the corticotrope/melanotropespecific factors NeuroD1 (Newell-Price, 2003) or Tpit (Quentien et al., 2006) to stimulate POMC gene expression.

Binding of corticotropin-releasing hormone (CRH) stimulates POMC expression in the PD through stimulation of cAMP within the cell (Jacobson and Drouin, 1994). CRH acts synergistically with the leukemia-inhibitory factor (LIF) through binding of the cAMP response element binding protein (CREB) at the response element sites for NUR77 and STAT1-3 (Mynard *et al.*, 2004). Nur77 is an orphan nuclear receptor that antagonizes the negative feedback of glucocorticoids on POMC expression (Martens *et al.*, 2005).

POMC expression in the IL melanotrope is also stimulated by cAMP. *In vitro* experiments in various species point to a possibility that CRH activates this signal transduction system. However, chronic infusion of CRH stimulates the POMC mRNA concentrations in the AL but inhibits mRNA concentration in the IL (Hollt and Haarmann, 1984). IL

POMC expression is also regulated by stimulation with serotonin and norepinephrine or GABAergic and dopaminergic inhibition (Saland, 2001). Glucocorticoids inhibit AL POMC expression but have no effect on the IL expression of POMC.

Extrapituitary POMC expression is found in many tissues (DeBold *et al.*, 1988), also in skin tissue of various species including the dog (Kidney *et al.*, 2004).

b. (Pro)hormone

POMC prohormone sequences are known for a variety of species and share a common structure (Fig. 18-5). Among species a high sequence homology is found between the N-terminal site (N-POC) of the prohormone (including γ -MSH), the ACTH region, and the β -endorphin region. In contrast, the regions between γ -MSH and ACTH and the first part of β -lipotropin are rather heterogeneous (Mol et al., 1991; Numa and Imura, 1985). Species differences in the sequence of ACTH occur only in the N-terminal part (Fig. 18-6), whereas the ACTH(1-24) sequence, necessary for full biological activity, is identical among mammals. The specificity of immunoassays to measure ACTH concentrations is achieved by directing antibodies toward the ACTH (13-18) epitope, which is absent in MSH and corticotropin-like intermediate lobe peptide (CLIP). These antibodies do, however, cross-react with intact POMC and may result in apparent elevated ACTH concentrations (Goossens et al., 1995). Two-sided assays are more sensitive to variation in the carboxy-terminal part and show insufficient cross-reactivity among the species.

The proteolytic enzymes PC1/PC3 and PC2 are involved in the processing of prohormones in neuroendocrine cells. They cleave the precursor at pairs of basic amino acids, resulting in the formation of biologically active hormones. The PC1/PC3 enzyme present in the AL cleaves POMC to ACTH and β -LPH. PC2 generates the ACTH(1-13) fragment in both the AL and IL. The combination of both enzymes is present in the melanotropes of the IL. In the hypothalamus, these enzymes determine also the availability of POMC-derived melanocortins, which play an essential role in the regulation of energy balance (Bertile and Raclot, 2006; Helwig *et al.*, 2006).

In the melanotrope α -MSH is formed by C-terminal amidation and N-terminal acetylation of ACTH(1-13). Various degrees of acetylation of MSH result in the storage

Activation of the immune system by infections results in the enhanced production of the cytokine interleukin-1 (IL-1 β), which has the ability to stimulate CRH secretion from the hypothalamus and thus activates the hypothalamus-pituitary-adrenal axis. The biosynthesis and release of IL-6 has been found in the folliculostellate cells of the AL. IL-6 also stimulates the HPA-axis, at both the hypothalamic and the pituitary level (Sweep *et al.*, 1991).

Endogenous corticosteroids inhibit ACTH release predominantly at hypothalamic sites. Synthetic steroids such as dexamethasone may act primarily at the pituitary level (de Kloet et al., 1974). In a review on corticosteroid-mediated feedback, Keller-Wood and Dallman (1984) suggested three different time schedules: a fast feedback that acts on the corticotropic cell but may not be related to nuclear receptor binding, an intermediate feedback that probably acts by inhibition of CRH release, and a slow feedback that acts by a decrease in mRNA encoding POMC in the pituitary gland. The delay in inhibiting ACTH production may be caused by the high stability of the mRNA encoding POMC and not by the absence of direct inhibition of the transcription. Dexamethasone inhibits gene transcription in vivo within 30 min in the rat (Fremeau et al., 1986). In the dog, the intermediate-delayed feedback is determined by the mean change in corticosteroid concentration over time (Keller-Wood, 1989). Acute lowering of plasma cortisol in the horse by inhibition of synthesis in the adrenal gland resulted in an increased ratio of ACTH:CRH in pituitary venous blood before CRH concentrations started to rise (Alexander et al., 1993, 1996), indicating that the first effect is the opposite of the fast feedback and is mediated by increased sensitivity of the corticotrope.

d. Secretion by the IL

The release of POMC-derived peptides by the IL is under direct neural control. The rat and the mouse have been the mammals in which most studies on the regulation and processing of POMC in the IL have been carried out thus far. In the rat, the release of POMC-derived peptides is regulated predominantly via tonic dopaminergic inhibition and β adrenergic stimulation (Berkenbosch et al., 1981; Tilders et al., 1985), although GABAergic innervation of the IL has also been demonstrated (Oertel et al., 1982). In addition, Proulx-Ferland et al., (1982) demonstrated that CRH is a potent stimulator of α -MSH secretion by the IL. In line with the absence of a glucocorticoid receptor in the IL (Antakly et al., 1985), the α -MSH response to CRH could not be suppressed by dexamethasone administration. The expression of the glucocorticoid receptor in the IL is suppressed by dopamine (Antakly et al., 1987), whereas the CRH receptor content of the rat IL is stimulated by dopamine (Shiver et al., 1992).

In the dog, *in vitro* studies (Mol *et al.*, 1987) and *in vivo* and immunohistochemical observations (Middleton *et al.*, 1987b) have revealed the IL to be resistant to glucocorticoid suppression. There is also evidence from *in vivo* studies

that dopaminergic pathways play a regulatory role in canine IL function (Kemppainen and Sartin, 1986). However, in other respects the situation in the dog is different from that in the rat with regard not only to the heterogeneous cytology (see Section I.A.5) but also to some of the regulation characteristics. Despite the fact that CRH-immunoreactive fibers have been identified in the canine neurointermediate lobe (Stolp et al., 1987) and although in vitro CRH stimulates ACTH release from the neurointermediate lobe (Mol et al., 1987), there is no convincing evidence that CRH can stimulate release of ACTH from the IL in vivo (Kemppainen and Sartin, 1986, 1987; Middleton et al., 1987a), whereas no (Kemppainen and Sartin, 1986) or a very small (Rijnberk et al., 1987) α -MSH response to CRH stimulation has been observed. Kooistra et al., (1997a) showed that α -MSH is secreted in a pulsatile manner in the dog. In contrast, with a significant increase of plasma α -MSH concentrations after administration of the dopamine antagonist haloperidol, even after pretreatment with dexamethasone to inhibit the contribution of the AL, there were small increases in the plasma concentrations of ACTH and cortisol, which suggests that the canine IL contributes to circulating ACTH concentrations (Kooistra et al., 1997a).

The cat has an actively secreting IL, which is reflected in high plasma concentrations of α -MSH and β -endorphin, POMC-derived peptides secreted predominantly by melanotropes (Peterson *et al.*, 1994). In the cat as well as in the dog, no stimulation of α -MSH occurs after CRH administration (Willemse and Mol, 1994). However, cats undergoing handling and skin testing without anesthesia show significant increases in plasma α -MSH concentrations (Willemse *et al.*, 1993). *In vitro* experiments revealed the sensitivity of feline IL MSH release to dopaminergic inhibition (Willemse and Mol, 1994).

In fetal and newborn lamb and in adult sheep (Newman et al., 1987), the administration of a dopamine-receptor antagonist results in α -MSH release. Elimination of the inhibitory hypothalamic control in sheep by hypothalamus-pituitary disconnection results in increased α -MSH release (Clarke et al., 1986). The dopamine inhibition of ACTH secretion in the hyperadrenocorticoid horse (Wilson et al., 1982) suggests that in the normal horse the IL is under dopaminergic control. In the rabbit the dopaminergic control of the IL is absent (Schimchowitsch et al., 1986).

e. Action

The predominant action of ACTH is stimulation of steroidogenesis and corticosteroid release from the adrenals (see Chapter 19 on adrenal function). ACTH also exerts a growth-stimulating effect on the adrenal cortex. Moreover, non-ACTH portions of POMC—that is, N-terminal POMC peptides—are involved in adrenocortical growth (Lowry et al., 1987). In pharmacological dosages, ACTH may promote lipolysis in fat cells and amino acid uptake in muscle. The role of intact ACTH produced in hypothalamic neurons

projecting to higher brain centers remains to be elucidated. Distribution patterns have been reported for the cat (Rao et al., 1986). The C-terminal fragment of ACTH (18-39), called corticotropin-like intermediate lobe peptide (CLIP), has been found a potent stimulator of *in vitro* adrenal DNA synthesis (Wulffraat et al., 1987).

Nowadays five different receptors for the melanocortins have been cloned with variable affinities for ACTH(1-39), α -MSH, and γ -MSH and related peptides. The MC2 receptor is unique because only ACTH(1-39) activates this receptor. The receptor is present in the adrenal cortex of many species and is also shown in adipocytes of mice. The other receptors, MC1R and MC3R, have been found to be expressed throughout the body, whereas MC4R is only found within many brain regions and MC5R only in peripheral tissues. Through these five receptors, the melanocortins play a role in many biological functions such as skin physiology, pain and nerve regeneration, behavior, obesity and energy metabolism, and inflammatory and immune processes (Getting, 2006). The melanocortin system plays a role in regulating feeding behavior and energy balance (Bertile and Raclot, 2006).

In the dog a single mutation in the MC1R gene results in a premature stop codon and is associated with the yellow coat color in Labrador retrievers (Everts *et al.*, 2000).

f. Disease

Lesions at the hypothalamic and pituitary level may result in altered synthesis and release of POMC-derived peptides. There have been no reports of the occurrence of isolated ACTH deficiency in domestic animals. There are a few reports of dogs with tumorous (supra)hypophyseal lesions, with indirect evidence for multiple adenohypophyseal and neurohypophyseal deficits (Eigenmann *et al.*, 1983b; Rijnberk, 1971), and one dog reported to have secondary hypoadrenocorticism without information about other pituitary functions (Peterson *et al.*, 1992).

In contrast to the few descriptions of ACTH deficiency, pituitary-dependent hyperadrenocorticism is a common disorder in the dog (Hanson et al., 2005; Meij et al., 2002; Peterson, 1987) and rare in the cat (Meij et al., 2001). The adenomas producing the ACTH excess may originate in the AL or IL (Peterson et al., 1986). Cats with pituitarydependent hyperadrenocorticism usually have concurrent insulin-resistant diabetes mellitus. Various pituitary neoplasm's have been described in cats such as AL and IL corticotropic adenomas (Meij et al., 2001), corticotropic and somatotropic adenoma (double adenoma) (Meij et al. 2004), and melanotropic adenoma (Meij et al., 2005). Dogs with pituitary-dependent hyperadrenocorticism had significantly lower CRH concentrations in cerebrospinal fluid compared to control dogs indicating that the excessive ACTH secretion is not caused by chronic hyperstimulation with CRH (Van Wijk et al., 1992). The ACTH secretion appeared also to be less sensitive to stimulation with CRH than with LVP (van Wijk et al., 1994). The expansion of pituitary corticotroph adenomas in dogs is correlated with insensitivity to glucocorticoid feedback (Kooistra et al., 1997b) and plasma concentrations of ACTH precursors (Bosje et al., 2002). Evidence for a genetic involvement in tumorigenesis was found in a family of Dandie Dinmont terriers (Scholten-Sloof et al., 1992). In the horse, the disease originates primarily in the IL (Heinrichs et al., 1990; Orth et al., 1982; van der Kolk et al., 2004; Wilson et al., 1982). In agreement with the characteristics described earlier for the secretion of POMC-derived peptides by the IL, ACTH release by tumors of IL origin in both the dog and the horse tends to be strongly resistant to suppression by dexamethasone (Orth et al., 1982; Peterson et al., 1986). In dogs, the highest plasma α -MSH concentrations are found in individuals with dexamethasone-resistant ACTH secretion (Meij et al., 1997b). This suggests an IL origin of the disease, although there is evidence that the pituitary lesions do not always maintain the characteristics of the lobe of origin (Rijnberk et al., 1988b). A dog with diabetes insipidus has been described, in which the pituitary tumor released primarily biologically inactive POMCderived peptides (Goossens et al., 1995). In contrast with the equine IL tumors, these tumors in dogs respond poorly to administration of dopamine agonists in terms of diminished ACTH secretion (Rijnberk et al., 1988b). A dog has been described that had dexamethasone-resistant hyperadrenocorticism and elevated ACTH secretion resulting from multiple endocrine neoplasia—that is, a pituitary corticotropic tumor, bilateral adrenocortical tumors, and pheochromocytoma (Thuroczy et al., 1998).

For details on clinical manifestations, laboratory findings, diagnostics, and treatment of these diseases, including iatrogenic hypoadrenocorticism resulting from corticosteroid therapy, refer to textbooks by Feldman and Nelson (2004) and Rijnberk (1996) and Chapter 19 on adrenocortical function in this volume.

g. Tests

Basal levels of circulating POMC-derived peptides are measured for diagnostic purposes in situations of suspected hypo- as well as hypersecretion. ACTH values below or just within the reference range (Table 18-3) may be found in cases of hypothalamus-pituitary disease, as well as in situations where endogenous or exogenous glucocorticoid excess suppresses hormone synthesis in the corticotropic cells. This makes the measurement of basal ACTH levels a useful tool in the differentiation between pituitary-dependent hyperadrenocorticism and hyperadrenocorticism resulting from adrenocortical tumor (Feldman, 1983; Peterson, 1986). In pituitary-dependent hyperadrenocorticism, ACTH values exceeding the reference range may be found, but there is considerable overlap (Meij et al., 1997a). Basal MSH concentrations have been found to be elevated in horses (Orth et al., 1982) and dogs (Meij

Hormone (Unit)	Species (n)	Sampling Time	$Mean \pm SD (Range)$	Reference
ACTH	Dog (160)	08.00-10.00 h	(2.2-19.8)	(Peterson et al., 1986)
(pmol/l)	Cat (130) Horse (10)	After overnight fast	24 ± 26 7 ± 1	(Javadi et al. ,2004) (Orth et al., 1982)
	Cattle (39)	08.00-14.00 h	0.6 ± 0.04	(Beerda et al., 2004)
	Pig (11)	Morning	2.4 ± 0.5	(Hari and Pliska, 2005)
	Sheep (male, 6)		15 ± 2	(Gardner et al., 2006)
	Ferret (21)	14.30-16.00	(11.7–24.0)	(Schoemaker et al., 2002a)
α-MSH	Dog (160)	08.00-10.00 h	(1.5 – 15)	(Peterson et al., 1986)
(pmol/l)	Cat (130)	After overnight fast	180 ± 122	(Javadi et al., 2004)
	Horse (10)		14.4 ± 1.2	(Orth et al., 1982)
	Ferret (44)	14.30-16.00	(4-108)	(Schoemaker et al., 2002a)
β-END	Dog (160)	08.00-10.00 h	(1.5-17.4)	(Peterson et al., 1986)
(pmol/l)	Horse (10)		18.3 ± 4.4	(Orth et al., 1982)

^aMost of the values have been converted to SI units, employing: Ing ACTH/liter = 0.22 pmol/l; Ing α -MSH/liter = 0.60 pmol/l; Ing β -END/liter = 0.29 pmol/l.

TABLE 18-4 Maximal Plasma Concentrations of POMC-Derived Peptides Following Intravenous Administration of a Variety of Stimulants

Hormone (Unit)	Species (n)	Substance	Dose	$Mean \pm SEM$	Reference
ACTH	Dog (8)	CRH	1 μg/kg	61 ± 9	(Meij et al., 1996a)
(pmol/l)	Dog (6)	AVP	0.6 µg/kg	50 ± 15	(Kemppainen and Sartin, 1987)
	Dog (16)	LVP	0.2 U/kg	49 ± 5	(van Wijk et al., 1994)
	Dog (19)	Haloperidol	0.06 m g/kg	62 ± 7	(Rijnberk et al., 1987)
	Dog (6)	Insulin	0.5 U/kg	85 ± 20	(Kemppainen and Sartin, 1987)
	Cat (6)	CRH	1 μg/kg	29 ± 3	(Willemse and Mol, 1994)
	Sheep (5)	CRH	1 μg/kg	125 ± 52	(Pradier et al., 1986)
	Sheep (5)	AVP	1 μg/kg	202 ± 77	(Pradier et al., 1986)
	Pig (4)	CRH	$1 \mu g/kg$	18 ± 3	(Hari and Pliska, 2005)
α-MSH	Dog (19)	Haloperidol	0.06mg/kg	40 ± 4	(Rijnberk et al., 1987)
(pmol/l)	Cat (6)	CRH.	1 μg/kg	No effect	(Willemse and Mol, 1994)
	Cat (4)	Haloperidol	2 mg/kg	125 ± 36	(Peterson et al., 1994)

et al., 1997b; Peterson et al., 1986; Rijnberk et al., 1987) with pituitary-dependent hyperadrenocorticism of IL origin. In the horse, basal plasma ACTH concentration predicts a pituitary adenoma of the IL with 100% sensitivity (van der Kolk et al., 1995).

Of the dynamic tests, the dexamethasone suppression tests (see Chapter 19 on adrenocortical function) are still the best for the diagnosis and differential diagnosis of excessive ACTH and glucocorticoid secretion. In animals suspected of having pituitary-adrenocortical insufficiency, the secretory capacity for POMC-derived peptides can be measured by provocative testing.

CRH and AVP are also used in the differentiation between pituitary-dependent hyperadrenocorticism and hyperadrenocorticism resulting from adrenocortical tumor. The chronically suppressed corticotropic cells are presumed to be unresponsive to these stimuli. However, Meijer *et al.*,

(1978) found considerable overlap in plasma cortisol value in the AVP test, whereas much less is claimed to occur in the CRH test (Peterson, 1986). It has been demonstrated that LVP stimulates cortisol release by adrenal tumors in a direct way (van Wijk *et al.*, 1994).

It has been shown that dogs with pituitary-dependent hyperadrenocorticism usually remain responsive to single CRH administration (Rijnberk *et al.*, 1987) or combined hypophysiotropic stimulation in spite of persisting hypercortisolism and neoplastic transformation of the corticotropes (Meij *et al.*, 1997a). The cells of the pituitary lesions were found to be less responsive to CRH *in vitro* than were normal corticotropes (Mol *et al.*, 1987) and less sensitive to inhibition by glucocorticoids (van Wijk *et al.*, 1998).

As far as the possibility for manipulation of canine IL function is concerned, of the substances tested only the dopamine agonist haloperidol (Kemppainen and Sartin,

1986; Rijnberk *et al.*, 1987) caused significant increases in circulating α -MSH. More detailed information pertaining to some of these tests is presented in Section IV and in Table 18-4.

2. β-Endorphin/β-Lipotropin

a. Gene Expression and Biosynthesis

Both β -lipotropin and β -endorphin are derived from the precursor protein POMC. The gene expression of POMC has been described in Section II.A.1. β -Lipotropin (β -LPH) consists of the 91 C-terminal amino acids of the POMC precursor. It is synthesized in the corticotropic cells of both the AL and IL. The C-terminal sequence (36-91) is remarkably similar in human, porcine, and ovine pituitaries, whereas the N-terminal sequence (1-36) is rather heterogeneous. β -MSH (sequence 37-58) appears to be an extraction artifact and plays no physiological role.

By proteolytic cleavage at amino acid 61, γ -LPH (β -LPH[1-61]) and a family of endorphins are formed. Through specific deletion of C-terminal amino acids and N-terminal acetylation, a variety of β -END-related peptides are formed. The three main compounds are β -END(61-91), γ -END(61-77), and α -END(61-76). The corticotropic cells of the AL synthesize approximately equal or higher concentrations of β -LPH than of β -END(1-31) in most species. The equine AL, however, contains primarily β -END(1-31) and N-acetyl- β -END(1-27) (Millington *et al.*, 1992).

The corticotropic/melanotropic cells of the IL produce relatively more β -END and related peptides. In the IL of the normal dog, the ratio β -LPH/ β -END is less than 0.1 (Krieger, 1983). In the dog, β -END(1-27) is the most abundant form in the IL (Young and Kemppainen, 1994).

b. Secretion

The stimuli that induce ACTH or α -MSH release from either the AL or IL cells cause also a release of the β -LPH-related peptides from the same cell. The cellular subset of specific proteolytic, amidating, and acetylating enzymes present in the secretory vesicles defines the ultimate composition of POMC-derived peptides that are secreted into the blood. The secretion of the IL is mainly under control of multiple neurotransmitters (Saland, 2001). In fearful dogs, only marginal increases in plasma β -END were measured after a gunshot test (Hydbring-Sandberg et~al., 2004). In horses, a critical exercise threshold exists before plasma β -END concentrations increase (Mehl et~al., 2000).

c. Action

The main action of β -LPH is to mobilize fat from adipose tissues, as demonstrated in the rabbit. Its biological function in humans and other species has not been fully elucidated. Brain tissue can break down β -LPH to form β -END-related peptides. However, it is questionable whether these pituitary

peptides are involved in brain function; in conscious sheep, hemorrhagic stress elevates β -END concentrations in plasma but not in cerebrospinal fluid (Smith *et al.*, 1986).

 β -END is an endogenous opiate with a potent morphinomimetic action. It is also produced in the hypothalamus where the entire POMC precursor is present. Brain and pituitary endorphin are probably part of separate systems. The role of β -END and other opioid peptides in the secretion of pituitary hormones has been studied with long-acting analogues, opiates, and opioid receptor antagonists (Estienne and Barb, 2005; Molina, 2006).

d. Disease/Tests

Hypersecretion of β -END is associated with the ACTH hypersecretion of IL tumors in both equine and canine pituitary-dependent hyperadrenocorticism (Orth et al., 1982; Peterson et al., 1986; Rijnberk et al., 1987). As the regulation of the secretion of β -END is similar to that of other POMC-derived peptides from the AL and IL, testing procedures can be applied as mentioned in the section on ACTH and α -MSH. Elevation of plasma β -END has been documented in horses following running and shipping (Li and Chen, 1987) and in sheep during electroimmobilization and shearing procedures (Jephcott et al., 1987). Treadmill exercise of Thoroughbred horses induces a variable response in plasma β -END concentrations (Art et al., 1994). Elevated plasma β -END concentrations are found in dogs with congestive heart failure (Himura et al., 1994) and are further elevated after naloxone treatment.

B. Glycoprotein Hormones

The gonadotropes and thyrotropes synthesize the hormones LH, FSH, and TSH, each of which consists of two different peptide chains, termed the α - and β -subunits. The amino acid sequence of the α -subunit is identical for LH, FSH, and TSH within a species, as it is for placental chorionic gonadotropin. The β -subunits have unique structures and determine the hormone specificity. Carbohydrate substituents account for 10% to 20% of the molecular weights of these hormones.

1. α-Subunit

a. Gene Expression

The gene encoding the α -glycoprotein subunit (α GSU) varies between 8 and 16.5kb among the species and contains four exons. The α -subunit comes to expression in a variety of cells such as thyrotropes, gonadotropes, and syncytiotrophoblasts. The expression in gonadotropes and thyrotropes is in part differently regulated. In the developing pituitary α GSU is one of the first hormones to be detected and regulated by the transcription factors Pitx1, Lhx3, SF-1, Otx1 (Brown and McNeilly, 1999; Cohen and Radovick,

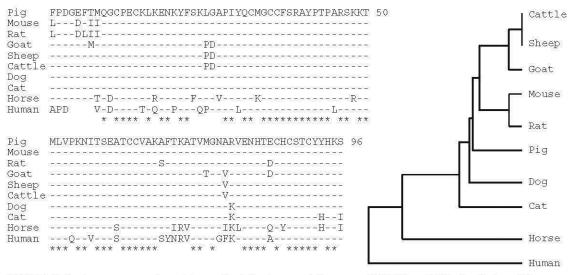


FIGURE 18-7 Sequence comparison of the α -subunit for glycoprotein hormones (LH, FSH, and TSH). See the legend for Figure 18-6.

2002; Jorgensen *et al.*, 2004), and FOXL2 (Ellsworth *et al.*, 2006). In thyrotropes, however, expression of the TSH β subunit precedes the expression of the α GSU. Apart from commonly used elements the promoter of the α GSU also contains response elements specifically used by gonadotropes, thyrotropes, or throphoblasts (Jorgensen *et al.*, 2004). Also in the horse, this tissue-specific regulation of α GSU expression is documented (Farmerie *et al.*, 1997). The canine α GSU has been coexpressed in a baculovirus expression system together with the canine TSH β gene (Yang *et al.*, 2000). The steroidogenic factor-1 (SF-1) has been shown in sheep to be essential for α GSU expression (Baratta *et al.*, 2003).

b. (Pro)hormone

Translation of the mRNA results in the formation of a precursor peptide with a molecular weight of approximately 13,500. The α -subunit has a high degree of homology among species (Fig. 18-7). The human sequence contains 92 amino acids, rather than the 96 as found in all other species studied so far. The shorter human sequence is due to a deletion of 12 nucleotides at the beginning of exon 3. After cleavage of the signal peptide, five disulfide bridges are formed. Two asparagine residues are prone to N-glycosylation, but there is also a putative O-glycosylation site (Fig. 18-7). The α -subunit is produced in excess of the β -subunit that determines the hormone specificity. The β -subunit formation is rate limiting in the formation of hetero $\alpha\beta$ dimer.

2. TSH

The thyrotropic cells of the anterior pituitary produce thyroid-stimulating hormone (TSH), which stimulates both the synthesis and secretion of thyroid hormone.

a. Gene Expression

The rat, human, and canine TSH gene consists of three exons, whereas the mouse gene has five exons. Its expression is restricted to pituitary thyrotropes. For the development of thyrotropes, and also gonadotropes, the same transcription factors are necessary as described for α GSU expressing cells. For the development of specific thyrotropes, the transcription factor Pit-1 is essential (Dasen and Rosenfeld, 2001). Expression of the TSH β gene is stimulated by the transcription factors Pit-1 and GATA2, whereas TSH β gene expression is suppressed by the active thyroid hormone T₃ (Nakano *et al.*, 2004; Shupnik, 2000). In contrast with the suppression of TSH β synthesis by T₃, which is produced within the pituitary by selective deiodination of T₄ by type 2 deiodinase (D2), TRH plays a dominant role in the stimulation of TSH synthesis (Nikrodhanond *et al.*, 2006).

The feline TSH has also been cloned and brought to expression (Rayalam *et al.*, 2006).

b. (Pro)hormone

The TSH β chain consists of 118 amino acids and forms six intrachain disulfide bonds (Fig. 18-8). There are no free cysteine residues, consistent with the fact that beta subunits form a heterodimer with the α GSU noncovalently. The TSH β subunit has an N-glycosylation site at asparagine 23 and has a molecular weight of 18,000 after appropriate glycosylation.

The α -subunit is produced in excess of the β -subunit, which determines the hormone specificity, and its formation is rate limiting in the formation of the α - β dimer.

c. Secretion

The release of TSH is mainly regulated by stimulation by the hypothalamic thyrotropin-releasing hormone (TRH) and a strong negative feedback by thyroid hormone (Pazos-Moura

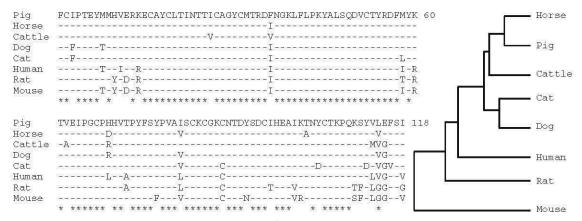


FIGURE 18-8 Sequence comparison of the β -subunit of TSH. See the legend for Figure 18-6.

et al., 2003) at the pituitary and hypothalamic level. The prohormone thyroxine (T_4) must therefore be converted locally to the active 3,3′,5-triiodothyronine (T_3) by type 2 iodothyronine deiodinase (D2) before binding to the nuclear thyroid hormone receptor of the thyrotrope (Christoffolete et al., 2006). Superimposed on this regulatory system, hypothalamic factors (somatostatin, dopamine) may inhibit TSH synthesis and release. Intrapituitary growth factors can also stimulate (EGF) or inhibit (Neuromedin B) TSH release (Pazos-Moura et al., 2003). Evidence has been presented on an ultra-short-loop feedback control by TSH through pituitary folliculostellate cells (Prummel et al., 2004).

In the dog, TRH stimulates plasma TSH concentrations. Single administration of TRH results in slightly higher plasma TSH concentrations than measured after combined stimulation with four releasing hormones (Meij et al., 1996b). In euthyroid dogs, cTSH release is relatively stable with hardly any pulses as measured during a 6-h pulse study, whereas in hypothyroid dogs, an increased basal concentration coincides with higher pulse frequencies (Kooistra et al., 2000b).

d. Action

TSH stimulates both synthesis and secretion of thyroid hormones from the thyroid gland. After receptor binding, TSH stimulates via the Gs alpha protein the production of cAMP, which acts as an intracellular second messenger. In addition, intracellular Ca²⁺ may modulate the biological effect of TSH via the phosphoinositol pathway. As a result of receptor activation T₄, and to a much lesser extent T₃, is secreted into the blood. Prolonged stimulation of the thyroid with TSH results not only in hypersecretion of thyroid hormone but also in enlargement of the thyroid gland. Genetic analysis of DNA from hyperplastic or adenomatous thyroid nodules in cats has revealed that somatic mutations in the TSH-receptor or in the Gs alpha protein are the cause of feline thyroid hyperplasia (Peeters *et al.*, 2002; Watson *et al.*, 2005). Apart from the thyroid, TSH receptors have been

found in brain and pituitary where they may have a function in feedback mechanisms, and in lymphocytes, thymus, testis, kidney, adipose tissue, and bone (Davies *et al.*, 2005).

e. Disease/Tests

Since 1995, sensitive TSH assays have become available for the dog (Williams et al., 1996). Since then numerous reports have been published on the usefulness of cTSH measurements in the diagnosis of canine hypothyroidism. Low total plasma T₄ concentrations may be caused by decreased binding to plasma TBG—such as seen after long-term glucocorticoid excess in Cushing's syndrome or interference of T₄ binding to TBG by, for instance, NSAIDs or antiepileptic drugs—and they are therefore not conclusive for the diagnosis of hypothyroidism. Unfortunately some 25% to 40% of dogs with proven hypothyroidism will have plasma cTSH concentrations within the reference range for healthy dogs (Boretti and Reusch, 2004; Peterson et al., 1997). In dogs with nonthyroidal disease also low serum concentrations of total T₄ are seen with serum TSH concentrations that remain within the reference range (Kantrowitz et al., 2001). In a recent study, quantitative measurement of thyroidal ^{99m}TcO₄ showed no overlap between dogs with primary hypothyroidism and nonthyroidal illness (Diaz Espineira et al., 2007) and may together with a thyroid biopsy be the ultimate proof of primary hypothyroidism.

Secondary hypothyroidism caused by a pituitary tumor (Rijnberk, 1971) or panhypopituitarism caused by a suprasellar tumor (Eigenmann *et al.*, 1983b) is rare. German shepherd dogs with dwarfism have a secondary hypothyroidism, which can be demonstrated by a blunted TSH response on TRH in a combined pituitary function test (Kooistra *et al.*, 2000c). For further details, refer to Chapter 20 on thyroid function.

Chemical induction of hypothyroidism in horses using the antithyroid drug PTU results in a steady increase of plasma TSH concentration and an exaggerated response to TRH administration (Breuhaus, 2002).

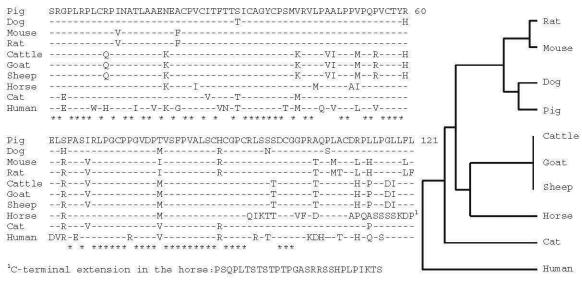


FIGURE 18-9 Sequence comparison of the β -subunit of LH. See the legend for Figure 18-6.

3. LH and FSH

Luteinizing hormone (LH), which is identical to the interstitial-cell-stimulating hormone, and follicle-stimulating hormone (FSH) are produced by the gonadotropic cells of the AL.

a. Gene Expression

In the gonadotropes α GSU appears to be the lead protein before the expression of the β -subunits, which determine the hormone specificity (Pope *et al.*, 2006). Next the β -subunits arise in the bihormonal gonadotropes. During development of the pituitary, transcription factors Pitx, Hesx1, Lhx3, SF-1, and Otx1 regulate gonadotropin subunit expression (Brown and McNeilly, 1999). Although LH and FSH are produced within the same gonadotrope, its gene expression and secretion pattern are different.

In primates, multiple copies of LH β -related genes have been identified, the chorionic gonadotropins (CG), which are expressed in the placenta for the maintenance of early pregnancy. Apart from primates, only in equids has this placental production of CG been well described (Jorgensen et al., 2004). In the horse, placental eCG β is derived from the same gene that encodes pituitary LH β (Saneyoshi et al., 2001). For pituitary LH β expression, three highly conserved response elements have been found in the promoter region that bind the early growth response protein (Egr-1), the orphan nuclear factor SF-1, and the homeodomain protein Pitx1 (Jorgensen et al., 2004; Melamed et al., 2006).

For the regulation of basal FSH β gene expression Lhx3 plays a prominent role, whereas a direct effect of SF-1 on FSH β expression is not demonstrated in the ovine pituitary gland (Baratta *et al.*, 2003).

The LH β promoter is sensitive to stimulation by GnRH via Egr-1 and inhibition by androgens. The activated androgen receptor (AR) binds SF-1 to block communication via

Pitx1 (Jorgensen et al., 2004). The AR, however, increases FSH β gene promoter activity, as also documented for the progesterone receptor (PR) and glucocorticoid receptor (GR) (Thackray et al., 2006). Estrogens activate the LH β promoter (Melamed et al., 2006). Inhibin, activin, and follistatin, originally found as hormones from the gonads but they may also be produced within the pituitary, are important regulators of LH and FSH synthesis and release. Activin stimulates FSH β gene expression and mRNA stability, whereas inhibin and follistatin inhibit FSH β gene expression and accelerate the degradation of FSH β mRNA (Gregory and Kaiser, 2004). As activin stimulates and inhibin inhibits expression of GnRH receptors, an indirect effect on LH β expression and release also can be found.

b. (Pro)hormone

After cleavage of the signal peptide, LH β proteins are formed of 121 amino acids (Fig. 18-9). Exception is the horse sequence, which shows a highly variant C-terminal part that is also some 14 to 28 amino acids longer. The β -subunit contains six intrachain disulfide bridges. In humans, LH β has one unique N-linked glycosylation at asparagine 30. In the horse, asparagine 13 is glycosylated and in addition 12 O-glycosylation sites have been found (Bousfield *et al.*, 2001). The percentage glycosylation is related to increased plasma half-life and thus bioavailability of the hormones.

The FSH β chain consists of 111 amino acids, six intrachain disulfide bridges, and two N-linked glycosylation sites at asparagine 7 and 24. The N-linked oligosaccharide chains are critical for bioactivity. Deglycosylated FSH may act as a potent FSH antagonist (Fares, 2006). The sequence of canine FSH shows the lowest homology (approximately 80%) with the sequences of other species (Fig. 18-10), which may cause limitations to the use of heterologous immunoassays for proper FSH measurements in the dog.

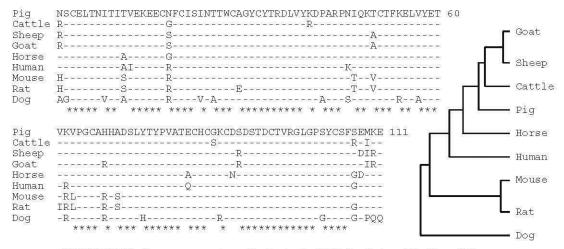


FIGURE 18-10 Sequence comparison of the β -subunit of FSH. See the legend for Figure 18-6.

c. Secretion

As with other pituitary hormones, the gonadotropins are released in a pulsatile fashion, stimulated by pulses of the hypothalamic gonadotropin-releasing hormone GnRH. The release of GnRH in seasonal breeders is tightly regulated by the length of the photoperiod and the subsequent release of melatonin by the pineal gland (Thiery et al., 2002). Pulsatile GnRH secretion has been demonstrated in sheep (Clarke and Cummins, 1982) and the horse (Irvine and Alexander, 1994). GnRH can be used for estrus induction and prevention in the dog (Gobello, 2007). In contrast to single administration of GnRH, continuous administration of GnRH agonists reversibly suppresses gonadotropin secretion because of down-regulation/desensitization of GnRH receptors. Effect of dose and duration of treatment with a GnRH-agonist resulting in a switch from stimulated LH and FSH release toward down-regulation has been reported recently for the dog (Concannon et al., 2006).

In the dog, LH and FSH pulses coincide during different phases of the estrus cycle although the longer duration of FSH pulses may be due to the difference in plasma halflife. During progression from early to late anestrus basal plasma FSH increase without a concomitant increase in basal LH concentrations (Kooistra and Okkens, 2001a; Okkens and Kooistra, 2006). During the early follicular phase, the LH secretion has frequent increases of short duration (Kooistra et al., 1999). The preovulatory FSH in female dogs may start a few hours earlier or may coincide with the LH surge, which is associated with the highest plasma estradiol- 17β concentrations and the start of increasing plasma progesterone concentrations (de Gier et al., 2006). During the mid- to late-luteal phase, basal LH concentrations in pregnant bitches were higher with lower peak frequency and height in comparison to nonpregnant bitches. Plasma FSH concentrations were higher during pregnancy (Onclin et al., 2002). Chronic administration of the synthetic progestin MPA has no effect on plasma LH concentrations, but, like in pregnancy, increased plasma FSH concentrations are found (Beijerink *et al.*, 2007).

Administration of dopamine-agonists to shorten the anestrus interval results in a rapid rise in basal FSH concentration (Okkens and Kooistra, 2006), whereas the serotonin antagonist metergoline, which lowers also plasma prolactin concentrations, does not affect the LH and FSH secretion patterns in the dog (Beijerink *et al.*, 2004).

Low plasma estrogen concentrations inhibit the GnRH and LH release through a negative feedback system, whereas high concentrations of estrogens may exert a positive feedback by stimulating GnRH release. Kiss1 neurons in the periventricular nucleus are thought to be involved in the estrogen and progesterone-induced LH surge (Smith et al., 2006). In the male, LH stimulates the synthesis and release of testosterone in the Leydig cells of the testis, which may in turn exert a negative feedback on LH secretion. This feedback may depend on aromatization of testosterone to estradiol in the brain. In the male dog, both testosterone and estradiol are the major inhibitors of LH and FSH release (Winter et al., 1982).

Finally, FSH can also be regulated by more specific stimulation through activin or by prevention of activin binding and thus inhibition of downstream signaling by inhibin and follistatin (Gregory and Kaiser, 2004; Gregory *et al.*, 2005). Other members of the TGF β family such as the bone morphogenic protein-6, BMP-7, and BMP-15 can also exert an effect on FSH secretion.

d. Action

FSH stimulates in the female ovary the folliculogenesis and ripening of the ovaries to the antral follicle stage. LH stimulates changes in the ovarian follicle resulting in ovulation and maintenance of the corpus luteum (Hunter *et al.*, 2004). LH stimulates the production of the androgen precursor androstenedione that is converted, by FSH stimulation, to estradiol-17 β and during the luteal phase

progesterone secretion. The LH effects are mediated by LHR containing granulosa cells through the release of EGF-like growth factors (Conti *et al.*, 2006).

FSH is essential for Sertoli cell proliferation and maintenance of sperm quality in the male testis. LH interacts predominantly with Leydig cells and stimulates the production of testosterone.

e. Disease/Tests

LH and the LHR are also involved in the pathogenesis of adrenal tumors in ferrets, which develop after castration-induced high plasma LH concentrations (Schoemaker *et al.*, 2002b). In the adrenal carcinomas that secrete predominantly adrenal androgens, the presence of LHR mRNA and protein has been demonstrated.

The LHR is expressed constitutively in urogenital tissues and adrenal glands in rodents, but they need functional maturation at a posttranslational level (Apaja *et al.*, 2005). Dogs have also LHR and FSHR in the lower urinary tract (Ponglowhapan *et al.*, 2006). In long-term spayed bitches, relative lower plasma LH and FSH concentrations were found in dogs with urinary incontinence in comparison with continent dogs (Reichler *et al.*, 2005).

C. Somatomammotropic Hormones

Growth hormone (GH) and prolactin (PRL) together with the placental lactogen show homology in amino acid composition and some biological activities. Therefore, they may group together as a family of somatolactotropic hormones. There is increasing evidence that these hormones evolved from a single ancestral gene (Seo, 1985; Wallis, 1984).

1. GH

The somatotropic cells, producing GH, are the most abundant cells of the anterior lobe.

a. Gene Expression

In primates, multiplication of the GH gene has resulted in a cluster of five GH-related genes. The GH-N gene is expressed in the pituitary and the other four, consisting of the chorionic somatomammotropins (so-called placental lactogens) and a variant gene (GH-V), are expressed in the placenta during pregnancy. In most nonprimates, a single gene encodes GH, but a family of PRL-like genes (also including placental lactogens) is present. In sheep and goats in some individuals, there are two GH-like genes from which one may be expressed in the placenta. In red deer, two gene sequences encoding GH were found, but this is shown to be related to allelic polymorphism (Wallis *et al.*, 2006).

The GH-producing cells arise from a lineage of TSH-, GH-, and PRL-producing pituitary cells by the action of transcription factor Pit-1 that is under the control of the prophet of Pit-1

(Prop1). The final maturation of GH-producing cells is under the control of transcription factors Pitx1, Zn-15, Lhx3, and Pit1 (Mullis, 2005). Pit1 and GH expression are already found at the 8- to 16-cell stage in bovine embryos (Joudrey *et al.*, 2003). For the activation of the pituitary GH gene, a 5'-remote locus control region in humans 14.5kb upstream of the GH-N promoter plays an important role (Ho *et al.*, 2006). This site contains also three Pit1 binding sites (Shewchuk *et al.*, 2002). The pituitary somatotroph differentiation is further stimulated by glucocorticoids and thyroid hormone (Porter, 2005).

GH mRNA expression is stimulated by GHRH and ghrelin by inducing cAMP production and subsequently Pit1 mRNA expression (Garcia et al., 2001; Theill and Karin, g993; Yan et al., 2004). Somatostatin (SS) inhibits in general GH release although in porcine somatotropes SS can also stimulate GH release depending on the dose used. It appeared that SS receptors SST1 and SST2 mediate the inhibitory effects whereas SST5 mediates a stimulatory effect of somatostatin (Luque et al., 2006). The rat GH promoter contains a positive thyroid hormone response element (TRE) and a negative TRE (nTRE) (Sanchez-Pacheco and Aranda, 2003). GH expression is stimulated by the positive TRE. In the absence of T₃ binding the unliganded thyroid hormone receptor (TR) may induce GH expression in nonpituitary cells. In severely hypothyroid dogs elevated plasma GH concentrations have been found, which may be related to this mechanism (Lee et al., 2001). Gonadal steroids modulate also GH production. 17β -Estradiol may reduce the inhibitory effect of SS on GH production, whereas testosterone treatment increases GH mRNA concentrations in adulthood (Chowen et al., 2004).

b. Extrapituitary GH Expression

In dogs, endogenous progesterone and exogenous progestins may induce considerable rises in plasma GH concentrations, resulting in acromegalic changes and insulin resistance with the possibility of development of frank diabetes mellitus (Eigenmann *et al.*, 1983a; Selman *et al.*, 1994a). GH excess has only been found to occur in intact female dogs during the progesterone-dominated phase of the sexual cycle or in dogs treated with progestins (Eigenmann and Rijnberk, 1981).

Selman et al., showed the autonomous character of progestin-induced GH secretion (Selman et al., 1991) and found the canine mammary gland to be the source of plasma GH after progestin treatment (Selman et al., 1994b). The mammary origin was confirmed by an arterial-venous gradient across the mammary gland, a rapid decrease and normalization of plasma GH concentrations after complete mammectomy (Selman et al., 1994b), and the presence of GH mRNA in the canine mammary gland as measured by RT-PCR (Mol et al., 1995b). From the 100% sequence identity it is concluded that a single gene encodes pituitary and mammary GH in the dog, in agreement with the fact that only one canine GH gene is found in the published canine genome sequence. The dog is not unique in expressing GH

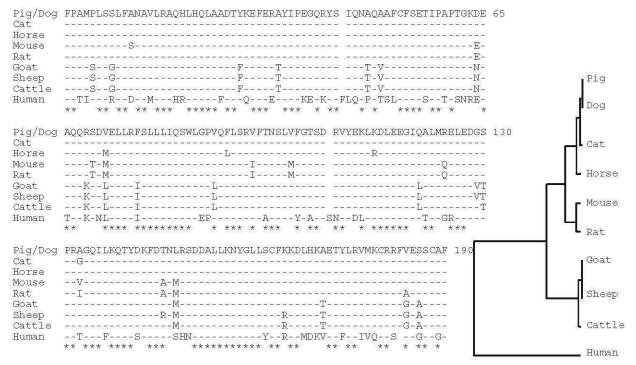


FIGURE 18-11 Sequence comparison of GH. See the legend for Figure 18-6.

in the mammary gland as it is demonstrated also in cats with progestin-induced fibroadenomatous changes of the mammary gland (Mol *et al.*, 1995b), and GH mRNA has been demonstrated in the normal and neoplastic human mammary gland as well (Mol *et al.*, 1995a; Mol *et al.*, 1996). The presence of a putative binding site for the progesterone receptor in the GH promoter of human, rat, mouse, and dog makes it likely that progestin-induced GH expression is a direct effect of activated PRs on the GH gene promoter (Lantinga-van Leeuwen *et al.*, 2002).

Apart from the mammary gland, extrapituitary GH expression seems to be widespread throughout the body. GH functions already as an early local embryonic growth, and differentiation factor, including the neural tube, before pituitary GH expression is detectable (Sanders and Harvey, 2004). Furthermore, GH expression is found in the postnatal rat lung (Beyea *et al.*, 2005), chicken testis (Harvey *et al.*, 2004) and canine lymphomas (Lantinga van Leeuwen *et al.*, 2000), insulinomas (Robben *et al.*, 2002), and growth plate or osteosarcomas (Kirpensteijn *et al.*, 2002).

c. (Pro)hormone

GH is a single-chain polypeptide. It contains two intrachain disulfide bridges and has an apparent molecular weight of 22,000. In humans, a small fraction of circulating GH has a molecular weight of 20kDa because of alternative splicing of the pituitary GH gene. The metabolic effects are comparable to the normal 22kDa form (Hayakawa *et al.*, 2004). The amino acid sequence of GH belongs to the best-known sequences of pituitary hormones among species (Fig. 18-11).

The sequences of canine and porcine GH are identical and very similar to the horse. The canine and porcine sequence is suggested to be identical to the GH sequence for the ancestral placental mammal (Forsyth and Wallis, 2002).

In cattle, a polymorphism in the GH gene exists, resulting in four GH variants that can arise from two possible N-terminal amino acids (phenylalanine or alanine) and two amino acids in position 127 (leucine or valine). The transmission of the trait of high milk production was greater for homozygous leucine-127 in Holstein cows and valine-127 in Jersey cows (Lucy *et al.*, 1993). GH exhibits also heterogeneity because of posttranscriptional processing that may vary in binding to the plasma GH binding protein (GHBP) or biological effects (De Palo *et al.*, 2006). The glycine in the third α -helix of bovine GH (G118) and human GH (G120) is crucial for biological functioning of GH. Substitution of this glycine results in a molecule without growth-promoting activity that inhibits the actions of GH *in vitro* and *in vivo* (Kopchick, 2003).

d. Secretion

The release of GH by the pituitary is regulated by a variety of factors (Fig. 18-12 and Table 18-5). The integration of all these factors results in a pulsatile release of GH. In the female dog, plasma GH pulse patterns are dependent on the estrus cycle. In the early luteal phase, elevated basal GH concentrations are associated with a low pulse frequency of 2 peaks/12h, whereas at anestrus lower basal GH concentrations are found with an enhanced pulse frequency of

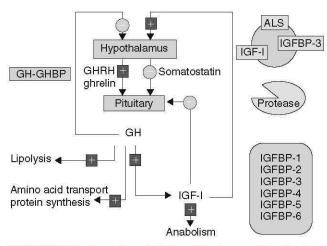


FIGURE 18-12 Regulation of pituitary GH release, peripheral actions, and feedback.

5 peaks/12h (Kooistra *et al.*, 2000a). The higher basal concentration and diminished pulsatility in early luteal phase may be caused by a direct or indirect inhibition of pituitary GH release by GH secretion from the mammary glands stimulated by progesterone.

Pituitary somatotropes are not only stimulated by the GH-releasing hormone (GHRH), which was first isolated from human pancreas islet tumors in 1982 and next found in the hypothalamus, but also by the more recently discovered GH-releasing hormone ghrelin, which was originally isolated from rat and human gut. In the pituitary, two GHRH-R isoforms exist generated by alternative splicing. The predominant short form activates somatotropes through the adenylate cyclase/cAMP/PKA pathway. The pituitary contains also two splice variants of a distinct seven-transmembrane helix, G protein coupled receptor for ghrelin, called the GH secretagogue receptor (GHS-R). Only the long type 1a isoform activates pituitary somatotropes via the phospholipase C/IP₃/PKC pathway. The expression of both receptors is down-regulated by exposure to GHRH as well as ghrelin in porcine pituitary cell cultures (Luque et al., 2004). The release of GH is generally inhibited by somatostatin (SS). In the pituitary all five SS receptors (SST1-5) are expressed. In porcine pituitary cells low SS concentrations may, however, also stimulate GH release. It has been found that the inhibitory effects are mediated by SST1 and SST2 receptors, whereas SST5 mediates the stimulatory effect of SS on GH release in vitro (Luque et al., 2006).

In young beagle dogs, 13 to 17 months of age, ghrelin was shown to a more potent GH secretagogue in comparison to GHRH. With aging, 7 to 12 year of age, the GH response to ghrelin was significantly lower, whereas only a moderate decrease in the sensitivity toward GHRH was noticed and GHRH appeared to be at higher age a more potent stimulator of GH release (Bhatti *et al.*, 2006b). In contrast to humans, in the dog ghrelin has hardly any stimulatory effect on ACTH and prolactin release (Bhatti *et al.*,

	Stimulating	Inhibiting	
Hormones	GHRH Ghrelin Glucagon Pentagastrin Enkephalin	Somatostatin (SS) IGF-I, IGF-II Corticosteroid excess	
Biogenic amines	α-Adrenergic agonists β-Adrenergic antagonists Dopamine	α -Adrenergic antagonists β -Adrenergic agonists	
Others	Hypoglycemia	Hyperglycemia Rise in free fatty acids	

2006b; van der Lely et al., 2004). Plasma concentrations of acylated ghrelin, which is the biologically active form, are higher after fasting and lower after food intake, suggesting a role in feeding control and energy homeostasis also in the dog (Bhatti et al., 2006c, 2006d). No clear relation was found of plasma GH and ghrelin in the study by Bhatti et al., in agreement with a previous study in which also no close relation was found between plasma GH and ghrelin concentrations during the juvenile period in dogs (Yokoyama et al., 2005).

Next to the direct and selective effects of the hypophysiotropic hormones on GH secretion at the pituitary level, there are indirect neuronal influences that modulate GH secretion (see also Table 18-3) (McMahon et al., 2001). In general, the main physiological stimuli of GH secretion are sleep, physical exercise, stress, fasting, catecholamines, hypoglycemia, and certain amino acids. However, this does not apply in every respect to all species. For example, GH secretion in dogs is not related to sleep or day-night cycles, although GH peaks may occur after forced wakefulness at the onset of sleep (Takahashi et al., 1981). Insulin-induced hypoglycemia and arginine administration do not consistently result in GH release in the dog (Eigenmann and Eigenmann, 1981). Of the neurotransmitter systems involved, adrenergic systems seem to play a major role. α -Adrenergic agonists promote GH secretion, whereas β -adrenergic agonists are inhibitory. Thus, clonidine, a central α_2 -adrenergic agonist, is an effective stimulator of GH secretion in the dog (Eigenmann and Eigenmann, 1981; Selman et al., 1994a). The dopaminergic D2 receptor is important for stimulating GH release, as can be concluded from the dwarfism in the D2 KO mouse (Garcia-Tornadu et al., 2006).

Plasma IGF-I exerts a negative feedback of GH release both at the hypothalamic level where it stimulates SS release and inhibits GHRH release, as well as at the pituitary level. As the majority of plasma IGF-I is bound to specific binding proteins, in plasma predominantly IGFBP3, the free rather than the protein-bound IGF-I determines these feedback regulations (Chen *et al.*, 2005). The elevated GH concentrations in fasting dogs have also been explained as the result of impaired feedback inhibition caused by low IGF-I plasma levels (Eigenmann *et al.*, 1985).

Finally thyroid hormone, sex steroids, and glucocorticoids modulate GH synthesis and release. In contrast to humans and rats where low plasma thyroid hormone concentrations result in attenuated GH secretion, hypothyroidism in the dog is associated with enhanced basal plasma GH concentrations and reduced pulsatility (Lee et al., 2001). In dogs with pituitary-dependent hyperadrenocorticism, no differences exist in the basal plasma GH secretion, but less GH is secreted in pulses in comparison to control dogs (Hanson et al., 2006a; Lee et al., 2003). In dogs, endogenous and exogenous progestins may induce considerable rises in plasma growth hormone concentrations, resulting in acromegalic changes and insulin resistance (Rijnberk et al., 2003; Selman et al., 1994a; Selman et al., 1994b).

e. Action

The effects of GH can be divided into two main categories: rapid or metabolic actions and slow or hypertrophic actions. The (acute) metabolic responses are due to direct interaction of growth hormone with the target cell, whereas the slow hypertrophic effects or those on cartilage, bone, and other tissues are indirect. The direct effects of GH result in differentiation of prechondrocytes, enhanced lipolysis in adipose tissue, increased gluconeogenesis, and restricted glucose transport caused by insulin resistance (Eigenmann, 1984; Renaville et al., 2002; Veldhuis et al., 2006). The GH responsiveness of adipose tissue is less dependent on nutrition than that of the liver, which may explain the reduced adiposity in the absence of growth enhancement in GH-treated ruminants (Breier et al., 1986). In vitro GH has also a direct positive effect on the maturation of bovine oocytes (Bevers and Izadyar, 2002) and human hematolymphopoiesis (Hanley et al., 2005).

In contrast to these direct catabolic effects, the indirect actions are anabolic and mediated by the insulin-like growth factors IGF-I and IGF-II (Daughaday et al., 1987). In their chemical structure the IGFs have approximately 50% homology with insulin/proinsulin, suggesting they have evolved from a common ancestral molecule. The IGFs are bound to a family of six different high-affinity IGF-binding proteins (Firth and Baxter, 2002). The main IGFBP in plasma is IGFBP3, which forms together with an acid-labile subunit a ternary complex of 150 kDa. As a

consequence, IGFs have a long plasma half-life, which is consistent with their long-term growth promoting action. Specific proteolysis may increase free IGF concentration locally and modulates the IGF receptor signaling (Bunn and Fowlkes, 2003).

Dogs have an extreme variation of body size between breeds. It has been shown that a large body size is associated with GH excess at a young age (Favier *et al.*, 2001). In adult dogs, circulating IGF-I concentrations were found to correlate with body size in different dog breeds (Eigenmann *et al.*, 1988). By studying genetic subgroups within one breed (i.e., standard, miniature, and toy poodles), plasma IGF-I concentrations, and not GH secretory reserve, did parallel body size (Eigenmann *et al.*, 1984a).

f. Disease

Inadequate growth hormone secretion at a young age retards growth. Apart from occasional reports on dwarfism in dogs and cats, GH-deficiency dwarfism seems to occur primarily as a genetically transmitted condition in the German Shepherd (Andresen et al., 1974) and in the Carelian bear dog (Andresen and Willeberg, 1977). In the German shepherd, the GH deficiency is associated with TSH and prolactin deficiency and impaired LH and FSH release without disturbances in ACTH secretion (Kooistra et al., 2000c). This indicates that at a defect in the organogenesis of the pituitary resulting from mutation in an essential transcription factor may have occurred. So far the involvement of mutations in Pit-1 (Lantinga-van Leeuwen et al., 2000b), Prop1 (Lantinga-van Leeuwen et al., 2000a), LHX4 (van Oost et al., 2002), and the LIF receptor (Hanson et al., 2006b) has been excluded as candidate genes for pituitary dwarfism.

In adults, growth hormone deficiency causes much less impressive changes. The clinical features remain confined to the skin and coat. Affected dogs are presented with alopecia and hyperpigmentation of the skin. In contrast to dogs with congenital hyposomatotropism, these dogs may have low but detectable plasma GH concentrations, a blunted response to a clonidine or GHRH stimulation test, but plasma IGF-I concentrations within the reference range. This may be caused by a mild and fluctuating hyperadrenocorticism (Rijnberk *et al.*, 1993). In some dogs the alopecia was explained by an adrenal androgen imbalance. Among other treatments, administration of GH has been associated with hair regrowth (Frank, 2005).

Syndromes resulting from GH excess are known to occur in the dog and the cat. In both species, the GH excess gives rise to outgrowth of bone and soft tissues (acromegaly), as well as to insulin resistance with the possibility of development of frank diabetes mellitus. However, the pathogenesis of the excessive GH secretion in these species is completely different. GH-producing canine pituitary adenomas are extremely rare and only reported once (Fracassi, 2007). A physiological, reversible form of extrapituitary GH secretion is found to be stimulated during

Hormone (Unit)	Species (n)	Breed, Age	Mean \pm SEM (Range)	Reference
GH	Dog (63)	Adult	1.9 ± 0.1	(Eigenmann and Eigenmann, 1981
(μg/liter)	Dog (6)	Great Dane, 6 weeks	9.0 ± 2.1	(Favier et al., 2001)
	Dog (6)	Great Dane, 24 weeks	4.6 ± 1.1	(Favier et al., 2001)
	Dog (6)	Beagle (anestrus)	0.5 ± 0.1	(Selman et al., 1991)
	Dog (6)	Beagle (metestrus)	2.2 ± 0.4	(Selman et al., 1991)
	Cat (25)	Adult	3.2 ± 0.7	(Eigenmann et al., 1984c)
	Mare (12)	Selle Français, 3–15yr	1.1 ± 0.1	(Davicco et al., 1994)
	Cattle (4)	Holstein steer	6.3 ± 1.4	(Lee et al., 2005)
	Pig (4)	5 days	9.0 ± 1.4	(Lee et al., 1993)
Sh	Pig (4)	170 days	1.5 ± 0.1	(Lee et al., 1993)
	Sheep (7)	MRHead, male adult	1.9 ± 0.4	(Viguie et al., 2004)
	Sheep (30)	East Frisian, 60 days	154 ± 16	(Altmann et al., 2006)
IGF-I	Dog (8)	Cocker spaniel, adult	36 ± 27	(Eigenmann et al., 1984b)
(μg/liter)	Dog (10)	Beagle, adult	87 ± 33	(Eigenmann et al., 1984b)
	Dog (10)	Keeshond, adult	117 ± 34	(Eigenmann et al., 1984b)
	Dog (13)	German shepherd, adult	280 ± 23	(Eigenmann et al., 1984b)
	Dog (6)	Beagle, 24 weeks	237 ± 52	(Favier et al., 2001)
	Dog (6)	Great Dane, 24 weeks	307 ± 31	(Favier et al., 2001)
	Cat (18)	2-19 year	(196-791)	(Reusch et al., 2006)
	Mare (12)	Selle Francais, 7–11yr	(154-318)	(Davicco et al., 1994)
	Cattle (4)	Holstein steer	133 ± 18	(Lee et al., 2005)
	Pig (4)	170 days	182 ± 30	(Lee et al., 1993)
	Sheep (7)	MRH, male adult	133 ± 23	(Viguie et al., 2004)
	Sheep (30)	East Frisian, 60 days	625 ± 33	(Altmann et al., 2006)
GF-II	Dog (8)	Beagle, 24 weeks	152 ± 13	(Favier et al., 2001)
μg/liter)	Dog (6)	Great Dane, 24 weeks	171 ± 13	(Favier et al., 2001)
20 NON (3)	Pig (4)	170 days	326 ± 19	(Lee et al., 1993)

pregnancy in the dog. Synthetic progestins or endogenous progesterone may induce hyperplastic ductular changes in the canine mammary gland with foci of immunoreactive GH-producing cells (Selman et al., 1994b). The MPAinduced elevated plasma GH concentrations can be effectively lowered by treatment with the progesterone receptor antagonist aglepristone (Bhatti et al., 2006a). Also canine, feline, and human mammary carcinomas may express GH mRNA within tumor tissue (Mol et al., 1995b, 1999). The GH excess is, next to the acromegalic features and insulin resistance, associated with the development of cystic endometrial hyperplasia (CEH), which does not necessarily have to originate within the mammary gland (Bhatti et al., 2007). Local production of GH has also been documented in canine insulinomas (Robben et al., 2002), in normal growth plates, and in spontaneous osteosarcoma (Kirpensteijn et al., 2002). Enhanced expression of GHR mRNA in duodenal and colonic biopsies of dogs with chronic enteropathies (Spichiger et al., 2005) and distraction-induced osteogenesis (Theyse et al., 2006) points to a role for GH in gastrointestinal and bone repair processes.

In cats, excessive amounts of GH may be secreted by a pituitary tumor resulting in acromegalic features and

insulin resistance (Hurty and Flatland, 2005). In untreated diabetic cats without concurrent hypersomatotropism, plasma IGF-I concentrations are lower in comparison to control animals, whereas in case of underlying excessive GH secretion, plasma IGF-I concentrations are elevated (Reusch *et al.*, 2006).

g. Tests

As GH secretion is pulsatile, single values are of no great diagnostic value. In healthy individuals, basal plasma GH concentrations (Table 18-6) may be very low. Hence, when GH deficiency is suspected, a stimulation test is needed. In the dog stimulation with clonidine, xylazine, GHRH, or ghrelin has proved to be reliable for this purpose, whereas in calves and lambs GHRH stimulation has been used.

Elevated GH values are not definitive proof of acromegaly, not only because of the pulsatile nature of the GH secretion but also because environmental factors may cause sharp increases. Although hypersecretion should be checked by an inhibition test, in case of progestin-induced GH secretion, a blunted stimulation of high basal GH concentrations may support the diagnosis, together with elevated plasma IGF-I concentrations (Selman *et al.*, 1991).

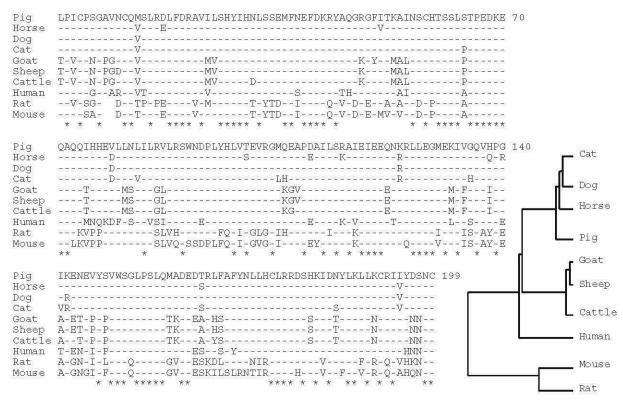


FIGURE 18-13 Sequence comparison of PRL. See the legend for Figure 18-6.

It should be kept in mind that the reference values for plasma IGF-I concentrations in the dog are breed (=body size) dependent.

2. Prolactin

a. Gene Expression

Prolactin (PRL) is encoded by a single gene in humans. In nonprimates, a family of PRL-related genes is found and only one gene encoding GH. In the rat, a PRL family of at least 24 related genes can be found including the placental lactogens, proliferans, and PRL-like proteins (PLPs) (Alam *et al.*, 2006). In the cow, an expanded PRL family also has been found; however, its members are not orthologous with members of the mouse and rat PRL family genes (Soares, 2004). Expansion of the PRL family does not exist in the dog.

The appearance of specific PRL-producing lactotropes is one of the latest events in pituitary development. It has been proposed that lactotropes arise from somatotropes or at least a common progenitor cell, the somatomammotrope (Burrows *et al.*, 1999). In chicken, however, evidence is presented that lactotropes do not differentiate from somatotropes during embryonic development (Fu *et al.*, 2004). In mouse pituitaries, mammosomatotropes represent only 5% to 6% of somatotropes (Villalobos *et al.*, 2004).

The Pit-1 transcription factor is a prerequisite factor for PRL expression, as it is for GH and TSH. In the PRL gene Pit-1 acts in synergy with an estrogen nuclear receptor (ER) at a distal enhancer site, with two ETS-domain-containing factors (Ets-1 and ERF) and the Pitx1 and Pitx2 transcription factors (Dasen and Rosenfeld, 2001). The expression and release of PRL are under tonic dopaminer-gic inhibitory control. No consensus exists on the identity of physiologically relevant PRL releasing factors. In extracts of the bovine posterior pituitary, a PRL-releasing factor was found (Hashizume *et al.*, 2005). The melanocortin peptide γ 3-MSH also stimulates PRL expression (Langouche *et al.*, 2004), whereas a variety of factors are found that stimulate PRL release, such as PRL-releasing peptide (PrRP), TRH, oxytocin, VIP, angiotensin II, PACAP, and intermedin and may also stimulate PRL gene expression.

The PRL gene, like the GH gene, has five exon areas. In the pituitary, a promoter upstream of the Cap site in exon 1b is used, outside the pituitary expression of PRL is regulated by a second promoter upstream of exon 1a initially described for PRL expression in decidua and lymphocytes (Gerlo *et al.*, 2006; Goffin *et al.*, 2002).

b. (Pro)hormone

Prolactin (PRL) is synthesized as a single polypeptide, which, after cleavage of the signal peptide, has a molecular weight of approximately 23,000 daltons and three intrachain disulfide bridges. There is a good deal of variability in the sequences of PRL from different species. The rat and mouse PRL are most distinct and differ from the other sequences at about 40% of all amino acid residues (Fig. 18-13).

By proteolytic cleavage a variety of N-terminal prolactin variants have been found. These fragments act on endothelial cells to suppress vasodilatation and angiogenesis and promote vascular regression (Clapp *et al.*, 2006). It has been suggested that these fragments should be called "vasoinhibins." In cartilage, the 16kDa N-terminal fragment is produced from full-length PRL by matrix metalloproteases. The 16kDa fragment is a potent antiangiogenic factor (Macotela *et al.*, 2006).

Prolactin can be phosphorylated at several serine and threonine residues, which may constitute as much as 80% of total pituitary PRL in cattle. The degree of glycosylation may vary from 1% to 60% among species. Finally, dimerization and polymerization may result in high-molecular weight forms that in general have reduced biological activity (Freeman *et al.*, 2000).

c. Secretion

For two reasons, PRL has a unique place among the AL hormones. First, it is the only hormone that is under tonic inhibition by the hypothalamus. After transplantation of the pituitary under the kidney capsule, PRL synthesis is remarkably enhanced. Second, PRL lacks a specific target organ that produces factors exerting negative feedback.

The main hypothalamic prolactin-inhibiting factor (PIF) is dopamine, released primarily from the tuberoinfundibular dopaminergic neurons located in the arcuate nucleus, with nerve terminals in the median eminence. Dopamine released from these neurones is transported to the pituitary lactotropes via the hypophyseal portal vessels. In addition, dopamine released from the periventricular hypophyseal and tuberohypophyseal dopaminergic neurons is released in the intermediate and neural lobes of the pituitary, respectively, and reaches the anterior pituitary by means of short portal vessels. Dopamine activates D2 receptors on lactotropes to tonically inhibit the release of PRL. In turn, PRL enters the brain through a receptor-mediated process in the choroid plexus and stimulates the activity of all three populations of dopaminergic neurons in the hypothalamus acting through PRL receptors directly on these dopamine neurons. In this manner, PRL can regulate its own release via a short-loop negative feedback (Andrews, 2005).

Apart from this short-loop negative feedback, PRL secretion from the anterior pituitary gland is controlled by neuroendocrine factors originating in the hypothalamus and the posterior pituitary gland, and paracrine factors originating in the anterior pituitary gland. At the hypothalamic level, PRL-inhibiting factors are dopamine and GABA- and PRL-releasing factors are vasoactive intestinal peptide (VIP) and TRH. At the level of the posterior pituitary gland, oxytocin and vasopressin, transported to the lactotropes through the short portal vessels, stimulate PRL release. Tachykinins, paracrine factors present in the pituitary gland and brain, can stimulate PRL release through an indirect (hypothalamus, PRF) or direct stimulatory effect

on lactotropes in the anterior pituitary, or, under some circumstances, they may inhibit PRL secretion by enhancing dopamine release from the hypothalamus (Debeljuk and Lasaga, 2006).

The suckling-, stress-, or estrogen-induced PRL surge cannot be explained by changes in dopaminergic inhibition alone. Therefore, the presence of a prolactin-releasing factor (PRF) has been suggested. Several candidates for the PRF have been proposed. One of the components of hypothalamic extracts known to have a stimulatory effect on PRL release is TRH. This was demonstrated in several mammalian species, including the pig (Bevers and Willemse, 1982). However, TRH is probably neither the sole nor the major physiological PRF. Another strong candidate for PRF is vasoactive intestinal peptide (VIP) (Shimatsu *et al.*, 1985).

The rat posterior pituitary was found to contain a potent PRF (Hyde *et al.*, 1987). Further analysis demonstrated that two compounds, oxytocin from the NL and an unidentified peptide from the IL, could function as PRF. The low potency of oxytocin made it a less likely candidate for the physiological regulation of PRL release. The PRF from the IL appeared to be a small peptide that is present in the posterior pituitary of many species. Its chemical nature remains to be determined.

Prolactin-releasing peptide (PrRP) was first isolated from bovine hypothalamus as an orphan G-protein-coupled receptor (Hinuma *et al.*, 1998). PrRP was shown to stimulate PRL secretion *in vitro* and *in vivo* (Matsumoto *et al.*, 1999). However the effect of PrRP on PRL is less than that of TRH, and the idea that PrRP was a real hypophysiotropic PRL-secreting factor has been challenged. Morphological and physiological studies indicate that PrRP may play a wide range of roles in neuroendocrinology other than PRL release, among which the most important are energy metabolism, metabolic homeostasis, and stress responses (Sun *et al.*, 2005).

Like other pituitary hormones, PRL is released in a pulsatile manner, with fluctuations during different stages of the reproductive cycle. Apart from an increase around the time of ovulation (McNeilly *et al.*, 1982), plasma PRL concentrations increase during the luteal phase of the sexual cycle in dogs and cows (Dieleman *et al.*, 1986) but not in cats (Banks *et al.*, 1983). During lactation, very high PRL concentrations have been found in the sow (Bevers *et al.*, 1978) and in the dog (Concannon *et al.*, 1978). In addition, distinct increases in PRL concentration have been found in relation to pregnancy and parturition (Taverne *et al.*, 1982).

Progesterone induces GH production from the mammary gland but also modulates the secretion of PRL in the bitch. In pregnant and overtly pseudopregnant bitches, the plasma PRL concentration starts to rise about 1 month after ovulation, which is when the plasma progesterone concentrations begin to decline. Also in healthy bitches, most PRL is released during the second half of the luteal phase. The changes in GH and PRL release during the luteal phase may promote the physiological proliferation

and differentiation of mammary gland tissue in the bitch (De Coster *et al.*, 1983; Kooistra and Okkens, 2001b, 2002; Okkens *et al.*, 1985). In goats with pseudopregnancy, characterized by hydrometra and the presence of a persistent corpus luteum, no correlation was found with the plasma PRL concentration. PRL does not play a crucial role in the etiology of pseudopregnancy in the goat (Hesselink *et al.*, 1995; Kornalijnslijper *et al.*, 1997).

The effect of the lighting regime on PRL secretion in rams is thought to be a direct effect of melatonin on the pituitary gland (Lincoln and Clarke, 1994). In dogs, PRL secretion has a circannual rhythmicity (i.e., the months with longer daylight had significantly higher PRL concentrations than the months with the shortest) (Corrada *et al.*, 2003, 2006). There are also ultradian gender-related differences (i.e., higher basal levels were found in females compared with males) (Corrada *et al.*, 2003, 2006). In male dogs, PRL secretion has been reported relatively constant (Koch *et al.*, 2006) or pulsatile in nature (Corrada *et al.*, 2003, 2006) with a distinct breed difference (i.e., serum PRL concentrations in beagles were significantly higher than in crossbreeds and German shepherds) (Corrada *et al.*, 2003, 2006).

Important modulating factors in the control of PRL secretion are estrogens, especially 17- β -estradiol. Estrogens modulate the TRH receptor levels in the pituitary (Lean *et al.*, 1977) and cause a biphasic increase in transcription of the prolactin gene (Gorski *et al.*, 1985). From experiments in rats, it is concluded that progesterone may also stimulate the release of PRL (Deis and Alonso, 1985). Estradiol rapidly induces an enhanced PRL response to TRH in dogs, without changing basal PRL levels (Rutteman *et al.*, 1987). Subsequent administration of medroxyprogesterone acetate did not further affect these findings.

Neurogenic factors also influence PRL secretion. Milking and suckling are almost immediately followed by PRL release. Removal of litters from their dams, for example, piglets from sows (Bevers *et al.*, 1978), results in a rapid decline in PRL levels in plasma. Following return of the litters, PRL concentrations rise again.

d. Action

PRL is produced mainly by the lactotrope cells of the anterior pituitary and, in mammals, its most apparent function is the regulation of lactation. In addition, PRL has been attributed an important role in reproduction, as was illustrated by the observation that PRL^{-/-} mice not only have defects in mammopoiesis but are also sterile (Horseman *et al.*, 1997). Although the bulk of PRL circulating in serum is produced by the lactotrope cells of the pituitary, PRL is also expressed extrapituitary by various tissues including uterine decidualized endometrial cells and leukocytes (Ben-Jonathan *et al.*, 1996). The most familiar role of PRL in mammals is stimulation of mammary gland growth and lactation. PRL increases mitosis of mammary gland epithelial cells not only during development but also during

pregnancy and lactation. It has been relatively difficult to demonstrate *in vitro* effects of PRL on cell proliferation in mammary glands (Friesen *et al.*, 1985). This can be explained by the increasing evidence that the growth-promoting effect of PRL has much in common with that of GH (i.e., intermediate factors comparable to IGF are required for effects on cell proliferation) (Nicoll *et al.*, 1985).

PRL has a wide variety of other physiological actions among vertebrates. It may affect water and electrolyte balance, metabolism, gonadal function, and behavior. Of these, the effect on the ovary has received much attention. PRL has a luteotrophic effect in some animals, such as rodents, sheep, and ferrets (McNeilly *et al.*, 1982), but not in the cow (Bevers and Dieleman, 1987). Bovine follicles do not bind (ovine) PRL (Bevers *et al.*, 1987).

The reciprocal relationship between PRL and LH has been well established in several species, including the sow (Bevers *et al.*, 1983) and the cow (Dieleman *et al.*, 1986). This can explain the reduced fertility during lactation that is known in many species. It has been suggested that PRL may also interfere directly at the ovarian level (McNeilly *et al.*, 1982). These effects of PRL also appear to play a role in the maintenance of the long interestrous interval in the bitch. Treatment of bitches with the dopamine agonist bromocriptine results in considerable shortening of the interestrous interval (Okkens *et al.*, 1985).

Mammalian maternal behavior in several species consists of nest building and caring for offspring. Prolactin-induced maternal behavior has been established in some animals. However, the primary role of PRL in inducing mammalian maternal behavior has recently been questioned (Scapagini *et al.*, 1985). There appears to be diversity in the hormonal basis of maternal behavior, and in some species estrogens and progesterone play a crucial role (Rosenblatt, 1984).

e. Disease

Prolactinomas are the most common hormonally active pituitary tumors in humans. There is a marked female preponderance, and prolactinoma is relatively rare in men. Mixed GH-PRL adenomas are known to occur in a substantial number of patients with acromegaly, and the concomitant production and secretion of PRL by corticotroph adenomas is not unusual (Ishibashi and Yamaji, 1985). Pituitary PRL production is under tonic inhibitory control by hypothalamic dopamine, such that in humans' pituitary macroadenomas other than prolactinomas cause pituitary stalk interruption and also produce hyperprolactinemia. There have been no well-documented reports on the occurrence of prolactinomas in animals, although in dogs with pituitary-dependent hyperadrenocorticism (PDH), plasma PRL concentrations and the PRL response to stimulation were higher than those in healthy control dogs, probably as a result of cosecretion with ACTH by the transformed corticotropic cells (Meij et al., 1997a).

Thus, there do not seem to be pathological hyperprolactinemical states in domestic animals that require treatment

TABLE 18-7	TABLE 18-7 Concentrations of PRL in Plasma of Healthy Animals		
Species (n)	Condition	Mean \pm SEM (μ g/liter)	Reference
Dog (6)	1.5 weeks of lactation	86 ± 19	(Concannon et al., 1978)
Dog (6)	8–32h prepartum	117 ± 24	(Concannon et al., 1978)
Dog (5)	Ovariectomized females	7.9-11.5	(Rutteman et al., 1987)
Dog (6)	Females, early luteal phase	2.5 ± 0.4	(Kooistra and Okkens, 2002)
Dog (6)	Females, anestrous	2.0 ± 0.1	(Kooistra and Okkens, 2002)
Dog (7)	Females, anestrous	7.0 ± 1.2^{c}	(Corrada et al., 2003)
Dog (6)	Females in estrous cycle	3.9 ± 1.6^a	(de Gier et al., 2006)
Dog (6)	Females in midluteal phase	3.2 ± 0.2^{6}	(Lee et al., 2006)
Dog (65)	Males	2.7 ± 0.2	(Corrada et al., 2006)
Dog (8)	Males	3.0 ± 0.3^{6}	(Koch et al., 2006)
Cat (8)	Early gestation	7.0 ± 0.3	(Banks et al., 1983)
Cat (8)	End of gestation	43.5 ± 4.5	(Banks et al., 1983)
Cat (8)	4 Weeks post partum	40.6 ± 7.2	(Banks et al., 1983)
Cow (5)	Luteal phase	23.3 ± 4.8	(Dieleman et al., 1986)
Cow (5)	Follicular phase	15.8 ± 2.7	(Dieleman et al., 1986)
Sow (3)	2nd Week of lactation	$9.1 \pm 1.4 - 26.1 \pm 5.0^{\circ}$	(Bevers et al., 1978; Matthews and Parrott, 1992)
Sow (3)	Piglets removed	$1.4 \pm 0.3 - 1.9 \pm 0.1^{\circ}$	(Bevers et al., 1978; Matthews and Parrott, 1992)

^aMean of 3 measurements/24h during early follicular phase, six measurements/24h during late follicular phase, and 6 measurements/24h during early luteal phase.

 68 ± 15.3

Males

Sheep (8)

with dopaminergic drugs. These drugs are nevertheless often used, the main application being in a physiological condition in the bitch called pseudopregnancy (Janssens, 1981). Administration of the dopamine agonist bromocriptine results in rapid disappearance of the signs, suggesting impending parturition. As treatment with bromocriptine lowers PRL concentrations in plasma (Okkens *et al.*, 1985; Stolp *et al.*, 1986), it is likely that the lactation and maternal behavior of the diestrual bitch is PRL dependent.

Isolated PRL deficiency has been reported in few case reports in women that became apparent because these women suffered from galactogenesis after normal pregnancies (Douchi et al., 2001). However, the involvement of a genetic defect in the observed PRL deficiency was not addressed. Isolated PRL deficiency in domestic animals has not been reported.

f. Tests

Despite the variations in amino acid sequences of PRLs from different species, plasma concentrations can be measured in heterologous assays, for example, employing labeled porcine PRL with an antibody against ovine PRL in an assay for canine PRL (Stolp *et al.*, 1986). PRL levels in the blood may vary during the reproductive cycle, but the basal levels in both male (Meij *et al.*, 1996a) and anestrous

female dogs are within similar narrow limits (Stolp *et al.* 1986). Reference values are presented in Table 18-7.

(Matthews and Parrott, 1992)

When there is a suspicion of prolactin deficiency, the secretory capacity can be tested with TRH. In healthy dogs intravenous administration of $10\,\mu\mathrm{g}$ TRH per kg body weight resulted in a mean plasma PRL peak level of $19.7\pm5.8\,\mu\mathrm{g}$ /liter at 5 min following single TRH administration and of $28.5\pm6.8\,\mu\mathrm{g}$ /liter at 5 min following combined administration of four hypothalamic-releasing hormones (Meij et al., 1996a). In cats, $75\,\mu\mathrm{g}$ TRH caused PRL elevation to seven times the basal levels (Banks et al., 1983). Prolactin concentrations can be suppressed with the dopamine agonist bromocriptine. Both $20\,\mu\mathrm{g}$ /kg intravenously (Stolp et al., 1986) and $10\,\mu\mathrm{g}$ /kg orally (Rijnberk et al., 1987) resulted in protracted decreases lasting at least 8h after administration.

III. NEUROHYPOPHYSIS

A. Vasopressin

1. Gene Expression

Although there is considerable homology between the genes for vasopressin (VP) and oxytocin (OT), each of the relevant

^b Mean of 24 measurements at 15-min intervals.

CMean of 8 measurements at 15-min intervals

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Arginine vasopressin (AVP)
Lysine vasopressin (LVP)
Arginine vasotocin (AVT)
Conopressin S (snail venom)
Mesotocin (MT)
Oxytocin (OT)
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FIGURE 18-14 Sequence comparison of vasopressin (VP) and oxytocin (OT)-like peptides. Lines between shaded boxes represent intrachain disulfide bridges. See the legend for Figure 18-6.

hypothalamic neurons produces only one hormone, either VP or OT. The VP and OT genes are located within the same chromosomal locus at a very short distance from each other in a head-to-head orientation organization (Burbach *et al.*, 2001). Regulatory domains in this intergenic region control their hypothalamus-specific expression (Fields *et al.*, 2003).

The VP gene consists of three exons that encode for different functional parts of the VP precursor peptide, the propressophysin. Exon 1 encodes the signal peptide, the nonapeptide VP, and the N-terminal part of neurophysin II (NP-II). Exon 2 encodes the central part of NP-II, and exon 3 encodes the C-terminal part of NP-II and a glycoprotein (GP). The major factors that regulate VP expression are osmotic and hypovolemic stimuli. Water deprivation stimulates hypothalamic VP mRNA most probably through two cAMP response elements (CRE) and an AP2 site within the promoter. Glucocorticoids suppress VP gene expression through a GRE in the VP promoter (Kim *et al.*, 2001).

2. (Pro)hormone

After cleavage of the signal peptide, the GP moiety of the VP prohormone is glycosylated, disulfide bonds are generated, and then the prohormone is packaged into a secretory vesicle. The correct sorting of the prohormone into the secretory pathway requires the formation of aggregates. Specific association of VP and NP-II results in dimeric and tetrameric units that are essential for sorting (Burbach *et al.*, 2001). The secretory vesicles are axonally transported to the axon endings in the posterior pituitary. Studies in the dog suggest that approximately 1.5h are required from the time of synthesis to possible release of the nonapeptide (Ivell *et al.*, 1986). During this transport the neurophysin II, VP, and the GP moiety are liberated by proteolytic cleavage. The C-terminal glycine residue of the VP-molecule is finally amidated.

In most mammals, VP contains an arginine residue at position 8 (arginine vasopressin, AVP) and a five-membered ring formed by a disulfide bridge between the cysteine residues at position 1 and 6 (Fig. 18-14). In the members of the pig family, VP contains a lysine residue at position 8. The lysine vasopressin (LVP) is the only form present in the domestic pig. Heterozygotic peccaries, warthogs, and hippopotami may possess both AVP and LVP. Substitution of the phenylalanine residue at position 3 by isoleucine leads to arginine vasotocin (AVT). This peptide

has been identified as a uniquely nonmammalian substance and is probably the most primitive and certainly the most commonly occurring neurohypophyseal peptide.

3. Secretion

The major determinant in the release of vasopressin is plasma osmolality. Below a certain plasma osmolality threshold, which may vary considerably between individuals, plasma VP concentration is suppressed to levels that allow maximal free water clearance (Wade et al., 1982). Once plasma osmolality rises to the threshold, the pituitary secretes VP. Although the concentration of plasma VP causing maximal antidiuresis is about 5 to 10 pmol/liter, the release of VP is related to plasma osmolality over a much broader range (Robertson, 1983). Biewenga et al., developed a nomogram for this relationship in the dog, which allows analysis of the osmoregulation of VP secretion in terms of sensitivity and threshold (Biewenga et al., 1987). In healthy dogs, VP is secreted in a pulsatile fashion with a wide variation in number of pulses, VP pulse duration, and VP pulse amplitude and height (van Vonderen et al., 2004b). After water deprivation, total and basal VP secretion, the number of significant VP pulses, as well as the pulse characteristics, is not different from basal values. However, during osmotic stimulation with hypertonic saline, there is a large increase in both basal and pulsatile VP secretion, and the number of VP pulses and VP pulse height and amplitude increase significantly (van Vonderen et al., 2004b).

Apart from the influence of osmolality, a significant decrease in circulating blood volume may also cause enhanced VP secretion. The decrease in left atrial pressure triggers receptors. Denervation of these receptors prevents the hypovolemia-mediated VP release. The functional properties of this regulatory system differ from those of the osmoregulatory system. Although the relationship between plasma osmolality and VP appears to be linear, the relationship between blood volume and plasma VP is best described by an exponential function (Vokes and Robertson, 1985a).

Under ordinary circumstances, changes in VP levels are not observed until blood volume decreases by 5% to 10%. With further decreases, plasma VP rises exponentially. The relative insensitivity of VP to modest changes in blood volume means that this regulatory mechanism plays little or no role in the physiological control of water balance. Under ordinary circumstances, total body water rarely changes by more than 1% to 2%, an amount far too small to affect

VP secretion through hemodynamic influences (Wang and Goetz, 1985). In dogs, 24h of fluid deprivation increases plasma VP because of changes in both extracellular volume and tonicity, but the increase in tonicity plays a greater role than the reduction in volume (Wade *et al.*, 1983).

It has been proposed that hemodynamic influences modulate VP secretion by raising or lowering the osmostat (Robertson, 1978). According to this concept, a decrease in plasma volume or pressure will permit normal osmoregulation of VP, but the threshold for release will be lowered by an amount proportional to the degree of hypovolemia or hypotension, associated with increased sensitivity of the VP response to rising plasma osmolality. Conversely, hypervolemia or hypertension increases the threshold and decreases the sensitivity of release (Quillen and Cowley, 1983).

There are numerous other factors that may influence VP secretion. Of these, nausea/vomiting (Rascher et al., 1986), insulin-induced hypoglycemia (Vokes and Robertson, 1985b), and stress (Jorgensen et al., 2002) are the most potent. The effects of opiates and opioid peptides on VP secretion have been studied for many years and have been the subject of some controversy. Both stimulatory and inhibitory effects have been reported. Apart from the substances, doses, and routes of administration used, the animal species is an important factor (Van Wimersma Greidanus, 1987). Intracerebroventricular administration of β -END in conscious rats decreases basal and stimulated VP release (ten Haaf et al., 1986). An opioid inhibition of dehydration-induced VP release has been reported in dogs (Wade, 1985). However, Hellebrekers et al., (1988) could not confirm this endogenous opioid modulation of osmolality-regulated VP release in conscious dogs subjected to hypertonic saline infusion. In contrast, sharp increases in plasma VP have been found in conscious dogs after intravenous administration of the μ -type opiate receptor agonist methadone (Hellebrekers et al., 1987).

In addition, a large number of drugs and hormones, among which are glucocorticoids (inhibitory effect), angiotensin II, and corticotropin-releasing factor (stimulatory effect), influence VP secretion (Berl and Robertson, 2000).

4. VP Action and Aquaporin-2

The biological effects of VP are mediated by three receptor subtypes: V1 receptors on blood vessels, V2 receptors on renal collecting duct epithelia, and V3 receptors (also termed V1b) responsible for the stimulation of adrenocorticotropin from the AL (Birnbaumer, 2000; Robinson and Verbalis, 2003).

The major role of VP is to regulate body fluid homeostasis by affecting water resorption. An increase in plasma VP results in increased water retention, which maintains plasma osmolality between narrow limits. The antidiuretic effect is achieved by promoting the reabsorption of solute-free water in the distal and collecting tubules of the kidney. In the

absence of VP, this portion of the nephron is not permeable to water and the hypotonic filtrate of the ascending limb of Henle's loop passes unmodified through the distal tubule and collecting duct. In this condition urine osmolality decreases to around 80 mOsm/kg. Binding of VP to its V2 receptor in collecting duct cell membranes initiates a signal transduction cascade leading to an intracellular increase in cAMP (Jard, 1983), activation of protein kinase A (Deen et al., 2000), and subsequent phosphorylation of the water channel protein, the so-called aquaporin-2. In the plasma membranes of highly water-permeable cells, aquaporins (AQPs), a family of integral membrane proteins, function as water-selective channels (Agre et al., 2002; Deen et al., 2000; Nielsen et al., 1995). Seven different renal AQPs (AQP 1-4, 6-8) have been defined thus far, correlating with well-defined segmental permeabilities in the nephron (King and Yasui, 2002). Aquaporin-2 has been characterized as the major VP-regulated water channel and is predominantly localized in the apical membrane and the intracellular vesicles of collecting duct principal cells (Nielsen et al., 1993). In the presence of these water-selective channels, water can move passively along an osmotic gradient—that is, from the distal and collecting duct tubules to the hypertonic renal medulla. After VP withdrawal, aquaporin-2 is redistributed into the cell and water permeability decreases (Nielsen et al., 1995). Urinary excretion of AQP2 closely parallels changes in VP exposure and has been proposed as a reliable marker for collecting duct responsiveness to VP in various physiological states of water homeostasis as well as disorders of water homeostasis. As in humans, urinary AQP2 excretion in dogs closely reflects changes in VP exposure, elicited by water loading, hypertonic saline infusion, and intravenous desmopressin administration and is proposed as a marker for collecting duct responsiveness to VP (van Vonderen et al., 2004c).

Besides this well-known role in the regulation of fluid homeostasis, VP exerts a large variety of effects. Among these is the vasopressor effect, which is mediated by V1 receptors (Liard, 1986). In addition, VP increases glucogenolysis by liver cells, increases ACTH release by adenohypophysis (Lowry *et al.*, 1987), and has several effects on animal behavior (de Wied and Versteeg, 1979). The extrarenal effects on hepatocytes and vascular smooth muscle are exerted through cAMP-independent, calcium-dependent V1 receptors (Jard, 1983).

There is some evidence that in birds AVT, apart from its established antidiuretic action, also has oxytocic properties and participates in normal oviposition (Shimada *et al.*, 1986, 1987). MT does not seem to function in oviposition and its release was found to be negatively correlated with plasma osmolality (Koike *et al.*, 1986).

5. Disease

Disorders of the hypothalamic-neurohypophyseal system resulting in deficiency or excess of VP are known to occur

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in domestic animals. Deficient VP release causes the syndrome of diabetes insipidus, which is characterized by insatiable polydipsia and persistent inappropriately dilute urine in the presence of strong osmotic stimuli for VP release. The disease is not uncommon in the dog and cat (Feldman and Nelson, 2004; Rijnberk, 1996) and rare in other species.

VP excess is known as the syndrome of inappropriate ADH (SIADH) secretion or the Schwartz-Bartter syndrome. The VP release is inappropriately high in relation to the plasma osmolality. The resulting defect in water excretion causes hyponatremia, which is the hallmark of SIADH. This condition has been documented as a disease of the dog and at the same time studies of the threshold and sensitivity of VP secretion revealed that it occurred in two forms (Houston *et al.*, 1989; Rijnberk *et al.*, 1988a). The polyuria can be explained by down-regulation of V2 renal receptors resulting from chronic exposure of the kidney to increased VP levels (Rijnberk, 1996; Rijnberk *et al.*, 1988a).

In dogs with primary polydipsia, described as a psychological problem, evidence indicates a primary disturbance in the regulation of VP secretion (van Vonderen et al., 1999) and a wide variation in VP responses to hypertonic stimulation can be found, including a hyperresponse, a hyporesponse, and a nonlinear response (van Vonderen et al., 2004a).

The glucocorticoid excess in Cushing's syndrome is accompanied by (mild) polyuria in the dog. A marked impairment of the osmolality-regulated VP release at the pituitary level may, in concert with a partial VP resistance at the kidney level, be the cause of the corticosteroidinduced polyuria (Biewenga et al., 1991). Chronic liver disease is accompanied by both enhanced activity of the pituitary-adrenocortical axis and disturbances in sodium and water homeostasis. Also in these cases, a profoundly impaired osmoregulation of VP release was found (Rothuizen et al., 1995). Besides hyperadrenocorticism and hepatic failure, other conditions in the dog also lead to secondary or acquired nephrogenic diabetes insipidus. Hypercalcemia (Rijnberk, 1996), pyometra (Heiene et al., 2004), and hyperaldosteronism (Rijnberk et al., 2001) that are marked by polyuria and polydipsia are associated with impaired renal response to VP, either by VP receptor resistance or down-regulation of AQP2.

In dogs with secondary polycythemia, blood hyperviscosity and increased blood volume result in impaired VP release and polyuria (van Vonderen *et al.*, 1997b). In dogs with spontaneous pericardial effusion, moderately elevated plasma VP levels declined rapidly after pericardiocentesis (Stokhof *et al.*, 1994).

6. Tests

The diagnosis of VP deficiency requires that it be differentiated from other causes of water diuresis. Following exclusion of conditions such as hyperadrenocorticism,

hyperthyroidism, and hypercalcemia, both nephrogenic diabetes insipidus and primary polydipsia remain as the main differential diagnoses. Urine-specific gravity (Usg) and urine osmolality (Uosm) are used routinely in the dog to assess renal concentrating ability. However, intra- and interindividual variations of these parameters in healthy dogs are much larger than previously thought (van Vonderen *et al.*, 1997a), which complicates the interpretation of findings in pathological conditions. Slightly elevated plasma osmolality may suggest neurogenic or nephrogenic diabetes insipidus, whereas low plasma osmolality may be observed in primary polydipsia. However, in many cases this parameter is not conclusive.

The procedure that is widely used to differentiate these disorders is the modified water-deprivation test, as introduced for the dog by Mulnix *et al.* (1976). In this test maximal urine concentration is induced by several hours of dehydration. Once a plateau in urine osmolality is reached, the effect of an injection with VP is investigated. A further increase in urine osmolality by 50% or more is regarded as diagnostic for VP deficiency.

Although these indirect criteria for VP secretion can usually differentiate between complete neurogenic and complete nephrogenic diabetes insipidus, they cannot differentiate among partial neurogenic, partial nephrogenic, and dipsogenic polyurias. In these situations, direct measurements of plasma VP during hypertonic saline infusion are required (Biewenga *et al.*, 1989). However, the significance of the VP response to hypertonic saline infusion as the gold standard for diagnosis of canine polyuria is complicated by the occurrence in healthy dogs of spontaneous VP pulses and increased VP pulses (to the magnitude of "erratic bursts") during hypertonic saline infusion (van Vonderen *et al.*, 2004b).

Hypersecretion of VP can only be diagnosed by measurements of the circulating concentrations of the hormone (Table 18-8). The basic criterion is the presence of "inappropriately" high VP concentrations in relation to the hypoosmolality of the extracellular fluid. The type of the osmoregulatory defect can best be judged by repeatedly measuring plasma osmolality and VP during administration of hypertonic saline (Rijnberk *et al.*, 1988a). Details of this test are given in Section IV.

Measurement of urinary excretion of AQP2 may become a diagnostic tool in dogs for differentiation of polyuric conditions such as (partial) central or nephrogenic diabetes insipidus, primary polydipsia, and inappropriate VP release (van Vonderen *et al.*, 2004c).

B. Oxytocin

1. Gene Expression

The oxytocin (OT) gene expression is predominantly found in the hypothalamic supraoptic nucleus and paraventricular nucleus (Burbach *et al.*, 1986). The neurons project

Hormone (Unit)	Species (n)	Condition	Mean ± SEM (Range)	Reference
VP	Dog (8)	basal	3.2 ± 0.3	(van Vonderen et al., 2004b)
(pmol/liter)	Dog (8)	hypertonic saline	15.3 ± 3.4	(van Vonderen et al., 2004b)
	Goat	basal	1.3 ± 0.2	(Thornton et al., 1986)
	Calf (16)	basal	1.3 ± 0.2^{6}	(Doris and Bell, 1984)
	Calf (16)	4 days dehydration	16.9 ± 1.9^{6}	(Doris and Bell, 1984)
ОТ	Dog (10)	1st week lactation	(15–66)	(Eriksson et al., 1987)
(pmol/liter)	Cow (334)	before milking	1.6 ± 0.6	(Schams et al., 1983)
	Sow (9)	6-12 days postpartum	<10	(Porter et al., 1992)
	Sow (9)	idem, suckling	(24.9-74.6)	(Porter et al., 1992)
	Goat	basal	4.5 ± 1.0	(Thornton et al., 1986)

mainly to the posterior pituitary, where OT is secreted for endocrine stimulation of parturition and lactation, while other neurons project to higher brain centers, where OT may be converted by brain peptidases and exert an effect as a neurotransmitter or neuromodulator (van Wimersma Greidanus et al., 1986). Together with VP, the neuropeptide OT is a critical mediator of partner preference, and plays a central role in monogamy in prairie voles (Young and Wang, 2004). Oxytocin seems to be more important in females and may regulate mother-infant bonding in sheep.

The organization of the OT gene closely resembles that of the VP gene. The exon-intron organization is similar, with the exception that exon 3 lacks a glycoprotein domain. In the cow, among 194 bases of the 3' part of exon 2, no single base is different from the corresponding one in the VP exon 2. Exon 3 shows the least homology between the VP and OT genes (Ivell and Richter, 1985).

The expression of OT mRNA is regulated by the same intergenic region that regulates VP expression (Fields et al., 2003). Estrogens greatly induce bovine OT mRNA expression although the OT promoter has response elements for estrogen receptors. The estrogenic stimulation appeared to be dependent on the nuclear orphan receptor ERR α through the MAP kinase pathway (Koohi et al.,

In bovine granulosa cells, LH has been found to stimulate OT gene transcription. Also, estrogens can stimulate bovine OT expression in heterologous transfection systems in contrast to human and rat OT promoters. Other transcription factors are related to induced (steroidogenic factor-1 [SF-1]) or inhibited (COUP-TF) OT mRNA expression (Stormshak, 2003).

2. (Pro)hormone

After translation of the mRNA, proteolytic enzymes cleave OT from neurophysin I, and a C-terminal amidation of OT occurs. This posttranslational processing is time dependent,

as for the biotransformation of the AVP prohormone. Through modification of the first three amino acids a potent OT antagonist is developed, from which newer analogues are designed with even higher OT antioxytocic properties (Flouret et al., 2006).

3. Secretion

The release of OT is most abundant during parturition and the lactation period. The main stimuli for the release of OT from the posterior pituitary therefore arise from either the nipples during milking (Lupoli et al., 2001) or suckling, or from vaginal and cervical distension. The suckling-related release of OT has been documented in the dog (Eriksson et al., 1987). The neural regulation of OT release is complex. Both dopamine and opioid peptides (enkephalin and β -endorphin) modulate OT release at the nerve terminals in the NL (Forsling, 1986). Opioid effects on posterior pituitary pituicytes may mediate this interaction. There is some evidence that OT is released in response to osmotic challenge in the rat and some carnivores but not in primates or ungulates (Thornton et al., 1987).

Plasma OT levels may also vary during the reproductive cycle. Generally, a similar secretion pattern is found among domestic animals. Plasma levels increase after the preovulatory LH surge and decrease at the time of luteal regression, in the cow, goat, and sheep (Schams, 1983). In the mare, plasma OT levels are lower in the midluteal phase than on day 2 of estrus and day 5 after ovulation (Burns et al., 1981). The latter is more closely to the pattern of OT release in humans. The high OT content of the corpus luteum of the sheep and the cow may mean that the majority of the circulating OT is derived from the ovary in these species.

Ovarian estrogen may enhance pituitary OT release, whereas progesterone inhibits it. In male animals, mating may be a stimulatory factor. Nonreproductive factors such as stress and plasma osmolality may also influence the OT release (Forsling, 1986).

^bIn μU/ml.

4. Action

OT causes contraction of the myoepithelial elements of the excretory ducts of the mammary glands, resulting in milk ejection. In addition, it exerts a constricting effect on the uterine muscle. Estrogens increase the response of the uterus to OT, whereas progesterone inhibits it. During pregnancy, the sensitivity of the uterus to OT is greatly reduced. However, during delivery and immediately thereafter, the uterus is particularly sensitive to OT. In birds, AVT is far more active in stimulating the contraction of the oviduct than is OT (Bentley, 1971).

5. Disease/Tests

To our knowledge no pituitary dysfunction concerning OT release in domestic animals has been reported. Basal plasma levels of OT are in approximately the same range as plasma VP concentrations (Table 18-8). In the human and the goat (Thornton *et al.*, 1986), OT release cannot be stimulated by hypertonic saline infusion but does increase in response to insulin-induced hypoglycemia (Nussey *et al.*, 1986). In the rat, nausea-producing chemical agents and cholecystokinin cause a dose-dependent increase in OT (Verbalis *et al.*, 1986).

IV. ASSESSMENT OF PITUITARY FUNCTION

Endocrine studies in animals with suspected hypothalamus-pituitary disease may be carried out for three reasons: (1) documentation of specific endocrine deficits; (2) insight into the type, size, and progression of the lesion; and (3) characterization of hyperfunction. Specific diagnostic tests for several of the pituitary hormones have been described in the previous sections. Here the discussion will concentrate on a more general approach in an attempt to determine the extent of involvement of AL, IL, or NL.

A. Adenohypophysis

1. Anterior Lobe

The species specificity of some of the AL hormones precludes their measurement in several animal species with the assays available. For many other hormones, however, homologous or heterologous assays are available, allowing assessment of AL function.

Although baseline hormone levels can provide much information, the pulsatile nature of AL hormone secretion often makes interpretation difficult. It is particularly difficult to distinguish between normal and low levels of these hormones. For this reason, provocative tests should be used to examine hypothalamus-pituitary adequacy. Now that hypothalamic-releasing hormones have become available and are

applicable in most species, appropriate testing of AL function is possible.

In all instances blood samples are collected at -15, 0, 10, 20, 30, and 45 min (for the short test), and 60, 90, and 120 min (for the long test) for measurement of the pituitary hormones. At time zero the test substance is injected intravenously. It may be one of the following:

- 1. $1 \mu g$ GHRH/kg, $2 \mu g$ ghrelin/kg, or $10 \mu g$ clonidine/kg to test GH and IGF-1 secretion.
- 2. $1 \mu g$ CRH/kg or $0.6 \mu g$ lysine vasopressin/kg to test ACTH (+cortisol) secretion.
- **3.** 10 μg TRH/kg to test PRL or TSH secretion (see Section II.C.2).
- **4.** $10 \,\mu g$ GnRH/kg to test FSH or LH secretion.

Because of the rapid proteolytic degradation of some pituitary hormones, blood samples should always be chilled on ice as soon as possible and stored at \leq 20°C (see also Section V.E of Chapter 19 on adrenocortical function).

Combined function tests are coming into use in humans. The anterior pituitary is stimulated with four hypothalamic-releasing hormones in a single procedure. Despite the fact that there is some interaction of the different releasing hormones, combined administration of releasing hormones seems to be a useful test for the assessment of pituitary function (Schopohl et al., 1986). Such a combined function test has been introduced for the dog. In this test, the anterior pituitary is stimulated with four hypothalamic-releasing hormones (CRH, GHRH, TRH, and GnRH) with measurements of ACTH (+cortisol), GH, PRL, TSH, and LH (Meij et al., 1996a, 1996b). Compared with the single administration of these secretagogues, there is little interference in this test, except for the LH response, which is lower in the combined test than following single GnRH administration. The test has been used successfully to document combined pituitary hormone deficiency in German shepherd dogs with dwarfism (Kooistra et al., 2000c) and to document AL function in bitches treated with medroxyprogesterone acetate (Beijerink et al., 2007).

2. Intermediate Lobe

 α -MSH is secreted specifically by the IL. Its release can be stimulated with dopamine agonists such as haloperidol in a dose of 0.2 mg/kg (Table). The times of sampling for α -MSH can be as for the tests of adenohypophyseal function (see Section IV.A), and the test has been used to document IL function before and after hypophysectomy in dogs (Meij *et al.*, 1997c).

B. Neurohypophysis

As explained in Section III.A, VP secretion can be examined indirectly by means of a modified water-deprivation

test and directly by measuring plasma VP during hypertonic saline infusion. Both procedures are described here in detail, as they have been developed for use in the dog (Biewenga *et al.*, 1987; Mulnix *et al.*, 1976). It should be noted that because of interference of plasma proteins, the radioimmunoassay for VP requires prior extraction of the peptide with ethanol and validation of the assay for the dog (Biewenga *et al.*, 1991; Hellebrekers *et al.*, 1987). Antisera against AVP may possess 100% cross-reactivity with AVT (Choy and Watkins, 1986; Hellebrekers *et al.*, 1987).

1. Modified Water-Deprivation Test

a. Indication

Differentiation between neurogenic and nephrogenic diabetes insipidus, when the administration of DDAVP (Minirin) has not resolved the diagnosis.

b. Procedure

Following 12h of fasting, water is withheld and plasma and urine are collected every hour or 2h, depending on the severity of the polyuria. Osmolality is measured in both samples. At each collection the animal is weighed. When the weight loss approaches 5% of initial body weight, the test should be stopped.

When, in the presence of an adequate osmotic stimulus ($Posm > 305\,mOsm/kg$), urine concentration is maximal (less than 5% increase in Uosm between consecutive collections), 2IU of lysine vasopressin are administered subcutaneously. Uosm is measured again 1h later.

c. Interpretation

In both nephrogenic diabetes insipidus and neurogenic diabetes insipidus, Uosm will remain low during water deprivation. In neurogenic diabetes insipidus, Uosm will rise by 50% or more following the injection of vasopressin, whereas in nephrogenic diabetes insipidus, there will be little or no rise in Uosm.

However, as mentioned earlier, in some cases the outcome may not be conclusive and erroneous results may also occur.

2. VP Measurements during Hypertonic Saline Infusion

a. Indications

Differential diagnosis of polyuric conditions and suspicion of inappropriate VP secretion.

b. Procedure

The euhydrated animal is infused for 2h via the jugular vein with 20% NaCl solution at a rate of 0.03 ml/kg body weight per minute. Samples for plasma VP and Posm are obtained at 20-minute intervals. Especially in the severely polyuric animal, there is a risk of inducing critical

hypertonicity. In these cases the samples collected at 0, 40, and 80 min should be checked for Posm immediately.

c. Interpretation

The slope of the regression line for Posm and plasma VP concentration is used as a measure of the sensitivity of the osmoregulatory system. In the nomogram developed by Biewenga et al., (1987), the 90% range for sensitivity was 0.24 to 2.47 (pg/ml)/(mOsm/kg). The 90% range for the threshold of the system was 276 to 309 mOsm/kg. After hypophysectomy in the dog, there is no VP response to hypertonic saline infusion (Meij et al., 1997c).

However, as mentioned earlier, the occurrence of spontaneous VP pulses makes it difficult to differentiate these from VP pulses (to the magnitude of "erratic bursts") during hypertonic saline infusion (van Vonderen *et al.*, 2004b).

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Adrenocortical Function

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I. ANATOMICAL CONSIDERATIONS

In mammals, the adrenal glands are bilateral structures located craniomedial to the kidneys. The adrenal is, in fact, made up of two glands of different embryological origin. The center of the gland, the medulla, comprises coalesced chromaffin cells of neuroectodermal origin that secrete epinephrine or norepinephrine. The surrounding adrenal cortex arises from mesoderm and can be divided histologically into three zones: (1) zona glomerulosa (or arcuata), (2) zona fasciculata, and (3) zona reticularis. The cells of the zona glomerulosa are arranged in ovoid groups immediately inside the connective tissue capsule. The region may be particularly obvious (e.g., sheep) or difficult to discern (e.g., small rodents). The zona fasciculata accounts for most of the adrenal cortex (>60%) and appears histologically in radial lines. The inner zona reticularis comprises networks of cell cords

surrounding the large blood sinuses. A notable feature of the ferret adrenal gland is that islands of cortical cells can be found among cells of the medulla. These cells resemble those of the inner part of the zona fasciculata (Holmes, 1961).

In birds, the adrenal glands are also separate, encapsulated organs. The glands lie cranial to the kidney, often wholly or partly covered by the gonads. The adrenocortical and chromaffin tissues are intermingled to varying degrees, depending on the species. The basic unit of avian adrenocortical tissue is a cord of a double row of parenchymal cells, with their long axes in the transverse plane of the strand. The cords radiate from the center of the gland, branch, and anastomose frequently. Groups of chromaffin cells lie between the cords and are also ensheathed within the connective tissue reticulum (Chester-Jones, 1987).

Blood flow of the adrenals is centripetal. In species with separated medulla and cortex, this results in high medullary corticoid concentrations that induce an enzyme in the chromaffin cells, converting norepinephrine to epinephrine. Therefore, species with anatomically separated medulla and cortex predominantly secrete epinephrine and species with intermingled chromaffin and adrenocortical tissue secrete predominantly norepinephrine (Marks, 1983).

II. PHYSIOLOGY OF ADRENOCORTICAL HORMONES

The secretion of the mammalian adrenal cortex comprises three main categories of hormones, which can be related to some extent to the above-described anatomical zonation. The zona glomerulosa produces mineralocorticoids (aldosterone and deoxycorticosterone), which maintain salt balance. The cells of the zona fasciculata secrete glucocorticoids (cortisol and corticosterone), which are primarily involved in carbohydrate metabolism. The third category of adrenocortical hormones, the androgens (e.g., androstenedione), is produced in the zona reticularis. This zone to a minor degree also secretes glucocorticoids and other hormones such as progesterone and estrogens.

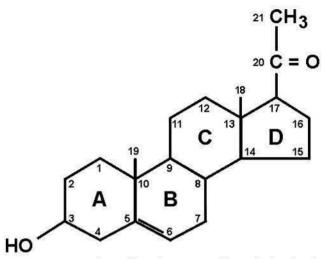


FIGURE 19-1 Numbers of the carbon atoms and letters designating the rings of the pregnenolone molecule (IUPAC-IUB, 1989).

In birds aldosterone and corticosterone are the main corticosteroids secreted. Zonation of the avian adrenal is less clear than in the mammalian adrenal. However, the outer subcapsular cells, looping in a manner similar to the zona glomerulosa, appear to be the predominant aldosterone secretors. The cells reaching toward the central part of the gland form corticosterone (Kime, 1987).

A. Steroid Nomenclature

The adrenal steroids contain as their basic structure a cyclopentanoperhydrophenanthrene nucleus consisting of three six-carbon rings (A, B, and C) and a single fivecarbon ring (D). The letter designations for the carbon rings, and the numbers of the carbon atoms are shown for pregnenolone (Fig. 19-1), a key biosynthetic intermediate. The Greek letter Δ indicates a double bond, as does the suffix -ene. The position of a substituent below or above the plane of the steroid ring is indicated by α and β , respectively. The α substituent is drawn with a broken line (e.g.--OH) and the β substituent with a solid line (e.g.-OH). The C₁₈ steroids, which are devoid of a side chain at C-17 and have a substituent at C-18, are estrogens. The C_{19} steroids, which have substituent methyl groups at positions C-18 and C-19, are androgens (see also Fig. 19-1) (IUPAC-IUB, 1989).

Steroids that have a ketone group at C-17 are termed 17-ketosteroids. The C_{21} steroids, the corticosteroids and progestagens, are those that have a two-carbon side chain (C-20 and C-21) attached at C-17 and in addition have substituent methyl groups at C-18 and C-19. The C_{21} steroids that also possess a hydroxyl group at position 17 are termed 17-hydroxy-corticosteroids and may have predominantly glucocorticoid properties.

B. Biosynthesis

Cholesterol, derived from food and from endogenous synthesis via acetate (Fig. 19-2), is the principal starting compound in steroidogenesis. The adrenal gland is enriched in receptors that internalize low- and high-density lipoproteins. This uptake mechanism increases when the adrenal is stimulated and provides the major cholesterol source. Subsequent steps occur in the mitochondrion or at the endoplasmic reticulum. Two classes of enzymes are involved in the synthesis of steroids, the cytochrome P450 (CYP) heme-containing proteins that catalyze mainly hydroxylation reactions and the hydroxysteroid dehydrogenases (HSD) that are involved in oxidation and reduction reactions (Payne and Hales, 2004). The human CYP enzymes are written with capitals, whereas in mice lowercase is used. For other species no rules are given, but we will use here the abbreviations as used for human.

The precursor cholesterol has a side chain that is cleaved by CYP11A1 resulting in formation of pregnenolone (Fig. 19-2). Mice defective in the Cyp11a1 gene produce no steroids, survive during embryogenesis, but die after birth (Hsu et al., 2006). The zonal difference in adrenocortical hormone production is due to two steroidogenic enzymes. The mitochondrial CYP11B2 (aldosterone synthase), which converts 11-deoxycorticosterone by 11β -hydroxylation and the formation of a carbon 18 aldehyde group toward aldosterone, is found only in the zona glomerulosa. The characteristic enzymes of the inner zones are the microsomal CYP17 (17 α hydroxylase/17,20 lyase) and the mitochondrial CYP11B1 (11 β -hydroxylase). CYP17 catalyzes the 17 α -hydroxylation of pregnenolone and progesterone as well as the side chain fission of 17α-hydroxy C21 steroids resulting in the formation of dehydroepiandrosterone (DHEA) or androstenedione (Fig. 19-2). CYP11B1 catalyzes the 11β -hydroxylation in the zona fasciculata and reticularis (Payne and Hales, 2004). The other steroidogenic enzymes are present in all three zones of the adrenal cortex (Müller, 1986).

In rat, mouse, guinea pig, baboon, and hamster two distinct CYP11B forms are found, in contrast with cow, pig, sheep, and frogs where the formation of glucocorticoids and mineralocorticoids is catalyzed by a single 11β -hydroxylase (CYP11B1) (Lisurek and Bernhardt, 2004).

The characteristic microsomal 21-hydroxylating (CYP21) and mitochondrial 11β -hydroxylating (CYP11B1) enzymes appear to have developed at an early stage of evolution and are present in all vertebrates. Also, the 18-oxygenated corticosteroids (CYP11B2) retain their importance as mineralocorticoids in all vertebrates. For the 17α hydroxylation (CYP17) potential, the situation is different. Most mammals secrete cortisol as the predominant glucocorticoid. However, rodents and birds secrete predominantly 17-deoxycorticosteroids such as corticosterone.

In line with this, steroid determinations in adrenal venous blood of dogs (Hirose *et al.*, 1977) have revealed cortisol/

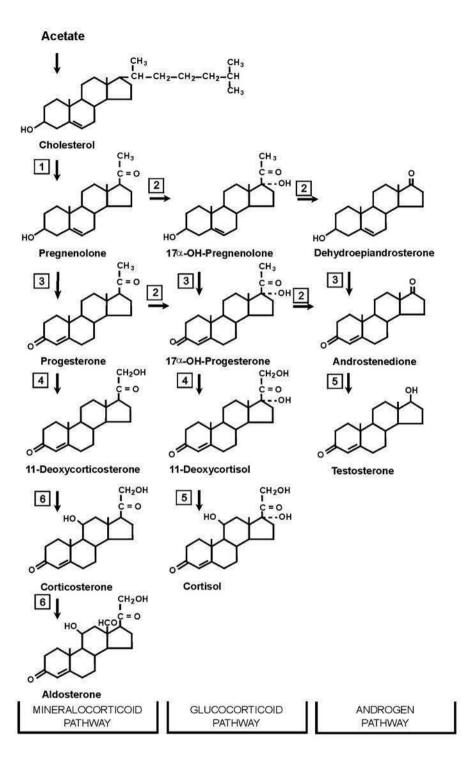


FIGURE 19-2 Biosynthetic pathways for adrenal steroid production. Numbers by arrows denote specific enzymes: 1 = CYP11A1; 2 = CYP17; $3 = 3\beta\text{-HSD}$; 4 = CYP21; 5 = CYP11B1; 6 = CYP11B2.

corticosterone ratios to range from about 3 to 7. In another study, ratios of secretion rates were found ranging from 1.2 to 2.7 and corticosterone/aldosterone secretion ratios between 7 and 25 (Dor et al., 1973). With HPLC analysis in peripheral blood of ACTH-stimulated dogs, the cortisol/corticosterone ratios ranged from 2.4 to 9.7 with a mean of 5.0 (Lothrop and Oliver, 1984). In addition to glucocorticoids and aldosterone, the adrenal vein blood of

dogs and pigs contains androstenedione, 11-hydroxyandrostenedione, androsterone, pregnenolone, progesterone, and 11-hydroxyprogesterone (Heap *et al.*, 1966; Holzbauer and Newport, 1969), as well as very small amounts of estradiol and estrone (Dor *et al.*, 1973).

In cats, the cortisol/corticosterone ratio has been estimated to range from 1.6 to 12.4, whereas in kittens the ratio is less than unity (Ilett and Lockett, 1969). In the

peripheral blood of horses, higher cortisol/corticosterone ratios (16.0:0.5 and 7.0:0.5) have been reported (James et al., 1970; Zolovick et al., 1966).

In birds, corticosterone, a glucocorticoid with minor mineralocorticoid properties, is by far the main adrenal secretory product. In the peripheral blood of adult birds, no cortisol could be demonstrated with radioimmunoassay (Walsh *et al.*, 1985; Zenoble *et al.*, 1985) or HPLC analysis (Lumeij *et al.*, 1987). In chickens, the 17α -hydrolyase activity is present in embryonic life but decreases after hatching (Carsia *et al.*, 1987; Nakamura *et al.*, 1978).

C. Regulation of Secretion

The steroidogenic activity of the two inner zones of the adrenal cortex is predominantly controlled by the pituitary hormone ACTH. The production of aldosterone in the zona glomerulosa is adapted to the sodium and potassium status of the organism by a complex, multifactorial, and mainly extrapituitary control system. For details on the secretion of ACTH by the pituitary and its regulation, refer to Chapter 18. In puppies, the feedback control of the hypothalamic-pituitary-adrenal axis is already operative at the time of birth (Muelheims et al., 1969), although up to the age of 8 weeks basal plasma cortisol concentrations are lower than in mature dogs. There is indirect evidence that this is related to low binding to transport proteins (Randolph et al., 1995).

The action of ACTH on the adrenal gland is rapid; within minutes of its release, the concentration of steroids in the adrenal venous blood increases. The most likely mechanism by which ACTH stimulates steroidogenesis is via activation of membrane-bound adenylate cyclase. This increases the level of cyclic adenosine 3',5'-monophosphate (cAMP), which activates adrenocortical protein kinases. This results in the phosphorylation of enzymes that enhance the rate of conversion of cholesterol to pregnenolone. Within the adrenal gland, a crosstalk exists with the adrenal medulla (Schinner and Bornstein, 2005). In vitro coculture of adrenocortical cells with chromaffin cells results in a 10-fold increase of steroidogenic activity (Haidan et al., 1998). Also, many intra-adrenal produced cytokines and growth factors play an important role in the regulation of steroidogenesis (Ehrhart-Bornstein et al., 1998). In adrenal tumors, a variety of ectopic and abnormal hormone receptors may stimulate adrenal corticoid release (Lacroix et al., 2001) (see also the section on adrenocortical diseases).

In the regulation of aldosterone secretion, the two most important effectors are the peptide hormone angiotensin II (ANG II) and the extracellular potassium concentrations. Regulation of aldosterone secretion by the potassium status is direct and rather simple (Fig. 19-3). The second loop, which adapts aldosterone secretion to the sodium balance, is much more complex. These two systems are regulated by negative feedback loops. In contrast, ACTH is a representative of other factors that may stimulate aldosterone release

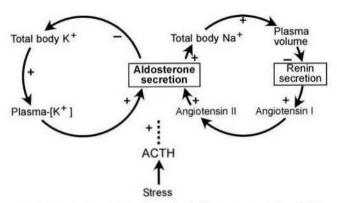


FIGURE 19-3 Physiological control of aldosterone secretion (Müller, 1986).

without a link to negative feedback (Williams, 2005). From all factors known to regulate aldosterone production *in vitro*, the stimulation is probably confined under physiological conditions to stimulation by ANG II, K⁺, and ACTH and inhibition by the atrial natriuretic hormone (ANP) (Spat and Hunyady, 2004).

Apart from these factors, other intra-adrenal factors (Ehrhart-Bornstein *et al.*, 1998) and environmental factors may regulate aldosterone production not only at the level of conversion of cholesterol to pregnenolone but also at the level of aldosterone synthase (CYP11B2) (Williams, 2005).

D. Transport

At normal concentrations, only about 10% of the total blood cortisol and corticosterone is in the free form (i.e., susceptible to ultrafiltration). At body temperature, 70% of the plasma cortisol is bound to a globulin called transcortin or corticosteroid-binding globulin (CBG). Transcortin has a high affinity for cortisol and corticosterone, but its binding capacity is limited. Another 20% of plasma cortisol is bound to albumin although its affinity for cortisol is much less than that of transcortin. In line with these percentages, in the dog the free fraction has been estimated to range from 5% to 12% (Kemppainen *et al.*, 1991; Meyer and Rothuizen, 1993).

Transcortin is ubiquitous in mammals, but plasma concentrations vary considerably, resulting in species differences in total cortisol concentration. Most domestic animals have little corticosteroid-binding activity compared to humans (Rosner, 1969). As the free rather than the protein-bound steroid is biologically active, methods have been developed to measure free cortisol. By employing the combination of ultrafiltration and equilibrium dialysis, it was demonstrated that in dogs with portosystemic encephalopathy the associated hyperadrenocorticism is not only characterized by an increased total cortisol concentration in plasma but also by an increase in the free fraction of plasma cortisol (Meyer and Rothuizen, 1994). Between various pig breeds, a two-fold difference in plasma CBG binding capacity was found and related to increased drip loss in the Meishan breed (Geverink et al., 2006).

Unbound steroids readily diffuse into the salivary glands. Because of the close relationship between free cortisol in blood and saliva (Riad-Fahmy et al., 1982), techniques have been developed for the collection of saliva from cattle (Murphy and Connell, 1970), sheep (Fell et al., 1985), and dogs (Phillips et al., 1983). Saliva cortisol concentrations correlated significantly with plasma cortisol concentrations after an insulin-induced hypoglycemia and varied from 7% to 12% of total plasma concentrations, in line with other reports of free plasma cortisol concentrations in dogs (Beerda et al., 1996). In cow's milk, about 60% of the cortisol is present in the ultrafiltrate. Following parturition, the percentage of unbound cortisol (in colostrum) decreases to 40% (Shutt and Fell, 1985) owing to the higher concentrations of CBG-like protein.

The physiological significance of protein binding probably lies in a buffering effect, which prevents rapid variations of the plasma cortisol level. Transcortin restrains the active cortisol from reaching the target organ and also protects it from rapid inactivation by the liver and excretion through the kidneys.

Plasma aldosterone is predominantly bound to albumin, which has a low affinity. The relatively low degree of protein binding of plasma aldosterone partially explains the very low plasma concentration and the short biological half-life of this hormone.

E. Metabolic Breakdown and Excretion

Only unbound cortisol and its metabolites are filterable at the glomerulus. Most of this filtered cortisol is reabsorbed, whereby a tubular maximum is only achieved at very high filtered loads of free cortisol (Boonayathap and Marotta, 1974). Less than 20% of the filtered cortisol is excreted unchanged in the urine. Nevertheless, in most mammals the kidneys account for 50% to 80% of the excretion of the metabolized steroids. The remainder is lost via the gut. To render them suitable for renal elimination, the steroids are inactivated and made more water soluble through enzymatic modifications. The liver is the major organ responsible for steroid inactivation and conjugation to form water-soluble compounds, although in the dog—contrary to humans—the kidney and the gastrointestinal tract also contribute to the metabolic clearance of cortisol (McCormick et al., 1974). In the canine, kidney cortisol glucuronide is both secreted and reabsorbed, without a tubular maximum or a plasma threshold (Boonayathap and Marotta, 1974).

Cortisol is cleared from the plasma with a half-life of 60 min or less. For pigs, the metabolic clearance rate of cortisol was calculated to be about $11.h^{-1}.kg^{-1}$ (Hennesy *et al.*, 1986). In dogs, about 60% of infused cortisol is eliminated within 24h in the urine (Rijnberk *et al.*, 1968a). The 11β -hydroxyl group of cortisol can be oxidized to the ketone, forming cortisone (Fig. 19-4). The reaction is reversible, and in general the equilibrium is shifted to favor the 11β -hydroxyl

FIGURE 19-4 Metabolism of cortisol in the dog (simplified).

group. However, because the adrenal cortex produces much more cortisol than cortisone (if any), there is substantial cortisol-to-cortisone conversion. These two steroids have similar subsequent metabolic fates.

Apart from this 11β -hydroxylation, cortisol metabolism in the dog involves the following: (1) reduction of ring A to tetrahydro derivatives, (2) reduction of the 20-keto group to a hydroxyl, and (3) conjugation with glucuronic acid to form glucuronides (Gold, 1961). In addition, unconjugated 20-hydroxycortisol/cortisone has been found in canine urine.

In total glucuronide fraction of urinary corticoids in dogs, at least steroids reduced at C-20 represent 60%. This is of prime importance when it comes to assessing adrenocortical function by measuring urinary cortisol metabolites. Measurements directed at steroids containing a 17α ,21-dihydroxy, 20-keto arrangement (i.e., the Porter-Silber reaction) will detect only a small part of the cortisol metabolites and therefore have limited value (Siegel, 1965). Instead, preferably, measurements are preformed involving reduction of the urine metabolites at C-20, followed by oxidation to 17-ketosteroids, which are then quantitated. Further details on this measurement of total 17-hydroxycorticosteroids are given in Section IVB.3.

Aldosterone is converted not only to tetrahydroaldosterone-3-glucuronide but also to aldosterone-18-glucuronide. Most of aldosterone metabolism takes place in liver and

Corticosteroid	Mineralocorticoid Activity	Glucocorticoid Activity
Cortisol	1	1
Cortisone	0.7	0.7
Corticosterone	0.2	2
11-Deoxycorticosterone	0.0	20
Aldostero n e	0.1	400
9a-Fluorocottisone	10	400
Prednisone	4	0.7
Prednisolone	4	0.7
Dexamet hasone	30	2
Triamcinoloné	3	0
6α-Methylprednisolone	5	0.5

kidney, but the intestine and spleen might also contribute to a minor degree (Balikian, 1971).

In domestic animals, the catabolism of androgens has not been studied in any detail. For the dog, it is known that little of the secreted androgens can be measured as 17-ketosteroids in urine (Rijnberk *et al.*, 1968b; Siegel, 1967). Probably most of the excretion occurs via the bile.

In the cat, glucocorticoid excretion is also largely biliary (Rivas and Borrell, 1971; Taylor, 1971). Feline liver function has several specific features, including a relatively low glucuronyl-transferase activity. Hence, formation of glucuronide conjugates is low, and the conjugates are mainly sulphates, which are mostly excreted via the biliary route. Despite this low urinary excretion of metabolites and conjugates, it was shown that renal excretion of free cortisol is sufficient for diagnostic purposes (Goossens *et al.*, 1995) (see also Section IV.B).

Apart from the use of urinary excretion to examine the glucocorticoid status, fecal glucocorticoid metabolite measures are increasingly being used, especially for zoo and wildlife animals. Interpretation of fecal glucocorticoids may be confounded by a large variety of factors that should be taken into account (Millspaugh and Washburn, 2004).

F. Steroid Receptors and Actions

1. Glucocorticoids

Of the naturally occurring glucocorticoids (cortisol, cortisone, and corticosterone), cortisol is the most potent. Several of the synthetic analogues of cortisol are more potent than cortisol itself (Table 19-1). Figure 19-5 illustrates the structural features that determine glucocorticoid potency.

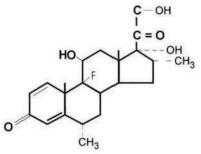


FIGURE 19-5 Structure-function relationships of corticosteroids. The bold lines and letters indicate the structure common to all glucocorticoids. Substituents that may enhance glucocorticoid activity are presented by light lines and letters.

In their target organ cells, glucocorticoids act in the same manner as other steroid hormones: diffusion through the cell membrane; binding to the cytoplasmic, glucocorticoid (GR), and mineralocorticoid (MR) receptors; translocation of the hormone receptor complex to the nucleus; and subsequent stimulation of the synthesis of specific RNAs leading to synthesis of specific enzymes. The latter include key enzymes in glucone ogenesis such as fructose-1,6-disphosphatase, glucose-6-phosphatase, and pyruvate carboxylase. In the dog, corticosteroid excess also results in induction of an isoenzyme of alkaline phosphatase. This corticosteroid-induced form of alkaline phosphatase has not yet been found in other species. The marked stability at 65°C allows easy quantitation in plasma as a diagnostic screening tool for cases of suspected hyperadrenocorticism (Teske et al., 1986, 1989).

The traditional view is that the ligand activated GR binds as a homodimer to response elements in promoter

regions of genes. However, apart from this direct interaction, the GR may also bind to other transcription factors and thus regulate gene expression of genes devoid of a classical glucocorticoid responsive element (GRE). Next osmolytes, small molecules that promote protein folding during metabolic extremes, may also contribute to protein:protein interactions at the genomic level (Kumar and Thompson, 2005). A splicing variant of the classical $GR\alpha$ is called $GR\beta$ and may act as a dominant-negative inhibitor of $GR\alpha$ (Charmandari et al., 2005). Glucocorticoids may also act in a way that does not directly and initially influence gene expression, referred to as "nongenomic." These pathways involve the production of second messengers and activation of signal transduction pathways by either the classical GR or by a membrane glucocorticoid receptor. Apart from "nongenomic" effects, glucocorticoids may also predominantly inhibit the synthesis of a variety of proteins by decreasing their mRNA stability (Dallman, 2005; Stellato, 2004).

The overall effect of glucocorticoids on metabolism is to supply glucose to the organism by the transformation of proteins. This occurs via the above-mentioned induction of gluconeogenic enzymes in the liver. Thus, glucocorticoids divert metabolism from a phase of growth and storage toward increased physical activity and energy consumption, whereas chronic excess leads to catabolic effects such as muscle wasting, skin atrophy, and osteoporosis. The tendency to hyperglycemia is opposed by increased secretion of insulin, which in turn tends to enhance fat synthesis. This along with the increased food intake owing to central appetite stimulation (Debons *et al.*, 1986) explains the (centripetal) fat deposition, manifested by abdominal enlargement.

In situations of glucocorticoid deficiency, water excretion is impaired, whereas glucocorticoid excess may result in polyuria, being most pronounced in the dog. This is in part due to antagonism of cortisol to the action of vasopressin. In addition, glucocorticoid excess causes loss of the sensitivity of the osmoregulation of vasopressin release (Biewenga *et al.*, 1991). Even physiological increases in cortisol may inhibit basal vasopressin release in dogs (Papanek and Raff, 1994).

Glucocorticoids have long been known to have effects on blood cells, including a reduction in the numbers of eosinophils and lymphocytes and an increase in the number of neutrophils and hence in the total number of leukocytes. Glucocorticoid deficiency leads to normochromic, normocytic anemia.

As far as effects on other endocrine glands are concerned, it is shown that canine hyperadrenocorticism results in reversible suppression of growth hormone secretion (Peterson and Altszuler, 1981). The frequently observed lowering of circulating thyroxine concentrations has been ascribed to changes in the thyroid hormone binding capacity of the plasma and to inhibition of lysosomal hydrolysis of colloid in the thyroid follicular cell (Kemppainen *et al.*, 1983; Woltz *et al.*, 1983). Glucocorticoids have multiple effects on peripheral transfer, distribution, and metabolism (Kaptein *et al.*,

1992), but thyroid function does not seem to be affected (Rijnberk, 1996). The glucocorticoid prednisone was found to inhibit LH secretion in male dogs, leading to reduced testosterone concentrations (Kemppainen *et al.*, 1983).

2. Adrenal Androgens

In health, adrenocortical production of androgens is trivial in comparison with the production of these hormones by the gonads. However, a pathological excess of adrenal androgens might induce virilization (i.e., the development of masculine secondary sex characteristics), which would be most noticeable in the female or immature male. So far, no virilization as a consequence of enzyme deficiency has been reported in domestic animals, but in equine hyperadrenocorticism, hirsutism is a common feature (Pauli et al., 1974; Van der Kolk et al., 1993).

3. Mineralocorticoids

The widespread MR has equal affinity for aldosterone and the glucocorticoids cortisol and corticosterone, whereas the latter two hormones circulate at much higher concentrations than aldosterone. However, in the classical aldosterone targets (kidney, colon, and salivary gland), the enzyme 11β -hydroxysteroid dehydrogenase (11β HSD) converts cortisol and corticosterone (but not aldosterone!) to the 11-keto analogues. These analogues cannot bind to MR, thereby enabling aldosterone to occupy this receptor (Funder, 2005). Thus, not the receptor but a prereceptor modification provides the tissue specificity.

The synthetic steroid 9α -fluorocortisone (Table 19-1) binds tightly to mineralocorticoid receptors and is used for mineralocorticoid replacement therapy because it is more stable than aldosterone after oral administration. Mineralocorticoid antagonists such as spironolactone bind to these receptors and in this way block aldosterone action.

Aldosterone controls the volume and the cationic composition of the extracellular fluid by regulating sodium and potassium balance. Its main action is on the tubular apparatus of the kidneys, but aldosterone receptors are also found in the gut, the salivary glands, and the sweat glands. In the kidney, the effect of aldosterone on the distal tubule consists almost entirely of an exchange of sodium with potassium and hydrogen ions. Aldosterone also promotes the excretion of magnesium and ammonium ions.

G. Physiological Variation, Stress, and the Immune System

In the absence of extraordinary stress, the plasma cortisol concentration of healthy animals varies within certain limits, although adrenocortical secretion does not occur evenly throughout the day but rather in bursts. In humans, most of the secretory bursts occur between midnight and early

morning, leading to a diurnal rhythm of circulating cortisol levels. The temporal coincidence between secretory bursts and plasma ACTH concentrations indicates neuroendocrine control.

In domestic animals and certainly in the dog, initially there has been some controversy as to the occurrence of circadian variation in cortisol concentrations. Later definite evidence for episodic but not circadian fluctuations in plasma cortisol concentrations in dogs was presented (Kemppainen and Sartin, 1984a). In the horse (Dybdal et al., 1994) and the pig (Benson et al., 1986; Bottoms et al., 1972; Janssens et al., 1995b; Larsson et al., 1979) as well as in sheep (Fulkerson and Tang, 1979), bulls (Thun et al., 1981), pigeons (Joseph and Meier, 1973; Westerhof et al., 1994), and chickens (Lauber et al., 1987), the occurrence of circadian variations is generally acknowledged. Also in the cat, there have been reports on the occurrence of an (opposite) diurnal rhythm. However, in later reports this was not confirmed (Johnston and Mather, 1979; Leyva et al., 1984). As in the dog, the secretion is episodic without evidence for a diurnal rhythm (Peterson and Randolph, 1989). Apart from relatively short-term changes such as episodic secretion and diurnal variation, plasma cortisol concentration may change with age and during the estrus cycle, during pregnancy, and around the time of parturition. For example, basal cortisol concentrations in puppies 8 weeks of age or younger are lower than in mature dogs, most probably because of reduced binding to plasma proteins rather than to altered secretion patterns (Randolph et al., 1995). In gilts a discrete cortisol peak occurs during the early follicular phase of the sexual cycle, coinciding with the decline in plasma progesterone levels (i.e., at 4 days before the plasma LH surge) (Janssens et al., 1995c). Plasma cortisol concentrations of pregnant cows decrease significantly during the fourth month of pregnancy. Thereafter they remain fairly constant until the fifth and sixth day before parturition, when a sharp rise is seen, and also at around 24h before parturition plasma corticoids increase again (Eissa and El-Belely, 1990).

A highly significant increase in basal plasma cortisol concentrations occurs with aging in dogs (Goy-Thollot *et al.*, 2006) with no differences in ACTH-stimulated plasma cortisol concentrations. This confirms earlier studies (Rothuizen *et al.*, 1993) where the increased basal activity of the hypothalamo-pituitary-adrenal axis is attributed to a decrease in limbic type-I MR concentrations, whereby no differences were found for the type II GR in canine brain structures. The increase in pituitary type II GR with aging explains the intact feedback in the aging dog (Rothuizen *et al.*, 1993). The ACTH-stimulated increase in plasma aldosterone concentrations was found to be significantly reduced with aging (Goy-Thollot *et al.*, 2006).

Independent of these physiological variations, it is clear that stress may activate the pituitary-adrenocortical system. Factors such as housing (Carlstead *et al.*, 1993;

Cockram et al., 1994; Koelkebeck and Cain, 1984), lactation (Gwazdauskas et al., 1986), exercise (Dybdal et al., 1980; Foss et al., 1971; Rossdale et al., 1982; Sloet van Oldruitenborgh-Oosterbaan et al., 2006), surgery (Robertson et al., 1994), anaesthesia, heat (Gould and Siegel, 1985), emotional strain (Bobek et al., 1986; James et al., 1970; Kirkpatrick et al., 1977), food deprivation (Messer et al., 1995), and anticipation of feeding (Murayama et al., 1986) all lead to increased corticosteroid secretion. In dogs, a visit to a veterinary practice, orthopedic examination, and hospitalization increased the urinary corticoid:creatinine ratio, which is a measure for adrenocortical function (Van Vonderen et al., 1998). In the horse, during exercise increased plasma renin activity results in a considerable rise in aldosterone secretion (Guthrie et al., 1980, 1982). From the lack of any increase in plasma corticosterone in homing pigeons during flight, it was concluded that the animals were not under serious stress (Viswanathan et al., 1987).

With chronic stress, such as during prolonged tethered housing of pigs, an increased responsiveness of the adrenal cortex to ACTH is induced, whereas the sensitivity of the pituitary to stimulation with corticotrophin releasing hormone (CRH) or vasopressin remains unaltered, and the challenge (nose sling)-induced ACTH response is lower than in loosely housed pigs. This indicates that during chronic stress, mitigating mechanisms become operational, most probably mediated by endogenous opioids (Janssens *et al.*, 1995a).

According to Selye's theory of general adaptation, corticosteroids are needed for the (metabolic and circulatory) defense reaction. Administration of cortisol to improve nonspecific resistance is indicated in established pituitary or adrenal insufficiency. From an immunological point of view, stress suppresses defense reactions. Stress-induced glucocorticoid secretion prevents the overshooting of the animal's reactions to stress. The glucocorticoids modulate the mediators of the immune system such as the different lymphokines and mediators of the inflammatory reactions: prostaglandins, leukotrienes, kinins, serotonin, and histamine (Munck *et al.*, 1984).

It is now well established that the interaction of the neuroendocrine system and the immune system is not a one-way but a bidirectional communication. Tissue injury or inflammation elicits production of immunoregulatory cytokines (lymphokines and monokines) by macrophages and monocytes. These cytokines also activate the pituitaryadrenal axis and increase glucocorticoid concentrations, whereas the production and action of these immune mediators are inhibited by glucocorticoids. Thus, there is strong evidence for the existence of a feedback circuit, in which immunoregulatory cytokines act as afferent and ACTH and glucocorticoids as efferent hormonal signals (Besedovsky et al., 1986; Woloski et al., 1985). The regulatory actions of the cytokines are exerted at the level of the hypothalamus, where CRH is the major mediator of the response (Berkenbosch et al., 1987), although cytokines also exert

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an influence at the level of the pituitary and the adrenals (Gaillard, 1994).

III. ADRENOCORTICAL DISEASES

As this chapter is not meant to cope with clinical and pathological details, discussion of adrenocortical diseases is confined to definitions, short statements on occurrence in species, and referral to key references. In textbooks on endocrine diseases of companion animals (Drazner, 1994; Feldman and Nelson, 2004; Rijnberk, 1996), the adrenocortical diseases of the dog and the cat are described in detail.

A. Hypoadrenocorticism

Adrenocortical hypofunction includes all conditions in which the secretion of adrenal steroid hormones falls below the requirements of the animal. It may be divided into two categories:

- 1. Primary hypoadrenocorticism or Addison's disease is the result of deficiency of both glucocorticoid and mineralocorticoid secretion from the adrenal cortices (primary adrenocortical failure). "Idiopathic" or immune-mediated atrophy (Schaer *et al.*, 1986) of all zones is the most frequently observed histopathological lesion, although the lymphocytic adrenalitis may be confined to the zona fasciculata and zona reticularis (Kooistra *et al.*, 1995). It is well known in the dog and rare in the cat (Peterson and Randolph, 1989).
- 2. Secondary hypoadrenocorticism is the result of pituitary ACTH deficiency, causing decreased glucocorticoid secretion. Because of the almost unaltered aldosterone secretion, it will usually remain unnoticed. It is observed occasionally in dogs with pituitary insufficiency resulting from pituitary cystic lesions leading to an empty sella or as a result of a compressive nonfunctioning (endocrine inactive) pituitary macroadenoma.

Animals receiving long-term corticosteroid treatment, despite physical and biochemical hyperadrenocorticoid changes, develop secondary adrenocortical insufficiency because of prolonged hypothalamo-pituitary suppression. Atrophy of the two inner zones of the adrenal cortex results from the loss of endogenous ACTH stimulation. This is observed in the species in which corticosteroid therapy is common practice such as the dog (Greco and Behrend, 1995; Rijnberk, 1996) and the horse (Hoffsis and Murdock, 1970; Toutain and Brandon, 1983). It has also been observed in dogs and cats treated with progestagens, owing to the glucocorticoid activity that is intrinsic to these drugs (Chastain et al., 1981; Mansfield et al., 1986; Middleton et al., 1987; Selman et al., 1994).

B. Hyperadrenocorticism

In principle, the adrenal cortex of animals might, as in humans, give rise to three distinct clinical syndromes of hyperfunction: mineralocorticoid excess or hyperaldosteronism (Conn's syndrome), glucocorticoid excess or hypercortisolism (Cushing's syndrome), and androgen excess (adrenogenital syndrome).

Hypercortisolism is common in the dog though rare in the cat and the horse. In about 15% of hyperadrenocorticoid dogs, the disease is due to a primary adrenocortical tumor (ACTH independent). The excessive secretion of cortisol by these tumors may either be autonomous or associated with aberrant expression of ectopic or overexpression of eutopic adrenocortical receptors (Lacroix et al., 2001). For example, the expression of ectopic receptors for gastric inhibitory polypeptide may result in "food-dependent hypercortisolism." In the other 85% of cases, the (ACTHdependent) hypercortisolism is associated with a pituitary lesion, producing excess ACTH. The pituitary corticotroph adenomas may reside in either the anterior lobe or the intermediate lobe (Kemppainen and Peterson, 1994; Peterson et al., 1982). In rare cases, Cushing's syndrome may also be due to ectopic secretion of ACTH by a neuroendocrine tumor outside the pituitary gland (Galac et al., 2005). In cats, hypercortisolism (Cushing's syndrome) is usually concurrent with diabetes mellitus and may be either primary adrenocortical or pituitary dependent (Meij et al., 2001, 2004; Meijer et al., 1978b; Peterson and Randolph, 1989).

Primary hyperaldosteronism in cats may be due to an adrenocortical tumor (Ash et al., 2005; Flood et al., 1999; Mackay et al., 1999; Moore et al., 2000; Rijnberk et al., 2001) or to bilateral hyperplasia of zona glomerulosa tissue (Javadi et al., 2005). Prominent clinical features in cats with hyperaldosteronism are hypokalemia, episodic weakness, cervical ventroflexion, arterial hypertension, and vision loss resulting from retinal detachment. The nontumorous form of primary hyperaldosteronism in cats is associated with progressive renal disease (Javadi et al., 2005).

In the horse, hypercortisolism (Cushing's syndrome) originates almost invariably in the intermediate lobe of the pituitary (Orth et al., 1982). In domestic ferrets, hyperadrenocorticism is quite common and it is primarily associated with adrenocortical tumor or nodular adrenocortical hyperplasia (Rosenthal et al., 1993). Domestic ferrets with hyperadrenocorticism have no detectable abnormalities in plasma concentrations of ACTH or α-MSH (Schoemaker et al., 2002a) and increased urinary corticoid/creatinine ratios resistant to dexamethasone suppression (Schoemaker et al., 2004), both indicating ACTH-independent hyperadrenocorticism. Positive staining was found with the LH receptor antibody in hyperplastic or neoplastic adrenal glands of hyperadrenocorticoid ferrets supporting the hypothesis that gonadotropic hormones play a role in the pathogenesis of hyperadrenocorticism in ferrets (Schoemaker et al., 2002b).

IV. ASSESSMENT OF ADRENOCORTICAL FUNCTION

A. Routine Laboratory Diagnostics

In both hypoadrenocorticism and hyperadrenocorticism, there are a number of abnormal laboratory findings that are more common than in other diseases. Many of these abnormalities can be derived from the above-described action of glucocorticoids and mineralocorticoids (Section II.F). The essentials are summarized here.

In primary hypoadrenocorticism, the decreased aldosterone production results in hyponatremia and hyperkalemia. The associated hypovolemia leads to prerenal uremia. In hyperadrenocorticism, the classic hematological abnormality is eosinopenia, which may be associated with lymphopenia and occasionally with leukocytosis and erythrocytosis. A common biochemical abnormality is the elevated plasma concentration of alkaline phosphatase (Eckersall and Nash, 1983) that may occur in conjunction with mild elevation of alanine aminotransferase (ALT). In addition, hyperglycemia, hyperlipidemia, and low thyroxine concentrations may be observed. Urine-specific gravity is usually low, and in 5% to 10% of cases glucosuria is found.

Of these abnormalities, the elevated alkaline phosphatase (AP) concentration is the most common laboratory abnormality in dogs with corticosteroid excess (either exogenous or endogenous). This increase is due to the induction of a specific isoenzyme, which has greater heat stability at 65° C than other AP-isoenzymes (Teske *et al.*, 1986) and is therefore easily measured by a routine laboratory procedure. An abnormally elevated AP- 65° C value may point to hyperadrenocorticism, but it is unsuitable as a diagnostic test because of its low specificity (Teske *et al.*, 1989). The low thyroxine (T4) concentrations in plasma, which may be observed in hyperadrenocorticism, seem to be a consequence of altered transport, distribution, and metabolism of T_4 rather than the result of hyposecretion (Rijnberk, 1996).

There may be other conditions causing these abnormalities, however, and some cases do not present with typical routine laboratory characteristics. Therefore, a detailed understanding of the specific laboratory tests commonly used to investigate adrenocortical function is essential. In addition, the spontaneous forms of hyperadrenocorticism may arise from pituitary ACTH overproduction or from autonomously hypersecreting adrenocortical tumors. Each of these requires a different mode of treatment, and therefore insight into the tests used in the differential diagnosis is of prime importance.

B. Tests of Basal Adrenocortical Function

The availability of highly specific radioimmunoassays has greatly enhanced and simplified assessment of adrenocortical function. Some of the older (chemical) methods, which

are now seldom used, are mentioned briefly. Methods in common use are described in more detail, which applies especially to the dynamic tests, part of which is used in the differential diagnosis (Section IV.C).

1. Cortisol Production Rate

In the radionuclide dilution method, the rate of cortisol production is determined through administration of radiolabeled cortisol and isolation of cortisol metabolites from urine that is collected over at least 24h. The specific activities of labeled cortisol and tetrahydrocortisol (THF) or tetrahydrocortisone (THE) are compared. Some preliminary work (Rijnberk *et al.*, 1968b) indicated that this test worked for the dog and might potentially be very useful. However, the laborious character of the procedure rules out general availability.

2. Plasma Corticoids

Plasma corticoid concentrations are subject to considerable variation owing to the pulsatile nature of the secretion, physiological variation (see Section II.G), and alterations in transport proteins (Meyer and Rothuizen, 1994). Therefore, single determinations are regarded of little diagnostic value in assessing hypo- or hyperadrenocorticism. Nevertheless, in a considerable percentage of cases, resting values outside the reference ranges may be found. It has been demonstrated recently that calculation of the ratio of plasma cortisol: ACTH concentrations in hypoadrenocorticism did not show an overlap with values of healthy control dogs (Javadi et al., 2006). There are few data on sex and breed differences, and they are not dealt with in Table 19-2. Nevertheless there is evidence that they should be taken into account (Frank et al., 2003a). In dogs, circulating cortisol concentrations do not differ between males and females, but in small dogs higher values are found than in large breed dogs (Reimers et al., 1990), and neutered males may have lower plasma cortisol concentrations in comparison to intact males (Frank et al., 2003b). Also in pigs, breed-specific basal plasma cortisol concentrations exist (Sutherland et al., 2006).

3. Urinary Corticoids

With measurements of urinary corticoids or their metabolites, an integrated reflection of corticoid production over a period of time is obtained, thereby adjusting for the fluctuations in the plasma levels. Indeed, in dogs measurements of 17-hydroxycorticosteroids in 24-hour urine samples were found to have a high discriminatory power (Table 19-3; Rijnberk *et al.*, 1968a). However, the method has been abandoned because of the complicated and time-consuming chemical analysis, in combination with the difficulty of accurate collection of 24-hour urine samples.

Hormone (Unit)	Species (n)	Fluid	Sampling Time	Mean ± SD (Range)	Reference
Cortisol	Dog (14)	Plasma	After overnight fast	46.0 ± 1.3	(Beerda et al., 1996)
(nmol/l)		Saliva	After overnight fast	4.7 ± 0.4	(Beerda et al., 1996)
	Cat (130)	Plasma	After overnight fast	79 ± 79	(Javadi et al., 2004)
	Horse (7)	Plasma	06.00h	251 ± 115	(van der Kolk et al., 2001)
		Saliva	06.00h	3.2 ± 1.9	(van der Kolk et al., 2001)
		Plasma	18.00h	142.0 ± 52.9	(van der Kolk et al., 2001)
		Saliva	18.00h	2.1 ± 1.4	(van der Kolk et al., 2001)
	Cattle (39)	Plasma	08.00-14.00	17.1 ± 1.1	(Beerda et al., 2004)
	Cattle	Milk	Morning	1.24 ± 0.13	(Gygax et al., 2006)
	Pig (6)	Plasma	2. 3 .	(27–355)	(Cook et al., 1997)
		Saliva		(3.1-21.3)	(Cook et al., 1997)
	Sheep (4)	Plasma	No. 1184	19.0 ± 4.9	(Turner et al., 2002)
	Ferret (29)	Plasma		(3–27)	(Schoemaker et al., 2003)
Aldosterone	Dog (12)	Plasma	After overnight fast	118 ± 14	(Javadi et al., 2003)
pmol/l)	Cat (130)	Plasma	After overnight fast	267 ± 135	(Javadi et al., 2004)
	Horse (6)	Plasma	74-37	63.0 ± 12.8	(McKeever and Malinowski, 1999
PRA	Dog (12)	Plasma	After overnight fast	201 ± 25	(Javadi et al., 2003)
(fmol/l/s)	Cat (130)	Plasma	After overnight fast	240 ± 165	(Javadi et al., 2004)

Species (n)	Season	Range (Mean \pm SD)	Reference
Dog (28)		$1.2 - 6.9 \times 10^{-6}$	(Stolp et al., 1983)
Dog (12)		$(9 \pm 4 \times 10^{-6})$	(Jones et al., 1990)
Dog (20)		$0.5 - 17.7 \times 10^{-6}$	(Feldman and Mack, 1992)
Dog (31)		$0.1-31.2 \times 10^{-6}$	(Smiley and Peterson, 1993
Cat (42)		$2-36 \times 10^{-6}$	(Goossens et al., 1995)
Ferret—intact	Breeding	$(6.0 \pm 0.5 \times 10^{-6})$	(Schoemaker et al., 2004)
Ferret—intact	Nonbreeding	$(1.5 \pm 0.2 \times 10^{-6})$	(Schoemaker et al., 2004)
Ferret—castrated	Nonbreeding	$(1.3 \pm 0.1 \times 10^{-6})$	(Schoemaker et al., 2004)

Meanwhile, for the dog, Stolp *et al.* (1983) have introduced another measurement reflecting cortisol secretion over a period of time. In this approach, the 24-hour urine collection has been replaced by morning samples. The urinary corticoids (largely cortisol) are measured by radioimmunoassay and related to the creatinine concentration. In an assessment (Rijnberk *et al.*, 1988), the corticoid/creatinine ratios were found to have a higher diagnostic accuracy in the diagnosis of hyperadrenocorticism than the commonly used dexamethasone screening test (see Section IV.C.3).

The method has also been introduced for diagnostic use in the cat (de Lange *et al.*, 2004; Goossens *et al.*, 1995) and the ferret (Gould *et al.*, 1995; Schoemaker *et al.*, 2004). Also for these species the determination of the urinary corticoid/creatinine ratio appears to be valuable for the diagnosis of hyperadrenocorticism.

4. Salivary Cortisol

In saliva, the "free" (biologically active) fraction rather than the "total" cortisol is measured. So far in animals, salivary collection devices have been used primarily for research purposes. In the past, a disadvantage has been the anesthesia involved, although it does not inhibit salivary flow (Phillips et al., 1983). In recent years there has been renewed interest in the approach, especially now that a technique has been introduced for saliva collection in unrestrained animals. Cortisol measurements in saliva may serve as a noninvasive indicator of stress in animals. By allowing the animals to chew on large wads of cotton for up to 30sec, adequate saliva samples can be obtained from dogs (Vincent and Michell, 1992). The same method has been used successfully in pigs (Parrott and Misson, 1989) and sheep (Cooper et al.,

Species	ACTH Dose and Route	Sampling Time	Hormone	Range (Mean ± SEM)	Reference
Dog	250μg I.V.	90m in	Cortisol	120–620	(Meijer et al., 1978c)
Dog	5μg/kg I.V.	60min	Cortisol Corticosterone	220–560 18–102	(Behrend et al., 2005) (Behrend et al., 2005)
Cat	125μg I.V.	60m in	Cortisol	(274 ± 19)	(Peterson and Kemppainen, 1993)
Horse	250μg I.V.	120m in	Cortisol	308–602	(van der Kolk et al., 2001)
Cattle	2IU/kg BW ^{0.75}	60m in	Cortisol	(145 ± 27)	(Beerda et al., 2004)

1989). It does not seem to be advisable to increase saliva flow by pilocarpine (Blackshaw and Blackshaw, 1989). It has also been reported that in calves (Fell and Shutt, 1986) and goats (Greenwood and Shutt, 1992), saliva can be aspirated from the side of the mouth with minimum restraint.

5. Cortisol in Milk

Monitoring adrenocortical activity by measurements in milk may be of value in searching for stressors in the livestock industry (Gygax et al., 2006; Stephens, 1980). In a comparison of different milking systems, no differences in milk cortisol concentrations were found (Gygax et al., 2006). The low concentrations indicate that in milk also the biologically active free fraction instead of the total cortisol fraction is measured.

6. Plasma ACTH

Determinations of resting plasma ACTH concentrations are not useful in the diagnosis of hyperadrenocorticism because of the overlapping values for normal dogs and dogs with hyperadrenocorticism. Its value lies mainly in the differential diagnosis of hyperadrenocorticism (discussed later). In animals with primary adrenocortical failure, plasma ACTH concentrations are usually extremely high. However, in most cases such a determination is primarily of academic interest as the diagnosis can be made with the more practical ACTH stimulation test (see Section IV.C.1). Plasma ACTH measurements are useful when in doubt about a primary or secondary adrenocortical insufficiency (Kooistra *et al.*, 1995).

C. Dynamic Tests

Apart from the above-described measurements of reflections of basal adrenocortical secretions, various maneuvers have been introduced to test the physiology of the hypothalamo-pituitary-adrenocortical axis. As in other areas of endocrinology, stimulation tests are used when hypofunction is suspected, and suppression tests are used when hyperfunction is suspected.

1. Tests of Adrenocortical Reserve

The critical feature of the test is that a substantial increase in plasma (or urinary) corticoids must occur in response to ACTH if one is to prove the existence of adrenal reserve (Table 19-4). One method is described in detail in Section IV.F.

In veterinary medicine, ACTH stimulation has also been used to confirm adrenocortical hyperfunction. This is based on the assumption that hyperplastic adrenal cortices have abnormally large cortisol reserves. Indeed, hyperresponsiveness to ACTH or lysine-vasopressin (Meijer et al., 1978a) stimulation is found in pituitary-dependent hyperadrenocorticism, but there is considerable overlap with normal dogs. Over the years, several modifications/combinations of the ACTH stimulation test (Eiler and Oliver, 1980; Eiler et al., 1984; Feldman, 1986) for the diagnosis of hyperadrenocorticism have been used, but it still appears to be a dynamic test with less diagnostic accuracy than the low-dose dexamethasone suppression test (LDDST) (Behrend et al., 2005; Feldman, 1986; Peterson, 1986). This seems to hold true for the horse as well (Dybdal et al., 1994), although the ACTH stimulation test is recommended for the diagnosis of equine hyperadrenocorticism (Van der Kolk et al., 1993). The value of the ACTH stimulation test lies in the diagnosis of hypoadrenocorticism (see Section IV.F). When used for the diagnosis of hyperadrenocorticism, the modification of a combination with a dexamethasone suppression test seems the least desirable, as doses of ≥0.1 mg of dexamethasone/kg can alter the results of the ACTH stimulation test for at least 3 days (Kemppainen et al., 1989). Measurement of plasma corticosterone or 17α -hydroxyprogesterone after ACTH stimulation is of little additive value as the changes measured are proportionate with changes in cortisol and may be high in dogs with nonadrenal neoplasia as well (Behrend et al., 2005).

2. Tests of Pituitary (ACTH) Reserve

In animals with adrenals responsive to ACTH, administration of the 11β -hydroxylase inhibitor metyrapone decreases cortisol secretion and consequently increases ACTH secretion. As a result, cortisol precursor synthesis increases, which can

Species	Dose and Route	Sampling Time	Cortisol	Reference
Dog	10μg/kg I.V.	8h	<40	(Meijer et al., 1979)
Dog	$15\mu\mathrm{g/kg}$ im	2, 4, 6, 8h	<28	(Peterson, 1986)
Cat	10μg/kg I.V.	8h	<36	(Smith and Feldman, 1987)
Cat	$15\mu\mathrm{g/kg}$ im	8h	<14	(Peterson and Graves, 1988)
Horse	40μg/kg Ι.V.	15h	<30	(Dybdal et al., 1994)

TABLE 19-5 Upper Reference Values for Plasma Cortisol Concentrations (nmol/l) after Low-Dose Dexamethasone Suppression Test

be measured as urinary 17-hydroxycorticosteroids. Although effective in the dog (Siegel, 1968), the cumbersome procedure has prohibited wider use in veterinary medicine. At present, pituitary ACTH reserve is tested by use of physiological suprapituitary stimuli (i.e., vasopressin or CRH) (see Chapter 18). Such tests are mainly useful in the differential diagnosis, whereby it should be noted that vasopressin is the least useful for this purpose, as it can exert a direct stimulatory effect on cortisol release by adrenocortical tumors (Van Wijk et al., 1994).

3. Tests of Pituitary-Adrenocortical Suppressibility

The integrity of the feedback system can be tested by giving a potent glucocorticoid and judging suppression of ACTH secretion by measuring either steroids excreted in urine or plasma cortisol levels. A potent glucocorticoid such as dexamethasone is used so that the administered compound may be given in such small amounts as not to contribute significantly to the steroids to be analyzed. In pituitary-dependent hyperadrenocorticism, there is loss of normal sensitivity to suppression, and as a result higher values are found than in normal individuals following dexamethasone administration (Table 19-5).

In the dog, the most frequently used test is still the low-dose dexamethasone suppression test (LDDST) (Meijer et al., 1978a). In this test, 0.01 mg of dexamethasone/kg of body weight is administered intravenously, and a plasma sample for cortisol determination is taken after 8h. In healthy dogs at this time the plasma cortisol concentrations are still depressed (Kemppainen and Sartin, 1984b). This test is described in detail in Section IV.F. Others recommend intramuscular administration of 0.015 mg of dexamethasone/kg and the collection of plasma samples for cortisol determination 2, 4, 6, and 8h after injection (Peterson, 1984). Although not necessary for the diagnosis, the results of a 3- or 4-h sample may be informative for differential diagnosis (see Sections IV.D and IV.F).

D. Tests for Differential Diagnosis

In animals with primary hypoadrenocorticism, the physical and biochemical features are very much determined by the electrolyte disturbances caused by the insufficient mineralocorticoid secretion. In secondary hypoadrenocorticism, mineralocorticoid secretion is practically unchanged, and the presenting signs are usually completely different. Thus, only rarely is there a need for additional tests to distinguish between these two forms of hypoadrenocorticism. However, when doubt exists about the background of the hyporesponsiveness to exogenous ACTH, plasma ACTH concentrations should be measured (see also Section IV.B.6) or the pituitary should be tested for ACTH reserve (see Section IV.C).

For hyperadrenocorticism, the situation is completely different. Once the diagnosis of hyperadrenocorticism has been made by either a basal test or a dynamic test, it is necessary to distinguish between pituitary-dependent hyperadrenocorticism and hyperadrenocorticism arising from an adrenocortical tumor. In principle, these forms of the disease require different modes of treatment. Probably owing to the additional secretion of steroids with mineralocorticoid activity by adrenocortical tumors, a higher incidence of hypokalemia is found than in animals with pituitary-dependent hyperadrenocorticism (Meijer, 1980). However, this sign is not specific enough to allow differentiation between the two forms. As there are no further signs that may be helpful to distinguish the two entities, specific tests are needed. The two most helpful procedures are the measurement of basal plasma ACTH levels and the highdose dexamethasone suppression test (HDDST). A test of pituitary ACTH reserve may also be helpful.

Normal to high plasma ACTH concentrations (≥40 pg/ml) in hyperadrenocorticoid dogs usually indicate ACTH excess of pituitary origin. Low to undetectable concentrations of ACTH are found in dogs with hyperadrenocorticism arising from an adrenocortical tumor in which the feedback control of pituitary ACTH secretion is undisturbed. ACTH concentration may not be low when both disease entities

occur simultaneously (Nothelfer and Weinhold, 1992; Van Sluijs *et al.*, 1995). However useful this measurement may be, in practice it is not the first choice when it comes to the differential diagnosis of hyperadrenocorticism because of the requirements for collecting and handling the samples and the technically difficult assay (see also Section IV.E).

In contrast to the determination of endogenous ACTH, the HDDST is readily available. Despite a decreased sensitivity to the suppression by glucocorticoids, the ACTH secretion of most animals with pituitary-dependent hyperadrenocorticism can be suppressed with a 10-fold dose of 0.1 mg dexamethasone/kg body weight, resulting in a decrease of the adrenocortical secretion. The autonomous hypersecretion by adrenocortical tumors will not be influenced by the high dose of dexamethasone.

Two procedures are used in the dog, one employing plasma cortisol as a reflection of adrenocortical secretion (Meijer et al., 1979) and the other urinary corticoid/creatinine ratios (Rijnberk et al., 1988). In both, a greater than 50% decline from baseline values is regarded as diagnostic for pituitary-dependent hyperadrenocorticism (see Section IV.F). When suppression is less than 50%, the hyperadrenocorticism may be due to either an adrenocortical tumor or a pituitary ACTH excess that is extremely resistant to dexamethasone suppression. For the differentiation between these two forms, the above-mentioned measurement of endogenous ACTH may be necessary, or a CRH test may be performed. In dogs with pituitary-dependent hyperadrenocorticism, CRH administration (1 μ g/kg I.V.) elevates both plasma ACTH and cortisol, whereas no such rise is seen in dogs with hyperadrenocorticism caused by adrenocortical tumors (Van Wijk et al., 1994). The high cost of the commercially available powder preparation and the necessary pharmaceutical preparation prohibit general use of CRH.

E. Collection and Handling of Samples

It has become common practice for clinicians to perform endocrine function studies and to mail samples to a laboratory for measurement of cortisol. It is therefore important to rule out nonpathological factors that can alter hormone concentrations. Apart from stress (see Section IV.B), the nutritional state of the animal may also play a role. In a study by Reimers *et al.* (1986), the researchers found that mean serum concentrations of cortisol in dogs fasted 12 or 24h were lower than those in dogs that were not fasted. The concentrations were not further affected by continued fasting for 36h.

Olson *et al.* (1981) have studied several aspects of sample handling, such as uncentrifuged storage and storage time. They concluded that either serum or plasma of dogs is suitable for radioimmunoassay of cortisol, and samples (with and without added anticoagulants) may be left uncentrifuged at 4°C for up to 40h without cortisol degradation. However, prolonged storage of serum at room temperature

is detrimental, particularly for samples that have large concentrations of cortisol. Samples allowed to defrost and sit for more than 3 days en route to a laboratory may have a lower cortisol concentration than they did at the time of collection.

The peptide ACTH is readily inactivated at room temperature. Therefore, blood for ACTH measurements should be collected in EDTA-coated tubes placed in ice, and the blood should be centrifuged at 4°C. The plasma should then be placed in polypropylene tubes and kept frozen until assayed. These requirements are most easily fulfilled when the samples are collected in a clinic with the necessary facilities. If the samples have to be transformed, it is imperative that they be kept frozen with dry ice in a Styrofoam container. These strict rules can be alleviated to some extent by adding a protease inhibitor (aprotinin) to the collection tubes (Kemppainen *et al.*, 1994).

F. Protocols

The protocols presented next are applicable to the dog. With the data presented in some of the tables, extrapolation to other species may be possible.

1. ACTH Stimulation Test

a. Indications

The ACTH stimulation test is performed when there is suspicion of decreased adrenocortical reserve capacity: (1) primary adrenocortical insufficiency (Addison's disease) and (2) (iatrogenic) secondary adrenocortical insufficiency.

b. Performance

Blood for cortisol measurements is collected immediately before and 90 min after intravenous administration of 0.25 mg synthetic ACTH (Cortrosyn [Organon]). In cases in which treatment for adrenocortical insufficiency was already started, on the morning of the test, the cortisone administration is postponed until after completion of the test. When the treatment is already longer than 3 to 4 days, iatrogenic suppression of the adrenals should be taken into consideration.

c. Interpretation

In healthy dogs, the cortisol concentrations rise to 270 to 690nmol/liter (Rijnberk, 1996). In Addison's disease, the control value is usually low and does not increase following ACTH administration. In animals with secondary adrenocortical insufficiency, the basal cortisol values may be low as well, and, depending on the severity (duration) of the ACTH deficiency, the cortisol rise is subnormal or absent.

2. Low-Dose Dexamethasone Suppression Test

a. Indication

The low-dose dexamethasone suppression test is used when hyperadrenocorticism (Cushing's syndrome) is suspected.

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b. Performance

In the morning, 0.01 mg dexamethasone per kg body weight is administered intravenously. Blood for cortisol measurements is collected immediately before and at 3 and 8 h after dexamethasone administration.

c. Interpretation

A plasma cortisol concentration exceeding 40nmol/liter at 8h after dexamethasone administration can be regarded as diagnostic for hyperadrenocorticism with a diagnostic accuracy of 0.83 (95% confidence limits 0.76 to 0.88) (Rijnberk *et al.*, 1988). The measurements at 0 and 3h are not needed for the diagnosis of hyperadrenocorticism but may be informative in the differential diagnosis. Quite commonly the high value at 8h is preceded by a lower value at 3h. Thus, at 8h the pituitary-adrenocortical system escapes from the suppression by dexamethasone. If the value at 3 or 8h is at least 50% lower than the basal value, the disease may be regarded as pituitary dependent.

3. High-Dose Dexamethasone Suppression Test

a. Indication

Differentiation between pituitary-dependent hyperadrenocorticism and hypercorticism arising from adrenocortical tumors is possible with the high-dose dexamethasone suppression test.

b. Performance

Blood for cortisol determination is collected immediately before and 3 to 4h after administration of 0.1 mg dexamethasone per kg body weight.

c. Interpretation

If the plasma cortisol declines by more than 50%, the diagnosis of pituitary-dependent hyperadrenocorticism is justified. A decrease of less than 50% can be due either to an adrenocortical tumor or to dexamethasone-resistant pituitary-dependent hyperadrenocorticism. Differentiation between these two forms requires additional tests (see Section IV.D).

4. Urinary Corticoid/Creatinine Ratios (with High-Dose Dexamethasone Test)

a. Indication

Determination of corticoid/creatinine ratios can be performed when hyperadrenocorticism is suspected.

b. Performance

The owner is asked to collect morning urine samples at set times (e.g., 7A.M.) on 3 consecutive days. On the preceding evenings, the animal should have its last walk at identical times (e.g., 11 P.M.). After collection of the second urine sample, dexamethasone is administered orally.

At 8-h intervals, the owner administers 0.1mg dexamethasone per kg body weight.

c. Interpretation

Corticoid/creatinine ratios exceeding 10×10^{-6} can be regarded as compatible with hyperadrenocorticism with a diagnostic accuracy of 0.91 (95% confidence limits 0.85 to 0.95) (Rijnberk *et al.*, 1988). When the ratio of the third urine sample is 50% lower than the mean of the first two ratios, the diagnosis of a pituitary-dependent hyperadrenocorticism is justified. A lesser decrease may be due to either adrenocortical tumors or dexamethasone-resistant pituitary-dependent hyperadrenocorticism (for differentiation, see Section IV.D).

d. Comment

This test is now generally regarded as a sensitive screening test for the diagnosis of hyperadrenocorticism (Feldman, 1995). There has been some debate on its specificity (Feldman, 1992; Rijnberk and Teske, 1992), and recently it was stated that the test lacks specificity (Feldman, 1995). Indeed as with other screening tests the specificity is not 100% (Kaplan *et al.*, 1995; Smiley and Peterson, 1993), and in conditions that are associated with increased adrenocortical function, such as hepatic encephalopathy (Rothuizen *et al.*, 1995), elevated ratios can be found.

In this discussion, two points remain somewhat underexposed. First, a high sensitivity and not a high specificity is essential for a screening test. The predictive value of a positive test result depends on the sensitivity of the test and also on the prevalence of the disease in the population studied. When the testing is limited to dogs suspected of the disease, the population under study will have a high prevalence of disease, and as a result the predictive value of a positive test result will be high. Second, as always, the diagnostic accuracy of a test depends also on the quality of the test procedure. In this respect, it should be mentioned that easily elevated C/C ratios can be obtained when the urines are collected under stressful conditions, such as in the hospital. This will increase the number of false-positive test results (i.e., lower the specificity).

When C/C ratios are measured in a population suspected of hyperadrenocorticism, with urine collections at home, not only is the sensitivity of the test high, but also the specificity (0.77) is comparable to that of the low-dose dexamethasone suppression test (0.73) (Rijnberk *et al.*, 1988).

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Thyroid Function

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REFERENCES

I. INTRODUCTION

Disorders of the thyroid gland are the most common endocrine disorders in humans, and extensive historical and scientific literature is available. Among the domestic animals, thyroid function and its diseases are well known in companion animals but less so in other animals, domestic or wild. In livestock, nutritional iodine deficiencies have been of greater importance than thyroid gland diseases, particularly in the iodine-deficient areas of the world. The importance of thyroid function and its diseases has also become progressively more important as the longevity of companion animals such as the dog and the cat has increased.

Advances in thyroid physiology, pathogenesis of its diseases, and the continued development and refinement of methods of testing thyroid function have added impetus to the study of thyroid disease in all animals. This chapter reviews the anatomy and physiology of the thyroid gland and its diseases as a corollary to the understanding of the pathophysiology of the thyroid gland in disease. Emphasis is placed on the physiological bases of a variety of thyroid function tests, most of which are now readily available to the veterinary clinician.

II. ANATOMICAL CONSIDERATIONS

The thyroid gland of animals is a bilobed structure that overlays the trachea at a point just below the larynx. Anatomical variations of the gland are marked between species and, to some extent, within a given species. The isthmus connecting the two lobes of the thyroid is the region that varies most markedly between species. Humans and pig have a large discrete isthmus, which forms a pyramidal lobe connecting the two lateral lobes. The cow has

a fairly wide band of glandular tissue, which forms the connecting isthmus. In the horse, sheep, goat, cat, and dog, the isthmus is a narrow remnant of tissue and may be nonexistent. The size of the gland relative to body weight is extremely small in all animals, approximating 0.20% of body weight. The size of the gland varies and may be enlarged when iodine deficiency, ingestion of goitrogenic toxins, tumors, or hyperactivity is present. In primary hypothyroidism, the gland may be reduced to fibrotic and inactive remnants of thyroid tissue.

Accessory or extrathyroidal tissue is commonly observed in the dog, particularly near the thoracic inlet though they may be found anywhere along the esophagus. This accessory tissue is fully functional physiologically, synthesizes hormone, and can be located by its uptake of radionuclides. This is a particularly important consideration for the dog when thinking about surgical intervention for treatment of hyperthyroidism resulting from a thyroid tumor.

The thyroid gland is a highly vascularized tissue with a large blood flow. The functional unit of the thyroid gland is the thyroid follicle, which can be envisioned as a spherical structure composed of an outer monolayer of follicular cells surrounding an inner core of colloid. Colloid is a thyroglobulin-hormone complex that is the storage reservoir of thyroid hormone in the thyroid gland. The colloid stored in the lumen is a clear, viscus fluid. The individual follicular cells vary from 5 to $10 \,\mu \mathrm{m}$ in height, and the entire follicle may vary from 25 to 250 μ m in diameter. The size of the follicles and the height of their follicular cells vary according to the functional state of the gland. The cells may vary from an inactive squamous cell to the highly active, tall columnar cell. Interspersed between the follicles are the thyroid "C" cells, the source of calcitonin, the hypocalcemic hormone associated with calcium metabolism. A third type of hormonal tissue, the parathyroid, is imbedded within the thyroid or located in close proximity to it. The parathyroids are the source of parathormone, the hypercalcemic hormone. Removal of the parathyroids is virtually unavoidable during surgical thyroidectomies so that postsurgical hypocalcemias are important consequences to be considered.

III. NUTRITIONAL REQUIREMENTS

The thyroid gland is unique among the endocrine glands in that an integral part of its hormone, L-thyroxine (T_4) , is a trace mineral, iodine, which is available to the animal in only limited amounts. Marine plants are known to be good sources of iodine, but on land, iodine is limited and many regions of the world are known to be iodine deficient. The recommended daily requirement is $35\mu g/kg$ bw (276nmol/kg bw) for the adult dog and $70\mu g/kg$ bw (551.6nmol/kg bw) for the growing puppy. For most animals, nutrient requirements are given in mg/Kg dry diet or ppm and range from

0.1 to 1.0 mg, including cats and horses. Milk is a poor source of hormonal iodide contributing only about 4% to 7% of the maintenance requirements for hormone (Akasha and Anderson, 1984).

The small requirements are compensated for by an efficient intestinal absorption mechanism and conservation and recycling of internal iodine. Little iodine is lost from the body by the various excretory routes such as urine, saliva, tears, milk, sweat, and feces. Also, whereas most endocrine glands store little of their hormones, the thyroid manages to store large quantities of hormone sufficient for 1 to 3 weeks depending on the species. The thyroid gland contains about 20% of the total body iodine. Its iodine content and size vary with iodine intake and the state of thyroid function, but it usually contains 10 to 40mg (78.8 to 315.2 μ mol) iodine/100 gm tissue or 4 to 16mg (31.5 to 126.1 μ mol) iodine in a 20-kg dog.

IV. IODINE METABOLISM

Iodine can be absorbed in any of its soluble chemical forms, but in the intestines it is usually absorbed in the form of iodides (I $^-$), iodates (IO $_4$ $^-$), or as the hormonal forms. Iodides may be absorbed from any moist body surface, including the mucus membranes, and it is absorbed easily through broken epithelia. Normally, the chief route of entry into the general circulation is by absorption through the mucosal cells of the small intestine. The I $^-$ in the circulation is trapped almost exclusively by the thyroid gland, with small amounts being trapped by the salivary gland and minimal amounts by the gastric mucosa, placenta, and mammary gland. In the ruminant, 70% to 80% of an oral dose is absorbed in the rumen and 10% in the omasum.

The main route of excretion of I^- is by the kidneys through which almost all the I^- that was not trapped by the thyroid is lost in the urine. A small but significant amount is lost in the saliva, and minimal amounts are lost in tears, feces, sweat, and milk. A minute amount of free hormone, that fraction not bound to serum proteins, is also lost in the urine. These routes of excretion are especially important considerations when patients are being treated with radioiodine.

V. FUNCTIONS OF THE THYROID GLAND

The main functions of the thyroid gland are the trapping of I^- and the synthesis, storage, and release of thyroid hormones (Fig. 20-1), and these activities are under the control of the thyrotropin or the thyroid-stimulating hormone (TSH). Although TSH stimulates all steps in hormonogenesis, the trapping of I^- and the release of hormone are the two major sites of its action.

lodine Metabolism of the Thyroid Gland

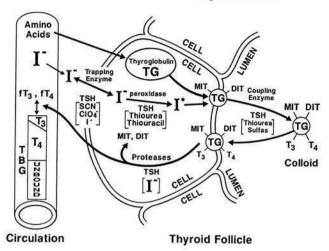


FIGURE 20-1 Pathways of iodine metabolism and thyroid hormone synthesis. Goitrogenic blocking agents are shown in brackets.

A. Thyroid Hormones

The principal thyroid hormones elaborated by the thyroid are the two active hormones, 3.5.3'.5'-tetraiodothyronine (T_4) and 3.5.3'-triiodothyronine (T_3), and the inactive hormone, 3.3'.5'-triiodothyronine (reverse T_3 or rT_3). The rT_3 is the inner deiodination product of the T_4 . The structures of the individual hormones are given in Figure 20-2. The T_4 molecule contains 65.3% iodine, and the T_3 molecule contains 58.5% iodine. The T_3 is the active hormone in the target cell. The T_4 functions as the transport form and as the feedback regulator of the thyroid gland.

B. Hormonogenesis and Release

1. Trapping of Iodide

The I⁻ in the general circulation is taken up by the thyroid follicular cells by a highly efficient trapping and concentrating mechanism. It does this against a large concentration gradient, which can be from 1:20- to 1:500-fold across the thyroid cell membrane and is stimulated by TSH. The trapping process is catalyzed by a trapping enzyme, requires oxygen, and is an active transport or "pump" mechanism catalyzed by a Na⁺K⁺-ATPase and dependent on ATP. The transport process is mediated by a sodium iodide symporter (NIS) protein located on the plasma membrane (Riesco-Eizaguirre and Santisteban, 2006). It is the high efficiency of this trapping system that concentrates virtually all of the body iodine in the thyroid gland. It also accounts for the microgram nutrient requirement for iodine. In addition, the thyroid follicular cells have a high capability for compensatory hypertrophy when there is a scarcity of iodine, hence the development of iodine

FIGURE 20-2 Chemical structures of the major iodinated compounds of the thyroid gland.

deficiency goiters. The efficiency of I $^-$ trapping is also the basis for the radioactive iodine uptake (131 I Uptake) test of thyroid function, 131 I thyroid imaging, and 131 I thyroid therapy. The trapping of I $^-$ is stimulated by TSH and blocked by the goitrogenic agents such as thiocyanate (SCN-), perchlorate (CLO₄-) and by large amounts of I $^-$. Figure 20-1 also shows the sites of these and other blocks in the thyroxine biosynthetic pathway. Other compounds are trapped by the thyroid gland, and the most widely clinically used one is $^{99\text{mr}}$ Tc-pertechnetate, which is used for thyroid tissue imaging.

2. Synthesis of Thyroid Hormones

After trapping, there is an oxidation of I catalyzed by a peroxidase and the product is a highly active form of iodine, most likely a free radical, I*. This reaction is inhibited by thyrotoxic agents such as the thiouracils or thioureas and stimulated by TSH. Propylthiouracil is commonly used in the treatment of hyperthyroidism. The I* almost instantaneously binds to the phenyl groups of the tyrosine moieties of thyroglobulin at the 3 or 5 position to form a monoiodotyrosine (MIT) or a diiodotyrosine (DIT). This iodination occurs while the tyrosines remain in polypeptide linkage within the thyroglobulin molecule. Next, the iodinated phenyl groups of the tyrosines are coupled by the oxidative condensation of an iodinated phenyl group of one DIT to another DIT to form T4 or of an MIT group to a DIT to form T_3 . These iodination reactions occur mainly at the follicular cell membrane-colloid interface. These iodination reactions are energy requiring and sensitive to blocking by sulfa drugs, thioureas, and paraaminobenzoic acid (PABA).

3. Storage of Hormone

Thyroglobulin is the thyroidal glycoprotein of high molecular weight (660,000 daltons) synthesized exclusively by

the thyroidal follicular cells. After synthesis, it moves to the cell membrane where the iodinations occur and the iodinated thyroglobulin, or colloid, is released into the lumen of the follicle where it is stored. The amount stored can be quite large as evidenced by the mass of protein contained within a normal follicle.

4. Release of Hormone

TSH stimulation of the release of hormones is the second of its two principal sites of action. Within a few minutes after giving TSH, small packets of colloid are taken up by the follicular cell membrane, and vesicles are formed and taken into the follicular cell by endocytosis. The vesicles merge with lysosomes within the cell, and the lysosomal proteases hydrolyze the colloid and release their MIT, DIT, T_3 , and T_4 . The released MIT and DIT are enzymatically degraded by microsomal tyrosine deiodinases, and their iodine is recycled within the follicular cell. The T_4 and T_3 are released into the circulation by a simple diffusion process. Of the total hormone released, about 90% is T_4 and 10% is T_3 . Within the gland, there is also some deiodination of the T_4 of the inner phenyl group to form T_3 , but most of this deiodination occurs in the peripheral tissues.

This rT₃ is the inactive form of thyroid hormone and is on a degradation pathway.

VI. TRANSPORT OF HORMONE: PROTEIN BINDING

The thyroid hormones in the circulation are T_4 , T_3 , and rT_3 . Immediately upon entering the circulation, these hormones are bound to transport proteins, mainly to thyroxine-binding globulin (TBG), and with lesser amounts to thyroxine binding prealbumin (TBPA) and to albumin. There is a wide spectrum of species variation in hormone binding by serum proteins (Table 20-1). TBPA is present in all the species in contrast to earlier reports indicating that TBPA was present only in humans, rhesus monkey, horse, cat, rabbit, pigeon, and chicken. The early work was based on electrophoretic migration, but later work based on chemical properties identified TBPA or its analogues at different migration sites (Larsson et al., 1985). The differences in migration are likely due to differences in the amino acid composition of these proteins among the various species. Using chemical criteria, all species have TBPA.

TABLE 20-1 Thyroxine-Binding Proteins of Various Species, Their Electrophoretic Migration, and Their Relative Thyroxine Binding^a

	Electrophoretic Migration Position				
Species	Alpha-Globulin	Albumin	Prealbumin		
Human	TBG (73)	ALB (10)	TBPA (17)		
Rhesus monkey	TBG (59)	ALB (8)	TBPA (33)		
Cattle*	TBG (60)	TBPA (20)	ALB (20)		
Sheep	TBG (86)	TBPA (14)			
Goat	TBG (63)	TBPA (37)			
Water buffalo	TBG (78)	TBPA (22)			
Horse	TBG (61)	ALB (17)	TBPA (22)		
Pig	TBPA (0)	TBG (93)	ALB (7)		
Dog	TBG (60)	TBPA (17) α-G (11)	ALB (12)		
Cat*	TBPA (39)	ALB (61)			
Rabbit*	TBPA (73)	ALB (27)			
Rat*		ALB (80)	TBPA (20)		
Mouse*		ALB (80)	TBPA (20)		
Guinea pig*		ALB (81)	TBPA (19)		
Chicken	TBPA (10)	ALB (75)	TBPA (15)		
Pigeon*		ALB (50)	TBPA (50)		

^aTBG, thyroxine-binding globulin; ALB, albumin; TBPA, thyroxine-binding prealbumin; α-G, α-globulin: positioned as shown relative to the albumin position in each species. Numbers in parentheses specify the percentage of thyroxine binding. Constructed from the data of Tanabe et al. (1969), Refetoff et al. (1970), and Larsson et al. (1985). The * indicates approximations.

TBG is the major binding protein for hormone, but not all species have TBG. In those species without TBG, albumin serves as the major binding protein. The binding constant of TBG for T_4 is about 10^{10} liter/mole so that some 99.97% of the plasma T_4 is bound to TBG and only 0.03% is free or unbound (fT_4). The binding constant for T_3 is about 10^9 liter/mole so that about 99.7% of the plasma T_3 is bound to TBG and 0.3% is free. Therefore, TBG or albumin transports most of the hormones.

In the cat, rabbit, rat, mouse, guinea pig, pigeon, or chicken, TBG is absent and most of the hormone is transported by albumin. The albumin binding constant is about 10^5 liter/mole for T_4 or T_3 but with an unlimited binding capacity. In these species without TBG, albumin transports between 50% and 80% of the hormones. T_3 (and likely r T_3) appears to bind to these transport proteins in parallel with T_4 binding.

Protein binding has several functions. Protein binding solubilizes these lipid soluble hormones for transport in the aqueous plasma. The bound forms also do not readily pass through the renal glomerular membrane, so they minimize urinary loss of hormones, whereas the free hormones pass and are lost. The bound forms also serve as a large and readily accessible reservoir of the active hormones for delivery to the target organs and cells. Finally, the protein binding equilibrium is the fundamental basis for protein or immunoprotein binding assays of hormone and the indirect assay of TBG.

VII. MECHANISM OF THYROID HORMONE ACTION

A. General Effects of Thyroid Hormones

After the administration of thyroid extracts or T_4 , its first physiological effects are noted in 24 to 28 h and its maximal effects are noted in 7 to 10 days (Table 20-2). The T_4 requirements for these effects vary. The requirement for T_3 also varies, and less is required for equivalent activity and it acts more quickly. T_3 is now recognized as the active form of the thyroid hormone within the target cell. The T_4 that is transported into the target cell is rapidly deiodinated to the active T_3 . However, rT_3 , which is also produced in the cell in the deiodination process, is an inactive form of thyroid hormone and is simply degraded.

B. Molecular Basis of Thyroid Hormone Action

The molecular basis of thyroid hormone action at the cellular level has been frequently reviewed, and a multifaceted concept of its action has evolved. For many years, the mitochondrion was considered to be the site of thyroid hormone action. Uncoupling of oxidative phosphorylation

(ox-phos) in the mitochondria was a viable hypothesis for many years. Under normal conditions, 3 moles of ATP (P) are synthesized per atom of oxygen ($\frac{1}{2}$ 0₂ = 0) used in the cytochrome oxidase system; hence, P:0 ratio equals 3. If less than 3 moles of ATP are formed per unit 0 in a system in the presence of a compound such as the thyroid hormone, the system is said to be uncoupled (i.e., P:0 ratio is less than 3). In this event, more O₂ would be needed to generate an equivalent amount of ATP, and O2 consumption would increase. T₄ has repeatedly been shown to uncouple oxidative phosphorylation in in vitro systems, but it does so only in large, unphysiological amounts. These findings were extended to the whole animal to explain the increased oxygen consumption by T₄. The large amounts of oxidative energy not incorporated into ATP increased body temperature and were dissipated as heat. Thus, T4 action was theorized to be the result of the uncoupling of oxidative phosphorylation.

Another now well-known effect of thyroid hormone is the stimulation of cellular protein synthesis (Tapley, 1964; Tata *et al.*, 1963), and this occurs during the latent period when the calorigenic effect of thyroid hormone occurs. T₃ is now known to stimulate messenger RNA (mRNA) transcription, increasing translation and protein synthesis and accounting for the anabolic effects of thyroid hormones. This also means that the site of action is at the cell nucleus.

Another action of thyroid hormone is to stimulate the "sodium pump" (Na-K-ATPase) at the cell membrane, an action that would increase O₂ consumption (Edelman, 1974). Ouabain, an inhibitor of Na-K-ATPase, also inhibits the increased O₂ consumption induced by T₄ or T₃. Thus, stimulation of the sodium pump is an important way in which thyroid hormones stimulate increased oxygen consumption and accounts for almost half of the increase.

Category	Effect
Clinical	Tremors, nervousness, exophthalmos, hyperactivity, weight loss
Physiological	Increased temperature, heart function
Calorigenic	Increased basal metabolic rate $(O_2$ consumption)
Carbohydrate metabolism	Increased glucose turnover, absorption
Protein metabolism	Anabolic, positive N balance
Lipid metabolism	Decrease in blood cholesterol
Development	Stimulation of growth and maturation
Reproductive	Fertility, pregnancy, ovulation
Hematological	Erythropoiesis

A direct effect of thyroid hormones on cell membrane transport has also been suggested from studies on 2-deoxyglucose uptake (Segal *et al.*, 1977). Pliam and Goldfine (1977) proposed that T₃ binds to receptors on the cell membrane and is transported by carriers into the cell.

A combination of the aforementioned mechanisms is the most likely explanation of the mechanism of action of thyroid hormone. A viable model patterned after the generalized steroid hormone model is one in which (1) T_3 is bound to a receptor site on the plasma membrane, (2) T_3 is transported across the membrane into the cell by the carrier protein, (3) T_3 in the cell is again bound to a carrier protein, (4) T_3 rapidly exchanges between receptor sites on the mitochondrion or nucleus, and (5) T_3 interacts in metabolic processes and protein synthesis.

VIII. CATABOLISM AND EXCRETION OF THYROID HORMONES

The thyroid hormones undergo deiodination, conjugation, or oxidative reactions. The deiodination reaction occurs widely in peripheral tissues and is catalyzed by deiodinases specific for each iodine position, and the released iodine is returned to the iodine pool. The details of the specific reactions, however, are not yet known. The deiodinase that catalyzes the deiodination of T_4 to T_3 in target cells is actually participating in an activation reaction. Deiodination at the 3 position of T₄ gives rT₃, the inactive form on the degradation pathway. Within a cell, therefore, some of the T₄ is converted to its active form, and some is converted to its inactive form for degradation. Some of the thyroid hormones are conjugated in the liver and excreted in the bile either as glucuronides or as sulfates. Also, the thyroid hormones, being amino acids, are deaminated and decarboxylated in the liver to form corresponding tetraiodoacetic acid and tri-iodoacetic acid, which are excreted by the kidney. Finally, the kidney also excretes the small amounts of free hormones in the blood.

IX. REGULATION OF THE THYROID GLAND

A. Production and Regulation of Thyroid-Stimulating Hormone

The thyroid gland is under the control of the thyroid-stimulating hormone (TSH), which is secreted by the anterior pituitary gland, which in turn is mediated through the hypothalamus and its thyrotropin-releasing hormone (TRH). The TRH is synthesized in the anterior hypothalamus by neurosecretory cells and then transported down axonic processes to the anterior pituitary. There, TRH stimulates the thyrotrophic cells to synthesize TSH. The secretion of TSH is regulated by a classic negative feedback control system based on the product of the target gland, thyroxine. A high

plasma concentration of free hormone, fT_4 , depresses TSH secretion by the pituitary and, to some extent, the TRH from the hypothalamus. The direct inhibition of the pituitary by increased fT_4 is the faster responding of the two tissues. At low concentrations of fT_4 , however, the hypothalamus responds quickly by increasing its synthesis and release of TRH, which in turn increases the synthesis and release of pituitary TSH. In consequence, this regulatory mechanism, which is sensitive to either high or low concentrations of fT_4 , maintains the circulatory hormone concentrations at the normal homeostatic level for that species.

B. Action of the Thyroid-Stimulating Hormone on the Thyroid Gland

TSH has a number of direct effects on the thyroid gland: the gland increases in size, the height of the follicular cell increases, and there is a loss of colloid. The response of the thyroid gland to TSH is modified by the intake of stable iodine. When iodine intake is high, the action of TSH is suppressed and the height, size, and activity of the follicular cells decrease. When iodine intake is low, there is a compensatory hypertrophy of the gland with an increase in number, height, and size of the follicular cells. This is characteristic of the iodine deficiency goiters or the nontoxic goiters.

C. Action of the Thyroid-Stimulating Hormone on Hormonogenesis

The effects of TSH on hormone synthesis by the thyroid follicle are outlined in Figure 20-1 and discussed in Section V. TSH affects every reaction in the hormone synthetic pathway beginning with the uptake of iodine, its activation, tyrosine iodination, coupling of the iodinated phenyls, and hydrolysis of colloid. The two most important sites of TSH action are the initial uptake of iodine and the final hydrolysis of colloid to release hormones into the circulation.

D. Long-Acting Thyroid Stimulators

A second thyroid stimulatory factor has been identified in the serum of human patients with Graves' disease and appears to be closely involved in the mechanism of thyrotoxicosis (Adams, 1958). It differs from TSH in that it is cleared from the blood more slowly and produces its thyroid-stimulating effects many hours (8 to 24) after that of TSH. It is therefore referred to as long-acting thyroid stimulator, or LATS. Studies of LATS have been largely in humans where it has been closely correlated with hyperthyroidism (Lipman *et al.*, 1967). Since the initial observations, many other forms of delayed-acting thyroid stimulators have been found, and all, including LATS, are now known to be immunoglobulins of the IgG class. These

thyroid-stimulating immunoglobulins or thyroid-stimulating antibodies (TSAb) do not appear to have a role in normal thyroid physiology, nor have corresponding stimulators been found in animals.

X. THYROID FUNCTION TESTS

The diagnosis of thyroid disease is usually obscured by the nonspecific nature and variety of clinical signs. A thorough physical examination is essential for the detection of a potential thyroid disease and as a rationale for laboratory tests of thyroid function. Initial routine laboratory tests such as hematology or urinalysis are of limited value except for a moderate to slight normocytic normochromic anemia, which may sometimes be observed. The initial biochemical screen is also limited except for a hypercholesterolemia, which may be observed. In consequence, the specific tests of thyroid function are of great importance in the diagnosis of thyroid disease. Fortunately, improvements of standard tests and the development of new tests have made several specific and direct tests of thyroid function readily available to the veterinary clinician.

A. Indirect Tests of Thyroid Function

1. Hematology

A moderate normocytic normochromic anemia is sometimes associated with clinical hypothyroidism in the dog. This anemia has also been observed in human hypothyroidism and in experimental animals and is known to be of a depression type or the anemia of chronic disease. The stained blood smear characteristically has little or no evidence of active erythrogenesis such as anisocytosis, polychromasia, or nucleated red cells. Leptocytosis may be prominent in some cases. The hemogram, therefore, is characteristic of the nonresponsive anemia of chronic diseases such as neoplasia, chronic infection, and so on. This anemia is not diagnostic for hypothyroidism, but conversely, in cases of unexplained hypoplastic or nonresponsive anemia, hypothyroidism is an important differential diagnosis that should be pursued.

2. Cholesterol

The serum cholesterol generally varies inversely with thyroid activity. The net effect of thyroid hormone on cholesterol metabolism is to increase the rate of its catabolism by the liver (Koppers and Palumbo, 1972), thereby lowering the cholesterol. In hypothyroidism, the net effect is a decrease in cholesterol catabolism and an increase in cholesterol.

The cholesterol is carried in dog plasma equally by the low-density lipoproteins (LDL-Chol) and the high-density lipoproteins (HDL-Chol) (Mahley and Weisgrober, 1974). In humans, cholesterol is carried mainly by the LDL-Chol and only about 20% by the HDL-Chol. This partitioning of cholesterol is important because increased LDL-Chol is associated with atherosclerosis, whereas increased HDL-Chol is associated with a reduced risk for heart disease, hence it is commonly known as "good" cholesterol.

Total cholesterol alone is of limited value because hypercholesterolemia is seen in a variety of conditions unrelated to thyroid activity. These include the diet, nephrotic syndrome, hepatic function, biliary obstruction, and diabetes mellitus. The diagnostic accuracy of serum cholesterol for hypothyroidism in the dog is about 66%. However, when the concentrations are very high, >500 mg/dl (>12.9 mmol/l), and diabetes mellitus is eliminated, its diagnostic accuracy increases greatly. Therefore, increased cholesterol again is simply a signal to further investigate thyroid disease.

Similarly, hypocholesterolemia has little value as an index of hyperthyroidism. On the other hand, cholesterol decreases consistently in response to thyroxine replacement therapy, so it has value as a guide to therapeutic response. In thyroidectomized horses with clinical evidence of hypothyroidism, Lowe *et al.* (1974) found a 50% decrease in serum cholesterol shortly after feeding iodinated casein. Feeding of iodinated casein to these horses also resulted in a rapid rise of T_4 to above normal. Iodinated casein contains about $2.5\mu g$ (3.85 nmol) T_4 and $1.25\mu g$ (1.61 nmol) T_3 per gram.

B. Direct Tests of Thyroid Function

Direct approaches to thyroid evaluation are (1) to measure the amount of the hormones in the blood, (2) to assess the response of the thyroid to stimulation by the thyroid-stimulating hormone (TSH), or (3) to assess the response of the pituitary gland to stimulation by the thyrotropin-releasing hormone (TRH). Because there are thyroid inhibitory effects among a wide variety of iodine containing compounds, it is critical that any form of iodine-containing medication, including thyroid hormones, be ascertained in the history of the patient. As a general rule, any iodine-containing medication or thyroid hormones being given to the patient should be withdrawn for at least 2 weeks before any thyroid function tests are undertaken.

1. Thyroxine by Radioimmunoassay

The principle of competitive protein binding (T_4 -CPB) is the basis for the now standard radioimmunoassay for all hormones including T_4 and T_3 . The method is based on the competitive binding of TBG for patient T_4 and 131 I-labeled T_4 . The 131 T₄ and patient T_4 bind to the TBG in proportion to their concentrations so that labeled T_4 binding is inversely proportional to patient T_4 concentration.

Specific antibodies have replaced the use of TBG so that there is high specificity for the assay of proteins, polypeptides, haptens, and most of the hormones. Polyclonal antibodies give accurate results and are usually used for these hormone assays. The mean normal T_4 -RIA in dogs is $2.3 \pm 0.8 \,\mu\text{g/dl}$ (29.6 $\pm 10.3 \,\text{nmol/l}$) with an observed range of 0.6 to 3.6 $\mu\text{g/dl}$ (7.7 to 46.3 nmol/l), which is comparable to the 1.5 to 3.6 $\mu\text{g/dl}$ (19.3 to 46.3 nmol/l) of Sims et al. (1977). T_4 -RIA is also widely used in cats because of the high prevalence of hyperthyroidism in this species. The reference range for cats is 0.1 to 2.5 $\mu\text{g/dl}$ (1.3 to 32.3 nmol/l). In the horse, T_4 -RIA is also quite low, 0.9 to 2.8 $\mu\text{g/dl}$ (11.6 to 36.0 nmol/l). Messer et al. (1995) reported a mean T_4 -RIA of 21.42 \pm 3.46 nmol/l in 12 adult horses.

2. Thyroxine by Enzyme-Labeled Immunoassay

An important advance in hormone assay is the development of an enzyme-labeled immunoassay (EIA) test comparable in every way to radioimmunoassay except that the labeling is by an enzyme rather than radioiodine. This method has some obvious advantages in that there is no need to use radioactivity and the enzyme can be assayed in any laboratory. One system labels T₄ with malate dehydrogenase (MD), which in competition with unlabeled T_4 , binds to antibody. This method has the acronym EMIT for enzyme multiplied immunosorbent test. This method has another advantage in that the labeled and unlabeled fractions need not be separated. The MD when bound to T₄ is inactive, and when it binds to the immunoglobulin, it is activated. The activity of malate dehydrogenase is assayed by standard enzyme methodology, and the T_4 is read from a standard curve as in a radioimmunoassay.

Another system uses two separate recombinant fragments of the enzyme β -galactosidase (Horn *et al.*, 1991). The individual fragments, enzyme donor (ED) and enzyme acceptor (EA), are inactive but when they recombine, they form the active enzyme. Thyroxine is bound to ED. In the presence of thyroxine antibody, the thyroxine-ED-antibody complex inhibits recombination with EA, and no active β -galactosidase is formed. When sample thyroxine and a standard amount of thyroxine-ED are mixed, they compete for a standard amount of antibodies. Thus, unbound thyroxine-ED accumulates in direct proportion to the amount of sample thyroxine. The unbound thyroxine-ED is free to bind with EA to form the active β -galactosidase. In this case, enzyme activity is directly proportional to the amount of thyroxine. This method had a very high correlation coefficient when compared to an RIA (r = 0.969), EIA (r = 0.966) and a fluorescence polarization immunoassay (FPIA, r = 0.939).

Another variation of the nonisotopic immunoassay is the fluorescence immunoassay in which a fluorochrome is tagged to the T_4 . The T_4 concentration is inversely proportional to the fluorescence as in the RIA. This is also a sensitive test, but it requires a sensitive spectrofluorometer for the assay.

Chemiluminescence is another nonisotopic method with comparable accuracy (Wilkinson *et al.*, 1993). Enzyme immunoassays have not replaced radioimmunoassays for hormones, but the principle is now widely used for antigen or antibody assays using horseradish peroxidase (HRP) as the enzyme label. The procedure is popularly known as the enzyme-linked immunosorbent assay or by its acronym, ELISA. This procedure has been adapted for T₄ by labeling T₄ with HRP, coupling the HRP to a dye that indicates enzyme activity and hence T₄ concentration.

3. Triiodothyronine by Radioimmunoassay

Triiodothyronine is also commonly assayed by radioimmunoassay (T_3 -RIA). In the dog, the mean normal T_3 -RIA is 107 ± 18 ng/dl (1.6 ± 0.3 nmol/l) with an observed range of 82 to 138 ng/dl (1.26 to 2.12 nmol/l) (Kaneko, 1997). It closely parallels T_4 -RIA in the dog so that the simultaneous determination of T_4 -RIA and T_3 -RIA will increase the diagnostic accuracy of either one alone. In cats, T_3 -RIA is less widely used in comparison to T_4 -RIA. The reference range for cats is 15 to 50 ng/dl (0.23 to 0.77 nmol/l). In the horse, Messer *et al.* (1995) reported a mean T_3 -RIA of 0.85 ± 0.52 nmol/l.

4. "Free" Thyroxine and "Free" Triiodothyronine

"Free" thyroxine (fT_4) is the unbound fraction of the total circulating T_4 , and its concentration is controlled by the equilibrium between TBG and TBG- T_4 (Section III). Equilibrium dialysis is now considered the best method for determining the free hormones, but it is often too laborintensive for use in many clinical laboratories. An equilibrium dialysis method for free thyroxine is commercially available so that this method is now widely used.

The fT₄ concentration for dogs is 0.52 to 2.7 ng/dl (6.7 to 34.7 pmol/l). In the hyperthyroid cat, Hays *et al.* (1988) found no differences in dialyzable T₄ from the normal. They inferred that the total T₄ is sufficient for diagnosis and that the free hormone is not needed in the cat. In the horse, Messer *et al.* (1995) reported a mean fT₄-RIA of 14.0 ± 1.16 pmol/l.

The fT₃-RIA parallels fT₄-RIA in its binding characteristics. Because fT₃ is the physiologically active form of the hormone, it is potentially the single most reliable test of thyroid function. In the horse, Messer *et al.* (1995) reported a mean fT₃-RIA of 0.89 \pm 0.53 pmol/l.

5. Thyroid-Binding Globulin, Thyroglobulin, and Thyroid Autoantibodies

Thyroid-binding globulin (TBG) and thyroglobulin (Tg) or colloid are measured by RIA. The standard technique for

thyroglobulin antibodies (TgAA) is the ELISA method. Haines *et al.* (1984), using ELISA, detected TgAA in a high percentage of dogs with hypothyroidism, in dogs with other endocrine diseases, and in those dogs that were closely related to the TgAA-positive dogs but found a low percentage in healthy unrelated dogs. They concluded that thyroid autoimmunity is strongly genetically influenced in the dog. An enzyme immunoassay (EIA) method has also been developed for the detection of autoantibodies to thyroxine and triiodothyronine (Patzi and Mostl, 2003).

6. Thyroid-Stimulating Hormone

Serum TSH is measured by radioimmunoassay (TSH-RIA) using an anticanine TSH antibody, which is commercially available for use in dogs. The human assay method, which is not suitable for dogs (Larsson, 1981), has been used in monkeys (*M. mulatta*) with 0.2 to $2.6\,\mu\text{U/ml}$ ($1.53\,\mu\text{U/ml}$) (Belchetz *et al.*, 1978). Marca *et al.* (2001) compared three assay methods for canine serum: RIA, EIA, and chemiluminescent. They found that the chemiluminescent assay had the best within- and between-run precision, and that all three had satisfactory correlations to serum TSH concentrations.

7. Thyrotrophin-Releasing Hormone

Although as yet no reliable thyrotrophin-releasing factor (TRH) assay has been developed for use in domestic animals, a highly purified TRH is available and is used in the TRH response test.

C. Radionuclide Uptake Tests

1. Radionuclide Scintigraphy

Radioiodine is taken up by the thyroid gland in exactly the same manner as the nonradioactive isotope, and its uptake remains as one of the most definitive tests for thyroid function. Radioactive ^{99m}Tc-pertechnetate is also taken up in the same manner and is now widely used for scintigraphy. Pertechnetate scintigraphy is used for detecting asymmetry of the thyroids, for localizing of active thyroid nodules and "hot spots" (Scriviani *et al.*, 2007). Quantitative pertechnetate uptake was found to have the highest discriminatory power between primary hypothyroidism and nonthyroidal illness when compared to other methods of evaluating thyroid function (Diaz-Espineira *et al.*, 2007).

D. Thyroid Ultrasonography

Ultrasonographic evaluation of the thyroid gland has been proposed as a means to differentiate between hypothyroid and euthyroid dogs. Two studies have reached essentially the same conclusion that the estimated thyroid volume by ultrasound has a highly specific predictive value for canine hypothyroidism (Bromel *et al.*, 2005; Reese *et al.*, 2005).

E. Trophic Hormone Response Tests

1. Thyroid-Stimulating Hormone Response Test

The response of the thyroid to TSH injection is a means of evaluating thyroid activity as well as to differentiate a primary hypothyroidism because of a thyroid lesion from a hypothyroidism secondary to a pituitary lesion. The responsiveness of the thyroid to the TSH injection is evaluated by an increase (or failure to increase) as evidence of thyroid activity (or inactivity). In the general procedure, thyroidal activity (or lack of activity) is first established by the measurement of serum hormones, and this is followed by the injection of TSH. In a primary hypothyroidism where the lesion is localized in the thyroid, there is no response to the exogenous TSH. If the hypothyroidism is due to a pituitary hypofunction with a deficiency of TSH or a hypothalamic lesion with a lack of TRH, there will be a response of the thyroid to the exogenous TSH as seen by a significant increase in serum hormone concentrations. Glucocorticoids and phenylbutazone are also well known to depress thyroid activity so that the TSH response test is useful in detecting low hormone concentrations due to thyroid disease. Useful procedure for the TSH response test is to first to obtain a serum sample for baseline T₄ or T₃, then inject 10IU of bovine TSH intravenously. Held and Oliver (1984) and Oliver and Waldrop (1983) have recommended a minimum of 5IU for the dog and horse, respectively. After 4h, a second serum sample is taken and hormone again measured. The normal response in dogs is a doubling or more of the hormone above baseline level. In the primary hypothyroid individual, there is a virtual absence of a response. In the drug-induced or Cushing's patient with low hormone, there will be a response to well within the normal hormone concentrations. The secondary (TSH) or tertiary (TRH) hypothyroid patient will have a response similar to the drug-induced or Cushing's patient. In cats, Peterson et al. (1983) found T₄ to increase almost threefold above the baseline at 4h post TSH. In the baboon, the TSH response test had peaks of more than double the baseline at 8 and 12h for T₃ and T₄, respectively (Maul et al., 1982). In horses, Messer et al. (1995), at 6h after TSH administration, found significant increases in T_4 , T₃, and fT₄ but not in fT₃. They suggested that the TSH response test may not be as valuable for thyroid disease diagnostics in the horse as it is in the dog and cat.

2. Thyrotrophin-Releasing Hormone Response

The response to thyrotrophin-releasing hormone (TRH) has been used in dogs and cats. Lothrop *et al.* (1984) found

a doubling of T₄-RIA at 6h postinjection in dogs and cats. Scott-Montcrieff and Nelson (1998) measured TSH at 30min after TRH injection and found a small increase in TSH in hypothyroid as compared to euthyroid dogs. They concluded that the test had little advantage over using the baseline TSH, total T₄, or fT₄.

XI. DISEASES OF THE THYROID

Thyroid disease has been most extensively studied in the dog, whereas in the cat, the number of studies is increasing as the incidence of hyperthyroidism has increased. Hyperthyroidism is now the most frequently encountered endocrinopathy in this species. In the horse, Lowe *et al.* (1974) described the clinical effects of experimental thyroidectomy in mares and stallions, and hypothyroidism remains as a frequent consideration in breeding problems. In ruminants, a congenital goiter has been described in Merino sheep and in Afrikander cattle. Local enlargements or nodules are also observed in all animals, and these are usually benign tumors.

A. Goiter

Goiter may be defined as an enlargement of the thyroid gland, which is not due to inflammation or malignancy. There are two general types of goiters: (1) nontoxic goiters, which produce either (a) normal amounts of hormone (simple goiter) or (b) below normal amounts of hormone (hypothyroid), and (2) the toxic goiters, which produce excess amounts of hormone (hypertrophy). Furthermore, a defect or deficiency at any trophic step can also result in thyroid disease. Iodine deficiency (endemic goiter) is well known in iodine-deficient areas of the world. Goitrogenic materials, either natural substances or drugs, induce goiters by their blocking effects on steps in the hormonogenic pathways. There are also rare types of familial goiters associated with defects in hormone synthesis (dyshormonogenesis) in humans, which find their counterparts in Merino sheep (Rac et al., 1968) and in Afrikander cattle (Van Zyl et al., 1965). The congenital goiter in the Merino sheep is fundamentally a failure of thyroglobulin synthesis. The goiter is inherited as an autosomal recessive and is frequently seen in Australia. The similar congenital goiter in the Afrikander cattle (Ricketts et al., 1985) is also inherited as an autosomal recessive. These cattle have a thyroglobulin synthesis defect involving defective gene splicing of the thyroglobulin gene transcript. In Bongo antelopes, goiter was observed in a group of adults, which was associated with synthesis of an abnormal 19S thyroglobulin (Schiller et al., 1995). The goitrous antelopes were hormonally euthyroid but had other manifestations of hypothyroidism (e.g., reproductive difficulties). Iodine deficiency goiter was observed in seven Thoroughbred foals in the northern

island of Hokkaido, Japan (Osame and Ichijo, 1994), which was attributed to iodine deficiency in the soil of the region. All foals had readily detectable thyroid enlargements, and four of the seven had clinical signs of thyroid deficiency as well. The goiters receded after iodine supplementation of the feed.

Simple goiter is a compensatory increase in thyroid glandular mass (hyperplasia and hypertrophy) so that the gland maximizes iodine uptake and is able to synthesize and release a normal amount of T_4 . At this time, the patient is physiologically normal, but the gland can become quite large. Ultimately in iodine deficiency, the goitrous gland fails to synthesize sufficient T_4 and hypothyroidism occurs.

B. Hypothyroidism

Hypothyroidism may be the result of a variety of causative factors. Thyroiditis, with similarities to Hashimoto's thyroiditis in humans, has been reported in about 12% of beagle dogs (Beierwaltes and Nishiyama, 1968). Antithyroglobulin antibodies were found in these dogs. In the adult dog, follicular atrophy is probably the most common cause of hypothyroidism. Finally, hypothyroidism may be secondary to a pituitary insufficiency.

The hypothyroid dog is typically obese, lethargic, has myxedema, a dry skin, and a sparse hair coat. Hypothyroidism is therefore an important differential in the diagnoses of dermatoses. The requirement of T₄ for normal reproduction, growth, and development is well known, so hypothyroidism is an important differential in reproductive failures. Experimentally, thyroidectomized mares and stallions failed to grow; were lethargic, had coarse, dull hair coats; and had increased serum cholesterol (Lowe et al., 1974). Hypothyroid horses tend toward obesity and crestiness.

In the initial screen, an increased cholesterol is often the first clue to hypothyroidism. Definitive laboratory findings in the hypothyroidism of animals are a low T_4 or T_3 with little or no response to the TSH response test. Therefore, the recommended algorithm is to first obtain the total T_4 and T_3 (and the fT_4 and fT_3 if available). If the results are equivocal, this is followed by the TSH response test. Other definitive studies such as the $^{99\text{m}}$ Tc-pertchnetate scans can be used in specialized hospital settings for the identification of isolated thyroid nodules.

In human thyroid disease diagnostics, TSH is now considered to be the single best test of thyroid status and more cost effective than T_4 . TSH can now be readily assayed with a functional sensitivity of 0.01 to 0.02 mU/l. Because of this sensitivity, human thyroidologists now recommend that TSH be used as the initial test and that T_4 or preferably fT_4 be used only on a selected basis. In the dog, however, Kooistra *et al.* (2000) found that TSH in hypothyroid dogs was secreted in a pulsatile pattern. This may explain

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the variations in TSH found in hypothyroid dogs, which detract from its usefulness canine hypothyroidism.

C. Hyperthyroidism

Hyperthyroidism or toxic goiter is characterized by weight loss, hyperactivity, a voracious appetite, and increased thyroid hormones. Hyperthyroidism is rarely observed in dogs, but in the cat, the high incidence of hyperthyroidism has been recognized as a common endocrinopathy (Peterson *et al.*, 1983). The most common form of hyperthyroidism in the cat is a functional thyroid adenoma.

Increases in T_4 or T_3 are virtually pathognomonic signs of hyperthyroidism in the cat. In 131 cases, T_4 was increased to between 4.0 and $54.1\mu g/dl$ (51.5 to 696.3 nmol/l) in all cats, and T_3 was between 54 and 1000 ng/dl (0.83 to 15.36 nmol/l) in 97% of the cats (Peterson *et al.*, 1983). Hays *et al.* (1988) suggested that T_4 and T_3 are sufficient for diagnosis and that the free hormones are not needed for the diagnosis. In the 131 cases, the mean 24-h ¹³¹I uptake was 39.1% as compared to 9.2% in normal cats. There was no increment of response above the baseline value to the TSH response test in these cats as would be expected of a tumor. ⁹⁹Tm-pertechnetate scans demonstrated increased uptake and size in one or both lobes of the thyroid.

D. Tumors of the Thyroid Gland

Except for the dog and cat, tumors of the thyroid occur infrequently in animals. About 20% of canine thyroid tumors are functional. Scintigraphic imaging is now used to identify functional thyroid tumors and their metastases. In feline hyperthyroidism, a functional thyroid adenoma is the most common finding.

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Clinical Reproductive Endocrinology

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I. INTRODUCTION

Clinical reproductive endocrinology includes the study of diseases and secretory status of the endocrine glands involved in reproduction and their secretory products, the reproductive hormones. To obtain a satisfactory understanding of the complex endocrinological events that occur during normal and abnormal reproductive function, it is necessary to quantify specific hormones.

Major progress in hormone analytical techniques occurred as the result of the development of immunoassay

¹Revised and updated by Lars-Erik Edqvist and Mats Forsberg

and related systems. The first of the assays, radioimmunoassay, was developed in the late 1950s (Berson and Yalow, 1959) with the competitive protein binding assay following a few years later (Murphy, 1964). Nonradiometric assays (e.g., enzyme immunoassay) were developed in the 1970s (Engvall and Perlmann, 1971; van Weemen and Schuurs, 1971). These assay systems have the characteristics of being sensitive, specific, relatively inexpensive, and requiring small amounts of assay material. They have been of special value for studying endocrinological reproductive function in domestic animals in that they have made possible the study of dynamic endocrine changes through the assay of serial blood samples from the same animal. The immunoassay systems have also been useful as diagnostic aids for identifying and elucidating clinical reproductive problems. In clinical practice, these methods are important from both a diagnostic and therapy-monitoring point of view.

Because this book deals with clinical biochemistry in domestic animals, this chapter emphasizes the determination of hormones and the use of the data as diagnostic aids. General reproductive endocrinology in domestic species is broadly covered. Readers specifically interested in this subject are referred to specialized books dealing with this matter.

A. Definition of Hormones

The best understood humoral control system in the body is the endocrine system. This system uses specific messengers, termed *hormones*, to regulate important body functions. By the classical definition, hormones are chemical substances synthesized and secreted by ductless endocrine glands in minute quantities directly into the blood vascular system and are transported to a remote target organ where they regulate the rates of specific biochemical processes. The classic endocrine glands include the pituitary, thyroid, parathyroid, adrenal, pancreas, ovary, testis, placenta, and pineal gland.

In the case of reproduction, the pituitary and pineal glands, the gonads, and the placenta play a primary role in controlling the system. Other endocrine glands such as the adrenal and thyroid glands also have some influence on reproductive function. It has also been shown that other organs like the uterus and the hypothalamus, although they may not fulfill the strict requirement of being defined as endocrine glands, can synthesize and secrete hormones that have a profound influence on reproductive function.

B. Chemical Classes of Reproductive Hormones

1. Peptide and Protein Hormones

a. Releasing Hormones

Several types of hormones are involved in the regulation of reproduction. Releasing hormones are peptide hormones, which are produced within the hypothalamus and transferred via the hypothalamo-hypophyseal portal veins to the adenohypophysis, where they regulate the synthesis or release of adenohypophyseal hormones. Gonadotropin-releasing hormone I (GnRH), a decapeptide with the sequence pGlu-His-Trp-Ser-Tyr-Gly-Leu-Arg-Pro-Gly-NH2, regulates the release of two important reproductive hormones, luteinizing hormone (LH) and follicle-stimulating hormone (FSH). A conserved second GnRH (GnRH-II) form exists in some mammals but appears not to have importance for gonadotropin release (Millar, 2005). Thyrotropin-releasing hormone, a tripeptide (pGlu-His-Pro-NH₂), which regulates the synthesis and release of thyroid-stimulating hormone (TSH), also causes the release of prolactin in several species.

GnRH is released in a pulsatile fashion, where the frequency of peaks varies according to reproductive status (see Clarke and Pompolo, 2005). Recently discovered neuropeptides have shed some light on the central regulation of GnRH release. Kisspeptin (or mestatin) and its receptor (G protein-coupled receptor 54) are expressed in the hypothalamus in close connection with GnRH-neurons. Kisspeptin is regulated by sex steroids and can directly activate GnRH neurons and induce GnRH secretion; thus it may be an important regulator of the reproductive axis and puberty (Seminara, 2005). First discovered in birds (Tsutsui et al., 2000), gonadotropin-inhibiting hormone (GnIH) has also been demonstrated in mammals, where its hypothalamic localization, association with steroid receptors, and inhibiting effect on gonadotropin secretion suggest an important role in the reproductive axis (Kriegsfeld et al., 2006).

b. Hypophyseal Hormones

Luteinizing hormone (LH) and follicle-stimulating hormone (FSH) are glycoproteins containing 13% to 25% carbohydrate. The molecular weight of LH in domestic animals (bovine, ovine, porcine, equine) is about 30,000. Ovine and equine FSH have molecular weights of about 32,000. Prolactin is a protein with a molecular weight of approximately 23,000 (bovine, ovine, porcine). Luteinizing hormone and FSH, as well as TSH, consist of two nonidentical subunits designated α and β . Within a species, the α -subunit

is identical for these glycoprotein hormones, whereas the β -subunit is unique for each hormone and determines the biological activity. Individual subunits by themselves possess little or no biological activity and are probably not released into the circulatory system under normal physiological conditions.

The cells of the adenohypophysis can be divided into basophiles (affinity for basic stains) and acidophiles (affinity for acid stains). Luteinizing hormone and FSH are produced within basophilic cells; it has been demonstrated that LH and FSH can be present within the same cell (the gonadotrope). Prolactin, on the other hand, has been localized in acidophilic cells. Gonadotropes have G proteincoupled GnRH-receptors on their surface, and the secretion of LH and FSH during the ovarian cycle is controlled by changes in the frequency of GnRH pulses. Except during the preovulatory LH surge in the estrus cycle, there is a clear difference in the patterns of FSH and LH secretion, as measured in the peripheral circulation, and only LH is released in a clear pulsatile manner reflecting GnRH secretion (see Pawson and McNeilly, 2005).

c. Neurohypophyseal Hormones

The posterior pituitary is responsible for storage and release of oxytocin, an important reproductive hormone, and antidiuretic hormone (vasopressin). These two hormones are synthesized primarily in the regions of the paraventricular nucleus and supraoptic nucleus of the hypothalamus. The hormones are transported to the posterior pituitary by axoplasmic fluid. Release of these hormones occurs as a result of stimulation of the nerve cell bodies in the nuclei. Oxytocin is an octapeptide with a molecular weight of 1000.

d. Gonadal Activins and Inhibins

Activins and inhibins are structurally related proteins belonging to the transforming growth-factor- β (TGF- β) superfamily and have impact on the endocrine reproductive axis. Inhibins and activins are made up of two peptide chains. Inhibins form α - β dimers and activins β - β dimers. There are two varieties of the β -unit (called A and B) producing two forms of inhibin, inhibin A $(\alpha - \beta A)$, and inhibin B $(\alpha - \beta B)$, and three forms of activin, activin A $(\beta A - \beta A)$, activin B (β B- β B), and activin C (β A- β B). Activins and inhibins secreted from the gonads act antagonistically on the gonadotropes to regulate FSH release. In the female, activins have several autocrine/paracrine functions in reproductive tissues and ovary activin A has been indicated as an endocrine stimulator of FSH release. In the male, inhibin is produced by Sertoli cells and function as a negative feedback regulator of pituitary FSH, probably in synergy with testosterone. In most species (e.g., bull, boar, and stallion), the dominant testicular form is inhibin B, whereas in the ram, inhibin A appears to be the dominant inhibin of the testicles of adult males (McNeilly et al., 2002).

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e. Placental Gonadotropin

A gonadotropin, renamed equine chorionic gonadotropin (eCG) because of its close relationship with human chorionic gonadotropin (hCG) (Farmer and Papkoff, 1979), was formerly called pregnant mares' serum gonadotropin (PMSG) and is produced by mares during early pregnancy (day 40 to 140) by fetal trophoblastic cells of the chorionic girdle, which attach to, invade, and phagocytose the maternal epithelium and become embedded within the uterus as specialized endometrial cups. This process begins on day 36 of pregnancy. No specific placental gonadotropins have been demonstrated in other domestic species.

2. Steroid Hormones

Steroid hormones are derived from a common precursor molecule, cholesterol, via the metabolic pathway schematically outlined in Figure 21-1. More than 1500 biologically active steroids have been isolated from biological material or have been produced synthetically. The molecular weight of steroid hormones is low, usually below 500 (Table 21-1). Examples of steroids that play an important role in reproductive processes are estrogens, androgens, and progestagens, with the main source being the gonads. The structure of the most important sex steroids is presented in Figure 21-2. The most common steroid hormones are usually designated by a trivial name (e.g., estradiol, testosterone, or progesterone). The International Union of Pure and Applied Chemistry (IUPAC; www.iupac.org) has recommended systemic names for steroid hormones. These systemic names describe the chemical and stereoisomeric characteristics of the particular steroid hormone (Table 21-1).

3. Prostaglandins

Prostaglandins constitute a group of 20-carbon unsaturated fatty acids with molecular weights usually between 300 and 400 (Table 21-1). Prostaglandins are not hormones

TABLE 21-1 Nomenclature and Molecular Weights of Some Biologically Important Steroids and Prostaglandins

Trivial Name	Systematic Name	Molecular Weight
Androstenedione	4-Androstene-3, 17-dione	286
17β-Estradiol	1,3,5(10)-Estratriene-3, 17β-diol	272
Estrone	3-Hydroxy-1,3,5(10)- estatrien-17-one	270
17α- Hydroxyprogesterone	17α-Hydroxy-4- pregnene-3,20-dione	331
Pregnenolone	3β-Hydroxy-5-pregnen- 20-one	317
Progesterone	4-Pregnene-3,20-dione	315
Testosterone	17β-Hydroxy-4- androsten-3-one	288
PGF _{2∞}	9α,11α,15- Trihydroxyprosta-5, 13-dienoic acid	354
15-Keto-13, 14-dihydro- PGF _{2α}	$9\alpha,11\alpha$,Dihydroxy-15- ketoprost-5-enoic acid	354

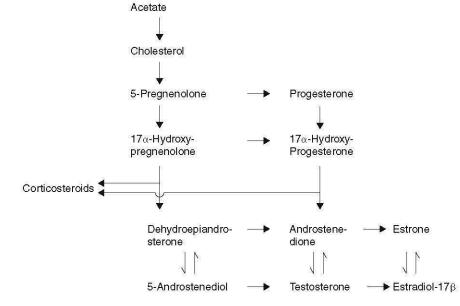


FIGURE 21-1 Pathway for the synthesis of biologically active steroids from acetate. The steroids secreted from the gonads and the adrenals are formed from acetate and cholesterol.

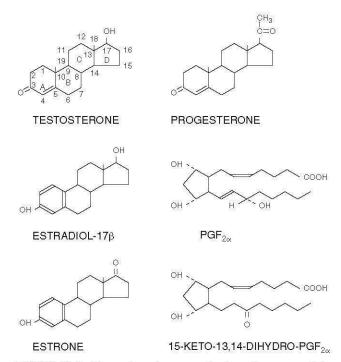


FIGURE 21-2 The number of sequence for the carbon atoms of the steroid skeleton and lettering sequence for the four rings are shown for testosterone. The structures of three other important sex steroid hormones, estrone, estradiol- 17β , and progesterone, as well as the structure of prostaglandin $F_{2\omega}$ and its blood plasma metabolite 15-keto-13,14-dihydro-prostaglandin $F_{2\omega}$ are also depicted.

in the strictest sense, and the expressions "paracrine factor" or "local hormones" have been used to describe these substances. This is because prostaglandins are not secreted from any particular gland and the biological half-life of prostaglandins is usually extremely short, allowing, in most cases, only a local action. Several different prostaglandins are found in a number of types of mammalian tissues. One prostaglandin released from the uterus, prostaglandin $F_{2\alpha}$ (PGF_{2\alpha}), plays an important role in regulating reproductive cycles in domestic species through the control of luteal activity in nonpregnant animals and the initiation of delivery in pregnant animals. The structures of prostaglandin $F_{2\alpha}$ and its main metabolite, 15-keto-13,14-dihydroprostaglandin $F_{2\alpha}$, are presented in Figure 21-2.

C. Hormone Receptors

Because steroid hormones are fat soluble, they are able to enter all cells of the body as the lipid cellular boundaries present no barrier. Steroid hormone concentrations in plasma are very low as compared to many compounds including their important precursor, cholesterol. For example, plasma estrogens in nonpregnant domestic animals range from as low as 10 pmol/l to as high as 150 pmol/l. In this situation, most cells within the body have very low concentrations of estrogen. The specificity of tissue response occurs because cells of tissues that have need of estrogen stimulation should have receptors that enable those particular cells to concentrate the

hormone within the cell and, more important, to elicit particular cellular responses. This explains the important generalization that specific tissue response requires specific receptors to be present within the cell, in this case for a particular steroid hormone.

Binding of a hormone to a receptor in a target cell can be considered to be the primary event and the hormone receptor interaction will cause a measurable biological response that differs for different hormones. Receptors have a limited binding capacity (receptors are saturable thus limiting number of hormone molecules that can enter a target cell), they bind specific hormones (e.g., estrogen receptors are specific for estrogenic compounds), and upon binding a biological response will be created.

Steroid hormones enter cells by passive diffusion and bind to receptors inside the cell. It is assumed that only nonprotein bound or free hormone can enter target cells. Traditionally, the steroid bound irreversible to the carrier protein have been considered to be virtually biologically inactive and only the minute quantity of free (nonprotein bound) steroid can enter the cell. However, studies suggest that sex steroids bound to the sex hormone-binding globulin can be internalized and as the carrier is degraded by lysosomes, the steroids are released to induce steroid-responsive genes (Hammes et al., 2005). When the steroid interacts with its receptor, a steroid-receptor complex is formed. The hormonereceptor complex is then activated and alters gene expression. The target cell responds by increased RNA synthesis with the transcription of specific mRNAs, which enters cytoplasm and stimulates protein synthesis. The specific effect of steroid hormones on target cells is an altered cell function related to a change in the pattern of protein synthesis. In addition to this classical genomic steroid action, rapid effects (within minutes) of steroid have also been described (see Bramely 2003; Kelly and Wagner, 1999).

Protein hormones like GnRH, LH, and FSH do not enter the target cell to exert their effects but interact with their receptors, which are located on the plasma membranes of the cell, s.c. G protein-coupled receptors. The binding of the hormone to the cell surface receptor activates second messenger(s), for example, cyclic AMP (3',5'-AMP). The second messenger(s) is the intracellular mediator of many actions of LH and FSH in the ovary and the testis. The second messenger(s) is thought to activate another intracellular enzyme, protein kinase, which will influence the transport of cholesterol into the mitochondrion and the conversion of cholesterol to pregnenolone, which is the rate-limiting step in the biosynthetic pathway for the steroids that play a significant role in reproductive processes.

D. Local Conversion of Steroids in Target Tissues

The effects of steroid hormones on cells can be accentuated or modulated by the conversion of the entering hormone II. Assay Methods 639

to another form. For example, many of the tissues that are particularly responsive to androgens have the enzyme 5α -reductase that converts testosterone to 5α -dihydrotestosterone (5α -DHT); 5α -DHT has a much higher affinity for the androgen receptor within the target cell, which makes 5α -DHT more biologically active than testosterone as to its androgenic effects. The androgenic potency of 5α -DHT is twice that of testosterone.

Another important interconversion of steroid hormones is the one resulting from the increase in circulating cortisol concentrations that occur in the fetal lamb before parturition. The elevated fetal cortisol concentrations stimulate 17α -hydroxylase, C17-C20 lyase activity, and probably aromatase activity in the plaxcenta. This makes it possible for progesterone to be converted to estrogen synthesis in the placenta. Estrogens then affect the synthesis of prostaglandin $F_{2\alpha}$, which precipitates delivery. The interconversion of progesterone to estrogen is well documented in the sheep and probably also occurs in a similar way in the goat and the cow.

E. Synthesis and Clearance of Hormones

The determination of concentrations of hormones in biological fluids including plasma, urine, saliva, milk, and feces has been useful in determining the reproductive status of animals. Although a number of factors can influence hormone concentrations, the overriding factors are synthesis and clearance. We are usually concerned about the rate of synthesis of a hormone from a particular endocrine gland because factors that govern clearance are usually stable, and the concentration of the hormone usually reflects the rate of synthesis or secretion.

The synthesis of steroid hormones of the reproductive system is under the control of gonadotropins, which are released in pulsatile fashion. This has a profound influence on the secretion of testosterone in the male in that changes in pulsatile rate can occur a number of times a day with increases in pulse rate resulting in greatly increased concentrations of testosterone. For example, in males of many domestic species, testosterone values can range from 3.5 to 20 nmol/l within a period of a few hours with the extremes still representing normal production of testosterone by the testes. The usual judgment as to normalcy is based on an animal having at least the minimal or basal concentration. In the female, estrogen and progesterone synthesis by the ovary is also under the control of a pulsatile mode of gonadotropin secretion. The pulse rate usually remains relatively stable over certain periods of time so that fluctuations in concentration of these hormones are not as acute as for androgens.

In the female, synthesis rates for ovarian steroid hormones are obviously related to ovarian function. Progesterone concentrations, relatively stable during the luteal phase of the estrus cycle, decline rapidly over a 24- to 36-h period during luteolysis. Estrogen values continually

increase during the follicular phase of the cycle, declining with the onset of the gonadotropin preovulatory surge as the granulosa is converted from estrogen to progesterone production. Even though secretion rates can change for both progesterone and estrogen, analysis of these hormones usually brings useful information as to luteal or follicular activity, respectively. One other factor must be considered if one wishes to use hormone values (in blood, for example) as an indication for secretory activity of an endocrine organ (i.e., the conversion of steroid hormones by peripheral tissues). For example, in primates, estrone concentrations are derived mainly from the conversion of ovarian estradiol- 17β and adrenal androstenedione by tissues such as the liver.

Steroids are eliminated via conjugation with glucuronic acid or sulfates to form inactive mono- or diglucosiduronates or sulfates. These conjugates are all water soluble with
excretion occurring via urine or bile (feces). The conjugation occurs mainly in the liver, and the conjugates formed
lack steroidal activity. Steroids are also rendered inactive by
their metabolism to compounds that have greatly reduced
biological activity. In this way, steroids are rapidly cleared
from the bloodstream. Clearance is defined as the volume
of blood that would be totally cleared of a particular steroid
per unit time. Clearance can thus be expressed as liters/minute, and the clearance for most steroid hormones is around
1 liter/minute. In most situations, the clearance rate of steroids is relatively constant, so that blood concentrations are
a fairly good measure of fluctuations in production rates.

Placental gonadotropic hormones like hCG and eCG are produced in high concentrations and have much longer half-lives than the pituitary gonadotropins and prolactin. The latter have half-lives that are around 10 to 30 min, whereas the corresponding figures for the placental hormones are from 1.5 days for hCG to 6 days for eCG. One exception is equine LH, which shows structural similarities to eCG and also has a much longer half-life (days) than LH from other species. The increased half-lives are due to the fact that the molecules are composed of a larger portion of carbohydrate moiety as compared to FSH and LH of most species.

In the blood circulation, prostaglandins are rapidly metabolized to their respective 15-keto-13,14-dihydro compounds (Fig. 21-2). Primary prostaglandins like $PGF_{2\alpha}$ have a half-life in the peripheral circulation that is less than 20sec, whereas the 15-keto-13,14-dihydro- $PGF_{2\alpha}$ have a somewhat longer half-life of about 8 min; 90% or more of $PGF_{2\alpha}$ is metabolized by one passage through the lungs. The 15-keto metabolites are biologically inactive, and before being excreted into urine they are degraded into short dicarboxylic acids (Neff *et al.*, 1981).

II. ASSAY METHODS

The radioimmunoassay (RIA) technique was originally introduced for the measurement of plasma insulin (Berson and Yalow, 1959) and the enzyme immunoassay (EIA)

techniques for the quantitative determination of immunoglobulin G (Engvall and Perlmann, 1971). The techniques are competitive and utilize the same basic principle, which is based on the ability of nonlabeled hormone to compete with a fixed amount of labeled (radioactive isotope or enzyme) hormone for the binding sites on a fixed amount of protein. The nonlabeled hormone reduces the number of free binding sites on the protein, thus decreasing the availability of the binding sites to the labeled hormone. At equilibrium, the free hormone is separated from the protein-bound hormone, and the reaction is quantified by the determination of the amount of labeled hormone

that is antibody bound or free (Fig. 21-3). The degree of inhibition of binding of the labeled hormone to the binding protein is a function of the concentration of nonlabeled hormone present in the solution. As a basis for the quantification, a standard curve is developed with fixed amounts of labeled hormone and binding protein incubated together in the presence of a known and graded concentration of unlabelled hormone (Fig. 21-3).

Certain disadvantages exist to the use of radioisotopes as labels in immunoassays. Among those are limited shelf life and stability of radiolabel compounds, need for relatively expensive counting equipment (especially for tritium-labeled

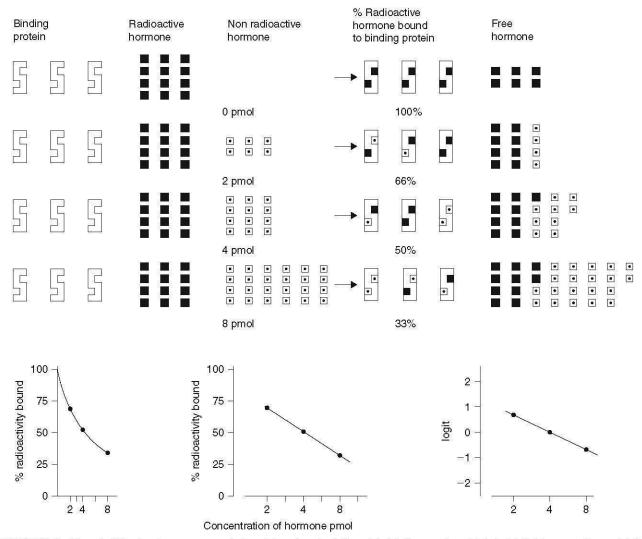


FIGURE 21-3 The principle of an immunoassay technique is based on the ability of the binding protein to bind the labeled hormone. Excess-labeled hormone as added to ensure saturation of the hormone-binding sites on the binding protein. The addition of increasing amounts of nonlabeled hormone (2,4, and 8 pmol) results in a proportional decrease in the quantity of labeled hormone bound to the binding protein. Separation of labeled hormone bound to the binding protein from free hormone must be achieved before quantification can be done. In the lower part of the figure, this reaction is depicted in three different ways. In the panel to the left and in the middle, the percentage-labeled hormone bound to the binding protein is on the ordinate, and the amount of hormone on a linear scale (left) and log scale (middle) is on the abscissa. In the panel to the right, the logit of the response variable is on the ordinate, and the amount of hormone is plotted on a log scale. The method depicted in the middle and to the right can be used for determination of parallelism. The logit/log transformation (right) is frequently used when computers analyze immunoassay data.

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compounds), need for well-trained personnel and specialized laboratory, and problems in disposal of radioactive waste. Consequently, great progress has been made to develop assays that use nonisotopic labels such as enzymes, fluorogens, and chemiluminescent precursors. Enzyme immunoassays can be as sensitive, accurate, and precise as radioimmunoassays; the specificity depends on the quality of the antibody as is true for radioimmunoassay systems (Munro and Stabenfeldt, 1984). Of particular interest in domestic animals has been their use in the determination of progesterone in blood (Lopate and Threlfall, 1991; Meyers et al., 1988; Munro and Stabenfeldt, 1984) and in milk (Allen and Foote, 1988; Arnstadt and Cleere, 1981; Etherington et al., 1991; Sauer et al., 1981). Enzyme immunoassay in the laboratory setting is very efficient, particularly as concerns the time required for the assay reaction (2h or less), ease of separation of bound from free hormone when using microtiter plate system (30 sec to wash 96 wells on a microtiter plate), and speed of end point analysis (optical densities can be determined in 1min for a 96 well microtiter plate).

Among the various approaches to enzyme immunoassay, the double antibody sandwich method for determination of larger hormones is frequently used. In this assay system, the plastic wells are coated with antibody. The sample to be processed is then added, and its hormone binds to the antibody-coated plastic well. A second enzyme-labeled antibody directed against another epitope of the hormone is then added. The amount of enzymelabeled antibody bound is directly proportional to the amount of hormone in the sample. There are two advantages with this methodology: (1) the hormone does not need to be isolated for labeling, and (2) the same general technique can be used to label different antibodies. The method can only be used to assay hormones with at least two binding sites, and it is thus unsuitable for measurement of low-molecular-weight hormones like steroid hormones.

The analytical equipment used for enzyme immunoassay can be used for a variety of determinations including those involved in disease surveillance and drug analysis. This allows for the sharing of one specialized spectrophotometer among several disciplines at a great reduction in cost. Another benefit is that the analytical equipment needs little maintenance. The elimination of the problems engendered by use of radioisotopes is a major advantage for enzyme immunoassay. This and the fact that color change is fundamental to enzyme immunoassay means that the assay can be used visually to determine the presence or absence of a corpus luteum (CL) in domestic and other species. Enzyme immunoassay-based analytical systems for progesterone in blood or milk can be used on the farm beside the animal to directly assess the functional ovarian status of an animal (Herrler et al., 1990; Matsas et al., 1992; Nebel et al., 1989; Romagnolo and Nebel, 1991).

Automatized immunoassay instrumentation has been developed mainly for the human medical market, but some

systems have specific veterinary applications (e.g., chemiluminescent-labeled immunoassays by DPC), and as some hormones (e.g., steroids) cross-react between species, these automatized immunoassay instruments can be useful in a veterinary diagnostic lab with high throughput.

A. Immunoassay

1. Production of Antibodies

Immunoassay techniques utilize antibodies as binding proteins. Hormones such as LH and FSH, which are glycoproteins with molecular weights of around 30,000, are antigenic because of their size and chemical composition. In general, a lower level of purity is required of the polypeptide hormones for antibody production as compared to the hormone used in the labeling procedure.

If an assay for bovine LH utilizes an antibody to bovine LH, radio- or enzyme-labeled bovine LH as tracer, and bovine LH as the standard, the assay system is completely species specific and is said to be of the homologous type. Such a system represents the ideal immunoassay system for measuring a polypeptide hormone. Because of the limited availability or lack of suitable purity of polypeptide hormone preparations, heterologous assay systems have been developed. In these cases, an antiserum to a polypeptide hormone of one species has been used to determine the same polypeptide hormone in another species. The standard hormone used for quantification of the assay should, however, originate from the same species for which the measurements are performed.

Polypeptide hormone antisera are available that show a high degree of cross-reactivity. One such antiserum of special interest in the field of reproductive hormones in domestic species is a polyclonal LH antiserum raised against ovine LH (Niswender *et al.*, 1969). This antiserum reacts specifically with LH from other species and has been used to determine LH in approximately 45 species including the cow, sheep, pig, cat, and dog (Madej and Linde-Forsberg, 1991; Millar and Aehnelt, 1977). A monoclonal antibody generated against bovine LH has been reported to have high cross-reactivity between species (Bravo *et al.*, 1992; Forsberg *et al.*, 1993b; Matteri *et al.*, 1987).

Steroid hormones and prostaglandins have considerably lower molecular weights and thus are not immunogenic per se. However, these structures can be rendered immunogenic if covalently linked to large carrier molecules such as bovine serum albumin, and specific antibodies can be elicited. For such a hormone-protein conjugate to be immunogenic, approximately 10 to 20 hormone molecules should be present per molecule of protein. In the case of bovine serum albumin, about 30% of the sites available for conjugation should be occupied.

Most naturally occurring steroid hormones and prostaglandins contain hydroxyl or ketone groups, which are used to prepare derivatives containing active groups such as carboxyl or amino groups. These groups are then activated so that they react with amino or carboxyl groups of the protein molecule. The specificity of the antisera obtained by immunization with a steroid-protein conjugate depends on the site used for conjugating the steroid to the protein. More specific antisera are obtained if the hapten (steroid) is attached to the protein at a site remote from the characteristic functional groups of the hormone (Lindner *et al.*, 1972).

The species most frequently used to produce polyclonal antibodies are sheep and rabbits. One of the most popular and efficient schedules for immunization involves multiple injections in the back and neck of the animal of the antigen emulsified in complete Freund's adjuvant (Vaitakaitus et al., 1971). During immunization, the developing antibody titer is monitored, and a relatively large number of milliliters of serum can be obtained when a suitable titer has been achieved. A few milliliters of a high titer antiserum are usually sufficient for millions of immunoassay determinations. Antisera seem to be stable when stored at -20° C, although the usual preferred temperature is -70° C.

The discovery that hybridomas could be used to produce an endless supply of antibodies with certain specificity (Köhler and Milstein, 1975) led to a new development in the technology of antibody production. The procedure involves the fusion of two cell lines: B lymphocytes selected for the production of a specific antibody and myeloma cells that have the capacity for permanent growth. Antibody production occurs by injection of the cell lines into mice; permanency is assured by maintaining a supply of cells in the frozen state. Monoclonal antibodies are useful for the quantitative immunoassay of hormones. They have the advantages of specificity, unlimited supply over time, and the possibility to standardize assay methods between laboratories; disadvantages are that they have lower affinities as compared to polyclonal antibodies and they do not always form precipitates with antigens. Mixing of monoclonal antibodies may increase affinity (Ehrlich et al., 1982).

2. Labeled Hormone

In radioimmunoassay techniques for polypeptide hormones, the antigen (hormone) is most commonly used for preparing the radioactive tracer. Usually, radioactive iodine, ¹²⁵I, is used for radioiodination of the antigen. The two most frequently used techniques for iodination are the chloramine-T (Hunter and Greenwood, 1962), immobilized chloramine-T (Iodobeads; Markwell, 1982), and the lactoperoxidase procedures (Thorell and Johansson, 1971). Peptide hormones containing tyrosyl or histidyl residues can also be iodinated with these techniques.

Many RIA systems for steroid hormones and prostaglandins utilize tritiated forms of these molecules, which are available commercially. Because tritium has a considerably longer half-life than iodine, tritium tracers can be used in many cases over several years, whereas the iodinated tracers often have to be prepared monthly. There are, however, certain advantages in using iodinated tracers for steroid hormones and prostaglandins in that simpler and cheaper counting systems can be used (i.e., gamma counting as opposed to liquid scintillation counting). Another advantage of radioiodine over tritium is its higher specific activity, which increases the sensitivity of the assay. Direct incorporation of iodine in the skeleton of steroid hormones results in a loss of the immunoreactivity. Thus, the approach taken for radioiodination of steroid hormones has been to link a tyrosyl or histidyl molecule to the steroid molecule, making direct radioiodination possible (Niswender, 1973), or to iodinate a compound such as tyramine and conjugate the iodinated compound to the steroid molecule (Lindberg and Edqvist, 1974).

Most EIA systems for steroid hormones use horseradish peroxidase, alkaline phosphatase, or β -galactosidase as labels. The enzyme-labeled steroid is produced the same way as has been described for the synthesis of steroid protein conjugates for the production of antibodies.

3. Separation of Antibody-Bound and Free Hormone

An essential part of any immunoassay system is an efficient procedure for the separation of antibody-bound and free hormone. Several different approaches have been taken to achieve a rapid and efficient separation. Frequently used separation procedures are based on antibodies coupled to an insoluble polymer, precipitation of antibodybound hormone, or adsorption of free hormone.

Antibodies coupled to an insoluble polymer can be used for separating antibody-bound and free hormone in RIA procedures (Abraham, 1969). One procedure involves the decanting of polystyrene tubes in which antibodies have been adsorbed to the surface of the tube, which is followed by determination of radioactivity in the antibody-bound (contained in the tube) or free (contained in the eluent) form. In most EIA systems designed for low-molecular-weight hormones, antibody-bound hormone is measured after free hormone has been removed by washing the wells of the microtiter plate that have been previously coated with antibody.

Another common procedure for RIA utilizes antibodies covalently coupled to an insoluble polymer granule. In this case, free and antibody-bound hormones are separated through centrifugation. After removal of the supernatant containing the free hormone, the antibody-bound radioactivity can be determined. Antibody-coated glass beads have been used in both RIA and EIA (Schmidt *et al.*, 1993). Separation is achieved by washing the bead and then the radio or enzyme activity is determined.

Precipitation of the antibody-bound hormone has been achieved through the addition of ammonium sulfate (Mayes

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and Nugent, 1970) or polyethylene glycol (Desbuqois and Aurbach, 1971), leaving the free hormone in the solution. The latter precipitation procedure has been found advantageous for the precipitation of prostaglandin antibody complexes (Van Orden and Farley, 1973). Precipitation of the antibodybound hormone complexes can also be achieved through the addition of a second antibody prepared against the first antibody. Thus, in an RIA technique for bovine LH that utilizes an antiserum to bovine LH raised in a rabbit (first antibody), the second antibody will be an antibody prepared against rabbit gammaglobulin. The addition of the second antibody will result in a precipitate containing the antibody-bound LH, which can be separated from the supernatant by centrifugation. The time required for the separation can be decreased by the addition of polyethylene glycol (Eisenman and Chew, 1983). Systems using a second antibody coupled to insoluble particles are efficient and commonly used (Dericks-Tan and Taubert, 1975; Forsberg *et al.*, 1993b).

For steroid hormones, a traditional separation procedure is the adsorption of free hormone to dextran-coated charcoal. After the addition of the charcoal, the separation of free and antibody-bound steroids is achieved through centrifugation. This method is rapid and efficient in separating free and bound steroid hormones. However, the charcoal can also adsorb some of the antibody-bound steroid, which is called "stripping." To control this, timing of the reaction is important.

4. Reliability Criteria

The reliability of immunoassay analyses depends on its specificity, sensitivity, accuracy, and precision.

a. Specificity

The specificity of an immunoassay, or freedom from interference by substances other than the one to be measured, depends on several different factors, the most important being the specificity of the antiserum used. Demonstration of specificity for the immunoassay of hormones that cannot easily be synthesized is relatively difficult and relies on indirect criteria because these molecules have to be isolated and purified from biological material with variable purity.

A relatively common finding is the cross-reaction of TSH with antibodies to LH, and vice versa. Antibody specificity is usually demonstrated by testing the binding of hormones, other than the one intended to be measured, to the antibody. If, for example, bovine TSH significantly inhibits the binding of bovine LH to an antibody to bovine LH, this indicates that the antiserum used is nonspecific or that the TSH preparation contains LH. If the inhibition curves are parallel, the latter explanation is likely because the parallelism indicates the same binding kinetics. It should be noted that parallelism, in itself, is not adequate proof of specificity. As indicated previously, both LH and TSH are composed of two subunits, an α -subunit that

is identical for the two hormones and a β -subunit that is unique for each hormone. It is possible that an antiserum could contain binding sites, which will react only with the α -subunit. In such a case, the dose-response curve of LH and TSH utilizing such an antisera will be parallel, the assay system will not be hormone specific, and thus the system will be invalid for the measurement of LH. Double antibody sandwich methods utilizing monoclonal or polyclonal antisera can partly reduce the problem.

In the case of immunoassay techniques for steroid hormones and prostaglandins, the same proof of specificity has to be undertaken. Here the situation is simpler, because small-molecular-weight hormones can easily be purified and, in most cases, produced synthetically more easily.

Some idea as to the specificity of an antiserum to a steroid hormone can be gained from the position of the steroid molecule that is used as the anchoring point to the protein (Fig. 21-2). If an antiserum to estradiol- 17β is produced through the use of an antigen conjugated via the hydroxyl group at carbon 17 of the steroid, the resulting antiserum will react almost equally well with estrone and thus would have relatively poor specificity. This is because the only structural difference between the estradiol- 17β and estrone molecules is the configuration at position 17 (Fig. 21-2). In general, steroid antibodies are more specific for the portion of the steroid molecule that protrudes from the carrier protein and less specific for the portion of the steroid used for linkage to the protein. Thus, in the case of estradiol- 17β , highly specific antibodies have been developed after immunization with conjugates when carbon 6 of the B ring has been used as the site of attachment to the protein (Exley et al., 1971).

Protein hormone determination by immunoassay is often performed in blood serum or plasma. The influence of serum or plasma on the binding of the tracer to the antibody must be investigated. The assay of different amounts of serum or plasma should result in curves parallel to those obtained with protein hormone standard. In some steroid hormone immunoassays, an organic solvent from a blood plasma sample extracts the hormone. Organic solvents can also be used as a means to selectively remove steroids from biological fluids. For example, many immunoassay procedures designed to measure progesterone utilize antisera developed against a progesterone-11 protein conjugate, which results in a minor cross-reaction with corticosteroids (Thorneycroft and Stone, 1972). By using a nonpolar solvent such as petroleum ether for the extraction of progesterone from serum or plasma samples, about 80% to 90% of progesterone is extracted leaving the more polar corticosteroids in the plasma (Johansson, 1969). The use of such a selective extraction system increases the overall assay specificity. Direct analytical systems for steroid hormones omitting the extraction step are also employed. Because steroid hormones in a blood sample to be analyzed are bound to carrier proteins, direct assay systems have to secure that all steroid molecules, both free and protein-bound steroids in the sample, are given equal opportunities to interact with the antibody used in the assay. The synthetic steroid danazol $(17\alpha,2,4$ -pregnandien-20-yno(2,3-d)isoxazol-17ol) can be used to displace progesterone from the binding proteins (Carrière and Lee, 1994).

Certain immunoassay systems may require purification of the plasma extract to achieve an acceptable specificity.

The main problem in the immunoassay of primary prostaglandins is that they can continue to be formed in large amounts by platelets after the blood sample has been obtained (Samuelsson et al., 1975), thus the concentrations of PGF₂₀ reported in blood serum or plasma can appear much higher than the actual values. The primary prostaglandins have a very short half-life in the circulation (Hamberg and Samuelsson, 1971) and are rapidly converted to their corresponding 15-keto-13,14-dihydro derivatives. The latter have considerably longer half-lives and occur in higher concentrations than the parent compounds (Beguin et al., 1972). Analysis of metabolites of prostaglandin $F_{2\alpha}$ avoids the problem of the overestimation observed for the parent compound in that the metabolites are formed only within the body and values thus remain stable once a blood sample has been obtained. Radioimmunoassay systems utilizing antibodies to $9\alpha,11\alpha$ -dihydroxy-15-ketoprost-5-enoic acid and 5α , 7α -dihydroxy-11-ketotetranorprosta-1,16-dioic acid have been developed (Granström and Samuelsson, 1972). Most problems involved in the determination of the primary prostaglandins are avoided if their main metabolites, the 15-keto-13, 14-dihydro compounds, are measured.

b. Sensitivity

The sensitivity of immunoassays is defined as the smallest quantity of hormone that the assay can reliably distinguish from a zero amount of the hormone. Usually two kinds of sensitivity are evaluated. The sensitivity of the standard curve is defined as the smallest amount of hormone that is significantly different from zero at the 95% confidence limit. However, the most meaningful sensitivity to establish is the smallest amount of hormone that can be measured per unit of biological fluid (e.g., per milliliter of blood plasma).

c. Accuracy

The accuracy of an assay is defined as the extent to which the measurement of a hormone agrees with the exact amount of the hormone. Accuracy is often determined by comparing immunoassay data with values determined by other procedures such as gravimetry, gas liquid chromatography, and mass spectrometry. For steroid hormones and prostaglandins, accuracy is also often determined by recovery experiments in which different amounts of hormones are added to a biological fluid (e.g., blood plasma), which contains low concentrations of the hormone; the amount of hormone measured in the assay is then compared with the amount of hormone added.

d. Precision

Two types of precision are usually evaluated. The withinassay precision is determined from duplicate measurements of the same sample within the same assay. The betweenassay precision is determined from replicate analyses of the same sample in different assays. Usually the betweenassay variance is greater than the within-assay variance. Assay variance should be checked continuously with each assay of a certain hormone by use of plasma pools containing set amounts of the hormone. Usually three different plasma sets containing low, medium, and high hormone concentrations are used. Within- and between-assay variations in immunoassay procedures are usually greater than for ordinary routine procedures used in clinical chemistry.

III. PHYSIOLOGY OF REPRODUCTIVE HORMONES IN THE FEMALE

This presentation is relatively brief as to its coverage of endocrinological events during the reproductive cycle. Readers interested in a more complete presentation of hormonal events involved in reproduction are referred to texts dealing specifically with the subject. Also, some hormones with some influence on reproductive processes, such as those of the thyroid, adrenal, and pineal glands, are not covered in this presentation.

A. Estrus Cycle

The major endocrine events that precede ovulation have been well documented in the cow (Chenault *et al.*, 1975), ewe (Nett *et al.*, 1974), sow (Shearer *et al.*, 1972), mare (Evans and Irvine, 1975; Palmer and Jousset, 1975; Stabenfeldt *et al.*, 1975), dog (Concannon *et al.*, 1975), and cat (Shille *et al.*, 1979b). In large domestic animals (cattle, horse, pig, sheep, and goat), follicle growth occurs during the luteal phase in spite of the inhibitory nature of progesterone, the main secretory product of the CL. Although follicles are usually not ovulated during the luteal phase in most species, the mare occasionally ovulates during the luteal phase.

With regression of the CL, follicles grow rapidly before ovulation because of gonadotropin stimulation. The follicles secrete increasing amounts of estrogen during development, which is important for the onset of sexual receptivity as well as for the initiation of the surge release of gonadotropins that is essential for the ovulatory process (Fig. 21-4). Estrogens initiate the surge of LH and FSH through the release of GnRH (Moenter *et al.*, 1990). In most species, the preovulatory surge of gonadotropins begins approximately 24h before ovulation and is usually of short duration, (e.g., 8 to 10h in the cow). The mare is an exception in that large amounts of LH are released during an 8- to 9-day period with ovulation occurring on the third day (Geschwind *et al.*, 1975). Another important

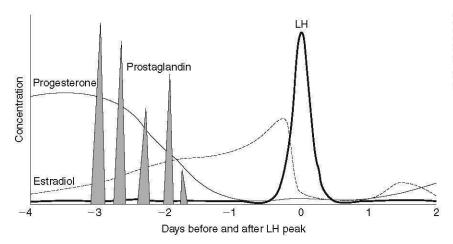


FIGURE 21-4 Schematic presentation of the preovulatory events in general applicable to the cow, doe, ewe, and sow. These endocrine events also occur in the mare, but in this species, the release of LH occurs over a considerably longer time period.

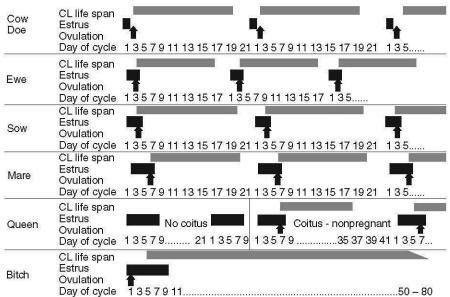


FIGURE 21-5 Comparative data on the duration of estrus, time of ovulation, and duration of corpus luteum function in the cow, ewe, sow, mare, queen, and bitch. Modified from Stabenfeldt (1974).

function of GnRH is to elicit sexual receptivity. Thus, the onset of the preovulatory LH surge and sexual receptivity is coordinated via GnRH synthesis and release.

Following ovulation, a CL is formed under the influence of pituitary gonadotropins. In most species, LH is the major luteotropin, though prolactin is thought to play a role in sheep and in rodents. If pregnancy does not ensue, the CL regresses, which permits the estrus cycle to be repeated. This well-timed sequence occurs repetitively at set intervals if not interrupted by pregnancy.

A summary of estrus cycle activity in common domestic animal species is given in Figure 21-5. Most of the domestic species have evolved from seasonally breeding ancestors. In mammals, photoperiod, via the circadian melatonin profile, synchronizes endogenous circannual rhythms (Lincoln *et al.*, 2003). The mare, ewe, doe, and queen undergo cyclic ovarian activity only during the breeding season in response to seasonal changes in photoperiod. In contrast, cattle, pigs, and dogs can reproduce the entire year, although vestiges of seasonality have been demonstrated (e.g., Andersson *et al.*, 1998).

Estrus cycle length is approximately 21 days in the cow, doe, mare, and sow and 17 days in the ewe. The bitch has a much longer estrus cycle, the luteal phase often being between 50 to 80 days in duration. The interval between cyclic ovarian activities in the bitch is extended even further by the occurrence of a 4- to 5-month anestrus period following regression of the CL. The cat is an induced ovulator requiring coital stimulation for ovulation and thus for CL formation. In the absence of coitus, follicles develop every 15 to 20 days, with follicular growth and regression occupying 5 to 7 days of each period. An ovulatory, nonfertile mating results in the formation of CL that persists for approximately 35 days and a syndrome termed pseudopregnancy in the cat.

B. Control of the Corpus Luteum

The regression of the CL (luteolysis) is a key event that is responsible for the well-timed estrus cyclicity seen in most domestic species. The importance of the uterus in the control of the life span of the CL has been documented

through hysterectomy (Stabenfeldt *et al.*, 1974b). Removal of the uterus from these species during the luteal phase prolongs luteal activity. It is well established that the uterus in these species synthesizes and releases $PGF_{2\alpha}$, which causes the CL to regress (McCracken *et al.*, 1972).

The temporal release patterns of $PGF_{2\alpha}$ (Fig. 21-4), usually in a pulsatile mode lasting a few hours, have been described in the ewe (Barcikowski *et al.*, 1974; Harrison *et al.*, 1972), sow (Gleeson *et al.*, 1974), doe (Fredriksson *et al.*, 1984), and cow (Nancarrow *et al.*, 1973). Some of the problems involved in determining $PGF_{2\alpha}$ (e.g., a short half-life and formation by platelets at collection) can be avoided if the main blood plasma metabolite, 15-keto-13,14-dihydro- $PGF_{2\alpha}$, is determined. Data are available on the patterns of the metabolite during luteolysis in the cow (Kindahl *et al.*, 1976), ewe (Peterson *et al.*, 1976), mare (Neely *et al.*, 1979), doe (Fredriksson *et al.*, 1984), and sow (Shille *et al.*, 1979a).

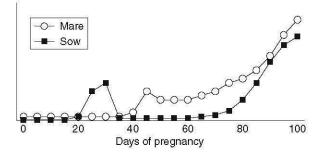
Regression of CL is usually accomplished within 48h following the onset of the prostaglandin release. It is likely that estrogens, presumably of ovarian follicle origin, initiate PGF $_{2\alpha}$ release. Estrogen also initiates the formation of endometrial oxytocin receptors (McCracken *et al.*, 1984), which in sheep are important for pulsatile synthesis and release of PGF $_{2\alpha}$ in that oxytocin can initiate the release of PGF $_{2\alpha}$ (Sharma and Fitzpatrick, 1974). In ruminants, the CL is able to synthesize oxytocin and oxytocin release from the CL in response to PGF $_{2\alpha}$, which, in turn, initiates the synthesis and release of PGF $_{2\alpha}$ by the uterus; this is the basis for the pulsatile secretion of PGF $_{2\alpha}$ (for a review, see Flint *et al.* [1992], and Whates and Denning-Kendall [1992]). In the dog and cat, PGF $_{2\alpha}$ does not appear to be involved in luteolysis, although its precise role is still uncertain.

C. Early Pregnancy

Modification of $PGF_{2\alpha}$ release is essential for the establishment of pregnancy in the species (cow, ewe, mare, and sow) in which this compound serves as the luteolysin (Kindahl et al., 1976; Nett et al., 1976; Shille et al., 1979a). The rapid elongation of fetal membranes, which precedes the critical time of the initiation of luteal regression by about 3 days in the nonpregnant animal, appears to be important for modifying prostaglandin release. Fredriksson et al. (1984) in goats and Zarco et al. (1988) in sheep have shown that the main change in $PGF_{2\alpha}$ synthesis and release, nonpregnant versus pregnant animal, involves a continuous mode of secretion, not pulsatile. In fact, $PGF_{2\alpha}$ concentrations increase at the onset of pregnancy, but the release is continuous, which prolongs luteal activity.

Maternal recognition of pregnancy in the cow, ewe, doe, and sow involves mechanisms that alter the prostaglandin release to protect the CL from luteolysis. In the cow, ewe, and doe, embryonic interferon tau (IFN- τ), which is secreted between days 10 and 21 to 24 of pregnancy

Estrone sulfate



Estrone sulfate

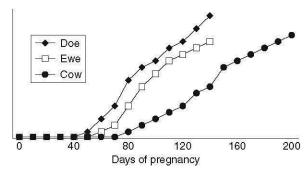


FIGURE 21-6 Blood levels of conjugated estrogens (mainly estrone sulfate) during early pregnancy in the mare, sow, doe, ewe, and cow. Data derived from Kindahl *et al.* (1982) (mare); Robertson and King (1974) (sow); Chaplin and Holdsworth (1982) (doe); Tsang (1978) (ewe); and Gaiani *et al.* (1982) (cow).

by trophectoderm cells of the blastocysts (Roberts *et al.*, 1990), inhibits uterine $PGF_{2\alpha}$ pulses (Spencer *et al.*, 1999; Thatcher *et al.*, 2001). IFN- τ is secreted into the uterine lumen and inhibits the expression of estrogen and oxytocin receptors, thus blocking the episodic uterine $PGF_{2\alpha}$ secretion and luteolysis (for a review, see Spencer *et al.* [2004]). In the sow, the maternal recognition of pregnancy is controlled by conceptus-derived estrogens (Geisert *et al.*, 1982; Perry *et al.*, 1976) (Fig. 21-6).

Modification of the release pattern of $PGF_{2\alpha}$ (pulsatile to continuous) by the luteotropic products from the conceptus and uterus is probably the most important factor that allows luteal activity to continue. The net result is that luteal activity is extended in the cow, ewe, mare, and sow beginning at about 14 days following ovulation. Modification of $PGF_{2\alpha}$ release appears not to be important for the establishment of pregnancy in the dog and cat.

D. Pregnancy and Parturition

The presence of a CL is necessary for the maintenance of pregnancy in a vast majority of cows (Estergreen *et al.*, 1967). The pig also requires luteal support throughout gestation (see Bazer *et al.*, 1979). In the ewe, the presence of CL is required for the first 50 to 60 days of gestation

(Linzell and Heap, 1968). After this time period, the fetoplacental unit secretes significant amounts of progesterone. The dog requires the presence of CL for all of gestation. Sokolowski (1971) found that ovariectomy even as late as day 56 postbreeding resulted in premature delivery.

The necessity of secondary CL, which are formed in the mare between days 40 and 60 of gestation, has been discussed over the years. The secondary CL are the result of eCG secretion by the endometrial cups, which are formed from a circular band of cells of placental origin (chorionic girdle cells) that invade the endometrium and form isolated endocrine organs of temporary function (Allen, 1969). Although secondary CL are not essential for the maintenance of pregnancy in the mare in that the primary CL continues to function for up to 150 days, they do add extra progestational support for the pregnancy during the time placental production of progesterone is being established. Progesterone support of pregnancy in the mare begins to be taken over by the placenta as early as day 50 of gestation but is not complete for all mares until approximately 100 days or later, a time that coincides with the beginning demise of both primary and secondary CL (Holtan et al., 1979).

It has been demonstrated that eCG has a close immunological relationship with equine LH (Farmer and Papkoff, 1979); further, incubation studies of CL have shown a luteotropic effect of eCG (Squires et al., 1979). If fetal loss occurs after the formation of endometrial cups, continuing eCG production supports luteal activity, even to the point of making lysis of these CL difficult in conjunction with the pharmacological administration of prostaglandin F_{2α}.

The endocrine activity of the fetoplacental unit can be monitored through the measurement of conjugated estrogens, especially estrone sulfate in peripheral blood plasma or urine (Fig. 21-6). In the pregnant sow, concentrations of estrone sulfate become detectable at day 17 of pregnancy, increase until about day 28, then decline to low or undetectable values and then increase again beginning around day 75 to 80 of pregnancy and remain high until parturition (Robertson and King, 1974). In the mare, estrone sulfate values begin to increase around day 35 to 40 of pregnancy with accentuated production at 80 to 90 days and with highest values obtained from day 150 to parturition (Kindahl et al., 1982; Terqui and Palmer, 1979). In the ewe (Tsang, 1978), estrone sulfate levels can be detected from around day 70 to 80 after conception and in the goat concentrations start to increase around day 50 of pregnancy (Chaplin and Holdsworth, 1982). In the pregnant cow, estrone sulfate concentrations in milk start to increase between days 100 to 120 (Hatzidakis et al., 1993; Henderson et al., 1994). In all species, high values of estrone sulfate in the peripheral blood, milk, or urine are strong evidence for the presence of a viable fetus.

The mare produces two estrogens during pregnancy that are unique to equids, equilin, and equilenin, both of which have unsaturated B rings. Pashen and Allen (1979)

have shown through fetal gonadectomy in the horse the importance of fetal gonads in the production of estrogen in cooperation with the placenta. Little evidence of estrogen production during pregnancy has been reported for the dog (Hadley, 1975). Estrogen production by the fetoplacental unit in the cat also appears to be minimal during gestation (Verhage *et al.*, 1976).

An important endocrine change, which occurs before parturition in the cow, ewe, and sow, involves an increase in the synthesis and release of unconjugated estrogens by the fetoplacental unit. This increased estrogen synthesis is reflected in elevated plasma estrone concentrations in the pregnant cow beginning between 30 and 20 days prepartum (Edqvist et al., 1973), in the ewe about 2 days before parturition (Challis et al., 1971), and in the pig about 1 week before delivery (Robertson and King, 1974). In the cow (Edqvist et al., 1973; Stabenfeldt et al., 1970) and bitch (Smith and McDonald, 1974), parturition is preceded by an abrupt fall in progesterone concentrations between 48 and 24h before delivery. In the ewe (Stabenfeldt et al., 1972), mare (Noden et al., 1978), and sow (Baldwin and Stabenfeldt, 1975), partial withdrawal of progesterone occurs before delivery. In the cow (Edqvist et al., 1978; Fairclough et al., 1975), ewe (Liggins et al., 1972), and bitch (Concannon et al., 1988), it has been demonstrated that prostaglandin release initiates regression of the CL and thus is responsible for the withdrawal of progesterone.

High estrogen and prostaglandin concentrations combined with low progesterone concentrations increase the contractile state of the uterus. Prostaglandins may also initiate cellular changes within the cervix, in addition to the effect of relaxin, which result in cervical softening and dilation. Cervical stimulation, the result of the initial entry of the fetus into the pelvic canal, causes the reflex release of oxytocin from the posterior pituitary. This increases the intensity of uterine contractions and thus aids the final delivery process.

IV. CLINICAL ASPECTS OF REPRODUCTIVE ENDOCRINOLOGY

Important differences exist between humans and animals as concerns endocrine analysis. For example, steroid hormone concentrations are much lower (10-fold less as concerns estrogens) in animals, which produces a requirement for more rigorous assay systems for animals. In animals, the most useful information comes from assessing gonadal or fetoplacental activity (versus pituitary activity) and thus emphasizes the determination of steroid hormones. Besides the fact that gonadotropin values are less useful for the assessment of clinical situations, variations in amino acid composition among species as concern specific hormones mean that multiple systems have to be developed to determine the content of one protein hormone

across species lines. Antibodies such as the one developed for LH by Professor Gordon Niswender (Niswender *et al.*, 1969), which are able to detect LH in many species, are the exception.

For hormone analyses to be useful as a diagnostic tool, certain criteria have to be fulfilled. The concentration of the hormone at the sampling site (usually a peripheral vein) should closely correlate with the amount of the hormone being released from the endocrine gland. It is preferable that the release pattern of the hormone be steady, which allows valid information to be obtained on the secretory status of the endocrine gland from one sample. Several reproductive hormones do not fulfill the latter criteria, and thus their determination is not useful from a routine diagnostic view. For example, the duration of the LH surge observed in conjunction with ovulation is short in most domestic species except the horse. In the cow, the preovulatory LH peak has a duration of 8 to 10h, requiring samples to be obtained every 4h in order to detect the peak. In the mare, the duration of the peak is considerably longer, 8 to 9 days, which allows a less frequent sampling interval (Geschwind et al., 1975). However, the long duration of LH peak in the mare prevents the determination from being useful in predicting ovulation.

One reproductive hormone, progesterone, has been found to be of significant clinical value in females of most domestic species and, in fact, overall its analysis gives the most useful information as to the reproductive status of animals. Other hormones with established or potential clinical use will be discussed further for each species. As a percentage of total number of clinical assays, the highest number has been utilized as pregnancy diagnostic tests. It is worth keeping in mind that the analysis of hormones as a diagnostic aid in solving clinical problems only supplements, but does not replace, the information gained by a careful clinical examination.

Although blood has been the usual medium for hormone analysis, milk, urine, saliva, and even feces are also sources for gaining useful endocrine information. The latter tissues have the advantage in certain situations of being easier to collect and, at the very least, they allow one to avoid the use of venipuncture. It has been shown that the determination of estrogens conjugates in urine is much more effective in revealing ovarian follicle production of estrogens as compared to the analysis of either free or conjugated estrogen in plasma.

A. Cattle

1. Progesterone

Several reports are available on progesterone concentrations in blood during early pregnancy in cattle (Pope *et al.*, 1969). A finding of importance is that progesterone can be measured in the milk of lactating cows and, further, that

its concentration accurately reflects the concurrent blood plasma concentration of progesterone (Laing and Heap, 1971).

The difference that exists in both blood plasma and milk progesterone concentrations 19 to 24 days after a fertile breeding as compared to a nonfertile breeding has been used as an early pregnancy test (Robertson and Sarda, 1971; Shemesh et al., 1968) (Fig. 21-7). The plasma progesterone concentration in blood of pregnant cows at 21 days postbreeding is almost always at least 2ng/ml (6nmol/liter) and usually 4 to 8ng/ml (13 to 26nmol/liter), as compared to less than 0.5 ng/ml (1.6 nmol/liter) in the nonpregnant animal at the same time. Elevated progesterone concentrations, however, only reflect the presence of luteal tissue and do not directly indicate the presence of a fetus in utero. Furthermore, a slight prolongation of luteal activity in a nonpregnant animal can occur, which results in elevated progesterone concentrations at day 21, a situation in which the analytical progesterone result would be positive and the animal would be falsely considered pregnant. The accuracy of the forecast for pregnancy (positive forecast) thus is often lower than desirable, in most cases ranging between 75% and 90%. The negative forecast, however, is more accurate because cows having low progesterone concentrations in milk or blood 21 days postbreeding will almost always not be pregnant. The accuracy of the positive forecast increases if progesterone analyses are also carried out on samples obtained at the time of insemination in order to eliminate animals inseminated during the luteal phase of the estrus cycle. Cows inseminated during the luteal phase will be in the luteal phase 21 days later with the animal being falsely considered pregnant.

It should be recognized that the main focus of early pregnancy diagnosis is for the more efficient management of breeding. In most cases involving early pregnancy

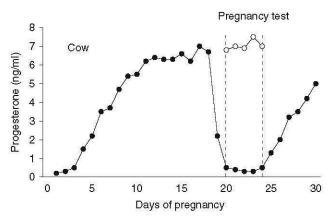


FIGURE 21-7 Time after breeding for utilizing progesterone analysis as a means of pregnancy diagnosis. Progesterone content in nonpregnant (*) cows compared with progesterone content of pregnant animals (°) (Stabenfeldt *et al.*, 1969b).

diagnosis, pregnancy status needs to be verified again at about 40 days postbreeding. In spite of the limitations of progesterone analysis as concerns pregnancy diagnosis, this is the most common clinical use of any of the reproductive hormones. Progesterone analysis can also be used for the retrospective confirmation of the absence (or presence) of a CL at the time of insemination in cattle. Because inadequate detection of estrus is the most common cause of low fertility in herds utilizing artificial insemination, the determination of progesterone concentrations at the time of insemination can be a useful tool when herds with fertility problems are encountered. The use of progesterone determinations in milk obtained at the time of insemination in cows with questionable heat signs and inconclusive genital tract findings can serve as a valuable tool for educating the staff responsible for insemination (Garcia and Edgvist, 1990).

A potential important area for the diagnostic use of progesterone analysis concerns the elucidation of clinical syndromes in the postpartum period in which cows fail to show sexual receptivity for extended periods of time. Animals that have reestablished ovarian activity can often be distinguished from those that have ovarian inactivity; elevated progesterone values indicate that significant ovarian activity is present. Cows that have luteal activity can be manipulated through $PGF_{2\alpha}$ treatment with a reasonable expectation of response as concerns the initiation of a new cycle. Progesterone determinations could also be used to verify the presence of luteal tissue in conjunction with endometritis/pyometra (Pepper and Dobson, 1987) and ovarian cysts (Booth, 1988; Sprecher et al., 1990). Both conditions should respond to prostaglandin therapy. As concerns ovarian cysts in cattle, differentiation of luteal versus follicular cysts is usually not done because it is technically difficult by palpation per rectum and because the same gonadotropin treatment can be used in both situations; luteinization of the structure with a luteotropin is followed in 10 to 14 days with prostaglandin treatment. In both cases though, the use of progesterone analysis would be useful in establishing the therapeutic response.

2. Estrone Sulfate

High concentrations of estrone sulfate are found in blood beginning at about day 80 of pregnancy and in milk from about day 100 (Fig. 21-6). In a study measuring estrone sulfate concentrations in milk samples as an indicator for pregnancy status, an overall accuracy rate of 95% in milk samples collected at 120 days or later was found (Henderson *et al.*, 1994). When comparing peripheral blood concentrations of estrone sulfate between three breeds of cattle, it was found that the breed giving birth to the lightest calves had lower estrone sulfate concentrations in the interval 101 to 200 days of gestation (Abdo *et al.*, 1991). Estrone sulfate measurements cannot be used to predict time of calving (Shah *et al.*, 2006).

3. Other Substances

Proteins of placental origin, bovine pregnancy-specific protein B (bPSBP), and bovine pregnancy-associated glyco-protein 1(bPAG-1) have been observed in the blood of pregnant cows beginning between days 16 and 21 of gestation (Sasser and Ruder, 1987). The existence of proteins that are present only if an embryo is present opens the way for an early and definitive pregnancy diagnosis in cattle by blood analysis. Humblot et al. (1988) compared diagnosis of pregnancy in Frisian cattle by determination of progesterone and bPSBP in blood. The study revealed the accuracy (number positive and pregnant/number of positive diagnoses) of the positive forecast to be 67% (82/122) for progesterone on day 24 after insemination. The accuracy of the negative forecast for progesterone was 98% (52/53). The accuracy of the positive forecast for bPSPB increased with gestation age from 86% (50/58) on day 24 to 99% (83/84) on day 70. The accuracy of the negative diagnoses by bPSPB increased from 72% (84/117) on day 24 to 100% (83/83) on days 30 to 35. The authors concluded measurement of bPSPB 30 days after insemination to be an efficient test both for the positive and the negative pregnancy diagnoses. A cardinal principle of pregnancy detection is that the test should be effective by the time the estrus cycle would end if the animal was not pregnant. This reemphasizes the main point of early pregnancy detection (i.e., it is done so the animal can be rebred if not pregnant). The test fulfilling this criterion has yet to be found.

A comparison of ultrasonography with bPSBP and bPAG-1 RIA assays showed no difference in accuracy diagnosis of pregnant cows compared to calving results. However, the accuracy of detection of nonpregnant cows by the bPSBP and bPAG-1 measurements was limited by the relatively long half-life of these proteins after calving and early embryonic death (Szenci *et al.*, 1998). On-farm tests to detect bPAG-1 (early conception factor) have been developed to be used within 48h of conception. Although the test appears to function well, it also has been criticized for not being reliable for determine nonpregnancy in cattle (Cordoba *et al.*, 2001).

B. Sheep

1. Progesterone

Progesterone analysis as an early test for pregnancy has been used in sheep (Robertson and Sarda, 1971). In the ewe, the progesterone analysis has to be carried out on blood samples, as most breeds of sheep are not lactating at the time of breeding. Maximal luteal phase progesterone concentrations in the ewe are approximately 2 to 4ng/ml (6 to 13 nmol/liter), whereas the concentrations at estrus range from 0.15 to 0.25 ng/ml (0.5 to 0.8 nmol/liter) (Stabenfeldt *et al.*, 1969c). Using an amplified enzyme

immunoassay technique for plasma progesterone an accuracy of 100% to diagnose pregnancy in samples taken between days 15 to 16 from a flock of 130 ewes (107 diagnosed pregnant and 24 diagnosed nonpregnant) was reported (McPhee and Tiberghen, 1987).

A relatively marked increase in progesterone values from 2 to 4ng/ml (6 to 13 nmol/liter) to 12 to 20 ng/ml (38 to 64 nmol/liter) occurs between days 60 and 125 of pregnancy (Stabenfeldt *et al.*, 1972). This increase is due to increased progesterone production from the fetoplacental unit. The contribution of the CL to progesterone concentrations remains constant throughout pregnancy, thus the relatively large difference in progesterone concentrations between nonpregnant ewes with a CL present and ewes with fetus(es) present could be used to minimize the relatively high false-positive forecasts observed for sheep at 17 days postbreeding. The limitations are the same for the use of progesterone analysis as a pregnancy test in sheep as discussed for cattle.

2. Estrone Sulfate

In the pregnant ewe estrone sulfate produced from the fetoplacental unit is detected in elevated concentrations beginning at around day 70 after conception (Tsang, 1978) (Fig. 21-6). Worsfold *et al.* (1986) determined estrone sulfate concentrations in blood from ewes bred 85 days previously and found the accuracy of the nonpregnant versus pregnant interpretations was 44% and 88%, respectively. A considerable overlap in estrone sulfate values between ewes with single and multiple fetuses was found and even at day 116 of pregnancy, estrone sulfate concentrations overlapped between groups of ewes with single and multiple fetuses. Because of this the authors conclude that estrone sulfate concentrations could not be used as a predictive tool for litter size (Fletcher and Worsfold, 1988).

3. Other Substances

Circulating pregnancy-associated glycoprotein 1 (ovPAG-1) has been reported in sheep. A heat labile protein with a molecular weight of around 8000 was found in sera of sheep as early as day 6 of gestation (Cerini *et al.*, 1976). It has been demonstrated that the bovine pregnancy-specific protein B (bPSPB) assay can be used for early detection of pregnancy also in the ewe, as pregnant ewes have a blood antigen that cross-reacts with antibodies to bPSPB (ovPSPB, Ruder *et al.*, 1988). In a study comparing the accuracy of detecting pregnancy with an ultrasonic device, a real-time scanning instrument and a RIA for bPSPB in ewes, it was concluded that the RIA for bPSPB detected pregnancy earlier and more accurately than the ultrasonic device and equally accurate as the real-time scanning instrument (Ruder *et al.*, 1988).

Ovine placental lactogen (oPL) has been demonstrated in the peripheral blood of pregnant ewes (Kelly *et al.*,

1974). Increase in maternal oPL concentrations occurs between 40 and 50 days of pregnancy, reaches maximum concentrations between days 120 and 140, and declines as parturition approaches (Chan *et al.*, 1978). The determination of oPL concentrations might be used as a basis for a specific pregnancy test in the ewe.

C. Pig

1. Progesterone

Luteal phase progesterone concentrations in the pig are considerably higher than in cattle and sheep, namely, 20 to 50 ng/ml (64 to 159 nmol/liter), whereas concentrations at estrus are below 0.5 ng/ml (<1.6 nmol/liter) (Stabenfeldt et al., 1969a). The difference in progesterone values between nonpregnant and pregnant animals 19 to 24 days after service has been used as an early pregnancy test (Robertson and Sarda, 1971). In the pig, progesterone determination is usually performed on blood. Because of the sensitivity of the assay systems and the concentration of progesterone in blood in pigs during the luteal phase, analyses can be performed on a small volume of blood (about 10 drops), allowing the sample to be obtained through a small incision in an ear vein. The limitations associated with using progesterone determinations as a pregnancy test in the pig are similar to those previously discussed for cattle. Progesterone analyses can be used to determine ovarian activity in clinically anestrus gilts as well as to establish the stage of the estrus cycle in gilts and subsequent response to treatment. It is also possible to monitor luteal phase activity in the sow through measurement of fecal gestagens (Hultén et al., 1995; Moriyoshi et al., 1997).

2. Estrone Sulfate

Previous studies of estrogen concentrations in urine revealed a marked increase in estrogen between day 20 and 30 of pregnancy in pigs (Velle, 1958). Studies of blood concentrations of estrone sulfate in pigs during pregnancy showed patterns similar to those determined in urine (Robertson and King, 1974) (Fig. 21-6). In early pregnancy in the pig, it has been hypothesized that estrogen synthesized by the early preimplantation embryo may be the messenger for the maternal recognition of pregnancy (Perry et al., 1976) and that the elevated estrone sulfate concentrations in the maternal circulation during early pregnancy reflect fetal synthesis. The determination of estrone sulfate in early pregnancy in the pig is thus a specific pregnancy test (Cunningham et al., 1983). The index of discrimination between estrone sulfate concentrations in blood of pregnant versus nonpregnant pigs around 25 days after breeding is very high as concerns pregnancy diagnosis. Sugiyama et al. (1986) reported an accuracy rate of 98% in pigs between days 20 and 26 of pregnancy. Horne *et al.* (1983) found litter size on days 20 to 26 of pregnancy to be positively correlated with estrone sulfate values but such a relationship was not found later in pregnancy. During the latter part of pregnancy, the maternal blood concentrations of estrogens (estrone sulfate, estrone, and estradiol-17 β) are very high and can thus be used to confirm pregnancy at this stage of gestation (Fig. 21-6).

In the pregnant sow, the concentration of estrogen in urine and feces follows the same pattern as in blood (Choi et al., 1987). Szenci et al. (1993) compared ultrasonography and the determination of unconjugated estrogen in feces, 25 to 30 days after insemination, for the diagnosis of pregnancy in pigs. Based on farrowing data the positive and negative predictive values for the ultrasound were 93% and 100%, respectively. For the determination of unconjugated estrogens, the corresponding predictive values were 94% and 55%, respectively.

D. Horse

1. Equine Chorionic Gonadotropin

Although pregnancy diagnosis has been done in mares through the measurement of equine chorionic gonadotropin (eCG) formerly named pregnant mare serum gonadotropin (PMSG), the main drawback to its use is that the presence of eCG does not guarantee the presence of a fetus but indicates that a viable fetus was present at the time of endometrial cups formation. This is because endometrial cups have autonomy of function and continue to secrete PMSG for a period of time in spite of loss of the fetus (Allen, 1969). This means that both mares with normal pregnancies and mares that experience embryonic mortality after day 40 of gestation will have elevated eCG concentrations in blood. The use of eCG determinations as a positive pregnancy diagnosis test will consequently yield some mares to have been diagnosed pregnant but not delivering a foal (Fig. 21-8; Jeffcott et al., 1987; Mitchell, 1971). Complementation with estrone-sulfate measurements after 100 days of pregnancy can compensate for this shortcoming.

2. Progesterone

Progesterone analysis is useful for establishing the presence or absence of ovarian activity in animals with puzzling behavioral patterns. Agitated or aggressive behavior, often interpreted as sexual in orientation, occurs without regard to luteal status. Progesterone analysis can be helpful because elevated values directly indicate the presence of a CL and, additionally, are evidence that folliculogenesis and ovulation are normal. Relatively low luteal phase values for progesterone (1 to 3 ng/ml; 3 to 10 nmol/liter) versus normal luteal phase values (>3 ng/ml; >10 nmol/liter) are often associated with the presence of a persistent CL

(Stabenfeldt *et al.*, 1974a). Progesterone values of mares with persistent luteal activity are low because some $PGF_{2\alpha}$ synthesis and release often occurs about 14 days postovulation, albeit insufficient to cause complete luteolysis (Neely *et al.*, 1979).

Progesterone analyses can also be useful in mares that fail to manifest sexual receptivity yet have cyclic ovarian activity. Progesterone analysis at 5-day intervals over 20 days (approximately one estrus cycle length) can verify the presence or absence of cyclic ovarian activity. The time of ovulation can also be predicted within a 2- to 3-day interval, a prediction that can be helpful to the veterinary practitioner anticipating the next time of ovulation. Breeding may have to occur by artificial means in these situations. Hinrichs et al. (1988) compared the accuracy of determining day of ovulation ± 1 day using three different methods: (1) an immediate, qualitative ELISA for progesterone in blood; (2) a quantitative ELISA for progesterone in blood; and (3) daily teasing to detect estrus. Ovulation was detected by ultrasound examination per rectum. The accuracy in determining day of ovulation ± 1 day using the three methods was 72% for the qualitative progesterone assay, 88% for the quantitative progesterone assay, and 86% for teasing.

3. Conjugated Estrogens

Estrone in its unconjugated form (free estrogen) reflects important physiological events in the mare beginning at about day 75 of gestation when the fetoplacental unit begins to produce estrone in rapidly increasing amounts (Nett et al., 1975). More important, it has been shown that estrone is rapidly conjugated after secretion to watersoluble estrogen conjugates and the ratio between free and conjugated forms is 1:100 (Terqui and Palmer, 1979). Terqui and Palmer (1979) and Kindahl et al. (1982) have both shown that significant increases in estrone conjugate concentrations occur between days 35 and 40 of gestation (Fig. 21-8). Kindahl et al. showed the increase to be 10to 20-fold between days 35 and 40. Concentrations then decline slightly with a further increase noted at the same time that free estrone concentrations begin to increase. The initial source of increased estrone production during gestation days 20 and 70 is the ovaries (Daels et al., 1990); later on its occurrence is likely driven by the attachment of the embryo and the production of eCG (PMSG). A study in miniature mares showed that the determination of estrone sulfate concentrations in blood after day 100 of pregnancy is a reliable method for determining pregnancy status, as well as eliminating "false-positive" diagnosis by eCG measurements between day 40 to 100 (Henderson et al., 1998).

Also estrone conjugate concentrations in urine can be used to document pregnancy in the mare and, in fact, may be more accurate than plasma analysis because of the concentrating aspects associated with urine formation (Daels *et al.*, 1991). Increased amounts of fecal estrogens and

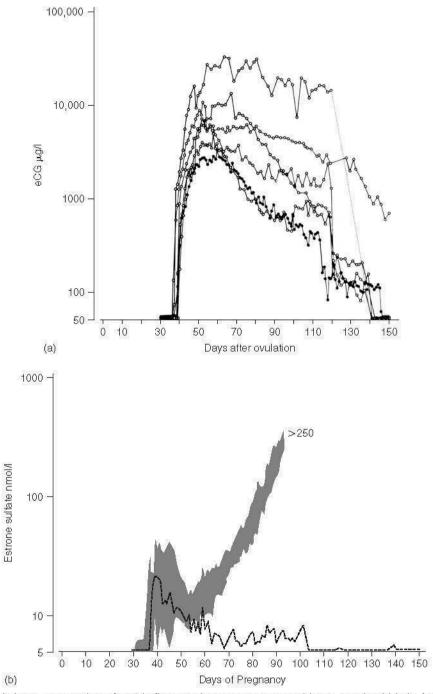


FIGURE 21-8 (a) Blood plasma concentrations of eCG in five normal pregnant mares (e) and in one mare in which the fetus died around day 50 of pregnancy (•). (b) Geometric means of blood plasma concentrations of estrone sulfate in the five normal mares (shaded area) and the estrone sulfate concentration in the mare in which the fetus died around day 50 and was aborted on day 113 of pregnancy (Darenius et al., 1988).

gestagens have also been reported in the pregnant mare (Schwarzenberger *et al.*, 1991), and fecal estrone sulfate may be a noninvasive alternative from day 150 (Henderson *et al.*, 1998).

In addition to being a means of confirmation of pregnancy, estrone conjugate analysis also allows the soundness of the pregnancy to be assessed because estrogen concentrations reflect the dynamics of a growing fetus. Thus, it is possible not only to indicate that pregnancy is in progress by estrone conjugate analysis but also to indicate whether the pregnancy is proceeding well or that it is in some state of compromise. Analysis of estrone conjugates is an important aid for the verification of pregnancy in the mare and does not suffer the drawback with false-positive diagnosis as is the case for eCG (Fig. 21-8). Estrone conjugate analysis also has been used to assess follicle growth

patterns in nonpregnant mares, an approach that was difficult to do with the determination of free estradiol- 17β (Daels *et al.*, 1991; Makawiti *et al.*, 1983).

4. Other Pregnancy-Associated Substances

A test (a lateral flow assay using antibodies with colloid gold as the indicator) for equine early conception factor (a pregnancy-associated immunosuppressive protein) is suggested to be a quick, easy, and noninvasive method for detecting nonpregnant mares. However, a controlled study shows that a large proportion (60%) of animals give a positive test result even before breeding (Horteloup *et al.*, 2005).

5. Testosterone

Testosterone values vary in the mare according to the reproductive state. Values, usually less than 15 pg/ml (>52 pmol/ liter) during anestrus, range between 20 and 40 pg/ml (69 to 139 pmol/liter) during cyclic ovarian activity with the higher values being observed during the follicular phase of the cycle immediately before ovulation. Testosterone determinations have been used to aid the diagnosis of granulosatheca cell tumors in the mare (Stabenfeldt et al., 1979) and to differentiate granulosa-theca cell tumors from ovarian teratoma (Panciera et al., 1991). Leydig-like cells in the theca appear to be the source of testosterone. In cases of granulosa-theca cell tumors, testosterone values vary with values ranging from 40pg (139pmol/liter). Values over 100 pg/ml (347 pmol/liter) are considered diagnostic of granulosa-theca cell tumors in mares. These tumors are generally slow in development, and it is not known whether this slow development also reflects a slowly developing capacity for testosterone production or whether there is variability as to the number of testosterone secreting cells among tumors. Aggressive stallion-like behavior is associated with high values of testosterone (Stabenfeldt et al., 1979). Inhibin is usually elevated in granulosa-theca cell tumors in mares and thus suppresses pituitary FSH release (Bailey et al., 2002).

Testosterone determinations in the male horse have been used as an aid in the diagnosis of cryptorchidism. Cox (1975) reported that horses with <40pg/ml (<139pmol/liter) plasma should be considered castrated, whereas animals with concentrations >100pg/ml (>347pmol/liter) should be considered as having testicular tissue present. Although some cryptorchid animals have testosterone concentrations <100 pg/ml (<347 pmol/liter), most have values ranging from 200 to 1000pg/ml (693 to 3467pmol/liter) (Cox, 1975). Testosterone concentrations in intact males usually range from 1000 to 2000 pg/ml (3467 to 6934 pmol/liter) (Berndtson et al., 1974; Cox et al., 1973). HCG administration for the purpose of stimulating testosterone production by the testes has been suggested as a means of resolving cases in which values are between 40 and 100 pg/ml (139 to 347 pmol/liter). Dosages of injected hCG have been 6000 to

12,000 IU. (Cox et al., 1986; Silberzahn et al., 1989) and the second sample for analysis of testosterone obtained after 30 to 120min (Cox et al., 1986) or after 3 days (Silberzahn et al., 1989). The responses to hCG may be lower in horses less than 18 months of age and during the nonbreeding season (winter).

It has been suggested that the analysis for estrone sulfate conjugates for the diagnosis of cryptorchidism is preferred over testosterone on the basis that it requires only one analysis and that the accuracy is slightly improved (Cox *et al.*, 1986). It should be noted, however, that estrone sulfate analysis for cryptorchidism cannot be used in horses less than 3 years of age or in donkeys because little estrone sulfate is produced in either of these situations. Androstenedione is the precursor hormone of androgens and estrogens and can also be used for the diagnosis of cryptorchidism (Illera *et al.*, 2003).

Castration and thus withdrawal of androgens causes increased levels of gonadotropins, which thus can be measured as a complement to testosterone.

The presence of sexual behavior in suspected cases of cryptorchidism is not necessarily dependent on elevated testosterone concentrations in that many patients (about one-third) referred because of behavioral problems are, in fact, castrated. In essence, some animals can maintain normal libido with low circulating concentrations of testosterone. In this situation, testosterone analysis is helpful from the point of view of either eliminating unnecessary surgery or indicating to the surgeon that testicular tissue is present and should be found on surgical entry.

E. Dog

Progesterone

In the bitch, there is little difference between the progesterone pattern of the pregnant and nonpregnant luteal phase. Plasma concentrations of progesterone are elevated throughout the luteal phase. However, although mean concentrations of progesterone are higher during the latter part of the pregnant luteal phase compared with the nonpregnant luteal phase, these differences are not significant to allow progesterone determinations to be used as a pregnancy diagnosis test.

Bitches usually ovulate at the onset of sexual receptivity, remain sexually receptive for about the first week of luteal activity, and, in fact, are fertile during this period of time (Holst and Phemister, 1974). Progesterone analysis can be used to confirm the occurrence of ovulation with concentrations usually increasing slightly above baseline in the periovulatory period reaching about 15nmol/liter at ovulation followed by a sustained increase beginning 24h following ovulation (Fig. 21-9) (Concannon et al., 1975). This approach may be used in a retrospective analysis of a breeding cycle in an attempt to correlate the time of ovulation with other criteria such as vaginal cytological changes. The determination of

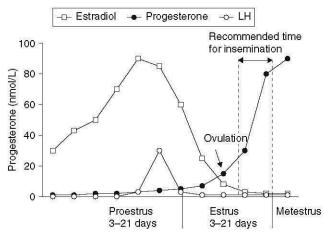


FIGURE 21-9 Schematic representation of the temporal relationship among estradiol, progesterone, peak of luteinizing hormone (LH), and ovulation in the bitch. The recommended time of mating or artificial insemination is based on the progesterone concentration.

progesterone in daily samples of blood obtained during the periovulatory period gives a more precise timing of ovulation than can be obtained by vaginal cytology.

In situations wherein a particular pairing of animals does not result in a mutual sexual attraction and where artificial insemination must be used, progesterone analysis is a useful tool to verify ovulation. Artificial insemination with frozen-thawed semen usually results in lower pregnancy rates than artificial inseminations using fresh semen. Using progesterone determinations to pinpoint ovulation it was found that the pregnancy rate following insemination with frozen-thawed semen could be improved when the insemination was performed at progesterone concentrations >30 nmol/liter (Fig. 21-9) (Linde-Forsberg and Forsberg, 1989, 1993).

Progesterone analysis can also be useful in cases of short estrus cycle intervals to determine if ovulatory failure has occurred, a situation in which progesterone concentrations are low following the termination of estrus.

Analysis of progesterone (alone or in combination with estrogen) is also useful in cases when there is some question as to the completeness of removal of ovarian tissue during an ovariohysterectomy (ovarian remnant syndrome). Stimulation by hCG/eCG or GnRH can improve the possibility of finding any remnant ovarian tissue. An important distinction as concerns the dog is that, unlike other domestic species, the bitch is keyed into sexual receptivity at the end of the follicular phase by progesterone. Dogs may be sexually attractive because of odors arising from the vagina because of factors such as infection, but bitches will only accept males in the presence of increased progesterone concentrations, a situation that is almost always associated with luteal tissue as part of a remaining ovarian remnant.

2. Testosterone

The most common endocrine test in male dogs is testosterone for the purpose of checking the secretory status of the Leydig cells. Testosterone values in normal dogs range from about 1 ng/ml (3.5 nmol/liter) to about 10 ng/ml (35 nmol/liter) because of the pulsatile release pattern of testosterone. Testosterone concentrations in castrated dogs are less than 0.5 nmol/liter.

Assays of basal testosterone concentration may allow the diagnosis of the absence of testicular tissue in the same manner as described previously for the male horse. Sometimes the positive confirmation of presence of testicular tissue may require the use of hCG or GnRH to stimulate testosterone production. In this situation, a resting blood plasma sample is collected immediately before the administration of the stimulating hormone, and a second blood sample is collected an hour later. A significant increase in plasma testosterone concentration is diagnostic of testicular tissue.

Testosterone analysis is done in conjunction with fertility examinations, often in stud animals that are presented as infertility cases following a prolonged show tour. Most of these animals have testosterone concentrations that are compatible with normal spermatogenesis, although sperm counts are often very low. The management of this syndrome is still uncertain.

Feminizing syndromes in intact male dogs have been observed, in which concentrations of testosterone are greatly decreased below normal (to 100 pg/ml or less; <350 pmol/liter) and estradiol values are two to three times normal (30 to 45 pg/ml versus the normal 15 pg/ml; 110 to 165 pmol/liter and 55 pmol/liter). It is thought that a majority of these cases involve Sertoli cell tumors. Dogs with confirmed Sertoli cell tumors have high peripheral blood plasma levels of inhibin and suppressed levels of LH and testosterone (Grootenhuis et al., 1990). These authors were, however, unable to detect differences in blood concentrations of estradiol between dogs with Sertoli cell tumors and control dogs.

3. Relaxin

Relaxin is in the pregnant dog is first detected at day 18 to 25 of gestation but is undetectable during anestrus, throughout nonpregnant ovarian cycles, and in male dogs (Steinetz et al., 1987, 1996). Maximal concentrations are attained by days 40 to 50 of pregnancy and are followed by slight declines before parturition. Relaxin is produced predominantly by syncytiotrophoblasts in the placenta (Klonisch et al., 1999) but also by the ovary, and it is the closest thing to a pregnancy-specific canine hormone (Tsutsui and Stewart, 1991). Commercial available assays for canine relaxin can be used to diagnose pregnancy as early as 21 days after breeding, although negative results should be rechecked after a week. However, relaxin cannot be used to estimate litter size and remains elevated after pregnancy loss.

V. General Comments 655

F. Cat

1. Progesterone

Progesterone analysis can be used to verify the occurrence of ovulation in the cat following coitus. Ovulation usually occurs 24 to 36h after coital contact with a male at the appropriate time of the follicular phase of the estrus cycle (Shille *et al.*, 1983). If the breeding schedule is very limited in time, it is possible for a cat to be bred too early in the follicular phase with coitus failing to elicit LH release. Or more rarely, females may allow copulation at times other than the follicular phase. In both these situations, ovulatory failure would be documented by the finding of low progesterone values 10 days postbreeding. In one study, progesterone concentration >1.87 ng/ml; >6 nmol/liter was consistently associated with luteal-phase ovaries, and values <0.15 ng/ml; <0.5 nmol/liter were associated with follicular phase ovaries (Lawler *et al.*, 1991).

2. Estrogen

As the cat is an induced ovulator (requires coitus), estradiol analysis can be used to assess the presence of ovarian follicle activity with values ranging from 10pg/ml (37pmol/liter) in the interfollicular phase to 60pg/ml (220pmol/liter) during folliculogenesis. As indicated previously, queens have ovarian follicle growth patterns that last 5 to 7 days followed by a slightly longer interval before the next growth phase (Shille et al., 1979b). Estrogen analysis could document the presence or absence of ovarian follicular activity in animals that fail to manifest sexual activity. It also could be used to assess the completeness of an ovariohysterectomy in cats that are spayed but who present signs suggestive of sexual receptivity. The usual finding is that of low estrogen concentrations, which indicates the behavior is not sexual in orientation.

In the domestic cat, estrogen metabolites are primarily excreted in the feces (Möstl *et al.*, 1993; Shille *et al.*, 1990). Analysis of fecal steroids is a useful noninvasive approach of monitoring ovarian function in exotic Felidae (Graham *et al.*, 1993).

3. Testosterone

Testosterone analyses can be used to evaluate Leydig cell function in the testis of the male cat. The range of values is usually between 1 and 10 ng/ml (3.5 to 35 nmol/liter).

4. Relaxin

Relaxin is produced by the fetoplacental unit beginning about day 20 of gestation; maximal concentrations are achieved by day 30 to 35 (Addiego *et al.*, 1987). Relaxin concentrations thus can be used to assess pregnancy status in the cat and even to assess its normalcy based on the fact that fetoplacental units in jeopardy produce less relaxin.

V. GENERAL COMMENTS

A. Hormone Concentrations

The foregoing presentation did not emphasize hormone concentrations. This is because there is still some variability as to the values reported by various laboratories. It is important that clinical endocrinology laboratories understand and have experience with their assay systems in relation to particular clinical syndromes. For example, the actual concentration of progesterone during the follicular phase of the estrus cycle of domestic animals is approximately 100 pg/ml (318 pmol/liter), certainly no greater than 200 pg/ml (636 pmol/liter) plasma. Some laboratories, however, report basal values of 1 to 2 ng/ml (3.2 to 6.4 nmol/liter) for progesterone. The reference values developed by laboratories depend on the type of assay used.

It is possible to have a wide range of values for a particular hormone and still have normal physiological conditions. Luteal phase progesterone concentrations in the cow, for example, range between 2 and 12ng/ml (6 to 38nmol/liter) because of pulsatile gonadotropin secretion (Walters et al., 1984) with no adverse effect on the preparation of the animal for the nurture of a potential embryo. Hormone values can also depend on the type of material used in the assay. Luteal phase progesterone values are approximately 4ng/ml (13nmol/liter) in fat-free milk, between 5 and 35ng/ml (16 to 111nmol/liter) in whole milk, and approximately 250ng/ml (795nmol/liter) in milk fat.

B. Analysis and Storage Effects

1. Blood

The treatment and storage of samples before analysis can influence the hormone value obtained for a blood sample. It has been known that red blood cells from cow have the capacity to metabolize progesterone to other steroids (Short, 1958; Fig. 21-10). The rapid decrease, about 10% to 20% reduction/hour, in the progesterone content in heparinized bovine blood samples appears to be due to the conversion of progesterone to 20β-hydroxylated gestagens. Also other 20-keto-gestagens like pregnenolone and 17α -hydroxyprogesterone undergo a similar conversion to 20β -hydroxylated gestagens and reduce their blood concentration during storage (Choi et al., 1989). The drop in progesterone concentration in heparinized whole blood follows glycolysis, and when glucose concentrations are low the enzymatic degradation of progesterone in heparinized cow blood is to some degree reversed (Oltner and Edqvist, 1982; Fig. 21-10). The use of anticoagulants blocking or preventing glucolysis like sodium fluoride much reduces the rate of decline in whole blood progesterone concentrations (Pulido et al., 1991; Vahdat et al., 1979). Temperature also plays a significant role in regulating the rate of decline

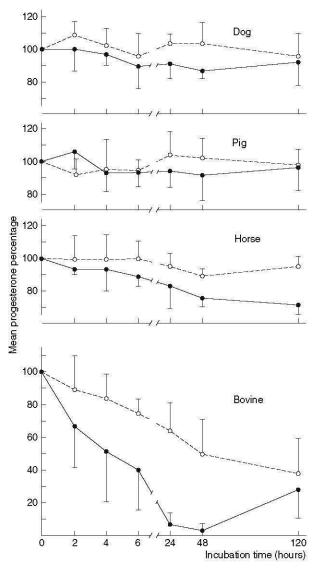


FIGURE 21-10 Mean (and SD) plasma progesterone percentage after storage of heparinized whole blood from four dogs, four pigs, four horses, and eight cows at 20°C (•) and at 4°C (o). The initial progesterone value at time 0 has arbitrarily been set as 100% (Oltner and Edqvist, 1982).

in progesterone, the lower the temperature of storage, the slower is the rate of progesterone decline (Fig. 21-10).

The enzymes responsible for the progesterone conversion are present in the red blood cells; harvesting serum or plasma as soon as possible after the collection of the sample prevents the metabolism of progesterone (Vahdat *et al.*, 1979). If this cannot be done within half an hour, the sample should be put on ice to retard the enzymatic processes.

A decline in progesterone concentrations also occurs in stored whole blood from the ewe (Van der Molen and Groen, 1968; Wiseman *et al.*, 1983), although the decline is not as rapid and pronounced as in the cow. In the female goat, on the other hand, no significant decrease in whole blood progesterone was found during storage at room temperature for 24h (Navarro *et al.*, 1990). Some decline in progesterone also seems to occur during storage of equine whole blood (Fig. 21-10). For other domestic animals dealt with here, no major effects of storage on progesterone concentrations have been reported.

Storage of bovine whole blood also results in significant decreases of 17-keto-androgens (e.g., androstenedione, dehydroepiandrosterone) and 17-keto-estrogens (e.g., estrone) because 17α -hydroxysteroid dehydrogenase present in red blood cells converts the steroids to epitestosterone and estradiol- 17α , respectively (Choi *et al.*, 1989). Concentrations of testosterone and estradiol- 17β are not influenced when storing whole bovine blood over 24h at 20° C (Choi *et al.*, 1989).

Plasma or serum samples can be stored frozen for long periods of time without a significant loss in reproductive hormone concentration. Sample handling procedures from blood collection to the freezing of serum or plasma should be standardized for each species and hormone determined.

2. Milk

Milk samples for progesterone analysis can be preserved with sodium azide, potassium dichromate, or thimerosal

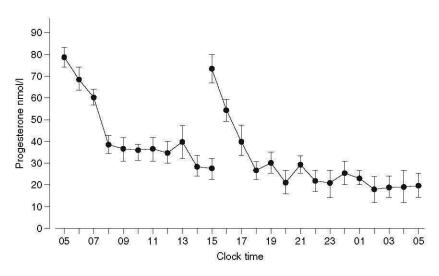


FIGURE 21-11 Hourly changes in whole-milk concentrations of progesterone due to the variation in fat content during 24 h, mean and SE of 12 cows (Garcia and Edqvist, 1990).

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for storage at room temperature for about 3 weeks and for several months if the milk is stored at 4 to 5°C (Nachreiner et al., 1992). There is a difference in progesterone content among fore milk, composite milk, and strippings because of the variation in milk fat content (Fig. 21-11) (Garcia and Edqvist, 1990). This difference is less obvious when using skim milk for the immunoassay of progesterone, but the temperature must be controlled at centrifugation because warm butterfat will absorb progesterone more readily than cold butterfat (Nachreiner et al., 1992). A common recommendation for sampling of milk for analyses of progesterone is to obtain strippings after milking.

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Trace Minerals

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VIII. CONCLUDING COMMENTS REFERENCES

I. INTRODUCTION

Of the 103 elements in the periodic table, about 30 are presently considered essential or important for the normal health and growth of animals. Of these, 16 are often designated as essential trace elements, a classification initially based on the difficulty of measuring such elements with precision in biological tissues. Although the development of new instrumentation has greatly facilitated measurement, the term *trace elements* has been retained and is still commonly applied to those elements that occur in the body at concentrations in the submicromolar to micromolar range (Fraga, 2005; O'Dell and Sunde, 1997; Reilly, 2004; Ullrey, 2002).

This chapter focuses on six elements—cobalt (Co), copper (Cu), manganese (Mn), molybdenum (Mo), selenium (Se), and zinc (Zn)—to illustrate the concepts important to trace element metabolism and disease (Reilly, 2004). These elements have been chosen because there is evidence that perturbations in their metabolism are relatively common. Other biologically active trace elements, although potentially important, often require special conditions or long periods of deprivation before signs of deficiency are recognized, and exposures at 10 to 100 times normal intakes are required before toxic signs are observed (Subcommittee on Dairy Cattle, National Research Council [NRC], 2001; Subcommittee on Mineral Toxicity in Animals, NRC, 1980). In conventional settings, deficiencies of elements such as vanadium, chromium, silicon, nickel, and tin are rarely encountered. Further, arguments for their nutritional essentiality remain controversial. If there is a nutritional need, it is likely to be in the microgram per kilogram of diet range, whereas the relative need for Co, Cu, Zn, Mn, Mo, and Se approaches, or exceeds, amounts in the milligram per kilogram of diet range (Tables 22-1 and 22-2).

A. General Properties of Minerals

Most elements accumulate in tissues to some extent. The essential elements, however, are distinguished in that

they are intimately associated with the functions of specific organic molecules, mostly proteins with enzymatic properties. When metals function to facilitate enzymatic catalysis, they typically fall into two categories, metalloenzymes and metal-enzyme complexes. Stability constants that define metal binding dictate whether metalloenzyme or metal-enzyme complex is the best designation (Reedijk and Bouwman, 1999; Taylor, 2002). For metalloenzymes there is often good stoichiometry between the moles of metal bound per mole of protein or protein subunit following purification. Metalloenzymes have metal-binding constants of 10⁸ to 10⁹ or greater. Metal protein complexes have constants of 10⁵ or less. Metals in such complexes are easily dissociated upon dialysis of the complex (Reedijk and Bouwman, 1999).

TABLE 22-1 Trace Elements Essential for the Development and Health of Mammals and Birds

Subject to Natural Deficiencies	Experimentally Produced ^a	
Cobalt	Arsenic	
Copper	Chromium	
Iodine	Fluoride	
Iron	Nickel	
Manganese	Silicon	
Molybdenum	Vanadium	
Selenium	Lithium	
Zinc	Boron	

^aDeficiency induced experimentally using purified diets in a rigidly controlled environment; "deficiency" is often dependent on and a function of the controlled environment.

Trace elements that are nutritionally essential are localized to the fourth and fifth rows of the periodic table. All have incompletely filled d orbitals, except for Cu and Zn. How a given metal facilitates catalytic functions is related in part to its ability to engage in redox (the loss or gain of an electron[s]) or modulate an energy excitable transition state during a catalytic event. Such modulations in protein transition states are sometimes referred as entasis. The entasis (structural dictating) domains of most proteins utilize O, S, or N as electron donors (Riordan and Valle, 1974). When associated with given complexes, if one or two outer shell valence electrons are involved, the result is one oxidation state (e.g., Na^{+1} , Ca^{+2} , or Mg^{+2}); two or more valence electrons can result in two oxidation states (Fe⁺² or Fe⁺³). The use of electrons in orbitals other than the outermost valence orbitals (e.g., transition metals), however, can have a variety of oxidation states. Some metals can also function as a Lewis acid (i.e., can accept electrons from a base). Enzymes that utilize this property act as acid catalysis (e.g., Zn and the hydrolysis of phosphate esters by alkaline phosphatase (Taylor, 2002).

B. Typical Configurations of Metal Complexes

In simple metal complexes, the basicity of the electron donating group and the ability to approach the metal ion (steric effects) are the primary factors that influence stability. However, when the electron donor groups are bound together into a single molecule capable of binding a given metal, the importance of steric effects is greatly increased and stability depends on a number of other factors including the size and number of rings formed. Five- or six-membered rings have more stability; usually five-membered rings are more stable than six-membered rings. Four-membered rings are rare. Rings larger than six members are less unstable.

TABLE 22-2 Cobalt, Copper, Manganese, Molybdenum, Selenium, and Zinc Requirements for Young and Adult Dogs, Swine, Sheep, and Beef and Dairy Cattle Expressed as Mg per Kg of Ration for Adequate Intakes^a

27	Dogs	Swine	Sheep	Beef Cattle	Dairy Cattle
Со	30–60mg as vitamin B ₁₂	30-60mg as vitam in B_{12}	0.1-0.3mg as Co	0.1-0.3mg as Co	0.1-0.3mg as Co
Cu	5–10	5–10	5–10	5–10	5–10
Mn	3–20	3–20	20–40	20–40	20–40
Mob	1-2	1–2	1-2	1-2	I-2
Se	0.25-0.3	0.25-0.3	0.15-0.40	0.15-0.4	0.15–0.4
Zn	80–100	80–100	30–60	30–60	30–60

^aValues were taken from several industrial and NRC sources (Committee on Animal Nutrition, NRC, 1985; Subcommittee on Dairy Cattle Nutrition, NRC, 2001; Subcommittee on Laboratory Animal Nutrition, NRC, 1995; Subcommittee on Mineral Toxicity in Animals, NRC, 1980; Subcommittee on Swine Nutrition, NRC, 1998; The Salt Institute, www.saltinstitute.org/index.html).

b For ruminant animals, the Cu:Mo ratio should exceed 5 or more given the interactions and negative effects Mo has on Cu availability (see text).

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The stability of calcium complexes of ethylenediamine tetraacetic acid (EDTA) and its homologues with increasing number of C atoms in the bridge between N atoms is as follows:

N	Atom in Bridge Carbon	Log Ka
2	5	10.5
3	6	7.1
4	7	5.2
5	8	4.6

Such complexes are called chelates. Note that the stability also increases as the number of rings increases:

Ligand	Number of Rings	Log K _a	
H ₂ NCH ₂ COOH	1	5.2	
CH₂COOH			
NHCH2COOH	2	7.0	
CH₂COOH			
NCH₂COOH			
CH₂COOH	3 10.6		

Although a further discussion of ligand basicity and steric effects is beyond the scope of this chapter, knowledge of the following relationship can be useful where various coordinating groups are compared in order of decreasing affinity for given metal ions:

$$\begin{array}{c} -{\rm C}{=}{\rm C}{-}{\rm O}^{-} > {\rm NH}_2 > {\rm N}{=}{\rm N}{-} > {=}{\rm N}{-} \\ > {\rm C}{\rm O}{\rm O}^{-} > {-} {\rm O}{-} > > {-}{\rm C}{=}{\rm O} \end{array}$$

Enolates > Amino > Azo > Ring N > Carboxylate > Ether >> Carbonyl

These relationships are important to the types and shapes of complexes that metal ions form, their stabilities, and their redox potentials.

C. Biological Perspectives

1. Trace Elements as Essential Components in Evolution and Animal Diversity

A reasonable question to ask is why are certain elements more nutritionally important than others? From an evolutionary perspective, relative abundance and, in many cases, the ability to form complexes with catalytic (redox) potential are among the most important reasons. Figure 22-1 shows the distribution of elements in seawater, bacteria, fungi, plants, and animals (Banin and Navrot, 1975). That

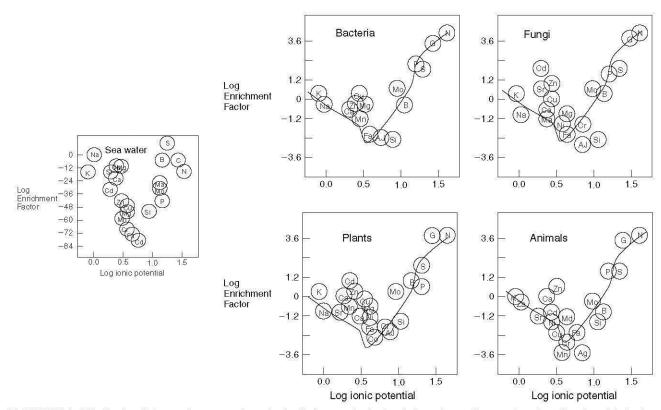
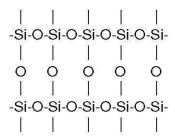


FIGURE 22-1 Distribution of elements in seawater, bacteria, fungi, plants, and animals relative to the earth's crust plotted as a function of the ionic potential (see text).

the patterns are similar suggests common chemical principles have persisted as a part of natural selection. To the extent that the ionic potential of an element is related to its relative abundance in seawater, one can argue that evolutionary choice as it relates to metal utilization is based in part on chemical properties that dictate its interaction with water. For example, when the ionic potential is high (>10), the positive ion appropriates one or more oxygen ions, freeing the hydrogen and forming an oxyanion. Oxyanions are generally soluble; thus, relative to the earth's crust, the log enrichment is high. This is a characteristic of the nonmetals in the upper right corner of the periodic table (e.g., C, N, O, S, P). Such elements are also the smallest in size to form stable multiple bonds. With time carbon can become a carbonate ion in water and eventually CO₂ (O=C=O, a gas) when oxidized further. Contrast that with silicon, carbon's tetravalent homologue in period 4 of the periodic table. Silicon in water forms silicates, which easily polymerize and are oxidized to the end product silicon dioxide or quartz, a solid, because of the inability to form stable multiple bonds:



For elements with low to intermediate ionic potential values, such as silicon (IP < log 0.5), the log of the enrichment factor is often in the range of -1 to +1, indicating small enrichment or even depletion relative to the crust. Metals with low, but positive, intermediate, or slightly negative ionic potentials tend to form hydroxides in water, most with low solubility. Many of the essential trace elements fall in this category. Finally, elements with negative ionic potentials tend to form hydrated shells and interact by organizing water structure, a role that is important to understanding the functions of Na, K, Mg, and Ca in cells.

2. Utilization of Trace Elements and Metabolic Regulation and Metabolism

As an additional perspective, it can be generalized that dietary requirements across species (Table 22-2 and Fig. 22-2) are more similar than dissimilar (Rucker, 2007). This is particularly the case when given requirements are expressed per unit of energy consumed or per unit weight of ration. Figure 22-2 shows the relationship for selected mineral requirements and metabolic body size. The requirements of trace elements scale allometrically in a manner that is similar in principle to scaling algorithms (e.g., kWt^{3/4}) for basal metabolism. If a set of common principles was involved in

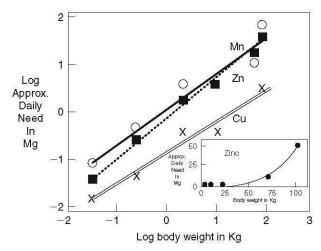


FIGURE 22-2 Relationship of mineral requirements and metabolic body size. Log plots of the daily intake of selected minerals for mice, rats, chickens, dogs, humans, and pigs versus their respective body weights in kilograms. The data for individual minerals plotted in this fashion result in reasonably linear plots with slopes that range from 0.6 to 0.8. For any given mineral, plots of daily intake versus units of body weight are not linear and require polynomial equations to describe the function (insert).

the selection of the elements important to life, it follows that nutrition requirements would be influenced by the same principles (e.g., all cells utilize in principle the same metabolic strategies). Indeed, a strong case can be made that when expressed per unit of food-derived energy or relative to metabolic body size, requirements for essential elements are similar for a diverse array of species. As substances important to catalyst and entasis, it follows consequently that their relative nutritional needs are also driven by factors and principles important to energy utilization.

Why do deficiencies or excess occur? Nutritional deficiencies obviously result when the intake of essential nutrients consistently falls below the minimal requirement (i.e., a primary deficiency). In animal nutrition this is regrettably common given the tendency to feed monotonous diets or foods common to a given region. Secondary mineral deficiencies can also arise through a variety of mechanisms that include poor bioavailability, interactions with other competing substances, and genetic influences (e.g., polymorphisms that dictate an increased need for given nutrients; Keen, 1996). Table 22-3 provides a list of several mechanisms underlying the development of deficiencies and common interactions that will be amplified in each of the sections that follow.

II. COBALT

A large animal (50 to 100kg) can contain 1 to 2 mg of Co with liver containing about 0.1 mg (1.7 μ mol), skeletal muscle 0.2 mg (3.4 μ mol), bone and hair 0.3 mg (5.1 μ mol) each, and adipose tissue 0.4 mg (6.8 μ mol) (Smith *et al.*, 1987).

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TABLE 22-3 Potential Causes of Trace Element Deficiencies

Cause	Mechanism Loss of elements because of refining	
Food processing		
Dietary interactions	Competitive interactions between elements	
Drug interactions	Impaired absorption or increased excretion; redistribution of elements among tissue pools; decreased absorption (chelators, laxatives); increased excretion (diuretics)	
Disease or genetic	Increased requirement because of a block in the normal metabolism of the element (primary); increased requirement because of decreased absorption or increased excretion secondary to the disorder (secondary	

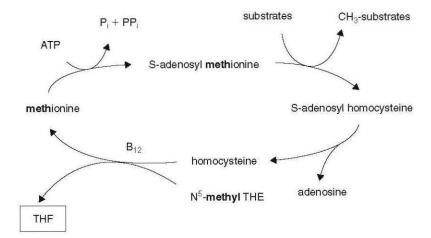
In tissues, Co is normally found associated with vitamin 12 or cobalamin in all animals that require preformed vitamin B_{12} . Cobalt in most tissues is low (picomolar concentrations), with liver, heart, and bone containing the highest tissue levels. Low normal serum cobalamin is approximately 2 to 3ng cobalamin/ml (1.5 to 2.3 nmol/liter). In contrast to Zn, Cu, and Fe, Co does not accumulate with fetal age and it is not stored to any appreciable degree in the adult animal (Ammerman and Goodrich, 1983; Keen, 1996). With toxicity, tissue Co can increase over 10-fold in cattle (Barceloux, 1999; Domingo, 1989; Lauwerys and Lison, 1994).

A. Cobalt Function

Cobalt is novel because there is no evidence that any organism needs the cobalt ion, either in the free form or as a simple protein complex. Cobalt in the form of a specific complex, vitamin B_{12} or one of the cobalamides, is essential for animals and many bacteria. Plants contain cobalt, but there is no evidence that it occurs as a cobalamide. Vitamin B_{12} is also unique among vitamins in that plants do not produce it.

The role of rumen microflora in the economy of ruminant animals makes ionic cobalt of particular significance to this group of animals. Although one cannot dismiss the possibility that some organisms require cobalt other than that in a corrinoid (B₁₂-related) complex, there is no such evidence at present and this discussion will hinge primarily around the metabolism and metabolic function of cobalt as it exists in the cobalamides. In aqueous solution, Co is generally in the +2 or +3 oxidation state. Cobaltous ion forms complexes with both octahedral and tetrahedral geometry (Burgess, 1999; Kerber and Goldberg, 2006). All Co complexes are octahedral and most involve nitrogen as the electron donor. The skeletal structure of the cobalamides can be visualized by representing the corrin ring of cobalamides with a planar ring and the ligands by X and Y (see Chapter 23). Both the cobalamides and cobaloximes (simpler cobalt corrin-like structures) act as the catalytic site for intramolecular mutations and single carbon transfer reactions (Frausto da Silva and Williams, 1991). Such reactions are important to tissue and cellular growth; as such, Co is primarily associated with erythropoiesis, granulopoiesis, and glucose homeostasis. Two important enzymes that require vitamin B₁₂ as a cofactor are (1) methylmalonyl-CoA mutase, which catalyzes the molecular rearrangement of methylmalonyl-CoA to succinyl-CoA:

$$O_2C$$
 O_2C
 O_2C



and (2) 5'-methyltetrahydrofolate-homocysteine methyltransferase, which demethylates 5'-methyltetrafolate and regenerates methionine and tetrahydrofolate.

The former reaction is critical for glucose homeostasis in ruminants because a primary gluconeogenic precursor for these animals is propionic acid. Because propionic acid has three carbons, propionyl-CoA cannot enter b-oxidation nor the citric acid cycle; thus, in most vertebrates it is carboxylated to D-methylmalonyl-CoA, isomerized to L-methylmalonyl-CoA, and rearranged to yield succinyl-CoA via the vitamin B₁₂-dependent step shown previously. Succinyl-CoA is an intermediate of the citric acid cycle and can be readily incorporated there.

Another aspect of Co in mammalian systems is the potential pharmacological effect of high doses of Co on erythropoietin production (Katsuoka *et al.*, 1983). Whether physiological concentrations of Co influence erythropoietin production is not known. Dietary requirements (as B_{12}) in most animals are usually met either by ingestion of animal tissues or products or by coprophagy. Because of the rumen microflora, ruminants can be fed ionic Co, and the microbes will synthesize cobalamin for absorption. Nevertheless, the relative inefficiency of vitamin B_{12} production in the rumen and poor absorption of B_{12} predispose ruminants to deficiency. Between 2 and 5 mg/day (1.5 to 3.9 μ mol/day) of CoCl for sheep and 20 to 30 mg/day (15.4 to 23.1 μ mol/day) for cattle are required for normal B_{12} production (Kennedy *et al.*, 1995; Kincaid *et al.*, 2003).

B. Absorption and Transport

In rats, 80% of orally administered Co appeared in the feces. High amounts of iron in the diet can depress Co absorption and vice versa. Co absorption is enhanced in iron deficiency (Domingo, 1989). Excretion of Co is primarily via the kidneys and is linearly related to the ingested dose. Additional sites of excretion have been reported to be

the large intestine in chicks and the bile and small intestine in mammals.

Absorption of vitamin B₁₂ depends on normal gastric parietal cell synthesis of intrinsic factor and a healthy ileal mucosa for the binding and transport of the vitamin B₁₂. Response to therapy and a ration containing less than $0.08 \,\mathrm{mg}$ Co/g $(1.4 \,\mu\mathrm{mol/g})$ of diet is diagnostic of Co deficiency in ruminants. In sheep, hepatic vitamin B₁₂ levels of less than $0.1 \,\mu\text{g/g}$ (0.07nmol/g), or serum levels of less than 0.3 ng/ml (0.2 nmol/liter), are associated with impending or frank deficiency. Thus, vitamin B₁₂ status can be used to assess Co adequacy in sheep. Although measurement of plasma cobalamin levels has been considered sufficient for assessment of Co status in sheep, it has been suggested that liver cobalamin should also be included in assessment studies as plasma levels do not always reflect soft tissue levels (Mills, 1987). In this regard, it should be considered that the measurement of plasma cobalamin is complicated by the presence of cobalamin analogues that interfere with the assay (Halpin et al., 1984). Therefore, the measurement of plasma methylmalonate levels is used as an indirect indicator of the functional cobalamin status of the animal. The increase in methylmalonate is due to a reduction in the activity of mehylmalonyl-CoA mutase, for which adnosylcobalamin is a cofactor (discussed earlier).

C. Disorders of Cobalt Metabolism

The signs and biochemical lesions that are manifested in Co deficiency are referable to a deficiency of vitamin B_{12} . The pathways that are most severely impaired are those of purine biosynthesis and glucogenesis. Deficiencies of Co have been correlated with a reduction in blood glucose and an increase in methylmalonic acid excretion. A primary defect in Co deficiency underlying the previous discussion has been attributed to a reduction in the activity of

III. Copper

methylmalonyl CoA mutase in ruminants. The hepatic lipidosis observed in Co-deficient animals has been attributed to a reduction in choline biosynthesis caused by a reduction in the activity of homocysteine methyltransferase. Vitamin B₁₂ deficiency reduces the regeneration of methionine, which can impair choline biosynthesis. With a reduction in the activity of this enzyme, Co deficiency can result in a reduction in the regeneration of tetrahydrofolic acid, which can then result in the development of megaloblastic anemia and pancytopenia secondary to impaired purine biosynthesis. A reduction in tetrahydrofolate reduces the available methyl donors and therefore reduces purine biosynthesis (see Chapter 23). The primary signs of Co deficiency are referable to pernicious anemia, granulocytopenia, and chronic wasting, which is secondary to aberrations in amino acid, nucleic acid, carbohydrate, and potentially, lipid metabolism. Another disorder, white liver disease, is a Co-responsive disease of sheep that is characterized by unthriftiness, listlessness, weight loss, ocular discharge, anemia, pale liver, and occasionally, photosensitivity and neurological dysfunction (Kennedy et al., 1997; Mitchell et al., 2007; Smith et al., 1987).

Toxicity in animals under natural conditions has not been reported. Toxic levels appear to be at least 300 to 1000 times the requirement in most species. Cases of toxicity are invariably the result of accidental oversupplementation to prevent deficiency or inhalation of Co sources. Doses in excess of 4-mg/kg body weight (68 μ mol/kg) can be toxic for sheep and ingestion of greater than 1.1 mg/ kg (18.7 μ mol/kg) in cattle can be toxic. The major clinical signs include polycythemia, anorexia, fatty infiltration of the liver, pulmonary edema, and depressed grow. Noteworthy, cases of Co intoxication from ingestion of beer have been reported in humans (Barceloux, 1999; Lauwerys and Lison, 1994). In humans, signs of Co toxicity are hyperthyroidism, thyroid hyperplasia, cardiomegaly, and heart failure. Symptoms related to inhalation toxicosis are mostly referable to the lungs and skin with hypersensitivity, dermatitis, and pulmonary fibrosis being the major lesions (Mitchell et al., 2007; Watson, 1998).

III. COPPER

A. Copper Distribution

A large animal can contain 50 to $120\,\mathrm{mg}$ (780 to $1889\,\mu\mathrm{mol}$) of Cu. In the adult, about one-third of the total body Cu is found in the liver and brain. Most nonruminant species have liver Cu concentrations that are between 2 and $10\,\mu\mathrm{g/g}$ (0.032 to $0.16\,\mu\mathrm{mol/g}$). Skeletal muscle, although considered low in Cu, represents about one-third of the total body Cu because of its mass. The remainder of the Cu is fairly evenly distributed throughout the body. The highest concentrations of Cu (>5 $\mu\mathrm{g/g}$; >0.079 $\mu\mathrm{mol/g}$) are normally present in liver, brain, heart, and hair. Tissues with intermediate

levels or Cu (1.5 to $5 \mu g/g$; 0.024 to 0.078 μ mol/g) include kidney, pancreas, skin, muscle, and bone. Tissues with low concentrations of Cu (<1.5 μ g/g; <0.024 mol/g) include pituitary, thyroid, thymus, ovary, and testis (Keen *et al.*, 2003; Mason, 1979; Smart *et al.*, 1981; Stern *et al.*, 2007). The normal range of Cu in the blood of most healthy animals is between 0.5 and 1.5 μ g/ml (7.9 to 23.6 μ mol/liter). In most species, plasma/serum and whole blood Cu concentration are similar. Birds, fish, and marsupials are characterized by blood Cu concentrations that are about half that of other species (Mason, 1979).

Ruminants have a high storage capacity for Cu in their livers, often exceeding two-thirds of the total body pool. Typical liver Cu concentrations in sheep and cattle range from 20 to $150\,\mu\text{g/g}$ (0.315 to 2 to $36\,\mu\text{mol/g}$). Similarly high liver Cu levels have been reported for ducks and some fish. The high liver Cu in ruminants compared to nonruminants is thought to reflect a higher retention rather than a difference in dietary intake of Cu or absorption. Copper concentrations in other ruminant tissues are similar to those reported for nonruminants. For most species, the newborn is characterized by liver Cu concentrations that are markedly higher than those found in adults. However, the Cu in most other tissues tends to be higher in the adult than in the newborn (Stern *et al.*, 2007).

It has been suggested that the high liver Cu in the newborn can represent a reserve pool of Cu for the rapidly growing neonate. Several cases of Cu deficiency have been reported in premature infants. In sheep, liver Cu in the newborn is lower than in adults, and in cattle, newborn and adult liver Cu is similar (Keen, 1996).

For all species studied, the pigmented tissues of the eye are particularly high in Cu, with very high levels associated with the melanins. The function of this Cu in the eye is not known. Except in certain disease states and in deficiency, the body Cu content is homeostatically controlled, and there is little accumulation of Cu in the eye (Krajacic et al., 2006).

B. Copper Functions

Copper has two major functions. It can be a structural component in macromolecules acting as a coordination center. It is also a common redox cofactor for a number of oxidases and monooxygenases that are essential for life, owing to its ability to cycle between reduced and oxidized states.

Perturbations in the activity of these enzymes because of poor Cu dietary status can be linked to specific biochemical steps and lesions. For example, poor growth, reproduction, skeletal, and vascular formation can result from a lack of lysyl oxidase and cytochrome C oxidase. Impaired immunity, neurological function, oxidant defense, and depigmentation resulting from Cu deprivation can also

be linked to specific enzymes such as Cu-Zn superoxide dismutase (CuZnSOD), which catalyzes the dismutation of the superoxide anion; dopamine β -hydroxylase, responsible for noradrenalin and adrenaline production; and melaninase, responsible for melanin production (Keen *et al.*, 2003; Rucker *et al.*, 1998; Stites *et al.*, 2000; Tinker and Rucker, 1985).

A diverse array of physiological symptoms, particularly during the perinatal period, can occur including hypotension, muscle hypotonia, hypothermia, and hypoglycemia. Moreover, elastin and collagen from Cu-deficient animals have an elevated content of lysine and a low content of various cross-linking amino acids. Loss of cross-linking results in defects in the elastic properties of arteries and decreases in bone strength and the tensile strength of various connective tissues. A reduction in CuZnSOD can increase hydrogen peroxide and superoxide radicals that can irreversibly oxidize proteins, nucleic acids, lipids, and carbohydrate components within cytoskeletal structures and the cell wall.

Changes in Cu status, particularly in the fetus and neonates, have been associated with perturbations in nitric oxide (NO) metabolism, a key signaling molecule to endothelial cell responsiveness (e.g., contraction and relaxation). Moreover, when an increase in hydroxyl radical occurs, because of a reduction in CuZnSOD activity, the reaction of peroxide radicals with NO can produce peroxynitrite. Peroxynitrite, another potent oxidant, can cause ATP depletion and peroxynitrite-induced nitration of tyrosine residues on proteins. Many of the neurological signs and endothelial changes associated with Cu deficiency are thought to be the result of altered NO metabolism (Schuscha, 1997; Yang et al., 2007) and peroxynitrite-induced lesions (Fig. 22-3).

Other Cu-containing enzymes include tryptophan oxygenase, ascorbate oxidase, tyrosinase, amine oxidases, peptidyl-glycine- α -amidating monooxygenase, and possibly some fatty acid desaturase enzymes such as C18 Δ° -desaturase. It has been suggested that Cu can also be involved in a nonenzymatic manner in neuropeptide release from the brain.

C. Dietary Copper

Copper absorption from diets is relatively efficient, although some dietary constituents can affect bioavailability. Copper hydroxides, iodides, glutamates, and citrates are more easily absorbed than molybdates, sulfates, and phytates. High intakes (100 or more mg/kg of diet) of Ag and Zn can interfere with intestinal copper transport. Moreover, the extended use of supplements that contain iron can negatively affect copper status. Cu absorption is greater in neonates than in adults (Committee on Copper in Drinking Water, 2000; Stern et al., 2007).

Another interaction that has attracted attention involves Cu, Mo, and sulfate. Particularly in ruminants, dietary sulfate

intensifies the harmful effects of Mo on Cu absorption. CuSO₄ and Na₂MoO₄ react to form an insoluble complex referred to as a thiomolybdate, which renders Cu biologically less active and less bioavailable (see Section V).

Nutritional Cu deficiency occurring outside of the laboratory has been well documented in a variety of species including humans, cattle, sheep, pigs, and horses. The recommended minimal daily requirements for Cu for a number of species are presented in Table 22-2. Given that the uptake of Cu from a diet can be influenced by other dietary factors as well as the physiological state of the animal, under some conditions, a diet cannot contain sufficient Cu for the animal even though the level of Cu in the diet is at the level suggested in the NRC tables.

Food items that are high in Cu include nuts, dried legumes, dried vine, and dried stone foods (300 to 400 μ g/g; 4.72 to 6.30 μ mol/g). Food items considered low in Cu content (<1 μ g/g; 0.016 μ mol/g) include dairy products and sugar, refined cereals, fresh fruits, and nonleafy vegetables contain about 7 μ g Cu/g (0.11 μ mol/g). Copper in typical animal feeds can range from 20 μ g/g (0.315 μ mol/g) (cottonseed meal) to 2 (0.032 μ mol/g) (corn), with the concentration being highly dependent on soil conditions and fertilizer practices.

D. Copper Metabolism, Absorption, and Transport

1. Cellular Transport and Regulation

Copper is absorbed in all segments of the gastrointestinal tract. For most species, absorption occurs in the upper small intestine, but in sheep considerable absorption also occurs in the large intestine. Absorption of Cu is about 30% to 60% with a net absorption of about 5% to 10% owing to the rapid excretion of newly absorbed Cu into the bile. A delicate balance between Cu uptake and efflux maintains copper homeostasis (Cromwell *et al.*, 1989; Gooneratne *et al.*, 1989; O'Dell and Sunde, 1997; Reilly, 2004; Stern *et al.*, 2007; Theile, 2003).

Cu uptake occurs through both high- and low-affinity transport systems (Fig. 22-4). Environmental factors can influence the response to transporters. Most important are factors that influence solubility and redox state. Cu exists in two different valence states; the cupric ion (Cu⁺¹) is the primary substrate for the transport systems that take Cu across plasma membranes. Reduction (Cu⁺² \rightarrow Cu⁺¹) is catalyzed by plasma membrane reductases (Theile, 2003). However, the cuprous ion (Cu⁺²) in the intestinal lumen is more soluble than the cupric ion (Cu⁺¹). Chemical reduction of luminal contents (e.g., by reducing agents such as ascorbic acid) can decrease the amount of Cu that is bioavailable (i.e., affectively delivered to the surface of intestinal cells). It is important, however, to note several caveats. Observations in humans suggest that the effects of ascorbic

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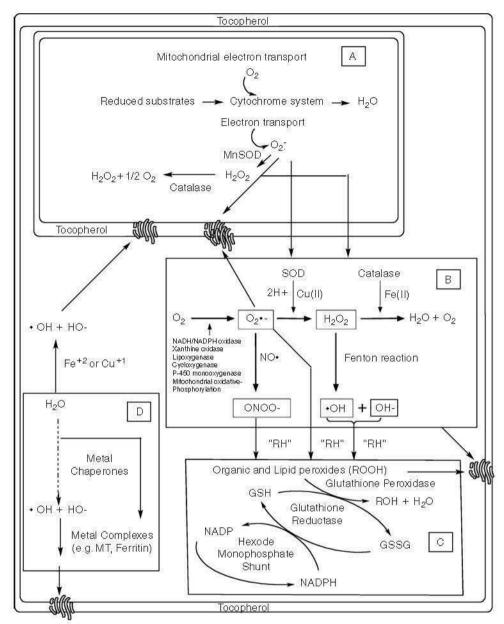


FIGURE 22-3 Major sources and regulation of reactive oxidant species (ROSs) in cells. Depending on the stage of development and condition, on a per mole basis ROSs are normally generated at rates of 1% to 4% of the oxygen consumed or greater when ROS regulation is disrupted. A major source of ROS is derived from superoxide anion (O2) generated in the mitochondrion (A) because of uncoupling from the cytochrome oxidase system during mitochondrial electron transport. In addition to water and oxidized metabolites as products, superoxide anion and H2O2 are produced. Enzymes, such as catalase and MnSOD (localized in the mitochondria) control excess production of O2 and H2O2. When there is subsequent leakage from mitochondria, an increase in the cellular pool of ROS occurs owing both to ROSs from mitochondria and production from other organelles and cytosol (B). Sources of ROSs from other organelles and cytosol are the products from reactions catalyzed by NADH and NAPH oxidases, xanthine oxidase (activated during ischemic injury), lipoxygenase, and P450 monooxygenase enzymes (localized mainly in the smooth endoplasmic reticulum and responsible for the metabolism of xenobiotics, drugs, and secondary metabolites). In addition, excess ROS can potentially alter nitric oxide metabolism (e.g., formation of peroxynitrites) and cause the generation of organic and lipid peroxides and so-called Fenton products (C). For example, "RH," used to depict numerous aromatic and lipid-derived possibilities as potential reactants, can be converted to ROOH, potential organic peroxide-containing products. Excess superoxide anion, ROOH, OH-, OH-, and other ROSs can then damage proteins, nucleic acids, and lipids, particularly lipid structures in cell and organelle membranes. Important to this discussion, metals can act as both catalysts for ROS formation and cofactors for antioxidant enzymes that modulate influence ROS metabolism. For example, (1) MnSOD and CuZn SOD (superoxide dismutases) cause the dismutation of excess superoxide anion to peroxide, (2) catalase (contains Fe) and glutathione peroxidase (contains Se) can control excess of both hydrogen and organic peroxides. As an additional defense, metal chaperones (see text), metallothionein (MT), or ferritin control "free" metal ion concentrations in cells that are capable of redox (D). Redox metals (Fe or Cu) can act as Fenton catalysts and promote the homolytic cleavage of H2O2 to OH and OH-, which are highly damaging oxidants. Reduction in the concentration or sequestration of metals (depicted by the dashed line) markedly reduces potential Fenton products. As a final line of defense, tocopherols and polyphenolic and related phytochemicals, which localize to lipid membranes, provide additional ROS defense.

Cuproreductase CRT1 Metallothionein Cu Yeast ATOX, Homologue Cu DCT1 DMT1/Nramp2 Cu Cu Cu Cu CuZnSOD Cytochromes Mn SOD Mn SOD Metal Oxyanion Transporters Yeast Homologue of Mtm₁P TfR Ca/Mn ATPase DCT1 DMT1/Nramp2 al Oxyanion Transporters Mn SOD Mn SOD Ferritin Protein Se-Met Acid Transporters CH₃SeH Se-Cys Se-Cystathionine [-CH₃] HSe-HSePO₄-1etal Oxyanion Transporters Ser-tRNA_{UGA} Sec-tRNA GSSeSG Selenite GSSG GSH Selenite/Sulfite Reduction Pathways Se-Specific Proteins ZnT[n] ZnT[n] ZIP Family[n] ZnT[n] L-type calcium Zn

ZnT[n]

Metallothionein

channels (LTCC)

d

DCT1 DMT1/Nramp2

Cytochromes Cytochromes

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acid on Cu absorption are modest and probably occur only at the extremes of ascorbate intake (Jacob *et al.*, 1987; Lonnerdal, 1998; Stern *et al.*, 2007).

From a conceptual perspective, studies in yeast have shed light on proteins involved in the process of Cu transport. For example, in S. cerevisiae, high-affinity Cu ion uptake has been characterized as temperature and ATP dependent. Cu ion uptake appears to be coupled with K⁺ efflux with a 1:2 stoichiometry, suggesting that the process takes place via a Cu⁺/2K⁺ antiport mechanism. In yeast, the gene for Cu reductase activity, designated FRE1, is regulated by activation of a transcription factor in response to cellular Cu levels (Pena et al., 1999; Puig and Theile, 2002; Stern et al., 2007; Theile, 2003). In mammalian cells, the entry of Cu into cells is first orchestrated by the action of a reductase and then contact with a high-affinity Cu transporter, currently designated as Ctr1 and Ctr3 (Ctr2 is a low-affinity transporter). High-affinity Cu uptake is saturable with a K_m of $1-4\,\mu\text{mol/l}$. Under Cu-limiting conditions, there is evidence that the transporters and proteins involved in Cu redox are up-regulated, whereas under Cu-replete conditions, they are down-regulated (Theile, 2003). Of some significance, Ag and Zn ions can compete for Cu by using the Crt transporters Zn and Ag ions have similar chemical characteristics as Cu⁺¹. Effective competition does not occur except at intakes 5 to 10 or more fold the Zn requirement and when Cu intake is marginal (Committee on Cu in Drinking Water, 2000; Stern et al., 2007).

In addition to the transporters, cellular chaperones specific for Cu deliver Cu to specific cellular proteins (Fig. 22-4). Other important features of Cu regulation include the role of metallothionein, a divalent metal-binding protein for Cu, Zn, Hg, and Cd, which acts to buffer shifts in the cellular concentrations of Cu (and Zn). Cu egress or transport out of cells is controlled by P-ATPase Cu-transporters that are located on the surface of vesicles that arise from Golgi processing. Although a change in Cu status does not appear to alter Cu-transporting P-ATPase gene expression, it can affect the movement of copper-containing vesicles to and from that outer cell membrane. Cu homeostasis must be coordinated, as the release of free Cu ions can cause damage to cellular components by catalyzing the generation of reactive oxidant species (ROS).

Free cuprous ions (and ferric) react readily with hydrogen peroxide to yield deleterious hydroxyl radicals. Accordingly, Cu homeostasis is regulated tightly, and unbound Cu is extremely low in concentration (\sim one atom/cell). For example, Atox1, a chaperone that delivers Cu into egress or efflux pathways, docks with a Cu-transporting ATPase (ATP7B in the liver or ATP7A in other cells). ATP7B directs Cu to plasma ceruloplasmin or to biliary excretion in concert with another chaperone, Murr1, the protein missing in certain types of canine Cu toxicosis. ATP7A directs Cu within the trans Golgi network to the proteins dopamine β -monooxygenase, peptidylglycine α -amidating monooxygenase, lysyl oxidase, and tyrosinase, depending on the cell type (Stern *et al.*, 2007; Theile, 2003).

FIGURE 22-4 (Continued) nearly all Cu uptake under low copper conditions. It is one of a family of proteins involved in Cu transport that is transcriptionally induced at low copper levels and degraded at high copper levels. Associated with this transporter is a copper reductase that maintains Cu in the +1 state (its most soluble form) while in the vicinity of the transporter. Next, Cu is transferred to chaperones whose functions are to carry copper to specific proteins within the cell (e.g., COX-17 → cytochromes, ATOX → vesicular P-ATPases, CCS → SOD). Copper egress (efflux) is accomplished by a novel process, the transport of copper into secretory vesicles via post-Golgi processing. This occurs coincidently with efflux of specific apocuproproteins (see text) that are localized to the same vesicles. On the membrane of the vesicles, two membrane-bound Cu-transporting ATPase enzymes, ATP7A and ATP7B, catalyze an ATP-dependent transfer of Cu to intracellular compartments or expel Cu from the cell (from the Golgi to and from the cell membrane). In response to a high level of cellular Cu, there is recycling of the vesicles at higher rates to more effectively remove copper. Within the vesicles, apocuproproteins can also become activated. Consequently, secreted cuproproteins with enzyme activity, such as lysyl oxidase or ceruloplasmin, often reflect Cu status or dietary intake. Some evidence also suggests DMT or Nramp transporters important to iron transport can play a minor role in copper uptake. (b) Intestinal and systemic cellular manganese transport is mediated mostly by divalent metal transporter 1 (DMT1) and is up-regulated in iron deficiency. Within the body, Mn bound to transferrin is taken up by transferrin receptors. Unlike other transition metals, which are not found in "free" ion forms in cells, the behavior of Mn is analogous to Mg (i.e., dissociable ion exists). Less is know currently about specific chaperones than for copper or zinc. Excess Mn in cells is sequestered on ferritin. A Golgi-derived ATPase has been described to facilitate the movement of Mn from and to the nucleus and cis- and trans-Golgi compartments. Although the evidence is indirect that other types of ion channels and vesicular egress play a role in Mn cellular transport, given that MnO₄ anion can be transported in addition to Mn⁺² and Mn⁺³, a role for oxyanion transport is indicated. (c) Selenium is delivered to cells via amino acid and oxyanion transporters and when present in plasma via processes that recognize selenoprotein P. The selenite and selenate forms must first be reduced (via a glutathione reduction system) to HSe- before Se can be utilized as a cofactor. Selenomethionine, if not incorporated into protein, can also be eventually converted to HSe-. Next, for incorporation into specific Se-proteins (e.g., GPx, 5'-ID, or Se-protein P), HSe- is phosphorylated (requires ATP). Then following transfer to Ser-tRNA_{UGA} to form Se-Cys-tRNA_{UGA}, the stage is set for translation of Se-containing proteins. Regarding cellular efflux, Se is lost from cells as secreted Se-proteins, such as selenoprotein P, Se-cystathionine, or as volatile forms of methylated Se (e.g., CH3-Se-CH3). (d) Zinc uptake and cellular translocation are controlled by two large families of metal transporters for which there more than two dozen variants. More specifically, the two solute-linked carrier (SLC) gene families encode the zinc transporters: ZnT (SLC30) and Zip (SLC39). The ZnT transporters reduce intracellular zinc availability by promoting zinc efflux from cells or into intracellular vesicles, whereas Zip transporters increase intracellular zinc and promote extracellular zinc uptake. The ZnT and Zip transporter families exhibit unique tissuespecific expression and differential responsiveness to dietary Zn intake and to physiological stimuli. Temporary influxes of Zn are buffered by the induction of metallothionein. DMT1 and over-ion channels can play minor roles in Zn transport.

2. Systemic Regulation of Cu

From the intestine, a case can be made for the transport of Cu on albumin and in the form of low-molecular-weight complexes (e.g., histidine) to target tissues, particularly the liver. From the liver, ceruloplasmin seems to aid in the transport of Cu to other tissues. Ceruloplasmin is the predominant Cu-containing protein in mammalian serum, a glycosylated multi-Cu ferroxidase that carries >95% of total serum Cu. Surprisingly, whereas ceruloplasmin can function in Cu transport, the absence of ceruloplasmin has not been shown to alter Cu levels in the peripheral tissues. Such observations come from what is known about individuals and animal models that have aceruloplasminemia, a genetic disorder of ceruloplasmin deficiency. Moreover, analbunemic rats do not have significantly impaired Cu metabolism. Apparently Cu movement from serum proteins to the cell surface reductase-Ctr transporters is not highly specific (Hellman and Gitlin, 2002).

3. Disorders of Cu Metabolism-Cu Deficiency

A number of pathologies are associated with Cu deficiency that represent perturbations in the functions of Cu outlined earlier. For example, anemia (microcytic hypochromic or normocytic hypochromic) is probably the most frequent sign associated with chronic Cu deficiency. Cu deficiency results in impaired normal iron absorption (O'Dell and Sunde, 1997), mobilization, and utilization, partly because of Cu's role as a redox cofactor in various membrane associated ferrioxidases that oxidize Fe⁺² and Fe⁺³, thereby promoting the transfer of iron to transferrin. Reduced aminolevulinic acid dehydrase, important to the first step in heme synthesis, is also decreased in Cu deficiency. Heinz body anemia caused by ROS can also develop with a significant depression of CuZnSOD activity (O'Dell and Sunde, 1997).

Cardiovascular defects have been associated with Cu deficiency since the 1950s. In cattle, Cu deficiency can result in severe degeneration of the myocardium with fibrosis ("falling disease"). Sudden death is a frequent observation and is thought to be due to acute heart failure. Cardiac failure associated with cardiac hypertrophy has also been reported in Cu-deficient rats. A number of biochemical lesions can underlie the changes in the heart observed with Cu deficiency (e.g., decreased cytochrome oxidase activity, abnormalities in cardiac and vessel wall elastin and collagen structure because of lysyl oxidase, and low cardiac norepinephrine levels, which can decrease coronary resistance and reduce systolic pressure) (Tinker and Rucker, 1985). In experimental Cu deficiency in young animals, such as turkey poults, aortic aneurysms can occur (Savage et al., 1966). This is the result of poor biomechanical properties of elastic fibers (constitutes as much as 50% of the total protein in aorta) that are weakened as a result of defective and reduced cross-linking. Such elastin is also susceptible to degradation and lost. Contrast this condition with normal elastin that normally has a biological half-life best measured in months to years (Tinker and Rucker, 1985).

In addition, skeletal defects have been reported in Cu-deficient dogs, sheep, chicks, cattle, foals, and humans. The primary biochemical lesion underlying development of bony lesions in Cu-deficient animals is again a reduction in the activity of lysyl oxidase leading to a reduction in the cross-linking of bone collagen, thus reducing bone stability and strength analogous to the situation with elastin (Tinker and Rucker, 1985).

An increased rate of tissue lipid peroxidation is another mechanism by which Cu deficiency can contribute to a wide variety of lesions. In addition to the depression in CuZnSOD activity, Cu deficiency can reduce the activity of selenium(Se)-dependent glutathione peroxidase (GPx). Thus, two major components of the cells' antioxidant defense system can be affected by Cu deficiency. Another lipid alteration is hypercholesterolemia (Engel et al., 2000; Gooneratne et al., 1989; Keen et al., 2003; Mills, 1987; Schuscha, 1997). In Cu-deficient animals, total cholesterol and free cholesterol levels are elevated and are associated with high concentrations of high- and low-density lipoproteins (HDL and LDL). The lipid composition of HDL isolated from Cu-deficient animals has been reported to be similar to that of Cu-supplemented animals, but the HDL has been shown to be enriched in apo F. A primary biochemical lesion underlying the hypercholesterolemia is a reduction in hepatic HDL binding that results in a slower turnover of HDL and leads to an accumulation of apo E-rich HDL. Both of these phenomena, lipid peroxidation and abnormal lipid transport, are important features that underlie abnormal membrane function.

Important to Cu's role in oxidative defense are observations that link Cu status to NO metabolism, an important mediator of cellular regulation. NO can be synthesized in both the cytosol and mitochondria (Wu and Meininger, 2002). Among its major functions as a signaling molecule, NO modulates oxidative phosphorylation and protects mitochondria from oxygen radicals. This process, however, depends on optimal cytochrome oxidase and CuZnSOD activities. Cu deficiency limits NO availability, because in the presence of ROS, NO is converted to products, such as peroxynitrite, thus altering NO-dependent signaling and contributing to processes that can range from abnormal development to aging to neurological and cardiovascular disorders. Indeed, many neurological signs can be associated with Cu deficiency, owing to Cu's role as a cofactor in dopamine β -monooxygenase and in influencing NO metabolism (Wu and Meininger, 2002). A classical example of a neurological disorder is neonatal ataxia.

Neonatal ataxia has been shown to be a consequence of perinatal Cu deficiency in lambs, goats, swine, guinea III. Copper

pigs, deer, camels, and rats. Originally described in lambs, the disorder, which is often referred to as enzootic ataxia or swayback, is characterized by spastic paralysis, especially of the hind limbs, severe in coordination of movement, blindness in some cases, and anemia. The brains of affected animals are smaller than normal with collapsed cerebral hemispheres and shallow convolutions and are characterized by a paucity of myelin. It has been suggested that the neural lesions associated with enzootic ataxia are in part the result of a Cu deficiency-induced reduction in brain cytochrome oxidase activity and impairment in phospholipid synthesis with a subsequent block in myelin synthesis. Motor incoordination and tremors have been ascribed to the effects of perinatal Cu deficiency on brain catecholamine metabolism. Norepinephrine levels are decreased in the whole brain and brainstem. The reduction in norepinephrine levels is most likely due to a decreased activity of dopamine beta-hydroxylase (monooxygenase), which catalyzes the hydroxylation of dopamine to norepinephrine (Engel et al., 2000; Gambling and McArdle, 2004; Gooneratne et al., 1989; Keen et al., 1998).

Additional consequences of Cu deficiency, particularly during early development, are alterations in the immune system and systemic metabolism. Regarding immune function, effects of Cu deficiency include impaired neutrophil function, increased susceptibility to bacterial infections, decreased resistance to tumor challenge, reduced cellmediated and humoral immunity, and alterations in lymphocyte subpopulations. Arthur and Boyne (Arthur and Boyne 1985; Boyne and Arthur, 1986, 1990) reported that the loss of neutrophil microbicidal activity associated with Cu deficiency in cattle is secondary to a failure to produce superoxide within the neutrophil phagosome. A primary biochemical defect can also be a reduction in lymphopoiesis secondary to a decrease in erythropoiesis. Cu deficiency also reduces splenocyte production of interleukin-1 and T cell replacing factor. Thus, it is possible that some of the immune defects observed in Cu-deficient animals are the result of reductions in hormonal signals (Arthur and Boyne, 1985; Boyne and Arthur, 1986, 1998; Gooneratne et al., 1989; Keen et al., 1998; Milanino and Buchner, 2006).

Regarding metabolism, abnormal pancreatic function is associated with marginal Cu deficiency.

Histological lesions suggest that the basic biochemical defect is one of excessive membrane lipid peroxidation or serum protease infiltration (Tosh *et al.*, 2007). Altered insulin secretion and glucose regulation can also be disturbed.

4. Cu Excesses

Acute Cu toxicity is rarely a serious problem in domestic animals, probably because of the strong emetic effect of the element. In contrast, chronic Cu toxicosis is a frequent occurrence in some species, particularly sheep. In sheep, there are two phases associated with Cu toxicosis. In the first phase, there is a gradual accumulation of Cu in tissues and a rise in serum aspartate aminotransferase, lactate dehydrogenase, sorbitol dehydrogenase, arginase, and glutamic dehydrogenase. As liver Cu accumulates, there is swelling and necrosis of the hepatic parenchymal cells and Kupffer cells resulting in a focal necrosis of liver tissue. The primary biochemical lesion arising from Cu toxicosis is thought to be Cu-initiated free radical damage. The hemolysis can also occur with Cu toxicity and is thought to be a result of changes within the erythrocyte rather than a direct effect of Cu on the erythrocyte membrane. During the hemolytic crisis, sheep have swollen, partially cirrhotic livers and dark, hemoglobin stained kidneys. Renal and hepatic tissues from Cu intoxicated sheep with hemolytic crises have cytoplasmic lipofuscin granules in the renal tubular epithelium and hepatic parenchyma, suggesting lysosomal rupture. Additional pathology can occur in the white matter of the cerebrum, pons, and cerebellum.

Liver Cu has also been shown to be markedly elevated in animal models for diabetes, but it is not clear if similar increases occur in spontaneous diabetes or whether the increased liver Cu represents increased risk of liver disease. Kidney Cu concentrations can also be markedly increased with diabetes. However, similar to other metabolic and inflammatory-induced elevations in hepatic Cu levels, it is not known if the diabetes-associated changes in renal Cu represent a threat (Keen and Uriu-Adams, 2005).

5. Cu-Related Genetic Models and Other Conditions (e.g., Prions)

Genetic defects mimicking Cu deficiency have been reported for humans (Menkes' disease) and mice (mottled mutants). Menkes' disease is X-linked and is characterized by progressive degeneration of the brain and spinal cord, hypothermia, connective tissue defects, and failure to thrive (Keen et al., 1998; Pena et al., 1999; Puig and Thiele, 2002; Stern et al., 2007; Thiele, 2003). Death often occurs before 3 years of age. The mottled mutants have been developed as animal models for Menkes' disease. It is now appreciated that the major defect is gene deletions and transpositions associated with Cu-ATPase-7A. There is a lack of normal cupper egress from cells. Cu is not directed to lysyl oxidase and some cases other proteins (dopamine beta-monooxygenase, peptidylglycine a-amidating monooxygenase, or tyrosinase depending on the cell type). Thus, lesions arise associated with defects in collagen and elastin metabolism and Cu catalyzed oxidative damage.

Similarly, genetic defects mimicking Cu toxicity have been reported in humans (Wilson's disease) as well (Theile, 2003). Wilson's disease is an autosomal recessive inherited disorder of Cu metabolism in which there is a failure to excrete Cu through the biliary system because of a mutation in the gene coding for an ATPase Cu pump.

Corresponding defects in the Cu-transporting ATPase, P-ATPase-7B, in the liver also occur. Recall that this ATPase directs Cu to plasma ceruloplasmin or to biliary excretion. Patients with Wilson's disease frequently present with one of three major clinical problems, liver disease (liver failure, hepatitis, or chronic cirrhosis), neurological signs (slurred speech, difficulty controlling facial muscle, or dystonia), or psychiatric problems. Unlike Menkes' disease, Wilson's disease is treatable if diagnosed early with Zn, Cu chelators (e.g., penicillamine).

A proposed analogue of this disorder in animals is Cu toxicosis in Bedlington terriers, which affects up to 60% of the breed (Haywood, 2006). Dogs homozygous for the gene are characterized by extremely high liver Cu concentrations often exceeding 500 micrograms/g (7.87 micromol/g) compared to controls of less than 75 micrograms/g (1.180 micromol/g). The associated hepatic injury is thought to be due to a Cu-initiated, free radical damage and lysosomal rupture. Several other breeds, including West Highland white terriers, Skye terriers, Dobermans, Dalmatians, and Keeshounds, have been identified as having Cu-associated liver disease as well (Haywood, 2006).

The mechanism by which Cu toxicosis occurs in Bedlington terriers, however, adds another dimension to Cu regulation and the P-ATPase relationship. Although eleven polymorphisms, two in the coding region, have been identified in the Bedlington terrier ATP7B gene, another gene, COMMD1 (Cu metabolism gene MURR1), has been identified that seems to function as a Cu chaperone. COOMD1 belongs to a family of multifunctional proteins whose functions have been linked to inhibition of nuclear factor NF- κ B to Cu transport (Forman *et al.*, 2005; Haywood, 2006; Spee *et al.*, 2006). COMMD1 was implicated as a regulator of Cu metabolism by its proposed role in Cu delivery to P-ATPase-7B (see Fig. 22-4). Without P-ATPase-7B or the chaperone, Cu is sequestered in liver and promotes ROS-related lesions (Haywood, 2006).

With regard to Cu toxicity, chelation therapy can be effective for the treatment. The chelating drugs with worldwide application are dimercaprol, succimer, unithiol, D-penicillamine, N-acetyl-D-penicillamine, calcium disodium ethylenediaminetetraacetate, calcium trisodium or zinc trisodium diethylenetriaminepentaacetate, deferiprone, triethylenetetraamine (trientine), N-acetylcysteine (NAC), and Prussian blue. Penicillamine and diethylenetriaminepentaacetate derivatives are often used first when there are clear indications that an excess of Cu is the problem. Monitoring Cu status, however, is important with the use of any chelating agent or dietary approach (increasing the Zn intake) to diminish the prospects of a secondary Cu deficiency (Seguin and Bundy, 2001; Willis et al., 2005).

As a final comment, transmissible spongiform encephalopathies (TSEs) are a family of neurodegenerative diseases characterized by their long incubation periods, progressive neurological changes, and spongiform appearance in

the brain. There is now evidence that TSEs are caused by an isoform of the normal cellular surface prion protein PrPC. The normal function of PrPC is still unknown, but it exhibits properties of a cuproprotein, capable of binding Cu ions.

There are two differing views on Cu's role in prion diseases. Whereas one view looks at the PrPC Cu binding as the trigger for conversion to PrPSc, the opposing viewpoint sees a lack of PrPC Cu binding resulting in the conformational change into the disease-causing isoform. Manganese and Zn have also been shown to interact with PrPC (Leach et al., 2006).

E. Evaluation of Cu Status

Measurement of plasma/serum Cu concentrations can be useful in suspected cases of Cu deficiency as low levels are diagnostic. Similarly, measurement of plasma/serum ceruloplasmin levels in suspected cases of deficiency can be useful, as 90% of the Cu present in plasma/serum is associated with this protein. Another possibility is the analysis of diamine oxidase (Legleiter and Spears, 2007). However, it should be noted that because synthesis of ceruloplasmin (an acute phase protein) can increase in acute or chronic infections; thus, a normal or elevated plasma or serum ceruloplasmin level does not rule out a deficiency. Increased synthesis of ceruloplasmin is thought to be in part mediated by a leukocyte endogenous mediator, and excessive production of ceruloplasmin is a consequence of a number of diseases that can result in marked redistribution of hepatocyte Cu pools into plasma. Elevated plasma or serum Cu concentrations have been reported to occur as a result of excessive Cu feeding in rats, sheep, and pigs, however, given that high plasma/serum Cu levels can also reflect a number of stress syndromes, a finding of high whole blood or plasma Cu levels should not be the sole criterion for diagnosis of Cu toxicosis (Stern et al., 2007).

In sheep and cattle, Cu concentrations below $0.5\,\mu\text{g/}$ ml are considered diagnostic for Cu deficiency. Although measurement of whole blood Cu has been widely used in diagnosing Cu deficiency, it is currently thought that measurement of erythrocyte CuZnSOD is preferable as it better reflects the functional Cu status of the animal. Complementary measurements of Cu chaperones, such as CCS, may also be eventually used for assessment (Keen and Uriu-Adams, 2006).

Liver Cu concentrations have been used as an indicator of Cu status in animals. The effect of low Cu diets on liver Cu levels can be dramatic. Ataxic lambs suffering from Cu deficiency can have levels below $5\,\mu\text{g/g}$ (0.079 $\mu\text{mol/g}$) compared to control levels of 25 to $75\,\mu\text{g/g}$ (0.394 to 1.181 $\mu\text{mol/g}$). Similarly, high levels of dietary Cu elevate hepatic Cu content. Levels in excess of $500\,\mu\text{g/g}$ (7.87 $\mu\text{mol/g}$) in adult sheep have been reported.

IV. Manganese 677 ■

IV. MANGANESE

A. Manganese Distribution

A large animal can contain from 10 to 20 mg (182 to 364 micromole) of Mn, which is fairly uniformly distributed throughout the body. There is relatively little variation among species with regard to tissue Mn concentrations (O'Dell and Sunde, 1997). Bone, liver, and kidney tend to have higher concentrations (1 to 3 microgram/g; 18 to 2 to 54.6 micromole/g) than other tissues. Manganese in brain, heart, lung, and muscle is typically less than 1 microgram/g (18.2 micromole/g); blood and serum Mn levels are about 0.01 microgram/ml (0.182 micromol/l) and 0.001 microgram/ml (0.0182 micromol/l), respectively.

Expressed as a percentage of the total, skeletal Mn can account for up to 25% of the total body pool. Bone Mn can be raised or lowered by substantially varying dietary Mn, but skeletal pools of Mn exchange slowly; thus, they are not thought to constitute an important pool for rapid mobilization (Reilly, 2004). Regarding development features of Mn metabolism, the fetus does not normally accumulate liver Mn before birth, and the levels of Mn in fetal liver are lower than in adult liver. This appears to be due to the expression of Mn enzymes such as arginase, pyruvate carboxylase, and MnSOD that occurs predominantly after birth (Bourre, 2006; Keen et al., 1999).

B. Manganese Function

Mn functions both as an enzyme activator and as a constituent of metalloenzymes. For Mn-activated reactions, it can act by binding either to a substrate (such as ATP) or to the protein directly, to facilitate subsequent conformational changes. Whereas there are relatively few Mn metalloenzymes, there are a large number of enzymes that can be activated upon Mn additions (e.g., various hydrolases, kinases, decarboxylases, and transferases). Although the extent to which such activation is specifically related to Mn can be questioned (e.g., Mg can replace Mn), some appear Mn specific (e.g., several glycosyltransferases). Other divalent cations do not readily activate glycosyltransferases, and some of the pathological defects associated with Mn deficiency can be ascribed to a low activity of enzymes in this classification (Bourre, 2006; Keen et al., 1999). For example, it has been suggested that xylosyl transferase is specifically activated by Mn. Cartilage isolated from Mn-deficient chicks is xylose poor, and fetuses born of Mn-deficient rats have limb deformities that can be related to reduced glycosylation (Lui et al., 1994). In addition, Mn can activate phosphoenolpyruvate carboxykinase in vivo; low activity of phosphoenolpyruvate carboxykinase has been reported in Mn-deficient rats. Other Mn-containing and sensitive enzymes include arginase, pyruvate carboxylase, MnSOD, and glutamine synthase. All except glutamine synthase have

been reported to be low in Mn-deficient animals (Aschner and Aschner, 2005; Crossgrove and Zheng, 2004; Ensunsa *et al.*, 2004; Spears, 2003).

C. Dietary Manganese

Nutritional Mn deficiency occurring outside the laboratory has been documented in a number of species including cattle, chickens, and rats. In humans, abnormal Mn metabolism has been reported to be a potential problem in epilepsy, Down's syndrome, osteoporosis, and diabetes (Aschner and Aschner, 2005; Crossgrove and Zheng, 2004; Spears, 2003). The recommended daily requirements for Mn for a number of species are shown in Table 22-2. Diets containing less than 1 micrograms Mn/g (0.018micromol/g) are unable to support normal reproduction in several species (i.e., sheep, goats, cattle, pigs, mice, rats, and rabbits). For perspective, the maximum reproductive performance in cattle does not occur until diets contain more than 20 micrograms Mn (0.31 micromol) per gram diet on a dry weight basis. The minimum dietary Mn requirement for poultry for growth and normal egg production and hatchability is about 40 micrograms/g (0.73 micromol/g) under normal dietary conditions. Excess dietary fiber, calcium, and phosphorus can markedly increase Mn requirements by reducing Mn bioavailability. The higher Mn requirements of birds compared to mammals can be due to a lower efficiency of absorption (Hansen et al., 2006; Miranda et al., 2006; Nocek et al., 2006; Subcommittee on Mineral Toxicity in Animals, 1980; Subcommittee on Poultry Nutrition, 1994; Weis and Socha, 2005). Food items considered high in Mn include nuts, whole cereals, dried fruits, and leafy vegetables. Meats, dairy products, poultry, and seafood are considered to be poor sources of Mn. Manganese in typical animal feeds can range from 10 microgram/g (0.18 micromol/g) in corn to 105 microgram/g (2.73 micromol/g) in ryegrass and red clover, with the concentration highly dependent on soil conditions and fertilizer practice.

D. Manganese Metabolism, Absorption, and Transport

Absorption of Mn is thought to occur throughout the small intestine. The efficiency of Mn absorption is relatively low and is not thought to be under homeostatic control (Keen et al., 2000; Sandstrom, 2001). It has been reported that adult humans typically absorb approximately 3% to 4% of dietary Mn, although absorption is increased in those with iron deficiency. High dietary intakes of dietary calcium, phosphorus, and phytate can increase requirements for Mn. Mn absorption and retention are higher in neonates than in adults, and it has been suggested for this reason neonates can be particularly susceptible to Mn toxicosis (Keen et al., 2000). The overall health status of the animal can also

influence absorption and its susceptibility to Mn toxicity. Studies in poultry have demonstrated that under conditions of duodenal coccidiosis Mn is utilized more efficiently. Coccidial infection exacerbated Mn toxicity as evidenced by depressed hematological parameters and increased bone and bile Mn concentrations (Southern and Baker, 1983).

In contrast to other essential trace elements, Mn absorption does not appear to be increased under conditions of Mn deficiency (Keen *et al.*, 2000). Mn absorption is increased under conditions of Fe deficiency. Because of the connection between the transport of iron and Mn, the transferrin receptor (TfR) and the divalent metal transporter-1 (DMT-1) seem to play a role in Mn transport, which could be the basis for the connection (Aschner and Aschner, 2005; Culotta *et al.*, 2005; Keen *et al.*, 2000). Mn from the gastrointestinal tract entering the portal blood can either remain free or rapidly becomes bound to alpha-2-macroglobulin and transferrin, particularly as Mn⁺³. Mn uptake by cells is usually unidirectional and saturable.

The metabolic fate of newly absorbed Mn entering the hepatocyte has not been well defined, although several cellular pools of Mn can be identified. The first represents Mn taken up by the lysosomes. Lysosomal uptake of Mn is also considered to be an essential step to egress as it is thought that lysosomes concentrate Mn for delivery to the bile canaliculus (i.e., whole body homeostatic regulation of Mn is maintained through biliary excretion). In this regard, up to 50% of Mn injected intravenously can be recovered in the feces within 24h. Another pool of Mn is associated with the mitochondria. Mitochondria have a large capacity for Mn⁺² uptake, and it has been suggested that mitochondrial Mn⁺² and Ca⁺² uptake can be linked. Nuclear, cytoskeletal (microsomal), and cytosolic pools of Mn+2 also exist. In contrast to Zn, Cu, and Fe for which only a few atoms per cell exist in free form, a portion of Mn can be viewed as dissociable, somewhat analogous to Ca⁺² and Mg⁺² (Keen et al., 2000).

E. Deficiency and Excesses

1. Deficiency

Manifestations of Mn deficiency in domestic animals include impaired growth, skeletal abnormalities, disturbed or depressed reproductive function, ataxia of the newborn, and defects in lipid and carbohydrate metabolism (Keen *et al.*, 2000). Biochemical lesions associated with these defects are discussed later.

The effects of Mn deficiency on skeletal development have been reported extensively. Mn deficiency results in limbs that are shortened and thickened and joints, which are swollen and enlarged (Lui *et al.*, 1994). Multiple reports of Mn deficiency in cattle are also present in the literature. One interesting case describes 47 Holstein calves with dwarfism, joint laxity, superior brachygnathism, and

domed foreheads that were born to heifers grazing naturally on one farm in South Africa. Seepage from seawater evaporation pans on an adjacent farm was believed to cause Mn deficiency in the dams by leaching Mn from the soil. The seawater contained high levels of strontium, calcium, and iron, which likely further inhibited Mn absorption (Staley *et al.*, 1994). As noted earlier, a basic biochemical lesion underlying the development of bone defects with Mn deficiency is a reduction in proteoglycan biosynthesis, which is secondary to a reduction in the activities of glycosyltransferases. These enzymes are specifically activated by Mn and are needed for the synthesis of chondroitin sulfate side chains of proteoglycan molecules (Hansen *et al.*, 2006).

Ataxia in the offspring of Mn-deficient animals was first observed in the chick and in rats in the 1940s. Ataxia is the result of impaired vestibular function caused by impaired otolith development in utricular and secular maculae. The precise biochemical lesion underlying the block in otolith development has not been identified, although it is thought to involve a defect in proteoglycan biosynthesis. Further, defects in carbohydrate metabolism, in addition to those known to arise from impairment of the activities of glycosyltransferases, have been observed in Mn-deficient rats and guinea pigs. In the guinea pig, Mn deficiency results in severe pancreatic pathology with aplasia or marked hypoplasia of all cellular components including fewer and less intensely granulated islet cells than controls. When glucose is given either orally or intravenously to Mn-deficient guinea pigs, they display diabetic-like glucose tolerance curves. Mn supplementation completely reverses the abnormal glucose tolerance. Mn deficiency can also affect carbohydrate metabolism through an effect on insulin metabolism (Keen et al., 2000; O'Dell and Sunde, 1997).

Mn associated with the islet cell exists in two pools: a readily exchangeable pool associated with the cell surface and an intracellular pool. Mn fluxes between these pools can affect insulin release. Accumulation of Mn associated with the islet cell membrane inhibits insulin release, whereas increases in the intracellular concentration of Mn are associated with a stimulation of insulin synthesis or release, consistent with the idea that Mn has a regulatory role in insulin synthesis/release. In rats, Mn deficiency depresses pancreatic insulin synthesis and secretion, and it enhances intracellular insulin degradation (Keen *et al.*, 2000).

Regarding lipid metabolism, Mn-deficient pigs, rats, and mice are characterized by deposition of excess fat in the liver. Abnormal lipid metabolism has been suggested as a cause of some of the ultrastructural abnormalities seen in tissues of Mn-deficient animals, which include alterations in the integrity of cell membranes, swollen and irregular endoplasmic reticulum, and elongated mitochondria with stacked cristae. The effect of Mn deficiency on cell membrane integrity could be due to changes in membrane

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lipid composition or an increased rate of lipid peroxidation in cell membranes, as the activity of MnSOD is lower in Mn-deficient animals than in controls (Keen *et al.*, 2000).

Another step at which Mn can be critical for lipid metabolism is as a cofactor in steroid biosynthesis (O'Dell and Sunde, 1997). Enhancement by Mn of cholesterol synthesis from acetate in liver preparations has been demonstrated, and hypocholesterolemia has been reported in a human case of Mn deficiency. The metabolic site in cholesterol synthesis wherein Mn is thought to be required is farnesyl pyrophosphate synthase, which catalyzes geranyl and isopentyl pyrophosphate condensation to form farnesyl pyrophosphate. Defects in subsequent steroid synthesis can also underlie some of the observed reproductive dysfunctions in Mn-deficient animals. Mn deficient females have absent or irregular estrous cycles, whereas Mn-deficient males have sterility associated with degenerating cells in the epididymis (O'Dell and Sunde, 1997). In addition, lipoprotein metabolism is affected in Mn deficiency. Mn-deficient rats have low plasma cholesterol and low HDL. In addition, Mn-deficient rats have a shift to a smaller plasma HDL particle, lower HDL apo E levels, and higher apo C levels. High levels of dietary Mn have also been reported to affect lipid metabolism when animals are fed high-fat diets. Repletion with Mn increases the activity of several key glycolytic enzymes including hexokinase, glyceraldehydes-3-phosphate dehydrogenase, enolase, lactate dehydrogenase, and glycerol-3-phosphate dehydrogenase. Increases are also found for enzymes of the pentose phosphate pathway and of lipogenesis. Thus, the supplementation of Mn to the high-fat diet can increase the potential for glucose oxidation and for lipogenesis thereby enhancing carbohydrate conversion to fat. The mechanism(s) by which excess Mn induces the enzyme changes is not known, but it is suggested that an effect on insulin metabolism could be involved (Keen et al., 2000). Mn can activate guanylate cyclase and phosphodiesterase, so another possibility is that Mn might change levels of cyclic nucleotides, which then act as second messengers.

2. Manganese Toxicity

Although Mn excess can produce toxic effects, it is often considered to be among the less toxic of the essential trace elements to birds and mammals (Subcommittee on Mineral Toxicity in Animals, 1980). For example, chicks, calves, pigs, and sheep have been reported to tolerate diets up to 3000, 1000, 500, and 200 micrograms Mn/g (54.6, 18.2, 9.1, and 3.6 micromol/g), respectively (Failla, 1999; Subcommittee on Mineral Toxicity in Animals, 1980).

In domestic animals, the major reported biochemical lesion associated with dietary Mn toxicosis is an induction of iron deficiency, which is thought to be the result of an inhibitory effect of Mn on iron absorption. In humans, incidents of Mn toxicity mainly occur as a result of chronic

inhalation of massive amounts of airborne Mn (>5 mg/m; >91micromol) with particle sizes less than 5-micrometer diameter, a situation that can occur in Mn mining. In individuals working in environments contaminated with Mn, overt signs of toxicity normally occur after months or several years of chronic exposure. The initial expression of Mn toxicity is often characterized by severe psychiatric disorders that include signs of memory impairment, disorientation, hallucination, speech disturbances, and compulsive behavior. If the person is removed from the high Mn environment, some improvement of the psychiatric signs can occur. With progression of toxicity, there can be extrapyramidal signs that are remarkably similar to Parkinson's disease (Crossgrove and Zheng, 2004). Removal of a person or animal from the high Mn area at this time may not lead to an improvement in clinical condition, even though tissue Mn levels can return to normal. Secondary conditions that exacerbate Mn toxicity, such as liver failure, can be the underlying cause. The mechanisms underlying the cellular toxicity of Mn have not been clearly identified, although evidence has been provided that Mn-initiated tissue lipid peroxidation can be a primary biochemical lesion.

A second lesion that can underlie some of the pathologies is a disturbance in carbohydrate metabolism (Crossgrove and Zheng, 2004; Keen *et al.*, 2000). With acute Mn toxicity, there is a rapid uptake of Mn by the pancreas, a sharp reduction in circulating insulin, and an increase in plasma glucose. Thus, similar to Mn deficiency, Mn toxicity can affect insulin production or release from the pancreas (Aschner *et al.*, 2007; Keen *et al.*, 2000).

3. Other Disorders

Abnormal Mn metabolism occurs in experimental animal models for diabetes (Failla, 1999). It has been shown that the high Mn concentrations in the liver of diabetics are associated with arginase (Failla, 1999; Keen et al., 2000). Although an increase in arginase activity seems reasonable in light of the increased gluconeogenic demands of the diabetic, the functional necessity of this increase has not been shown. It has been reported that Mn metabolism can also be abnormal in some forms of epilepsy. The significance of this observation is underscored by the observation that Mndeficient animals have a reduced threshold to drug-induced and electroshock-induced seizures. Finally, whole blood Mn levels are often low in humans with osteoporosis and have been proposed to be important to the development of osteopenic bone disease in aging humans and animals (Gonzalez-Reyers et al., 2007; Keen et al., 2000).

F. Evaluation of Manganese Status

Measurement of whole blood Mn concentrations can be useful in suspected cases of Mn deficiency because low whole blood Mn levels have been found to reflect low soft

tissue Mn concentration. Caution must be exercised in interpreting serum Mn values, however, because they can reflect recent dietary history rather than the long-term Mn status of the animal (O'Dell and Sunde, 1997). Although measurement of hair Mn has been used as an indicator of Mn status, most investigators agree that the value of this analyte is limited owing to excessive environmental contamination with Mn (Bouchard *et al.*, 2007). Liver Mn concentrations have been used as an indicator of Mn status in animals, but their main value is in the identification of Mn deficiency conditions. Currently there are no satisfactory laboratory tests for the identification of Mn toxicity (Reilly, 2004), although high levels of tissue Mn can be assessed with imaging techniques, such as MRI (Jiang *et al.*, 2007).

V. MOLYBDENUM

A. Molybdenum Distribution

The highest concentrations of Mo are in the liver $(0.5 \text{ to } 0.7 \mu \text{g/g})$ and kidney $(0.3 \mu \text{g/g})$. In sheep, whole blood Mo is about $0.02 \mu \text{g/ml}$ $(0.21 \mu \text{mol/liter})$ but is sensitive to changes in dietary intake (Spears, 2003; Suttle, 1991). The most important function is as a cofactor for xanthine dehydrogenase/oxidase, aldehyde oxidase, and sulfite oxidase. Mo is present in these enzymes as molybdopterin or a molybdenum cofactor (Fig. 22-5). Xanthine oxidase was first characterized as a Mo enzyme in the early 1950s (Mendel and Bittner, 2006; Schwarz and Mendel, 2006).

B. Molybdenum Functions

In its usual form as xanthine dehydrogenase, the reaction, $XH + H_2O + NAD^+ \rightarrow X = O + NADH$, is catalyzed. The most common substrates are purines. Uric acid forms the metabolic endpoint of purine degradation. The last metabolic steps in the process (from hypoxanthine to xanthine and from xanthine to uric acid) are promoted by xanthine dehydrogenase (oxidoreductase, EC1.1.3.22). The overall mechanism is complex. Xanthine dehydrogenase is a flavoprotein that contains both iron and Mo and uses NAD+ as electron acceptor (Mendel and Bittner, 2006; Schwarz and Mendel, 2006).

Moreover, xanthine dehydrogenase exists in two interconvertible forms, xanthine dehydrogenase and xanthine oxidase. In its oxidase form, the enzyme transfers the reducing equivalent generated by oxidation of substrates to molecular oxygen with the resultant production of superoxide anion and hydrogen peroxide (Fig. 22-3). Hydrogen peroxide can be converted to free hydroxyl radicals. For example, during ischemia, reperfusion, or reoxygenation of an injured tissue can occur, and xanthine dehydrogenase can be converted to xanthine oxidase (Mendel and Bittner, 2006; Schartz, 2005). In this latter form, the reaction sequence is $XH + H_2O + O_2 \rightarrow X = O + H_2O_2$.

Given that in such conditions ATP is depleted and there is an increase in the purine pool, such available substrate promotes production of large quantities of superoxide radicals are released, which can be a major source of tissue peroxidation. Aldehyde oxidase is a related Mo enzyme that catalyzes many of the same reactions as xanthine dehydrogenase. Both of these enzymes are needed in ruminants to catabolize exogenous pyrimidines. The third known Mo enzyme is sulfite oxidase, a mitochondrial enzyme that catalyzes the oxidation of sulfite to sulfate during the degradation of sulfur amino acid (Mendel and Bittner, 2006; Schwarz and Mendel, 2006).

C. Molybdenum Metabolism, Absorption, and Transport

Other than thiomolybdates, Mo is well absorbed by all species. It has been proposed that Mo is transported by a carriermediated process and that sulfate and Mo can compete for the same carrier or inhibit membrane transport in the intestine and renal tubules (Brondino et al., 2006; Failla, 1999; Spears, 2003), hence impairing Mo absorption and retention. An alternate postulate is that formation of insoluble thiomolybdates precludes absorption. Cu and sulfur influence Mo absorption. High dietary levels of vitamins E and C, zinc, iron, tungsten, and dietary protein levels can also affect optimal status. Cu or sulfur reduces Mo availability via a mechanism whereby reactive sulfides or hydrogen sulfide ions displace oxygen in molybdate to form thio- and oxythiomolybdates (see Section III) (Spears, 2003; Suttle, 1991). This complex can in turn react with Cu to form an insoluble complex. This is primarily applicable to the strong reducing environment of the rumen. Because of this interaction, excess Mo will induce a secondary Cu deficiency (e.g., dietary Mo in excess of $10 \mu g/g$; $0.104 \mu mol/$ g; Johnson et al., 2007; Spears, 2003; Suttle, 1991).

Excretion in nonruminants appears to be mostly through the urine, but in ruminants fecal and milk losses can represent significant losses. Although Mo deficiency does occur, it is apparently relatively rare. In animals, growth and production have been reported to be impaired in poultry and sheep (Suttle, 1991). In the case of birds, the high flux of metabolites through purine-related pathways accounts in part for the need for Mo (e.g., as a cofactor for xanthine oxidase). For the ruminant, one postulate has been a depression in microbial Mo enzyme activities.

The clinical syndrome of Mo toxicity can be characterized by achromotrichia, anemia, cartilaginous dysplasia, abnormal endochondrial ossification, subperiosteal ossification, and abnormal fibrogenesis (Spears, 2003; Suttle, 1991). These lesions are characteristic signs of an induced Cu deficiency. Additionally, Mo has been suggested to specifically induce mandibular exostosis, aberrations in phosphorus metabolism that can contribute to bone and joint lesions, testicular degeneration, and central nervous system changes.

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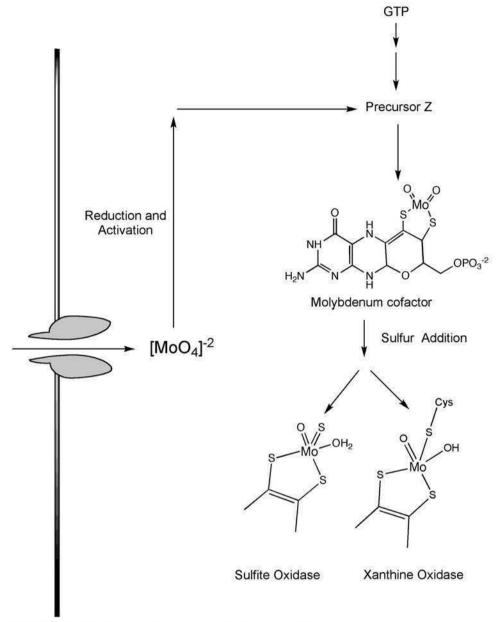


FIGURE 22-5 Molybdenum cofactor. Mo as molybdates enter cells by way of oxyanion transporters. A series of complex condensation and reductive steps (e.g., formation of the pterin precursor from guanidine triphosphate [GTP] and condensation with molybdenum) result in the formation of the molybdenum cofactor. The cofactor is essential for the activities of sulfite oxidase, xanthine dehydrogenase, and aldehyde oxidase (see text).

The clinical signs of molybdenosis also include lameness; weight loss; anorexia; loss of color and quality of the wool or hair; and in cattle, diarrhea. Thiomolybdates are used to treat chronic Cu toxicosis, to help mobilize stored Cu and reduce the high oxidizing of free Cu (Brewer *et al.*, 2006; Spears, 2003; Suttle, 1991).

D. Other Disorders

In human animals, combined deficiencies of xanthine dehydrogenase/oxidase and sulfite oxidase have been reported in humans. The cause of the decreased enzyme activities is thought to be the loss of Mo cofactor that results in a functional Mo deficiency. Single enzyme deficiencies of xanthine dehydrogenase/oxidase and sulfite oxidase have also been described (Mendel and Bittner, 2006; Schartz, 2005). Xanthinuria and elevated serum uric acid have been reported in patients with xanthine dehydrogenase deficiency. A severely debilitating condition in children is sulfite oxidase deficiency that leads to severe aberrations in sulfur and sulfur amino acid metabolism (Mendel and Bittner, 2006; Schartz, 2005).

VI. SELENIUM

A. Dietary Selenium

A large animal (50 to 100kg) contains 10 to 20 mg (126 to 253 micromol) of Se. Se is found throughout the body with highest concentrations normally in the kidney and liver (0.5 to 1.5 and 0.2 to 0.8 micrograms/g [0.0063 to 0.019 and 0.0025 to 0.010 micromol/gl, respectively). Skeletal muscle has a mean Se concentration of about 0.2 microgram/g (0.0025 micromol/g), and muscle contains about 50% of the total body pool. Blood Se concentrations are highly responsive to diet, with values in humans ranging from 0.02 to 7.0 microgram/ml (0.25 to 0.88 micromol/liter) in low Se and high Se areas, respectively (Ammerman *et al.*, 1995; Finley, 2006; Hostetler and Kincaid, 2004; O'Dell and Sunde, 1997; Spears, 2002).

Plant foods are the major dietary sources of Se in most countries throughout the world. The amount of Se in soil, which varies by region, can determine the amount of Se in the food chain, wherein Se is found as selenomethionine and selenocysteine. Se is one of the few mineral elements in which the soil concentration can influence the relative amounts found in food. Because Se bioavailability varies markedly with the form of Se ingested and other competing factors, it has been difficult to define what constitutes either deficient or toxic amounts. Various dietary forms of Se are given in Figure 22-6. Each can accumulate to some degree in tissue proteins. Accordingly, whole body and tissue concentrations of Se tend to correlate with environmental exposure (Ammerman et al., 1995; Finley, 2006; Gunther et al., 2002; Hostetler et al., 2003; O'Dell and Sunde, 1997; Spears, 2002). Suggested Se intakes for a number of species are given in Table 22-2. Foods that contain Se include nuts $(0.5 \text{ to } 10.0 \mu g/g)$, fish, poultry and beef $(0.5 \text{ to } 0.8 \mu g/g)$, grains (0.2 to $0.4\mu g/g$ can vary with high Se soils), whole eggs (0.1 to $0.3\mu g/g$), and cheese (0.1 to $0.2\mu g/g$). Se-accumulating plants can have concentrations that exceed 5mg/g (63.3 micromol/g), whereas pastures and forages in areas without Se deficiency syndromes can be as low as $0.1\mu g/g$ (0.0013 micromol/g). In grazing animals, deficiency signs occur when feed concentrations are below $0.05 \mu g/g$ (0.0063 micromol/g), and adverse effects can occur when dietary levels exceed $3\mu g/g$ (0.038 micromol/g) (Ammerman et al., 1995; Finley, 2006; Gunther et al., 2002; Hostetler and Kincaid, 2004; O'Dell and Sunde, 1997; Spears, 2002).

B. Selenium Functions

Perspectives regarding the nutritional importance of Se have changed markedly. In the 1930s, Se was identified as the toxic agent causing so-called alkali disease in animals (O'Dell and Sunde, 1997). In the 1940s and early 1950s, research was conducted to identify the specific seleno-compounds causing toxicity. Throughout the 1960s, concerns

FIGURE 22-6 Common forms of dietary selenium.

regarding Se focused on its putative procarcinogenic potential. However, following the demonstration that Se was an essential nutrient for laboratory animals, the scope of work quickly shifted to identifying deficiency syndromes and signs. Se deficiency was soon identified as a cause of white muscle disease. However, it was not until 1979 that the U.S. Food and Drug Administration published regulations that legalized Se supplementation of diets for dairy cattle and eventually humans and other animals (Subcommittee on Mineral Toxicity in Animals, 1980).

1. Glutathione Peroxidase

The best-defined function of Se is as a component of glutathione peroxidase (GPx). GPx catalyzes the reduction of hydrogen and organic peroxides (ROOH) to their respective alcohols and water (Herbette *et al.*, 2007). It is now recognized that there are two different GPx activities in tissues, one that is Se dependent and a second that is not. The non-Se-dependent GPx enzymes are referred to as GSH Stransferases, and their activities can increase under conditions of severe Se deficiency.

Regarding the Se-containing GPx, there are several isozymes encoded by different genes that vary in cellular location and substrate specificity. GPx1 is the most abundant and is found in the cytoplasm. Although $\rm H_2O_2$ is the preferred substrate (2GSH + $\rm H_2O_2 \rightarrow GS - SG + 2H_2O$, where GSH represents reduced monomeric glutathione, and GS – SG represents glutathione disulfide), fatty acid

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and other lipid peroxides (ROOH) also function as substrates. The product is an acyl moiety wherein the [-OOH] group is converted to an [=OH] group. Mice genetically designed to lack GPx1 are in many respects phenotypically normal, indicating that the enzyme is not critical for life. However, other variants (e.g., GPx4) are lethal and die during early embryonic development (Burk and Hill, 2005; Stadtman, 2000).

2. Iodothyronine Deiodinase

In addition, another family of selenoproteins is the 1,5′-iodothyronine deiodinase (EC 3.8.1.4) (5′-ID). The enzyme, 5′-ID, catalyzes the 5′-monodeiodination of thyroxin, the major secretory product of the thyroid gland, to its active form 3,3′,5-triiodothyronine (Koenig, 2005). Deiodination by 5′-ID occurs in peripheral tissues (e.g., liver, kidney, and muscle). In Se deficiency, activity of type 1,5′-iodothyronine deiodinase is decreased along with the concentration of thyroxin.

3. Other Proteins

A number of proteins have been identified that are presumably important to Se transport and delivery to organelles and tissues (e.g., the plasma protein, selenoprotein P) (Burk and Hill, 2005). Selenocysteine is also found in thioredoxin reductases, formate dehydrogenases, and glycine reductases. In addition, Waschulewski and Sunde (1988) demonstrated that in dietary methionine deficiency, selenomethionine could be incorporated into proteins in place of methionine. This important observation indicates that several identified "selenoproteins" cannot be dependent on Se per se for their structure or function, but rather might arise from the incorporation of the methionine.

4. Se and Viruses

Recent work has also demonstrated that deficiencies in either Se or vitamin E can in certain cases increase viral pathogenicity by changing relatively benign viruses into virulent ones, an example wherein host nutritional status should be considered a driving force for the emergence of new viral strains or newly pathogenic strains of known viruses (Beck, 2007).

C. Selenium Metabolism, Absorption, and Transport

Absorption of Se occurs mainly in the duodenum with little evidence of uptake by the rumen, abomasums, stomach, jejunum, or ileum. In monogastric animals, absorption of soluble forms of Se (selenite, selenocysteine, selenomethionine) is very high (>80%) and does not appear to be

homeostatically controlled (Finley, 2006). Absorption of Se in ruminants is lower than in nonruminants (about 40%), presumably because of the reduction of selenite to insoluble forms of Se in the rumen. Elemental Se and Se sulfide are not absorbed to any appreciable degree (Ammerman *et al.*, 1995; Spears, 2000, 2003). After absorption, there appears to be a rapid distribution of water-soluble Se compounds to most organs.

Transport in plasma to various organs seems to involve selenoprotein P (Burk and Hill, 2005). Selenoprotein P is an abundant extracellular glycoprotein that is rich in selenocysteine. Four isoforms of selenoprotein P have been identified. They share the same N terminus and amino acid sequence. One isoform is full length and the three others terminate at the positions of the second, third, and seventh selenocysteine residues normally present in the full-length protein. In rats, it has been estimated that 25% of whole-body Se passes through this protein each day. Selenoprotein P knockout mice have low Se concentrations in the brain, testis, and fetus. Measurement of selenoprotein P in human plasma has shown that it is depressed by Se deficiency and by cirrhosis. Of potential importance, Se supplementation optimizes glutathione peroxidase activity before Se in selenoprotein P is optimized, indicating that plasma selenoprotein P can be a better index for assessing Se nutritional status.

Although the intracellular processing of Se remains poorly understood, it is known that before the incorporation of inorganic Se (selenite or selenate) into amino acids, it must be reduced to selenide (-SeH), a process requiring glutathione. Se is excreted primarily through the urine and appears to be dependent on a renal threshold. Fecal and respiratory losses are important routes, depending on species. Ruminants have relatively higher fecal Se losses than nonruminants, because of reduction or the complexing of Se in the rumen making it unavailable for absorption.

Concentrations in the diet of sulfur (inorganic and as sulfur-containing amino acids), proteins containing high amounts of sulfur amino acids, and phosphates can affect Se absorption/excretion. The order of uptake in Cacao cells is $SeO_3 <$ or = to selenocysteine < to selenomethionine < to SeO_4 . Both amino acid-related and anion transporters are involved in Se transport. Many of the details, however, have yet to be resolved. For example, the transport of selenomethionine is inhibited by its sulfur analogue, methionine, whereas inhibition of the transport of SeO_4 is inhibited by thiosulfate, but not sulfate (Burk and Hill, 2005; Finley, 2006; Spears, 2003). A number of intestinal inflammatory diseases and short-bowel syndrome can lead to Se deficiency (Burk and Hill, 2005; Finley, 2006; Spears, 2003).

In other cells, common Se compounds (selenate, selenite, selenomethionine, and selenocysteine), are taken up rapidly through anion-exchange transporter systems. Uptake through anion-exchange carriers is followed by

reduction by glutathione-requiring steps. Important is that some oxyanions, such as chromate, can inhibit uptake, which GPx activity and activation and subsequently, glutathione depletion. As a final point, respiratory losses (as methyl selenides) increase as Se intake increases, although respiratory losses of Se are thought to be minimal under most circumstances (Burk and Hill, 2005; Finley, 2006; Spears, 2003).

1. Novel Aspects of Se Metabolism

Unlike other amino acids, selenocysteine, which is at the active site of selenoproteins, is not coded using a conventional codon. Selenocysteine is encoded in a special way by a UGA codon that normally acts as a stop codon in transcription (Stadman, 2002). The UGA codon is made to encode selenocysteine by the presence of a SECIS element (the selenocysteine insertion sequence) in selenoprotein mRNAs. SECIS elements are stem-loop structures located in the 3' untranslated regions (UTRs) of eukaryotic selenoprotein mRNAs that are required for directing cotranslational selenocysteine incorporation at UGA codons. Previous characterization studies of the mammalian SECIS elements indicate these elements are highly conserved in type 1 deiodinase, GPx, and selenoprotein P (Burk and Hill, 2005).

When cells are grown in the absence of Se, translation of selenoproteins terminates at the UGA codon, resulting in a truncated, nonfunctional enzyme. The primary and secondary structures of selenocysteine tRNA also differ from those of standard tRNAs, most notably, a long variable region arm, and substitutions at several well-conserved base positions (Stadman, 2002).

The selenocysteine tRNAs are initially charged with serine by seryl-tRNA ligase, but the resulting Ser-tRNA is not used for translation because it is not recognized by other translation factors. Rather, the tRNA-bound seryl residue is converted to a selenocysteyl-residue by the pyridoxal phosphate-containing enzyme selenocysteine synthase. The resulting selenocysteyl-containing tRNA (Sec-tRNA) is recognized and is next specifically bound to a translational elongation factor that delivers Sec-tRNA in a targeted manner to the ribosomes translating mRNAs for selenoproteins. Other details regarding this process are beyond the scope of this chapter. Suffice to say that Se metabolism from translation to cellular delivery is novel in keeping with its sulfur-like electronegative chemical properties (Stadman, 2002).

D. Disorders of Selenium Metabolism

1. Se Deficiency

The major biochemical lesions that are associated with Se deficiency are low GPx and 5'-ID activities (Beck,

2007; Koenig, 2005). Excess cellular free-radical damage can be the initial lesion underlying the widespread pathologies. Consistent with this idea are the observations that simultaneous deficiencies of other antioxidants (i.e., hypovitaminosis E and A) amplify the signs of Se deficiency when they occur.

Signs of Se deficiency in humans and domestic animals have been well described and include degenerative changes of several tissues, reproductive and growth defects, immune defects, increased susceptibility to cardiovascular disease, and some cancers. The interplay of many nutrients will greatly influence expression of disease (Fig. 22-3).

Se status, either toxicity or deficiency, will directly affect the free-radical scavenging system, which can be expressed as clinical disease. For example, nutritional muscular dystrophy is a Se-responsive disorder that principally affects young farm animals (sheep, cattle, pigs, horses, poultry). This myopathy is typically associated with excessive peroxidation of lipids, particularly the mitochondrial lipids, resulting in degeneration, necrosis, and subsequent fibrosis of myofibers. Often this is associated with cardiac involvement and, depending on the species, hepatic necrosis (Arthur, 1998).

Poultry and swine can be affected by exudative diathesis and edematous conditions that respond to supplemental Se. In poultry, the condition typically affects the pectoral muscles but can also involve the gizzard and other skeletal muscles. In mammalian species, the muscles of locomotion are usually more severely affected (dorsal spinous and appendicular muscles). Nutritional pancreatic atrophy is a specific Se-responsive condition in chicks. Deficiency of Se alone will induce the condition, and it is apparently related to a severe alteration of the endoplasmic reticulum and not mitochondria as previously thought. This condition results in a loss of functional pancreatic acinar cells.

In swine and cattle, mastitis has been shown to be Se responsive (Arthur, 1998; Koenig et al., 1997; Smith et al., 1987; Spears, 2000). Testicular degeneration and impaired sperm production, infertility, abortion, weak and stillborn young and retained placentas have all been shown to be responsive to Se supplementation. A reduction in testicular selenoprotein can underlie the reduced spermatogenesis or maturation leading to the testicular atrophy associated with Se. The mechanisms involved in Se deficiency-induced infertility and retained placenta have not been defined (Arthur, 1998; Koenig et al., 1997; Smith et al., 1987; Spears, 2000).

As might be expected given the links to mitochondrial function and ROS defense, altered immunocompetence has been linked to Se deficiency. Mastitis, diarrhea, metritic, and "unthriftiness" can be envisioned as being precipitated by a reduced ability to respond to invading pathogens. Reduction in mitogen responsiveness, phagocytotic killing of pathogens, and antibody production have all been associated with Se deficiency. Although the negative effects

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of Se deficiency on immunocompetence are recognized, the biochemical lesions underlying these effects have not been delineated. Anemia appears to involve a depression in GPx activity and subsequent Heinz body formation (Arthur, 1998; Koenig *et al.*, 1997; Smith *et al.*, 1987; Spears, 2000).

In humans, Keshan disease is an endemic congestive cardiomyopathy affecting primarily children and women of childbearing age with lesions that are very similar to the characteristic lesions of nutritional cardiomyopathy associated with white muscle disease (Burk and Hill, 2005). The disease derives its name from a serious outbreak of the disorder in the Keshan province of Northern China in 1935. That Se supplementation improves many of the clinical signs of Keshan's disease identifies it as a Se-responsive disorder. The soils of the Keshan area are low in Se as well as locally produced produce. Another human disorder is Keshan-Beck disease, an endemic osteoarthropathy that occurs in several regions of eastern Asia. The disease is characterized by chronic, disabling, degenerative osteoarthrosis and frequently occurs in young children. In the initial phase, the patient complains of general limb weakness and joint stiffness. With progression, the disease results in shortening of the fingers and long bones with severe enlargement and dysfunction of the joints. Although other aspects of the etiology of the disease have not been defined, it has been suggested that Se deficiency can be a principal underlying cause.

2. Se and Viral Infections

The study of Keshan disease also has led to an association between Se and increased susceptibility to infection with certain enteroviruses. The discovery that the cardiomyopathy of Keshan disease likely had a dual etiology (nutritional and infectious) provided impetus for additional studies of relationships between nutritional Se status and viral infection. Observed was that an amyocarditic strain of coxsackievirus B3, CVB3/0, was converted to a highly virulent strain when it was inoculated into Se-deficient mice. This conversion was accompanied by changes in the genetic structure of the virus so that its genome closely resembled that of other known virulent CVB3 strains. Similar alterations in virulence and genomic composition of CVB3/0 could be observed in mice fed normal diets but genetically deprived of GPx (e.g., the use of knockout mouse models; Beck, 2007). In addition, more recent observations have shown that two strains of influenzavirus exhibit increased virulence when given to Se-deficient mice. Again, this increased virulence is accompanied by multiple changes in the viral genome in a segment previously thought to be relatively stable. Ongoing research should resolve many of the mechanistic details. Important herein is the concept that there is a basis for linking the expression of viral diseases to nutrition (Beck, 2007).

3. Se Toxicity

Three types of Se toxicity have been identified in livestock: acute and chronic blind staggers and chronic alkali disease (Subcommittee on Mineral Toxicity in Animals, 1980). Abnormal movement and posture, breathing difficulties, diarrhea, and rapid death characterize acute Se toxicity. Chronic Se toxicity of the blind staggers type occurs when animals consume Se toxic accumulator plants (usually over a period of weeks or months). They develop blindness, severe abdominal pain, and paralysis. Death often results from respiratory failure.

Se toxicity of the alkali disease type occurs when animals consume high Se diets (5 to 50 microgram/m; 0.063 to 0.63 micromol/g) for prolonged periods of time. Alkali disease is characterized by emaciation, a lack of vitality, cardiac atrophy, erosions of the joints of the long bones, hepatic cirrhosis, and anemia (Subcommittee on Mineral Toxicity in Animals, 1980). Se has also been reported to affect normal development of the embryo and fetus in cattle, pigs, and sheep following consumption of seleniferous diets, and Se toxicity has been shown to induce malformations and reduce hatching success, growth, and survival of young in poultry, quail, and mallards (Hamilton, 2004).

In the high Se areas of Wyoming, South Dakota, and Nebraska in the United States, human Se toxicosis was suggested to be a problem, but this has not been substantiated and is less of concern now that foods are available from around the world rather than a local region. Endemic Se toxicity has also been reported in the Hubei province of China. The selenosis has been linked to high Se contamination of the soil. It has been estimated that affected individuals can have consumed 5mg Se/day (63.3 micromol/day) or more for several years. Signs of toxicity included hair loss in the early stages and, in the later stages, convulsions, paralysis, and motor disturbances. Human intakes throughout most of the world are between 20 and 300 microgram Se/day (0.25 to 3.80 micromol/day) and other large animals perhaps two to three times that amount (Burk and Hill, 2005).

E. Evaluation of Selenium Status

Because deficiency-related disorders are more common than selenosis (Se toxicity), most laboratories assess Se adequacy indirectly by measurement of erythrocyte GPx activity or selenoprotein P (Reilly, 2004). Plasma GPx measurements can also be used as an index of Se status. Plasma GPx levels, however, can be affected by erythrocyte GPx leakage. Erythrocyte GPx activity is 25 to 100 times higher than plasma GPx activity so that even minor hemolysis negates the value of plasma GPx.

Although most commonly used, the sole use of GPx is often questioned given the wide tissue variation in GPx activity that is storage dependent, reflecting the adequacy

of the animal at the time of incorporation of selenocysteine into GPx, and that at higher Se concentrations there is an increase in activity as compared to tissue Se levels, which can plateau (Reilly, 2004). Few of these criticisms apply to selenoprotein P, which reflects current history and directly responds to recent Se intake (Burk and Hill, 2005). Also, it is important when measuring GPx activity that hydrogen peroxide be used as the substrate and azide used to inhibit catalase activity if the purpose of the assay is to determine Se-dependent GPx activity. For diagnosis of Se toxicosis, whole blood, hepatic, or renal tissue Se concentrations can be of value. Urinary excretion of trimethyl Se can also be of value in severe Se toxicosis (Subcommittee on Mineral Toxicity in Animals, 1980).

VII. ZINC

A. Zinc Distribution

A large animal can contain as much as 1.4 to 2.3g (0.024 to 0.035mmol) of Zn. Next to calcium and magnesium, Zn, is the most abundant intracellular cation. A large amount of Zn is presented in bone and muscle (>70%), but Zn is not easily mobilizable in response to Zn deficiency (Keen et al., 2003; Park et al., 2004; Watson, 1998). Thus, a Zn-deficient diet can significantly reduce certain tissue pools, such as plasma. In the rat, for example, consumption of a Zn-deficient diet can result in a 50% reduction in plasma Zn within 24h. Eventually, muscle catabolism can result in a significant release of Zn into the circulation. Moreover, very high Zn concentrations are found in integumental tissues (skin, hair, wool, and nails), retina, and male reproductive organs. Typical plasma or serum Zn concentrations for most species range between 0.5 and 1.5 microgram/ml (7.6 to 22.9 micromol/liter), with whole blood concentrations being about 10 times higher (Keen et al., 2003; Park et al., 2004; Watson, 1998).

B. Functions of Zinc

Zn is essential for the function of more than 200 enzymes. Zn-containing enzymes are found in all of the major metabolic pathways involved in carbohydrate, lipid, protein, and nucleic acid metabolism (Keen *et al.*, 2003; O'Dell and Sunde, 1997). Zn can function as a structural component of an enzyme (entasis), as a proton donor at the active site of an enzyme, and as a bridging atom between the substrate and the enzyme. Mammalian Zn enzymes include carboxypeptidases, alkaline phosphatase, alcohol dehydrogenase, carbonic anhydrase, and superoxide dismutase. Given the variety of enzymes that contain Zn, a cellular deficiency of Zn would be expected to have profound consequences.

In addition to its enzymatic roles, Zn is also thought to be involved in stabilizing the structures of RNA, DNA, and ribosomes (Keen et al., 2003). Zn has been shown to promote conformational transformations of DNA from the beta to the Z form. A large family of nuclear binding proteins has Zn binding domains (Zn-binding fingers). The structure of each individual finger is highly conserved and consists of about 30 amino acid residues, constructed as a $\beta\beta\alpha$ fold and held together by the Zn ion. Many transcription factors, regulatory proteins, and other proteins that interact with DNA contain Zn fingers (e.g., at the major groove along the double helix of DNA in which case the Zn fingers are arranged around the DNA strand). In young developing animals, even a short-term Zn deficiency can have a profound effect on transcriptional regulation important to development.

In addition to its roles in enzymes and nucleic acids, Zn is also important to the stabilization of biomembranes. Membrane-bound Zn alters the fluidity and stabilization of membranes (O'Dell and Sunde, 1997). Zn deficiency contributes to oxidative stress to membranes, because of structural strains, altered activities of membrane-bound enzymes, and changes in membrane receptors. An example is the increase in the sensitivity of erythrocytes from Zndeficient animals to osmotic shock that quickly reverses upon Zn repletion. Membrane lipid damage can also be an important component in the teratogenic pathology of Zn deficiency (Keen et al., 2003). In addition to membrane proteins, Zn has also been shown to specifically bind to cytoskeletal proteins, such as tubulin, and cause polymerization. Such interactions have been linked to abnormal cell signaling (Mackenzie et al., 2002).

C. Dietary Zinc

Nutritional Zn deficiency has been well documented in a number of species including humans, cattle, dogs, and sheep. Similar to Cu, the uptake of dietary Zn is influenced by a variety of dietary factors, and conditioned Zn deficiencies are common. Foods that are high in Zn include shellfish (>200 microgram/g; >3.0 micromol/g), other seafood and meat (20 to 50 microgram/g; 0.31 to 0.76 micromol/ gram), and whole grains, legumes, and nuts (20 to 30 microgram/g; 0.31 to 0.46 micromol/g) (Ammerman et al., 1995; Spears, 2003). Food items considered low in Zn (<1 microgram/g; 0.015 micromol/g) include dairy products, fruits, and vegetables. Typical Zn concentrations in pastures in nonindustrial areas range from 20 to 50 microgram/ g (0.31 to 0.76 micromol/g). Cereal grains of pig and poultry rations typically contain 20 to 40 microgram/g (0.31 to 0.61 micromol/g). Soybean, peanut, and linseed meals contain 50 to 70 microgram/g (0.76 to 1.15 micromol/g), and fish and meal can contain up to 100 microgram/g (1.53 micromol/g) (Ammerman et al., 1995; Spears, 2003).

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D. Zinc Metabolism, Absorption, and Transport

1. Absorption

In monogastric animals, Zn is mainly absorbed from the duodenum, jejunum, and ileum, with little being absorbed from the stomach. In cattle, about one-third of the Zn is absorbed from the abomasums. In most species, the initial absorption of Zn is about 10% to 20% (Cousins, 1998; Liuzzi and Cousins, 2004; Cousins *et al.*, 2006; Sekler *et al.*, 2007). Phytate (myoinositol hexaphosphate), which is found in all plant seeds and most roots and tubers, can significantly inhibit Zn absorption in many species and in humans by forming insoluble complexes. The consumption of high phytate diets has been linked to the induction of Zn deficiency, but usually in situations wherein the diets are marginal in Zn content.

Similar to other trace elements, a number of dietary constituents can influence Zn availability. High dietary iron decreases Zn absorption, although its significance with regard to overall Zn balance can be questioned. Several amino acids form Zn complexes with high stability constants, and it has been suggested that such complex formation facilitates Zn uptake (Cousins *et al.*, 2006; Liuzzi and Cousins, 2004; Sekler *et al.*, 2007). Zn absorption is higher in neonates than in adults and is increased in Zn deficiency in rats and cattle.

2. Transport

Zn travels across the brush border via carrier-mediated processes (Fig. 22-4). Active transport dominates at low or normal intake, whereas passive diffusion contributes more significantly at high intake. The mechanisms underlying the regulation of Zn absorption have long remained elusive. Low-molecular-weight cellular proteins, such as metallothionein (MT), bind Zn, Cu, and cadmium. Zn induces MT, but only at very high intakes. The Zn transporter 1 (ZnT-1) appears to be involved in the export of Zn across the enterocyte basolateral membrane, whereas ZnT-2 and ZnT-4 are involved in the flux of Zn in the endosomes, possibly regulating intracellular trafficking of Zn. ZnT-1 is localized to the basolateral membrane, and ZnT-2 is found in acidic vesicles that accumulate Zn (Cousins, 1998; Liuzzi and Cousins, 2004; Cousins et al., 2006; Sekler et al., 2007). These transporters are found primarily in villus cells and much less frequently in crypt cells. The ileum is the major site for ZnT-1. ZnT-2 is found in the duodenum and jejunum, and ZnT-4 in all parts of the small intestine.

Regarding cellular uptake, in contrast to cellular egress and intracellular organelle transport, a superfamily of human Zn transport proteins has been identified (Zn importer proteins, ZIP1 and ZIP2, plus others that constitute a large family of proteins). These proteins are localized in the plasma membrane and have structural characteristics

typical of other transport proteins (e.g., permeable membrane domains, a transport channel, high-affinity binding domains).

E. Zinc Deficiency

An early effect of severe Zn deficiency in many species is anorexia and cyclic feeding. Regardless of the direct biochemical explanation for the anorexia, the cyclical food intake patterns of Zn-deficient animals can represent an adaptation of the animal to the Zn-deficient diet, because during the periods of low food intake there will be substantial muscle catabolism and release of Zn into the plasma pool (Keen *et al.*, 2003; Park *et al.*, 2004; Watson, 1998). Hepatic and extrahepatic tissues for Zn-requiring processes can then use this released Zn.

If the period of Zn deficiency is prolonged, additional hallmarks of Zn deficiency are decreased efficiency of food utilization, impaired growth, and severe dermatitis. The dermatological lesions are frequently characterized histopathologically as parakeratosis. The biochemical lesions that underlie these pathologies have not been firmly identified, although it is recognized that a reduction in cell division is an early event with Zn deficiency. The reduction in cell replication in Zn deficiency has been related to the role of Zn in nucleic acid synthesis, protein synthesis, nucleotide transport, chromatin condensation, and assembly of mitotic spindle via condensation, and assembly, in addition to affecting cell cycle-related regulation and oxidative stress (Clegg et al., 2006; Oteiza and Mackenzie, 2005).

Zn responsive dermatosis is a well-documented disease in dogs and can be manifested as two syndromes (White et al., 2001). Syndrome I occurs primarily in northern-breed dogs (Alaskan malamute, Samoyed, and Siberian husky), but it has been documented in other breeds as well. Although these dogs are generally consuming Zn-adequate diets, they frequently require Zn supplementation, either orally or parenterally, in some cases, for life (White et al., 2001). Syndrome II occurs in young dogs consuming diets that are not adequate in Zn or contain high concentrations of calcium or phytates. Changing the dog to a Zn-adequate diet is the only treatment necessary in most cases, along with transient Zn supplementation.

Because of the diverse roles of Zn in nucleic acid and protein synthesis and in gene expression, a Zn deficiency during early development is teratogenic in mammals. Typical malformations associated with Zn deficiency include cleft lip and palate, brain and eye malformations, and numerous abnormalities of the heart, lung, skeletal, and urogenital systems (Keen *et al.*, 2003).

In addition to a high incidence of early postnatal death, marginal Zn deficiency has been associated with altered skeletal development and behavioral abnormalities (Ganes and Jheon, 2004; Keen *et al.*, 2003).

Perhaps the most striking effect of a marginal prenatal Zn deficiency is on the ontogeny of the immune system. In both mice and rhesus monkeys, marginal prenatal Zn deficiency impairs immunoglobulin M production and decreases sensitivity to a number of mitogens. Of particular interest are the observations that these immune defects can persist well into adulthood despite the introduction of Zn-replete diets at birth. Immune defects associated with postnatal Zn deficiency include reduced thymic hormone production and activity, impaired lymphocyte, natural killer cell and neutrophil function, and impaired antibody-dependent cell mediated cytotoxicity. Postulated defects include impaired cell replication, gene expression, and cell motility and alterations in cell surface recognition sites (Cousins, 1998; Fraker and King, 2004).

There can also be a reduction in glucose utilization in Zn deficiency that has been linked to increased lipid metabolism. It is secondary to reduced insulin release, increased insulin degradation via glutathione insulin transhydrogenase, and an increase in peripheral insulin resistance. As with Cu and Mn, Zn deficiency can result in marked alterations in lipoprotein metabolism. A Zn deficiency-induced hypercholesterolemia has been demonstrated in rat models. This hypercholesterolemia is primarily due to a decrease in HDL cholesterol; the HDL isolated from Zn-deficient animals is enriched in apo E and low in apo C content (Fekete and Brown, 2007; Hughes and Samman, 2006).

In addition to lipoprotein metabolism, Zn deficiency has been shown to affect essential fatty acid metabolism, and many of the signs of Zn deficiency mimic essential fatty acid deficiency. For example, the delta-desaturation of linoleic acid is markedly elevated in Zn-deficient rats, and Zn deficiency has consistently been shown to increase tissue arachidonic acid levels (Fekete and Brown, 2007; Hughes and Samman, 2006). In addition, Zn deficiency is associated with a reduction in growth hormone production and output. This defect can be secondary to the Zn deficiency-induced reduction in food intake rather than resulting from a direct role in growth hormone synthesis and release. The growth retardation associated with Zn deficiency is refractory to growth hormone therapy, however, unless Zn therapy is also instituted, suggesting that Zn is required for growth hormone uptake, or that reduction is required for growth hormone uptake, or that reduction in cellular Zn is the rate-limiting step with regard to cell growth (O'Dell and Sunde, 1997). A classic sign of Zn deficiency in humans is hypogonadism. In Zn-deficient animals, the testes are significantly reduced in size with atrophy of the seminiferous epithelium. The resulting testicular hypofunction affects both spermatogenesis and output of testosterone by Leydig cells. Current evidence suggests a primary defect in Leydig cell function with a secondary effect of Zn deficiency per se. There are also specific effects of Zn deficiency on prostate, epididymal, and seminal vesicle size that are independent of the reduction in food intake, suggesting a defect in testosterone's target cell response. Tissue and circulating levels of hypothalamic pituitary hormones are consistent with a primary failure of Leydig cell function. Levels of LHRH, FSH, and LH have all been reported to be normal or elevated with Zn deficiency. Prolactin, thyroid hormone, and corticosterone metabolism have all been reported to be either unaffected or affected by Zn deficiency.

At least five genetic errors in Zn metabolism that mimic Zn deficiency have been identified in mammals. They are Adema disease (inherited parakeratosis) of cattle, chondrodysplasia, congenital Zn deficiency (lethal acrodermatitis) in bull terriers, acrodermatitis enteropathica (AE) in humans, and lethal milk syndrome in mice. Bovine hereditary Zn deficiency, Adema disease, is an autosomal recessive disorder that results in inadequate amounts of Zn being absorbed from the gastrointestinal tract and leads to a number of clinical abnormalities. The first clinical manifestation is diarrhea, followed by skin lesions, poliosis, and a decreased ability to sustain a suckle reflex (Watson, 1998). It is similar in many respects to acrodermatitis enteropathica in humans. The oral administration of Zn acetate caused a reversal of all clinical, biochemical, and histological abnormalities in affected calves. Adema disease occurs predominantly in black pied cattle of Frisian descent. Affected calves are born "normal," but the signs of the disease usually appear 30 to 60 days after birth; in addition to diarrhea, other signs include dry scaly coat, alopecia, hyperkeratotic conjunctivitis, diarrhea, poor weight gain, immunological dysfunction (in particular severe thymic atrophy), and death at 3 to 4 months of age. An additional sign of the disease is delayed sexual maturation. Mature dwarfs produce spermatozoa with 45% acrosomal defects compared to 5% in controls. Significantly, this defect in spermatozoa can be corrected by dietary Zn supplementation. Of interest, many of these same signs occur in humans with acrodermatitis enteropathica (O'Dell and Sunde, 1997). Lethal milk syndrome is an autosomal recessive disorder caused by a mutant gene in the C57BL/6J(B6) mouse strain. Phenotypic characteristics of this genotype are similar to some signs observed in AE and Adema diseases. Offspring, which suckle from affected dams, exhibit stunted growth, alopecia, dermatitis, immune incompetence, and rarely survive past weaning (Keen et al., 2003).

Lethal acrodermatitis (congenital Zn deficiency) is an autosomal recessive disorder in bull terriers (Colombini, 1999; McEwan *et al.*, 2000). The syndrome is clinically characterized by growth retardation, progressive acrodermatitis, chronic pyoderma, diarrhea, pneumonia, and abnormal behavior. Laboratory findings include nonregenerative anemia, neutrophilia, low serum alkaline phosphatase, and hypercholesterolemia. Pathological findings include parakeratosis, hyperkeratosis, and a reduction in lymphocytes in the T-lymphocyte areas of lymphoid tissue.

Overall, the expression of lethal acrodermatitis in bull terriers is similar to experimental Zn deficiency in dogs.

In addition to plasma Zn analysis, whole blood or erythrocyte Zn has been used to assess Zn status; however, whereas low erythrocyte Zn indicates a Zn deficiency, it is often too insensitive for accurate diagnosis. Neutrophil Zn has been reported to be more sensitive to Zn status than erythrocyte Zn, but its usefulness is limited, as an assay requires about 10 to 20 ml of blood for conventional assays. In experimental settings, liver Zn has been useful in diagnosing Zn toxicity, but it is of little value in diagnosing Zn deficiency as values rarely decrease by more than 20% even in severe deficiencies. Liver metallothionein has been used to gauge Zn deficiency in nonhuman primates. The use of Zn transporter measurements to assess status has also been proposed (Keen and Uriu-Adams, 2006).

VIII. CONCLUDING COMMENTS

Metal ions in combination with numerous organic accessory and catalytic factors (e.g., various vitamins) play important roles in enhancing specificity and providing additional properties that allow complementary forces and arrangements to improve the orientation and efficiency of catalysis. In enzyme transition states, metals influence the entropic components of enzymatic reactions. Metals allow the formation of metastable bonds and play roles in entasis (enzyme shape and configuration). Some minerals are important to organizing water structure; others act as Lewis acids and bases.

Appropriate intake levels of certain chemical elements are required to maintain optimal health. On a per food energy basis (e.g., kcal or joules), the requirements of most animals are similar. Most commonly, a conventional diet will meet the requirements. However, most deficiency situations occur when the diet is simple and monotonous in terms of composition. The extensive range of supplements, such as for Se, Fe, and Zn, reflect the success of research in ways that were unimaginable even a decade ago.

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Vitamins

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VI. CONCLUDING REMARKS REFERENCES

I. INTRODUCTION AND BRIEF HISTORY

The concept that food components are linked to tissue growth and repair was evident in the writings of Greek philosophers as early as the fifth century B.C. In human medicine, nutrition is a topic found in the Hippocratic collection. The first disease to be recognized as nutrition related may have been night blindness. An ancient Egyptian medical text, the *Papyrusebers* (written about 1550–1570 B.C.), prescribed "beef liver, roasted, squeezed,

placed against the eye" for various eye diseases, including night blindness. In China, liver applied topically to the eye was also used as a treatment for night blindness.

By the mid-1700s, the curative effects of certain foods had been linked to a number of diseases. James Lynn, a physician in England, assembled his classic treatise that fresh fruits and vegetables seemed effective in curing scurvy. By the 1800s, the association of corn to pellagra (niacin deficiency) was made; by the 1900s, Eijkman, a Dutch physician working in Java, reported that consumption of polished rice was related to polyneuritis, associated with the nutrition disease beriberi. These studies are also noteworthy because they are among the first to utilize experimental animals to produce a vitamin deficiency in a controlled setting. However, the concept that specific diseases could be caused by the lack of a dietary component did not evolve until the beginning of the 20th century. Because of the success of Pasteur and the "germ theory," many diseases now recognized as nutritional in origin were initially attributed to infectious agents. It was widely held that only the gross constituents of the diet (i.e., carbohydrates, protein, fat, and minerals) were needed for complete nourishment. As F. G. Hopkins, one of the founders of nutrition as a science, noted in his 1929 Nobel lecture, "the quantitative character of the data obtained and the attractive circumstance that such data appeared to supply ... induced a feeling that knowledge concerning these needs had become highly adequate and was approximating even to finality ... and a feeling that knowledge concerning nutrition was adequate and complete" (Hopkins, 1930). Nevertheless, the concept that a small amount of certain factors seemed necessary for optimal growth and development soon evolved (Goldblith and Joslyn, 1964).

The pursuit to define the nature of vitamins was first directed at lipid substances that were demonstrated to

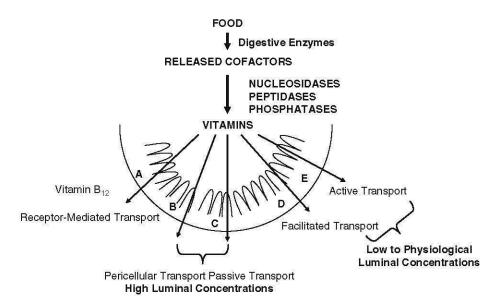


FIGURE 23-1 Vitamin absorption. Vitamins in foods are often present as cofactors or in highly modified forms. Pancreatic and intestinal cell-derived enzymes are required to initiate normal uptake in absorption. Nucleosidases, phosphatases, and peptidases are key factors in processing cofactors to vitamins. Transport of given vitamins can be receptor mediated and occur via pericellular-related processes, passive transport (usually at high luminal concentrations), active transport (requires energy), or facilitated processes (requiring a transporter or chaperone).

be essential in the diet of animals. McCollum and Davis at Wisconsin confirmed that butter or egg yolk, but not lard, supplied a lipid soluble factor that was necessary for growth in rats. As a consequence, the first fat-soluble substance with growth promoting properties (designated as vitamin A) was reported in the early 1900s, a time that most considered the beginning of the "age" of vitamin exploration (Goldblith and Joslyn, 1964).

Now there is constant awareness and sensitivity to the possibility of dietary vitamin deficiencies (and excesses). Nutritional deficiencies are not uncommon in animals, particularly animals fed diets of a limited (or restricted) number of dietary ingredients. A number of subsidiary and contributory factors may also lead to vitamin-related diseases. These factors include interference with normal food intake, loss of appetite (anorexia), impaired absorption or utilization, increased excretion, and the presence of antagonists. Stressful physiological states that increase nutrient demands (e.g., lactation) may also perturb the vitamin status of animals.

II. DEFINITION, GENERAL PROPERTIES, AND OVERVIEW OF FUNCTIONS

No definition for vitamins is totally satisfactory. Vitamins have been defined as organic substances present in minute amounts in natural foodstuffs that are essential to normal metabolism, the lack of which causes deficiency diseases. This definition, however, is not specific and can apply to a number of compounds derived from the secondary

metabolism of amino acids, simple sugars, and fatty acids. Suffice to say that in most mammals they represent essential organic compounds, not easily classified with the macronutrients. Some may be synthesized, but in insufficient amounts to meet normal needs during critical developmental periods.

Vitamins can be further classified according to chemical and physical properties, such as whether they are soluble in aqueous solution or lipid solvents. Those vitamins that are soluble in lipid solvents (vitamins A, D, E, and K) are absorbed and transported by conventional lipid transport processes. For water-soluble vitamins, respective solubility coefficients are major factors that dictate the availability and ease of absorption. Within physiological ranges of intake, active processes are usually involved in the absorption of water-soluble vitamins. Although for some, at high concentrations (10 times or more the typical requirements), passive processes may also be involved. In this regard, the diversity and complexity of vitamin metabolism and processing should be appreciated at the onset. Vitamins in foods are often present as cofactors or in highly modified forms. Pancreatic and intestinal cell-derived enzymes are required to initiate normal uptake in absorption. Nucleosidases, phosphatases, and peptidases are key factors in processing cofactors to vitamins (Fig. 23-1).

Vitamins serve a broad range of functions. For example, some of the actions of vitamin A and vitamin D are consistent with the actions of steroid hormones; derivatives of vitamin A and also vitamin E can act as signal transduction mediators; vitamin K acts principally as an enzymatic

TABLE 23-1	Requirements for Selected Water Soluble Vitamins Expressed as	
mg/1000kcal	or 4.2Mjoules ^a	

Vitamin	Animal					
	Cat	Rat	Mouse	Chick	Human	
Thiamin	2–3	2	2	Î	1-2	
Riboflavin	1-2	1	<u>I</u>	0.5	1	
Niacin ⁶	20–30	8	8	6–8	5	
Pyridoxine ⁶	2-4	2	2	1–2	1	
Folate	3-4	0.5	0.5	0.5	0.3	

^aTaken from the National Research Council publications dealing with requirements for animals or humans. Values were obtained by dividing the recommended safe and adequate intake by the recommended energy intake.

cofactor; vitamin E can act as an agent that scavenges freeradical containing lipids and oxidants, independent of a direct association with an enzyme, although recent information indicates possible roles in cell signaling. Regarding the water-soluble vitamins, most serve as cofactors or cosubstrates for enzymes or in cell signaling.

These varied functions of vitamins have also complicated the development of a simple system of classification or nomenclature. When the vitamins were originally discovered, they were isolated as fractions from selected foods, and as their exact chemical composition was seldom known, a system of letter designations was developed. However, this system became complicated when it was discovered that some functions originally ascribed to vitamins were due to other substances, such as one of the essential amino acids. Consequently, the designation of vitamins by letters was not systematically pursued. Similarly, the lack of chemical composition data resulted in a complex system of expressing dosages as arbitrarily defined units. Regarding requirements, when expressed on an energy basis, vitamin requirements are often of the same order from one species to the next. Some examples are given in Table 23-1. Differences in dietary requirements between species for given vitamins (in contrast to physiological or metabolic requirements) are usually due to presence of unique pathways for their production, degradation, or disposal. Good examples are ascorbic acid and niacin, which cannot be made in some animals and therefore are true vitamins for such animals. Taurine is another example of a nutrient (although not a true vitamin as classically defined) where continual disposal or loss from the body results in a nutritional need, even though taurine can be synthesized. Further, young and growing animals may have a relatively higher nutritional need for some nutrients. Many species during neonatal periods have requirements for certain compounds, which later in life may be

sufficiently produced (e.g., choline, carnitine, or inositol). There are also numerous possibilities for deleterious interactions that can have physiological consequences and affect given requirements (Committee on Animal Nutrition, 2001a, 2001b; McDonell, 2001; Rucker and Steinberg, 2002; Subcommittee on Laboratory Animal Nutrition, Board on Agriculture, National Research Council, 1995).

III. FAT-SOLUBLE VITAMINS

A. Vitamin A

1. Introduction

Observations by Hopkins, Stepp, and others that a growthstimulating factor could be extracted from milk by means of lipid solvents, concentrated, and tested in experimental animal models were seminal steps that eventually led to the identification of vitamin A (Goldblith and Joslyn, 1964). This growth-promoting factor was also described as being present in egg yolk, butter, and cod liver oil. In later studies, "Factor A" or vitamin A was shown to be present as lipid esters in animal tissues and in the form of a "provitamin A" in plants (e.g., compounds in the carotenoid family). The structures and recommended names of naturally occurring and commercial forms of vitamin A and carotenoids are shown in Figure 23-2. Once chemical features for the carotenoids and retinoids were resolved in the 1940s and 1950s, studies of their biological function were undertaken and commercial synthesis of vitamin A and vitamin A-like molecules proceeded rapidly.

2. Proforms of Vitamin A: The Carotenoids

Carotenoids comprise a group of more than 700 compounds (most often red, yellow, and orange pigments in

b Cats do not effectively convert tryptophan to niacin; thus, there is absolute need for niacin. In this regard, ~10 mg of niacin is produced per 4.2M] of typical diets containing high-quality protein when utilized by the rat, mouse, chick, or human. The higher pyridoxine need in the cat is due to the higher protein requirements of carnivores and higher concentrations of enzymes dedicated to nitrogen metabolism. If expressed on a unit protein basis rather than energy basis, the pyridoxine requirements of most homeothermic animals are similar.

Retinoids and Carotenoids

Interrelationships between dietary and cellular retinoids

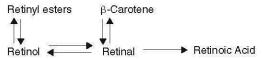


FIGURE 23-2 Structures of retinoids and carotenoids related to vitamin A. The structures are for (A) retinol, (B) retinal or retinaldehyde, (C) retinoic acid [all-trans], (D) retinoic acid [11-cis], (E) retinoic acid [13-cis], (F) retinylester [palmitate], (G) retinoyl • -glucuronide, and (H) • -carotene.
• -Carotene is a precursor to retinal, which in turn may be reduced to retinol or irreversibly oxidized to retinoic acid. In animal cells, retinol is "stored" as retinyl ester.

their isolated states) found in many fruits and vegetables (Stahl and Sies, 2005). To act as a provitamin A, a carotenoid must contain a •-ionone structure (i.e., the ring structure shown in Figure 23-2 containing a single double bond and three methyl groups). The carotenoids represent an unusual class of biological pigments. Carotenoids are rich in conjugated double bonds and are designed to interact with light. Green plants are the main sources of carotenoids in the diet of most animals.

In plants and prokaryotes, carotenoids serve as mediators of photo-energy-related processes by capturing energy from light (Stahl and Sies, 2005). Carotenoids are also readily destroyed by intense light, particularly UV light. From a chemical perspective, this is important given the wide range of functions involving carotenoids and vitamin A. Carotenoids can also quench singlet oxygen and may act as both antioxidants and prooxidants. The resulting products of such reactions may also have unwanted side effects, a problem that is not often appreciated.

When hays are stored for long periods (e.g., a year or more), the carotenoid content may be markedly reduced or modified because of chemical or physical (UV light) oxidation. Moreover, in plants, carotenoids occur in association with chloroplasts complexed with protein and other lipids and provide the main source of provitamin A for animals. In nonruminant animals, poor digestion of complex organelle structures, such as chloroplasts, in turn may lead to poor digestibility of carotenoid components.

Grains, with some exceptions (e.g., corn) are minor sources of provitamin A. Among the legume grains,

FIGURE 23-3 Structures of carotenoids without vitamin A activity. Lutein is found in green leafy vegetables and is employed as an anti-oxidant and for blue light absorption. Lutein covalently bound to one or more fatty acids is present in some fruits and flowers, notably marigolds. As a pigment, lutein and other xanthophylls (e.g., zeaxanthin) are used as natural colorants (e.g., in chicken feed to provide the yellow skin color). Lutein is also found to be present in a concentrated area of the macula, a small area of the retina responsible for central vision. As a consequence, there is interest in lutein and diseases of the eye, such as age-related macular degeneration. Lycopene is a bright red carotenoid pigment found in tomatoes and other red fruits.

chickpeas, green and black grams are the best sources of provitamin A. The richest source of carotenoid is red palm oil, which contains 500• g of mixed • - and • -carotene per milliliter. Of the carotenoids, six are known to be biologically important: • -carotene, lycopene, lutein, zeaxanthin, cryptoxanthin, and • -carotene (e.g., because of its role as a precursor to vitamin A). The structures of lycopene, lutein, and zeaxanthin are shown in Figure 23-3. The following sections focus mostly on • -carotene and vitamin A followed by short descriptions for the other carotenoids, which serve as important biofactors, although with no specific or known vitamin functions.

3. Metabolism

Following ingestion, retinyl esters in animal products are hydrolyzed to retinol by pancreatic hydrolases (esterases) or lipid hydrolases localized on the surface of the brush border of intestinal cells (Harrison, 2005). Bile and dietary lipids facilitate the absorption process, as retinyl esters must be a part of a lipid micelle to be absorbed. The micellar structures enhance fusion into the microvillus of intestinal cells. Similarly, lipid micelles enhance the uptake of carotenoids into intestinal cells. The bioavailability and digestion of vitamin A and carotenoids are affected by the overall nutritional status and the integrity of the intestinal microvillus. Absorption of physiological doses of vitamin A in most animals is 70% to 90%, but the efficiency of absorption for carotenoids added to diets is 40% to 60%, depending on the type of carotenoid. Carotenoids contained in plant chloroplasts, however, are often poorly

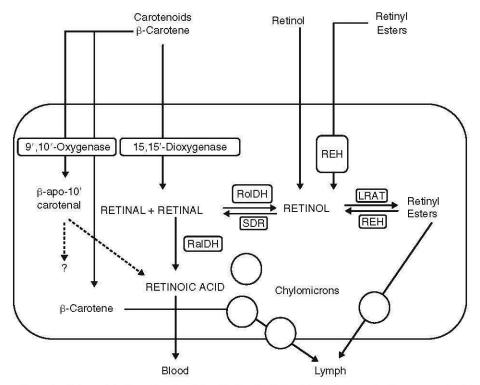


FIGURE 23-4 Absorption and cellular metabolism of carotenoids and retinoids. In the intestinal mucosal cell, some carotenoids are oxidized to both carotenals and retinals. Retinal can be reduced by alcohol dehydrogenases (RolDH) to retinol and re-esterified by lethichin retinol acyl transferase (LRAT). Retinol and associated esters are then incorporated into chylomicra, which are released into the lymph. Retinol can also be released from retinyl esters by action of retinyl ester hydrolase (REH). Moreover, retinol can be oxidized to retinal by short-chain dehydrogenases/reductases (SDR). Retinoic acid is formed from retinal by the action of retinal dehydrogenase (RalDH). Retinoic acid is sufficiently polar so that movement is directed to plasma. In contrast, owing to their nonpolar nature, given carotenoid pigments and retinyl esters are partitioned into chylomicrons for delivery into lymph. Retinol transport and carotenoid transport differ. ROL enters intestinal cells by diffusion and effluxes in part by a basolateral transporter in the ABCA1 transport family of protein transporters. Carotenoid uptake is mediated by the apical transporter SR-B1, and carotenoid efflux occurs exclusively via secretion in CM.

absorbed (less than 10%), because of the low digestibility of chloroplasts and release of carotenoids.

In the intestinal mucosal cell, some carotenoids are oxidized to both carotenals and retinals (Fig. 23-4). Most of the retinal is next reduced by alcohol dehydrogenases to retinol and re-esterified. Retinol and associated esters are then incorporated into chylomicra, which are released into the lymph.

Chylomicra particles in lymph are carried to the liver where about 75% of the retinol-derived products are cleared in most animals. In liver, there is active exchange of retinyl and other retinoids between stellate (also known as Ito cells) and parenchyma cells. To buffer such cells from an excess of vitamin A, it may be "stored" in lipid vacuoles. The storage form is as retinyl esters, where retinyl palmitate is usually the most predominant form.

As the body needs vitamin A, retinyl ester in the liver is hydrolyzed and released as retinol bound to retinol-binding protein (RBP), which binds one molecule of vitamin A as retinol per molecule of RBP (Fig. 23-5). When released into circulation, RBP exists as a complex not only with vitamin A, but also with another protein, transthyretin, which binds thyroxin. The RBP and the transthyretin

complex transport not only vitamin A, but also thyroxin to targeted cells. The primary target cells for vitamin A are epithelial in nature (e.g., fetal epidermal cells, the cells of the gastrointestinal mucosa, the reproductive tract, pulmonary secretary cells, and the salivary gland) (Debier and Larondelle, 2005; Harrison, 2005).

In regard to uptake and entry into targeted cells, such as epithelial cells, the exact role of RBP at the cell surface is unclear. The association constant (K_a) between retinol and the transthyretin-RBP complex is relatively low, approximately 10⁶ M/l. For example, this association constant is about the same as that for the binding of retinol to other proteins (e.g., albumin), which does not imply a high degree of specificity. In cell cultures, RBP is not essential for retinol uptake. However, the interaction of retinol within RBP's hydrophobic binding domain protects retinal from oxidation. The complex is also not cleared by the kidney, which helps to sustain retinol levels in circulation (Harrison, 2005).

Inside the targeted cells, vitamin A, as retinol, interacts with cellular-binding proteins that function to control its subsequent metabolism (e.g., oxidation to retinal and to retinoic acid). Retinal metabolites do not exist "free" in

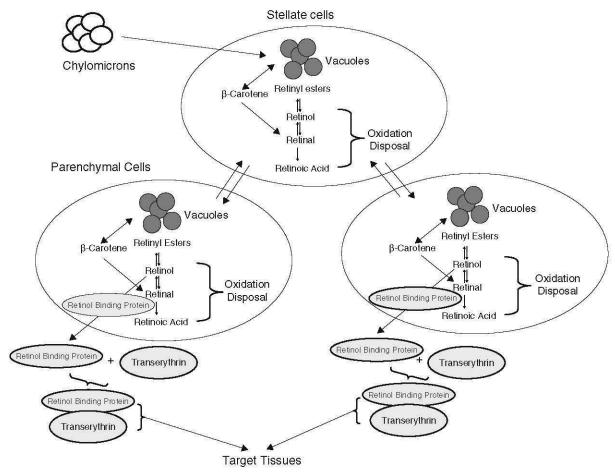


FIGURE 23-5 Steps in vitamin A processing in liver Stellate and parenchymal cells. Retinoids and carotenoids are transported from the intestine in chylomicron particles and are cleared primarily by the liver. The stellate cell is designed to sequester lipid-like compounds until needed. Fluids in the liver sinusoids derived from blood and lymph bathe stellate cells. The stellate cells are in communication with liver parenchymal cells. As the body needs vitamin A, retinyl esters and • -carotene sequestered in lipid vacuoles are released and eventually converted to retinol. The next steps involve the binding of vitamin A to retinol-binding protein (RBP). When released into circulation, RBP exists as a complex not only with vitamin A but also with another protein, transthyretin, which binds thyroxin. The RBP and the transthyretin complex transport not only vitamin A but also thyroxins to targeted cells. The primary target cells for vitamin A are epithelial in nature (e.g., fetal epidermal cells, the cells of the gastrointestinal mucosa, reproductive tract, pulmonary secretory cells, and salivary gland).

cells but are associated with specific binding proteins. The binding to and release from such proteins is rapid. Because the binding proteins are contiguously associated as a part of the cellular scaffolding, it is possible for given retinoid metabolites to move vectorially along given paths to specific locations in the cell.

Regarding the retinoid metabolites, retinoic acid is the most important, serving as a ligand for nuclear receptors (Velazquez and Fernendez-Mejia, 2004; Velazquez et al., 2005). These receptors are a part of a family of transcription factors that include nuclear receptors that also interact with glucorticoids, thyroxin, and the so-called peroxisomal proliferation activator agonists or ligands. Retinoic acid influences the transcriptional regulation of at least 600 known genes. Both excesses and deficiencies of vitamin A can markedly influence the expression.

The catabolism of excess retinal may be initiated by one of several alcohol dehydrogenase isozymes with subsequent oxidation via peroxisomal enzymes. Microsomal enzymes (cytochrome P450 hydroxylases) are also involved. Examples of some of some of the events and products are given in Figure 23-6. Important interactions involve agents that can induce cytochrome P450 hydroxylases (or monooxygenases). For example, phenobarbital can cause depletion of liver retinol by induction of a microsomal oxidase system that promotes retinoid oxidation.

4. Functions

The major roles of vitamin A are in cellar differentiation, tissue growth, and vision. In vision, vitamin A, as a component of rhodopsin, facilitates the efficient transfer of energy

Major metabolic conversions of Vitamin A

3,4-didehydro-Retinol VITAMIN A Retinyl 14-hydroxy-retro Retinol (RETINOL) Esters Retinyl β-glucuronide Retinyl palmitate Retinyl Stearate Retinyl Oleate Retinyl Linoleate 9,13 di-cis RA Retinyl Palmitoleate 9-cis RA Retinaldehyde Retinoic acid All-trans RA ("Active" Form) 13-cis RA (Retinal) 11,13 di-cis RA Retinyl β-glucuronide 18-hydroxyRA 4-hydroxyRA

FIGURE 23-6 Steps in the metabolic conversion of vitamin A. The catabolism of excess retinol/retinal may be initiated by one of several alcohol dehydrogenase isozymes with subsequent oxidation via peroxisomal enzymes. Microsomal enzymes (cytochrome P450 hydroxylases) are also involved. Shown are some of some of the events and products. Some of these products may become sufficiently oxidized so that they are excreted by the kidney. Others, such as the glucuronide, are deposed by transport and eventual delivery into bile.

18-oxoRA

4-oxoRA

from photons of light to electrochemical signals. The series of events leading up the propagation of this signal are as follows: vitamin A as 11-cis retinal, forms a protonated Schiff base by binding to a lysine residue in the protein opsin to yield the visual pigment, rhodopsin (Lamb and Pugh, 2004). When a photon of light strikes rhodopsin, cis-trans isomerization occurs and the process results in a highly strained form of rhodopsin, bathorhodopsin, which is converted to metarhodopsin with subsequent deprotonation (Jang et al., 2000). The deprotonated metarhodopsin interacts with transducin, one of the proteins in the transmembrane G-protein family. This interaction causes a subunit of transducin to bind GTP and stimulate cGMP phosphodiesterase activity. This results in a decrease in cGMP, which constitutes a significant amplification of the initiating event, the conversion of light-derived energy through 11-cis to transisomerization of retinal and specific changes in protein conformation (Fig. 23-7). Next, the local change in cGMP concentration results in changes in cation flux (Na and Ca ions) across rod cell membranes (Lamb and Pugh, 2004; McCabe et al., 2004). This initiates an electrochemical event, the firing of cells of the optic nerve. Further, metarhodopsin is phosphorylated during these final steps and interacts with a protein designated as arrestin. The metarhodopsinarrestin complex inhibits the transducin response and causes the release of all-trans retinal and the return to rhodopsin (opsin), thus completing the cycle.

In quantitative terms, only a small fraction of the total vitamin A requirement is involved in the visual process because of extensive recycling of retinal. With vitamin A deficiency, there is an inability to appropriately saturate

opsin with 11-cis retinal to form rhodopsin and its subsequent complexes. This decreases the sensitivity of the visual apparatus, so that light of low intensity is not perceived leading to nyctalopia or night blindness. An important note, which underscores the importance of having some knowledge of vitamin A chemistry and physiology, is that night blindness is not uncommon in cattle or sheep that have been grazing on dry weathered pasture for long periods such as during prolonged drought (Barnett et al., 1970; Booth et al., 1987). Although most cattle in feedlots are supplemented with vitamin A, if vitamin A is accidentally left out of the ration and stored hay is fed that has lost its carotene content or grain (other than yellow corn), night blindness can occur.

5. Growth and Cell Differentiation

As work on vitamin A progressed, it became appreciated that although retinol and retinal were important to vision, the retinoic acid would not correct night blindness but was essential to growth and normal development (Debier and Larondelle, 2005). Within cells all-trans retinol associates with cytosolic retinol-specific binding proteins, and the resulting complex become vehicles for subsequent processing. For example, all-trans retinol may be oxidized and isomerized to all-trans, 9-cis, or 13-cis retinoic acid, which subsequently binds to retinoic acid-specific binding proteins that act as transcription factors in protein regulation and cellar differentiation (Fig. 23-8). The details of such interactions are beyond the scope of this chapter. However, it is important to appreciate that in response to very low

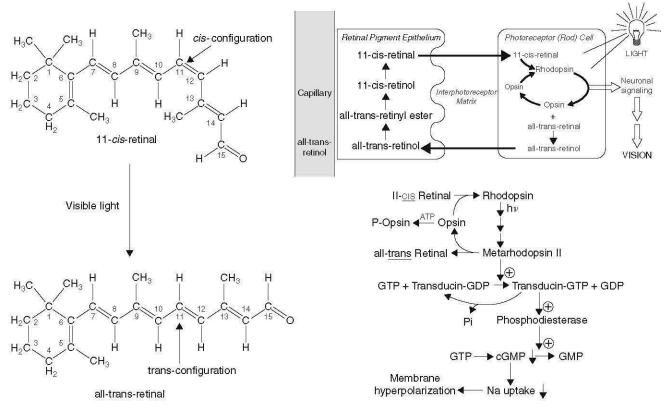


FIGURE 23-7 Vitamin A and vision. Retinal, as a component of rhodopsin, facilitates the transfer of energy from photons of light to electrochemical signals. The series of events includes the *cis-trans* isomerization, which results in a highly strained form of rhodopsin that is converted to metarhodopsin with subsequent deprotonation. The deprotonated metarhodopsin interacts with transducin, one of the proteins in the transmembrane G-protein family. This interaction stimulates cGMP phosphodiesterase activity, which results in a decrease in cGMP and signal amplification. The local changes in cGMP concentration result in turn in changes in cation flux (Na and Ca ions) across rod cell membranes to initiate firing of cells of the optic nerve.

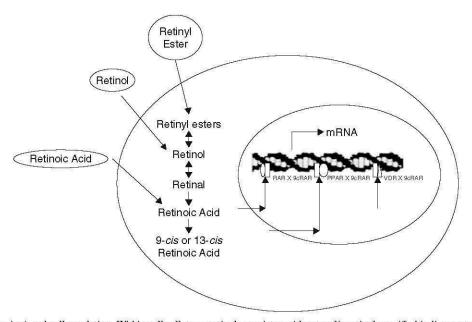


FIGURE 23-8 Vitamin A and cell regulation. Within cells all-trans retinol associates with cytosolic retinol-specific binding proteins, and the resulting complex become vehicles for subsequent processing. For example, all-trans retinol may be oxidized and isomerized to all-trans, 9-cis, or 13-cis retinoic acid, which subsequently binds to retinoic acid-specific binding proteins that act as transcription factors in protein regulation and cellular differentiation. The nature of the metabolic control has many facets including the regulation of specific receptor concentrations and their combination to form specific signaling complexes. The figure shows duplexes for the retinoic acid receptor (RAR) with (1) an isomeric form, (2) complexes with peroxisomal activation receptors, and (3) receptors under the control of vitamin D derivatives.

doses of retinoids, epithelial cells undergo a "terminal differentiation." Epithelial cells lose their normal columnar shape, become flattened or squamous, and increase their cytosolic content of keratin (stabilized by transglutaminase catalyzed cross-links).

In dermis, this process normally results in a protective outer layer, scales, and other specialized surfaces. With a deficiency, however, the skin can thicken and become hyperkeratinized. If the primary function of the epithelial cell is the provision of a moist surface or absorption (e.g., an enterocyte or lung secretory cell), squamous hyperkeratinization leads to loss of functional integrity. Lack of protective mucus secretions sets the stage for infections of the lungs and other tissues that depend on a mucus barrier. In the intestine, hyperkeratinization induces premature sloughing of enterocytes and malabsorption. The gradient delivery of retinoids to epithelial cells helps to explain why some epithelial cells undergo terminal differentiation, whereas others undergo cell cycling and periodic turnover, although important details need to be resolved. Other cells that are responsive to retinoids include phagocytic cells and cells associated with the immune response (e.g., the normal proliferation of the B cells and T cells requires vitamin A) (Ross et al., 2000).

6. Requirements

For any given animal, the requirement for vitamin A depends on age, sex, rate of growth, and reproductive status. For optimal maintenance, the allowance for many animals ranges from 100 to 200 international units per kilogram of body weight per day (one international unit is equal to 0.3• g of retinol). In young growing animals, a more precise method of expressing the vitamin A requirement is on an energetic basis. In animal feeds, 4000 to 10,000 international units per kilogram of feed is considered adequate in the United States to provide vitamin A requirements for most animals.

Pathological conditions that influence vitamin A status include malabsorption, including pancreatic insufficiency and cholestatic disease, cystic fibrosis, liver disease, and kidney disease. Many forms of liver disease interfere with the production or release of RBP, which results in a lower plasma level of vitamin A. Renal failure can result in loss of RBP in urine. Factors that impair lipid absorption and transport might also be expected to influence vitamin A status.

7. Evaluation of Vitamin A Status of Animals

The vitamin A status of animals may be evaluated on the basis of physiological, clinical, and biochemical procedures. Clinical testing for night blindness and the elevation of CSF pressure has been used to indicate vitamin A status. The concentration of retinol and its esters is readily measured in biological samples by HPLC using various detectors and indicates the vitamin A status. As the concentration

of retinol in plasma is well maintained until liver reserves are depleted, plasma retinol is not an index of vitamin A reserves. The latter is best provided by analysis of liver biopsy samples. In many carnivores, the plasma contains, in addition to retinol, equal or greater concentrations of retinyl palmitate and retinyl stearate bound to albumin, the immunoglobulin fraction, or to VLDL. Plasma retinol concentrations in excess of 30 • g/dl generally indicate that vitamin A is not limiting. In most species, liver concentrations of $100 \cdot g$ of retinol/g liver are generally adequate.

8. Pharmacology and Toxicity

Vitamin A and various retinoids are used increasingly to treat skin disorders (acne and psoriasis) and certain forms of cancer. A vitamin A responsive dermatosis in cocker spaniels is well recognized and has been previously described (Scott, 1986). Retinyl-•-glucuronide and hydroxyethyl retinamide are commercial preparations of retinoids that have such activity but are less toxic than retinoic acid. The mechanisms by which these agents function most probably relate to the complex pathways involved in epithelial and epidermal cell differentiation.

Vitamin toxicities may be classified under three broad categories: acute, chronic, and teratogenic. When a single dose of vitamin A (greater than 100 mg) is injected into animals (20 to 50-kg weight range), symptoms such as nausea, vomiting, increased cerebral spinal fluid pressure, and impaired muscular coordination result. A lethal dose of vitamin A (100 mg) given to young monkeys has been reported to cause coma, convulsions, and eventual respiratory failure.

Chronic toxicity may be induced by intakes of vitamin A in amounts 10 times the normal requirements. Doses of vitamin A in this range can lead to alopecia, ataxia, bone and muscle pain, and purities. Although cats have a high tolerance to excessive intakes of vitamin A, hypervitaminosis A occurs in cats that are given a diet largely of liver. Affected cats exhibit skeletal deformations, particularly exostoses of the cervical vertebra, which precludes effective grooming. Vitamin A is also a powerful teratogen. A single large dose during pregnancy (in the 50- to 100-mg range) for an animal weighing 20 to 50kg can result in fetal malformations. Chronic intakes (exceeding 10 times the requirements for given animals) can also be teratogenic. Carotenoids, unlike retinoids, are generally nontoxic, and many animals routinely ingest gram amounts of carotenoids on a daily basis with no deleterious effects (old world primates, herbivores, etc.).

9. Other Carotenoids

In addition to •-carotene, of the other carotenoids, the most information is available for •-carotene, lycopene, lutein, zea-xanthin, and cryptoxanthin. To reiterate, these carotenoids along with hundreds of others are the natural pigments in

plant tissues and give them color (Fig. 23-3). Carotenoid pigments attach themselves to proteins or fats and can produce blue, green, purple, or brown pigments in addition to yellow, orange, and red. If an animal's skin or feather color comes from carotenoids and it is not available in food, some or all of the color fades. For example, many birds develop bright red, orange, or yellow carotenoid pigmentation that they use presumably to attract mates. Because animals often obtain several different carotenoids from plant and animal food sources, it is possible that these pigments are accumulated at different levels, which results in the ultimate color expression of individual animals. As an example, when finches are fed a lutein-zeaxanthin mix, proportionally more zeaxanthin was found than lutein than occurred in the diet (i.e., there is preferential accumulation in the body). In fish, pigmentation is influenced by diet and sex. Presumably, males absorb/retain more pigments than females. Often consumers of various products (notably egg yolk, eggshell, broiler skin, and salmon flesh) prefer a specific type and degree of coloration. Although some birds can be sexed by visual inspection of their genitalia, mating resulting in sexassociated color phenotypes is becoming more in use. The genetic markers involved affect the color of the plumage and the cloning of genes involved in pigmentation offers the prospect of deciphering the genetic control of animal pigmentation and modifying it to meet specific pigmentation needs (Castaneda et al., 2005; Johnson et al., 2000).

Regarding specific carotenoids, • -carotene is one of the most abundant carotenoids in the diet and can be converted to vitamin A, but with only one-half the activity as • -carotene (contains only one • -ionone ring in contrast to two for · -carotene). Other differences in biological activity have also been reported. The •-carotene is a better inhibitor toward certain growth factors (e.g., N-myc activity) than · -carotene. N-myc is in the oncogene family of growth factors. Because of its abundance, • -carotene is also an excellent biomarker of intake of fruits and vegetables (Stahl and Sies, 2005). Another carotenoid, lycopene, is a red pigment found in fruits and vegetables. In human epidemiological studies, its consumption in modest amounts is weakly associated with a reduced risk of certain cancers. Lutein and zeaxanthin are carotenoids found in green, leafy vegetables and algae and have been considered recently for potential benefits to sight and vision, particularly a decrease in the risk of cataracts. Cryptoxanthin has even been reported to decrease bone loss in ovariectomized rodents. Thus, there are a wide range of health effects, which may have nutritionally and pharmacological potential (Stahl and Sies, 2005).

B. Vitamin D

1. Introduction

Sir Edward Mellanby in 1921 reported the induction of rickets in dogs through dietary manipulation. He discovered that

the disease could be corrected with cod liver oil. McCollum in 1922 reported the curative factor in cod liver oil was not vitamin A and appeared to be another fat-soluble substance. This substance was later identified as vitamin D, based on the ability to inactivate the vitamin A factor in cod liver by mild oxidation with the retention of antirachitic activity (Goldblith and Joslyn, 1964).

2. Sources, Functions, and Metabolism of Vitamin D

The D vitamins are a family of 9,10-secosteroids that differ only in the structure of the side chain attached to carbon-17. The two forms of vitamin D significant in veterinary medicine are ergocalciferol (vitamin D₂) and cholecalciferol (vitamin D₃). The differences in the side chain result in the vitamins having disparate potencies with some species of animal and differing in toxicity when consumed in large amounts. These two forms of vitamin D are produced in a two-step reaction when their respective sterols ergocalciferol and 7-dehydrocholesterol absorb ultraviolet radiation and undergo photolysis, which is then followed by thermal isomerization (Fig. 23-9). Excessive ultraviolet radiation of the sterols produces inactive compounds. Under most instances, animals can synthesize sufficient quantities of cholecalciferol if they receive adequate exposure to ultraviolet light of wavelength 280 to 320 nm (Hendy and Goltzman, 2005; Hendy et al., 2006; Xue et al., 2005). This is particularly true when the calcium and phosphorus requirements of the animal are met. As vitamin D is produced at one site and acts at other sites including bone and intestine, it fulfills the definition of a prohormone.

In most animals, 7-dehydrocholesterol is abundant in skin, being the ultimate precursor for cholesterol, which is synthesized from acetate. However, the skin of cats and dogs and possibly other carnivores contains only small quantities of 7-dehydrocholesterol, which does not permit adequate synthesis of vitamin D. These animals are solely dependent on the diet for this vitamin. With the exception of animal products, most natural foods contain low vitamin D activity. Fish, in particular saltwater fish, such as sardines, salmon and herring, and fish liver oils contain significant to large quantities of vitamin D. Many plants also contain hydroxylated ergosterol derivatives, some of which have potent vitamin D activities (Wasserman, 1975).

Initially, it was speculated that vitamin D might serve as an enzymatic cofactor for reactions that served to maintain calcium and phosphorus (as phosphate). When isotopes of calcium became available, it was soon appreciated that there was considerable lag between the administration of vitamin D and its effect on calcium-related metabolism. This lag was shown to be due to the conversion of vitamin D to an active form. Investigations throughout the 1960s and 1970s led to the sequence of events that is outlined in Figure 23-9. For example, the kidneys were identified

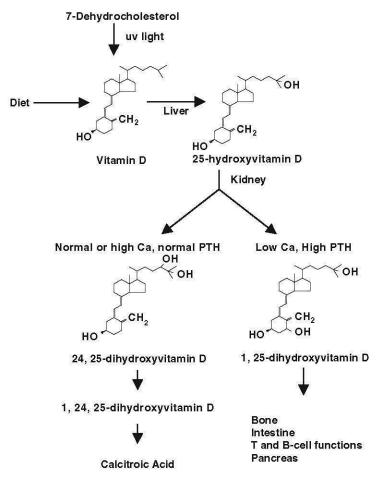


FIGURE 23-9 Vitamin D metabolism. Vitamin D is formed in the skin of most animals after exposure to ultraviolet radiation. Vitamin D can also come from the diet. It is hydroxylated in the liver to 25-hydroxyvitamin D, and in the kidney to 1,25dihydroxyvitamin D, which is the active form. The production of 1,25-dihydroxyvitamin D is normally regulated through feedback control and the influence of parathyroid hormone (PTH) on the activities of the 1. -OH or 25-OH-vitamin D hydroxylase. A fall in plasma calcium triggers the release of PTH from the parathyroid gland, which stimulates 1. -hydroxylase production and leads to an increase in output. A separate hydroxylase, which catalyzes 24,25-(OH)2-vitamin D3 production, is activated under eucalcemic and hypercalcemic states. The major sites of action in relation to calcium homeostasis are bone and intestine. The immune system and the pancreas are also sensitive to changes in vitamin D status.

as the site of 1,25-dihydroxycholecalciferol (calcitriol or 1,25-(OH)₂-D₃) production. This discovery, together with the finding that 1,25-(OH)₂-D₃ was in the nuclei of intestinal cells, suggested that vitamin D was functioning in a manner analogous to that for steroid hormones. The production of calcitriol is normally closely regulated through feedback control and the influence of parathyroid hormone (PTH) on the activities of the 1 - and 24-OH-hydroxylases. A fall in plasma calcium triggers the release of PTH from the parathyroid gland, which stimulates 1. -hydroxylase production and leads to an increase output of calcitriol from the kidney. A separate hydroxylase, which catalyzes 24,25-(OH)₂-D₃ production, is activated under eucalcemic and hypercalcemic states. Whether 24,25-(OH)₂-D₃ serves an essential function is controversial. However, there is evidence that 24,25-(OH)₂-D₃ is required for some of the biological responses attributed to vitamin D (Dusso et al., 2005; Norman et al., 2002). Norman and coworkers (Norman et al., 2002) have shown that hatchability in chickens markedly improves if both 1,25-(OH)₂-D₃ and 24,25-(OH)₂-D₃ are administered into eggs containing viable embryos from hens rendered rachitic (vitamin D deficient) before egg production. The two major sites of action of calcitriol in relation to calcium homeostasis are bone,

where it acts rapidly in concert with PTH in response to hypocalcemia, and at the intestine, where the response time is longer. In addition to 1,25-(OH)₂-D₃ and 24,25-(OH)₂-D₃, more than 20 other hydroxylated intermediates and end products have been identified. Most of these are probably routed into elimination pathways, although some may be potentially functional (e.g., 1,24,25-trihydroxycholecalciferol, which has some vitamin D activity).

Calbindin, a calcium binding protein, is a major product synthesized in intestinal cells in response to calcitriol. Calbindin influences the movement of calcium across the intestinal cell. Binding of calcium to this protein allows the intracellular concentration of calcium to be elevated. The hormone forms of cholecalciferol also stimulate the production of the calcium, sodium-dependent ATPases, which reside on the luminal surface of the intestinal cell. This facilitates the vectorial movement of calcium out of the cell into circulation. In addition, evidence also indicates that 1,25-(OH)₂-D₃ can stimulate secondary messenger systems (e.g., protein kinase and adenyl cyclase-controlled dependent messenger systems) (Dusso *et al.*, 2005).

In addition to intestinal cells, the osteoblasts of bone are another target of vitamin D metabolites and play a major role in short-term calcium homeostasis. In addition,

1,25-(OH)₂-D₃ is required for normal bone mineralization during skeletal growth and remodeling of bone. Vitamin D receptors (VDR) in bone are located in osteoblasts and progenitor cells of bone and control the synthesis and secretion of a number of bone-specific proteins in osteoblasts such as osteocalcin, osteopontin, collagen, and alkaline phosphatase. The actions of vitamin D metabolites are both direct (e.g., transcriptional regulation via VDR interactions) and indirect (modulation of secondary signaling pathways, e.g., protein kinase C regulated pathways). Although osteocalcin and osteopontin synthesis have been shown to be regulated at the transcriptional level of their respective genes, for the most part vitamin D metabolites attenuate the action of polypeptide hormones, such as PTH or calcitonin, which stimulates bone resorption and accretion, respectively. Of these two processes, maintaining bone resorption is the most important, because under normal conditions, the serum calcium and phosphate ion concentrations are at levels that favor bone apposition or accretion (Dusso et al., 2005).

Naturally occurring deficiencies of vitamin D occur in lambs born to ewes not supplemented prepartum with D₃ in northern latitudes during the winter months. Vitamin D deficiency also occurs in lambs reared indoors on grain diets (often barley), which do not supply an adequate amount of vitamin D₂. Deficiency is frequently manifested as skeletal limb abnormalities. As an unusual and specific example, there are also published reports of vitamin D deficiency in llama offspring (crias) in Oregon during the winter months (Judson and Feakes, 1999; Murray *et al.*, 2001; Van Saun *et al.*, 1996).

3. Other Functions of Vitamin D

Vitamin D receptors (VDR) have been found in a large number of cell types, ranging from skeletal muscle to cells important to immune and phagocytic functions (e.g., macrophages). In pancreatic •-cells, 1,25-(OH)₂-D₃ has also been observed to be important to normal insulin secretion. Vitamin D increases insulin release from isolated perfused pancreatic cells. Moreover, vitamin D metabolites can suppress immunoglobulin production by activated B-lymphocytes. T cells are also affected by vitamin D metabolites; 1,25-(OH)₂-D₃ exhibits permissive or enhancing effects on T cell suppressor activity.

A specific transport protein delivers $1,25\text{-}(OH)_2\text{-}D_3$ and other active forms of vitamin D to targeted cells. The active form of vitamin D then interacts with receptor proteins, which in turn signals enhanced expression of selected proteins. The vitamin D-binding protein (DBP), also known as group-specific component or Gc-globulin, is a multifunctional plasma protein. DBP is expressed as a single polypeptide chain with a molecular mass of $\sim 56\,\mathrm{kDa}$ and circulates in plasma at 6 to $7\,^{\circ}$ M. Because of its extensive polymorphism, DBP initially was named the group-specific component of serum, later shortened to Gc-globulin. DBP is a member of the albumin,

• -fetoprotein, and • -albumin/afamin gene family. In addition to functioning as a circulating vitamin D transport protein, it has been demonstrated to scavenge G-actin released at sites of necrotic cell death and prevents polymerization of actin in the circulation (Dusso *et al.*, 2005).

4. Requirements and Toxicity

Most animals require about five micrograms cholecalciferol per 1000kcal of diet. When intake exceeds five to ten times this amount, there is a risk of toxicity, characterized by hypercalcemia and soft tissue calcification, in particularly the blood vessels of the lung, kidney, and heart. Acute doses of vitamin D (>100 times the requirement) can eventually result in a negative calcium balance, because bone resorption is accelerated. As noted, some plants (e.g., Solanum malacoxylon, Cestrum diurnun, and Trisetum flavescens) contain compounds with vitamin D activity (mostly glycosylated forms of ergocalciferols) and vitamin D intoxication can follow their ingestion. Rodenticides containing cholecalciferol as the active ingredient have resulted in toxicity in companion animals that ingest the bait directly, or carcasses of rodents that have ingested the bait. Naturally occurring toxicity has occurred in cats in Japan given a commercial diet containing large amounts of tuna viscera. Tuna viscera contains extremely high amounts of vitamin D, most of it in the liver.

5. Assessment of Vitamin D Status

Reliable assays for the measurement of vitamin D, calcidiol, and calcitriol in plasma are available. Calcitriol occurs in picomolar concentrations (normal values 40 to 150 pmol/l or 16 to 60pg/ml) and has a half-life of about 4 to 6h in a large (50 to 100kg) animal. Concentrations of vitamin D in plasma after oral administration are in the nanomolar range ("normal" values range from 0 to 310 nmol/l or 0 to 120 ng/ml). Vitamin D has a half-life of 24h, so the plasma concentration reflects immediate intake, rather than overall status. In contrast, 25-OH vitamin D has a half-life of about 3 weeks, provides the useful index of vitamin D status, and is the measurement of choice. Plasma concentrations of 25-OH vitamin D of 20 to 150 nmol/l or 8 to 60 ng/ml cover the normal range for most animals. Much higher levels than these have been observed in cats given diets containing high levels of cholecalciferol without apparent deleterious effects (Committee on Animal Nutrition, 2001a, 2001b; Subcommittee on Laboratory Animal Nutrition, Board on Agriculture, National Research Council, 1995).

C. Vitamin E

1. Introduction

In the early 1920s, Herbert Evans and Kathryn Bishop observed that rats failed to reproduce when fed diets

containing rancid lard, unless they were supplemented with lettuce or whole wheat. Later it was found that germ oils, particularly wheat germ oil, contained an active principle that seemed responsible for improving reproductive performance. These early studies provided yet another function for a fat-soluble substance. By the early 1930s, it was recognized that this substance was a factor that differed from vitamin A or vitamin D. The compound was designated as vitamin E by Barnett Sure and later as · -tocopherol from the Greek word "tokos" meaning childbirth or reproduction. By 1940, a number of compounds in the tocopherol family were identified and purified. With elucidation of tocopherol structures and eventual chemical synthesis (Fig. 23-10), studies quickly followed that demonstrated embryonic failure resulted from vitamin E deficiency. Pappenheimer, Olcott, Martill, and others observed that muscle degeneration was also a common deficiency symptom and that vitamin E seemed to function as an antioxidant. Next, other signs and symptoms were identified, including oxidative diathesis and encephalomalacia in chickens. In addition to these signs, liver necrosis and hemolytic anemias were observed in vitamin E-deficient animals (Traber, 2007).

2. Chemistry, Metabolism, and Sources

The principal sources of tocopherols are plant oils. Tocopherols are unique because they act primarily at a chemical level as antioxidants, although other possible roles in cell signaling have been described. Primarily, vitamin E protects unsaturated fatty acids found in the phospholipids of cell membranes. The quinone moiety of tocopherols is capable of quenching free radicals, such as the free radical of hydrogen (H $^{\bullet}$), superoxide radicals (O2 $^{\bullet}$), hydroxyl radicals (OH $^{\bullet}$), and other lipid-derived radical species (LOO $^{\bullet}$). Vitamin E in the course of its action is sacrificed in acting as a free-radical scavenger. Vitamin E is very reactive and is in effect sacrificed thus inhibiting the formation of lipid-derived oxidation products (Traber, 2007).

Cell membranes contain vitamin E at a concentration of approximately 1mg per 5 to 10g of lipid membrane; a concentration sufficient to retard membrane lipid oxidation. Membrane lipids are constantly engaged in the process of turnover and repair. By prolonging the initiation time before a free-radical chain reactions occurs, vitamin E gives cells time to replace damaged membrane lipids through the process of normal cell turnover.

With regard to absorption and transport, tocopherols first must partition into the intestinal micelles and are absorbed with other dietary lipids. Following absorption, vitamin E is transferred into the lymph associated with chylomicrons and intestinally derived VLDL particles, similar to other fat-soluble vitamins. Vitamin E is cleared from chylomicrons and VLDL by the lung and the liver. From the liver, most of the vitamin E is found in association with

VLDL and LDL particles. For example, there is preference for the • -tocopherol form of vitamin E. More specifically, tocopherol-binding proteins favor the retention of the most potent vitamin E homologue, RRR-• -tocopherol. The LDL particles contain the highest concentration of vitamin E. This is important in that high concentrations of vitamin E protect the LDL particle from oxidation. It is currently proposed that oxidized LDL particles are important mediators of vascular disease.

FIGURE 23-10 Vitamin E metabolism. The two principal forms of vitamin E are shown, tocopherol and tocotrienol. Methyl groups are found at the 5, 6, and/or 8 position, which may modulate antioxidant potency. Tocopherol is the most potent of the various forms of vitamin E in biological systems. Some of the mechanisms involving free-radical quenching are also shown. Vitamin E is particularly important in quenching free radicals that are generated from allelic and bis allelic nonconjugated bonds found in membrane polyunsaturated lipids. Resolutions of the vitamin radical and other intermediates are shown in steps I and IV,

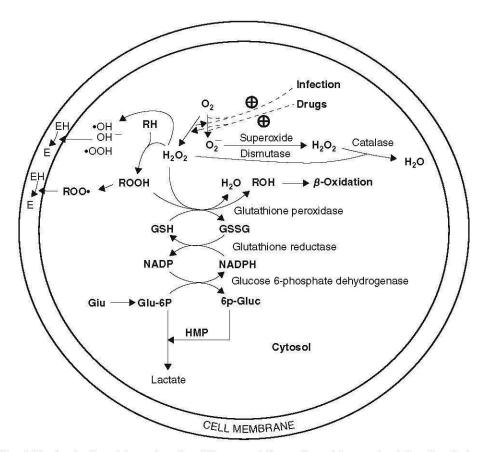


FIGURE 23-11 Vitamin E and antioxidant defense. A number of factors can influence the need for tocopherols in cells and subsequently their utilization at a cellular level. Vitamin E acts as the last line of defense for lipid oxidation, primarily residing in lipid membranes. Enzymes such as superoxide dismutases (catalyzes superoxide radicals to hydrogen peroxide), catalase (catalyzes hydrogen peroxide to water and oxygen), glutathione peroxidase (catalyzes lipid and hydrogen peroxides to water or hydroxy-fatty acids), and related systems for oxidant defense (generation of reductants, such as NADPH and reduced glutathione) also aid in providing additional oxidant defense. Without intracellular control of reactive oxygen species, such as hydrogen peroxide or hydroxide radicals, polyunsaturated lipids are targets for oxidation.

Vitamin E enters cells by processes similar to those for LDL uptake (Aguie, 1995; Traber *et al.*, 1993, 1994a, 1994b, 1994c). LDL membrane receptors, through receptor-mediated endocytosis, appear responsible for vitamin E uptake by scavenger receptor B type I and LDL receptors. Efflux from cells is less well understood but appears to be dependent on transporters in the ABCA1 transporter family (ATP-requiring transporters associated with cholesterol transport). Once in cells, vitamin E is incorporated into liquid membranes. About 40% of vitamin E is found in nuclear membranes; the remaining 60% is divided between lysosomal, mitochondrial and the outer cell wall membranes (Traber, 2007).

3. Requirements and Functions

The nutritional status of vitamin E is often difficult to assess. A number of factors can influence the concentration of tocopherols in cells. As noted, vitamin E acts as the last line of defense for lipid oxidation, primarily residing in lipid membranes. Consequently, enzymes such as

superoxide dismutases, catalase, glutathione peroxidase, and related systems for oxidant defense can moderate the absolute need for vitamin E (Fig. 23-11). Further high dietary intakes of polyunsaturated dietary fats may increase the vitamin E requirement, because of their eventual deposition in cell membranes. Naturally occurring deficiencies of vitamin E occur in cats given human-grade canned tuna (which is not fortified with vitamin E). Deficiencies can also occur in cats given fish-based diets unless they are highly fortified with vitamin E. Proper handling of fish is essential to prevent the PUFAs in fish oil from readily oxidizing following their harvesting and processing. The requirement of most animals is on the order of 25 to 50 mg per kilogram dry diet or 4 to 8 mg per 1000 kcal or 4.2 MJ.

At the cellular level, vitamin E deficiency promotes increased lipid peroxidation, making cells more vulnerable to oxidative injury. Fortunately clinical manifestations of chronic vitamin E deficiency are rare and are usually seen only when fat malabsorption is present. In these cases, the neuromuscular, vascular, and reproductive systems may be affected. Vitamin E deficiency signs include

immunodeficiency, dermatosis, anorexia, myopathy, steatitis, focal interstitial, focal myositis of skeletal muscle, and periportal mononuclear infiltration in the liver. Signs of vitamin E deficiency are mostly attributed to membrane dysfunction as a result of the oxidative degradation of polyunsaturated membrane phospholipids and disruption of other critical cellular processes.

Vitamin E can also influence two major signal transduction pathways centered on protein kinase C and phosphatidylinositol 3-kinase (Singh and Jialal, 2005). Changes in the activity of these key kinases are associated with changes in cell proliferation, platelet aggregation, and NADPH-oxidase activation. Vitamin E status also influences genes that are involved in the uptake and degradation of tocopherols and antioxidant defense (e.g., • -tocopherol transfer protein, cytochrome P450-3A, • -glutamyl-cysteine synthetase heavy subunit, and glutathione-S-transferase), genes that are involved in the modulation of extracellular matrix proteins (e.g., collagen-• •1 chains and connective tissue growth factor), genes that are connected to cell adhesion and inflammation (ICAM-1 integrins and TGF-•), and genes in the steroid superfamily (e.g., PPAR-•) (Azzi et al., 2004).

4. Evaluation of Vitamin E Status

Tocopherols in biological tissues can be measured by HPLC. Although the • -tocopherol can readily be separated from other tocopherols, the separation of the •- and •-isomers is difficult. For nutritional assessment of vitamin E, the current indices are based on changes in total tocopherol concentrations in plasma and serum. Measurement of tocopherol concentration in erythrocytes may be an even better indicator for tissue vitamin E than plasma or serum levels. The platelet concentration of vitamin E is also a sensitive measure of vitamin E intake. Moreover, in the experimental setting the measurement of adipose levels of tocopherols seems to be a reliable index for assessing longterm vitamin E status. As in other cells, vitamin E partitions primarily into the membrane lipid compartments. Thus, the concentration of vitamin E per adipose tissue mass may even increase when there is loss of nonmembrane stored triglycerides. As plasma tocopherol concentration is affected by lipid concentration, an • -tocopherol/total lipid ratio of 0.6 to 0.8 mg/g of total lipids has been suggested as indicating adequate nutritional status. Functional tests such as the erythrocyte hemolysis in the presence of 2% peroxide have also been used to indicate status (Traber, 2007).

D. Vitamin K

1. Introduction

In 1929, Henrik Dam reported what was thought first to be an essential role for cholesterol in the diet of chickens. He noted that chicks fed diets that had been extracted with

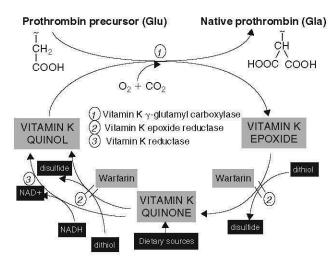


FIGURE 23-12 Major components in vitamins K's role in •-carboxy-glutamyl residue formation.

nonpolar solvents to remove sterols developed subdural and muscular hemorrhages and that blood seemed to clot at a slower rate. Edward Doisy in the United States did much of the work that led to the discovery of the structure and chemical nature of vitamin K. Dam and Doisy shared the 1943 Nobel Prize for medicine for this work. For several decades, the vitamin K-deficient chick model was the only method of quantitation of vitamin K in various foods: the chicks were made vitamin K deficient and subsequently fed diets with known amounts of vitamin K-containing food. The extent to which blood coagulation was restored by the diet was taken as a measure for its vitamin K content. As this work progressed, it was soon demonstrated that hemorrhagic disease in chicks could be reversed by extracts of alfalfa. In the 1940s, it became clear that substances synthesized by bacteria also could reverse hemorrhagic symptoms. In addition, it was discovered that compounds in spoiled clover and grasses seemed to cause hemorrhagic disorders in animals and serve as antagonist to vitamin K (Fig. 23-12).

2. Function and Metabolism

With the isolation and identification of vitamin K, work toward an understanding of mechanisms proceeded, although not without controversy. At first there was the problem of reconciling how compounds present in the sweet clover acted as vitamin K antagonists. A number of questions were also raised regarding the structural requirements for vitamin K activity (Stafford, 2005; Suttie, 2007).

Now it is appreciated that a number of compounds in the 1,4-naphaquinone series possess vitamin K activity. For example, even relatively simple compounds, such as menadione, possess vitamin K activity. An active phylloquinone can be synthesized from menadione when combined with isoprenoids from the cholesterol synthesis pathway. Dietary

phylloquinones are transported to the liver by chylomicrons and intestinal VLDL particles and from liver by VLDL and LDL. From studies of vitamin K clearance, it was appreciated that the total pool of vitamin K in the body is replaced rapidly, within hours to days in contrast to the slower turnover of the other fat-soluble vitamins (weeks to months).

The mechanism of action for vitamin K became much clearer after it was demonstrated that the formation of ·-carboxyglutamic acid residues (GLA) in thrombin and other proteinases associated with the blood-clotting cascade was vitamin K dependent. The formation of GLA residues is a key in that they serve as calcium-binding sites in the proforms of proteinases associated with blood coagulation. Calcium binding is a requisite for their eventual activation. In this regard, vitamin K serves as cofactor for microsomal carboxylases, which are responsible for GLA formation. The vitamin K-dependent carboxylase utilizes oxygen and bicarbonate as substrates. The reaction only occurs if glutamic acid is a part of a polypeptide with the correct sequence for specificity. Only the reduced form of vitamin K serves as a cofactor, which led to an appreciation that a reductase system was necessary for vitamin K regeneration and that one of the intermediate forms was a vitamin K epoxide. As this pathway was resolved, it next was apparent that many of the vitamin K antagonists functioned as inhibitors of reductases important for vitamin K generation (Suttie, 2007). The rate of carboxylation is mainly controlled by the level of reduced vitamin K available for the reactions, whereas the dissociation rate constant depends on both the propeptide and the Gla domain of the substrate. In addition, there are allosteric effects that increase the rate of dissociation of the fully carboxylated substrates. Carboxylation requires the abstraction of a proton from the 4-carbon of glutamate by reduced vitamin K and results in the conversion of vitamin K to vitamin K epoxide. The vitamin K epoxide must be recycled to vitamin K before it can be reused, a reaction catalyzed by the enzyme vitamin K epoxide reductase.

Specifically, vitamin K provides important control of blood coagulation by regulating the activities of factor VIIIa (FVIIIa) and factor Va (FVa), cofactors in the activation of factor X and prothrombin, respectively. The system comprises membrane-bound and circulating proteins that assemble into multimolecular complexes on cell surfaces. Vitamin K-dependent protein C, the key component of the system, circulates in blood as zymogen to an anticoagulant serine protease. It is activated on the surface of endothelial cells by thrombin bound to the membrane protein thrombomodulin. An endothelial protein C receptor further stimulates the protein C activation. Moreover, activated protein C together with another protein, cofactor protein S, can also slow coagulation by degrading FVIIIa and FVa on the surface of negatively charged phospholipid membranes providing a level of reversible control (Suttie, 2007).

GLA residues are also found in bone proteins. The GLA-containing proteins in bone (osteocalcins) appear

to be involved in the regulation of new bone growth and formation. The presence of GLA protein in bone helps to explain why administration of the vitamin K antagonist at levels that cause hemorrhagic diseases also may result in bone defects, particularly in neonates. The mineralization disorders are characterized by complete fusion of the proximal tibia growth plate and cessation of longitudinal bone growth (Suttie, 2007).

3. Nutritional Requirements

The establishment of the dietary requirement for many animals has been difficult, in part because of (1) the short half-life of vitamin K, (2) the fact that large amounts of vitamin K may be synthesized by intestinal bacteria, and (3) the extent to which different animal species practice coprophagy. Birds tend to have relatively high requirements for vitamin K; thus, chickens are often used as experimental animals in vitamin K studies (Stafford, 2005; Suttie, 2007). Recent work suggests that the vitamin K requirement depends on the relative content of vitamin K epoxide reductase activity. A low level of epoxide reductase activity can increase the requirement for vitamin K. Ruminal microorganisms synthesize large amounts of vitamin K; thus, ruminants do not need an external source for this reason.

Assessments of nutritional requirements suggest that small animals should obtain approximately 500 to 1000 • g of phylloquinone per kilogram diet. Oxidized squalene and high intakes of vitamin E may act as vitamin K antagonists. Insufficient vitamin K can also occur with antibiotic treatment, treatment with coccidiostatic drugs, or long-term parenteral hyperalimentation without vitamin K supplements. Poultry and swine diets are regularly supplemented with menadione, but the need to supplement the diet of other species is questionable. Few hazards have been attributed to long-term ingestion of vitamin K in amounts of 1 to 10mg per kilogram diet of phylloquinone. However, menadione in amounts corresponding to 10 to 100mg per kilogram of diet may act as a prooxidant, and high dietary concentrations produce hemolysis. Phylloquinone (vitamin K₁) rather than menadione should be used parenterally to treat animals that have ingested warfarin or other anticoagulants. Menadione being water soluble, at high concentrations it can promote hemolysis. Like many quinones it may act as a prooxidant and initiate free-radical formation.

IV. WATER-SOLUBLE VITAMINS

We have chosen to organize the discussion of water-soluble vitamins based on physiological function. Most vitamins serve eventually as enzymatic cofactors. For example, niacin, riboflavin, and ascorbic acid serve primarily as redox cofactors. The roles of thiamin, pyridoxine (vitamin B_6), and pantothenic acid (as a component of coenzyme A)

are distinguished because of their importance to carbohydrate, amino acid, and acyl and acetyl transport, respectively. Biotin, folic acid, and vitamin B₁₂ (cobalamin) will be discussed in relationship to their roles in single carbon metabolism. Several vitamin-like compounds will also be described. These compounds are products derived from carbohydrate, amino acid, or fatty acid metabolic pathways and primarily perform specialized transport functions or are associated with signal transduction mediators in cells. A nutritional case can be made that in some animal species, these compounds have important "conditional" requirements, and developmental periods may be identified in which a dietary source is required to maintain balance.

A. Vitamins Important to Redox: Ascorbic Acid, Niacin, and Riboflavin

1. Ascorbic Acid

a. Introduction

Ascorbic acid functions primarily as a cofactor for microsomal monooxygenases (hydroxylases) and oxidases. In most animals, ascorbic acid is synthesized from glucose in the liver or kidney (Fig. 23-13). In some animals, however,

a deficiency of gulonolactone oxidase, a last step in ascorbic acid synthesis, results in the need for a dietary source. The enzymes for ascorbic acid production in the cold-blooded vertebrates (fishes, amphibians, and reptiles) are located in the kidneys. Present-day birds, whose ancestors appeared about the same time as the mammals, have a kidney-liver transition. The older order of present-day birds, such as the ducks, pigeons, and hawks, synthesize ascorbic acid in their kidneys, whereas in the more recent order they produce ascorbic acid both in their kidneys and livers (e.g., of the perching and song birds). Mammals produce ascorbic acid in the liver. Of the mammals that do not produce ascorbic acid (e.g., primates and guinea pigs), so-called pseudogenes for L-gulonolactone oxidase exist. The 164-nucleotide sequence of exon X of this gene contains nucleotide substitutions throughout its sequence with a single nucleotide deletion, a typical example of a pseudogene.

b. Chemistry

Ascorbic acid is of general importance as an antioxidant, because of its high reducing potential. However, under some conditions ascorbic acid can also act as a prooxidant. Ascorbic acid is a 2,3-enediol-L-gulonic acid. Both of the hydrogens of the enediol group can dissociate, which

FIGURE 23-13 Ascorbic acid synthesis. The direct oxidative pathway for glucose is utilized in those animals that make ascorbic acid. Major metabolites are the 2-sulfate and 2-methyl derivatives of ascorbic acid, which require phosphoadenosyl phosphosulfate (PAPS) and S-adenosyl methionine (SAM) as sulfate and methyl donors, respectively. When ascorbate is in excess, catabolic enzymes can effectively decarboxylase or cleave ascorbic acid (between C-2 and C-3).

results in the strong acidity of ascorbic acid. Enediols are excellent reducing agents; the reaction usually occurs in a stepwise fashion with a semiquinone intermediate (Johnston *et al.*, 2007).

For ascorbic acid, this intermediate with monodehydroascorbic acid disproportionates to ascorbic acid, and dehydroascorbic acid. Dehydroascorbic acid is not as hydrophilic as ascorbic acid, because it exists in a deprotonated form. As such, dehydro of ascorbic acid can move easily across cell membranes. The dehydro form, however, is easily cleaved by alkali (e.g., to oxalic acid and threonic acid).

c. Absorption, Tissue Distribution, and Metabolic Functions

Dietary ascorbic acid is absorbed from the duodenum and proximal jejunum. Measurable amounts can also cross the membranes of the mouth and gastric mucosa. Although some controversy exists regarding the relationship between ascorbic acid intake and the intestinal absorption of ascorbic acid, most careful studies indicate that within the physiological ranges of intake (20 to 400 mg per kilogram of dry food), 80% to 90% of the vitamin may be absorbed.

With respect to tissue distribution, the highest concentration of ascorbic acid is found in the adrenal and pituitary glands followed by the liver, thymus, brain, and pancreas. In diabetic animals, the ascorbic acid content of tissue is often depressed, which suggests that factors responding to hyperglycemic states can compromise ascorbic acid status. This may be because dehydroascorbic acid uptake is facilitated by hexose transporters (Johnston et al., 2007; Said, 2004). Uptake of reduced ascorbic acid involves a specialized Na+-dependent, carrier-mediated system; egress of ascorbic acid from enterocytes also utilizes a Na+dependent carrier system. Regarding cellular retention, ascorbic acid is maintained in cells by several mechanisms. Ascorbate reductases maintain L-ascorbic acid in the reduced form, which prevents passive leakage from the cell as dehydroascorbic acid. Significant amounts of ascorbic acid, particularly in fish, may also exist as the 2-sulfate derivative. In rats, about 5% of a labeled dose of ascorbic acid is recovered in urine as 2-O-methyl ascorbic acid. Cellular modification of ascorbic acid is important for compartmentalization or modulation of functional ascorbic acid levels (Johnston et al., 2007; Wilson 2005).

In the neonate, glutathione is important to ascorbate recycling and regeneration (Fig. 23-14). An argument can be made for a dietary need for ascorbic acid in some neonates of species not normally showing a requirement for ascorbate. For example, the levels of glutathione are relatively low in neonate rat and mouse tissue. Ascorbate is oxidized to dehydroascorbic acid, which is easily catabolized, thus the need for continual replacement.

As a cellular reducing agent, ascorbic acid plays a number of important roles. It serves as a cofactor for mixed-function oxidations that result in the incorporation

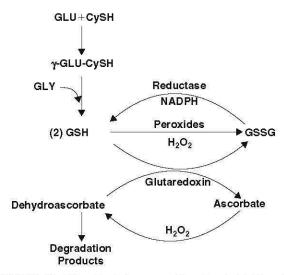


FIGURE 23-14 Interaction between ascorbic acid and glutathione. The most important reductant in the cell is glutathione L-(-glutamyl-L-cysteine-glycine, GSH), which is synthesized by a two-step reaction involving L-glutamyl cysteine synthetase and GSH synthetase. In addition to reducing equivalents derived from the pentose shunt or hexose monophosphate shunt pathway via NADPH, reduced ascorbic acid can transfer reducing equivalents to oxidized glutathione (GSSG) catalyzed by glutaredoxin.

of molecular oxygen into various substrates. Examples include the hydroxylation of proline in collagen, elastin, C1q complement, and acetylcholine esterase. Hydroxylases (monooxygenases) and some P450-dependent hydroxylases that carry out the hydroxylation of steroids, drugs, and other xenobiotics utilize ascorbic acid as a reductant. Moreover, the hydroxylation steps in the biosynthesis of carnitine, hydroxylation of tyrosine in the formation of catecholamines, and hydroxylation of proline in collagen represent other important and essential catalytic functions of ascorbic acid. Most of the enzymes involved in these processes are metal-requiring enzymes in which ascorbic acid's role is to maintain the metal (usually Cu or Fe) in its reduced state (Johnston et al., 2007).

d. Requirements and Toxicity

Ascorbate is synthesized by most animals with the exception of primates, guinea pigs, some snakes, fruit-eating bats, birds (passerines), and salmonid fish. For these animals, impaired collagen synthesis is a principal feature of ascorbate deficiency. Scurvy is characterized by poor wound healing, impaired bone formation in higher animals, and kyphosis and scoliosis in fish (Committee on Animal Nutrition, 2001a, 2001b; Subcommittee on Laboratory Animal Nutrition, Board on Agriculture, National Research Council, 1995). Connective tissue lesions are primarily a result of underhydroxylated collagen (at specific prolyl and lysyl residues) being abnormally susceptible to degradation. In addition, the inability to deal with metabolic stress requiring normal adrenal gland function and the reduced

ability to metabolize fatty acids (carnitine synthesis) contribute signs of scurvy.

To maintain these functions, most animals generate 10 to 60 mg of ascorbic acid per 1000 kcal utilized in the course of normal metabolism. Similarly, requirements for ascorbic acid, when required in the diet, range from 50 to 250 mg per kilogram of diet (i.e., about 50 mgs per 1000 kcal), which correspond to the amount in mammalian milk (Rucker and Steinberg, 2002). It is noteworthy that when fed in excess of metabolic need, tissue levels of ascorbic acid are homeostatically maintained. Homeostasis occurs by the induction of ascorbic acid decarboxylases and cleavage enzymatic activity, which results in CO₂ plus ribulose or oxalic acid plus threonic acid. These conversions are probably to protect cells against nonspecific and oxidative reactions resulting from excesses of reduced metals, such as iron and copper (Johnston et al., 2007).

2. Niacin

a. Introduction

Through the elegant work of Goldberger and others, pellagra was identified as a nutritional deficiency in the 1900s. Commonly known as the "disease of the four D's-dermatitis, diarrhea, dementia, and death"—it was first recognized in Spain and Italy as a specific disease in the late 1700s, as mal de la Rosa and pellagra, respectively, from pelle (skin) and agra (rough). In 1810, another Italian, Giovanni Battista Marzari, proposed that the disease was caused by overreliance on corn as the main dietary staple. Indeed, there were two schools of thought: the Zeists, who supported the corn theory, and the anti-Zeists, who discredited it (Goldblith and Joslyn, 1964). Niacin deficiency comes about when foodstuffs (e.g., corn) are consumed that are low in bioavailable niacin and the amino acid tryptophan. Tryptophan is important to niacin status (Bender, 1996), because niacin can be generated upon tryptophan degradation (Fig. 23-15). Although niacin deficiency is observed infrequently in free-ranging animals, it nevertheless is a good example of a vitaminrelated disease that occurs from consuming a monotonous diet. In this regard, dogs played an important role as models for pellagra, as they exhibited a condition called "black tongue," when given a diet similar to that which produces pellagra in humans. Black tongue is characterized by initial reddening of the mucosa of the lips and mouth that progresses to necrosis of the mucosa accompanied by ropy saliva, a fetid odor, and diarrhea. In 1937, Elvehjem discovered that dogs with "black tongue" responded dramatically both to nicotinic acid and to nicotinamide, which was isolated from liver extracts that had previously been found to have relatively high antipellagra activity. The acid and the amide were tested with human pellagrins and gave relief of the irritation of the mucous membrane of the mouth and digestive tract and the disappearance of acute mental symptoms within a few days (Bender, 1996; Kirkland, 2007).

With regard to pellagra and corn, niacin is not highly bioavailable unless the corn is finely ground or processed under alkaline conditions (e.g., ground in the presence of limestone). In human populations, this was not the practice in Western Europe and the southern United States, although it was the practice in Central and South America. Normally, niacin is derived from food by hydrolysis of nicotinamide adenosyl dinucleotide (NAD) and nicotinamide adenosyl phosphodinucleotide (NADP) to niacin by the action of pancreatic or intestinal nucleosides and phosphatases. Facilitating NAD and NADP hydrolysis by alkali treatment of corn or increasing surface area is important to increasing the bioavailability of niacin. Given that most animals consume diets that contain adequate tryptophan, and available NAD and NADP, niacin deficiency is usually not a problem. An exception to this generalization is cats. In this species, the degradation of tryptophan does not proceed along a pathway that leads to nicotinic acid, even though all the enzymes for the pathway are present. High activity of the enzyme picolinic carboxylase, at a branch point in the pathway, results in diversion from eventual NAD production. For cats and probably all other felids, available niacin is an obligatory dietary factor.

b. Functions

NAD and its phosphorylated form, NADP, are two coenzymes derived from niacin (Fig. 23-15). Both contain an unsubstituted pyridine 3-carboxamide that is essential to function in redox reactions with a chemical potential near -0.32 V. Virtually all cells are capable of converting niacin to NAD (Kirkland, 2007). Most enzymes requiring NAD are oxidoreductases (dehydrogenases) that aid the catalysis of a diverse array of reactions, such as the conversion of alcohols and polyols to aldehydes or ketones. The most common mechanisms involve the stereospecific abstraction of a hydride ion (H:) from the substrate with subsequent transfer. Further, cells utilize NAD in catabolic pathways, whereas NADP is utilized in synthetic pathways. An additional and equally important function of NAD is its role as a substrate in polyand monoribosylation reactions. Mono- and polyribosylations are important to many cellular regulatory functions. Enzymes that undergo monoribosylation can become activated or deactivated upon addition of ADP-ribose. Somewhat analogous to phosphorylation, ribosylation represents another example of covalent modification as a regulatory control.

In the nuclei of cells, polyribosylation of histone precedes the normal process of DNA repair (Hageman and Stierum, 2001). This later phenomenon is important in that pellagra-related lesions often involve the skin, following exposure to UV light. UV damage of epidermal cell DNA is an underlying mechanism for the dark pigmented lesions associated with pellagra. Lack of niacin and therefore NAD is thought to be a contributing factor to the skin lesions because of the inability of cells to carry out polyribosylation reactions. It is this nonredox function of NAD

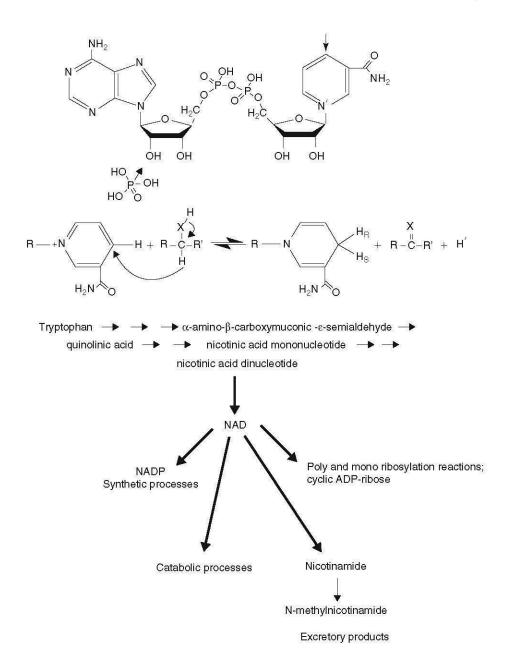


FIGURE 23-15 Niacin and NAD(P). Niacin can be derived from tryptophan degradation or the diet. In cells, niacin is eventually converted to NAD(P). Most enzymes requiring NAD are oxidoreductases (dehydrogenases). The most common mechanisms involve the stereospecific abstraction of a hydride ion (H:) from the substrate with subsequent transfer. NAD is usually associated with catabolic pathways, whereas NADP is utilized in synthetic pathways. An additional and equally important function of NAD is its role as a substrate in poly- and monoribosylation reactions. Mono- and polyribosylations are important to many cellular regulatory functions (e.g., DNA repair); cyclic-ADP ribose is important in calcium-related cell signaling pathways.

that accounts for the rapid turnover of NAD in cells. Some estimates suggest that as much as 40% to 60% of the NAD in cells is involved in mono- or polyribosylation reactions.

NAD is also the substrate for cyclic ADP-ribose (cADPR), which is a Ca2+ mobilizing second messenger found in various cell types, tissues, and organisms. Receptor-mediated formation of cADPR involves ADP-ribosyl cyclases located within the cytosol or in internal membranes of cells. cADPR activates intracellular Ca2+ release (Jacobson *et al.*, 1995).

c. Requirements and Pharmacology

Niacin is needed in amounts corresponding to 10 to 25mg/kg of diet. Depending on species, the conversion of tryptophan to niacin produces about 1mg of niacin for every 60mg of tryptophan degraded. Niacin (nicotinamide) is relatively nontoxic, although nicotinic acid can cause vasodilatation when consumed in excess of 100mg per kilogram of diet. Consequently, there are a number of therapeutic uses for pharmacological doses of niacin-derived compounds, when increased blood flow is desirable.

FIGURE 23-16 Riboflavin. The major forms of riboflavin found in cells are flavin adenine mononucleotide (FMN) and flavin dinucleotide (FAD). In some enzymes, riboflavin may also be covalently bound to the enzymes that it serves, for example, succinic dehydrogenase.

d. Determination of Niacin Status

A nicotinamide loading test has been used to determine niacin status of patients. The patient is given an oral dose of nicotinamide, and the urinary excretion products, which are species dependent, are measured. For humans, monkeys, dogs, rats, and swine largely methylated products are produced. Analytical approaches in measuring nicotinic acid and niacin now involve hydrophilic interaction chromatography or capillary electrophoresis using UV, florescence, or mass spectrophotometry for detection (Kirkland, 2007).

3. Riboflavin

a. Introduction

Riboflavin was one of the first of the B vitamins identified. Riboflavin is present in tissue and cells as flavin adenine dinucleotide (FAD) and flavin adenine mononucleotide (FMN). FAD and FMN are cofactors in aerobic processes, usually as cofactors for oxidases, although FAD also can function in an anaerobic environment as a dehydrogenase cofactor. Many flavin-containing proteins are also found in the smooth endoplasmic reticulum of cells associated with microsomal enzymes (Fig. 23-16).

b. Functions

Enzymes containing flavins are distinguished, because they are capable of transferring hydrogen directly to molecular oxygen with the formation of hydrogen peroxide as a product (Powers, 2003; Rivlin, 2007). Oxygen prefers to participate in reactions involving one electron, one hydrogen transfers proceeding in a stepwise manner. The chemical characteristics of riboflavin are ideally suited for such reactions. Thus, with the addition of riboflavin containing cofactors to biological systems, it is possible for the system to carry out a range of redox reactions utilizing mechanisms that involve ion hydride transfers (via NAD or NADP), radical hydrogen ion transfers (via FMN, FAD) or ascorbic acid, and one electron plus one proton transfer (via FMN or FAD).

c. Metabolism and Requirements

FMN and FAD in foods are hydrolyzed in the upper gut to free riboflavin. Riboflavin is absorbed by active processes and is transported in blood to target tissues in association with albumin (Said, 2004). Once in cells, riboflavin is phosphorylated to FMN. FMN is also released from cells and may bind to albumin for reutilization by other cells. Active transfer mechanisms are responsible for the uptake of FMN. In this regard certain drugs (e.g., penicillin and theophylline) can displace riboflavin from binding proteins that are important to its transport. Urine is the major route of excretion for riboflavin, although some FAD is excreted in bile (Rivlin, 2007).

Requirements for riboflavin are lower than those for niacin or ascorbic acid. This is primarily because riboflavin is tightly associated with the oxidases and dehydrogenases it serves as cofactor; thus, riboflavin turnover is dependent on the turnover of the proteins to which it is associated. In some cases, FMN is even covalently bound (e.g., as in succinic dehydrogenase). Because of the high affinity with the enzymes that it serves as a cofactor, in most animals the half-life of riboflavin is several weeks. Two to six milligrams of riboflavin per kilogram of diet is required (Committee on Animal Nutrition, 2001a, 2001b; Rivlin, 2007; Subcommittee on Laboratory Animal Nutrition, Board on Agriculture, National Research Council, 1995).

When signs of riboflavin deficiency are observed they usually include lesions of the oral cavity, around the periphery of the lips, and particularly the angle of the mouth (cheilosis). There can also be inflammation of the tongue (glossitis) and accompanying seborrheic dermatitis. In severe cases of riboflavin deficiency, the filiform papillae of the tongue are lost and the tongue changes color from its usual pink to magenta. Anemia and increased vascularization of the eye are other common signs of riboflavin deficiency in some animals. Ariboflavinosis is the clinical name for riboflavin deficiency. Riboflavin deficiency is rarely found in isolation; it occurs frequently in combination with deficiencies of other water-soluble vitamins. In addition to anemia,

severe riboflavin deficiency may result in decreased conversion of vitamin B₆ to its coenzyme form and decreased conversion of tryptophan to niacin.

Lean meats, eggs, legumes, nuts, green leafy vegetables, dairy products, and milk provide riboflavin in the diet. Because riboflavin is destroyed by exposure to light, foods with riboflavin should not be stored in glass containers that are exposed to light. Moreover, as grains are a poor source of riboflavin, deficiencies frequently occur in animals given diets based on cereal grains. One of the more striking and specific signs of riboflavin deficiency in birds is "curled toe syndrome." Curled toe paralysis has been of economic significance to the broiler industry. In young animals, growth failure, lost of feathers, or alopecia may be observed (Rivlin, 2007).

d. Determination of Riboflavin Status

The erythrocyte glutathione reductase activity coefficient (EGRAC) is the preferred clinical test of riboflavin adequacy. This enzyme stimulation test measures the reduction of oxidized glutathione by the enzyme glutathione reductase with and without the addition of exogenous FAD. In dogs, a ratio of greater than 1.3 has been taken as deficient. Similar to the other B-vitamins, analytical approaches involve hydrophilic interaction chromatography or capillary electrophoresis using UV, florescence, or mass spectrophotometry for detection (Rivlin, 2007).

B. Vitamins Directed at Specific Features of Carbohydrate, Protein, or Lipid Metabolism: Thiamin, Pyridoxine, and Pantothenic Acid

1. Thiamin

a. Introduction

Studies related to thiamin were important to the development of early concepts associated with the role and importance of vitamins. Another aspect of this work, particularly efforts by the Dutch medical officer Christian Eijkman, was that the polyneuritis associated with human beriberi could also be produced in an experiment animal model by dietary manipulation. Eijkman and his colleagues fed a diet of polished rice, presumably low in thiamin, to chickens and observed a characteristic feature—head retraction. The focus on rice and the observation that there appeared to be a curative principle in rice bran led to the eventual isolation of thiamin. This sequence of discovery provided the underpinnings that led to the discovery of vitamins as precursors to cofactors and their roles as regulators (Goldblith and Joslyn, 1964).

b. General Functions

Thiamin is found in cells either as the pyrophosphate (TPP) or the triphosphate (TPPP) (Fig. 23-17). TPPP predominates in neural tissue and in the brain (Davis, 1983). There

FIGURE 23-17 Thiamin. The structure of thiamine (a) is shown in its vitamin form. Along with magnesium, thiamin as thiamin pyrophosphate is designed to interact with C=O moieties to initiate active aldehyde transfer reactions. One example is the decarboxylation of • -keto acids or transketo-lase reactions (b). Thiamin also facilitates the transformation of "ketols" (ketose phosphates) in the pentose phosphate pathway (c).

are two general types of reactions wherein TPP functions. TPP is a coenzyme for active aldehyde transfer reactions, most often coordinated with magnesium. One example is the decarboxylation of • -keto acids. This type of reaction is called the transketolase reaction. TPP also facilitates the transformation of "ketols" (ketose phosphates) in the pentose phosphate pathway. The importance of these reactions cannot be overstated. The first type of reaction, decarboxylation of "keto acids, is essential to the flux of substrates through the TCA cycle (i.e., the conversion of pyruvic acid to acetyl CoA and the conversion of • -ketoglutarate to succinyl CoA). In the pentose phosphate pathway, NADP is also reduced to NADPH, an essential reducing agent for synthetic reactions (see niacin). Consequently, with a deficiency of thiamin there is impaired metabolism of carbohydrates, because of defective TCA cycle regulation. Further, if there are perturbations in the pentose phosphate-related carbohydrates pathway, there can be decreased production of NADPH, which may impact other synthetic processes, such as fatty acid biosynthesis.

Another function that may be ascribed to thiamin occurs in brain and neural tissue. In the brain, TPPP is proposed to be involved in sodium-gating processes (i.e., the flux of sodium ions, across neuronal cell membranes) (Bettendorff, 1996). This aspect of thiamin metabolism may be related to the psychosis and impairment of neuromuscular control that is observed in thiamin deficiency.

c. Requirements

Thiamin status should be routinely considered in disease assessment, because a number of factors influence thiamin availability and may induce deficiency. Thiamin is heat and alkali unstable, so extensive destruction of thiamin can

occur in the various steps of food processing and preservation. Canning, with its elevated temperatures and often alkaline conditions, can result in very low recoveries of thiamin. Thiamin can also be destroyed enzymatically by thiaminases, which are abundant in the flesh of some fish, particularly spoiled fish, and bacteria associated with fermentation processes. Thiamin deficiency has been observed in fish-eating birds, seals, dolphins, even other fish (Ceh et al., 1964; Cowey et al., 1975; Evans, 1975; Geraci, 1972, 1974; Murai and Andrews, 1978; Rigdon and Drager, 1955; Vimokesant et al., 1982; White, 1970), when spoiled or uncooked fish has been routinely fed. Thiaminase activity is strikingly high, particularly in tuna and sardines (i.e., the destruction of mg quantities of thiamin per hour per gram of fish muscle). Thiamin deficiency has also been reported in foxes fed uncooked fish products and in cats given both fresh fish and canned cat food that has suffered excessive processing losses. Naturally occurring thiamin deficiency has also been reported in cats given diets that have been preserved by sodium metabisulfite that degrades the thiamin in the diet (Donoghue and Langenberg, 1994). A novel case of thiamin deficiency in fish has reported for which consumption of shad was proposed as the mechanism. Shad contain high concentrations of thiaminase, which was inferred to be the mechanism. So-called early mortality syndrome is a noninfectious disease affecting lake trout and other salmonids associated with thiamin deficiency. It is characterized by loss of equilibrium, hyperexcitability, anorexia, and eventually death.

In herbivores, thiamin deficiency can occur from the ingestion of bracken fern (*Pteridium aquillnimum*) or nardoo (*Marsilea drumen*). In both herbivores and monogastric animals, the most predominant characteristic of thiamin deficiency is polioencephalomalacia, primarily of the deep cordial gray matter, periventricular gray matter, and alteration in the vestibular and lateral geniculate nuclei (Frye *et al.*, 1991). A relationship between excessive production of hydrogen sulfide in the rumen of cattle and sheep and polioencephalomalacia has recently been demonstrated. The availability of thiamin in foodstuffs is comprised of high levels of tannins. As a general requirement, animals should receive from 4 to 10 mg of thiamin per kilogram of dry food (Committee on Animal Nutrition, 2001a; Donoghue and Langenberg, 1994).

d. Determination of Thiamin Status

Traditionally the erythrocyte transketolase saturation test, which is a measure of the stimulation of the transketolase reaction, has been used to assess thiamin status. A stimulation of greater than 16% has been taken as a thiamin deficiency. A more sensitive test, however, is the measurement of thiamin-phosphorylated esters in plasma; the level of phosphate esters declines in plasma before any change occurs in erythrocyte transketolase values. A thiamin loading test, which measures the urinary excretion of thiamin

following an oral dose of thiamin, has also been used. However, this test lacks sensitivity compared to measuring metabolites in plasma.

2. Pyridoxine

a. Introduction

Vitamin B_6 is a collective term for pyridoxine, pyridoxal, and pyridoxamine (Fig. 23-18). Pyridoxine is most abundant in plants, and pyridoxal and pyridoxamine are most abundant in animal tissues (Coburn, 1996). Each can be converted to the other. The active form pyridoxal (B_6 cofactor form) is phosphorylated. When pyridoxal-5-phosphate is in excess, it is converted to pyridoxic acid (-CHO \rightarrow -COOH), which is then excreted.

Vitamin B₆ is essential in reactions important to amino acid metabolism and glycogen hydrolysis. The major types of reactions involving amino acids fall into three general categories. The most common of these is the transaminase reaction. Transaminations are essential to the interconversion of amino acids to corresponding WA • -keto acids. The transamination mechanism also applies for reactions important to producing racemic amino acid mixtures, for example, the conversion of L-alanine to D-alanine, and •, • -additions or elimination reactions. Examples of • ,• -elimination reactions are the conversion of serine to pyruvic acid and the conversion of homocysteine plus serine to cystathionine. The basic feature of a transaminationtype mechanism involves electron withdrawal from the • -carbon resulting in a proton liberation that sets the stage for substitution and additions reactions (Fig. 23-18).

The second most common reaction involves electron withdrawal from the •-carbon and carboxylic acid group carbon. This facilitates decarboxylation. Examples of decarboxylation reactions include the conversion of tyrosine to tyramine, 5-hydroxytryptophan to serotonin, histidine to histamine, and glutamate to gamma-aminobutyric acid (GABA). The convulsions associated with vitamin B₆ deficiency are attributed to insufficient activity of PLP-dependent L-glutamate decarboxylase leading to a deficit of the inhibitory neurotransmitter GABA.

A third type of reaction involves electron withdrawal from the •,•-carbons of amino acids. This sets the stage for hydride condensations or aldol reactions. A good example of an aldol reaction is the conversion of serine to glycine with the transfer of the •-carbon (as formaldehyde) to another vitamin cofactor, tetrahydrofolic acid. An excellent example of a hydride condensation is the formation of •-aminolevulinic acid, the first step in heme biosynthesis (Bender, 1994; Coburn, 1996).

Regarding glycogen, vitamin B_6 (aspyridoxal 5'-phosphate) is a cofactor for glycogen phosphorylase (Helmreich, 1992). Glycogen phosphorylase catalyzes the hydrolysis of ether bonds in glycogen to form 6-phosphoglucose. Ether bonds are best catalyzed through acid-mediated mechanisms.

FIGURE 23-18 Pyridoxine. Vitamin B_6 is a collective term for pyridoxine (- CH_2OH), pyridoxal (-CHO), and pyridoxamine (- CH_2-NH_2). The active form pyridoxal (B_6 cofactor form) is phosphorylated. The type of reactions carried out by vitamin B_6 fall into three general categories. These are mainly reactions that apply to the metabolism and interconversion of amino acids. The most common of these is the transaminase reaction. Transaminations are essential to the interconversion of amino acids to corresponding • -keto acids. The transamination mechanism also applies for reactions important to producing racemic amino acid mixtures. Examples of • ,• -elimination reactions are the conversion of serine to pyruvate or the conversion of homocysteine plus serine to cystathionine. The second most common reaction involves electron withdrawal from the • -carbon and carboxylic acid group carbon. This facilitates decarboxylation reactions. A third type of reaction involves electron withdrawal from the • ,• -carbons of amino acids. This sets the stage for hydride condensations or aldol reactions (e.g., the formation of • -aminolevulinic acid), the first step in heme biosynthesis.

The acid proton in this instance is derived from the phosphate group of pyridoxal 5'-phosphate. Before the elucidation of this important function, it was speculated that the association of vitamin B_6 with glycogen phosphorylase was primarily some type of storage mechanism. Indeed, muscle is a good source of vitamin B_6 , but its presence in muscle relates mostly to its role as a catalyst in glycogen hydrolysis.

b. Metabolism and Requirements

The requirement of vitamin B_6 by animals is positively related to their intake of protein and amino acids; however, vitamin B_6 deficiency is rarely seen in animals as most diets provide adequate amounts. Normally, B_6 is needed in amounts that range from 2 to 6 mg/kg diet. Ruminants and many herbivores meet a substantial part of their vitamin B_6 requirement from intestinal microbes. Administration of the tuberculostatic drug isoniazid induces a metabolic deficiency of vitamin B_6 .

As might be expected, the most important signs of B_6 deficiency relate to the inability to carry out normal amino acid metabolism. Neurological signs occur as a result of

the inability to synthesize important biogenic amines from amino acid precursors and anemia results from decreased heme synthesis. Under experimental conditions, some animals may show signs of oxaluria with long-term deficiencies in vitamin B_6 (Committee on Animal Nutrition, 2001b).

c. Determination of Vitamin B₆ Status

A number of tests have been used as an index of vitamin B_6 status. These include measurement of the activities of enzymes that require pyridoxal phosphate such as kynureninase and aminotransferases. However, the most sensitive methods involve the measurement of pyridoxal and pyridoxal phosphate in blood plasma. The relative ratio of these two forms and their response to dietary intake of pyridoxine depends on the species of animal.

3. Pantothenic Acid

a. Introduction

Pantothenic acid was first recognized as a growth factor for yeast and lactic acid bacteria in the 1930s. Later,

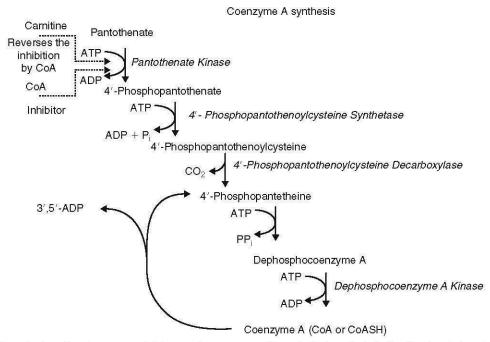


FIGURE 23-19 Pantothenic acid and coenzyme A. The most important control step in CoA synthesis is the phosphorylation of pantothenic acid to 4'-phosphopantothenic acid by pantothenic acid kinase. There is feedback regulation from CoA and carnitine reverses that inhibition. Most cells are able to conserve pantothenic acid by reutilizing 4'-phosphopantothenic acid.

Elvehjem, Jukes, and others demonstrated pantothenic acid to be essential for animals. Pantothenic acid is a component of coenzyme A (Fig. 23-19). Pantothenic acid (as a part of phosphopantetheine) is also present at the active site of acyl carrier protein (ACP), a component of the fatty acid synthesis complex. Both forms are present in foods. Consequently, absorbed pantothenic acid must first be released from coenzyme A and ACP, steps that involve the actions of peptidases and nucleosidases.

b. Absorption and Regulation

Intestinal phosphatases and nucleosidases are capable of very efficient hydrolysis of coenzyme A so that near quantitative release of pantothenic acid occurs as a normal part of digestion. In rats, pantothenic acid was initially found to be absorbed in all sections of the small intestine by simple diffusion (Rucker and Bauerly, 2007). However, subsequent work in rats and chicks indicated that at low concentrations, the vitamin is absorbed by a saturable, sodium-dependent transport mechanism (Rucker and Bauerly, 2007; Said, 2004). Further, the overall km for pantothenic acid intestinal uptake is 10 to 20 · m. At an intake of $\sim 20/30$ mg/kg diet as coenzyme A or pantetheine, a concentration typical of many foodstuffs, the pantothenic acid concentration in luminal fluid would be ~1 to 2• m. At this concentration, pantothenic acid does not saturate the transport system, and should be efficiently and actively absorbed. Pantothenic acid shares a common membrane transport system in the small intestine with another vitamin, biotin (Said, 2004). Following uptake, the maintenance of pantothenic acid cellular concentration depends on its incorporation into cellular CoASH and pantetheine. The most important control step in this process is the phosphorylation of pantothenic acid to 4'-phosphopantothenic acid by pantothenic acid kinase. At least four known enzyme isoforms serve as pantothenic acid kinases. They possess a broad pH optimum (between pH 6 and 9). The K_m for pantothenic acid in the liver enzyme of most animals is $\sim 20^{\circ}$ m. Mg-ATP is the nucleotide substrate for the phosphorylation reaction.

c. Metabolism Functions and Requirements

CoA is the principal moiety for the vectorial transport of acyl and acetyl groups in synthetic and catabolic reactions, and a deficiency is characterized by impaired acetyl and acyl metabolism. The ability to utilize fatty acids as fuels is compromised. There is also an increased production of short chain fatty acids and ketone bodies, which can lead to severe metabolic acidosis.

CoA is involved in a broad array of acetyl and acyl transfer reactions, which also includes carbohydrates and amino acids as cosubstrates, as well as processes related primarily to lipid oxidative metabolism and catabolism, whereas ACP is involved in mostly synthetic reactions involving primarily lipids and possibly amino acids. Protein acetylations and acylations are also key functions catalyzed with CoA as a cosubstrate in reactions. Aminoterminal acetylations occur cotranslationally and posttranslationally

When biotin-containing carboxylases are degraded, biotin is released as biocytin (Fig. 23-20). Biocytinase is an important liver enzyme that catalyzes the cleavage of the peptide linkage between biotin and lysine to release free biotin for reutilization. The biotin requirement in animals is relatively low (i.e., in the microgram per kilogram of diet range). Furthermore, biotin can also be produced by gut microflora and the biotin that is covalently attached to enzymes is reutilized.

Nevertheless, there can be nutritional problems associated with biotin status. Biotin and biocytin have affinity for certain proteins, particularly avidin in egg white. The use of raw eggs can cause biotin deficiency because of the association of biotin with avidin in uncooked eggs. The response in fur-bearing animals to ingestion of significant quantities of raw egg white has been described as "egg white injury." Native (nondenatured) avidin in eggs causes egg white injury because it binds tightly to biotin, preventing its absorption.

The relationship of biotin to avidin is important, particularly to industries that utilize fur-bearing animals for profit. It was subsequently found that egg white injury could be cured by a liver factor that was first called protective factor X and later determined to be biotin. Because biotin cured the skin disorder of egg white injury, it was called vitamin H (for haut, the German word for skin). Conditions that may increase biotin requirements in pregnancy, lactation, and therapies are the use of anticonvulsants or exposure to high concentrations of lipoic acid. Spontaneous biotin deficiency occurs rarely in animals because biotin is well distributed among foodstuffs, and a good part, if not all, of the requirement for the vitamin is met by microbial synthesis in the gut. As noted, the deficiency can, however, be induced by the inclusion of unheated (raw) egg white in the diet (Zempleni, 2005). For most monogastric animals, 50 to 100 g of biotin per 1000 kcal or \sim 0.2 to 0.4 mg per kilogram of diet is probably sufficient.

Biotin deficiency leads to impaired gluconeogenesis and impaired fat metabolism. Alopecia and dermatitis are characteristics of biotin deficiency in most animals and birds. Biotin deficiencies can also cause severe metabolic acidosis. The inability to carry out fat metabolism markedly affects the dermis in biotin-deficient animals. Unless there is an inborn error or genetic polymorphism involving one of the carboxylase enzymes, the likelihood of a biotin-related metabolic compromise or deficiency is low, except when uncooked egg white is the major protein source.

Biotin turnover and requirements can be estimated on the basis of (1) concentrations of biotin and metabolites in body fluids, (2) activities of biotin-dependent carboxylases, and (3) the urinary excretion of organic acids that are formed at increased rates if carboxylase activities are reduced. Urinary excretion of biotin and its metabolite, bisnorbiotin, activities of propionyl-CoA carboxylase and beta-methylcrotonyl-CoA carboxylase in lymphocytes, and urinary excretion of 3-hydroxyisovaleric acid are good indicators of marginal biotin deficiency.

2. Folic Acid and Vitamin B₁₂

a. Introduction

Knowledge regarding folic acid and B₁₂ evolved from efforts to better understand macrocytic anemias and certain degenerative neurological disorders (Scott, 1994). Combe, the Scottish physician, recognized in the early 1800s that a certain form of macrocytic anemia appears related to a disorder of the digestive organs. In classic studies by Minot and Murphy, Castle, and others, it became clearer that the disorder was associated with gastric secretions and in some cases could be reversed by consuming raw or lightly cooked liver. Through careful clinical investigations and inferences, Castle postulated the existence of an intrinsic factor in gastric juice, which appeared to combine with a dietary extrinsic factor to modulate the severity of the anemia (Goldblith and Joslyn, 1964).

In parallel studies, folic acid was also associated with macrocytic anemia. Large-scale efforts by a number of pharmaceutical companies throughout the 1940s and 1950s and careful clinical and basic studies at academic institutions eventually led to the isolation of folic acid and vitamin B_{12} .

b. Chemistry and Functions

The structures for folic acid and vitamin B_{12} are given in Figures 23-21 and 23-22. Folic acid is part of a family of compounds with a pteridine moiety. In the case of folic acid, the pteridine moiety is associated with aminobenzoic acid and glutamyl residues conjugated by a methylene bridge to para-aminobenzoic acid, which in turn is joined to glutamyl residues by a peptide linkage. Figure 23-21 presents an overview of one-carbon transfers involving tetrahydrofolate (THF) coenzymes and their metabolic origins. The reactions include the generation and utilization of formaldehyde and formimino groups in the synthesis of pyridine nucleotides, interconversion of some amino acids, and eventual reduction of the methylene form of THF to methyl THF to facilitate the conversion of homocysteine to methionine (Goldblith and Joslyn, 1964; Scott, 1994).

To set the stage for these conversions, folic acid must be in its completely reduced state. The reductions occur at positions 5, 6, 7, and 8 to form a tetrahydrofolic acid (THFA) (Fig. 23-21). The reduction brings the nitrogen at positions 5 and 10 closer together and changes electrochemical properties of both nitrogens, which facilitates the formation of the various THFA single carbon derivatives that are involved in the metabolic conversions shown in Figure 23-21. The formyl, methanyl, and methylene forms are utilized for purine synthesis and important steps in thymidylate (i.e., DNA-related) synthesis. These reactions are therefore of obvious importance and are essential to cell

FIGURE 23-21 Folic acid. Structures of oxidized and reduced tetrahydrofolic acid (THFA) are shown. The 5 and 10 positions of the molecule are highlighted because these sites are important to single-carbon transfer. Folic acid is found in foods as \cdot -linked polyglutamyl folic acid (n ranges from 3 to 5 units). In the intestine, \cdot -peptidases (also referred to as conjugases) cleave the polyglutamyl residues to the single glutamate (as shown for the oxidized structure of folic acid). Single carbon units can enter into THFA by a number of routes. Formimino groups can arise from glycine and histidine degradation. Cylization results in N^5 , N^{10} -methenyl THFA. Reduction of this product results in N^5 , N^{10} -methylene THFA. This product can also be derived directly from an aldol-type condensation reaction arising from the conversion of serine to glycine (see Fig. 24-17). This step represents a major source of single carbon units. Subsequently, all of the forms of methylated THFA may be ultimately reduced to N^3 -methyl THFA.

division and proliferation. As a final step, when 5-methyl THFA transfers its methyl moiety to vitamin B_{12} , one of the resulting products is the oxidized form of folic acid, which must be reduced to THFA to reinitiate the cycle.

With regard to vitamin B_{12} , the methylated form is also shown in Figure 23-22 and is the B_{12} cofactor utilized in the THFA-homocysteine transmethylase system. The other reactions involving vitamin B_{12} utilize B_{12} as deoxyadenosylcobalamin (Fig. 23-22). An example is methylmalonyl-CoA mutase. Without B_{12} , methylmalonic acid accumulates. Otherwise, methylmalonic acid is converted to succinyl-CoA for ultimate use as a metabolic fuel, a reaction that is essential for the eventual delivery of carbon from odd-chained fatty acids into the TCA cycle. This is an important process for animals, such as some herbivores and ruminants, which depend in part on odd-chain fatty acids as a source of gluconeogenic precursors.

c. Metabolism

The steps in absorption, transport, and the utilization of folic acid and vitamin B_{12} are more complex than for other

water-soluble vitamins (Said, 2004). In the case of the folic acid, the conjugated glutamyl residues must be removed for effective absorption (e.g., to monoglutamyl tetrahydrofolate) in the jejunum where it is absorbed. Next, folates enter plasma and are rapidly cleared by the liver and other organs. Biliary drainage results in a large enterohepatic circulation of folate (Scott, 1994).

Folic acid is found in circulation primarily as methyltetrahydrofolate. Thus, it may be assumed that reduction and methylation are essential steps in the eventual transfer of folic acid across cellular barriers and membranes. The enzymes found in the intestinal cells that carry out the hydrolysis of conjugated glutamyl residues are commonly referred to as conjugases. Specific serum transport proteins exist for folic acid and cellular uptake is by active processes (Said, 2004).

For B_{12} , the steps important to processing (Fig. 23-22) involve first the release of B_{12} from foods under acidic conditions; vitamin B_{12} then binds to proteins produced by cells of the gastric fundus (and also the pancreas and salivary gland in some species). Two proteins have been identified, which have been designated as R protein

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Cyanocobalamin

FIGURE 23-22 Cyanocobalamin. Commercial preparations of vitamin B_{12} usually have a cyano group coordinated with the cobalt (associated with the corrin ring of vitamin B_{12}). In an aqueous environment, the cyano group can be displaced by water for the eventual transfer of a methyl group (donated by N^5 -methyl-THFA) or an adenosyl moiety (from ATP). Methylated vitamin B_{12} serves as a cofactor for methionine synthetase (see Fig. 23-7). Adenosylated vitamin B_{12} serves as a cofactor for unusual isomerase reactions, such as the conversion of methylmalonyl CoA to succinyl CoA. A mechanism for this process is shown, which involves the redox of cobalt in the corrin ring of cobalamin ($Co^{+3} \leftrightarrow Co^{+2}$).

(and more recently as small-molecular-weight haptocorrin) and intrinsic factor (IF). Vitamin B_{12} first binds to R proteins and is apparently released in the intestinal lumen by the action of pancreatic and intestinal proteinases and

peptidases. Next, vitamin $\rm B_{12}$ associates with an intrinsic factor, a binding protein made in the stomach. The vitamin $\rm B_{12}$ -intrinsic factor complex then interacts with receptors on the intestinal brush border localized in the midgut

(i.e., ileum). In the small intestine, following uptake via the IF receptor, there is subsequent proteolytic release of B_{12} and binding to intracellular transcobalamin II (TcII). The TcII receptor then transports the TcII-VB $_{12}$ complex across the cell, whence it is released into the circulation. Vitamin B_{12} is transported in plasma by one of at least three known transport proteins: transcobalamin I, II, or III. The transcobalamins transport vitamin B_{12} to cells, where it is again transferred into targeted cells by endocytotic mechanisms (Selhub, 2002).

Interference with R protein or intrinsic factor production (e.g., as caused by inflammatory diseases affecting the ileum, or overproduction of intestinal microflora) can influence availability of vitamin B_{12} . With bacterial overproduction, there is competition between the host and bacteria for vitamin B_{12} and production of bacterial proteins that bind B_{12} and interact with its uptake. Gut bacteria can also be a source of B_{12} . Many animals obtain vitamin B_{12} through coprophagy. In ruminants, vitamin B_{12} is synthesized in ample quantities by ruminal bacteria.

d. Requirements and Deficiency

The requirements for folic acid range from 1 to 10mg per kilogram of diet for most animals. There are some conditions in which the folic acid requirements are conditionally high (e.g., when either natural or pharmacological folic acid agonists are present in the diet). With the discovery that THFA is required for DNA synthesis, a number of antimetabolites were developed starting in the 1950s and 1960s that function as inhibitors of folic acid reductase. The best example is methotrexate, which ultimately inhibits the proliferation and regeneration of rapidly replicating cells. Cell division is blocked in the S phase, because of impaired DNA synthesis. As a consequence, drugs such as methotrexate are widely used in cancer chemotherapy particularly for tumors of the lymphoreticular system (Baily, 2007; Scott, 1994; Selhub, 2002).

The requirement for vitamin B_{12} for most animals is in the 2 to 15• g per kilogram of diet range. Although deficiencies of folic acid and vitamin B_{12} are uncommon in free-ranging animals, diseases of the proximal duodenum or stomach and ileum and pancreatic insufficiency can affect folic acid and vitamin B_{12} absorption, respectively. Moreover, cobalt deficiency can result in vitamin B_{12} deficiency in ruminants because of the need for cobalt to vitamin B_{12} synthesis by rumen microorganisms.

Deficiencies of both vitamin B_{12} and folic acid include macrocytic anemia and dyssynchronies in growth and development owing to the importance of folic acid to purine and DNA synthesis (Bohnsack, 2004). Chronic deficiencies of either folic acid or B_{12} can also promote fatty liver disease and indirectly influence extracellular matrix maturation stability by causing abnormal elevations in homocysteine. Such signs and symptoms are attributable to both THFA

and B₁₂ deficiencies, because of the integral relationship of vitamin B₁₂ to THFA regeneration. Dietary intakes of folic acid, sufficient to maintain functional THFA levels, can mask the initial signs of vitamin B₁₂ deficiency (e.g., macrocytic and megaloblastic anemia). Prolonged vitamin B₁₂ deficiency can result in serious neurological disorders (e.g., degeneration of the myelin sheath). A number of environmental conditions can alter folate concentrations in foodstuffs (excessive heat, UV light). Storage of eggs for more than several weeks may depress hatchability and increase hematological abnormalities characteristic of mild macrocytic anemia. Such changes can result from deficiencies of folic acid. Regarding aging animals, malabsorption of B₁₂ can be a problem. Analogous to pernicious anemia in humans, an autoimmune disease that affects the gastric parietal cells, destruction of these cells also can occur in old animals. This curtails the production of intrinsic factor and subsequently limits vitamin B₁₂ absorption. This should be explored in aging animals with signs of macrocytic or megaloblastic anemia (Baily, 2007; Scott, 1994; Selhub, 2002).

In humans, it is also becoming clear that periconceptional folic acid at the suggested optimal levels of intake reduce the risk of neural tube and related developmental defects (NTDs). This has led to fortification of foods in many countries and policies for supplementation in others. However, some potential adverse effects, such as masking vitamin B_{12} deficiency, increasing twinning rates, or accelerating preexisting malignant neoplasms, have also been reported (Scott, 1994; Selhub, 2002).

e. Assessment of Vitamin ${\rm B}_{12}$ and Folate Status of Animals

An independent role of vitamin B₁₂ involvement in propionate metabolism and folate in histidine metabolism provides the basis for classical methods of assessment of clinical adequacy, independent of their mutual roles in methyl transfer. Vitamin B₁₂ is a component of the coenzyme for methylmalonyl-CoA mutase, which catalyses the conversion of L-methylmalonyl-CoA to succinyl-CoA. Although assays based on B₁₂ absorption (e.g., the Schilling's test) are utilized to assess the potential for B₁₂ deficiency in humans, B₁₂ assessments in animals most often involve administration of a loading dose of valine (1g/kg body weight). L-valine is a precursor of methylmalonyl-CoA, which is excreted in excess the urine of a vitamin B₁₂-eficient animal in that it is not converted to succinyl-CoA. Similarly in histidine metabolism THF is required for the removal of the formimino group from formimino glutamic acid. In the folate-deficient animal given a loading dose of histidine (0.2 g/kg body weight), there is enhanced urinary excretion of unchanged formiminoglutamic acid.

Serum folate and cobalamin concentrations are also commonly used to access status in clinical practice.

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V. VITAMIN-LIKE COMPOUNDS

A. Lipotropic Factors

Nutritional requirements exist for a number of compounds at specific periods in development, particularly neonatal development, and periods of rapid growth. These compounds typically perform specialized transport functions particularly in relation to fatty acids. Apart from specific amino acids such as methionine and glycine in feathered animals, examples include choline, inositol, and carnitine.

1. Choline

Choline is particularly noteworthy because it plays a key role in methyl group metabolism, carcinogenesis, and lipid transport as a component of lecithin (Garrow, 2007). Choline is normally produced in sufficient amounts; however, in young growing animals, a positive growth response can occur upon addition of choline, commercially available as trimethyl hydroxyethyl ammonium chloride or as the bitartrate. Choline is generally added to diets to reduce the need for activated methyl groups supplied by methionine. It is more economical to add choline for these methyl groups than to add methionine.

Choline is one of the precursors of acetylcholine. Choline is also a component of sphingomyelin and lecithin. Formation of betaine from choline provides important sources of labile methyl groups for transmethylation reactions. Choline can also be synthesized *de novo* from ethanolamine, when methionine or dimethylcysteine, or betaine is in adequate supply. The most abundant source of choline in the diet is lecithin. The primary sign of choline deficiency is fatty liver. In monkeys, dogs, cats, and rats, it has also been shown that prolonged choline deficiency results in cirrhosis. In mice and rats, prolonged deficiency ultimately results in hepatocellular cancer, a unique example of nutrition deficiency resulting in neoplasm. Five hundred to 1000 mg of choline are often added per kilogram of diet (Garrow, 2007).

2. Inositol

Inositol is also a component of phospholipids and, similar to choline, results in a fatty liver, if insufficient in supply (Holub, 1986, 1992). Inositol is synthesized from glucose-6-phosphate after cyclization. In some animals, particularly gerbils and hamsters, there is a nutritional need for inositol when they are given diets containing coconut oil. Myoinositol is plentiful in foodstuffs. The estimated daily intake for large animals can be as high as 1 or 2g per day. Inositol is particularly important in cellular signal transduction and phospholipid assembly. Plasma levels of inositol are increased during renal disease and nephrectomy. The presence of myoinositol hexabisphosphate (InsP6) in biological fluids (blood, urine, saliva, interstitial fluid) of animals has

been clearly demonstrated. The existence of intracellular InsP6 in mammalian cells has also been established. A relationship between InsP6 ingestion and the InsP6 distribution in various tissues exists. Whereas intracellular inositol depends on endogenous synthesis, depletion of extracellular InsP6 occurs at high rates when InsP6-poor diets are consumed. Consequently, there are probably health benefits that are linked to dietary inositol and InsP6 intake. The suggestion that inositol is important in young animals came from studies carried out throughout the 1970s and 1980s. In particular, it was noted that female gerbils fed a diet containing high coconut oil (relatively saturated) develop an intestinal lipodystrophy that is not seen in animals fed a diet containing 20% safflower oil (relatively unsaturated) or a diet of 20% coconut oil supplemented with 0.1% inositol. The level of inositol in the intestinal tissue of animals fed the coconut oil diet not supplemented with inositol has been shown to decrease. Clearance of lipid (i.e., resolution of the lipodystrophy) was dependent on inositol (Holub, 1986, 1992).

3. Carnitine

Oxidation of fatty acids requires their transportation from the cytosol into the mitochondrial matrix where they undergo · -oxidation. Carnitine plays a major role in this transport process by accepting activated fatty acids at the outer mitochondrial membrane. Carnitine comes both from the diet and synthesis from lysine by a process that is ascorbic acid dependent. These steps are not carried out efficiently in some newborns. Given the importance of carnitine to · -oxidation of long-chain fatty acids, carnitine deficiency can have profound effects on lipid utilization. An inherited carnitine deficiency has been recognized in some dogs such as the boxer (Keene, 1991; Keene et al., 1991; Kittleson et al., 1997; Mc Entee et al., 2001). Moreover, American cocker spaniels that are taurine deficient have been shown to be responsive to a combination of taurine and carnitine supplementation (Kittleson et al., 1997).

Meats and dairy products in contrast to plant foods are good sources of carnitine. Cereal grains besides being low in carnitine are also generally low in the precursors of carnitine: lysine and methionine. Drugs, such as mildronate (3-(2, 2, 2, -trimethylhydrazinium) propionate) can also lower carnitine levels and inhibit synthesis. Mildronate is a butyrobetaine analogue that is known to inhibit gammabutyrobetaine hydroxylase, the enzyme catalyzing the last step of carnitine biosynthesis. In humans, mildronate is used to ameliorate cardiac function during ischemia by modulating myocardial fatty acid oxidation to the more favorable glucose oxidation. When given to pregnant animals, carnitine levels increase in the milk. Correspondingly, an increase in triglyceride levels is observed in liver, heart, and muscle of mildronate pups (i.e., biochemical modifications compatible with a carnitine deficiency status).

4. Taurine

Although taurine is not generally considered a vitamin, the requirement for taurine by some animals is of the similar order as choline. Taurine, 2-aminoethanesulfonic acid, is present in all animal tissues and is one of the principal free amino acids. Some tissues such as the retina, olfactory bulb, and granulocytes have particularly high concentrations of taurine. Many animals use taurine or glycine as a conjugate for the bile acids. Some of the taurine excreted in the bile is returned to the liver in the enterohepatic circulation (MacDonald et al., 1984). Most animals can synthesize adequate amounts of taurine from the oxidation of cysteine; however, some animals, particularly domesticated and wild felids, and human infants do not synthesize adequate amounts of taurine. Dogs normally synthesize adequate amounts of taurine; however, when they are given low-sulfur amino acid diets, taurine may become limiting. Taurine deficiency occurs in cats when the diet does not contain adequate amounts of the amino acid. Defective synthesis in cats is a result of low activity of two enzymes in the synthetic pathway: cysteine dioxygenase and cysteine sulfinic acid decarboxylase and an obligatory requirement for taurine to conjugate bile acids. A wide array of clinical signs has been described in taurine-deficient cats including central retinal degeneration, reversible dilated cardiomyopathy, reproductive failure in queens, teratogenic defects, and abnormal brain development in kittens (MacDonald et al., 1984).

Dietary concentrations of taurine required to maintain adequate levels in plasma and whole blood of cats are a function of type of diet, which affects the degree of microbial degradation that occurs in the enterohepatic circulation. For most expanded diets 1g taurine/kg is adequate, but canned diets may require concentrations up to 2.5 g taurine/kg dry matter.

Plasma and whole blood concentrations of 40 and 300• M of taurine appear to be adequate in cats for reproduction, which is the most demanding physiological state for taurine (MacDonald *et al.*, 1984).

B. Other Vitamin-Like Compounds

The following compounds are highlighted because of their known role as coenzymes in prokaryotes and potential role as probiotics (growth- promoting substances) in higher animals. These compounds include queuosine coenzyme Q, pteridines (other than folic acid), such as biopterin and the pteridine cofactor for the Mo-Fe flavoproteins, lipoic acid, and pyrroloquinoline quinone (PQQ).

1. Queuosine

Queuosine is included because it represents a known and novel product arising from a microbe-host interaction. Queuine is the nucleoside base, which is modified to queuosine. Queuosine resembles guanidine and is preferably utilized in some t-RNAs. The importance of this interaction has yet to be fully understood. Germ-free animals survive without a source of queuine or queuosine (Farkas, 1980).

2. Coenzyme Q

Although claims have been made for a nutrition requirement for coenzyme Q, more work is needed to fully clarify a true nutritional role for this compound. Ubiquinone or coenzyme Q is found in mitochondria. Coenzyme Q is structurally similar to vitamins E and K. As a quinone, coenzyme Q is ideally suited to interact with cytochromes to affect the flow of electrons in the mitochondrial respiratory chain. Coenzyme Q can be synthesized and is easily absorbed from the intestine by the same route as other fatsoluble vitamins. However, there is no known requirement for coenzyme Q in higher animals.

Of the lipophilic substances with redox cycling capacity, the ubiquinones (coenzyme Q) are a group of ubiquitous 2,3-dimethoxy-5-methyl benzoquinones substituted at the position 6 with terpenoid chains of varying lengths. In mitochondria, coenzyme Q causes two electron processes and helps initiate two single electron transfers through semi-quinone intermediates. Coenzyme Q is found mainly in the mitochondrial intermembrane. Although there is no apparent dietary requirement, coenzyme Q is present in food and promoted for various putative health benefits. Coenzyme Q that is absorbed from the intestine is transported by the same transport system as vitamin E and vitamin K.

3. Pteridines

In animals, tetrahydrobiopterin (commonly abbreviated BH_4) is an important redox cofactor, best known for its role at the catalytic site for phenylalanine and tyrosine hydroxylases. Tetrahydrobiopterin is made in sufficient quantities from pathways related to guanine synthesis. A related cofactor is the molybdenum cofactor, also in the pterin family, a cofactor for xanthine oxidase and aldehyde oxidase (important to purine metabolism) and sulfite oxidase (important in sulfur amino acid metabolism; see the Molybdenum section in Chapter 22).

4. Lipoic Acid

Lipoic acid (LA) is made in the liver of most animals. This coenzyme is linked by amide linkage to lysyl residues within transacetylases (Fuchs and Zimmer, 1997). Lipoyl moieties functions in the transfer of electrons and activated acyl groups from the thiazole-moiety of thiamin pyrophosphate to CoASH. In this process, the disulfide bond is broken and dihydrolipoyl transiently generated. Reoxidation is required to reinitiate this cycle. Although most reactions in biological systems may be described as nucleophilic in

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nature, reactions involving oxidized lipoic acid involve electrophilic mechanisms owing to the oxidized state of the two sulfurs in lipoic acids.

Reduction of oxidative stress by LA supplementation has been demonstrated in animal models (Fuchs and Zimmer, 1997). To determine how normal development or pathological conditions are affected by genetic alterations in the ability of mammalian cells to synthesize LA and whether dietary LA can circumvent its endogenous absence, mice deficient in lipoic acid synthase (Lias) have been generated. Mice heterozygous for disruption of the Lias gene develop normally, and their plasma levels of thiobarbituric acid-reactive substances do not differ from those of wild-type mice. However, the heterozygotes have significantly reduced erythrocyte glutathione levels, indicating that their endogenous antioxidant capacity is lower than those of wild-type mice. Homozygous embryos die by day 8 to 12 of gestation. Of nutritional interest, supplementing the diet of heterozygous mothers with LA (1.65 g/ kg of body weight) during pregnancy fails to prevent the prenatal deaths of homozygous embryos. Apparently, an endogenous LA synthesis is essential for developmental survival and cannot be replaced by LA in maternal tissues and blood via the diet (Fuchs and Zimmer, 1997).

5. Pyrrologuinoline Quinone

Pyrroloquinoline quinone (PQQ) is a cofactor that was originally isolated from methylotrophic bacteria. PQQ is utilized in bacteria as a redox cycling cofactor. It has been shown to be present in mammalian tissue; however, its primary function is still not clear, although recent evidence suggests that gestational deficiency affects mitochondriogenesis (Steinberg *et al.*, 1994, 2003; Stites *et al.*, 2000a, 2000b, 2006). PQQ is a growth stimulant in mammals fed chemically defined diets, particularly in mice. If there is a requirement for PQQ, however, it is yet to be established. The growth response in neonates is most obvious in offspring from dams that have been nutritionally deprived of PQQ throughout their adult lives.

VI. CONCLUDING REMARKS

Vitamins evolved to serve unique and complex roles: as cofactors, as signaling agents in cells, as regulators of gene expression, and as redox and free-radical quenching agents. All natural vitamins are organic food substances found only in living things. With few exceptions, the body cannot manufacture or synthesize vitamins. They must be supplied in the diet. As is the case for any substance that is essential to a given function, all vitamins at some point in development can be viewed as limiting nutrients, the absence of which results in specific deficiency signs and symptoms.

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Lysosomal Storage Diseases

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I. LYSOSOMAL BIOLOGY

II. LYSOSOMAL STORAGE DISEASES (LSDs)

III. PATHOGENESIS

IV. CLINICAL SIGNS

V. DIAGNOSIS

VI. THERAPY

A. ERT

B. BMT

C. Gene Therapy

REFERENCES

I. LYSOSOMAL BIOLOGY

In 1955, de Duve *et al.* named the cytoplasmic particles that contain a series of hydrolytic enzymes lytic bodies, or "lysosomes." These organelles have a single lipoprotein membrane and contain several dozen different acid hydrolase enzymes (Holtzman, 1989), which typically catalyze catabolic reactions A-B + H_2O \rightarrow A-H + B-OH, optimally at acid pH. Lysosomes and their "housekeeping" enzymes degrade many substrates that are found in all nucleated mammalian cells. Deficiencies of these enzymes lead to lysosomal accumulation of their substrates, thereby causing lysosomal storage disease (LSDs), many of which have been discovered and characterized in domestic animals.

In normal cells, most lysosomal hydrolases are synthesized as preproenzymes on rough endoplasmic reticulum (ER) ribosomes. Through a signal-recognition particle complex, the enzymes are translocated into the lumen of the ER where high mannose oligosaccharides are added (Fig. 24-1; reviewed in Kornfeld and Sly [2001]). These oligosaccharides are trimmed, and the glycoprotein moves to the Golgi apparatus where further shortening occurs. Further posttranslational modification results from the action of two enzymes that add a mannose 6-phosphate

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(M6P) marker. Deficiency in activity of these transferases can result in unique forms of LSD (e.g., mucolipidosis II in domestic shorthair cats). The M6P moiety can be recognized by two similar integral membrane glycoprotein receptors, which transfer the enzyme to the lysosome. These two receptors are (1) small and cation dependent for binding and (2) large and cation independent, which in some species also bind insulin-like growth factor II. Both receptors appear responsible for the transport of the enzymes from the Golgi apparatus via clathrin-coated vesicles to the prelysosomal/endosomal compartment. Once the lysosomal enzymes dissociate, the receptors recycle to the Golgi apparatus.

A proportion of the M6P modified enzyme in the Golgi may also leave the cell via secretory granules (Fig. 24-1). The secreted enzymes can then move from the extracellular space into the circulation. Different enzymes appear to be secreted from cells in varied amounts (Dobrenis *et al.*, 1994). Thus, the level of activity in serum of any particular enzyme is related to how much is secreted and its stability at plasma pH. Secreted enzymes can ultimately reach the lysosome of other cells because the cation-independent receptor is present in the plasma membrane on many cells (Distler *et al.*, 1979; Kaplan *et al.*, 1977; Natowicz *et al.*, 1979). Thus, enzymes that connect with this receptor can be internalized and transferred to lysosomes. This pathway provides the mechanism for therapy for lysosomal storage diseases discussed later.

Although posttranslational glycosylation is common to most lysosomal enzymes, other modifications or activator proteins are necessary for the function of a subset of the hydrolases. For example, the lysosomal sulfatases (17 in humans; 14 in rodents) undergo an additional posttranslational modification by sulfatase modifying factor 1 (SUMF-1), which converts a cysteine residue into C (alpha)-formylglycine (FGly) at the active site (Dierks et al., 2005; Preusser-Kunze et al., 2005). The absence

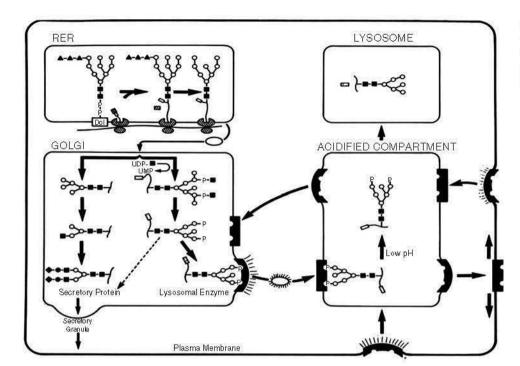


FIGURE 24-1 Schematic diagram of how lysosomal enzymes are processed and delivered to the lysosome. From Kornfeld, 1987, with permission.

of this conversion results in multiple sulfatase deficiency. A second factor, SUMF-2, which is also part of this system, apparently down-regulates SUMF-1 activity (Zito et al., 2005). The degradation of sphingolipids with short hydrophilic head groups requires sphingolipid activator proteins (SAPs), which are small, nonenzymatic glycoproteins (reviewed in Sandhoff et al., 2001). Deficiency in activity of SAPs is also known to cause lysosomal storage diseases.

Lysosomes degrade large complex substrates that have been taken into a cell by endocytosis or autophagy (the degradation/turnover of a cell's own molecules). The endosome containing the substrates fuses with a primary lysosome, producing a secondary lysosome, which contains the mixture of hydrolases and substrates. Degradation of most substrates occurs by the activity of a cascade of hydrolases, each step requiring the action of the previous hydrolase to modify the substrate, thereby permitting catabolism to proceed to the next enzyme step in the pathway. If one step in the process fails, further degradation ceases. For example, the glycosaminoglycans (GAGs), formerly known as mucopolysaccharides, are long molecules of repeating subunits and are, as part of proteoglycans, a component of the ground substance of the extracellular matrix. Figure 24-2 illustrates the series of hydrolases that are responsible for the sequential stepwise degradation of one of the glycosaminoglycans, dermatan sulfate. Each of the enzymes in this pathway has been described as deficient in a domestic animal causing different mucopolysaccharidosis.

II. LYSOSOMAL STORAGE DISEASES (LSDs)

The LSDs are defined as a group of individually rare genetic disorders of cellular catabolism involving the lysosome. The earliest detailed clinical reports of an LSD were in humans by Tay (1881) and Sachs (1887). Eight decades later, the stored material in "Tay-Sachs disease" was defined as GM2 ganglioside (Svennerholm, 1962); 7 years later, the enzyme that is deficient in activity (beta-hexosaminidase A) was identified (Okada and O'Brien, 1969; Sandhoff, 1969). Isolation and sequencing of the cDNA coding for the alpha subunit of beta-hexosaminidase A was reported 15 years later (Korneluk et al., 1986; Myerowitz and Proia, 1984) and was quickly followed by the identification of the first of more than 50 mutations responsible for Tay-Sachs disease (Myerowitz and Hogikyan, 1986, 1987) and sequencing of the entire gene (Proia and Soravia, 1987). Similar rapid progress has been made in identifying and characterizing the molecular bases of all lysosomal diseases since the 1980s.

LSDs are inherited as autosomal recessive traits (except MPS II, which is X-linked) and result from mutations in the coding sequence of one of the acid hydrolases located in the lysosome. Point mutations, deletions, insertions, and other alterations in sequence may occur anywhere along the length of DNA coding the enzyme protein. Each individual alteration will produce a unique change in the protein affecting structure, stability, and function. Thus, these

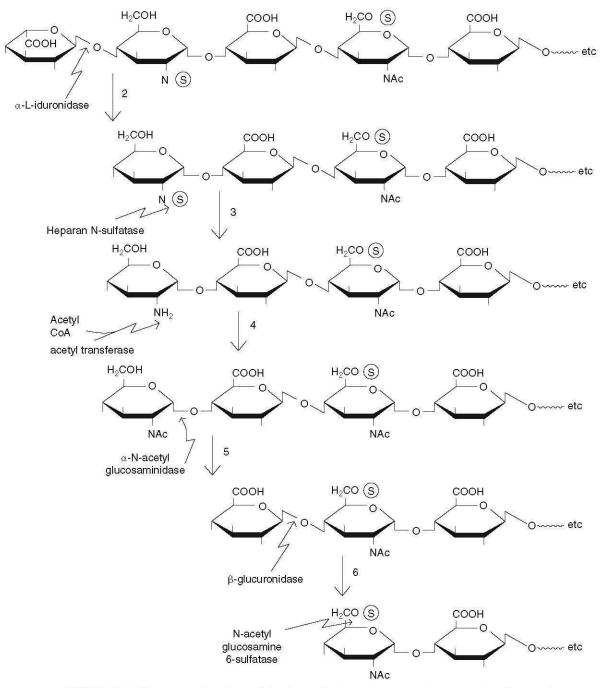


FIGURE 24-2 The stepwise degradation of the glycosaminoglycan dermatan sulfate by a series of lysosomal enzymes, all of which have been determined to be deficient in activity in domestic animals. From Neufeld and Muenzer (1995), with permission.

genetic abnormalities result in the reduction or elimination of the catalytic activity of the particular enzyme. This, in turn, results in the accumulation within the lysosome of the substrate of that enzyme (Fig. 24-3), hence the name LSD. In many LSDs, the reduction in the amount of product of the metabolic pathway does not appear to produce disease. However, the storage of cholesterol in Niemann-Pick type C disease may result in a downstream deficiency

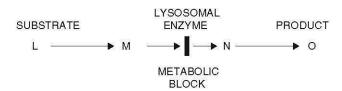


FIGURE 24-3 The reduction in catalytic activity of the enzyme, which converts M to N, results in the accumulation of M within the lysosome.

Disease	Deficient enzyme	Species & selected References
Ceroid lipofuscinosis	Cathepsin D CLN2 (TTP1) CLN5 CLN6 CLN8 Undefined	American bulldogs (Awano et al., 2006b), sheep (Tyynela et al., 2000) Dachshund (Awano et al., 2006a) Border collie (Melville et al., 2005) Mice (Wheeler et al., 2002), Merino and Hampshire sheep (Broom et al., 1998; Cook et al. 2002) English setter dog (Katz et al., 2005) 18 breeds of dog including Labrador retriever (Rossmeisl et al., 2003), cocker spaniel (Minatel et al., 2000), miniature schnauzer (Jolly et al., 1997). Polish Owczareed Nizinny (Narfstrom and Wrigstad, 1995), Tibetan terrier (Ris et al., 1992), Australian cattle (Sisk et al., 1990), dalmatian (Goebel et al., 1988), blue heeler (Cho et al., 1986), Saluki (Appleby et al., 1982); Holstein cattle (Hafner et al., 2005); domestic cat (Weissenbock and Rossel, 1997)
Fucosidosis	Alpha-fucosidase	English springer spaniel dog (Friend et al., 1985; Healy et al., 1984; Kelly et al., 1983; Skelly et al., 1996, 1999; Smith et al., 1996)
Galactosylceramide lipidosis (globoid cell leukodystrophy, Krabbe disease)	Galactosylceramidase (galactocerebroside beta-galactosidase)	Cairn terrier dog (Austin et al., 1968; Fankhauser et al., 1963; Fletcher and Kurtz, 1972; Fletcher et al., 1966, 1971; Hirth and Nielsen, 1967; Howell and Palmer, 1971; McGrath et al., 1968; Suzuki et al., 1970, 1974) West Highland white terrier dog (Fankhauser et al., 1963; Fletcher and Kurtz, 1972; Fletcher et al., 1971; Jortner and Jonas, 1968) Dorset sheep (Pritchard et al., 1980) Twitcher mouse (Duchen et al., 1980; Kobayashi et al., 1980) Domestic shorthair cat (Johnson, 1970) Miniature poodle dog (Suzuki et al., 1974) Beagle dog (Johnson et al., 1975) Blue tick hound dog (Boysen et al., 1974) Irish setter dog (Wenger et al., 2001) Rhesus monkey (Luzi et al., 1997)
Glucocerebrosidosis (Gaucher disease)	Acid beta-glucosidase (Glucocerebrosidase)	Sydney silky terrier dog (Farrow et al., 1982; Hartley and Blakemore, 1973; Van De Water et al., 1979) Sheep (Laws and Saal, 1968) Pig (Sandison and Anderson, 1970)
Glycogen stotage disease II (Pompe disease)	Acid alpha-glucosidase	Lapland dog (Mostafa, 1970; Walvoort et al., 1982, 1984, 1985) Domestic shorthair cat (Sandstrom et al., 1969) Corriedale sheep (Manktelow and Hartley, 1975) Shorthorn cattle (Howell et al., 1981; Jolly et al., 1977) Brahman cattle (O'Sullivan et al., 1981; Wisselaar et al., 1993) Iapanese quail (Fujita et al., 1991; Higuchi et al., 1987; Nunoya et al., 1983; Suhara et al., 1989)
G _{MI} Gangliosidosis	Beta-galactosidase	Siamese cat (Baker et al., 1971; Farrell et al., 1973; Handa and Yamakawa, 1971; Holmes and O'Brien, 1978a, 1978b) Domestic shorthair cat (Blakemore, 1972; Purpura and Baker, 1976, 1978; Purpura et al., 1978) Korat cat (Baker et al., 1976; Martin et al., 2004) Beagle mix dog (Alroy et al., 1985; Read et al., 1976; Rittmann et al., 1980; Rodriguez et al. 1982) Springer spaniel dog (Alroy et al., 1985, 1992; Kaye et al., 1992) Portuguese water dog (Alroy et al., 1992; Saunders et al., 1988; Shell et al., 1989) Frisian cattle (Donnelly et al., 1973a, 1973b) Suffolk sheep (Murnane et al., 1991a, 1991b, 1994) Sheep (Ahern-Rindell et al., 1988a, 1988b, 1989)
G _{M2} gangliosidosis (Tay-Sachs disease) G _{M2} gangliosidosis (Sandhoff disease)	Beta-hexosaminidase A Beta-hexosaminidase A and B	American flamingo (Kolodny et al., 2006) Domestic cat (Cork et al., 1977, 1978; Walkley et al., 1990) Korat cat (Muldoon et al., 1994; Neuwelt et al., 1985) Japanese spaniel dog (Cummings et al., 1985; Ishikawa et al., 1987) Yorkshire pig (Kosanke et al., 1978, 1979; Pierce et al., 1976; Read and Bridges, 1968) German short-haired pointer dog (Bernheimer and Karbe, 1970; Gambetti et al., 1970; Karbe, 1973; Karbe and Schiefer, 1967; McGrath et al., 1968; Singer and Cork, 1989) Muntjak deer (Fox et al., 1999)

Mucolipidosis II (I-cell disease)	N-acetylglucosamine- 1-phosphotransferase	Domestic shorthair cat (Bosshard et al., 1996; Giger et al., 2006; Hubler et al., 1996)
Alpha-mannosidosis	Alpha-mannosidase	Persian cat (Burditt et al., 1980; Castagnaro, 1990; Cummings et al., 1988; Jezyk et al., 1986; Maenhout et al., 1988; Raghavan et al., 1988; Warren et al., 1986) Angus and Murray gray cattle (Hocking et al., 1972; Jolly, 1971, 1974, 1975, 1978; Jolly et al., 1973, 1974; Phillips et al., 1974) Galloway cattle (Embury and Jerrett, 1985) Guinea pig (Crawley et al., 1999)
Beta-mannosidosis	Beta-mannosidase	Anglo-Nubian goat (Jones et al., 1983; Jones and Dawson, 1981; Kumar et al., 1986; Lovell and Jones, 1983) Saler cattle (Abbitt et al., 1991; Bryan et al., 1993; Healy et al., 1992; Patterson et al., 1991)
Mucopolysaccharidosis I (Hurler, Scheie, and Hurler/Scheie syndromes)	Alpha-L-iduronidase	Domestic shorthair cat (Abbitt et al., 1991; Bryan et al., 1993; Haskins et al., 1979a, 1979b Haskins and McGrath, 1983; Healy et al., 1992; Patterson et al., 1991) Plott hound dog (Menon et al., 1992; Shull and Hastings, 1985; Shull et al., 1982, 1984; Spellacy et al., 1983; Stoltzfus et al., 1992) Rottweiler dog (Giger, personal communication)
Mucopolysaccharidosis II (Hunter Syndrome)	Iduronate sulfatase	Labrador retriever dog (Prieur et al., 1995)
Mucopolysaccharidosis III A (Sanfilippo A syndrome)	Heparan N-sulfatase	Wirehaired dachshund dog (Aronovich et al., 2001; Fischer et al., 1998) New Zealand huntaway dog (Jolly et al., 2000; Yogalingam et al., 2002) Mouse (Bhaumik et al., 1999)
Mucopolysaccharidosis III B (Sanfilippo B syndrome)	Alpha-N-acetyl- glucosaminidase	Skipperke dog (Ellinwood et al., 2001, 2002, 2003) Emu (Giger et al., 1997)
Mucopolysaccharidosis III D (Sanfilippo D syndrome)	N-acetylglucosamine 6-sulfatase	Nubian goat (Friderici et al., 1995; Thompson et al., 1992)
Mucopolysaccharidosis VI (Maroteaux-Lamy syndrome)	N-acetylglucosamine 4-sulfatase (arylsulfatase B)	Siamese cat (Cowell et al., 1976; Di Natale et al., 1992; Haskins et al., 1979c, 1981; Jezyk et al., 1977) Domestic short-haired cat (Giger, personal communication) Miniature pinscher dog (Berman et al., 2004; Foureman et al., 2004; Neer et al., 1992, 1995) Welsh corgi dog (Giger, personal communication) Miniature schnauzer dog (Berman et al., 2004), Chesapeake Bay retriever dog and dachshund (Giger, personal communication) Rat (Yoshida et al., 1993, 1994)
Mucopolysaccharidosis VII (Sly disease)	Beta-glucuronidase	German shepherd (Haskins et al., 1984; Schuchman et al., 1989; Silverstein Domrowski et al., 2004) GUS mouse (Birkenmeier et al., 1989; Sands and Birkenmeier, 1993; Vogler et al., 1990) Domestic shorthair cat (Fyfe et al., 1999; Gitzelmann et al., 1994)
Sphingomyelinosis A and B (Niemann-Pick A and B diseases)	Acid sphingomyelinase	Siamese cat (Chrisp et al., 1970; Snyder et al., 1982; Wenger et al., 1980; Yamagami et al., 1989) Miniature poodle dog (Bundza et al., 1979)
Sphingomyelinosis C (Niemann-Pick C disease)	NPC1	Domestic cat (Bundza et al., 1979) Mouse (Loftus et al., 1997)

of neurosteroids (Griffin et al., 2004; Mellon et al., 2004). LSDs are classified by the primary substrates that accumulate and are defined by the individual enzyme that is deficient in activity. For example, the mucopolysaccharidoses (MPSs) are a group of diseases resulting from defective catabolism of GAGs (previously mucopolysaccharides). Each of the MPSs is caused by impaired function of one of 12 enzymes required for normal GAG degradation. In humans, these disorders were initially defined by clinical phenotype then by the particular GAGs (heparan, dermatan,

chondroitin, keratan sulfates) present in the patient's urine. Now, in addition to defining the diseases by the specific enzyme deficiency, many are subdivided by the particular mutation in the coding sequence of the gene responsible for the defect.

Different mutations of the same gene may produce similar diseases or somewhat varied degrees of disease as is seen in humans with MPS IH (Hurler) and MPS IS (Scheie), with and without CNS disease, respectively (Neufeld and Meunzer, 2001), and in cats with MPS VI

(Crawley et al., 1998). Affected individuals can be either homozygous for the same mutation in both alleles (typical of most LSDs in animals) or heteroallelic (having one mutation in the allele on one chromosome and a different mutation in the allele on the other chromosome [Crawley et al., 1998], common in humans with LSDs). In addition, if the substrates being stored in different diseases have similar pathological effects, defects in different lysosomal enzymes may produce similar diseases, as has been described in humans and animals with MPS III A-D (Aronovich et al., 2001; Bhaumik et al., 1999; Ellinwood et al., 2003; Fischer et al., 1998; Jones et al., 1998; Neufeld and Meunzer, 2001; Yogalingam et al., 2002). Furthermore, it is now recognized that the expression of lysosomal genes, similar to other inborn errors of metabolism, is also influenced by other (modifying) genes and the environment, which explains the phenotypic variation in animals homozygous for the same mutation. Finally, the clinical features and disease course of animals with all types of LSDs closely resemble their human counterparts.

Animals of several species were diagnosed clinically and pathologically as having an LSD before recognizing that the group of diseases were caused by deficiencies in hydrolase activity. Because of the distinctive central and peripheral nervous system lesions, the first of these diseases to be described was globoid cell leukodystrophy in Cairn and West highland white terriers (Fankhauser et al., 1963). These two related dog breeds (primarily a color variation) are now known to have the same mutation in the gene coding for galactosylceramidase (Victoria et al., 1996), which apparently originated in the 19th century from an ancestor common to these two breeds that diverged around the beginning of the 20th century. The first definitive discovery of an enzyme deficiency in a nonhuman mammal was GM1 gangliosidosis in a Siamese cat by Baker and colleagues in 1971 (Baker et al., 1971). Since then, naturally occurring LSDs defined by a deficiency in lysosomal enzyme activity have been recognized in cats, cattle, dogs, goats, mice, pigs, rats, horses, sheep, and two avian species, emus and flamingos (Table 24-1).

Additional storage diseases do not involve lysosomal enzymes and, thus, are not strictly LSDs, but some have been included in Table 24-1. These include glycogen storage disease IV Niemann-Pick disease C, and ceroid lipofuscinoses. Many mouse models of LSDs have been created by gene knockout technology, but have not been included in Table 24-1. In creating murine knockouts, the phenotype has ranged from essentially the same as in humans, to no disease, to being fatal soon after birth. New knockout models of LSDs will continue to be created in mice to learn more about the pathogenesis of these debilitating disorders and to evaluate therapy. However, companion animals appear often to be better disease homologues and are important to translating novel therapies to humans.

III. PATHOGENESIS

In LSDs, the continued presentation of substrates to the cell and their lack of degradation result in their storage and swelling of the lysosomes. By electron microscopy, lysosomes within the cytoplasm can be seen as membranebound inclusions containing the stored substrate (Fig. 24-4). As the lysosomes become larger, they can be seen with light microscopy (Fig. 24-5). However, in some LSDs, the accumulated substrate may be lost during tissue processing, leaving empty vacuolar artifacts. The accumulation of the primary substrate for a particular enzyme pathway may also interfere with other lysosomal hydrolases necessary for different catabolic pathways (Kint et al., 1973), thereby leading to the secondary accumulation of additional substrates. As more substrates accumulate, the lysosomes occupy more of the cytoplasm (Fig. 24-6). This increase in the number and size of lysosomes may obscure the other

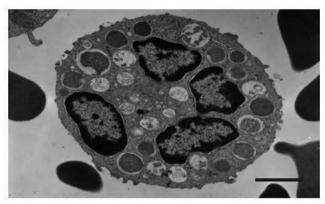


FIGURE 24-4 An electron micrograph of a polymorphonuclear leukocyte from a cat with MPS VI showing the enlarged lysosomes containing granular material (dermatan sulfate). B ar = 1u.

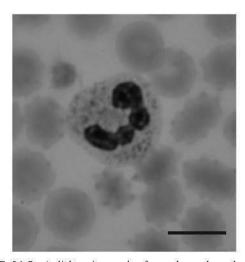


FIGURE 24-5 A light micrograph of a polymorphonuclear leukocyte from a dog with MPS VII showing the cytoplasmic granules, which represent the lysosomes containing GAG, which stain metachromatically with toluidine blue. Bar = 10um.

cellular organelles and may deform the nuclear outline. As the process continues, the affected cells enlarge, which is one cause of organomegaly. Just as with the CNS, cartilage, and bone, pathophysiology is probably not solely related to the increase in the cell, tissue, or organ size. The storage of GAGs within the mitral heart valve causes the normally fusiform cells to become rounded (Fig. 24-6). This, in turn, causes the valve leaflet and cordae tendinea to become thick (Fig. 24-7), interfering with normal valve function and producing mitral regurgitation. Similarly, storage within the cells of the cornea (Fig. 24-8) results in reflection and refraction of light, producing the cloudiness observed grossly and by ophthalmoscopy (Fig. 24-9). However, in the cornea there is also an abnormality in collagen biosynthesis resulting in larger fibrils that are more widely spaced than normal (Alroy et al., 1999), and the cornea of the MPS VI cat, rather than being thicker because of increased cell size, is thinner than normal (Aguirre et al., 1992).

In many LSDs, the CNS contains swollen neurons (Fig. 24-10) with lysosomes that contain lamellar substrate

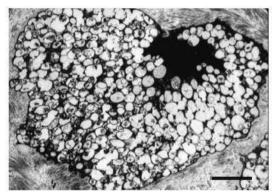


FIGURE 24-6 An electron micrograph of a cell from the mitral heart valve from a cat with MPS I. Note the extreme number of cytoplasmic vacuoles, the loss of recognition of other organelles, and the deformed nuclear outline. Bar = 3u.

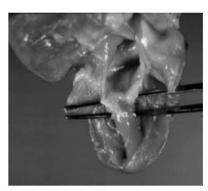


FIGURE 24-7 The mitral valve from a cat with MPS I illustrating the thickened valve leaflets and cordae tendineae.

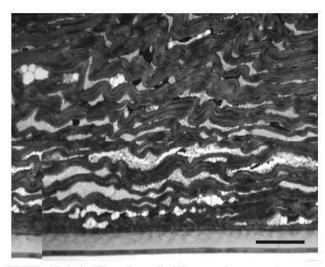


FIGURE 24-8 A light micrograph of the posterior cornea from a cat with MPS VI illustrating the highly vacuolated keratocytes. Bar = 25u.

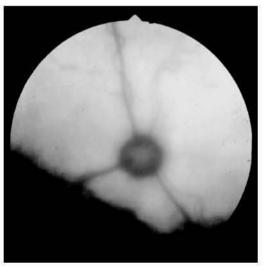


FIGURE 24-9 The appearance of the retina with indistinct optic disc and vessels of an MPS I cat as seen through the cloudy cornea.

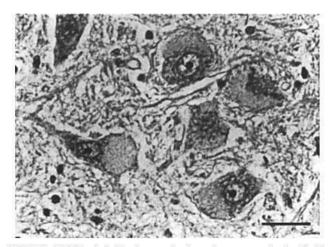


FIGURE 24-10 A light micrograph of swollen neurons in the facial nucleus in the brain of a cat with MPSI. Bar = 25u.

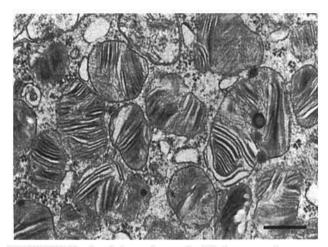


FIGURE 24-11 An electron micrograph of the lysosomes in a neuron from a cat with MPS I showing the lamellar inclusions. These inclusions are not typical of glycosaminoglycans but rather may represent glycolipids, which accumulate secondary to the primary substrate storage. Bar = 0.5u.

(Fig. 24-11). The pathogenesis of the CNS lesions includes the development of meganeurites and neurite sprouting, which appear correlated to alterations in ganglioside metabolism (Purpura and Baker, 1977, 1978; Purpura *et al.*, 1978; Siegel and Walkley, 1994; Walkley, 1988; Walkley *et al.*, 1988, 1990, 1991). Gangliosides, whether stored as a primary substrate (in $G_{\rm M1}$ and $G_{\rm M2}$ gangliosidosis) or secondarily (in MPS I and III), appear to stimulate the development of neurite sprouts with synapses. The presence of new neurites and their synapses apparently plays a role in the CNS dysfunction of these diseases (Walkley, 2003).

Mucolipidosis II, also known as I-cell disease (named for the inclusions seen in cultured fibroblasts (Tondeur et al., 1971), is an exception to the usual pathogenesis of LSDs (reviewed in Kornfeld and Sly [2001]). Studies of fibroblasts from patients with this disease were seminal in providing insight into the M6P transport system (Hickman and Neufeld, 1972). This disorder results from a failure in the first enzyme in the pathway responsible for the posttranslational phosphorylation of the mannose moiety of most lysosomal hydrolases (Hasilik et al., 1981; Reitman et al., 1981). The consequence of a defect in this phosphotransferase enzyme is to produce lysosomal enzymes that lack the signal responsible for efficiently directing the enzymes to the lysosome by the M6P receptor-mediated pathway. Thus, little amounts of the enzymes reach the lysosomes, whereas large amounts are secreted extracellularly into the plasma. Because the phosphotransferase activity has been difficult to measure, the diagnosis of Icell disease has usually been reached by demonstrating the low intracellular activity of most lysosomal enzymes and consequent high enzyme activity in serum. The gene for this phosphotransferase has been cloned for both humans and cats, and mutations have been identified (Giger et al.,

2006; Kudo et al., 2006). Although a clinical and pathological phenotype that combines all of the lysosomal storage diseases would be expected in I-cell disease, this does not occur. Although I-cell is a severe disease in children and cats, most of the pathology is found in mesenchymally derived cells; Kupffer cells and hepatocytes are essentially normal (Martin et al., 1975, 1984; Mazrier et al., 2003). Although mental retardation is present in children, and death occurs before adulthood, there is relatively little CNS pathology (Martin et al., 1984; Nagashima et al., 1977). All cell types examined to date have been deficient in phosphotransferase activity, yet many organs (including liver, spleen, kidney, and brain) still have near normal intracellular lysosomal enzyme activities. This observation indicates that there is either an intracellular M6P-independent pathway to lysosomes, or that secreted enzymes are internalized by cell surface receptors that recognize other carbohydrates on enzymes, such as nonphosphorylated mannose (Waheed et al., 1982). An M6P-independent pathway to the lysosome has been demonstrated for betaglucocerebrosidase and acid phosphatase (Peters et al., 1990; Williams and Fukuda, 1990).

IV. CLINICAL SIGNS

As a group, LSDs are chronic, progressive disorders generally with an early age of onset and characteristic clinical signs. The predominant clinical signs are related to the CNS, skeleton, joints, eye, cardiovascular system, and organomegaly. Most LSDs can be divided clinically into those with or without CNS involvement. Head and limb tremors that progress to gait abnormalities, spastic quadriplegia, seizures, and death are commonly observed. The disorders in animals with marked CNS signs include fucosidosis, galactosylceramide lipidosis, gangliosidosis, mannosidosis, MPS III, and sphingomyelinosis.

Non-CNS clinical signs associated with lysosomal storage disorders include failure to thrive, growth retardation (Fig. 24-12), umbilical hernia, corneal clouding, hepatosplenomegaly, cardiac murmurs, renal dysfunction, and skeletal abnormalities including facial dysmorphia and vertebral, rib, and long bone deformities (Fig. 24-13). The MPS disorders, in general, have more organ systems affected than the other diseases. The age of onset and severity of clinical signs are usually relatively consistent for a particular disease in animals; however, some variability can exist even in a family having the same disease-causing mutation. In research colonies of dogs and cats with LSDs kept in a relatively consistent environment, the explanation for variation in clinical signs rests with the variable genetic background (modifying genes) on which the mutation is expressed.

Most LSDs are manifest within a few months after birth, with some evident at birth or before weaning and fewer with adult onset (canine MPS IIIA and B). In severely

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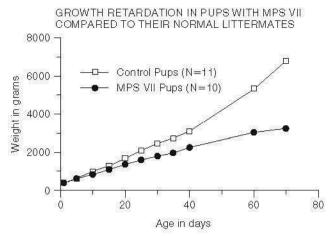


FIGURE 24-12 This graph illustrates the growth retardation often seen in animals with lysosomal storage disorders.

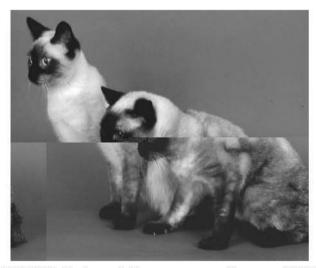


FIGURE 24-13 A normal Siamese cat next to a littermate with MPS VI. Note the outward manifestations of the skeletal abnormalities: flattened facies, small size, low posture associated with fusion of the cervical and lumbar spine.

affected animals, death often occurs at birth or before weaning.

In humans with LSDs, although frequently no consistent, specific mutations in some diseases have been associated with a particular pattern of clinical severity and progression (genotype-phenotype correlations). Null mutations that produce little RNA or unstable RNA resulting in no enzyme protein synthesis (cross-reacting material [CRM] negative) usually have a severe phenotype. Although specific mutations have been identified for several LSDs in animals, there is still not enough information to be useful in prognosis.

V. DIAGNOSIS

The approach to a diagnosis of an LSD includes a complete history and physical examination with evaluation of the chest, abdomen, CNS, skeleton, and eyes. Laboratory tests should include a complete blood count with evaluation of granulocyte and lymphocyte morphology (cytoplasmic inclusions), skeletal radiographs, and urine screening for abnormal metabolites, particularly GAGs and oligosaccharides. Fresh EDTA blood or fresh-frozen serum can be used to assess lysosomal enzyme activities. Establishing a fibroblast culture from a skin biopsy and a fresh-frozen liver biopsy may be helpful for further biochemical analyses. The disease may progress quickly, and the diagnosis may only follow a complete postmortem examination.

A pedigree analysis should be performed as part of the history to determine information about the inbreeding of the parents and the presence of other family members with similar clinical signs or that died early. As most LSDs are inherited as autosomal recessive traits, parents are often related and are carriers (heterozygotes) but are clinically (phenotypically) normal. On average, one-fourth of the offspring of heterozygous parents are affected, two-thirds of unaffected offspring are carriers, and other relatives may also be affected (Fig. 24-14).

Abnormal metabolites may be found in urine and their presence points toward specific metabolic pathways that warrant further evaluation. A metabolic screen of urine (Fig. 24-15) (Giger and Jezyk, 1992; Jezyk *et al.*, 1982) for GAGs is a relatively simple and inexpensive approach to identify the mucopolysaccharidoses and some cases of gangliosidosis (toluidine blue or MPS spot test; Fig. 24-16). Thin layer chromatography of urinary oligosaccharides is helpful to identify mannosidosis. Urine samples to be evaluated should be kept refrigerated or frozen and sent to an appropriate laboratory.¹

A final diagnosis for LSDs requires the demonstration of a particular enzyme deficiency by either determining the lack of enzyme activity or a disease-causing mutation in an enzyme gene; these tests do not only identify affected animals but are also helpful in identifying carriers. Enzyme assays using artificial substrates can usually be performed on serum, white blood cells, cultured fibroblasts, or liver. Generally, there is a profound deficiency in activity of the enzyme, making the diagnosis straightforward. In addition, the activities of other lysosomal enzymes in the cells or tissues are frequently higher than normal. The biochemical status of the clinically normal parents should be evaluated when possible. In an autosomal recessive disease, heterozygous parents are expected to have half-normal activity of the enzyme in question because each parent carries one normal and one mutant allele. Although in a population, heterozygotes (carriers) have on average half-normal

¹ One such laboratory is the Metabolic Screening Laboratory, Section of Medical Genetics, Veterinary Hospital of the University of Pennsylvania, 3900 Delancey Street, Philadelphia, PA 19104-6010. A complete history including signalment of the animal should be included with the samples (http://www.upenn.edu/research/penngen).

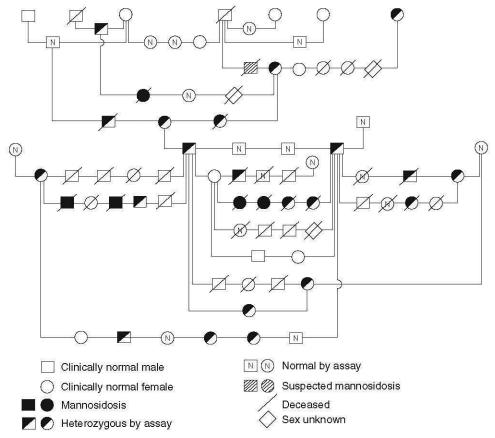


FIGURE 24-14 The pedigree of a family of cats with alpha-mannosidosis.

URINE SPOT TESTS PAPER THIN LAYER CHROMATOGRAPHY CHROMATOGRAPHY MPS spot Clinitest Nitroprusside Amino acid Organic acid Oligosaccharides ketostix Electrophoresis Carbohydrate Amino acid GC/Mass chromatography analyzer spectroscopy

METABOLIC SCREENING OF URINE SAMPLES

FIGURE 24-15 The scheme used to detect metabolic diseases, including some lysosomal storage disorders, by examining compounds present in urine.

activity, there is overlap between the ranges for enzyme activity values from normal and obligate heterozygous animals (Fig. 24-17). Thus, accurate determination of an asymptomatic individual as normal or a carrier may be difficult with an enzyme assay alone but can best be achieved by molecular DNA tests for the specific mutation in those diseases and families where the mutation has been identified.²

An animal with clinical signs suspected of having an LSD that dies or requires euthanasia should have a complete postmortem examination, including the CNS, skeletal, and ocular

² One such laboratory is the Josephine Deubler Genetic Disease Testing Laboratory, Section of Medical Genetics, Veterinary Hospital of the University of Pennsylvania, 3900 Delancy Street, Philadelphia, PA 19104-6010 (http://w3.vet.upenn.edu/research/centers/penngen/services).

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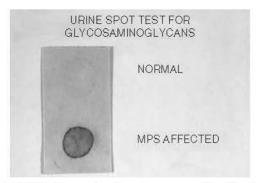


FIGURE 24-16 The results of a urine spot test detecting abnormal amounts of glycosaminoglycans in the urine of animals with MPS.

MEAN SERUM ALPHA-MANNOSIDASE ACTIVITY ±2 S.D. IN A COLONY OF RELATED CATS

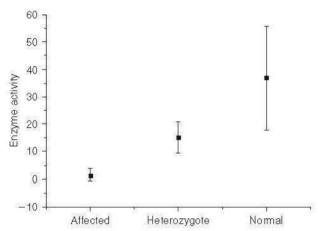


FIGURE 24-17 Serum alpha-mannosidase activity of a colony of cats illustrating the overlap that exists between normal and heterozygous animals. Although heterozygote detection is possible in a population, detection is difficult for an individual. Molecular techniques overcome these difficulties in carrier detection when the mutation is known.

systems. Liver should be frozen as quickly as possible for subsequent determination of accumulated substrate, enzyme activities, and RNA and DNA analyses. Fibroblast cultures can be established from skin, linea alba, or pericardium (using sterile technique) for future studies that may require living cells. Liver, brain, and other tissues should be preserved in formalin, and samples should be taken for thin section and electron microscopy (in glutaraldehyde-paraformaldehyde), particularly from the liver and CNS.

VI. THERAPY

The combination of secretion of lysosomal enzymes by cells and uptake of enzymes by diseased cells via the M6P receptor system forms the basis for the present approaches to therapy for the LSDs. Providing a source of normal enzyme to abnormal cells will permit that enzyme to be taken up by the plasma membrane receptor, resulting in

delivery of the normal enzyme to the lysosome where it can catabolize stored substrate (except for mucolipidoses). Fortunately, the amount of enzyme needed in the lysosome for sufficient function and, thus, phenotypic correction of an individual cell is only a small proportion of normal. The three approaches to providing normal enzyme to a patient's cells are (1) enzyme replacement therapy (ERT), (2) bone marrow transplantation (BMT), and (3) gene therapy. In general, the most difficult target tissue in the LSDs is correction of the CNS lesions. Approximately 60% of LSDs have a CNS component, for which systemic therapy is limited by the blood-brain barrier. Successful treatment of the neuropathic LSDs will require direct therapy to the CNS or systemic therapy that crosses the blood-brain barrier. Animal models have been used extensively to evaluate these approaches to therapy for LSDs in humans. Although these novel therapies could be adapted for domestic animals, supportive care is generally used in clinical practice and emphasis is placed on prevention of the production of affected animals in future generations.

A. Enzyme Replacement Therapy (ERT)

The efficacy of the parenteral injection of purified recombinant enzyme has been tested in various animal models of LSDs, including MPS VII mice, MPS I dogs and cats, MPS VI cats, and glycogen storage disease in Japanese quail (Ellinwood *et al.*, 2004; Haskins *et al.*, 2002). In knockout mice, enzyme derived from rabbit milk or from Chinese hamster ovary cells has been shown to be useful (Ioannou, 2000; Kakkis *et al.*, 1996, 2001; Wraith, 2001). Today, ERT by intravenous infusion is the standard therapy for non-neuronopathic Gaucher disease in human patients and is available or under evaluation for the treatment of Fabry disease, Pompe disease, MPS I, MPS II, and MPS VI.

B. Bone Marrow Transplant (BMT)

Heterologous BMT as therapy for LSDs has been performed for decades (reviewed in Brochstein [1992], Haskins et al. [1991], Hoogerbrugge and Valerio [1998], Krivit et al. [1999], and O'Marcaigh and Cowan [1997]). This approach provides both normal bone marrow and bone marrow-derived cells, which are available to release enzyme continuously for uptake by other deficient cells. In addition, some monocyte-derived cells can cross the bloodbrain barrier, becoming microglia and secreting an enzyme that can be available to neurons. BMT in animal models of LSDs has been carried out in MPS VII mice, mannosidosis, and mucolipidoses II cats, GM2 gangliosidosis mice, MPS VI cats, and the MPS VII dog, among others (Haskins, 1996; Haskins et al., 1991). A combination of neonatal ERT followed by BMT at 5 weeks of age in MPS VII mice had positive long-term effects (Sands et al., 1997).

C. Gene Therapy

The most striking clinical results of gene therapy involving an LSD have been those seen in a series of neonatal gene transfer studies conducted using viral vectors in the murine and canine models of MPS VII documenting significant improvement of cornea, joint, and cardiac disease (Daly et al., 1999, 2001; Ponder et al., 2002; Xu et al., 2002a, 2002b). In spite of the rarity of MPS VII (1/250,000 live births in humans), these disease models have become a paradigm for LSDs in general because of the ability to detect the normal enzyme (β -glucuronidase) activity directly using a histochemical technique. Intracranial injection of vector carrying the feline alpha mannosidase gene has produced remarkable clinical improvement in affected cats (Vite et al., 2005).

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Tumor Markers

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I. INTRODUCTION

Biomarkers, particularly tumor markers, represent an exciting tool for the clinical discipline of oncology. However, as various markers of disease, including physiological, biochemical, and genetic changes, are identified, they may become more than useful diagnostic tests. These biomarkers may also play a role in drug discovery and development and become useful tools for predicting the response to therapy and prognosis.

To help address the issues surrounding biomarkers and their application to various disciplines of clinical medicine and biomedical research, the National Institutes of Health (NIH) formed a working group on definitions to develop a set of preferred terms and descriptions, along with a conceptual model, that could be broadly applied to the increasing use of biomarkers. The working group defined a biomarker as an objectively measured and evaluated characteristic that is an indicator of a normal biological processes, a pathogenic process, or a response to a therapeutic intervention (Downing, 2000). The NIH Working Group on Definitions also characterized several important applications for biomarkers including their use for diagnosis, for staging disease, as indicators of disease risk, and as tools to predict and monitor clinical responses to therapy (Downing, 2000).

This chapter focuses on how various biomarkers have an application to cancer management in veterinary medicine. Ideally, in addition to the attributes listed in the introduction, tumor markers should be both sensitive and specific for the detection of cancer, to minimize both false-positive and false-negative test findings, and they should use methodology that is minimally invasive to increase acceptance and compliance by animal owners. Tumor markers should also reflect the total tumor burden, identify tumor recurrence after treatment, and be unaffected by cancer treatment or adverse events associated with cancer treatment. Tumor markers should be reproducible among laboratories and have a well-defined reference range to distinguish between health and disease. In human medicine, testing for tumor markers is recommended only in situations where it is demonstrated to result in a better patient outcome, increased quality of life, or reduced overall cost of care (Duffy, 2004).

The rapid technological advances in immunology, biochemistry, and cell and molecular biology will continue to offer new opportunities to evaluate candidate tumor markers. However, few tumor markers, including those that are commonly used in veterinary medicine, have been rigorously tested. To determine whether a candidate tumor marker has clinical utility, it is vital that it undergo critical evaluation. In evidence-based medicine, there are several criteria for interpreting the usefulness of a diagnostic test (Jaeschke et al., 2002). First, it should be useful for situations or cases where clinicians routinely face diagnostic uncertainty. For example, the diagnostic evaluation of hematuria in an older dog is one situation in which an effective tumor marker would be highly useful. Second, candidate tumor markers should be blindly evaluated against an independent "gold standard" diagnostic test in cancer patients, sick noncancer patients, and healthy patients. Only after the usefulness of a tumor marker has been established can clinicians begin to determine how effectively the test result and its interpretation will improve patient management. The likelihood ratio (Letelier et al., 2002) is one tool that can help clinicians interpret and apply test results, and it may be useful to apply to veterinary tumor markers. Briefly, the clinician must have an idea about the patient's probability for having cancer based on signalment, history, physical findings, and other factors before tumor marker testing. Given knowledge of the likelihood of a high test value occurring in a patient with cancer compared to the likelihood of a high test value occurring in a patient suspected of cancer that was later ruled out, it is possible to calculate the posttest probability for the patient having cancer given a high, low, or intermediate test result. For the interested reader, the Evidence-Based Medicine Working Group has detailed the critical evaluation of diagnostic tests, results interpretation, and application to clinical patients (Users' Guide to the Medical Literature: A Manual for Evidence-Based Clinical Practice, 2002).

Historically, using a broad definition, veterinary tumor markers have included various molecules found in serum, flow cytometry, proliferation and apoptosis markers, immunohistochemistry, cytochemistry, and cytogeneticis. With the advent of new technologies, molecular markers of cancer will become more important in human and veterinary oncology. Likewise, the explosion of the "-omics," including genomics, proteomics, and metabolomics, may also be important to the diagnosis and management of cancer in the future. Each of these issues will be considered in turn.

II. SERUM TUMOR MARKERS

A. Oncofetal Proteins

Oncofetal proteins originate within tumor cells and enter the bloodstream either by secretion from the tumor or as a breakdown product of tumor cells. Normally oncofetal proteins are present during embryogenesis and may increase with certain cancers, making them potentially useful tumor markers. *Carcinoembryonic antigen (CEA)* and *alpha-feto-protein (AFP)* are the most widely used oncofetal protein tumor markers (Garrett and Kurtz, 1986). CEA has been well

studied in human medicine, and since the 1970s it has been recognized as a useful marker for cancers of the lung, colon-rectum, breast, ovary, and prostate gland (Go, 1976). CEA is the most useful tumor marker to distinguish benign from malignant pleural effusions (Shirit *et al.*, 2005). Preoperative serum CEA levels may also predict survival in human colorectal cancer patients (Park *et al.*, 2005). In veterinary medicine, CEA has received little attention, and its role as a tumor marker in domestic animal species remains undefined.

In humans, AFP is commonly used to diagnose hepatocellular carcinoma and predict its prognosis (Zhou et al., 2006). AFP has been measured in the serum of cancerbearing dogs (Hahn and Richardson, 1995). However, in this report, the mean serum concentration of AFP in dogs with various malignancies was not significantly different from the mean serum AFP concentration of the 16 dogs without cancer. A single dog with hepatic involvement with lymphoma had a serum AFP concentration >225 ng/ ml, suggesting AFP may have a role for diagnosing primary or secondary hepatic cancer in the absence of other serum biochemical abnormalities. Indeed high serum concentrations (>250 ng/ml) of AFP have been detected in a small number of dogs with primary liver tumors (Lowseth et al., 1991). Because serum AFP concentration is higher in canine hepatocellular carcinoma compared to other hepatic diseases, it may be a useful tool for diagnosing hepatocellular carcinoma in dogs (Yamada et al., 1999).

In a study of serum AFP concentrations in healthy dogs and dogs with multicentric lymphoma (Lechowski *et al.*, 2002), the mean serum AFP concentration was higher in dogs with lymphoma compared to the healthy dogs. Serum AFP concentration was also found to increase with advancing clinical stage of lymphoma, and decrease to levels similar to normal dogs as the lymphoma went into remission with chemotherapy. These observations suggest serum AFP may be a useful biomarker for determining lymphoma remission in the dog and potentially an early indicator of relapse. Serum AFP has not been carefully evaluated as a tumor marker in other domestic species.

B. Hormones and Ectopic Hormones

1. Inhibin

Inhibin is a nonsteroidal hormone that is involved in the follicular phase of the human menstrual cycle (Groome et al., 1996). Inhibin has also been identified as a regulatory hormone in the follicular phase of the equine estrous cycle (Medan et al., 2004). Serum inhibin concentrations have been shown to be elevated in mares with granulosa theca cell tumors (GTCT) (Christman et al., 1999). Measuring inhibin concentrations can be helpful in diagnosing equine GTCT, especially if serum testosterone concentrations are not elevated, and distinguishing GTCT from other diseases of the ovary. Increased serum inhibin concentrations have

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also been identified in dogs with Sertoli cell tumors, making it a potentially useful marker for identifying testicular tumors (Grootenhuis *et al.*, 1990).

2. Serum Parathyroid Hormone

Increased serum parathyroid hormone (PTH) in the presence of hypercalcemia has been considered sufficient for the diagnosis of primary hyperparathyroidism. However, a retrospective case-control study suggests that normal PTH concentrations in the presence of hypercalcemia are an inappropriate physiological response by the parathyroid gland and are also consistent with primary hyperparathyroidism (Feldman *et al.*, 2005). Although primary hyperparathyroidism is an uncommon disease of dogs and cats, both adenomas and carcinomas of the parathyroid glands are reported in the literature and should be considered among the differential diagnoses.

3. Parathyroid Hormone-Related Protein

Hypercalcemia of malignancy is a well-recognized paraneoplastic syndrome in animals. Hypercalcemia of malignancy may arise from local bone resorption stimulated by metastatic bone lesions or through endocrine factors that disrupt normal calcium homeostasis (Clines and Guise, 2005). Ectopic production of PTH by tumors is a rare phenomenon, and most humoral hypercalcemia of malignancy may be explained by the inappropriate production of parathyroid hormone-related protein (PTHrP) by a variety of tumors. Canine PTHrP is similar in structure and function to PTH (Rosol et al., 1995). In dogs, PTHrP has been implicated in hypercalcemia associated with apocrine gland adenocarcinoma of the anal sac and lymphoma (Rosol et al., 1992), melanoma (Pressler et al., 2002), thymoma (Foley et al., 2000), and poorly differentiated carcinoma. Increased serum PTHrP concentration has been identified in a small number of cats with humoral hypercalcemia of malignancy associated with a variety of carcinomas and lymphoma (Bolliger et al., 2002). Increased serum PTHrP has also been described in a horse with hypercalcemia and multiple myeloma (Barton et al., 2004).

4. Thyroxine/Thyroglobulin

Estimates of the proportion of dogs with thyroid masses that are revealed to be carcinoma range from 51% to 100% (Scarlett, 1994). It is reported that 70% of dogs with thyroid carcinomas have increased concentrations of serum thyroglobulin, although these findings were not strongly correlated with serum thyroxine (T₄) concentrations (Verschueren *et al.*, 1991). It is generally accepted that most dogs with thyroid carcinomas are euthyroid, but the contemporary peer-reviewed literature is sparse with respect to the prevalence of functional thyroid tumors in dogs.

In cats elevated serum total or free T₄ is generally diagnostic for hyperthyroidism, although some cats with

nonthyroidal disease may have elevated free T_4 (Peterson et al., 2001). Cats with equivocal results may require additional evaluation with the triiodothyronine (T_3) suppression test to confirm the diagnosis of hyperthyroidism (Graves and Peterson, 1994). Unlike dogs, most cats with hyperthyroidism have a functional adenoma or adenomatous hyperplasia rather than an underlying thyroid carcinoma. Serial T_4 determinations are useful to monitor response to therapy and detect a relapse following radioiodine treatment (Peterson and Becker, 1995).

5. Adrenocorticotropin Hormone/Cortisol

Hyperfunctioning adrenocortical tumors can be diagnosed by measuring basal plasma cortisol concentration or changes in plasma cortisol concentration from a variety of provocative tests using natural or synthetic adrenocorticotropin hormone (ACTH) stimulation or dexamethasone suppression. The measurement of endogenous ACTH may help distinguish primary adrenal disorders from those secondary to pituitary gland dysfunction. The ratio of the precursor hormones pro-opiomelanocortin and proadrenocorticotropin has been recently correlated to the size of pituitary tumors in dogs with hyperadrenocorticism (Granger *et al.*, 2005). Measurement of basal ACTH and the use of provocative testing with ACTH have been reported for the diagnosis of equine pituitary pars intermedia adenomas (van der Kolk *et al.*, 1995).

6. Sex Steroid Hormones

Measurement of plasma sex steroid hormones is useful for characterization of equine GTCT in mares with clinical signs attributable to high plasma concentrations of testosterone or estrogen (Meinecke and Gips, 1987; Stabenfeldt et al., 1979). Occasionally plasma progestins are elevated. Considerable variation in sex steroid hormone profiles exists among affected horses and may be due to the presence of abnormal ovarian follicles, defects in aromatase activity, or possible feedback inhibition affecting gonadotropin secretion (Hoque et al., 2003).

Determination of plasma concentrations of androstenedione, 17-hydroxyprogesterone, and estradiol may be useful in diagnosing adrenocortical neoplasia in ferrets (Rosenthal and Peterson, 1996). Likewise, increased plasma concentrations of these adrenal sex hormones have been observed in dogs with adrenal gland adenocarcinoma with provocative testing using ACTH (Hill *et al.*, 2005). Elevated plasma progesterone has been described in a cat with a welldifferentiated adrenocortical adenocarcinoma (Boord and Griffin, 1999).

Much literature exists surrounding the presence of estrogen and progesterone receptors in mammary gland tumors of the dog. Recent findings reveal that dogs with biologically aggressive inflammatory mammary carcinomas had increased serum and tissue concentrations of androgens when compared to dogs with noninflammatory malignant mammary tumors (Illera et al., 2006).

The presence of progesterone receptors has been described in lymphomas of the horse (Henson *et al.*, 2000). A case report describing the regression of a cutaneous lymphoma in a mare after removal of GTCT hints at the biological significance of progesterone receptors in equine lymphomas (Henson *et al.*, 1998).

7. Insulin

Increased secretion of insulin from the beta cells of the pancreas is the hallmark of insulinoma (beta cell tumor, pancreatic islet cell tumor). Although several variations on the insulin-glucose ratio appear in the literature, documentation of the abnormal physiological condition of fasting hypoglycemia and measurable serum insulin is sufficient for the diagnosis of insulinoma. Measuring serum fructosamine and glycated hemoglobin may also be helpful in the diagnosis of insulinoma. In a few small series of dogs with insulinoma, decreased serum fructosamine concentrations (Loste *et al.*, 2001; Mellanby and Herrtage, 2002) and decreased serum glycated hemoglobin concentrations (Elliott *et al.*, 1997; Marca *et al.*, 2000) have been observed. Low serum fructosamine may indicate the presence of insulinoma despite normoglycemia (Mellanby and Herrtage, 2002).

C. Enzymes

1. Lactate Dehydrogenase, Serum Alkaline Phosphatase, Prostatic Acid Phosphatase, Thymidine Kinase

Lactate dehydrogenase (LDH), an enzyme that catalyzes the interconversion of pyruvate and lactate, has been studied in humans with a variety of malignancies, but it is best validated as a marker for evaluating prognosis in patients with nonseminomatous germ cell tumors (Duffy, 2004). LDH activity, along with activity of several of its isoenzymes, is increased in dogs with lymphoma; dogs with lower serum LDH activity had overall longer survival times compared to dogs with increased LDH activity, suggesting this may be a useful marker for canine lymphoma (Zanatta et al., 2003). Increased plasma and urine LDH activity have been observed in cows with urinary bladder cancer (Dawra et al., 1991).

Elevated serum alkaline phosphatase (ALP) activity is a prognostic factor in humans with a variety of advanced cancers (Hauser *et al.*, 2006) and may predict outcome in a variety of cancers including prostate cancer (Cho *et al.*, 2003) and advanced uveal melanoma (Eskelin *et al.*, 2003). Serum ALP activity has apparently no value as a tumor marker for canine lymphoma or canine mammary tumors (Karayannopoulou *et al.*, 2003; Wiedemann *et al.*, 2005). Pretreatment elevated serum ALP activity and failure to

return to normal ALP activity after treatment correlate with poor survival of dogs with appendicular osteosarcoma (Ehrhart *et al.*, 1998; Garzotto *et al.*, 2000).

Prostatic acid phosphatase (PAP), a neutral protein tyrosine phosphatase, was widely used as a tumor marker before the discovery of prostate-specific antigen. Serum PAP activity is increased in men with prostate cancer and has important cellular functions in carcinogenesis of the prostate (Veeramani *et al.*, 2005). However, this increase does not appear to occur in dogs with prostate cancer (Bell *et al.*, 1995).

Thymidine kinase (TK), a phosphotransferase enzyme found in most living cells, has been evaluated as a tumor marker in women with breast cancer, showing a decrease in serum activity after surgical treatment of the primary tumor (He *et al.*, 2000). It may also provide prognostic information in humans with non-Hodgkin's lymphoma and multiple myeloma (Diem *et al.*, 1993; Hallek *et al.*, 1992). In dogs with lymphoma, serum TK activity appears to be a predictor of survival (von Euler *et al.*, 2004). TK can now be measured with nonradiometric methods, making it more appealing for routine laboratory use (von Euler *et al.*, 2006).

D. Immunoglobulins

A long-standing use of a serum tumor marker in veterinary medicine is the measurement of serum immunoglobulins. In diseases of B cells, including multiple myeloma and Waldenström's macroglobulinemia, hyperglobulinemia is often present. Electrophoresis of the serum proteins reveals a typical monoclonal gammopathy, and immunoelectrophoresis is used to further characterize the antibody class of the immunoglobulins. The hyperglobulinemia resolves with successful treatment and therefore makes a convenient measure to assess response and recurrence. IgM, IgG, and IgA gammopathies have been described in the dog (Giraudel et al., 2002; Lautzenhiser et al., 2003; Ramaiah et al., 2002). It should be noted that hypergammaglobulinemia does not occur with all cases of myeloma, and there may be hypogammaglobulinemia with a normal electrophoretic pattern. Canine ehrlichiosis infection can also cause a monoclonal gammopathy and should be considered among the differential diagnoses for increased serum immunoglobulins (Breitschwerdt et al., 1987).

E. Tumor-Associated Antigens

1. CA-125

Cancer antigen 125 (CA-125) is a product of the MUC16 gene and has found use in human medicine as a tumor marker for epithelial ovarian cancers, although the sensitivity and specificity are poor (Moss *et al.*, 2005). Serum CA-125 may be elevated in other cancers, such as endometrial cancer, cancer of the fallopian tubes, lung cancer, breast cancer, and

III. Flow Cytometry 755 ■

cancer of the gastrointestinal tract and nonneoplastic diseases such as endometriosis. CA-125 has not found application in veterinary medicine.

2. Prostate-Specific Antigen

Prostate-specific antigen (PSA) is a tumor-associated antigen identifiable in the serum of men with prostate cancer, and it has become a widely used tumor marker for prostate cancer screening programs. Evidence suggests it may not adequately discriminate between cancer and benign enlargement of the prostate gland (Constantinou and Feneley, 2006). One study failed to identify PSA in canine serum, although weak immunoreactivity was noted on immunohistochemistry of canine prostatic adenocarcinoma specimens (Bell *et al.*, 1995).

3. Other Tumor-Associated Antigens

Tumor-associated antigens, 1A10 and SB2, have been identified in the serum of dogs with a variety of cancers with the use of murine monoclonal antibodies developed against a canine mammary carcinoma cell line (Wang *et al.*, 1995). However, the clinical importance of these antibodies has not been defined.

F. Miscellaneous Serum Tumor Markers

1. Fibronectin

Fibronectin (FN) is a large glycoprotein that is a component of the extracellular matrix and occurs in its soluble form in plasma. A study of plasma FN concentrations in dogs with malignancies documented both increased and decreased plasma FN (Feldman *et al.*, 1988). A study of canine and feline pleural and abdominal effusions revealed no useful distinction in FN concentrations between malignant and nonmalignant effusions, although the FN/albumin ratio was higher in dogs with malignant effusions compared to those with congestive heart failure (Hirschberger and Pusch, 1996).

2. Sialic Acid

Sialic acid describes derivatives of neuraminic acid, which are potentially useful tumor markers because of the aberrant glycosylation in cancer cell membranes. Although the cancer specificity of sialic acid is reported to be high, its sensitivity is low because sialic acid-rich glycoproteins are present in inflammatory diseases (Narayanan, 1994). Increased serum sialic acid concentration has been observed in cancer-bearing dogs (Poli *et al.*, 1986); however, further studies suggest the increase is not specific for cancer (Thougaard *et al.*, 1998).

3. Acute-Phase Proteins

The acute-phase proteins (APP) are those plasma proteins, made primarily by hepatocytes, whose concentrations change after tissue injury or infection. Their relationship with cancer has long been recognized in human medicine (Cooper and Stone, 1979). An increase in APP at the time of diagnosis is a negative prognostic indicator in human cancer patients, and increases may also occur with advancing disease (Cooper, 1988). APP that may have use as tumor markers include ceruloplasmin (Cp), complement components C3 and C4, α 1-acid glycoprotein (AGP), α 1-proteinase inhibitor (α 1-antitrypsin), α 1-antichymotrypsin, haptoglobin (Hp), fibrinogen (Fbn), C-reactive protein (CRP), and serum amyloid A (SAA). As an example, increased serum CRP has been shown to be a poor prognostic indicator in people with multiple myeloma, melanoma, lymphoma, and ovarian, renal, pancreatic, and gastrointestinal tumors (Mahmoud and Rivera, 2002). Because interleukin 6 (IL-6) and tumor necrosis factor alpha (TNF- α) induce synthesis of CRP and potentially other APP, they are also receiving attention as potential biomarkers and therapeutic targets.

APP have been studied in veterinary medicine. In a recent study of APP in diseased dogs, significant increases in Cp, Hp, and CRP were observed in dogs with cancer and other inflammatory diseases, and decreases with treatment were associated with more favorable outcomes (Tecles *et al.*, 2005). Increased serum concentrations of AGP at diagnosis have been observed to decrease with cancer treatment and onset of clinical remission in dogs with lymphoma, suggesting its potential as a biomarker (Hahn *et al.*, 1999).

III. FLOW CYTOMETRY

A. DNA Ploidy

The flow cytometer is frequently employed to determine the DNA content of cancer cells in various veterinary tumors (Culmsee and Nolte, 2002). Most normal tissues, reactive tissues, and benign tumors have diploid (2N) DNA values. The different DNA content of tumor cells compared to normal tissues may be the result of abnormal DNA (aneuploidy) or due to increased DNA content with cell division. Although aneuploidy has been identified in a wide variety of veterinary tumors, it has not been studied carefully as a prognostic indicator. Aneuploidy may predict aggressive tumor behavior in canine hemangiopericytoma (Kang et al., 2006), canine mammary tumors (Hellmen et al., 1993), canine melanoma (Bolon et al., 1990), and possibly canine mast cell tumors (Ayl et al., 1992). However, aneuploidy does not seem to predict biological behavior or prognosis in equine melanomas (Roels et al., 2000a) or canine lymphomas (Teske et al., 1993).

B. Cell Surface Determinants

With the use of specific monoclonal antibodies, the flow cytometer can be used to characterize cell populations based on their cell surface determinants. Although particularly well suited for the study of leukemias, this technique may also be adapted to the study of solid tumors. Aberrant expression of cluster differentiation (CD) molecules has been identified as a common, and distinguishing, feature of canine lymphomas (Wilkerson *et al.*, 2005). The flow cytometer also allows rapid immunophenotyping of lymphomas using an aspirate specimen (Culmsee *et al.*, 2001). Further work is needed to determine how these findings may correlate with prognosis.

IV. PROLIFERATION MARKERS/ APOPTOSIS

A. Mitotic Counts

Determining the frequency of mitotic figures visible in histological sections of biopsy specimens is a long-standing method for assessing cell proliferation. Cells in the mitotic phase are easily recognizable on routinely prepared specimens. There are various methods for reporting the mitotic activity, including number of mitoses visible per certain number of high, dry microscopic fields or the number of mitoses present in a certain number of cells (mitotic index). It has been shown that the mitotic index correlates better to other indices of proliferation and tumor grade compared to mitoses per area (Sarli et al., 1999). Although determining mitotic counts is technically simple, it is limited in its usefulness because of lack of reproducibility, and errors in interpretation may be introduced by delays in tissue fixation, variation in section thickness or size of microscopic field of view, and in difficulty recognizing mitoses.

High mitotic index has been reported as a negative prognostic indicator of various tumors, including canine ocular melanoma (Wilcock and Peiffer, 1986), feline fibrosarcoma (Bostock and Dye, 1979), and canine soft tissue sarcomas (Kuntz *et al.*, 1997). However, the mitotic index may have limited value for predicting the behavior of canine melanoma (Spangler and Kass, 2006), canine skin cancers (Martin De Las Mulas *et al.*, 1999), or canine lymphoma (Kiupel *et al.*, 1999).

B. Thymidine-Labeling Index and BrdU Incorporation

The synthesis of DNA occurring in the S phase of the cell cycle can be measured by labeled DNA precursor incorporation. Although there are radiometric methods using tritiated thymidine, bromodeoxyuridine (BrdU), a halogenated thymidine analogue, is more commonly used for direct

estimation of DNA synthesis. The use of specific monoclonal antibodies to BrdU allows immunohistochemical detection in paraffin-embedded sections with simultaneous morphological examination of the tissues. The thymidine labeling index is defined as the ratio of the number of positively stained cells to the total number of cells. The S-phase fraction of the cell cycle can be determined by counting nuclei labeled with BrdU providing an accurate assessment of the proliferative capacity of the tumor. One drawback to this technique is that it requires infusing patients with BrdU before surgery to permit its incorporation into tumor DNA.

BrdU incorporation and calculation of tumor potential doubling time has been associated with biological behavior and prognosis in canine chondrosarcoma, osteosarcoma, epulides, and lymphoma (Ohta *et al.*, 2004; Schwyn *et al.*, 1998; Vail *et al.*, 1996; Yoshida *et al.*, 1999).

C. Nucleolar Organizing Regions

Quantifying the proteins associated with nucleolar organizing regions (NORs) of interphase chromosomes is another method to assess the proliferation rates of tumors. The NORs are visualized microscopically with a simple silverstaining method that is effective because of the argyrophilia of their nonhistone acidic proteins. The staining procedure can be done on both histological and cytological specimens. These argyrophilic nucleolar organizing regions (AgNORs) are representative of actual or potential transcriptional activity of ribosomal DNA and may be used as a marker for cell proliferation. AgNOR counts may be expressed as the mean number of AgNORs per nuclei or as the percentage of tumor cells with >5 AgNORs per nucleus. It is believed that the mean AgNOR count reflects DNA ploidy, whereas the percentage of tumor cells with >5 AgNORs per nucleus represents the proliferative activity.

Increasing AgNOR scores have been shown to be predictive of poor outcome in canine soft tissue sarcoma (Ettinger et al., 2006), canine mast cell tumors (Bostock et al., 1989; Scase et al., 2006; Simoes et al., 1994), canine mammary gland tumors (Sarli et al., 2002), canine lymphoma (Kiupel et al., 1998, 1999; Vail et al., 1996), transmissible venereal tumors (Harmelin et al., 1995), and feline mammary carcinoma (Preziosi et al., 2002). However, AgNOR scores do not seem to be predictive of outcome in feline lymphoma (Rassnick et al., 1999; Vail et al., 1998), and other studies suggest that AgNOR scores do not predict outcome in canine mammary gland tumors (Lohr et al., 1997).

D. Proliferation Markers

Methods for detection of cell cycle-related antigens are described in Section V.D.

E. Image Analysis

Obtaining morphometric and densitometric measurements from individual nuclei and providing information about ploidy, S-phase fraction, and nuclear area, are possible with image analysis of cytocentrifuge preparations of Feulgen-stained nuclei extracted from formalin-fixed, paraffin-embedded tissues. Morphometric measurements can also be obtained from hematoxylin and eosin (H&E) and panoptic-stained cytology specimens. Nuclear morphometry and ploidy may aid in the classification of canine mast cell tumors (Strefezzi Rde *et al.*, 2003), distinguish benign from malignant melanocytic tumors in dogs and cats (Roels *et al.*, 2000b), and predict the outcome of feline mammary carcinomas (De Vico and Maiolino, 1997).

F. Apoptosis

Apoptosis is an energy-dependent process that may be considered a "programmed" form of cell death, distinct from the "accidental" cell death of necrosis. During apoptosis, there is activation of endogenous nucleases, which create oligonucleosomal fragments that have a characteristic orderly ladder appearance when separated by electrophoresis, compared with the smeared appearance of DNA degraded during necrosis. Cells undergoing apoptosis have characteristic morphological features including condensation of nuclear heterochromatin and resultant crescent apposed to the nuclear membrane, cell shrinkage, cytoplasmic condensation, and bud formation at the cell membrane, which may condense into "apoptotic bodies." Another characteristic of apoptosis is the absence of inflammation, which is typically present with necrosis.

Although features of apoptosis may be identified with light microscopy of H&E-stained cells, electron microscopy or fluorescence microscopy with dyes such as propidium iodine or acridine orange may also be useful.

The apoptotic index predicts the initial relapse-free survival in dogs with lymphoma, but not its overall survival (Phillips *et al.*, 2000). However, the apoptotic index does not appear to correlate with survival in feline mammary tumors (Sarli *et al.*, 2003).

V. IMMUNOHISTOCHEMISTRY/ IMMUNOCYTOCHEMISTRY

Immunohistochemistry is now a well-accepted and routinely applied method in most every veterinary diagnostic laboratory. Similar techniques have been applied to cytology specimens with good result. These methods involve the use of antibodies, which bind specific cellular components representing specific markers of the cell type of origin. By linking the antibodies to a dye, the immunoreactivity between the tissue specimens and antibodies can be visualized with the light microscope.

The use of immunohistochemistry and immunocytochemistry has advanced the understanding of tumor differentiation and allowed for improved tumor typing. Immunohistochemistry facilitates the determination of histogenesis of many tumors that might otherwise be classified as undifferentiated on the basis of light microscopy of routine H&E stained tissues. Although the antibodies used for immunohistochemical staining do not recognize unique attributes of the tumor nor do they differentiate benign from malignant cells per se, the accurate determination of histogenesis may allow the clinician to choose appropriate treatment and formulate an accurate estimate of the prognosis. It is beyond the scope of this chapter to exhaustively review all immunohistochemical and immunocytochemical markers useful for veterinary cancer diagnosis. What follows are examples of those markers that well illustrate the use of these techniques as tumor markers.

A. Epithelium

It is often difficult to distinguish carcinoma for other poorly differentiated or undifferentiated neoplasms. The immunohistochemistry (IHC) markers that are commonly used to characterize tumors of epithelial origin are antibodies directed against the cytokeratin intermediate filaments. The cytokeratin proteins are unique among the intermediate filaments of the cytoskeleton because of their high degree of diversity in polypeptide units and the fact that cytokeratin intermediate filaments are highly correlated with the degree of tissue differentiation. Therefore, identification of specific cytokeratins can be useful for confirming epithelial histogenesis and distinguishing glandular from squamous differentiation independent of other morphological features. As epithelial tumors progress from dysplastic epithelium to carcinoma in situ to invasive squamous cell carcinoma, there is a concurrent decrease in expression of both high- and low-molecular-weight cytokeratins. Although a majority of canine and feline carcinomas will have immunoreactivity of at least some neoplastic cells for cytokeratins, the use of a panel of anticytokeratin antibodies, to include both high- and lowmolecular-weight keratins, may improve the sensitivity of the immunohistochemistry. It is noteworthy that many studies of cytokeratin intermediate filaments in domestic animal tumors have used antibodies directed against human cytokeratins, and there are clear differences in the observed immunoreactivity among species for many of these antibodies.

Specific cytokeratins have been proposed as diagnostic markers for some tumors owing to a change in cytokeratin expression as a consequence of tumorigenesis. For example, poorly differentiated prostatic carcinoma in dogs has positive immunoreactivity for cytokeratin AE1/AE3 (Grieco et al., 2003), yet cytokeratin 7 is not sufficient to distinguish prostatic from transition cell carcinomas in dogs (LeRoy et al., 2004). Various canine skin tumors may

be distinguished based on the observed immunoreactivity to a cytokeratin panel (Walter, 2000). Cytokeratin 6 is present in all epithelial skin tumors with the exception of pilomatrixoma. Cytokeratin 14 reactivity occurs in basal cell-derived neoplasms and in sebaceous and perianal gland tumors, whereas reactivity to cytokeratin 10/11 is limited to spinous cell-derived tumors and cytokeratin 8/18 immunoreactivity occurs only in tumors of sweat gland origin. Cytokeratin immunostaining has also been used to detect micrometastases in lymph nodes of dogs with mammary gland carcinoma that were considered negative on routine evaluation of H&E stained specimens (Matos *et al.*, 2006).

B. Mesenchyme

1. Vimentin

Vimentin intermediate filaments are used to mark non-muscle sarcomas (Fox et al., 2002; Mazzei et al., 2002). Vimentin expression is lost during the process of differentiation of skeletal muscle and is absent in normal mature myofibrils. Vimentin intermediate filaments have been reported, however, in poorly differentiated rhabdomyosarcomas (Brockus and Myers, 2004).

2. Desmin

Desmin is the cytoskeletal component that holds myofibrils in place, and it has found use as a marker for canine leiomyomas and leiomyosarcomas (Sato *et al.*, 2003). Canine skeletal muscle tumors are also reactive to desmin antibodies (Illanes, 2002). Canine hemangiopericytoma may also express desmin (Mazzei *et al.*, 2002).

3. Fibrillary Acid Protein

Glial fibrillary acid protein (GFAP) is found in glial cells in the central nervous system, in Schwann cells and schwannomas, and other tissues. In dogs, GFAP reactivity occurs in glial cells, including fibrous astrocytes, Schwann cells, axons, and cell bodies of peripheral ganglia. It has also been identified in glioblastoma multiforme of dogs (Lipsitz *et al.*, 2003).

C. Drug Resistance

An important mechanism for cellular resistance to anticancer drugs involves the overexpression of the product of the MDR-1 gene, P-glycoprotein (Pgp). Pgp is an ATP-dependent transmembrane efflux pump that reduces the intracellular concentration of a variety of chemotherapy drugs. The presence of Pgp can be detected with immunohistochemistry, and Pgp expression is associated with a poor clinical outcome in dogs with lymphoma (Lee *et al.*, 1996).

D. Proliferation

1. Ki-67

The monoclonal antibody (MIB-1) directed against Ki-67 recognizes a nonhistone nuclear protein expressed in proliferating cells during G1-, S-, G2-, and M-phases of the cell cycle, but it is not present in quiescent (G0) cells. Immunostaining for the Ki-67 antigen offers an efficient method for estimating the proliferative fraction of a tumor and correlates well with other measures of proliferation. Ki-67 labeling index may have prognostic significance in canine mammary gland tumors (De Matos *et al.*, 2006; Zuccari *et al.*, 2004), soft tissue sarcomas (Ettinger *et al.*, 2006), and melanoma (Laprie *et al.*, 2001; Millanta *et al.*, 2002). The Ki-67 labeling index is of limited value in predicting outcome in canine lymphoma (Phillips *et al.*, 2000). Low Ki-67 labeling of feline squamous cell carcinoma was predictive of a poor response to radiation therapy (Melzer *et al.*, 2006).

2. Proliferating Cell Nuclear Antigen

Cyclin, also known as proliferating cell nuclear antigen (PCNA), is a nonhistone nuclear protein that is present throughout the cell cycle in proliferating cells, reaching its maximum during S phase. Use of PCNA to estimate tumor proliferation rate has value in predicting response to treatment in dogs undergoing radiation therapy for meningioma (Theon et al., 2000). PCNA immunoreactivity does not correlate with survival canine mast cell tumors (Scase et al., 2006), canine or feline lymphoma (Kiupel et al., 1998; Phillips et al., 2000; Vail et al., 1998), canine or feline melanoma (Roels et al., 1999), or canine soft tissue sarcomas (Ettinger et al., 2006).

E. Leukocyte Markers

The study of immune system malignancies has been advanced by the development of monoclonal antibodies with specific reactivity to canine and feline leukocyte antigens. At present, leukocyte antigens are defined by clusterdifferentiation (CD) numbers assigned by international workshops. Panels of antibodies against leukocyte antigens are useful for classifying round cell malignancies and may provide prognostic information (Fernandez et al., 2005). Commonly used antibodies to identify various cell types of origin include CD3 (T cells), CD79a (B cells), CD18 (leukocytes), canine CD11d (macrophages and T cells), factor VIII-related antigen (megakaryocytes), and CD45RA (leukocytes). T cells can be further characterized as T cell helpers (CD4 reactive) or cytotoxic T cells (CD8 reactive). Peripheral T cells (thymocytes) can be identified by their reactivity to Thy-1. B cells can also be identified with CD20 (Jubala et al., 2005). MCH-II may also be used to identify antigen-presenting cells. Plasma cells may be identified by their immunoreactivity to canine immunoglobulin antibodies (Day, 1995).

The immunophenotyping of canine lymphoma has received considerable attention as a potential prognostic indicator. Several studies suggest that dogs with B cell lymphoma may have longer survival than those with T cell lymphoma (Dobson *et al.*, 2001; Kiupel *et al.*, 1999; Ponce *et al.*, 2004; Teske *et al.*, 1994). It is unclear if immunophenotype is an important prognostic factor in feline lymphoma (Patterson-Kane *et al.*, 2004).

Different anatomic forms of lymphoma have also been associated with specific cell types of origin. For example, in the dog, cutaneous lymphoma is primarily of T cell origin, and epitheliotrophic lymphoma is exclusively of T cell origin (Day, 1995). There also appears to be breed differences in lymphoma. In one study, 82% of boxer dogs had T cell lymphoma, whereas other only 50% of the golden retrievers and rottweilers studied had T cell lymphoma (Lurie *et al.*, 2004). In cats, mediastinal and intestinal lymphoma appear to be predominantly T cell in origin (Gabor *et al.*, 1999; Zwahlen *et al.*, 1998); however, there may be some worldwide geographic differences in the distribution of B cell lymphomas among cats.

Similar immunophenotyping techniques have been successfully applied to fine needle aspirate samples of lymph nodes using flow cytometry (Gibson *et al.*, 2004) and cytospin cytology preparations (Caniatti *et al.*, 1996). Immunophenotyping of leukocyte tumors has also been applied in other species including the horse (Kelley and Mahaffey, 1998), cow (Vernau *et al.*, 1997), and ferret (Coleman *et al.*, 1998).

F. Other Markers

1. Von Willebrand's Factor (Factor VIII-Related Antigen)

Von Willebrand's factor, part of the factor VIII complex, is restricted to endothelial cells, megakaryocytes, and platelets. Positive immunoreactivity to factor VIII-related antigen is useful in diagnosing vascular neoplasia in a variety of species. Factor VIII-related antigen has also been used to identify tumor microvessels, and various determinations of microvessel density may carry prognostic significance. In one study of malignant canine mammary tumors, a high tumor vascular density was associated with an increased likelihood of local tumor recurrence (Griffey *et al.*, 1998). Intratumoral vascular density has also been shown to predict survival in dogs with mast cell tumors (Preziosi *et al.*, 2004).

2. Actin Microfilaments

Vertebrates have at least six tissue-specific forms of actin. There are actins specific for skeletal muscle, cardiac muscle, vascular smooth muscle, and enteric smooth muscle. Alpha-smooth muscle actin may be a useful marker to distinguish canine hemangiopericytomas from other peripheral nerve sheath tumors (Chijiwa *et al.*, 2004).

3. Skeletal Myosin

Antibodies that distinguish between smooth muscle and sarcomeric myosin found in skeletal and cardiac muscle may be helpful in determining the cell of origin for muscle tumors.

4. S-100 Proteins

S-100 was originally isolated from brain and thought to be specific for glial cells; however, S-100 proteins have subsequently been identified in a variety of non-neuroectodermal tissues. In dogs, S-100 immunoreactivity has been identified in melanoma and amelanotic melanoma, gastrointestinal stromal tumors, and schwannomas, making it nonspecific for melanoma (Choi and Kusewitt, 2003). Because the diagnosis of canine melanoma is often challenging, having positive immunoreactivity for S-100, vimentin, and neuron-specific enolase and negative immunoreactivity for cytokeratins supports a tentative diagnosis of melanoma. However, other immunohistochemical markers, such as tyrosinase-related protein-2 (TRP-2), have apparently greater specificity for melanocytic tumors and may be more useful than S-100 (Choi and Kusewitt, 2003).

5. Neuron-Specific Enolase

Neuron-specific enolase (NSE) is the γ -subunit of the enolase enzyme involved in the glycolytic pathway and is characteristic of neural cells. Several tumors have been shown to be immunoreactive with NSE including medullary thyroid (C-cell) tumors, chordomas, and ganglioneuroblastomas. Meningiomas and melanomas may also have positive immunoreactivity with NSE (Barnhart *et al.*, 2002; Koenig *et al.*, 2001).

6. Chromogranin A

The diagnosis of cancers arising from neuroendocrine cells, those with neurotransmitter, neuromodulator, or neuropeptide hormone production; dense-core secretory granules; and the absence of axons and synapses, is aided by the use of neuroendocrine markers, particularly chromogranin A (Barakat *et al.*, 2004). Chromogranin A is present in the secretory granules of endocrine cells and has been a useful immunohistochemical marker for diagnosing a variety of neuroendocrine tumors of animals, including carcinoid, pheochromocytoma, insulinoma, and neuroendocrine carcinoma (Barthez *et al.*, 1997; Doss *et al.*, 1998; Morrell *et al.*, 2002; Myers *et al.*, 1997; Sako *et al.*, 2003, 2005).

7. Type IV Collagen and Laminin

Type IV collagen and laminin make up basement membranes and can be visualized with light microscopy through the periodic acid Schiff reaction. However, immunohistochemical staining allows specific and sensitive evaluation of the integrity of the basement membrane, facilitating the distinction between benign tumors with intact basement membranes and invasive cancers with degraded basement membranes (Peña et al., 1995). Fragmentation, loss, or absence of the basement membrane is associated with tumor invasion and metastasis in human colorectal cancer (Ogawa et al., 2005). Immunostaining with antibodies directed against matrix metalloproteinase enzymes (e.g., MMP-9), which degrade basement membrane proteins, may also be important for understanding the relationship between the extracellular matrix and cancer progression (Yokota et al., 2001).

8. Integrins

The integrins mediate cell-to-cell adhesions and play a role in interactions between cells and the extracellular matrix proteins including fibronectin, collagens, and laminin. Decreased expression of integrins correlates with the metastatic potential of the tumor (Kawaguchi, 2005). Expression of other integrins, such as alpha(v)beta3, may reflect the angiogenic activity of the tumor, as has been observed in canine melanoma (Rawlings *et al.*, 2003).

9. Amyloid

Antibodies, directed against amyloid of immunoglobulin lambda light-chain origin and amyloid-A, have been used to confirm that the amyloid associated with plasma cell tumors is of immunoglobulin origin (Cangul *et al.*, 2002). These immunoreactivity patterns have also been useful to show plasmacytoid differentiation in some tumors.

10. Oncoproteins

Antibodies directed against proteins of oncogenes or tumor suppressor genes may serve as biomarkers for cancer. Antibodies have been developed against human p53, Rb, PTEN, Bcl-2, BCRA1, c-myc, c-ras, and c-erbB-2, among others; reports in the veterinary literature suggest crossreactivity with some of them to domestic animal tissues. In canine lymphoma, expression of the p53 protein is associated with high-grade histology and the T cell immunophenotype (Sueiro et al., 2004), both of which suggest a poor prognosis. Likewise, overexpression of the p53 protein was associated with a poor prognosis for dogs with mammary gland tumors (Lee et al., 2004). Changes in expression of PTEN and p53 proteins have been associated with canine melanoma (Koenig et al., 2002). The expression Bcl-2, a regulator of apoptosis, did not predict survival in a study of feline lymphoma (Dank et al., 2002). Overexpression of c-ras has been associated with the development of feline hyperthyroidism (Merryman et al., 1999).

VI. CYTOCHEMISTRY

Light microscopy of blood films and bone marrow aspirate specimens has been aided by cytochemistry to distinguish various hematological disorders, most notably the acute leukemias. Although various new techniques, including immunophenotyping, various molecular methods (Grindem, 1996), and flow cytometry (Fernandes et al., 2002), are improving the classification of these diseases, cytological evaluation remains an integral part of diagnosis. The myeloperoxidase reaction and Sudan black C stain are useful for identifying neutrophilic differentiation, along with chloroacetate esterase. In the dog and cat, acute myeloid leukemia can be diagnosed on the basis of alkaline phosphatase reactivity because their normal neutrophils lack alkaline phosphatase reactivity. The "nonspecific" esterase reactions, including α -naphthyl acetate esterase, are useful for identifying monocytic differentiation. The lipase stain can also be a marker for monocytic differentiation. Acute monoblastic leukemia has been diagnosed in the dog based on reactivity with N-butyrate esterase and the lack of chloroacetate esterase or leukocyte peroxidase reactivity (Modiano et al., 1998). Myelomonocytic leukemias can be recognized by simultaneous cytochemical reactivity, often alkaline phosphatase and nonspecific esterase (Jain et al., 1981). Basophilic leukemia has been reported to be negative for peroxidase and naphthol AS-D chloroacetate esterase reactivity (Mahaffey et al., 1987). Cytochemical evaluation of acute megakaryoblastic leukemia may be of limited diagnostic value (Colbatzky and Hermanns, 1993). Similar cytochemical techniques have also been applied to lymph node samples, both imprint and aspirate, to distinguish B and T cell regions and cell types (Raskin and Nipper, 1992).

VII. CYTOGENETICS

Cytogenetics refers to both the study of the structure of chromosome material and the study of diseases caused by structural and numerical abnormalities of chromosomes. It includes the routine analysis of G-banded chromosomes, other cytogenetic banding techniques, and molecular cytogenetics such as fluorescent in situ hybridization (FISH) and comparative genomic hybridization (CGH). In human medicine, cytogenetics has played an important role in the diagnosis and clinical management of lymphomas, leukemias, and various solid tumors (Campbell, 2005; Gebhart, 2004; Mundle and Sokolova, 2004). Breakthroughs in karyotype analysis have overcome the impediments presented by the complexity of the canine karyotype (2N = 78)in identifying rearranged chromosomes (Milne et al., 2004). Both numerical and structural chromosome abnormalities have been identified in canine soft tissue sarcomas (Milne et al., 2004). Although many of the cytogenetic studies of animal tumors have been small case series, the advent and development of microarrays for canine CGH

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analysis will facilitate future studies (Thomas et al., 2003, 2005).

In a small study of canine leukemia, cytogenetic abnormalities did not correlate with survival (Grindem and Buoen, 1986). Similarly, a small study of feline leukemia found no relationship between cytogenetic abnormalities and prognosis (Grindem and Buoen, 1989). In a study of the cytogenetics of canine lymphoma, dogs with tumors having trisomy 13 had a significantly longer median survival time compared to dogs with tumors having other chromosomal aberrations (Hahn *et al.*, 1994). Further studies are needed to determine the prognostic importance of chromosomal aberrations in veterinary oncology.

VIII. MOLECULAR ONCOLOGY

The literature detailing the application of molecular biology techniques in human clinical oncology is vast. Not only have methods studying alterations in gene expression and DNA mutations contributed greatly to the understanding of cancer biology, they also are useful for defining subgroups of patients with similar histology yet different prognoses, identifying patients that will benefit from targeted therapy, and predicting the risk for toxicity from treatment (Khanna and Helman, 2006). A complete review of molecular biology applications in oncology is beyond the scope of this chapter, and they are reviewed elsewhere (Costa and Lizardi, 2005). Canine mast cell tumors illustrate the potential of molecular techniques to advance veterinary oncology. Mutations, notably tandem duplications in exons 11 and 12, have been discovered in the protooncogene c-kit of malignant canine mast cells (London et al., 1999). These changes can lead to the constitutive activation of Kit, a type 3 receptor tyrosine kinase, in the absence of its ligand, stem cell factor. Dysregulation of Kit may play a role in the uncontrolled growth or inappropriate survival of canine mast cells, potentially leading to mast cell tumor formation. These observations led to in vitro studies of tyrosine kinase inhibitors in canine mast cell tumors, which showed promise as targeted therapeutic agents for tumors with Kit dysregulation (Liao et al., 2002). These targeted inhibitors then entered clinical trials in veterinary medicine (London et al., 2003; Pryer et al., 2003). Although the presence of c-kit mutations does not predict biological behavior of canine mast cell tumors (Downing et al., 2002), cytoplasmic immunoreactivity with anti-Kit (CD 117) antibodies does appear to predict local recurrence and survival time (Preziosi et al., 2004; Webster et al., 2004).

IX. PROTEOMICS, GENOMICS, METABOLOMICS

The advent of sophisticated methods for protein separation and rapid identification has provided the ability for researchers to study global patterns of protein and gene expression or activity in metabolic pathways, in emerging disciplines known as proteomics, genomics, and metabolomics. The applications of proteomics to veterinary medicine have revealed a series of fucosylated proteins, including CD44 and E-selectin, that are elevated in dogs with lymphoma and decrease during the course of chemotherapy treatment (Xiong et al., 2003). With the completion of the sequencing of the canine genome, a microarray is being developed to study the changes in tumor gene expression in canine tumors (Thomas et al., 2003), which may lead to improved early diagnosis methods and targeted therapeutic strategies. These technologies are not yet ready for widespread clinical use, in part because of the challenges of analyzing the massive amounts of data generated and the persistent concerns about reproducibility of results. Despite these challenges, the "-omics" have tremendous potential to become rapid, high-throughput systems for identifying candidate tumor markers for more careful study. See Chapter 5 for more detailed information about proteomics.

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Cerebrospinal Fluid

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I. INTRODUCTION

The analysis of cerebrospinal fluid (CSF) has been described as the central nervous system (CNS) equivalent of the complete blood count (Jamison and Lumsden, 1988), and the analogy is appropriate. CSF analysis is a general index of neurological health and often provides evidence of the presence of disease. Similar to a complete blood count, CSF analysis has reasonable sensitivity but low specificity. The possible alterations of CSF are relatively limited compared to the varieties of neurological diseases that exist (particularly if the analysis is restricted to total cell counts and total protein determination). Additionally, the type and degree of CSF abnormality seem to be related as much to the location of disease as to the cause or the severity of lesion; meningeal and paraventricular diseases generally produce greater abnormalities than deep parenchymal diseases. Previous therapy may affect the type, degree, and duration of CSF (Jamison and Lumsden, 1988) abnormalities as well. The CSF abnormalities identified are also dependent on the CSF collection site with respect to lesion location

(Thomson et al., 1989, 1990). Lastly, the CSF of animals with neurological disease is not always abnormal (Tipold et al., 1995). Only occasionally does CSF analysis provide a specific diagnosis (Kjeldsberg and Knight, 1993)—for example, if infectious agents (bacteria or fungi) or neoplastic cells are observed. In most situations, the chief utility of CSF analysis is to assist in the diagnostic process by excluding the likelihood of certain disease processes being present. As is the case with all tests of relatively low specificity, examination of CSF is most useful when the results are correlated with the history, clinical findings, imaging studies, and ancillary laboratory studies. As stated by Fankhauser (1962), "It is futile to make a diagnosis based solely on the CSF findings and particularly on single alterations of it. Only the entire picture of all findings linked with the other clinical symptoms is of value in reaching a diagnosis."

II. FUNCTIONS OF CEREBROSPINAL FLUID

Cerebrospinal fluid has four major functions: (1) physical support of neural structures, (2) excretion and "sink" action, (3) intracerebral transport, and (4) control of the chemical environment of the central nervous system. Cerebrospinal fluid provides a "water jacket" of physical support and buoyancy. When suspended in CSF, a 1500-gm brain weighs only about 50 gm. The CSF is also protective because its volume changes reciprocally with changes in the volume of intracranial contents, particularly blood. Thus, the CSF protects the brain from changes in arterial and central venous pressure associated with posture, respiration, and exertion. Acute or chronic pathological changes in intracranial contents can also be accommodated, to a point, by changes in the CSF volume (Fishman, 1992; Milhorat, 1987; Rosenberg, 1990).

The direct transfer of brain metabolites into the CSF provides excretory function. This capacity is particularly important because the brain lacks a lymphatic system. The lymphatic function of the CSF is also manifested in the removal of large proteins and cells, such as bacteria or blood cells, by bulk CSF absorption (see Section II.D). The "sink" action of the CSF arises from the restricted access of water-soluble substances to the CSF and the low concentration of these solutes in the CSF. Therefore, solutes entering the brain, as well as those synthesized by the brain, diffuse freely from the brain interstitial fluid into the CSF. Removal may then occur by bulk CSF absorption or, in some cases, by transport across the choroid plexus into the capillaries (Davson and Segal, 1996; Fishman, 1992; Milhorat, 1987; Rosenberg, 1990).

Because CSF bathes and irrigates the brain, including those regions known to participate in endocrine functions, the suggestion has been made that CSF may serve as a vehicle for intracerebral transport of biologically active substances. For example, hormone releasing factors, formed in the hypothalamus and discharged into the CSF of the third ventricle, may be carried in the CSF to their effective sites in the median eminence. The CSF may

TABLE 26-1 Composition of the Brain–Fluid Interfaces

Interface	Cell Type	Junction Type		
Blood-brain	Brain capillary endothelium	Tight junction		
Blood-CSF				
Blood-CSF CSF-blood	Choroid plexus epithelium	Apical tight junction		
001 01000	Arachnoid cells	Tight junction		
	Arachnoid villi	Valve		
CSF-brain	Ependyma	Gap junction		
	Pia mater	Gap junction		

also be the vehicle for intracerebral transport of opiates and other neuroactive substances (Davson and Segal, 1996; Fishman, 1992; Milhorat, 1987; Rosenberg, 1990).

An essential function of CSF is the provision and maintenance of an appropriate chemical environment for neural tissue. Anatomically, the interstitial fluid of the central nervous system and the CSF are in continuity (see Section II.A); therefore, the chemical composition of the CSF reflects and affects the cellular environment. The composition of the CSF (and the interstitial fluid) is controlled by cells forming the interfaces, or barriers, between the "body" and the neural tissue. These semipermeable interfaces, the blood-brain barrier, the blood-CSF barrier, and the CSF-brain barrier, control the production and absorption of CSF and provide a fluid environment that is relatively stable despite changes in the composition of blood (Davson and Segal, 1996; Fishman, 1992; Milhorat, 1987; Rosenberg, 1990).

III. CSF FORMATION, CIRCULATION, AND ABSORPTION

The brain (and the spinal cord) as an organ is isolated in many ways from the body and the systemic circulation. This isolation is accomplished anatomically by several interfaces between brain tissue and systemic fluids (Table 26-1). At these interfaces, selective carriers and ion pumps transport electrolytes and essential nutrients and thereby control the brain's microenvironment. A substantial portion of this control is achieved through the formation, circulation, and absorption of CSF at these brain-fluid interfaces (Davson and Segal, 1996; Fishman, 1992; Milhorat, 1987; Rosenberg, 1990).

A. Anatomy of Brain-Fluid Interfaces

1. Blood-Brain Barrier

The important blood-brain (and blood-spinal cord) interface is formed by the endothelial cells of the intraparenchymal capillaries. In most areas of the brain and spinal cord,

the capillary endothelium differs from that of other body tissues in the following ways: (1) the absence of fenestrae, (2) the presence of tight junctions between adjacent cells, (3) a lower number of pinocytotic pits and vesicles, (4) a higher number of mitochondria, and (5) closely applied, perivascular, astrocytic foot processes. These features result in the capillary endothelium being a selective barrier—the blood-brain barrier—that regulates the entry, and probably the exit, of biologically important substances and aids in the maintenance of a precise, stable environment for the neural tissues (Davson and Segal, 1996; Fishman, 1992; Milhorat, 1987; Rosenberg, 1990).

2. Blood-CSF Barrier

The epithelial cells of the circumventricular organs form one part of the blood-CSF interface. The circumventricular organs, which include the four choroid plexuses, the median eminence, the neural lobe of the hypophysis, and other specialized areas, border the brain ventricles and are involved with specific secretory activities that appear to require a direct contact with plasma. The capillaries within these organs are fenestrated, similar to capillaries in other organs of the body. Overlying each of the organs are specialized epithelial cells joined by intercellular tight junctions at their apical (ventricular) borders. These epithelial cells also are characterized by an abundance of intracellular organelles and lysosomes. These organelles are probably an important aspect of the barrier and secretory functions of these cells (Davson and Segal, 1996; Fishman, 1992; Milhorat, 1987; Rosenberg, 1990). The choroid plexuses are the major source of CSF. They are formed by evaginations of the ependyma and the pial blood vessels into the ventricles, and they consist of a single row of cuboidal, specialized epithelial cells thrown into villi around a core of blood vessels and connective tissue. The apical (ventricular) surface of the epithelial cells has a brush border of microvilli with occasional cilia. The basal and lateral cell surfaces have numerous infoldings. Overall, the structure of these cells resembles other epithelia specialized for fluid transport, such as proximal renal tubular epithelium (Davson and Segal, 1996; Fishman, 1992; Milhorat, 1987; Rosenberg, 1990). Autonomic nerve terminals have also been identified in the choroid plexus, but their function is unclear (Fishman, 1992; Nilsson et al., 1992).

The second part of the blood-CSF interface is formed by the arachnoid membrane at the arachnoid villi. These villi are microscopic evaginations of the arachnoid membrane into the lumen of the dural sinuses. The barrier function of these arachnoid cells is demonstrated by their tight junctions. Their transport function is indicated by giant intracellular vacuoles, some of which have both basal and apical openings, and pinocytotic vesicles. The sinus surface of a villus is covered by sinus endothelium (Milhorat, 1987; Rosenberg, 1990). Endothelium-lined channels may link directly with the subarachnoid space (Bell, 1995; Davson and Segal, 1996).

Arachnoid villi are not limited to intracranial venous sinuses but also are present at the spinal nerve roots penetrating into the spinal veins (Bell, 1995; Milhorat, 1987).

3. CSF-Brain Interface

The extensive CSF-brain (and spinal cord) interface consists of the ependyma within the cavities of the central nervous system and the pia mater covering the central nervous system. These two layers are each composed of a single layer of cells joined by gap junctions. The ependyma and the pia mater are not important permeability barriers; the CSF (ventricular and subarachnoid) and the brain interstitial fluid are directly continuous (Davson and Segal, 1996; Milhorat, 1987).

B. CSF Formation

1. Choroidal and Extrachoroidal Formation

Cerebrospinal fluid is formed principally by the choroid plexuses, with a smaller amount formed extrachoroidally (Davson and Segal, 1996; Milhorat, 1987). Choroidal formation involves two processes that occur in series; first is filtration across the choroidal capillary wall, and second is secretion by the choroidal epithelium. Within the choroid plexus, hydrostatic pressure of the choroidal capillaries initiates the transfer of water and ions to the interstitial fluid and then to the choroidal epithelium. Water and ions are then transferred into the ventricles either by (1) intracellular movement across the epithelial membranes, or (2) intercellular movement across the apical tight junctions between epithelial cells. Both of these processes probably depend on ion pumps. Secretion of CSF results from the active transport of sodium, which is dependent on the membrane-bound, sodium-potassium activated ATPase present at the apical (ventricular) surface of the choroidal epithelium (Davson and Segal, 1996; Rosenberg, 1990). The presence of autonomic nerve terminals in the choroid plexus suggests a neural control of CSF secretion. However, the functional role of this innervation in normal and pathological conditions is unknown (Fishman, 1992; Nilsson et al., 1992).

Spurred primarily by clinical evidence that excision of the choroid plexus did not benefit human patients with hydrocephalus, experimental evidence now supports the existence of an extrachoroidal source of CSF. The diffusion of brain interstitial fluid across the ependyma or pia mater is the apparent source of this extrachoroidal CSF component. Formation of the interstitial fluid is thought to occur by active transport processes (secretion) at the cerebral capillaries, but an alternative theory proposes passive permeability of the capillary endothelium and active transport by the surrounding astrocytes (Milhorat, 1987; Rosenberg, 1990). The relative contributions of choroidal and extrachoroidal sources to CSF in normal and pathological conditions are not certain. Some investigators report the choroid plexus to be the major if not the sole source of CSF; whereas others conclude

Species	Rate (μ l/min)
Mouse	0.325
Rat	2.1-5.4
Guinea pig	3.5
Rabbit	10
Cat	20–22
og	47–66
Sheep	118
Goat	164
Calf ^b	290
Monkey	28.6–41
Human being	350–370

that at least one-third of newly formed CSF is extrachoroidal (Davson and Segal, 1996; Milhorat, 1987).

2. Rate of CSF Formation

Regardless of the amount of extrachoroidal formation, the rate of CSF formation is closely correlated to the weight of the choroid plexus and varies among species (Table 26-2) (Cserr, 1971; Welch, 1975). Increases and decreases in formation rate have been achieved experimentally, but the general tendency is for the formation rate to remain relatively constant. The formation rate directly parallels the rate of sodium exchange, which is linked to the bicarbonate ion. The enzyme carbonic anhydrase plays an important role because it provides the bicarbonate. Inhibition of carbonic anhydrase slows (but does not abolish) sodium, bicarbonate, and chloride flow, resulting in a reduction of CSF secretion (Maren, 1992). Several drugs and conditions inhibit CSF production (Table 26-3), but their clinical utility is limited either by their time frame of action or toxicity (Davson and Segal, 1996; Pollay, 1992; Rosenberg, 1990).

Moderate variations in intracranial pressure probably do not affect CSF formation. However, studies of chronically hydrocephalic animals have shown a reduction of CSF formation with increasing intraventricular pressure. The secretion process may also be affected by chronically increased intracranial pressure (Fishman, 1992).

C. CSF Circulation

Cerebrospinal fluid flows in bulk from sites of production to sites of absorption. Fluid formed in the lateral ventricles

Effect	Substance or Condition	Site of Action		
Increase	Cholera toxin Phenylephrine ⁶	cAMP Cholinergic pathways		
Decrease	Acetazolamide, furosemide	Carbonic anhydrase		
	Atrial natriuretic hormone	cGMP		
	Diazepam analogue ^c	Choroidal benzodiazepine receptor		
	Dopamine D ₁ receptor agonist	Choroidal dopamine receptor		
	Hyperosmolarity Hypothermia Noradrenaline ^a	Choroidal capillaries Metabolism (decreased) cAMP/choroidal Na ⁺ -K ⁺ ATPase		
	Omeprazole ⁶ Ouabain	H ⁺ -K ⁺ ATPase? Na ⁺ -K ⁺ ATPase		
	Serotonin receptor agonist	Choroidal serotonin receptor		
	Steroids ⁶	Choroidal Na ⁺ -K ⁺ ATPase		
	Vasopressin	Choroidal vasopressin (V ₁) receptor		

^b Davson and Segal (1996)

flows through the paired interventricular foramina (foramen of Monro) into the third ventricle, then through the mesencephalic aqueduct (aqueduct of Sylvius) into the fourth ventricle. The majority of CSF exits from the fourth ventricle into the subarachnoid space; a small amount may enter the central canal of the spinal cord. In people, CSF enters the subarachnoid space through the lateral apertures (foramina of Luschka) and the median aperture (foramen of Magendie) of the fourth ventricle. Animals below the anthropoid apes do not have a median aperture (Fankhauser, 1962; Fletcher, 1993). Cerebrospinal fluid has also been shown to flow from the spinal subarachnoid space into the spinal perivascular spaces, across the interstitial space, then into the central canal (Stoodley et al., 1996). Mechanisms for propelling the CSF along its route probably include (1) the continuous outpouring of newly formed ventricular fluid, (2) the ciliary action of the ventricular ependyma, (3) respiratory and vascular pulsations, and (4) the pressure gradient across the arachnoid villi (Milhorat, 1987).

D. CSF Absorption

Absorption of CSF occurs by bulk absorption of the fluid and by absorption or exchange of individual constituents of the fluid (i.e., ions, proteins, and drugs). Bulk absorption occurs directly into the venous system and depends primarily

Species	Collection Site ^a	N^b	Cells/ul ^c	Reference
Dog	C	50	0–2	Jamison and Lumsden, 1988
Dog	C, L	31	0–4	Bailey and Higgins, 1985
Cat	С	33	0-2	Rand et al., 1990b
Horse	Pooled C & L	44	0–6	Mayhew, 1977
Horse	С	14	0–5	Furr and Bender, 1994
Cow	L	16	0.85-3.524	Welles et al., 1992
Llama	L	17	0–3	Welles et al., 1994
Sheep	L	NS	0–5	Fankhauser, 1962
Goat	NS	NS	0–4	Brewer, 1983
Pig	NS	NS	0-7	Fankhauser, 1962
Ferret	С	42	0–8	Platt et al., 2004
Holsten calf, 8 weeks old	C	10	0-10	St. Jean et al., 1995

 $^{^{}a}$ C = cerebellomedullary cistern. L = lumbar subarachnoid space.

NS = not stated

on the CSF hydrostatic pressure; as the pressure rises, the absorption rate increases (Davson and Segal, 1996). If intracranial pressure falls below a critical point, bulk absorption decreases, a homeostatic response to stabilize the intracranial pressure and the CSF volume. The primary site of bulk absorption, at least in people, is the arachnoid villi that project into the dural sinuses. Two other routes are through lymphatic channels in the dura and through the perineural sheaths of cranial nerves (particularly the olfactory nerves) and spinal nerves. Perineural absorption may be through arachnoid villi projecting into perineural veins, lymphatics, or connective tissue (Davson and Segal, 1996; Milhorat, 1987). The importance of these various absorption routes varies with the species (Bell, 1995).

Absorption through the arachnoid villi occurs transcellularly through micropinocytotic vesicles and giant intracellular vesicles, but it may also occur through endothelium-lined, intercellular clefts. The mechanisms appear to vary among species (Bell, 1995). Absorption is unidirectional from the CSF into the venous blood—the villi act like one-way valves. The basis for the valve-like mechanism appears to be transport by the giant vesicles (see Section II.A.2). Particles ranging in size from colloidal gold $(0.2\,\mu\mathrm{m})$ to erythrocytes $(7.5\,\mu\mathrm{m})$ can be transported across the villi. In disease conditions, accumulations of larger size particles (e.g., protein molecules, erythrocytes, leukocytes) within the villi may impair absorption leading to hydrocephalus (Fishman, 1992; Milhorat, 1987). The choroid plexus also has an absorptive function, acting

on specific substances in the CSF rather than by bulk fluid absorption. A variety of compounds are actively transported from the CSF, in a fashion reminiscent of the proximal renal tubule. Solutes may also be cleared from the CSF by diffusion into adjacent brain cells or capillaries (Fishman, 1992; Milhorat, 1987).

IV. CELLULAR COMPOSITION OF NORMAL CSF

A. Total Erythrocyte and Nucleated Cell Count

Cerebrospinal fluid normally does not contain erythrocytes (Chrisman, 1992; Cook and DeNicola, 1988; Rand et al., 1990b; Wilson and Stevens, 1977). Erythrocytes in a CSF sample are most commonly iatrogenic, because of trauma associated with the needle placement. However, CSF erythrocytes may also originate from pathological hemorrhage. The normal nucleated cell count of CSF in domestic animals is in Table 26-4. The most widely accepted reference ranges for the numbers of leukocytes in the CSF of dogs and cats is 0 to 5 cells/μL (de Lahunta, 1983; Oliver and Lorenz, 1993) to 0 to 8 cells/µL (Duncan, 1994). However, these ranges are too broad in our experience and other studies confirm this (Jamison and Lumsden, 1988). Jamison examined 50 clinically and histopathologically normal dogs and derived cerebellomedullary CSF reference limits of 0 to 2 cells/ μ L (Jamison and Lumsden, 1988). In fact,

 $^{^{}b}$ N = number of animals.

c Range.

d 95% confidence interval.

all except one of these dogs had counts of 0 to 1 cells/ μ L (personal communication). Bailey and Higgins examined 31 dogs that were clinically and histopathologically normal. For cerebellomedullary CSF, the mean nucleated cell count was 1.45 cells/ μ L with the 95% confidence intervals 1.04 to 1.86, and the observed range 0 to 4 cells/ μ L. Twenty-six of 31 dogs had counts between 0 to 2 cells/ μ L. They also found that lumbar CSF had a significantly lower nucleated cell count, with a mean of 0.55 cells/ μ L, a 95% confidence interval of 0.22 to 0.88 and an observed range of 0 to 4 cells/ μ L, although 30/31 dogs had counts of 0 to 2 cells/ μ L (Bailey and Higgins, 1985). In our opinion, a normal nucleated count for cerebellomedullary CSF in dogs is 0 to 2 cells/ μ L, with 3 cells/ μ L being questionably abnormal and 4 cells/ μ L definitely abnormal.

Rand and colleagues (1990b) derived reference limits for cerebellomedullary CSF from 33 cats that were clinically and histopathologically normal. The samples did not have blood contamination. The mean ± 1 SD for the white blood cell count was 0.1 ± 0.4 with an observed range of 0 to 2 cells/ μ L. Thirty of 33 cats had counts of 0 cells/ μ L. Three cells or more per microliter is therefore abnormal in feline cerebellomedullary CSF.

B. Differential Cell Count

1. Leukocytes

Excellent morphological descriptions of the cell types normally found in the CSF of domestic animals can be found elsewhere (Cook and DeNicola, 1988; Jamison and Lumsden, 1988; Rand et al., 1990b). Normal CSF consists of varying proportions of small lymphocytes and monocytes. The proportions are species and age dependent (Kjeldsberg and Knight, 1993). In dogs, monocytic type cells predominate (Jamison and Lumsden, 1988), although there is individual variation. In cats (Jamison and Lumsden, 1988; Rand et al., 1990b), (unreactive) macrophages also predominate, with a mean of 87%, whereas small lymphocytes have a mean of 9%. This same trend is observed in horses, with 73.6% monocytes (macrophages) and 26.2% lymphocytes (Furr and Bender, 1994). However, small lymphocytes predominate in cattle (Welles et al., 1992) and llamas (Welles et al., 1994). In the literature published before 1975 that focused on humans, any neutrophils present in the CSF were thought to be indicative of disease (Kjeldsberg and Knight, 1993). However, with the advent of techniques for concentrating CSF specimens, such as cytocentrifugation, it became clear that a very small number of neutrophils may be found in normal human CSF (Fishman, 1992; Kjeldsberg and Knight, 1993). Similar observations have been made in many veterinary species, and rare neutrophils may be a normal finding in the CSF of all domestic species. Eosinophils are not present in normal CSF, although a single cell is occasionally seen on cytocentrifuge slides in animals with normal total nucleated cell counts. Large foamy activated macrophages or phagocytes are not seen in normal CSF (Christopher et al., 1988; Fishman, 1992), and their presence is nonspecific evidence of an inflammatory disorder. Plasma cells are not seen in normal CSF (Fishman, 1992; Kjeldsberg and Knight, 1993; Pelc et al., 1981). Their presence indicates underlying inflammatory disease. In people, plasma cells are seen particularly in acute viral disease and various chronic inflammatory conditions including tuberculous meningitis, syphilis, multiple sclerosis, and the Guillain-Barré syndrome (Kjeldsberg and Knight, 1993; Pelc et al., 1981). In animals, plasma cells have been observed in various conditions including distemper (Vandevelde and Spano, 1977), other viral meningitis (Bichsel et al., 1984a; Vandevelde and Spano, 1977), rabies (Green et al., 1992), granulomatous meningoencephalomyelitis (Bailey and Higgins, 1986a; Vandevelde and Spano, 1977), neoplasia, and abscesses (W. Vernau, personal observations). Therefore, although they are abnormal, no specificity is associated with their presence in CSF. Similarly, reactive lymphocytes are not found in normal CSF, but their presence has no specificity. They can be seen in active or resolving infectious disease, immune mediated diseases, and neoplasia (Cook and DeNicola, 1988).

2. Other Cells

Cells other than leukocytes can be seen in both normal and abnormal CSF. Cells lining the leptomeninges, choroid plexus cells, and ependymal cells can be seen as single cells or, more often, as small papillary clusters or sheets. Cytologically, choroid plexus cells and ependymal cells are indistinguishable (Cook and DeNicola, 1988; Garma-Avina, 2004; Kjeldsberg and Knight, 1993). The majority of lining cells seen in normal CSF is choroid plexus cells (Kjeldsberg and Knight, 1993). Excellent descriptions and illustrations of these cell types can be found elsewhere (Cook and DeNicola, 1988; Garma-Avina, 2004; Kjeldsberg and Knight, 1993; Rand et al., 1990b). Chondrocytes are occasionally observed in CSF sampled by lumbar puncture, likely resulting from the spinal needle puncturing the intervertebral disk (Bigner and Jonston, 1981). Squamous cells can be observed in CSF and may be due to skin contamination or an underlying pathological process such as epidermoid cysts (Kornegay and Gorgacz, 1982) or metastatic carcinomas. Bone marrow cells (immature hematopoietic precursors) have been described in the CSF of people (Kjeldsberg and Knight, 1993) and dogs (Christopher, 1992). In people, bone marrow cells in the CSF are usually associated with lumbar puncture, usually in infants or in patients with vertebral bone abnormalities that create difficulties during the sampling process. The cells are present because of sampling from the vertebral body or articular process bone marrow. Christopher (1992) observed hematopoietic cells in the lumbar CSF of two dogs and speculated that it was due either to marrow penetration or to dural extramedullary hematopoiesis (Christopher, 1992).

Extramedullary hematopoiesis was observed in the choroid plexus of five dogs that did not have underlying hematological abnormalities or the presence of extramedullary hematopoiesis elsewhere (Bienzle et al., 1995). Although the CSF was normal in these dogs and hematopoietic cells were not observed, this site could provide another potential source for the presence of these cells in CSF. Metastatic myeloid leukemia could conceivably produce similar findings, but peripheral blood and marrow examination would clarify the origin of the cells in question. Neurons, astrocytes, glial cells, and neural tissue may be observed in the CSF of people (Bigner and Jonston, 1981) and also in cerebellomedullary cisternal samples associated with traumatic CSF taps in animals (Fallin et al., 1996). White matter in CSF is more common in lumbar versus cisternal CSF samples in dogs, most likely because of the sampling method, although the presence of underlying malacia is another potential cause (Mesher et al., 1996). White matter in the CSF is not correlated with a negative prognosis when compared to dogs without white matter in the CSF.¹

V. BIOCHEMICAL CONSTITUENTS OF NORMAL CSF

Because CSF is a product of plasma filtration and membrane secretion, its composition is different from plasma. In general, CSF is a clear, colorless, nearly acellular, low protein fluid. Various ions, enzymes, and other substances are also found in normal CSF. In health, the CSF composition is maintained relatively constant by the various membrane interfaces, although some fluctuations occur with fluctuations in plasma composition. The chemical composition of the CSF of various animal species is summarized in Tables 26-5 through 26-8. These values should serve only as a guide; normal values must be established for individual laboratories.

A. Ontogeny of CSF

In people and animals, differences in CSF appearance and composition exist between neonates and adults. Human neonatal CSF is usually xanthochromic, probably because of a greater protein and bilirubin content than adult CSF. Glucose content is also increased, more closely approximating the blood glucose level. Many of these differences (e.g., protein content) are attributed to immaturity of the bloodbrain barrier. Immaturity of the blood-brain barrier may be due to an increased number of fenestrae in the brain capillaries or inadequate closure of their endothelial tight junctions. Other factors that may contribute to age differences in CSF composition are the integrity of the blood-CSF barrier, the rate of CSF secretion and efficiency of absorption, the volume

of the extracellular space of the brain, and the lipid-solubility of the substances (Davson and Segal, 1996; Fishman, 1992). Protein also decreases with age in foals and puppies (Furr and Bender, 1994; Meeks *et al.*, 1994; Rossdale *et al.*, 1982). In contrast, two studies of calves found that CSF protein increased with age (Binkhorst, 1982; St. Jean *et al.*, 1995). Foals also had xanthochromia and a higher CSF glucose and creatine kinase level than adults (Furr and Bender, 1994; Rossdale *et al.*, 1982). The white blood count (WBC) decreased with age in puppies and calves (Binkhorst, 1982; Meeks *et al.*, 1994).

Studies done in prenatal, neonatal, and adult laboratory animals (including rats, rabbits, pigs, sheep, cats, dogs, and monkeys) and people have shown that, in general, the CSF/plasma concentration ratios (R_{CSF}) of Na⁺, Mg ²⁺, and Cl- increase with age. The R_{CSF} of K+, HCO₃-, and urea decrease. In some instances, however (e.g., Cl- and K⁺), changing plasma levels of these substances contribute to the change in the R_{CSF}. The R_{CSF} of total protein, as well as the individual proteins, decreases with age. The decreasing concentration of proteins in the CSF compared to plasma protein is an indication of the maturation of the blood-brain and blood-CSF barriers. In rats, the R_{CSF} of amino acids also falls quickly with age, although large individual variations exist. Taurine, for example, has a higher level in the adult than the newborn. This fact, as well as the speciesspecific transport of some proteins (e.g., albumin) into the CSF, indicates a special mechanism of transport based on factors other than molecular weight (Davson and Segal, 1996).

B. Proteins in the Cerebrospinal Fluid

Proteins identified in the CSF are given in Tables 26-9 and 26-10. In general, the concentration of a CSF protein is inversely related to its molecular weight. If the blood-brain barrier is normal, serum proteins with a molecular weight greater than 160,000 daltons are largely excluded. However, Felgenhaur (1974) reported CSF:serum protein distribution ratios to be better correlated with the hydrodynamic radii than with the molecular weight of the proteins. Almost all the proteins normally present in CSF are derived from the serum. The exceptions are transthyretin (prealbumin) and transferrin, which are also synthesized by the choroid plexus, and beta and gamma trace proteins, tau protein (tau fraction, modified transferrin), glial fibrillary acidic protein, and myelin basic protein, which appear to be synthesized intrathecally (Thompson, 1988).

1. Albumin

With electrophoretic techniques, protein in the CSF can be separated into prealbumin, albumin, and alpha, beta, and gamma globulins. The major protein in CSF is albumin, which is synthesized only in the liver. The limited entry of albumin into the CSF is dependent on the blood-brain/CSF

 $^{^1\}mathrm{Zabolotzky},\ \mathrm{S.},\ \mathrm{Vernau},\ \mathrm{W.},\ \mathrm{Vernau},\ \mathrm{K.}\ \mathrm{M.},\ \mathit{et}\ \mathit{al.},\ \mathrm{manuscript}\ \mathrm{in}$ preparation.

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Constituent	Tipold et al., 1994	Sorjonen, 1987	Bailey and Higgins, 1985	Bichsel et al., 1984b	Sorjonen et al., 1991	Krakowka et al., 1981	Coles, 1980	Bleich, 1964	Fankhauser, 1962
Methods # RBC/µl Necropsy	NS NS	≤10 Yes	<1500 Yes	0 NS	≤10 NS	0 Yes	NS NS	NS NS	NS NS
Total protein (mg/dl) Cerebellomedullary Lumbar Method		27 ± 4.2 (23–35)	13.97 ± 4.54 (3–23) 28.68 ± 5.52 (18–44)		29.9 ± 1.57 (23–38.5)	27.6 ± 1.1SE (15.5–42)			27.5 (11–55)
Medica		Coomassie brilliant blue	Coomassie brilliant blue		Micro-Lowry	Coomassie brilliant blue			NS
Albumin (mg/dl)		37 ± 4.29% (31–44%)		17.1 ± 6.7 (7.5–27.6)	12.43 ± 0.96^{6} (10.5-17.4) 11.27 ± 1.0^{6} (7.8-19.0)	10.28 ± 0.8SE (5.8–18.9)			27 (16.5–37.5)
Albumin quotient		0.22 ± 0.05 (0.17–0.3)							
Globulin (mg/dl)					17.45 ± 0.83 (14.0–21.1)				9.0 (5.5–16.5)
IgG (mg/dl)				0.85 ± 0.14 (0.71-1.09)	4.68 ± 0.68 (2.5-8.5)	1.16 ± 0.1SE			
IgG index	0.7 ± 0.3 (0.2–1.3)			0.38 ± 0.24 (0.15–0.9)					
IgM (μg/ml)	1.7 (0–5.8)				0	0			
IgA (μg/ml)	0.08(0-0.2)				0	0			

Alanine transferase (Reitman-Frankel units)	13.7 ± 1.358 $(2-32)$	E	
Aspartate transferase (Reitman-Frankel units)	20.1 ± 1.648 (9–46)	SE _.	
Creatine kinase (SU)	<1		
Bicarbonate (mEq/L)		23.5 ± 0.19SE	CONTRACTOR OF THE PROPERTY OF
Calcium (mg/dl)			6.56 (5.13–7.40)
Chloride (m Eq/L)		130 ± 0.5SE	808 (761–883) mg/dl 667 (602–783) mg/dl
Magnesium (mg/dl)			3.09 (2.58–3.81)
Phosphorus (mg/dl)			3.09 (2.82–3.47)
Potassium (mEq/L)		3.3 ± 0.04SE	1 2
Sodium (mEq/L)		153 ± 0.5SE	
Glucose (mg/dl)			74 (61–116)
рН		7.36	*79
Urea (mg/dl)	10-11		
Specific gravity			1.005 (1.003–1.012)
			4

 $^{^{}a}$ Mean \pm 1 SD, observed range in parentheses, unless otherwise noted. Values are for cerebellomedullary fluid unless otherwise noted.

^b By electrophoresis.

^c By radial immunodiffusion.

NS = not stated.

Constituent	Rand et al.,1990b	Hochwald et al.,1969	Ames, 1964	Fankhauser, 196
Methods # RBC/μl Necropsy	<30 Yes	NS No		NS NS
Total protein (mg/dl) Cerebellomedullary Reference range	18 ± 7 ⁶ 6–36	27.0 ± 8.8		<20
Lumbar Method	Ponceau S	44.0 ± 1.7 Biuret		NS
Albumin (mg/dl) Cerebellomedullary Lumbar		6.5 ± 2.1 10.1 ± 2.9		
γ-Globulin (mg/dl ± SD) Cerebellomedullary Lumbar		1.2 ± 0.27 1.6 ± 0.30		
lgG (mg/dl) Reference range	1.4 ± 1.7 0-5.3			
lgG–Total protein index	0.321 ± 0.210 (0.086–1.297)			
Aspartate transferase (U/L) Reference range	17 ± 7 0–34			
Creatine kinase (U/L) Reference range	47 ± 51^{6} 2–236			
Lactate dehydrogenase (U/L) Reference range	12 ± 5 ⁶ 0-24			
Calcium (mEq/kg H ₂ O ± SE)			1.50 ± 0.06	5.2 mg/dl
Chloride (mEq/kg H ₂ O ± SE)			144 ± 2	900 mg/dl
Magnesium (mEq/kg H ₂ O ± SE))			1.33 ± 0.02	
Potassium (mEq/kg H ₂ O ± SE)			2.69 ± 0.09	
Sodium (mEq/kg H ₂ O ± SE)			158 ± 4	
Glucose (mg/dl) Reference range	74.54 ± 23.6 18.2–130.9			85
Н				Slightly alkaline

 a Mean $\pm 1\,$ SD, observed range in parentheses, unless otherwise noted. Values are for cerebellomedullary fluid unless otherwise noted.

barrier to macromolecules. When total CSF protein increases, the albumin concentration increases disproportionately. This phenomenon illustrates the role of molecular size in determining the distribution of serum proteins into the CSF (Felgenhauer, 1974).

2. Alpha and Beta Globulins

Immunoelectrophoresis can separate the alpha and beta globulins into several proteins (Table 26.9). The origin of tau protein (beta₂ transferrin) is uncertain. This protein may be modified serum transferrin (beta₁ transferrin) or it may be a unique protein, "tau protein," in the CSF (Fishman, 1992). In veterinary and human medicine, no correlation

has been made between changes in the concentrations of these globulins and specific neurological disease (Fishman, 1992; Sorjonen *et al.*, 1991). Thus, their measurement has limited clinical use at this time.

3. Gamma Globulins

Because of the changes found in association with multiple sclerosis and other inflammatory diseases, the gamma globulins have received a great deal of attention. Electrophoretic techniques define the gamma globulins as a heterogeneous group of proteins with migrations at similar rates (see Table 26.9). The gamma globulin fraction contains immunoglobulins. Immunological assays identify three major immunoglobulins

b Significantly correlated with CSF RBC count.

NS = not stated.

Constituent	Rossdale et al.,1982	Andrews et al., 1994	Andrews et al., 1990a	Andrews et al., 1990b	Rossdale et al., 1982	Mayhew,1977 ⁶	Fankhauser, 1962
Age	<40 hrs	=10 days	4–9 years	NS	Adult	0.75-15 years	Adult?
Methods #RBC/µl Necropsy	NS NS	<2000 No	<600 2 of 12	NS NS	NS NS	195.15 ± 511.96 No	NS NS
Total protein (mg/dl) Cerebellomedullary Lumbosacral	138 ± 50 (70–210)	82.8 ± 19.2 (56.7–115) 83.6 ± 16.1 (60.5–116)	87.0 ± 17.0 (59-118) 93 ± 16 (65-124)		105 ± 38 (40-170)	37.23 ± 28.4 ⁴ 5-100°-0.46 ± 13.7 (LS-CM difference)	47.58 (28.75–71.75)
Method	Biuret	Coomassie brilliant blue	Coomassie brilliant blue		Biuret	TCA	NS
Albumin (mg/dl ± SD) Cerebellomedullary Lumbosacral		52.0 ± 8.6 (34–64) 53.8 ± 15.7	35.8 ± 9.7 (24–51) 37.8 ± 11.2				38.64 (22.62–67.94)
Albumin quotient (±SD) Cerebellomedullary Lumbosacral		$(30-92)$ 1.86 ± 0.29 $(1.55-2.33)$ 1.85 ± 0.51 $(1.07-2.88)$	$(24-56)$ 1.4 ± 0.4 $(1-2.1)$ 1.5 ± 0.4 $(1-2.4)$				
Globulin (mg/dl)		50E 50F	977 150.				9.34(3.37–18.37)
lgG (mg/dl±SD) Cerebellomedullary Lumbosacral		10.2 ± 5.5 (3–22) 9.9 ± 5.7 (3–22.5)	5.6 ± 1.4 (3-8) 6.0 ± 2.1 (3-10)				
lgG index (±SD) Cerebellomedullary Lumbosacral		0.519 ± 0.284 (0.095-0.942) 0.482 ± 0.27 (0.091-2.089)	0.19 ± 0.046 (0.12-0.27) 0.194 ± 0.05 (0.12-0.26)				
Alkaline phosphatase (IU)						0.83 ± 0.95 0-8°	
Aspartate transferase (IU) Cerebellomedullary	16.6 ± 7.6 (6-26)			4–16°	18.27 ± 10.8 (7.5–30)	30.74 ± 6.31 SFU 15-50°	
Lumbosacral	(0-20)			0-16°	(1.5-30)	12-20	

Constituent	Rossdale et al.,1982	Andrews et al., 1994	Andrews et al., 1990a	Andrews et al., 1990b	Rossdale et al.,1982	Mayhew,1977 ⁶	Fankhauser, 1962
Creatine kinase (IU) Cerebellomedullary	15.2 ± 9.2 (4–33)			0-8°	5.78 ± 3.7 (3.2–11)	1.08 ± 3.13 0-8°	
Lumbosacral	(81 5-27			0-86	V		
γglutamyl transferase (IU)	1.5 ± 1.5 (0.9–2.3)				2.45 ± 1.9 (0.8–4.2)		
Lactate dehydrogenase (IU)	23.2–10.7 (10–40)			0-86	27.7 ± 8.0 (12–34)	1.54 ± 1.75 0-8°	
Calcium (mg/dl)						4.18 ± 0.87 2.5-6.0°	6.26 (5.55–6.98)
Chloride (m Eq/L)	109 ± 3.4 (104–113)				103.3 ± 13.5 (92–116)	109.22 ± 6.90 95-123°	737 m g/dl (690-792)
Magnesium (mg/dl)							1.98 (1.06–2.95)
Phosphorus (mg/dl)						0.83 ± 0.20 0.5-1.5°	1.44 (0.87–2.20)
Potassium (mEq/L)	3.6 ± 2.1 (1.3-4.6)				2.9 ± 0.6 (1.9-3.9)	2.95 ± 0.05 2.5-3.5°	12.66 mg/dl (10.65–14.20)
Sodium (mEq/L)	142.6 ± 2.8 (139–147)				143.9 ± 2.6 (139–147)	144.58 ± 1.86 140-150°	
Cholesterol (mg/dl)						4.76 ± 5.7 20-20°	0.360.55
Glucose (mg/dl) Cerebellomedullary				35–70% of blood glucose	e	48.0 ± 9.92 30-70°	57.2 (40–78)
Lumbosacral				35–70% of blood glucose	e	55.13 ± 8.22 40-75 ^e	
Lactic acid (mg/dl) Cerebellomedullary Lumbosacral				1.92 ± 0.12 2.3 ± 0.21			
рН		<u>-</u>		_			7.13-7.36
Specific gravity				1.003-1.005 ^e			1.004-1.008
Urea nitrogen (mg/dl)						11.82 ± 3.26 0-20°	

LS = lumbar subarachnoid space CSF, CM = cerebellomedullary cistern CSF.

 $^{^{}a}$ Mean \pm 1 SD, observed range in parentheses, unless otherwise noted. Values are for cerebellomedullary fluid unless otherwise noted.

^b Except where noted, values are for pooled cerebellomedullary and lumbosacral fluid.

^c Spontaneously delivered.

d Total protein for ponies -60.48 ± 20.45 , reference range 20–105 (significantly different from horses).

e Reference range.

NS = not stated.

Constituent	Cow Welles et al., 1992 ⁶	Sheep Altman and Dittmer, 1974	Goat Altman and Dittmer, 1974	Pig Altman and Dittmer, 1974	Llama Welles et al., 1994 ^d
Methods #RBC/μl	(5–1,930)	NS	NS	NS	(0-1,360)
Necropsy	No	NS	NS	NS	No
Total protein (mg/dl) Cerebellomedullary Lumbosacral	39.16 ± 3.39 (23.4–66.3)	(8–70)	12	(24–29)	43.1 ± 9.0 (31.2–66.8)
Method	Coomassie brilliant blue	NS	NS	NS	Coomassie brilliant blue
Albumin (mg/dl) Cerebellomedullary Lumbosacral	15.75 ± 1.53% (8.21–28.71)			(17–24)	17.9 ± 4.45 (11.8–27.1)
Albumin quotient					0523 ± 0.114 (0.38–0.75)
Globulin (mg/dl)				(5–10)	5-1 5-1
γ-Globulin (mg/dl)	4.84 ± 0.44% (2.46–8.85)				6.4 ± 2.50 (3.4–13.8)
IgG (mg/dl)	9.49 ± 1.03i (4.88–16.57)				
Creatine kinase (U/L)	11.44 ± 3.43 (2-48)				4.6 ± 4,69 (0.0-15.0)
Lactate dehydrogenase (U/L)	13.94 ± 1.318 (2-25)				13 ± 5.6 (7–24)
Calcium (mg/dl)		5.6 ± 0.3			
Chloride (mEq/L)		832 m g/dl (750–868)	681 mg/dl		134 ± 6.5 (116–143)
Magnesium (mg/dl)	1.99 ± 0.03 mEq/L (1.8–2.1)	2.88			
Potassium (mEq/L)	2.96 ± 0.03 (2.7–3.2)				3.19 ± 0.10 (2.9–3.3)
Sodium (mEq/L)	140 ± 0.78 (132–142)				154 ± 5.8 (134–160)
Glucose (mg/dl)	42.88 ± 0.99 (37–51)	(48–109)	71	(45–87)	69.3 ± 7.35 (59–86)
pН		7.35(7.3–7.4)			

 $^{^{\}rm a}$ Mean \pm 1 SD, observed range in parentheses, unless otherwise noted. $^{\rm b}$ Lumbosacral fluid. Mean \pm SEM. $^{\rm c}$ Cerebellomedullary fluid.

^d Lumbosacral fluid.1

NS = not stated.

TABLE 26-9 Cerebrospinal Fluid Proteins Identified by Electrophoresis (Top Row) and Immunoelectrophoresis (Underlying Columns)

Transthyretin (Prealbumin)	Albumin	Alpha _l Globulin	Alpha ₂ Globulin	Beta Globulin	Gamma Globulin
Transthyretin	Albumin	Alpha _l antitrypsin	Alpha ₂ macroglobulin	Beta lipoprotein	IgG
		Alpha _l lipoprotein	Alpha ₂ lipoprotein	Transferrin	IgA
		Alpha _l glycoprotein	Haptoglobulin	Tau protein (modified transferrin)	IgM
			Ceruloplasmin	Plasminogen	
·			Erythropoietin	Complement	IgD
				Hemopexin	IgF
				Beta-trace	Gamma-trace

Modified from Fishman (1992).

TABLE 26-10 Protein Content of Cerebellomedullary Cisternal CSF of Healthy Dogs, Cats, and Horses as Identified by Electrophoresis^a

Protein fraction	Dog Sorjonen, 1987	Cat Rand <i>et al.</i> , 1990 <i>a</i>	Horse, Kristensen and Firth, 1977
Prealbumin			2.0 ± 0.9
Albumin	37 ± 4.29 (31–44)	11 ± 15 (1–53)	43.4 ± 6.8
Alpha globulin	28 ± 5.27 (24-31)	21 ± 11 (0-48)	
Alpha ₁			5.3 ± 1.3
Alpha _{2a}			3.3 ± 0.8
Alpha _{2bc}			6.4 ± 1.8
Beta globulin	25 ± 5.31 (19–30)	57 ± 15 (37–91)	
Beta ₁			17.0 ± 3.2
Beta ₂			7.8 ± 2.3
Gamma globulin	7.75 ± 1.84 (6–9)	12 ± 7 (0-29)	14.8 ± 3.3

in normal CSF: IgG, IgM, and IgA. Minute amounts of other immunoglobulins have also been detected in normal CSF (Fishman, 1992; Kjeldsberg and Knight, 1993).

a. IgG

The major immunoglobulin in normal CSF is IgG, which normally originates from the serum. An increased level of CSF gamma globulin is reported in a number of inflammatory central nervous system disorders. In disease conditions,

gamma globulin may enter the CSF through dysfunctional blood-brain/CSF barriers, or it may be synthesized intrathecally by cells that have migrated into the brain or CSF and are participating in the disease process (Fishman, 1992; Kjeldsberg and Knight, 1993).

b. IgM and IgA

Cerebrospinal fluid IgM and IgA also originate normally from the serum. However, in certain diseases, particularly

inflammatory diseases, these immunoglobulins are produced within the central nervous system as well (Fishman, 1992; Kjeldsberg and Knight, 1993). IgM is ontogenetically and phylogenetically the most primitive immunoglobulin and is therefore detected at an earlier stage of the general immune response of the body. IgM is also the first immunoglobulin to return to normal when the offending antigen disappears. The characteristics of IgM and IgA participation in the intrathecal immune response still need to be resolved, however (Felgenhauer, 1982; Tipold and Jaggy, 1994).

4. Other Proteins

Many other proteins have been identified in CSF including myelin basic protein, S-100 protein, C-reactive protein, interferon, embryonic proteins, fibronectin, and glial fibrillary acidic protein. In general, the CSF concentrations of these proteins may be altered by a number of neurological disease processes. The utility of assaying these proteins in clinical veterinary or human medicine has yet to be established (Fishman, 1992; Kjeldsberg and Knight, 1993).

C. Glucose

CSF glucose is derived solely from the plasma by facilitated diffusion. The concentration of CSF glucose depends on the blood glucose concentration, the rate of glucose transport into the CSF, and the metabolic rate of the central nervous system. The normal CSF glucose level is about 60% to 80% of the blood glucose concentration, reflecting at least in part the high metabolic rate of the central nervous system. Equilibration with plasma glucose requires about 1 to 2h; thus, ideally, plasma glucose should be determined about 1 h before CSF aspiration and analysis. In people, a glucose gradient exists along the neuraxis; the concentration decreases from ventricular to lumbar fluid (Fishman, 1992; Kjeldsberg and Knight, 1993). In people, a CSF: serum glucose ratio less than 0.4 to 0.5 is abnormal and associated with bacterial and fungal infections, as well as metastasis to the leptomeninges (Deisenhammer et al., 2006). CSF glucose and serum CSF: glucose ratio is not routinely used in veterinary medicine, possibly because of the lack of specificity and availability of more specific tests in most instances.

D. Enzymes

Numerous enzymes have been assayed in the CSF of animals (see Tables 26.5 to 26.8) (Jackson *et al.*, 1996; Lobert *et al.*, 2003; Rand *et al.*, 1990a; Wilson, 1977) and people (Banik, 1983). These enzymes have three possible sources: (1) blood, (2) neural tissue or neural tumors, and (3) cells within the CSF (Fishman, 1992; Kjeldsberg and Knight, 1993). The blood enzyme levels are usually higher than the CSF levels. Unfortunately, many studies of CSF levels in disease fail to report the concurrent blood level and a

measure of blood-brain/CSF barrier integrity. However, studies of CSF creatine kinase (CK) in dogs and horses did not find a relationship between WBC counts, serum CK, or CSF total protein and CSF CK (Furr and Tyler, 1990; Jackson et al., 1996). Regarding correlation of CSF red blood count (RBC) with CSF CK, one study reported a significant correlation (Indrieri et al., 1980), whereas another study did not find a statistical association between the two parameters (Jackson et al., 1996). CSF lactate is independent of blood glucose, and it may be measured in people and animals (Deisenhammer et al., 2006; Lobert et al., 2003). In people, blood:CSF lactate ratio may be elevated with mitochondrial disease and correlates inversely with the blood:CSF glucose ratio. To date, none of the enzyme assays is sufficiently sensitive or specific to warrant routine use in clinical practice (Fishman, 1992; Indrieri et al., 1980; Jackson et al., 1996; Kjeldsberg and Knight, 1993; Rand et al., 1994a).

E. Neurotransmitters

Because they are produced by neurons, neurotransmitters and their metabolites have been extensively studied in people for their potential use as markers of neuronal activity and neurological and psychiatric disease (Davis, 1990). The concentrations of several neurotransmitters (e.g., γ -aminobutyric acid [GABA], glutamate, aspartate and dopamine) and their metabolites (e.g., 5-hydroxyindolacetic acid, homovanillic acid, and dihydroxyphenylacetic acid) have been measured in the CSF from various sites in dogs, sheep, goats, cattle, and horses (Bardon and Ruckebusch, 1984; Ellenberger et al., 2004; Faull et al., 1982; Holt et al., 2002; Loscher and Schwartz-Porsche, 1986; Podell and Hadjiconstantinou, 1997; Ruckebusch and Costes, 1988; Ruckebusch and Sutra, 1984; Sisk et al., 1990; Vaughn et al., 1988a, 1989). Some metabolite concentrations have a gradient along the neuraxis (Ruckebusch and Costes, 1988; Ruckebusch and Sutra, 1984; Vaughn et al., 1988b; Vaughn and Smyth, 1989), and some are age-related (Ruckebusch and Costes, 1988; Smyth et al., 1994; Vaughn and Smyth, 1989).

Despite intense interest, more research is needed to verify the clinical utility of assay of these substances in the CSF (Fishman, 1992; Kjeldsberg and Knight, 1993).

F. Other CSF Constituents

Many other substances have been measured in CSF in experimental and clinical situations. These include electrolytes, gases, organic and amino acids, ammonia, urea, creatinine, prostaglandins, cytokines, and hormones. Assay of these substances is not particularly helpful in the diagnosis of neurological disease in people because the substances are not generally associated with specific disease (Fishman, 1992; Kjeldsberg and Knight, 1993). Some substances, such as S-100B, a calcium-binding protein, have been used as a marker protein in

people with brain injury, but its utility in veterinary medicine is unproven (Shimada *et al.*, 2005). The usefulness of these substances in veterinary medicine has yet to be established.

G. Concentration Gradient along the Neuraxis

In cats (Hochwald et al., 1969), dogs (Bailey and Higgins, 1985; Vaughn et al., 1988b), horses (Andrews et al., 1990a; Vaughn and Smyth, 1989), rhesus macaques (Smith and Lackner, 1993), and people (Davson and Segal, 1996; Fishman, 1992), the total protein concentration increases along the neuraxis from rostral to caudal. For example, in people the total protein concentration of ventricular, cerebellomedullary cistern, and lumbar subarachnoid fluid is about 26, 32, and 42 mg/dl, respectively (Weisner and Bernhardt, 1978). Total protein, albumin, and globulin content of cerebellomedullary cistern and lumbar subarachnoid CSF for dogs, cats, and horses is given in Tables 26.5, 26.6, and 26.7, respectively. The concentration of the albumin and globulin fractions also increases from ventricular to lumbar fluid. The increased protein content may be the result of a greater permeability of the spinal blood-CSF barrier than of the ventricular barrier to albumin (Fishman, 1992), additions of protein from adjacent nervous tissue (e.g., IgG from lymphocytes located in or near the CSF pathway (Weisner and Bernhardt, 1978), progressive equilibration of CSF with plasma through the capillary walls (Weisner and Bernhardt, 1978), and low flow rates of lumbar CSF (Davson and Segal, 1996).

A study of healthy dogs also identified a small but significant gradient for the CSF WBCs; lumbar fluid contained significantly fewer cells than cerebellomedullary fluid (Bailey and Higgins, 1985). Another study did not find a difference in WBC counts between fluids from the two sites (Vaughn et al., 1988b). However, 4 of the 10 dogs in this study had CSF total WBC counts $>3/\mu l$, and none of the dogs was necropsied to verify its health. Therefore, some of these dogs may have had subclinical neurological disease, disguising a small cellular gradient. The small number of WBCs in normal fluid may make a cellular gradient more of a theoretical issue than a practical issue, however. If a cellular gradient exists, it may be due to fewer cells entering the lumbar CSF than the cerebellomedullary CSF, a greater rate of cell lysis in the lumbar CSF, a greater migration rate of WBCs from lumbar CSF back into the blood, or loss of WBCs that entered the CSF rostrally and lysed as CSF circulated to the caudal subarachnoid space.

A gradient has also been reported for CSF neurotransmitter metabolites in the dog (Vaughn et al., 1988b) and the horse (Vaughn and Smyth, 1989). In each species, the neurotransmitter metabolite content of cerebellomedullary CSF was greater than that of lumbar subarachnoid CSF. This gradient probably reflects the major source of the neurotransmitter (brain) and transport of the metabolite from the CSF into the blood along the spinal axis (Vaughn et al., 1988a).

VI. CSF COLLECTION AND ANALYTICAL TECHNIQUES

A. Collection

1. General Techniques

Specific details about the collection of CSF from the various species are covered in many excellent articles and textbooks (Boogerd and Peters, 1986; Brewer, 1983, 1987, de Lahunta, 1983; Fowler, 1989; Holbrook and White, 1992; Kornegay, 1981; Mayhew, 1989) and will not be covered here except for the authors' preferred technique for collection from the cerebellomedullary cistern of dogs and cats (discussed later). Considerations that apply regardless of species are sterility, use of a specialized spinal needle, and collection from animals with increased intracranial pressure. To prevent iatrogenic central nervous system infection, sterility during the collection procedure is essential. A generous area around the puncture site should be clipped and surgically prepared. Preparation of too small an area can lead to contamination if any difficulty in palpating landmarks or entering the subarachnoid site is encountered. Additionally, the use of a fenestrated drape is highly recommended. Spinal puncture is contraindicated in an area of severe pyoderma/furunculosis or cellulitis. A needle with a stylet (spinal needle) should be used to prevent implantation of a plug of epidermis in the subarachnoid space that not only could lead to infection but also could seed an epidermoid tumor. Replacement of the stylet upon withdrawal is controversial, either preventing or causing entrapment and severance or dislocation of nerve root filaments (Fishman, 1992). Collection of CSF from animals with increased intracranial pressure may result in brain herniation. Appropriate anesthetic agents, hyperventilation, and mannitol (to treat intracranial hypertension) may decrease the probability of herniation. Use of the smallest gauge needle possible may also help prevent herniation by decreasing CSF leakage through the puncture hole in the meninges. Only the minimal amount of CSF necessary to perform the desired tests should be withdrawn. Brain herniation can occur following lumbar taps as well as cerebellomedullary cistern taps.

2. Collection Site

Cerebellomedullary puncture should be done under general anesthesia. In most instances, lumbar puncture can be done with sedation and local anesthesia. Therefore, if general anesthesia is contraindicated, a lumbar puncture should be done.

The choice of collection site is influenced by the species and breed of animal, the location of the neurological lesion, and anesthetic considerations. The size of some animals may make lumbar subarachnoid puncture difficult, if not impossible. However, cerebellomedullary puncture usually can be accomplished even in large or obese animals. Because of differences in anatomy, the type or breed influences the exact site for lumbar puncture in the dog; L4-5 is

recommended for large breed, nonchondrodystrophic dogs (e.g., German shepherd dogs), whereas L5 to 6 is recommended for small, chondrodystrophic dogs (e.g., dachshunds) (Morgan et al., 1987). The puncture site chosen should be as close to the lesion as possible without penetrating the lesion, or the site should be caudal to the lesion. In animals with spinal disease, cerebellomedullary fluid is abnormal more frequently with cervical disease than it is with thoracolumbar disease, but overall lumbar fluid is abnormal more often than cerebellomedullary fluid. With intracranial disease, CSF from both sites is usually abnormal, perhaps because both sites are caudal to the lesion (Scott, 1992; Thomson et al., 1989, 1990). Occasionally, CSF is collected from both sites. Although the order of collection (cerebellomedullary or lumbar CSF collected first) appears not to influence significantly the analytical results (Bailey and Higgins, 1985), aspiration from the relatively small lumbar subarachnoid space is easier if the CSF pressure has not just been lowered by cerebellomedullary CSF collection.

3. CSF Collection from the Cerebellomedullary Cistern

The authors' preferred technique for CSF collection from the cerebellomedullary cistern is to utilize the palpable bony landmarks that are the closest to the puncture site. These structures are the vertebral arch of C1 and the external occipital protuberance. After anesthetic induction and intubation, the animal is placed in right lateral recumbency, and padding is placed under the neck to align the dorsal cervical and cranial midline parallel to the tabletop. The assistant is instructed to tuck in the animal's chin (flex the neck) and push the external occipital protuberance toward the operator. This procedure flexes the atlantooccipital joint and maximizes the space between the occipital bone and C1. Asking the assistant to simply flex the neck seems to produce flexion of the midcervical area more than the atlantooccipital area.

The clinician faces the dorsal aspect of the patient's neck, kneeling on a pad. The external occipital protuberance, the C2 spinous process, and the C1 vertebral arch are palpated. The latter structure is located by rolling a fingertip off the cranial edge of the C2 spinous process and palpating firmly, feeling for a transverse bony ridge (the C1 vertebral arch). The C1 vertebral arch can usually be palpated, and if so, the puncture is made on the midline just in front of the fingertip palpating the vertebral arch. If C1 is not palpable, the distance between the cranial edge of the C2 spinous process and the occipital protuberance is noted, and the puncture is made on the midline about one-third of that distance cranial to the cranial edge of the C2 spinous process. In rare cases, neither C1 nor C2 can be palpated. In this situation, the lateral edge of each C1 transverse process is palpated and a triangle from each edge to the occipital protuberance is constructed visually. The puncture is made on the midline in the center of that triangle. The needle should be advanced slowly

and the stylet removed regularly. A "pop" may be palpated when the dura mater is punctured with the needle. The clinician should hold the spinal needle with one hand (to hold it steady) and remove the stylet with the other hand. The CSF should be allowed to drip out of the spinal needle into a tube. A volume of at least 0.5 mLs should be collected for a full CSF analysis (partial analysis may be done with smaller volumes). Larger volumes may be collected for other tests such as culture and sensitivity, polymerase chain reaction for infectious agents, antigen/antibody testing, immunophenotyping, and clonality assessment. To collect CSF for culture and sensitivity testing, aspirate CSF directly from the spinal needle hub using a needle and syringe.

B. Physical Examination: Clarity, Color, and Viscosity

After collection, the CSF is examined visually and the color, clarity, and viscosity are recorded. Normal CSF is clear and colorless and has essentially the same viscosity as water. For accurate assessment, the CSF can be compared to the same amount of distilled water in the same type of container. The containers can be held against a white, typewritten page to judge color and clarity, and gently shaken to assess viscosity. If the CSF appears abnormal, the color and clarity of the supernatant after centrifugation should be noted.

C. Cytological Analysis

1. General Techniques

Collection of CSF in a plastic or silicon coated glass tube is preferred because monocytes will adhere to glass and can activate in the process (Fishman, 1992). This can result in erroneous cell counts and also alter morphology. In practical terms, this is of little consequence in those specimens that are rapidly processed, but it becomes important as the delay between collection and processing increases. A complete cytological examination includes both a total and differential cell count, as well as thorough morphological assessment. A differential and thorough morphological assessment should be done routinely, even on those samples that have cell counts within normal limits. In our experience, very low cell counts alone cannot be used as an indicator of normality. In one study utilizing cytocentrifugation, about 25% of canine CSF samples with cell counts in the normal range had abnormalities in cell type or morphology (Christopher et al., 1988). Abnormalities included the presence of phagocytic macrophages, increased percentage of neutrophils in the differential, and the presence of reactive lymphocytes and plasma cells. Malignant cells have been observed in samples with normal nucleated cell counts (Bichsel et al., 1984b; Grevel and Machus, 1990). CSF samples should be processed as soon as possible after collection. Cells degenerate quickly in CSF (Chrisman, 1992; Fishman, 1992; Fry

et al., 2006; Kjeldsberg and Knight, 1993; Steele et al., 1986), likely secondary to the CSF hypotonicity and very low protein content (in nonpathological specimens). Proteins and lipids tend to have a membrane stabilizing effect (Fry et al., 2006; Steele et al., 1986). A multitude of veterinary references state that CSF must be processed within 30 min of collection (Chrisman, 1983; Cook and DeNicola, 1988; Oliver and Lorenz, 1993; Thomson et al., 1990). However, these references do not cite scientific data to support this statement. One study systematically evaluated the effects of time, initial composition and stabilizing agents on the results of abnormal (TNCC ≥ 5 cells/ul) canine CSF evaluation (Fry et al., 2006). Statistically significant changes (p = <0.05) in the total nucleated cell count were not noted at any time point (0, 2h, 4h, 8h, 12h, 24h, and 48h) in unaltered (refrigerated) CSF, CSF with added fetal calf serum, or CSF with added hetastarch. However, differential cell percentages deteriorated in a time dependent fashion and macrophages were the most labile cell type in this study with their differential percentage being significantly decreased by 2h. Concurrently, the percentage of unrecognizable cells was significantly increased at 2h. At 12 and 24h, the percentages of lymphocytes and neutrophils, respectively, were significantly decreased. Samples with a higher protein concentration (≥50 mg/dl) were less susceptible to deterioration than those with a lower protein concentration (<50mg,dl). The addition of fetal calf serum or hetastarch improved the stability of the CSF. Ultimately, the authors supported the contention that CSF should be analyzed as soon as possible post collection but that delays of 4 to 8h were unlikely to alter the overall clinical interpretation (Fry et al., 2006). If the protein concentration is >50 mg/dL, the analysis may be delayed up to 12h without altering the overall clinical interpretation as the mean percentage of unrecognizable cells was only 6% at this time point (versus 33% in samples with protein concentration <50 mg/dl) (Fry et al., 2006). Several reports recommend altering CSF processing when it is not analyzed within 1h of collection (Bienzle et al., 2000; Fry et al., 2006). If there is a delay in processing, CSF samples should be divided into two aliquots. The unaltered aliquot should be submitted for TNCC and protein concentration. The second aliquot should be treated by the addition of either 20% fetal calf serum or 10% autologous serum, and the differential cell counts and morphology should be assessed on the second altered aliquot.

In a study of feline CSF, there was excellent correlation between the total numbers of cells on the slides and the differential cell count between sediment slides processed immediately and those preserved with fetal bovine serum $(200 \, \mu \text{l})$ of CSF and $200 \, \mu \text{l}$ of fetal bovine serum) and cytocentrifuged 2 to 4h later (Rand *et al.*, 1990b).

There have also been several human studies performed on the effects of time and temperature on CSF (Kjeldsberg and Knight, 1993; Steele *et al.*, 1986; Stokes *et al.*, 1975). Interestingly, in these studies, and in contrast to the above study assessing canine CSF, neutrophils and

not large mononuclear cells were the most labile cell type. Refrigeration at 4°C markedly reduced the rate of lysis of all cell types in the human CSF studies. Therefore, the recommendation that analysis be performed within 30 min is reasonable, but it is predicated by the conditions that the sample is exposed to. Refrigeration obviously slows lysis, likely long enough for transport to reference laboratories in some instances. Addition of protein to the sample helps preserve cells and therefore attenuates the temporal effects involved in transport of samples to more remote facilities.

2. Total Leukocyte and Erythrocyte Counts

Electronic cell or particle counters are typically not sensitive enough to be used for enumeration of cells in CSF. The level of background counts with these counters is frequently in excess of the counts present in the majority of CSF samples that are analyzed. Therefore, cells are usually counted with a standard hemacytometer chamber with Neubauer ruling (Brobst, 1989; Cook and DeNicola, 1988; Jamison and Lumsden, 1988). The chamber is charged with undiluted fluid. Ideally, the cells are allowed to settle for 10 min in a humidified environment. This allows all the cells to be visible in the same plane of focus. The cells in the nine largest squares on both sides of the chamber are counted (18 squares in total) and the result multiplied by 0.55 to obtain the number of cells per microliter. Alternatively, the cells in nine large squares are counted and the number multiplied by 1.1 to determine the count per microliter. To the untrained observer, unstained leukocytes and erythrocytes may be difficult to differentiate. Leukocytes are larger, and the presence of nuclei gives them a more granular appearance than erythrocytes. With experience, nuclear morphology can often be appreciated (Cook and DeNicola, 1988). The cytoplasmic border is usually slightly irregular. In contrast, erythrocytes are usually smaller, smooth, and refractile, although they may become crenated upon standing (Jamison and Lumsden, 1988). Differentiating nucleated cells and erythrocytes in a hemacytometer chamber can be expedited by staining with New Methylene Blue before counting (Fry et al., 2006). This latter technique can be used without significant dilutional effects.

A laser based cell counter and dedicated software are used to count and differentiate cells in human CSF (Aune et al., 2004; Mahieu et al., 2004). This technique has the advantage of markedly superior precision and accuracy. The same methodology has been used to assess canine CSF (Ruotsalo et al., 2005). Although there was good correlation between the leukocyte and erythrocyte concentrations when compared with standard hemacytometer methods, the current software algorithms were not suitable for determining an accurate differential count in canine CSF. Additionally, it is likely that the cost and logistics of this methodology will preclude routine use in veterinary medicine.

3. Cytological Examination

There are a variety of methods to facilitate cytological examination of CSF (Barrett and King, 1976; Ducos et al., 1979; Grevel, 1991; Hansen et al., 1974; Jamison and Lumsden, 1988; Kolmel, 1977; Roszel, 1972; Sornas, 1967; Steinberg and Vandevelde, 1974; Woodruff, 1973). There is controversy as to which method is optimal, and all have their strengths and weaknesses. Methods include simple centrifugation, sedimentation, and variations thereof; membrane filtration; and cytocentrifugation (Jamison and Lumsden, 1988). Consult these references for specific methodological details. Simple centrifugation usually produces slides that are unsatisfactory for cytological examination. The chief advantage of membrane filtration techniques is excellent cellular recovery with yields approaching 90% to 100% (Barrett and King, 1976). However, the methodology is laborious and time consuming, the cellular morphology relatively poor, many cells are partly hidden in the filter substance, which itself stains variably, and the technique requires specialized, nonroutine staining techniques that most veterinary clinical pathologists do not have experience or expertise in interpreting. For these reasons, they are not recommended. Cytocentrifugation (Hansen et al., 1974; Woodruff, 1973) is the method of choice in both human (Fishman, 1992; Kjeldsberg and Knight, 1993) and veterinary medicine (Christopher et al., 1988; Jamison and Lumsden, 1988). It is rapid, simple, and produces slides with good cytological detail. The technique is enhanced by the addition of protein to the CSF sample before centrifugation, which helps to preserve cell morphology. Conditions of cytocentrifugation vary from laboratory to laboratory. We prefer the method described by Rand and colleagues (Rand et al., 1990b). The disadvantages of cytocentrifugation are the expense of the instrument and the relatively low cell yield. In one comparative study (Barrett and King, 1976), the following cell yields were determined: millipore filtration $81 \pm 3\%$ (SEM), nucleopore filtration $69 \pm 3\%$, and cytocentrifugation 11 ± 1%. The Sornas method of centrifugation results in a cell yield, after staining, of approximately 20% (Sornas, 1967). The sedimentation technique of Sayk, modified by Kölmel (Grevel, 1991; Grevel and Machus, 1990; Kolmel, 1977), results in a yield of approximately 30% (Kolmel, 1977), although this can be increased to almost 90% if a membrane filter is substituted for direct sedimentation onto a slide. We have some experience with the Kölmel apparatus and technique and have found the cell morphology to be at least as good as cytocentrifugation with an apparently superior cell yield, although this needs to be confirmed with controlled comparative studies. Therefore, most studies suggest that sedimentation techniques result in greater cell yields than does cytocentrifugation. However, there is at least one study that found the yield of cytocentrifugation to be marginally higher than sedimentation (Ducos et al., 1979). Standard Romanowsky stains are recommended for staining of slides. They provide good cellular detail on air-dried CSF preparations and are familiar to most observers. These stains include the Wright's and Wright-Giemsa staining methods as well as a variety of rapid staining methods including Diff-quik and Camco-quik (Jamison and Lumsden, 1988).

4. Immunocytochemistry

In people, the value of cytological diagnosis of CSF can be improved if morphological studies are appropriately supplemented by immunocytochemistry (Kjeldsberg and Knight, 1993). Immunophenotypic studies of cytocentrifuge slides are useful in the differential diagnosis of leukemia, lymphosarcoma, primary brain tumors, and metastatic tumors (Bigner, 1992; Bigner and Jonston, 1981; Jorda *et al.*, 1998; Kjeldsberg and Knight, 1993; Tosaka *et al.*, 2001). Few veterinary studies document CSF immunocytochemistry. In dogs, immunophenotyping is useful in the diagnosis of lymphoma and infiltrative leukemia² and histiocytic sarcoma, both postmortem (Zimmerman *et al.*, 2006) and antemortem.³

Panels of monoclonal antibodies are typically used for the immunocytochemical assessment of CSF. The greatest limitation is therefore the volume and cellularity of the specimen available for the marker studies. Undifferentiated tumor panels frequently include leukocyte common antigen and cytokeratin antibodies. These can be helpful in distinguishing single carcinoma cells from lymphocytes or monocytes (Bigner, 1992; Bigner and Jonston, 1981; Kjeldsberg and Knight, 1993). Glial fibrillary acidic protein has proven to be helpful in distinguishing a glial origin, but there are currently no specific markers to distinguish primary brain tumors. Immunocytochemistry can be used also to characterize the lymphocyte subpopulations present in CSF. Seventy-five to 95% of the lymphocytes found in normal human CSF are T cells, with a mean of approximately 85% (Kjeldsberg and Knight, 1993). Within the population of T cells, T-helper cells predominate and account for up to 88% of T cells. Alterations of these percentages have been shown to have significant associations with disease in people (Kjeldsberg and Knight, 1993). Similar studies assessing CSF lymphocyte subset alterations in disease are lacking in domestic animals but may be useful. Lymphocyte subset distribution has been assessed in the brains of dogs with different types of diseases (Tipold et al., 1999). T cells predominated in viral encephalitides, whereas B cells predominated in bacterial and protozoal diseases and in steroid responsive meningitis-arteritis (Tipold et al., 1999). However, it has not been determined if similar changes are reflected in the CSF. Lymphocyte subset distribution has been assessed in normal dogs and horses (Duque et al., 2002; Furr et al., 2001; Tipold et al., 1998) Similar to people, T lymphocytes predominate in canine CSF but are present as a lower percentage than in people, accounting for approximately 50% to 60% of lymphocytes (Duque et al., 2002; Tipold et al., 1998). However, there appears to be

²Vernau, W., unpublished observations.

³Tzipory, Vernau, Moore, in preparation.

much individual variation that may complicate use of this type of assessment clinically (Tipold et al., 1998). Horses appear to be more similar to people, with T cells accounting for approximately 80% of lymphocytes in the CSF (Furr et al., 2001). However, in contrast to people, CD8+ T cells in normal equine CSF may constitute a greater subset of T cells, accounting for approximately 30% of CSF T cells in one study (Furr et al., 2001). In people, most central nervous system lymphomas are B cell in origin; immunocytochemistry assessing immunoglobulin light chain expression can be used to document monoclonality (Bigner, 1992; Bigner and Jonston, 1981). This is strong evidence (but not definitive proof) of malignancy. This assessment cannot be made in most domestic animals because of the marked light chain skewing that exists normally in these species (Arun et al., 1996: Butler, 1998, #387). The B cell origin of the lymphocytes can also be confirmed with demonstration of immunoglobulin light chain expression. For patients with T cell lymphomas, marker studies can be more difficult to interpret as T cells predominate in normal and inflammatory CSF (Kjeldsberg and Knight, 1993). If there is systemic involvement, then comparison with the peripheral phenotype is useful to confirm presence in the CSF. Immunocytochemistry has also been utilized to detect infectious agents such as cytomegalovirus and mycobacterium tuberculosis in human patients (Stark et al., 1993; Sumi et al., 2002) and distemper virus in dogs (Abate et al., 1998).

5. Polymerase Chain Reaction

Polymerase chain reaction (PCR) technology is a powerful adjunct to routine cytological assessment of CSF that may increase both the sensitivity and specificity of diagnosis. Because PCR exponentially increases in vitro the number of original DNA copies to a final number dependent on the number of cycles programmed, it is uniquely suited to the low volumes and small cell numbers frequently found in CSF samples. In people, one of the most useful applications of PCR methodology is the confirmation of malignancy and detection of minimal residual disease in lymphomatous meningitis (Rhodes et al., 1996). This is accomplished via detection of clonal immunoglobulin or T cell receptor gene rearrangements and the detection of clone specific rearrangements, respectively. However, the exquisite sensitivity may result in false positive results because of either contamination or very low initial numbers of cells producing an artifactual clonal band. Tumor specific quantitative reverse transcriptase PCR (qRT-PCR) has been used for the sensitive detection of (neoplastic) neuroblastoma cells in the CSF of a human patient (Rosanda et al., 2006). Other applications in people include detection of a wide variety of infectious agents, such as toxoplasma, borrelia, tuberculosis, human immunodeficiency virus, rabies virus, herpes simplex virus, and various amebas (Christen et al., 1995; Guffond et al., 1994; Lin et al., 1995; Novati et al., 1994; Qvarnstrom et al., 2006). In the majority of these studies, PCR results in a more rapid diagnosis with superior sensitivity and specificity when compared to standard culture and serological diagnostic techniques (Deisenhammer *et al.*, 2006).

In veterinary medicine, PCR is used to detect several infectious agents in CSF samples, such as bacteria (listeria monocytogenes and Streptococcus equi), protozoa (sarcocystis neurona, toxoplasma gondii, neospora caninum), and viruses (canine distemper virus, West Nile virus, and equine herpesvirus-1) (Amude et al., 2006a, 2006b; Cannon et al., 2006; Fenger, 1994; Finno et al., 2006; Frisk et al., 1999; Goehring et al., 2006; Kim et al., 2006; Peters et al., 1995; Schatzberg et al., 2003; Stiles et al., 1996). Some agents such as listeria, encysted neospora or toxoplasma bradyzoites in the CNS parenchyma may not gain access to the meningoventricular system. This may result in negative CSF PCR results in confirmed positive cases (Peters et al., 1995). A combination of diagnostic information (clinical information, CSF assessment, serology, PCR, biopsy, and immunohistochemistry) is the most practical way to make a clinical diagnosis, rather than the use of a single test result, such as PCR (Schatzberg et al., 2003). PCR assays for the detection of clonal immunoglobulin or T-cell receptor gene rearrangements in dogs and cats have now been developed (Burnett et al., 2003; Moore et al., 2005; Vernau and Moore, 1999; Werner et al., 2005). These assays have been used for the confirmation of malignancy in the CSF of dogs with suspected CNS lymphoma (W. Vernau, unpublished data). Recently, qRT-PCR was used to assess the cytokine profiles present in the CSF of horses with different neurological disorders (Pusterla et al., 2006b). Some differences were noted between the different types of diseases but significant overlap of values also occurred. Further developmental work in conjunction with additional prospective studies will be required before the true utility of PCR based CSF diagnostics can be accurately assessed in domestic animals.

D. Protein Analysis

1. Measurement of CSF Total Protein

An increase in the concentration of CSF total protein was recognized as an indicator of neurological disease soon after the introduction of lumbar puncture in human medicine. A number of tests were developed to assess qualitative changes in CSF protein, such as Lange's colloidal gold test, the Nonne-Appelt test, the Pandy test, and others. These qualitative tests have largely been replaced by quantitative methods. Urinary dipsticks have been used to determine CSF protein concentration, but false negative and false positive test results occur using this methodology, which preclude recommendation for routine use (Behr et al., 2003; Jacobs et al., 1990). Techniques for quantitative measurement of CSF total protein include turbidimetric methods, biuret procedures, and Lowry's method. The accuracy of these methods in many clinical laboratories is no better than ±5% (Fishman, 1992). Dye binding microprotein assays such as Coomassie Brilliant Blue, Ponceau

S red, and Pyrogallol red (Marshall and Williams, 2000; Pesce and Strande, 1973) are more accurate and are now the methods of choice for measurement of CSF protein concentration. Total CSF protein values are reported in numerous articles and vary noticeably with the methodology and the laboratory performing the assay. Therefore, clinicians must use laboratory-specific normal values when assessing CSF protein concentration.

2. CSF Protein Fractionation

A number of techniques for fractionation of CSF proteins have been developed. These include electrophoresis using paper or cellulose acetate, agar, agarose, polyacrylamide, and starch gels. Immunoelectrophoresis, electroimmunodiffusion, radioimmunoassay, isoelectric focusing, and high-resolution protein electrophoresis are more recent techniques (Behr et al., 2006; Fishman, 1992; Kjeldsberg and Knight, 1993). Because of the normally low protein content, most of these methods require concentration of the CSF, which can create technical artifacts in the measured protein content. Techniques that do not require CSF concentration, such as electroimmunodiffusion, are therefore advantageous (Fishman, 1992).

3. Albumin and the CSF/Serum Albumin Index

Because albumin is synthesized only extrathecally, increased CSF albumin indicates damage to the blood-brain/CSF barriers, intrathecal hemorrhage, or a traumatic CSF tap. In these conditions, albumin will leak into the CSF in general proportion to its serum concentration. Therefore, in the absence of intrathecal hemorrhage (pathological or iatrogenic), the ratio of CSF albumin to serum albumin can be used as an indicator of barrier dysfunction (Link and Tibbling, 1977; Tibbling *et al.*, 1977). This ratio is also called the albumin index (a.k.a. albumin quota, albumin quotient) and is calculated as follows (Kjeldsberg and Knight, 1993):

Albumin Index =
$$\frac{\text{CSF albumin (mg/dl)}}{\text{serum albumin (g/dl)}}$$

Values above the normal range indicate increased barrier permeability. The use of this index is potentially limited, however, because the large variability of CSF albumin in normal animals (at least in dogs and horses) (Andrews *et al.*, 1990a, 1994; Bichsel *et al.*, 1984b; Krakowka *et al.*, 1981) results in a large variability in the values for this index (Davson and Segal, 1996). In people, the albumin index is age dependent, being highest in newborns, and lowest in childhood. The albumin index increases with age (Deisenhammer *et al.*, 2006).

4. Quantitative Measurement of Immunoglobulins

a. IgG and the IgG/Albumin Index

The identification of intrathecal production of immunoglobulin is helpful in the diagnosis of neurological disease. Immunoglobulin G is the dominant CSF immunoglobulin. However, the IgG content of CSF is not a particularly useful measurement by itself because the IgG present in CSF may be of serum-origin (via a dysfunctional blood-brain/CSF barrier, intrathecal hemorrhage, or traumatic puncture) or intrathecally produced (as in various neural diseases). Varied opinions exist regarding the best way to calculate the contribution of IgG from each source (Thompson, 1988; Trotter, 1989). To determine the probable origin of CSF IgG, it can be related mathematically to a protein of purely extrathecal origin. Because albumin is synthesized entirely extrathecally, it is the preferred comparison protein and is the most widely used (Fishman, 1992). Transferrin and alpha₂ macroglobulin have also been recommended because of their extrathecal origin (Schliep and Felgenhauer, 1974).

The simplest formula for correction of the CSF IgG level for extrathecal "contamination" (Zimmerman *et al.*, 2006), and thereby demonstration of intrathecal IgG synthesis, is the IgG/albumin index (Link and Tibbling, 1977; Tibbling *et al.*, 1977). This index is calculated using the CSF and serum concentrations of albumin and IgG as follows (Kjeldsberg and Knight, 1993):

$$IgG\ Index = \frac{\frac{CSF\ IgG\ (mg/dl)}{serum\ IgG\ (g/dl)}}{\frac{CSF\ albumin\ (mg/dl)}{serum\ albumin\ (g/dl)}}$$

The denominator of this index (CSF albumin/serum albumin) is the albumin index. Because albumin is synthesized only extrathecally, the albumin index assesses the amount of albumin crossing the blood-brain/CSF barriers and therefore is a measure of barrier integrity. Blood contamination of the CSF with as little as 0.2% serum (equivalent to about 5000 to 10,000 RBC/ μ l) by a traumatic puncture falsely elevates the IgG index in people (Peter and Tourtellotte, 1986). Also, the IgG index loses reliability when CSF protein levels are less than $25\,\mathrm{mg/dl}$ or greater than $150\,\mathrm{mg/dl}$ (Boerman *et al.*, 1991).

An additional problem with the IgG index is its basic premise that the selectivity of the protein transfer at the blood-CSF barrier is independent of the actual permeability condition. This concept has been shown to be incorrect and the IgG index, as well as the IgA and IgM indices, vary in a nonlinear fashion with progressive impairment of the barrier (Reiber and Felgenhauer, 1987). Therefore, Reiber and Felgenhauer (1987) developed a formula to calculate the intrathecally synthesized fractions of IgG, IgM, and IgA in the CSF.

b. IgM and IgA Indices

As with IgG, CSF IgM and IgA may be of serum origin or intrathecally produced. Indices for IgM and IgA can be calculated in the same fashion as for IgG (Fryden *et al.*, 1978). However, because of high variability in normal IgM and IgA levels and the biological variation of these large molecules, the application of the same formula for IgM and IgA indices as used for the IgG index may only

provide rough estimates (Reiber and Felgenhauer, 1987; Tipold et al., 1994).

5. Qualitative Immunoglobulin Assays

Qualitative assays of CSF immunoglobulins include agarose-gel electrophoresis, acrylamide immunoelectrophoresis, isoelectric focusing, and immunofixation. These tests separate the proteins into "bands" and provide information regarding the CSF protein composition. Although abnormal band patterns are not specific for a particular disease, they do indicate pathology and may indicate a type of disease. Abnormal band patterns may be detected even in patients with a normal IgG index. Thus, both quantitative and qualitative immunoglobulin assays are useful in the assessment of central nervous system disorders in both people and animals, particularly immunological or inflammatory diseases (Bichsel *et al.*, 1984b; Deisenhammer *et al.*, 2006; Fishman, 1992; Kjeldsberg and Knight, 1993).

E. Antibody/Antigen Tests

A variety of CSF antibody and antigen tests are available for viruses, fungi, rickettsia, protozoa, parasites, and other organisms (Berthelin et al., 1994a; Duarte et al., 2006; Dubey, 1990b; Jacobs and Medleau, 1998; Lunn et al., 2003; Madhusudana et al., 2004; Porter et al., 2004; Rossano et al., 2003). For antibody titers, two samples taken 2 weeks apart should be assayed. Because of interrun variability, the samples should be assayed at the same time in the same analytical run. Interpretation of CSF antibody titers must take into account the possibility of passage of serum antibodies through a defective bloodbrain/CSF barrier. Serum antibodies could be present because of disease, previous exposure to antigen, or vaccination. Ideally, the CSF/serum albumin index and IgG index are also determined (see Sections V.D.3 and V.D.4) to identify blood-CSF barrier dysfunction and intrathecal production of immunoglobulin. Intrathecal production of antigen-specific antibody (specific Ig) can be determined with an antibody index in the same fashion as intrathecal IgG production is detected with the IgG index. The formula is (Reiber and Lange, 1991):

$$Antibody \ Index = \frac{ \begin{array}{c} CSF \ specific \ Ig \\ \hline serum \ specific \ Ig \\ \hline CSF \ total \ Ig \\ \hline serum \ total \ Ig \\ \end{array} }$$

A modification of this formula accounting for large local synthesis of polyclonal IgG in the central nervous system may be necessary (Reiber and Lange, 1991). An antibody index >1 suggests intrathecal production of the specific antibody (Munana *et al.*, 1995; Reiber and Lange, 1991). Antibody indices have been calculated in human patients

with a variety of diseases (Reiber and Lange, 1991). The diagnostic reliability of these indices and application to clinical veterinary medicine need further study.

Antigen detection tests include immunoelectrophoretic techniques, agglutination tests, and enzyme-linked immunosorbent assay (ELISA) for bacterial antigens and latex agglutination for cryptococcal antigens. The polymerase chain reaction (PCR) procedures detect the presence of specific antigen DNA (or RNA) in CSF and can be highly sensitive, specific, and rapid (see Section V.C.5).

F. Microbial Tests

The Gram stain, the Ziehl-Neelson acid-fast stain, and both aerobic and anaerobic cultures of CSF are time-honored methods for diagnosis of bacterial central nervous system infections. Bacteriological tests must be performed as soon as possible after CSF acquisition because some bacteria undergo rapid autolysis in the test tube. Additional tests such as the acridine orange stain for bacteria, tests for microbial antigens by counterimmunoelectrophoresis or agglutination techniques, and the G test for the broad spectrum detection of fungi (tests for (1,3)- β -D-glucan in most fungal cell walls) (Stevens, 2002) may also be useful (Fishman, 1992). PCR may be used to detect microbes in CSF (Finno *et al.*, 2006; Peters *et al.*, 1995; Stevens, 2002).

G. Blood Contamination

Erythrocytes may be present in CSF samples because of subarachnoid hemorrhage or, more commonly, because of traumatic puncture. Blood contamination resulting from traumatic puncture is a common problem during CSF collection and, depending on its degree, can interfere with cytological interpretation. Blood contamination is more likely to occur with lumbar puncture as opposed to cerebellomedullary cisternal puncture (Bailey and Higgins, 1985; Oliver and Lorenz, 1993; Thomson et al., 1990). Blood contamination is a source of leukocytes and hence can affect both the leukocyte count and the differential. In one study of CSF analysis in cats (Rand et al., 1990b), the total leukocyte count, the neutrophil percentage and the eosinophil percentage were positively correlated with the CSF erythrocyte count once this count exceeded 500 erythrocytes per microliter. However, there was no significant increase in total white blood cell count or alteration in the differential percentages with up to 500 RBC/ μ l of CSF. Numerous correction factors have been used to correct leukocyte counts for the effect of blood contamination and include the following: in people, 1 white blood cell per 700 red blood cells is subtracted from the total white blood cell count (Fishman, 1992); in dogs, 1 white blood cell per 500 red blood cells is subtracted from the total count (Bailey and Higgins, 1985); in cats, a maximum of one white blood cell per 100 red blood cells is subtracted (Rand *et al.*, 1990b). A more accurate formula takes into account the actual white blood cell and red blood cells counts of the patient and hence compensates for any significant alterations in these counts (Fishman, 1992):

$$W = WBC_F - \frac{WBC_B \times RBC_F}{RBC_B}$$

where W is the white blood cell count of the fluid before blood was added (i.e., the corrected count), WBCF is the total white blood cell count in the bloody fluid, WBC_B is the white blood cell count in the peripheral blood per microliter, and RBC_F and RBC_B are the numbers of red blood cells per microliter in the CSF and blood, respectively. Despite all of these elaborate corrections, our own experience is that many thousands of red blood cells in contaminated samples of CSF will frequently be observed without any accompanying white blood cells, suggesting that these correction factors may not be valid. This empirical observation has been made by others (de Lahunta, 1983). This lack of validity has been proven by several studies (Novak, 1984; Wilson and Stevens, 1977). In one article, blood contamination appeared to have little effect on white blood cell numbers, and the above correction formula was considered unreliable. The authors evaluated 91 samples from both normal and diseased animals where there were numerous red blood cells but no white blood cells. Some of the red blood cell counts exceeded 15.000 RBC/ μ L, but white blood cells were still absent (Wilson and Stevens, 1977). In another article, the authors concluded that the standard computations frequently overcorrect white blood cell counts in blood contaminated CSF, and the magnitude of the overcorrection may obscure disease in some instances—in eight infants with marked blood contamination but proven bacterial meningitis, correction computations normalized or overcorrected the white blood cell counts (Novak, 1984). The mechanism of this overcorrection was not defined, but it is clear that the presence of low numbers of neutrophils should not be immediately discounted when red cells are concurrently found (Christopher et al., 1988).

A study of feline CSF (Rand *et al.*, 1990a) also found that values for CSF total protein, lactate dehydrogenase, creatine kinase, IgG ratio, and γ -globulin percentage were affected by blood contamination. The CSF total protein value of blood-contaminated CSF may be corrected using the formula for white blood cell correction given previously but substituting the total protein levels of the bloody CSF and the serum for the corresponding white blood cell counts (Kjeldsberg and Knight, 1993). In people, bloody contamination of CSF with as little as 0.2% serum (equivalent to about 5000 to 10,000 RBC/ml) elevates the IgG index (Fishman, 1992).

VII. GENERAL CHARACTERISTICS OF CSF IN DISEASE

A. Physical Characteristics: Clarity, Color, and Viscosity

Normal CSF is clear and colorless, and has the consistency of water. In pathological conditions the clarity, color, or consistency may change.

1. Clarity

Cloudy or turbid CSF is usually due to pleocytosis; about 200 WBC/ μ l or 400 RBC/ μ l will produce a visible change. With these low levels of cellularity, the CSF may appear opalescent or slightly hazy. Microorganisms, epidural fat, or myelographic contrast agent may also produce hazy or turbid CSF.

2. Color

Although the term xanthochromia means yellow color, it has often been used to describe pink CSF as well. The color of CSF is most usefully described as (1) pink or orange, (2) yellow, or (3) brown. These colors correspond to the major pigments derived from red cells: oxyhemoglobin, bilirubin, and methemoglobin. Oxyhemoglobin is red in color, but after dilution in the CSF it appears pink or orange. Oxyhemoglobin is released from lysed red cells and may be detected in the CSF supernatant about 2h after red cells enter the CSF. The level of oxyhemoglobin reaches its peak about 36h later and disappears over the next 4 to 10 days. Bilirubin is yellow in color. Bilirubin is derived from hemoglobin and is formed by macrophages and other leptomeningeal cells that degrade the hemoglobin from lysed red blood cells. Bilirubin is detected about 10h after red cells enter the CSF, reaches a maximum at about 48h, and may persist for 2 to 4 weeks. Bilirubin is also the major pigment responsible for the abnormal color of CSF with a high protein content. Methemoglobin in CSF is dark yellowbrown. Methemoglobin is a reduction product of hemoglobin characteristically found in encapsulated subdural hematomas and in old, loculated intracerebral hemorrhages (Fishman, 1992; Kjeldsberg and Knight, 1993). Occasionally the CSF may be black tinged CSF in animals with melanin-producing tumors in the nervous system.

Causes of a CSF color change other than red cell contamination include icterus resulting from liver disease or hemolytic disease, markedly increased CSF total protein level, and drug effects. Both free and conjugated bilirubin may be present in the CSF, although the amount of bilirubin in the CSF does not correlate well with the degree of hyperbilirubinemia. If the CSF protein level is increased, the color change will be greater because of increased amounts of the albumin-bound bilirubin. High CSF protein content alone can impart a yellow color to the CSF (Fishman, 1992; Kjeldsberg and Knight, 1993). The drug rifampin imparts an

orange-red color to body fluids. Rifampin is 90% bound to protein; hypoproteinemia may result in rifampin staining of CSF in patients receiving this drug (Fishman, 1992).

3. Viscosity

Increased viscosity is usually due to a very high CSF protein content, particularly fibrinogen. If pleocytosis is present, a surface pellicle or a clot may form. In this situation, collection of the CSF in a heparinized or EDTA tube may be necessary to obtain an accurate cell count. Cryptococcosis may increase CSF viscosity because of the polysaccharide capsule of the yeast. Epidural fat or nucleus pulposus in the CSF may also increase viscosity or result in globules within the fluid (Fishman, 1992; Kjeldsberg and Knight, 1993).

B. Cytology

An increase in the cellularity of CSF is termed pleocytosis. In general terms, the degree of pleocytosis depends on several factors, including the nature of the inciting cause and the severity and location of the lesion with respect to the subarachnoid space or ventricular system (Cook and DeNicola, 1988). A normal CSF analysis does not exclude the presence of disease (Fishman, 1992; Kjeldsberg and Knight, 1993). This is especially true with deep parenchymal lesions that do not communicate with the leptomeninges, and hence the subarachnoid space, or the ependymal surfaces. In these cases, despite the presence of neurological disease that is often severe, the lesion may not affect the CSF cellularity (Cook and DeNicola, 1988). Abnormal CSF findings always indicate the presence of pathology.

1. Neutrophilia

A marked pleocytosis with neutrophil predominance suggests either bacterial meningitis (Kjeldsberg and Knight, 1993; Kornegay et al., 1978) or suppurative, nonseptic (corticosteroid responsive) meningitis (Meric, 1988, 1992a; Tipold and Jaggy, 1994). Total leukocyte counts in excess of 2000 cells per microliter are frequently encountered in these diseases and may even exceed 10,000 cells per microliter (Meric, 1992a). Observation of bacteria or a positive culture confirms septic meningitis. In our experience, bacteria are more commonly observed in the CSF of large animals afflicted with septic meningitis than in dogs or cats with septic meningitis. Neutrophil nuclear morphology is often used as criteria for determining the likelihood of sepsis with nuclear degenerative changes or karyolysis interpreted as evidence of bacterial disease. However, the neutrophils in confirmed cases of septic meningitis in dogs and cats are frequently well preserved, especially if there has been prior therapy. Therefore, absence of bacteria or degenerative nuclear changes in neutrophils cannot be used to unequivocally exclude a diagnosis of septic meningitis, although it does make it less likely. In people, acute viral meningoencephalitis initially causes a neutrophilic pleocytosis (Converse et al., 1973; Fishman, 1992; Kjeldsberg and Knight, 1993) that may persist from a few hours to several days before the development of the more typical mononuclear reaction. A similar phenomenon is documented in animals (Green et al., 1993). Occasionally, distemper virus infection causes massive encephalomalacia (Vandevelde and Spano, 1977) resulting in a neutrophilic pleocytosis, in contrast to the more typical moderate mononuclear pleocytosis. Central nervous system neoplasia may result in a neutrophil predominance in the CSF, especially if there is significant necrosis and inflammation associated with the tumor. Moderate to marked pleocytosis with neutrophil predominance may be noted in dogs with meningioma (Bailey and Higgins, 1986b). However, in another study of dogs with meningioma, about 30% of dogs had a normal CSF analysis (Dickinson et al., 2006). In this study, a significant association between meningiomas in the caudal portion of the cranial fossa and an elevated CSF nucleated cell count was found; but only 19% of the dogs had an elevated total nucleated white cell count with a predominance of neutrophils (Dickinson et al., 2006).

Canine intervertebral disk disease is associated with variable alterations in CSF that depend on factors such as disease severity and chronicity (Thomson et al., 1989). Acute severe disease may result in counts greater than 50 cells per microliter with more than 50% neutrophils (Thomson et al., 1989). This finding may be a reflection of acute inflammation secondary to trauma that may be exacerbated by myelomalacia in some instances. The authors have seen a similar phenomenon associated with fibrocartilaginous embolic myelopathy in dogs. A neutrophilic pleocytosis of varying severity often occurs following myelography with iodinated contrast agents (Carakostas et al., 1983; Johnson et al., 1985; Widmer et al., 1992). These changes usually peak at 24h postmyelogram (see Section VII for further details). Similarly, a neutrophilic pleocytosis has been observed postictally in people. We have occasionally observed similar findings in dogs (see Section VII).

2. Lymphocytosis

Alterations in both numbers and morphology of lymphocytes (see Section III.B) in the CSF occur in a variety of diseases. Central nervous system viral infections often result in a predominantly lymphocytic pleocytosis, documented in dogs (Vandevelde and Spano, 1977), cats (Dow et al., 1990; Rand et al., 1994b), horses (Green et al., 1992; Hamir et al., 1992; Wamsley et al., 2002), sheep, goats (Brewer, 1983), and numerous other species. In people, CSF lymphocytosis has been observed in bacterial meningitis following antibiotic therapy (Cargill, 1975; Converse et al., 1973; Fishman, 1992; Kjeldsberg and Knight, 1993), indicating that therapy and chronicity can alter the CSF findings. A similar finding has been reported in dogs (Sarfaty et al., 1986; Tipold and Jaggy, 1994) and calves (Green and Smith, 1992). We have noted that dogs with chronic or acute on chronic type I intervertebral disk disease have a pleocytosis that is more commonly lymphocytic than neutrophilic (Windsor et al., 2007). The CSF

findings with granulomatous meningoencephalitis (GME) are somewhat variable, but a marked lymphocytic pleocytosis is common (Bailey and Higgins, 1986a; Thomas and Eger, 1989). Similarly, dogs with necrotizing meningoencephalitis (small breed dogs including pug dogs, Yorkshire terriers, and Maltese terriers) frequently have a marked lymphocytic pleocytosis (Cordy and Holliday, 1989; Stalis *et al.*, 1995; Tipold *et al.*, 1993a).

3. Eosinophilia

Eosinophils are not present in normal, uncontaminated (by blood) CSF. Single eosinophils are occasionally noted on cytocentrifuge slides from animals with normal CSF (normal nucleated counts and protein concentration). Although the presence of eosinophils in CSF is abnormal and evidence of underlying disease, no diagnostic specificity is associated with their presence in human CSF, as they can be found in a variety of diseases (Bosch and Oehmichen, 1978). Additionally, CSF eosinophilia and peripheral blood eosinophilia do not necessarily occur together and if they do, no positive correlation exists between the magnitude of peripheral blood eosinophilia and the severity of the CSF eosinophilia (Bosch and Oehmichen, 1978; Smith-Maxie et al., 1989). In one case series of eight dogs with eosinophilic meningoencephalitis, five of eight had concurrent peripheral eosinophilia, but no correlation was present between the peripheral and CSF eosinophil counts. The two dogs with the highest CSF eosinophil counts had peripheral eosinophil counts within normal reference limits. In people, central nervous system invasion by parasites, especially Angiostrongylus cantonensis, is the most frequent cause of eosinophilic pleocytosis; in many of these cases eosinophils predominate in the CSF differential cell count (Bosch and Oehmichen, 1978; Kuberski, 1979). A marked eosinophilic pleocytosis is also reported in dogs with neural angiostrongylosis (Lunn et al., 2003; Mason, 1989). CSF eosinophilia can also occur in association with bacterial, fungal, and viral infections and hence can be seen concurrently with suppurative, granulomatous, and lymphocytic inflammatory processes of the central nervous system (Jamison and Lumsden, 1988; Smith-Maxie et al., 1989). However, in many of these cases, eosinophils represent less than 5% of the total cell count in CSF (Bosch and Oehmichen, 1978; Smith-Maxie et al., 1989). Other documented causes in people include neurosyphilis, tuberculosis, rickettsial disease, foreign body reactions to shunt tubes, intrathecal penicillin or contrast agents, hypereosinophilic syndrome, multiple sclerosis, lymphoma, Hodgkin's disease, leukemia, melanoma, disseminated glioblastoma, idiopathic, and systemic allergic reactions (Bell et al., 2006; Fishman, 1992; Kjeldsberg and Knight, 1993; Kuberski, 1979; Smith-Maxie et al., 1989). In animals, CSF pleocytosis that consists predominantly, or almost exclusively, of eosinophils is rare. We have personally seen CSF eosinophilia with marked eosinophil predominance in association with idiopathic or steroid responsive eosinophilic meningoencephalitis (Smith-Maxie et al., 1989), canine neural angiostrongylosis (Mason, 1989), and histopathologically confirmed canine CNS neosporosis.4 Golden retriever dogs and rottweilers may be predisposed to idiopathic or steroid responsive eosinophilic meningoencephalitis (Bennett et al., 1997; Smith-Maxie et al., 1989). Pleocytosis with eosinophil predominance has also been described in central nervous system cryptococcosis (Vandevelde and Spano, 1977), although this finding is not common in our experience. Other documented causes of CSF eosinophilia (though not necessarily predominance) in animals include bacterial encephalitis, distemper, rabies, toxoplasmosis, neosporosis, cuterebral encephalitis, central nervous system nematodiasis and cestodiasis, protothecosis, granulomatous meningoencephalomyelitis, lymphoma, astrocytoma, cerebral infarction, canine neural angiostrongylosis, and salt poisoning (Chrisman, 1992; Darien et al., 1988; Jamison and Lumsden, 1988; Lester, 1992; Mac Donald et al., 1976; Mason, 1989; Oruc and Uslu, 2006; Smith, 1957; Tyler et al., 1980; Vandevelde and Spano, 1977).

4. Neoplastic Cells

Lymphoma has been diagnosed on the basis of CSF assessment in both small and large animals (Lane et al., 1994; Pusterla et al., 2006a; Vandevelde and Spano, 1977). However, the observation of neoplastic cells in CSF samples from animals with central nervous system neoplasia other than lymphoma is uncommon in our experience. Few veterinary studies have investigated the prevalence of positive CSF cytology in animals with confirmed central nervous system neoplasia. In one study involving 77 histopathologically confirmed cases of primary central nervous system neoplasia in dogs, neoplastic cells were not observed in any sample (Bailey and Higgins, 1986b). However, in this study, cytological assessment was done only on those samples with an elevated cell count and these only accounted for 41.3% of cases. Tumor cells have been observed in the CSF when the CSF cell counts were within normal limits (Grevel and Machus, 1990; Grevel et al., 1992). Additionally, in the study assessing primary brain tumors in 77 dogs (Bailey and Higgins, 1986b), CSF differential and cytology were done on cytospin samples. The cell yield with cytospin slide preparation is low, approximating 10% (Barrett and King, 1976) in some studies, and this may partly explain the failure to observe neoplastic cells in the above study. Other veterinary studies utilizing different techniques report a higher prevalence of neoplastic cells in the CSF from confirmed cases of central nervous system neoplasia. In two studies utilizing a Kölmel sedimentation apparatus, tumor cells were seen in the CSF in five of eight dogs (Grevel and Machus, 1990) and four of nine dogs (Grevel et al., 1992). In the former study, two of the five cytologically

⁴W. Vernau, unpublished data.

positive cases had normal cell counts. The Kölmel technique results in a higher cell yield than cytocentrifugation, which may be partly responsible for the increased incidence of neoplastic cell observation in the CSF. Despite the low yield of cytospin slides, the presence of neoplastic cells in cytospin CSF slides from animals with CNS tumors other than lymphoma has been reported in cats with intracranial oligodendroglioma, dogs with CNS histiocytic sarcoma, and dogs with choroid plexus carcinoma (Dickinson *et al.*, 2000; Zimmerman *et al.*, 2006).^{3,5}

A large number of studies assess the prevalence of neoplastic cells in the CSF of people with central nervous system neoplasia. Overall sensitivities that are frequently quoted are 70% for CNS leukemia, 20% to 60% for metastatic meningeal carcinoma, and approximately 30% for primary CNS tumors (Kjeldsberg and Knight, 1993), regardless of the technique utilized. The detection rate of malignant cells in the CSF is improved by the collection of multiple samples (Olson et al., 1974). These figures are supported by one study utilizing cytocentrifugation in 117 cases of histopathologically confirmed central nervous system neoplasia (Glass et al., 1979). Overall, 26% (31/117) were positive. However, if only those people with leptomeningeal involvement were considered, the prevalence increased to 59%. Conversely, of 66 cases in which the tumor did not reach the leptomeninges, only a single sample was positive. In another study, only 13.9% of all gliomas had a positive CSF cytology (Balhuizen et al., 1978). This low prevalence is likely because the majority of gliomas does not extend into the subarachnoid space (Balhuizen et al., 1978). As a result of these studies, the following generalizations are frequently made in human medicine: (1) a positive CSF cytology is a reliable indicator of central nervous system malignancy and almost always reflects a leptomeningeal tumor (or one involving the ventricular system), and (2) a negative cytology does not exclude the presence of an intracerebral tumor, particularly a deep parenchymal mass that does not breach the pia or the ventricular system. Controlled studies are required in veterinary medicine to determine the prevalence of positive CSF cytology in confirmed cases of different types of central nervous system neoplasias, and also to compare the sensitivities of different preparative methods. These studies may be hampered by the general lack of experience at identifying cells derived from central nervous system neoplasms. Tumor cells can be erroneously identified as normal ependymal or choroid plexus cells. Solitary tumor cells from metastatic carcinomas can be mistaken for lymphocytes or monocytes (Kjeldsberg and Knight, 1993). The need for the above type of study has been somewhat decreased by the advent of more routine access to advanced imaging and biopsy techniques (Koblik et al., 1999; Vernau et al., 2001).

C. Protein

1. Changes in CSF Total Protein Content

An increase in the total protein content of CSF is the single most useful alteration in the chemical composition of the fluid (Fishman, 1992). However, this alteration accompanies many diseases and is therefore nonspecific. Increased total protein may be caused by (1) increased permeability of the blood-brain/spinal cord/CSF barriers allowing passage of serum proteins into the CSF, (2) intrathecal globulin production, and (3) interruption of CSF flow or absorption. Particular emphasis has been put on CSF flow rate as a major factor in CSF protein content (Reiber, 1994). In many diseases, two or all three of these mechanisms are at work. In complete spinal subarachnoid space blockage (e.g., by a compressive lesion or arachnoiditis), CSF withdrawn caudal to the block may clot when aspirated. In people, this phenomenon is called Froin's syndrome and results from very high CSF protein levels caused by the defective flow and absorption and blood-spinal cord barrier breakdown (Fishman, 1992; Kjeldsberg and Knight, 1993).

Decreased total protein is much less common. Theoretically, low levels of CSF protein could result from decreased entry of protein into the CSF or increased removal. No evidence exists to support the first mechanism. Increased removal can occur, however, if intracranial pressure is increased while the barriers to serum protein remain normal. In this situation, bulk flow absorption of CSF is increased, whereas entrance of protein into the CSF remains normal. Protein content of fluid collected from the lumbar site could be decreased if large volumes are removed or if ongoing leakage of CSF from the lumbar area is occurring. In these situations, lumbar CSF is replaced more quickly than normal by ventricular CSF, which has a lower protein content than lumbar CSF (Fishman, 1992; Kjeldsberg and Knight, 1993). Low CSF protein has also occurred in people with hyperthyroidism, leukemia, or water intoxication (Fishman, 1992; Kjeldsberg and Knight, 1993).

2. Albuminocytological Dissociation

In many disease processes, the CSF cell count and CSF total protein increase in rough parallel. In some disorders, the cell count remains normal, whereas the total protein is notably increased, a phenomenon termed albuminocytological dissociation. Some degenerative disorders, ischemia/infarction, immune-mediated diseases (e.g., polyradiculoneuritis), tumors, and neural compression produce albuminocytological dissociation (Laterre, 1996).

3. Increased CSF Albumin and Albumin Index

Elevation of CSF albumin (which originates in the serum), and consequently an increased albumin index, indicates dysfunction of the blood-brain/spinal cord/CSF barriers or

⁵Westworth, D., in preparation.

contamination of the CSF by blood (from intrathecal hemorrhage or traumatic spinal tap). Barrier damage occurs in most types of neurological disorders, including inflammatory diseases, neoplasia, trauma, compression, and occasionally metabolic diseases (Bichsel *et al.*, 1984b; Krakowka *et al.*, 1981; Sorjonen, 1987; Sorjonen *et al.*, 1991).

4. Increased CSF IgG and IgG Index

The CSF IgG can be increased by movement of protein across damaged blood-brain/CSF barriers, intrathecal hemorrhage (pathological or iatrogenic), or intrathecal IgG synthesis. An elevated CSF IgG content and increased IgG index, indicating intrathecal IgG synthesis, are typical for infectious inflammatory diseases (Tipold et al., 1993b, 1994). In contrast, animals with noninflammatory diseases usually have normal IgG indices (Tipold et al., 1993b). In a few animals with noninfectious disorders mild intrathecal IgG synthesis occurs, reflecting the presence of inflammatory infiltrates around the lesion (Tipold et al., 1993b). Therefore, the IgG index is often useful for distinguishing between inflammatory and noninflammatory lesions, which is not always possible on the basis of CSF cell counts alone (Bichsel et al., 1984b). In one study (Tipold et al., 1993b), 7 of 66 dogs with inflammatory lesions had no pleocytosis but had an elevated IgG index; in contrast, 17 of 32 dogs with noninflammatory disease had pleocytotic CSF and a normal IgG index. The authors of this study consider an IgG index ≥ 2.8 as proof of intrathecal synthesis allowing a diagnosis of meningoencephalomyelitis, and an IgG index between 1.3 and 2.8 as suggestive of inflammatory disease. In a few dogs with marked inflammatory lesions and intrathecal IgG production, the IgG index may not be elevated because of marked IgG exudation against which the local IgG synthesis is undetectable (Bichsel et al., 1984b). Traumatic puncture and red blood cell contamination of the CSF can artifactually increase the IgG index. Additionally, the normal IgG index of cerebellomedullary fluid and lumbar fluid are likely to be different because of the different protein concentrations of these fluids.

5. Classification of Disease Based on Albumin Index and IgG Index

Alterations of the albumin index and the IgG index can be grouped into three pathogenetical categories: (1) blood-brain/CSF barrier disturbance (increased albumin index), (2) intrathecal IgG synthesis (increased IgG index), and (3) barrier disturbance combined with intrathecal IgG production (both indices increased). These categories correlate somewhat with certain types of diseases. Barrier disturbance may be seen in degenerative, inflammatory, metabolic, space-occupying, vascular, and traumatic conditions (Bichsel et al., 1984b; Sorjonen, 1987; Sorjonen et al., 1991). Intrathecal IgG synthesis is typical of inflammatory conditions (Tipold et al., 1994) but also occurs in noninfectious

disorders that have secondary inflammation such as some tumors (Tipold *et al.*, 1993b). Barrier disturbance coupled with intrathecal IgG production is typical of infectious-inflammatory diseases (Bichsel *et al.*, 1984b).

6. Increased CSF IgM, IgA, and IgM and IgA Indices

The immunoglobulins IgM and IgA may be increased in the CSF of animals with inflammatory neurological disease. A study of 69 dogs with inflammatory disease detected IgM elevations in 16 dogs and IgA elevations in 40 (Tipold et al., 1994). An increased CSF IgM index is considered by some investigators to be a good indication of recent or persistent immunological stimulation in people (Sharief and Thompson, 1989). In contrast, one study reported that IgM was present through all stages of human herpes and bacterial meningitis, and increased and decreased with IgG (Felgenhauer, 1982). Perhaps a transition from IgM to IgG production does not occur in the central nervous system (Tipold et al., 1994), or perhaps, in the presence of a normal or near-normal blood-CSF barrier, IgM accumulates in the CSF (Felgenhauer, 1982). In people with Borrelia infection, CSF IgM is persistently produced and the IgM index is a better indicator of this disease than is the IgG index (Fishman, 1992). Anti-West Nile Virus (WNV) IgM antibody production appears to occur intrathecally in horses and detection of CSF anti-WNV IgM may be used to differentiate previously vaccinated horses versus infected horses (Porter et al., 2004) Further studies need to be done in animals to determine the sensitivity and specificity of the various immunoglobulin alterations occurring in disease.

7. Electrophoretic Patterns of CSF Protein in Disease

Abnormalities in the CSF electrophoretic pattern can suggest categories of diseases (Sorjonen, 1987; Sorjonen et al., 1991). In one study, dogs with inflammatory diseases had one of three patterns: (1) little or no blood-brain barrier disturbance (as determined by CSF albumin concentration and the albumin quota) with decreased gamma globulin, (2) mild bloodbrain barrier disturbance with markedly increased gamma globulin, and (3) moderate or marked blood-brain barrier disturbance with increased gamma globulin. Dogs with intervertebral disk protrusion or cervical spondylomyelopathy had a pattern of normal barrier function or severe barrier disturbance with decreased alpha globulin. Dogs with brain neoplasia had marked barrier disturbance and normal or mildly increased alpha and beta globulins (Sorjonen et al., 1991). However, a more recent study using high-resolution agarose electrophoresis was unable to differentiate various categories of neurological disease in dogs using this technique (Behr et al., 2006).

In the gamma globulin region, three patterns of protein bands can occur: monoclonal, oligoclonal, and polyclonal. Oligoclonal bands are associated with disease and are seen in a high percentage of people with multiple sclerosis or encephalitis. These bands, readily identifiable against the low background of normal polyclonal IgG in the CSF, are thought to represent the products of a limited number of plasma cell clones. Oligoclonal bands unique to CSF (i.e., not present in serum) indicate intrathecal synthesis of immunoglobulin and may be more sensitive than the IgG index in detecting this synthesis. People with multiple sclerosis may have a normal IgG index yet have CSF oligoclonal banding; thus, the demonstration of these bands is considered by some to be the single most useful test in the diagnosis of multiple sclerosis (Kjeldsberg and Knight, 1993). Oligoclonal bands are also seen in patients with inflammatory diseases and in some patients with neoplasia (Fishman, 1992). Occasionally, a single (monoclonal) band is identified in the CSF electrophoretic pattern of people. Monoclonal bands have been seen in neurologically normal people as well as in patients with neurological disease (Kjeldsberg and Knight, 1993).

8. Other CSF Proteins

Numerous attempts have been made to correlate specific CSF proteins, particularly "brain-specific" proteins, with specific diseases. Proteins such as C-reactive protein, interferon, myelin basic protein, and S-100 are increased in the CSF associated with neurological disease, but these increases are found in many heterogeneous conditions. This nonspecificity limits the clinical utility of many of these specific protein assays. However, the measurement of some of these proteins is thought to be useful as a screening procedure for neurological disease or as an indication of prognosis (Fishman, 1992; Kjeldsberg and Knight, 1993; Lowenthal et al., 1984). Immunoassay detection in the CSF of the brainderived protein 14-3-3 appears to be helpful for the diagnosis of transmissible spongiform encephalopathies in both animals and people (Hsich et al., 1996; Sanchez-Juan et al., 2006). An autoantibody against canine glial fibrillary acidic protein present in astrocytes has been detected in the CSF of two pug dogs affected with necrotizing encephalitis (Uchida et al., 1999). However, it is unknown if the presence of this antibody is a primary or secondary phenomenon.

9. Plasma Proteins in the CSF

Alterations in plasma proteins may be reflected in the CSF. For example, in people, the serum protein monoclonal gammopathy of multiple myeloma may be evident in the CSF. Bence Jones proteins are also readily seen in the CSF. The high molecular weight paraproteins do not cross the normal blood-brain barrier, however. Serum protein electrophoresis is indicated in patients with elevated CSF globulins to clarify the source of the globulins (Fishman, 1992).

D. Antibody Titers

The CSF antibody titer can be measured for a number of diseases (Dubey, 1990b; Greene, 1990). Interpretation of the results is confounded by the need to differentiate among titers caused by vaccination, exposure to the antigen without development of the disease, and actual disease. Interpretation of CSF antibody titers could be aided by an accurate vaccination history, comparison of CSF and serum titers, assessment of blood-brain/CSF barrier function, and intrathecal immunoglobulin production by determination of albumin and immunoglobulin indices, determination of CSF IgM levels, and analysis of acute and convalescent samples (Chrisman, 1992; Green et al., 1993; Porter et al., 2004).

E. Glucose

Increased CSF glucose usually reflects hyperglycemia. Decreased CSF glucose occurs with several disorders of the nervous system, particularly acute, bacterial, fungal, amebic, or tuberculous meningitis. In people, low CSF glucose is also characteristic of diffuse carcinomatous meningitis, meningeal cysticercosis or trichinosis, and syphilitic meningitis. The major factors responsible for low CSF glucose levels are inhibition of the entry of glucose because of the alteration of membrane glucose transport and increased anaerobic glycolysis by neural tissue. As noted previously, hyperglycemia elevates the CSF glucose, which may mask a decreased CSF level. Therefore, calculation of a CSF/serum glucose ratio has been recommended to identify pathologically low CSF glucose levels (Deisenhammer et al., 2006; Kjeldsberg and Knight, 1993). A CSF/serum glucose ratio less than 0.4 to 0.5 is considered to be pathological in people (Deisenhammer et al., 2006). A low CSF glucose level in the absence of hypoglycemia indicates a diffuse, meningeal disorder, rather than focal disease (Fishman, 1992; Kjeldsberg and Knight, 1993). Decreased CSF glucose classically has been associated with bacterial meningitis, but many human patients with bacterial meningitis have normal CSF glucose levels. Therefore, the recommendation has been made that CSF glucose need be measured only if the opening CSF pressure, cell count, cytospin differential, and protein are inconclusive (Hayward et al., 1987). CSF glucose concentration and CSF/serum glucose ratio are not routinely measured in veterinary medicine, possibly because of the lack of specificity and availability of more specific tests in most instances.

F. Enzymes

Numerous enzymes have been assayed in the CSF of animals (Furr and Tyler, 1990; Jackson *et al.*, 1996; Rand *et al.*, 1994b; Wilson, 1977). Of these, creatine kinase has received the most attention, and opinions of its usefulness are conflicting. Although Furr and Tyler confirmed previous observations that CSF creatine kinase activity was elevated in

several neurological diseases, they concluded that the greater frequency of elevation in the CSF of horses with protozoal myelitis versus horses with cervical compressive myelopathy indicated this enzyme assay was useful in differentiating these two diseases (Furr and Tyler, 1990). This conclusion was disputed by Jackson et al. (1996), who did not find the sensitivity or specificity of creatine kinase measurement sufficient for diagnosis of a specific disease. Jackson et al. (1996) also concluded that contamination of the CSF sample with epidural fat or dura mater may contribute to previously unexplained elevations in CSF creatine kinase activity. Their conclusion regarding this enzyme's lack of sensitivity and specificity reflects the current situation with all of the enzymes in CSF studied to date—none has sufficient specificity to warrant its routine use as diagnostic test (Fishman, 1992; Indrieri et al., 1980; Jackson et al., 1996; Kjeldsberg and Knight, 1993; Rand et al., 1994b). The site of CSF collection with respect to the location of the lesion may be responsible for some of the lack of diagnostic significance in CSF enzyme analysis. Cerebellomedullary fluid may be less affected than lumbar fluid in animals with spinal disease (Indrieri et al., 1980). Measurement of enzyme isomers may increase the specificity (Kjeldsberg and Knight, 1993).

To date, none of the enzyme assays are sufficiently sensitive or specific to warrant routine use in clinical practice (Fishman, 1992; Indrieri *et al.*, 1980; Jackson *et al.*, 1996; Kjeldsberg and Knight, 1993; Rand *et al.*, 1994a).

G. Other Constituents

1. Interferon

Interferon is increased in the CSF in a large percentage of people with viral encephalitis-meningitis. This finding is not specific, however, as increases are also found in patients with bacterial meningitis (Glimaker *et al.*, 1994) or multiple sclerosis and occasionally in patients with noninflammatory neurological disease (Brooks *et al.*, 1983). In an experimental study of canine distemper, interferon appeared to be a valid marker for persistence of the virus in the central nervous system (Tsai *et al.*, 1982).

2. Neurotransmitters

Gamma-aminobutyric acid (GABA) is a major inhibitory neurotransmitter, whose dysfunction has been suggested to play a role in experimental (Griffith *et al.*, 1991) and clinical seizure disorders. Conversely, glutamate (GLU) is a major excitatory neurotransmitter in the CNS that plays an important role in the initiation, spread, and maintenance of epileptic activity in people (Meldrum, 1994). Increased extracellular concentrations of glutamate in the CNS may also mediate secondary tissue damage and cell death (Meldrum, 2000). A study of epileptic dogs found the average CSF

concentration of GABA to be significantly reduced, a situation similar to that in people (Loscher and Schwartz-Porsche, 1986). Inhibitory and excitatory neurotransmitters have been assayed in the CSF of epileptic dogs (Ellenberger et al., 2004; Podell and Hadjiconstantinou, 1997). In one study, epileptic dogs were found to have lower levels of CSF GABA and higher levels of CSF glutamate than normal dogs (Podell and Hadjiconstantinou, 1997). In another study, CSF GABA was also found to be decreased in epileptic Labradors, but in contrast to the former report, these dogs had lower levels of CSF glutamate than normal dogs or epileptic non-Labradors (Ellenberger et al., 2004). CSF neurotransmitters have also been assayed in dogs with portosystemic shunts (PSS) and clinical signs of hepatic encephalopathy (Holt et al., 2002). Dogs with PSS had significantly higher levels of glutamine, tryptophan, and 5-hydroxyindoleacetic acid. These alterations may play a role in the neurological abnormalities associated with hepatic encephalopathy (Holt et al., 2002). Because of its potential role in secondary tissue damage, glutamate concentrations in lumbar CSF have been measured in dogs with intervertebral disk herniation and acute and chronic compressive spinal cord lesions (Olby et al., 1999). Dogs with severe, acute thoracolumbar disk herniations have two- to ten-fold increases in their lumbar CSF 12h or more after injury. The severity of the clinical signs appeared to be related to the lumbar CSF glutamate concentration (Olby et al., 1999). Dogs with chronic compressive thoracolumbar lesions have a two-fold elevation of lumbar CSF glutamate concentration. However, focal spinal cord injuries did not alter glutamate concentrations in cisternal CSF (Olby et al., 1999).

Increased CSF levels of the biogenic amine neurotransmitter metabolites homovanillic acid and 5-hydroxyindoleacetic acid were found in 2 of 10 collies experimentally given ivermectin (Vaughn et al., 1989). Both of these collies had severe neurological deficits. Neurotransmitter metabolite concentrations were also elevated in the CSF of goats demonstrating neurological abnormalities after experimental boron toxicosis (Sisk et al., 1990). Significant differences in neurotransmitter concentrations were found between the CSF of normal dogs and narcoleptic dogs (Faull et al., 1982). Hyopocretins are neuropeptides that bind to the G-protein coupled hypocretin receptors Hcrtr 1 and Hertr 2. Hypocretins are undetectable in the CSF of sporadic narcoleptic dogs but are normal in familial narcoleptic dogs that have mutations in the hypocretin receptor 2 gene (Ripley et al., 2001).

3. Quinolinic Acid

Quinolinic acid is a neuroexcitotoxic metabolite of L-tryptophan and an agonist of N-methyl-d-aspartate receptors. Increased levels have been found in people with a variety of neurological diseases including AIDS (Heyes *et al.*, 1992) and macaques infected with simian immunodeficiency virus (Smith, 1995). Quinolinic acid levels

may be elevated in the CSF of animals with inflammatory nervous system disease. Therefore, they may be useful as a marker of inflammation and perhaps also as an indicator of prognosis (Smith, 1995). Increased CSF quinolinic acid concentrations were also found in dogs with portosystemic shunts (PSS) and signs of hepatic encephalopathy (HE) (Holt *et al.*, 2002); it was speculated in this study that quinolinic acid and other tryptophan metabolites may contribute to the neurological abnormalities present in dogs with PSS and HE.

4. Lactic Acid

In people, the measurement of CSF lactic acid has been advocated in differentiating bacterial from viral meningitis. CSF lactate concentration is also increased in CNS fungal infections and leptomeningeal metastatic disease (Deisenhammer et al., 2006). CSF lactate concentration is independent of blood lactate concentration (Deisenhammer et al., 2006). In people, CSF lactate concentration may be elevated in diseases resulting in severe or global brain ischemia and anaerobic glycolysis or mitochondrial disease. It correlates inversely with the CSF: blood glucose ratio. However, because many diseases may elevate CSF lactic acid, the overlapping CSF lactate levels limit the value of CSF lactate assay (Fishman, 1992; Kjeldsberg and Knight, 1993). In a study of dogs with a variety of CNS diseases, blood and CSF pyruvate and lactate levels were measured. Levels of pyruvate were variable. Lactate levels were significantly elevated only in dogs with disk disease over CSF lactate levels in normal dogs (Lobert et al., 2003). A study of CSF lactate levels in horses with neurological disease found elevated lactate levels in several types of central nervous system diseases (Green and Green, 1990). Therefore, as with people, increased CSF lactic acid in the horse appears to be a nonspecific indicator of central nervous system disease. Interestingly, in the horses studied, elevated lactic acid was the only CSF abnormality associated with brain abscess (Green and Green, 1990).

5. 3-OH Butyrate

The measurement of serum 3-OH butyrate concentration is useful in the feeding management of pregnant ewes and in the diagnosis of pregnancy toxemia. Following death, however, rapid autolytic change renders serum biochemical analysis useless. Scott *et al.* (1995) compared the 3-OH butyrate concentrations of serum collected antemortem and aqueous humor and CSF collected within 6h of death. Their results indicated either fluid was suitable for postmortem determination of 3-OH butyrate levels and that such data could be extrapolated to indicate antemortem serum 3-OH butyrate concentration and the possibility that pregnancy toxemia contributed to the death of the animal.

VIII. CHARACTERISTICS OF CSF ASSOCIATED WITH SPECIFIC DISEASES

A. Degenerative Disorders

This group of disorders includes a variety of diseases such as the inherited, breed-specific polyradiculoneuropathies, myelopathies, and encephalopathies; motor neuron diseases; and cerebellar abiatrophies. The storage diseases can also be included in this group. The inclusion of canine degenerative myelopathy is arguable, awaiting further clarification of its pathogenesis. The CSF in animals with degenerative disorders is characteristically normal, reflecting the lack of inflammation in the disease process (Braund, 1994; Oliver and Lorenz, 1993). A mild to moderate increase of CSF total protein may occur in several of these disorders, however. Increased total protein is also found in people with motor neuron disease, Parkinson's disease, and with various hereditary neuropathies and myelopathies. The mechanism of the protein increase is unknown. Electrophoretic studies of CSF associated with some human neurodegenerative disorders have shown a transudative pattern. Intrathecal immunoglobulin production has also been found in people with motor neuron disease (Fishman, 1992). In storage diseases such as globoid cell leukodystrophy, mucopolysaccharidosis, and fucosidosis, accumulated metabolic material may be seen in the white blood cells in the CSF (Keller and Lamarre, 1992; Roszel, 1972; Silverstein Dombrowski et al., 2004).

1. Canine Degenerative Myelopathy

Although the CSF of dogs with degenerative myelopathy may be normal, a mild elevation of the white blood cell count is present occasionally (Bichsel et al., 1984b). More common is a normal cell count coupled with a mild to moderate elevation of total protein (approximately 40 to 70 mg/dl). This albuminocytological dissociation may support the theory that this disorder is an immune-mediated disease (Waxman et al., 1980). However, concurrent, chronic, spinal cord compression by type II disk protrusion in many of these dogs complicates the situation, because chronic cord compression may also produce an increase in total protein. The elevated total protein concentration in canine degenerative myelopathy is probably the result of increased CSF albumin (Bichsel et al., 1984b). The CSF IgG index is usually normal (Bichsel et al., 1984b; Tipold et al., 1993b), indicating a lack of intrathecal IgG production.

2. Degenerative Myeloencephalopathy of Llamas

A degenerative myeloencephalopathy has been identified in two adult llamas. Lesions consist of bilateral white matter degeneration in all spinal cord segments and degenerate neurons in the brain stem nuclei or degeneration of brain stem white matter tracts. Inflammation is not evident. Lumbosacral CSF from both animals was normal (Morin *et al.*, 1994).

3. Equine Motor Neuron Disease

The CSF of horses with this (Loscher and Schwartz-Porsche, 1986) disorder is either normal or has albuminocytological dissociation (Cummings et al., 1990; Divers et al., 1994; Morin et al., 1994). In a study of 28 cases (Divers et al., 1994), 9 of 26 horses had elevated CSF protein. The albumin quotient was abnormal in only 2 of 19 horses. The IgG index was increased in 8 of 16 horses. The abnormalities in total protein and IgG index did not appear to be associated with the duration or severity of clinical signs. The increased protein and IgG index in these horses suggest that intrathecal immunoglobulin production occurs. Blood-brain barrier damage and intrathecal IgG production also occur in people with motor neuron disease (Apostolski et al., 1991).

B. Idiopathic Diseases

1. Granulomatous Meningoencephalomyelitis

The CSF associated with granulomatous meningoencephalomyelitis (GME) is usually abnormal. The fluid may be clear or hazy and is generally colorless. The total white blood cell count is moderately to markedly elevated, as is the total protein. The white blood cell differential is variable, but typically lymphocytes predominate, with monocytes/macrophages and neutrophils comprising the remainder in about equal percentages (Bailey and Higgins, 1986a; Braund, 1994; Sarfaty et al., 1986; Thomas and Eger, 1989; Tipold, 1995). A 15% to 30% neutrophilic component suggests GME, but the white blood cell differential can range from 95% neutrophils (Sorjonen, 1990) to 100% mononuclear cells. Plasma cells, cells undergoing mitosis, and large, mononuclear cells with abundant foamy cytoplasm are occasionally present (Bailey and Higgins, 1986a; Braund, 1994). Lumbar fluid is also abnormal, although it generally has fewer cells and less protein than cerebellomedullary fluid (Bailey and Higgins, 1986a). Electrophoresis of CSF suggests blood-brain barrier dysfunction is present in the acute stage of disease; intrathecal IgG production with resolution of the barrier dysfunction occurs in chronic disease (Sorjonen, 1990). The albumin quota is elevated (Sorjonen, 1987), and the IgG index is usually elevated (Bichsel et al., 1984b; Tipold et al., 1993b, 1994). If barrier dysfunction is severe, with marked transudation of protein, the IgG index may be normal because the amount of intrathecally produced IgG is small in comparison to the amount of transudated serum IgG (Bichsel et al., 1984b; Fishman, 1992).

2. Necrotizing Encephalitis of Pug Dogs, Maltese Dogs, and Yorkshire Terriers

A necrotizing encephalitis (NE) of unknown cause is recognized in pug dogs (Cordy and Holliday, 1989; de Lahunta, 1983), Maltese dogs (Stalis et al., 1995), and Yorkshire terriers (Ducote et al., 1999; Jull et al., 1997; Kuwamura et al., 2002; Tipold et al., 1993a). The lesions are similar in each breed, although the distribution of lesions in the pug and Maltese dogs (large, diffuse, cerebral) is different from that in the Yorkshire terriers (well-defined multifocal brain stem). The CSF associated with the pug and Maltese dog diseases has a moderate to marked, predominantly lymphocytic, increased white blood cell count (although one Maltese had 62% neutrophils) and moderate to marked elevation in total protein (Bradley, 1991; Cordy and Holliday, 1989; Stalis et al., 1995). The CSF of the Yorkshire terriers has mild to moderate increases in white blood cells and protein, with a predominantly mononuclear differential count (Ducote et al., 1999; Tipold, 1995; Tipold et al., 1993a). Seizures are a consistent clinical sign for the pugs and the Maltese dogs but not the Yorkshire terriers.

An autoantibody against canine astrocytes has been detected in the CSF of dogs with NE (Matsuki *et al.*, 2004; Uchida *et al.*, 1999). This autoantibody, which recognizes glial fibrillary acidic protein, has also been detected in the CSF of dogs with GME and with intracranial tumors (Matsuki *et al.*, 2004); therefore, it is not a specific finding in dogs with NE. It is unknown if the presence of this antibody is a primary or secondary phenomenon. Further research is necessary to determine the clinical utility of the presence of this autoantibody in CSF.

C. Immune-Mediated Diseases

1. Acute Idiopathic Polyradiculoneuritis/Coonhound Paralysis

Acute idiopathic polyradiculoneuritis is one of the most common canine polyneuropathies, and coonhound paralysis is the most common form. The disorder resembles Guillain-Barre syndrome of people. In affected dogs, the classical CSF abnormality is albuminocytological dissociation. The abnormality is more obvious in lumbar CSF than in cerebellomedullary CSF (Cuddon, 1990; Cummings *et al.*, 1982). The CSF IgG level and IgG index may also be increased, indicating intrathecal immunoglobulin production (Cuddon, 1990; Tipold *et al.*, 1993b).

2. Equine Cauda Equina Neuritis

This disease is thought to be an autoimmune polyneuritis. The CSF of affected horses may be xanthochromic and typically has a prominent, usually lymphocytic pleocytosis (at least in the chronic stage) and moderately elevated

protein. The CSF can also be normal (Mayhew, 1989; Yvorchuk, 1992).

3. Steroid-Responsive Meningitis/Arteritis

Steroid-responsive meningitis/arteritis is a common, suppurative meningitis of dogs. The CSF has a marked, often extreme, neutrophilic pleocytosis, and moderately to markedly increased protein. Occasionally a single sample collected early in the disease is normal (de Lahunta, 1983; Meric, 1988; Tipold et al., 1995). The IgG index is typically elevated (Tipold and Jaggy, 1994; Tipold et al., 1993b), and IgM and IgA levels are often elevated as well (Tipold and Jaggy, 1994; Tipold et al., 1995). Microbial cultures are negative. In protracted or inadequately treated cases, the pleocytosis is mild to moderate with a mixed population or even a mononuclear cell predominance; the protein level may be normal or slightly elevated. The CSF may even be normal (Tipold and Jaggy, 1994). A polyarteritis/vasculitis reported in beagles, Bernese mountain dogs, German short-haired pointers, and sporadically in other breeds (Meric, 1988) has similar CSF abnormalities and pathological changes and may be the same disease as steroid-responsive meningitis/ arteritis (Tipold and Jaggy, 1994). Boxer dogs may also be predisposed to this disease (Behr and Cauzinille, 2006).

D. Infectious Diseases

The variety of CSF abnormalities associated with infectious disease reflects the variety of infectious diseases affecting the central nervous system. If the infection causes inflammation, the total white blood cell count and protein usually will be elevated, but the degree and type of abnormality depend on the infectious agent, the immune status of the animal, the location of the infectious process (e.g., surface-related versus parenchymal), the duration of the infection, and previous treatment. The general rules of inflammation resulting from infection apply (i.e., bacterial infections result in suppurative inflammation whereas viral infections result in nonsuppurative inflammation). Several important exceptions exist, however.

Bacterial Diseases

In central nervous system aerobic or anaerobic bacterial infections, the CSF may be clear, hazy, or turbid (depending on the cell count), and colorless or amber with moderate to marked elevations of total white blood cell count and total protein concentration. Because of the elevated protein concentration, the CSF may clot or foam when shaken. The white blood cell differential count characteristically has a high percentage of neutrophils (>75%), which may be degenerate (Baum, 1994; Dow *et al.*, 1988; Foreman and Santschi, 1989; Green and Smith, 1992; Kornegay, 1981; Meric, 1988; Rand *et al.*, 1994b; Santschi and Foreman,

1989; Scott, 1995; Sturges et al., 2006; Tipold, 1995). The protein is composed of albumin that has crossed the diseased blood-brain/CSF barrier and immunoglobulin produced intrathecally; therefore, the IgG index is usually elevated (Tipold et al., 1993b, 1994). The IgM and IgA levels may be normal or increased (Tipold et al., 1994). The CSF of animals with chronic or treated bacterial infections may be nonsuppurative with mild to moderate elevations of total white blood cell count and total protein concentration (Green and Smith, 1992; Sturges et al., 2006). Occasionally extracellular or intracellular bacteria may be seen, either on a routine Wright's stain or a Gram stain (Foreman and Santschi, 1989; Green and Smith, 1992; Kornegay, 1981). Because prior antibiotic therapy is common, and some bacteria undergo rapid autolysis in the test tube, bacterial culture of these infections is often unrewarding. Nonetheless, culture should be attempted. Polymerase chain reaction techniques may be used to detect the presence of bacterial DNA (Finno et al., 2006; Peters et al., 1995).

a. Listeriosis

Despite being a bacterial infection, the CSF of cattle with meningoencephalitis caused by Listeria monocytogenes typically has mild to moderate elevations in total white blood cell count and total protein, with the white cells mostly mononuclear cells (Rebhun and deLahunta, 1982). These mild (to moderate) changes probably reflect the characteristic lesions of this disease, which are mononuclear vascular cuffing and parenchymal microabscesses. The disease in sheep may produce a CSF similar to that of infected cattle (Scarratt, 1987). However, two studies reported ovine CSF with moderate to marked elevations in white blood cell count and protein, with a neutrophilic pleocytosis (53% to 100% neutrophils) (Scott, 1992, 1993). The mononuclear CSF reported in cattle likely reflects a more chronic stage or resolution of the disease (Green and Smith, 1992; Kjeldsberg and Knight, 1993). A study of bacterial culture and polymerase chain reaction (PCR) for the detection of L. monocytogenes in the CSF of 14 infected ruminants yielded no positive cultures and only one positive PCR. Direct culture of brain tissue was more frequently positive. The authors concluded that L. monocytogenes only occasionally gains access to the meningoventricular system in the course of the disease, and that reliable, in vivo diagnosis of listeric encephalitis generally cannot be based on the detection of the organism in the CSF (Peters et al., 1995).

b. Neuroborreliosis (Lyme Disease)

Although neuroborreliosis caused by the Lyme disease spirochete, *Borrelia burgdorferi*, has been suspected in dogs (Feder *et al.*, 1991; Mandel *et al.*, 1993) and horses (Burgess and Mattison, 1987; Hahn *et al.*, 1996), the actual incidence in animals is unknown. The diagnostic difficulties arise from a delay or repression of seroconversion

after infection; the high number of seropositive, clinically normal animals; the persistence of infection and seropositivity despite resolution of clinical disease; antibody crossreactivity; and difficulty in culturing the organism from tissue or fluid samples (Appel et al., 1993; Levy et al., 1993; Madigan, 1993; Parker and White, 1992). The CSF associated with neuroborreliosis in animals has not been characterized. In people, CSF abnormalities are related to the stage of the disease. When present, typical abnormalities are a mononuclear pleocytosis (T lymphocytes, plasma cells, and IgM-positive B cells (Sindern and Malin, 1995) with a moderately elevated total protein and normal or decreased CSF glucose (Fishman, 1992). Persistent CSF oligoclonal bands and intrathecal synthesis of IgG, IgM, and IgA occur (Henriksson et al., 1986). Diagnosis is enhanced by the determination of intrathecal synthesis of specific B. burgdorferi antibodies (Kaiser and Lucking, 1993), but cross-reactivity is a problem (Fishman, 1992). Borrelia burgdorferi antibodies have also been detected in the CSF of dogs (Feder et al., 1991; Mandel et al., 1993). Polymerase chain reaction (PCR) techniques for CSF have been developed, but the diagnostic success rate is variable (Lebech, 1994). The CSF of a horse was reported PCR positive for B. burgdorferi (Hahn et al., 1996).

c. Ehrlichial and Rickettsial Diseases

Ehrlichiosis, usually caused by Ehrlichia canis, and Rocky Mountain spotted fever, caused by Rickettsia rickettsii, sporadically involve the central nervous system of animals. In dogs with neural ehrlichiosis, the CSF resembles that of viral diseases (i.e., the white blood cell count and protein may be normal or slightly to moderately elevated with a predominantly mononuclear pleocytosis) (Buoro et al., 1990; Firneisz et al., 1990; Greene et al., 1985; Maretzki et al., 1994; Meinkoth et al., 1989). The albumin quotient is reported to be elevated (Sorjonen et al., 1991). Occasionally, Ehrlichia morulae may be observed in CSF mononuclear cells or neutrophils (Maretzki et al., 1994; Meinkoth et al., 1989). The few reports of CSF associated with Rocky Mountain spotted fever suggest a difference from ehrlichiosis in that the CSF pleocytosis of Rocky Mountain spotted fever may be predominantly neutrophilic, particularly early in the disease (Breitschwerdt, 1995, Breitschwerdt et al., 1985; Greene et al., 1985; Rutgers et al., 1985). A predominantly neutrophilic pleocytosis has also been reported in dogs experimentally infected with R. rickettsii (Breitschwerdt et al., 1990). In this same study, IgG or IgM antibodies were not detected in the CSF of experimentally infected dogs, but they were detected in the CSF of one naturally infected dog that also had a high serum titer (Breitschwerdt et al., 1990).

d. Thromboembolic Meningoencephalitis

In cattle, *Hemophilus somnus* causes bacteremia and thromboembolism, with some preference for neural tissue.

The vascular lesion results in multifocal hemorrhages. Consequently, the CSF is characteristically yellow with a high red blood cell count (not iatrogenic in origin), and moderately to markedly increased white blood cell count (predominantly neutrophils) and protein (Ames, 1987; George, 1996; Little and Sorensen, 1969; Mayhew, 1989). The bacterium can be cultured only occasionally from CSF and more easily from septicemic animals (Little, 1984; Nayar *et al.*, 1977)

2. Viral Diseases

The CSF associated with viral diseases is characterized by nonsuppurative inflammatory changes. The total white blood cell count and total protein are generally mildly to moderately elevated. The white cell population may be mixed with a majority of mononuclear cells or may be entirely mononuclear cells. Occasionally, neutrophils predominate, particularly in the early stages of disease or in certain diseases (discussed later). The IgG index is commonly elevated (Bichsel *et al.*, 1984b; Tipold *et al.*, 1994). The IgA and IgM levels may also be elevated. The CSF of viral infections may also be normal, particularly if the meninges or ependyma is not involved (Fankhauser, 1962; Fishman, 1992; Rand *et al.*, 1994b; Tipold, 1995; Tipold *et al.*, 1994).

a. Canine Distemper

The CSF abnormalities associated with canine distemper (CDV) vary strikingly with the stage of the disease. Dogs with acute, demyelinating, noninflammatory distemper encephalitis may have normal or near normal CSF (mild elevations of total cell count and total protein) (Johnson et al., 1988; Tipold, 1995). Protein elevation is most likely the result of blood-brain barrier dysfunction (Bichsel et al., 1984b). The IgG index may also be normal or occasionally mildly elevated, which correlates with the histological findings of multifocal demyelination with few or no infiltration of inflammatory cells (Bichsel et al., 1984b; Johnson et al., 1988; Tipold et al., 1993b, Vandevelde et al., 1986). The acute form of nervous canine distemper is an exception to the usual association of an elevated IgG index with infectious neurological diseases because infiltration with inflammatory cells occurs only in the chronic stage of distemper encephalitis (Vandevelde et al., 1986). The CSF IgM and IgA content is also usually normal (Johnson et al., 1988; Tipold et al., 1994). The CSF of subacute/chronic, inflammatory distemper usually has a moderately elevated total white blood cell count, primarily mononuclear, and moderately elevated protein (Bichsel et al., 1984b; Tipold, 1995). The IgG index is typically elevated (Bichsel et al., 1984b; Vandevelde et al., 1986), and IgA levels are commonly increased. Interestingly, IgM levels are increased more often in the dogs in the chronic stage than in the dogs with acute, noninflammatory distemper (Tipold et al., 1993b, 1994). The IgM and IgA are presumably of intrathecal origin (Tipold et al., 1994), although bloodbrain barrier dysfunction is also present in some dogs and therefore protein could be of serum origin (Bichsel et al., 1984b; Sorjonen, 1987; Sorjonen et al., 1991). Occasionally the CSF is normal or has only mild changes in cell count or total protein content (Bichsel et al., 1984b; Sorjonen et al., 1991; Tipold, 1995; Tipold et al., 1993b, 1994; Vandevelde et al., 1986). Antimyelin antibody and antiviral antibody have also been identified in the CSF of inflammatory distemper (Vandevelde et al., 1986). Canine distemper virus antibody is normally absent from CSF; when present it is diagnostic of infection. False-positive results can occur, however, if the CSF is contaminated by serum distemper virus antibody by either iatrogenic or pathological blood-brain barrier disturbance. The CSF of delayed-onset canine distemper (a.k.a. old dog encephalitis) has an elevated protein and nonsuppurative, inflammatory cytology. The IgG index is elevated, and much of the CSF IgG is virus-specific, suggesting an intrathecal antiviral immune response. The IgM and IgA concentrations are normal (Johnson et al., 1988). Occasionally, distemper virus infection causes massive encephalomalacia (Vandevelde and Spano, 1977), resulting in a neutrophilic pleocytosis. Because of the variable presentations and CSF findings associated with CDV infection, definitive antemortem diagnosis can be difficult. Fluorescent antibody testing (IFA) for the detection of viral antigen in conjunctival, tonsillar, and respiratory epithelium has proven useful but only in the acute phases of illness (Greene and Appel, 2006). In subacute and chronic disease, antibody coating of viral antigen may interfere with diagnostic immunofluorescence (Amude et al., 2006a; Andrews et al., 1994; Greene and Appel, 2006). RT-PCR for the detection of viral RNA is likely the most sensitive method for detecting CDV infection; however, sensitivity may be higher in urine than in CSF (Amude et al., 2006a; Frisk et al., 1999; Kim et al., 2006).

b. Equine Herpesvirus Myeloencephalitis

With its predilection for endothelial cells, the equine herpes virus 1 (EHV-1) may cause vasculitis and perivascular hemorrhage in the brain and spinal cord. As a result, the CSF is often xanthochromic. The total white blood cell count may be normal, whereas the total protein level is moderately to markedly elevated (albuminocytological dissociation). The CSF/serum albumin ratio is increased (Klingeborn et al., 1983). In some cases, the total protein is normal, perhaps because the CSF is analyzed early in the course of the disease before the protein level has risen or late in the disease after the level has subsided (Kohn and Fenner, 1987). Antibodies to the virus may be identified in the CSF (Blythe et al., 1985; Jackson et al., 1977; Klingeborn et al., 1983). Antiviral CSF antibodies are not present routinely in neurologically normal horses, horses vaccinated with modified live EHV-1, or horses with other neurological diseases (Blythe et al., 1985). However, because of destruction of the blood-brain barrier, serum antiviral antibodies may pass into the CSF and confound

the interpretation of the CSF titers (Blythe *et al.*, 1985; Jackson *et al.*, 1977; Klingeborn *et al.*, 1983; Kohn and Fenner, 1987). Determining the CSF IgG index may help to assess the relevance of a positive CSF EHV-1 titer.

c. Feline Infectious Peritonitis

The feline infectious peritonitis (FIP) coronavirus may cause a multifocal, pyogranulomatous meningitis, choroid plexitis, and ependymitis characterized by perivascular granulomas around small blood vessels. The CSF associated with these lesions consistently has a moderate to marked elevation of white blood cell count and protein concentration. In one study, the CSF of cats with FIP was distinctive compared to that of cats with other inflammatory central nervous system diseases in having greater than 200 mg/dl total protein (Rand et al., 1994b). Despite being a viral disease, the white cell population is dominated by neutrophils, commonly greater than 70% (Baroni and Heinold, 1995; Kline et al., 1994; Rand et al., 1994b). Prolonged glucocorticosteroid therapy may result in a normal CSF in rare instances; the authors have observed this on at least one occasion.

d. Feline Immunodeficiency Virus

The CSF associated with feline immunodeficiency virus (FIV) neurological disease typically has a mild, primarily lymphocytic, pleocytosis (Dow et al., 1990; Phillips et al., 1994). In experimentally infected cats, the pleocytosis appears related to the duration, and perhaps route, of infection, as well as the age of the cat. In one study, pleocytosis appeared within 2 to 8 weeks of inoculation of adult cats, then disappeared by 20 weeks (Dow et al., 1990). In a study of kittens, the total and differential cell counts were normal at 3 and 12 to 16 months postinoculation (Podell et al., 1993). The total protein content is typically normal, although the albumin quotient and IgG index may be elevated (Dow et al., 1990; Podell et al., 1993). Antibodies to the virus may be detected in the CSF, and their presence in CSF that has not been contaminated by peripheral blood is presumptive evidence of FIV neural infection (Dow et al., 1990; Phillips et al., 1994). In experimentally inoculated cats, FIV antibodies developed in the CSF 4 to 8 weeks after the appearance of CSF pleocytosis (Dow et al., 1990). The virus can be recovered from the CSF of most cats that have intrathecal antibodies (Dow et al., 1990; Phillips et al., 1994). In the immunodeficient, chronic stage of FIV infection, the effect of possible opportunistic neural infections on CSF must be considered.

e. Rabies

Because rabies is an overwhelmingly fatal, zoonotic disease, there is a paucity of information regarding its CSF abnormalities. In people, the total white blood cell count is normal or has a mild, lymphocytic pleocytosis, and total protein is mildly increased. Occasionally, the pleocytosis is marked

(Fishman, 1992). The CSF of animals with rabies may be normal or abnormal. Typical abnormalities include a mild to moderate mononuclear pleocytosis and mild to moderate elevations in total protein. The white cells may be predominantly lymphocytes, with macrophages, neutrophils, and occasionally plasma cells and eosinophils (Braund, 1994; Coles, 1980; Green et al., 1992; Hamir et al., 1992; Hanlon et al., 1989). A neutrophilic pleocytosis reported for one horse was thought to reflect an early stage of the disease (Green, 1993). Xanthochromia was detected in the CSF of three of five horses in one study (Green et al., 1992), perhaps because of antemortem head trauma. The CSF IgM titer increases in 2 to 3 weeks or more after the onset of clinical rabies (Murphy et al., 1980). Because of this delay, a negative titer result does not eliminate rabies infection as a possibility (Greene, 1998). Infective virus may be isolated from the CSF before clinical signs of the disease appear, and neutralizing antibodies in the CSF may not be identified until after clinical signs develop (Fekadu and Shaddock, 1984). Because of the human health hazard, CSF collection should be avoided if rabies is suspected.

f. West Nile Virus

West Nile virus (WNV) is a mosquito-borne flavivirus that is endemic in Africa, Europe, and Asia and emerged as a pathogen in the United States in 1999 (Cannon et al., 2006; Davis et al., 2006; Long et al., 2006; Porter et al., 2004). Disease occurs most commonly in birds, horses, and humans and in several other animal species, and rarely in dogs. Clinical signs frequently include fever and neurological disease most often manifest by ataxia, weakness, and muscle tremors (Cannon et al., 2006). Nonsuppurative (lymphoplasmacytic and histiocytic) polioencephalomyelitis is seen histopathologically in the CNS of animals (Cannon et al., 2006; Cantile et al., 2000, 2001; Kiupel et al., 2003; Wunschmann et al., 2005). CSF is usually abnormal in horses (Wamsley et al., 2002). A mild to moderate mononuclear pleocytosis with lymphocyte predominance and mildly increased protein concentration are the most common CSF findings (Wamsley et al., 2002). However, macrophages may predominate, and occasionally only elevated protein concentration is present (albuminocytological dissociation). The albumin quotient is usually normal and the IgG index is elevated in lumbar (but not in cisternal) samples, perhaps reflecting spinal cord involvement, intrathecal IgG production in this location, and the tendency for pelvic limb weakness in many horses with clinical WNV disease (Porter et al., 2004). In one study of horses with neurological disease attributable to WNV infection, all measured CSF parameters were within reference limits in 27% (8/30) of horses (Wamsley et al., 2002). The current gold standard for diagnosis of active WNV infection in horses is the IgM capture ELISA (MAC-ELISA) for the detection of WNV-specific IgM antibodies. This test appears capable of distinguishing infected horses from vaccinated

horses (Porter *et al.*, 2004). Additionally, use of the MAC-ELISA in CSF may be slightly more sensitive than application of the same test in serum for horses with WNV infection (Porter *et al.*, 2004).

3. Fungal Diseases

Fungal infection of nervous tissue is relatively uncommon, although Cryptococcus neoformans has a predilection for the central nervous system. The CSF associated with neural cryptococcosis is quite variable. The total white blood cell count can be near normal or markedly increased. The white blood cell differential count is typically mixed with a majority of neutrophils (Berthelin et al., 1994b; Steckel et al., 1982). However, mononuclear CSF has been reported (Berthelin et al., 1994b; de Lahunta, 1983), as has eosinophilic fluid (de Lahunta, 1983; Vandevelde and Spano, 1977). The total protein is typically elevated, although sometimes only marginally so. The albumin quotient and IgG index are mildly to markedly elevated (Sorjonen et al., 1991). Cryptococcal organisms are commonly seen in the CSF (93% in one report/ review) (Berthelin et al., 1994b), and cultures are often, but not invariably, positive. Latex agglutination for cryptococcal antigen in the CSF may also be positive (Berthelin et al., 1994b; Jacobs and Medleau, 1998).

There are only a few reports of the CSF abnormalities associated with CNS aspergillosis, blastomycosis, coccidioidomycosis, or histoplasmosis. The CSF abnormalities are variable; but a mixed pleocytosis and elevated protein are typical (Coates, 1995; Gelatt *et al.*, 1991; Kornegay, 1981; Mullaney *et al.*, 1983; Nafe *et al.*, 1983; Schaer *et al.*, 1983; Vandevelde and Spano, 1977). In a case of aspergillosis of the brain of a dog, the CSF had a normal total nucleated cell count (differential count was not done) and a normal protein (Parker and Cunningham, 1971). The tropism of some fungi for CNS white matter might result in failure to access the meninges or ventricular system and hence result in normal CSF.

4. Prion Disorders

The transmissible spongiform encephalopathies (TSEs) are a group of neurodegenerative diseases of people and animals caused by prions (proteinaceous infectious particles). The diseases in this group include Kuru and Creutzfeldt-Jakob disease of people, bovine spongiform encephalopathy, scrapie of sheep and goats, transmissible mink encephalopathy, and spongiform encephalopathies in deer, captive ungulates, and domestic cats (Schreuder, 1994a, 1994b). The CSF associated with the spongiform encephalopathies in animals has normal cytology, protein content, and electrophoretic pattern. Thus, these diseases, although apparently infectious, do not appear to damage the blood-brain barrier or elicit an immune response in the central nervous system (Green et al., 2007; Lowenthal and Karcher, 1994;

Millson *et al.*, 1960; Scott *et al.*, 1990; Strain *et al.*, 1984). However, immunoassay detection in the CSF of the brain derived protein 14-3-3 appears to be useful for the diagnosis of TSE in both animals and people (Hsich *et al.*, 1996; Sanchez-Juan *et al.*, 2006).

E. Ischemic Disorders

In general, neural ischemia causes blood-brain/CSF barrier dysfunction resulting in increased CSF protein. If infarction occurs, the tissue destruction and cellular response may result in CSF pleocytosis. With extensive, particularly acute, infarction the pleocytosis may be substantially neutrophilic (Fishman, 1992). In animals, CSF abnormalities are reported for fibrocartilaginous embolism and cerebral ischemia/infarction.

1. Fibrocartilaginous Embolism

The CSF characteristics associated with fibrocartilaginous embolism (FCE) are reported for dogs and horses. About one-third of the reported canine cases have normal CSF, about one-third have a mononuclear pleocytosis and increased protein, and about one-third have albuminocytological dissociation (Bichsel et al., 1984a, 1984b; Cauzinille and Kornegay, 1996; Gandini et al., 2003; Grunenfelder et al., 2005). Similar findings are reported for two horses (Jackson et al., 1995; Taylor et al., 1977). However, we have seen marked neutrophilic pleocytoses associated with (histopathologically confirmed) severe, acute FCE in dogs (unpublished observation). The type of pleocytosis, neutrophilic or mononuclear, probably depends on the size, location, and age of the infarct. The CSF albumin is reported to be normal, and the IgG index either normal or slightly elevated, the latter possibly reflecting the subsequent inflammation around the lesion (Bichsel et al., 1984b; Tipold et al., 1993b). Dogs with normal CSF may have a better prognosis for recovery (Cauzinille and Kornegay, 1996; Gandini et al., 2003).

2. Cerebral Ischemia/Infarction

Cerebral infarction (ischemic encephalopathy) is reported primarily in cats, but also in a few dogs. In cats within the first week of onset, the CSF white blood cell count is normal or mildly elevated with a mixed, predominantly mononuclear, pleocytosis, and protein is mildly to markedly elevated (de Lahunta, 1983; Rand et al., 1994a). Dogs with cerebral infarction have similar CSF characteristics (Bichsel et al., 1984b; de Lahunta, 1983; Joseph et al., 1988; Vandevelde and Spano, 1977), although two dogs in one report had a mixed, but predominantly neutrophilic, pleocytosis and normal protein (Vandevelde and Spano, 1977). The neutrophilic pleocytosis reflected the acute encephalomalacia noted on histopathological examination (Vandevelde and Spano, 1977). In one dog of another report, the CSF albumin and IgG

index were normal (Bichsel *et al.*, 1984b). Another dog with a deep, parenchymal, hemorrhagic infarct in the basal nuclear region had normal CSF (Norton, 1992).

F. Malformations of Neural Structures

Although reports with CSF analysis are relatively few, the CSF of animals with neural malformations is generally normal (Greene et al., 1976; Meric, 1992b; Milner et al., 1996; Rand et al., 1994a; Shell et al., 1988; Vandevelde and Spano, 1977; Wilson et al., 1979). However, if the malformation interferes with CSF circulation or absorption, abnormalities in protein and even cell count may be present. The CSF may also be altered by secondary or additional unrelated processes (Rishniw et al., 1994). For example, intraventricular hemorrhage can occur in hydrocephalic animals, producing xanthochromic CSF with an increased white blood cell count and protein content.

1. Intracranial Intra-Arachnoid Custs

Although several reports describe intracranial intra-arachnoid cysts (ICIACs) in dogs (Duque *et al.*, 2005; Kitagawa *et al.*, 2003; Saito *et al.*, 2001; Vernau *et al.*, 1997, 2002; Von Kurnatowski *et al.*, 2006), most do not report the CSF findings present with this condition. Several dogs with ICIACs are diagnosed with concurrent inflammatory brain disease (Duque *et al.*, 2005; Kitagawa *et al.*, 2003; Vernau *et al.*, 1997; Von Kurnatowski *et al.*, 2006), and some authors believe that ICIACs may therefore be incidental in some animals. One report describes two dogs with ICIACs with intracystic hemorrhage. One of these dogs had a normal CSF nucleated cell count but had some degenerate red blood cells and a moderate elevation of protein. The other dog had a mild mononuclear pleocytosis, a mild elevation of protein, and there was evidence of erythrocytophagia (Vernau *et al.*, 2002).

2. Spinal Arachnoid Cysts

Most dogs with spinal arachnoid cysts have normal CSF analysis (Gnirs et al., 2003; Hashizume, 2000; Jurina and Grevel, 2004; Rylander et al., 2002; Sessums and Ducote, 2006; Skeen et al., 2003). In dogs with a spinal arachnoid cyst that have abnormal CSF, the most common abnormality is an albuminocytological dissociation (Gnirs et al., 2003; Rylander et al., 2002) with protein concentrations that may exceed 100 mg/dl. Less commonly, dogs may have a mild mononuclear pleocytosis and increased protein concentration as high as 216 mg/dl (Rylander et al., 2002).

G. Metabolic/Nutritional Disorders

Cerebrospinal fluid analysis is not done commonly in animals with metabolic or nutritional neurological disorders because most of these disorders are diagnosed from historical and physical findings and laboratory tests of blood and urine. When other procedures are nondiagnostic, or when therapy does not eliminate or perhaps worsens the neurological dysfunction, CSF analysis is indicated to investigate other causes of the neurological signs. In most cases, routine analysis of CSF associated with metabolic or nutritional disorders does not detect abnormalities (Bichsel et al., 1984b; Fishman, 1992; Scott, 1995; Vandevelde and Spano, 1977). Although brain edema is relatively common with some of these disorders (e.g., hypoxia, hyponatremia, or the osmotic dysequilibrium syndromes of hemodialysis or diabetic ketoacidosis), the edema is usually cytotoxic rather than vasogenic. Therefore, the blood-brain/CSF barrier is usually intact and CSF protein is normal. If edema is severe enough to result in brain ischemia, infarction, or herniation, the bloodbrain/CSF barrier becomes dysfunctional, vasogenic edema occurs, and CSF protein rises. If neural necrosis ensues, the white blood cell count may also increase. Even in the (apparent) absence of vasogenic edema, blood-brain barrier leakage may occur, perhaps because of the biochemical effects of the disorder on the barrier cells. Animals and people with uremic or hepatic encephalopathies or hypothyroidism may have increased total protein with a normal IgG index (Bichsel et al., 1984b, 1988; Fishman, 1992). People with diabetic neuropathy may also have increased CSF protein (Fishman, 1992). Animals with severe metabolic encephalopathies often suffer seizures and the effect of seizures on the CSF must also be considered (see Section VII.H). Disorders in which neural necrosis is a primary feature, such as the polioencephalomalacia of thiamine deficiency, typically have a pleocytosis and increased total protein (Bichsel et al., 1984b; de Lahunta, 1983; George, 1996). Specific biochemical analysis of CSF may show abnormalities, such as abnormalities in osmolality or electrolyte content with salt or water intoxication (Kopcha, 1987; Mayhew, 1989), abnormalities in amino acid levels (such as glutamine) with hepatic encephalopathy (Grabner and Goldberg, 1991; Schaeffer et al., 1991), and elevated citrulline in bovine citrullinemia (Healy et al., 1990).

H. Miscellaneous Conditions

1. Alterations in CSF Following Myelography

Changes in the composition of CSF following myelography have been reported in people (Fishman, 1992) and animals (Burbidge *et al.*, 1989; Widmer and Blevins, 1991). Many contrast agents are low-grade leptomeningeal irritants, resulting in leptomeningeal inflammation that is reflected in the CSF. By 90min after myelography, the total white blood cell count and total protein can be elevated and the white blood cell differential count altered. The pleocytosis is typically a mixed mononuclear/neutrophilic response, with the proportion of mononuclear cells to neutrophils varying with the contrast agent used and the time interval

after myelography. The pleocytosis may resolve within 10 days (Johnson et al., 1985), although individual animals may have a slightly increased total white blood cell count up to 14 days following contrast injection (Spencer et al., 1982; Wood et al., 1985). In contrast, one study of the contrast agents iohexol and iotrolan did not detect any alteration of total white blood cell count in CSF taken between 1 and 14 days following myelography (van Bree et al., 1991). The CSF specific gravity and Pandy test score can also be elevated, presumably partly because of the presence of the contrast media (Widmer et al., 1992). Increased CSF albumin and immunoglobulin levels may be due predominantly to blood-brain/CSF barrier leakage, and may return to normal levels within 5 days (Johnson et al., 1985). In summary, any alteration of CSF within the first week or two following myelography must be assessed cautiously.

2. Seizures: Interictal and Postictal CSF Characteristics

Patients with seizures resulting from progressive intracranial or some extracranial disorders typically have CSF changes reflecting the disorder. In contrast, the interictal CSF of patients with nonprogressive, intracranial disease should be normal. Postictal CSF is often abnormal, however. Pleocytosis of postictal CSF has been well documented in people (Barry and Hauser, 1994; Fishman, 1992; Rider et al., 1995). The white blood cell counts may be up to $80/\mu l$ with a neutrophilic component from 5% to 92%. The cell counts are highest at about 24h after the seizure. The mechanism of the pleocytosis is obscure (Fishman, 1992). Convulsive seizures, regardless of cause, may also induce a reversible increase in blood-brain/CSF barrier permeability, resulting in a transient elevation of CSF protein. Brain metabolism is also stimulated during the seizure, resulting in an increase in brain lactate production and a decrease in brain pH (Fishman, 1992). However, differentiating the effects of the local (brain) phenomena from the effects of systemic phenomena that occur during seizures (hypertension, acidosis, hypoxia, etc.) is difficult. For example, severe, experimental hyperthermia in dogs (core body temperature > 41.2°C) results in increased CSF enzymes, calcium, and chloride, probably because of increased blood-brain/CSF barrier permeability (Deswal and Chohan, 1981). Interpretation of postictal CSF must be done cautiously because of the potential confusion of a postictal, "idiopathic epileptic" condition with a progressive disease that alters the CSF primarily. For children with seizures, the recommendation has been made that CSF with >20 white blood cell/ μ l or >10 polymorphonuclear cells/ μ l not be attributed to the seizures (Rider et al., 1995).

I. Neoplasia

The CSF associated with neoplastic conditions affecting the central nervous is variable, reflecting the variety of tumors,

locations, and tissue reactions to the disease. The CSF is usually clear and colorless, although xanthochromia may be present if hemorrhage has occurred. The total white blood cell count is often normal, but pleocytosis may occur, particularly with meningiomas and choroid plexus tumors (and occasionally other tumors) (Bailey and Higgins, 1986b; Carrillo et al., 1986). Pleocytosis is usually mononuclear, although meningiomas may have > 50% neutrophils (Bailey and Higgins, 1986b). However, in another study of dogs with meningioma, about 30% of dogs had a normal CSF analysis (Dickinson et al., 2006). In this study, a significant association between meningiomas in the caudal portion of the cranial fossa and an elevated CSF nucleated cell count was found; but only 19% of the dogs had an elevated total nucleated white cell count with a predominance of neutrophils (Dickinson et al., 2006). Neural lymphosarcoma often has a lymphocytic/lymphoblastic pleocytosis (Couto and Kallet, 1984; Lane et al., 1994; Williams et al., 1992), except in cattle in which the tumor is usually extradural (Sherman, 1987). One study of brain tumors in dogs found that pleocytotic CSF is associated with a significantly shorter survival time than is normal or albuminocytological CSF (Heidner et al., 1991). The most common CSF abnormality present with CNS neoplasia is increased total protein, with choroid plexus tumors producing the most marked elevations (Bailey and Higgins, 1986b; Brehm et al., 1995; Heidner et al., 1991; Mayhew, 1989; Moore et al., 1994; Rand et al., 1994a; Roeder et al., 1990; Sarfaty et al., 1988; Waters and Hayden, 1990). Dogs with neural neoplasia, particularly of the meninges or choroid plexus, commonly have blood-brain/spinal cord barrier disturbance and subsequently an increased albumin quotient (Bichsel et al., 1984b; Moore et al., 1994; Sorjonen, 1987; Sorjonen et al., 1991). In one study, this abnormality was most common with choroid plexus tumors and least common with astrocytomas (Moore et al., 1994). Alpha and beta globulin levels are usually normal; gamma globulins are normal or mildly increased (Moore et al., 1994; Sorjonen, 1987; Sorjonen et al., 1991). The IgG index may be elevated, reflecting the presence of inflammatory infiltrates around the lesion (Bichsel et al., 1984b; Tipold et al., 1993b).

The CSF associated with spinal neoplasia is reported to be normal more often than is the CSF of brain tumors (Fingeroth *et al.*, 1987; Luttgen *et al.*, 1980; Schott *et al.*, 1990). This finding may reflect the fact that most spinal neoplasia is extradural or that most spinal tumors are relatively small at the time of diagnosis. It may also reflect the site of CSF collection—that most of the samples are cerebellomedullary rather than lumbar, although many reports do not state the puncture site. Cerebrospinal fluid collected caudal to the lesion is abnormal more often than is CSF collected cranial to the lesion (Thomson *et al.*, 1990). Neoplastic cells can also be observed in CSF, facilitating a definitive diagnosis. Lymphoma has been diagnosed on the basis of CSF assessment in both small and large animals (Lane *et al.*, 1994; Pusterla *et al.*, 2006a; Vandevelde and

Spano, 1977). However, the observation of neoplastic cells in CSF samples from animals with central nervous system neoplasia other than lymphoma is more uncommon in our experience. This may be partly because cytocentrifugation has been the most common technique employed for the cytological assessment of CSF. Although cytospin slides produce excellent morphology, the cell yield is low (Barrett and King, 1976), which may increase the incidence of false negative results in confirmed cases of CNS neoplasia. Additionally, the general lack of experience of veterinary clinical pathologists at identifying cells derived from central nervous system neoplasms may also result in false negative results. Despite these limitations, the presence of neoplastic cells in cytospin CSF slides from animals with CNS tumors other than lymphoma has been reported in cats with intracranial oligodendroglioma, dogs with CNS histiocytic sarcoma, and dogs with choroid plexus carcinoma (Dickinson et al., 2000; Zimmerman et al., 2006)^{3,5}

I. Parasitic Diseases

1. Equine Protozoal Encephalomyelitis

Equine protozoal encephalomyelitis (EPM), caused by Sarcocystis neurona (S. falcatula) (Dame et al., 1995), is characterized by multifocal areas of mononuclear, perivascular inflammation and necrosis; severe lesions may be hemorrhagic and have neutrophilic infiltration (Madigan and Higgins, 1987; Mayhew et al., 1978). The CSF may be normal or have mild to moderate mononuclear pleocytosis and increase in total protein (Mayhew, 1989). Xanthochromia is occasionally present, as well as neutrophils and eosinophils (Mayhew, 1989). The CSF albumin concentration and albumin quotient are reported to be normal and the IgG index elevated, indicating intrathecal IgG production (Andrews and Provenza, 1995). IgG antibodies to S. neurona can be identified in the CSF by immunoblot analysis (Granstrom, 1993). The test is very sensitive and specific for the diagnosis of EPM; however, its accuracy depends on an intact blood-brain/CSF barrier because many infected and previously infected horses have serum antibodies to S. neurona but do not have clinical disease (Andrews and Provenza, 1995; Fenger, 1995). Therefore, it may be useful to also measure the albumin quotient and IgG index in CSF that is submitted for S. neurona immunoblotting. However, some have questioned the utility of doing this and recommend a CSF RBC count instead, with RBC counts >50 RBCs/µL invalidating interpretation of a positive CSF immunoblot result (Furr et al., 2002). Conversely, a negative CSF result, even when concurrent with RBC counts >50 RBCs/ μ L, would indicate that EPM is highly unlikely. The development of a Sarcocystis neurona specific IgM capture ELISA, similar to that developed for WNV infection, may further improve EPM clinical diagnostics (Murphy et al., 2006). Detection of S. neurona in the CSF by polymerase chain reaction provides definitive evidence of the presence of the parasite in the central nervous system. The results of polymerase chain reaction assay are independent of serum leakage across the blood-brain/CSF barrier (Fenger, 1994). However, although a powerful and highly specific test, the PCR test for detection of parasite antigen has not been found to be clinically useful because of the high incidence of false negative results (Furr *et al.*, 2002). The reasons for this are unclear but may be due to rarity of the parasite in CSF or rapid destruction of parasite DNA in the CSF environment or both (Furr *et al.*, 2002).

2. Neosporosis, Toxoplasmosis

Both Neospora and Toxoplasma can invade the central nervous system causing necrosis, vasculitis and a multifocal, granulomatous meningoencephalomyelitis. Neospora seems to have more of a predilection for the central nervous system than Toxoplasma, particularly in young dogs (Dubey et al., 1988, 1989). The CSF associated with neural protozoal infections generally has a mild to moderate increase in white blood cell count and total protein. Typically, the white blood cell differential count shows a mixed pleocytosis with monocytes, lymphocytes, neutrophils, and eosinophils in order of decreasing percentage (Averill and DeLahunta, 1971; Cuddon et al., 1992; Dubey, 1990a; Hass et al., 1989; Kornegay, 1981; Rand et al., 1994b; Tipold, 1995; Vandevelde and Spano, 1977). However, we have also seen marked pleocytosis with marked eosinophil predominance in histopathologically confirmed canine CNS neosporosis (W. Vernau, unpublished observation). Occasionally the white blood cell count and protein are normal (Parish et al., 1987; Tipold, 1995). The CSF IgG index was elevated in three of three dogs studied; in two of two dogs, the IgM was normal and the IgA was elevated (Tipold et al., 1993b, 1994). In a study of experimentally infected cats, T. gondii-specific IgG was intrathecally produced, but T. gondii-specific IgM was not detected (Munana et al., 1995). Antiprotozoal antibodies in the CSF may be detected by a variety of methods (Cole et al., 1993; Patton et al., 1991; Ruehlmann et al., 1995). However, the presence of antibodies does not necessarily indicate clinical disease (Dubey and Lindsay, 1993; Munana et al., 1995). Polymerase chain reaction techniques have been developed to identify the protozoa in tissue and fluids, including CSF (Novati et al., 1994; Parmley et al., 1992; Schatzberg et al., 2003; Stiles et al., 1996). Occasionally the organisms themselves may be seen in CSF cells (Dubey, 1990a; Gaitero et al., 2006; McGlennon et al., 1990).

In considering the CSF abnormalities of toxoplasmosis and neosporosis, two issues must be kept in mind. First, reports of toxoplasmosis before 1988 (when *Neospora* was identified) must be carefully scrutinized because many of these cases were actually neosporosis. Second, because *T. gondii* is not a primary pathogen, clinical toxoplasmosis is relatively rare and is seen mostly in conjunction with a

second disease, particularly canine distemper, which may itself alter the CSF (Dubey *et al.*, 1989).

3. Migratory Parasites

Neural invasion by migratory parasites is relatively common in large and exotic animals, yet rare in dogs and cats. The CSF may reflect the physical trauma and consequent inflammatory response, and in some cases an immune reaction, to the parasite tissue. The CSF abnormalities are variable and probably depend to some degree on the specific parasite as well as its location and the type of incited response. For example, H. bovis larvae in the cow normally lodge in the lumbar epidural space and their effect on the spinal cord may be primarily compression. The CSF in such a case could be normal or have only mildly to moderately elevated protein. Parasites that actually invade neural tissue may leave the CSF unchanged or produce CSF with mild to marked pleocytosis and protein elevation, as well as xanthochromia. An eosinophilic pleocytosis suggests parasitism and is typical of some parasites such Parelaphostrongylus (Baum, 1994; George, 1996; Mason, 1989; Pugh et al., 1995) and Angiostrongylus cantonensis (Lunn et al., 2003; Mason, 1989). However, eosinophilic pleocytosis is not pathognomonic for parasitism, nor does a lack of eosinophils in the CSF rule out neural parasitism (Braund, 1994; de Lahunta, 1983; Lester, 1992). The Parelaphostrongylus-specific ELISA may be useful to detect parasite antigen in the CSF (Dew et al., 1992). Angiostrongylus cantonensis-specific antibodies can be detected in the CSF via ELISA (Lunn et al., 2003).

K. Toxicity

Even though neurological signs may occur, the CSF associated with toxicity is usually normal (e.g., cows with lead poisoning, tetanus or botulism) (Fankhauser, 1962; Feldman, 1989). Mild elevations of the white blood cell count and protein may occur if the toxin causes breakdown of bloodbrain/CSF barrier or neural degeneration or necrosis, such as in some cases of lead poisoning (Dorman et al., 1990; Dow et al., 1989; Fankhauser, 1962; George, 1996; Little and Sorensen, 1969; Mayhew, 1989; Swarup and Maiti, 1991). Lead has been shown to selectively poison capillary endothelial cells (Goldstein et al., 1977), as well as cause cerebral cortical necrosis (Christian and Tryphonas, 1970). If necrosis is severe, the white blood cell count and the total protein can be markedly increased with a predominance of neutrophils, as with leukoencephalomalacia caused by moldy corn poisoning in horses. Xanthochromia is also a characteristic of moldy corn poisoning, reflecting the perivascular hemorrhages in the central nervous system (Masri et al., 1987; McCue, 1989). With toxicities, biochemical alterations of the CSF may occur more commonly than alterations of CSF cell counts or protein. At the onset of fatal signs of lead poisoning, CSF glucose, urea, creatinine, and creatine

kinase levels are increased (Swarup and Maiti, 1991). Neurostimulatory toxins may result in elevated monoamine metabolites in the CSF (Sisk *et al.*, 1990). Ivermectin toxicity producing recumbency in dogs elevates the CSF concentrations of homovanillic acid and 5-hydroxyindoleacetic acid (Vaughn *et al.*, 1989). Interestingly, copper poisoning in sheep does not produce significant increases in CSF copper, zinc, or iron levels (Gooneratne and Howell, 1979).

L. Trauma/Compression of Neural Tissue

The CSF abnormalities associated with trauma or compression are variable depending on the rate at which the neural insult developed, the degree of neural damage, the location of the lesion (particularly with respect to the CSF collection site), the elapsed time since the onset of the neural insult, and the maintenance or progression of the insult. With acute trauma, the CSF may be pink and hazy or turbid, or actually bloody. After centrifugation, the supernatant can be clear. If hemorrhage occurred more than 48h before CSF collection, the supernatant may be yellow because of bilirubin. The total red blood cell count may be markedly elevated. The white blood cell count may be mildly to moderately elevated, reflecting either hemorrhage into the subarachnoid space or inflammation instigated by the trauma. Erythrophagocytosis may be present. The pleocytosis is usually a mixed cell population, and a substantial proportion of neutrophils (40% to 50%) is possible; acute severe disease may result in counts greater than 50 cells per microliter with more than 50% neutrophils (Thomson et al., 1989). In another larger study of canine intervertebral disk disease, mixed pleocytoses as high as 428 cells/μL were noted (Windsor et al., 2007). Total protein may be moderately to markedly elevated because of the disruption of blood vessels, interruption of CSF flow and absorption, and necrosis (Green et al., 1993; Thomson et al., 1989). Thus, the CSF of acute trauma may have a distinct, inflammatory character. With spinal cord trauma/compression, lumbar CSF is more consistently abnormal than cerebellomedullary CSF (Thomson et al., 1990). The CSF abnormalities of chronic trauma or sustained compression tend to be milder than the abnormalities of acute damage. The white blood cell count may be normal or mildly elevated with generally a mixed or mononuclear pleocytosis. We have noted that dogs with chronic or acute on chronic type I intervertebral disk disease have a pleocytosis that is more commonly lymphocytic than neutrophilic (Windsor et al., 2007); white blood cell counts in these instances may be as high as 180 cells/ μ L. The cerebellomedullary CSF of horses with cervical stenotic myelopathy is reported to be hypocellular with a reduced number of lymphocytes (Grant et al., 1993). The CSF protein associated with chronic trauma or sustained neural compression may be normal to moderately elevated (Mayhew, 1989; Thomson et al., 1989). The albumin content and the albumin

quotient of CSF associated with trauma/compression may be normal or increased, the latter reflecting the vascular damage and edema (Andrews and Provenza, 1995; Bichsel et al., 1984b; Sorjonen, 1987; Sorjonen et al., 1991). The gamma globulin percentage and the IgG index are usually normal. Occasional elevations probably reflect the presence of inflammatory cells in the lesion (Andrews and Provenza, 1995; Bichsel et al., 1984b; Tipold et al., 1993b).

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Clinical Biochemistry in Toxicology

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I. INTRODUCTION

The availability of accurate historical information including a list of the animal species affected, clinical signs, toxins suspected, potential route of exposure, vehicle, relative amount, and timing of exposure is often a limiting factor in the diagnosis of toxic disease. This information permits the diagnostician to make a rational selection of samples and tests to be performed by considering the known target organs of the toxins suspected. Unfortunately, this information is often unavailable during the initial stages of an intoxication. In the absence of such detailed history, the identification of target organs using clinical biochemistry may help clinicians to create a list of potential toxins retrospectively.

There are species differences in the most useful biochemical markers of organ-specific cellular injury and in the susceptibility to various toxins (Kramer and Hoffmann, 1997). For this reason, the following discussion is organized on an organ system and species basis. Neither bacterial toxins nor hereditary disease predisposing to intoxication will be discussed.

II. HEPATOTOXICITY

Many toxins induce hepatic injury (Table 27-1). The susceptibility of the liver to toxic insult is in part a consequence of its location between the digestive tract and the rest of the body and the central role it plays in biotransformation and disposition of xenobiotics (Miyai, 1991; Snyder, 1979). Extrahepatic metabolism of toxins by mixed function oxidases may affect the target organ and potential hepatotoxicity of a given xenobiotic (Gram et al., 1986). A variety of factors including the induction of these enzyme systems by drugs (Snyder, 1979) and suppression of enzyme activity by infectious agents and cytokines (Monshouwer et al., 1995) may modify the response to a given toxin. Lipophilic compounds tend to be more hepatotoxic than hydrophilic ones because the latter are eliminated by the kidney (Kelly, 1993). Many toxins are hepatotoxic and nephrotoxic, however, and most toxins have multiple target organs.

The cytosolic enzyme alanine aminotransferase (ALT) is found in both hepatocytes and skeletal muscle of animals. The dog and cat have high levels of ALT in hepatocytes, making it a useful marker for hepatocellular injury in these species (Stockham and Scott, 2002). The plasma half-life of this enzyme in the dog is estimated to be approximately 60h. Increased serum levels parallel the magnitude of hepatocellular injury in acute disease. Several days following injury, ALT levels may be spuriously low.

Hepatotoxin	Disease Onset	Geography	Species Affected
Aflatoxin B ₁ (Aspergillus flavus)	Acute to chronic	Worldwide	All
Agave lecheguilla	Acute	US, MEX	Cp, O
Blue-green algae (Cyanobacteria)	Acute	Worldwide	All
Chlorinated hydrocarbons	Acute to chronic	Worldwide	All
Copper	Acute to chronic	Worldwide	O, all
Compositae	Acute	AFR, AUS	B, O, P
Corticosteroids	Chronic	Worldwide	Cn
Cresols (pitch)	Acute	Worldwide	P
Cycadales	Acute to chronic	AUS, FL, PRDOM REP	В,О
Cylcopiazonic acid (Peniallium aydopium)	Acute to chronic	UK	В, Е, О
Dimethylnitrosamine	Acute to chronic	Worldwide	B, Cn, F, O
Ethanol	Acute to chronic	Worldwide	All
Fumonisin (Fusarium moniliforme)	Chronic	Worldwide	B, E, O, P
Gossypol (cottonseed)	Acute to chronic	Worldwide	B, Cp, E, O, P
Hymenoxys odorata (bitterweed)	Acute to chronic	US	0
Indospicine (I <i>ndigofera</i> spp.)	Acute to chronic	AUS	B, Cn, E
Iron	Acute	Worldwide	P, E
Karwinskia humboldtiana (coyotillo)	Acute to chronic	MEX, TX	B, Cp, O
Kochia scoparia (fireweed)	Chronic	US	В
Lantana camara	Chronic	AUS, AFR, MEX, US	В, О, Е
Mebendazole	Acute	Worldwide	Cn
Moldy hay	Chronic	US	В
Myoporaceae	Acute to chronic	AUS, NZ	В, О
Nolina texana (sacahuiste)	Chronic	US	B, Cp, O
Petroleum	Acute	Worldwide	All
Phalloidin (Amanita)	Acute	Worldwide	All
Phomopsin (mycotoxin on lupines)	Acute to chronic	AUS, US	В,О
Phenytoin/primidone	Chronic	Worldwide	Cn
Phosphorus	Acute	Worldwide	All
Pyrrolizidine alkaloids	Chronic	Worldwide	B, Cp, E, O, P
Ricinus communis (castor bean)	Acute	Worldwide	All
Rubratoxins (Peniallium rubrum)	Acute to chronic	Worldwide	B, CN, E, F
Sawfly larvae	Acute	AUS, DENMARK	B, Cp, O
Solanaceae	Acute	AFR, AUS S AM, US	B, Cp, O
Sporidesmin (Pithomyces chartarum)	Chronic	AUS, AFR, NZ	В,О
Tannic acid (Quercus spp., oaks)	Acute	Worldwide	В, Ср, Е, О
Terminalia oblongata (yellowwood)	Acute to chronic	AUS	В, О
Tetradymia spp. (rabbit/horsebrush)	Chronic	US	В, Ср, О
Trema aspera (poison peach)	Acute	AUS	В
Trifolium hybridum (Alsike clover)	Chronic	Worldwide	В, Е, О
Xanthium strumarium (Cocklebur)	Acute	US	B, P

Therefore, ALT is not helpful in evaluating chronic liver disease. ALT levels also may be elevated by corticosteroid treatment and some anticonvulsant medications. ALT is not useful in evaluating hepatic disease in the horse and cow because of relatively low levels of ALT in hepatocytes relative to muscle (Lassen, 2004).

Aspartate aminotransferase (AST) is found in several different cell types including hepatocytes, erythrocytes, and cardiac and skeletal myocytes. However, it is most useful in evaluating hepatocellular and muscular injury because of its high activity in the cells of these tissues. AST is commonly used as a marker of hepatocyte damage in the equine and bovine, though muscle damage and hemolysis can also increase serum activity. AST is less specific for hepatocyte damage in the dog as compared to ALT (Stockham and Scott, 2002). As with ALT, AST may not be useful in detecting chronic liver disease (Lassen, 2004).

Alkaline phosphatase (ALP) activity is found in the cell membranes of many tissues including liver, bone, intestine, kidney, and placenta. Serum ALP is primarily of hepatic origin in the dog, cat, and horse, though bone isoenzyme can also be detected. Also dogs can have measurable levels of corticosteroid induced ALP in serum when the animal is subjected to increased levels of endogenous corticosteroids or with administration of glucocorticoids (Stockham and Scott, 2002). Hepatic isoenzymes have a longer half-life (days) than intestinal, renal, or placental (minutes) isoenzymes. Cholestasis induces hepatic ALP. An increase in serum ALP can precede hyperbilirubinemia. Corticosteroids, phenobarbital, dieldrin, and other compounds may induce hepatic ALP. Increased osteoblastic activity in hyperparathyroidism, bone healing, or osteosarcoma may elevate ALP. Horses and ruminants have wide reference intervals for ALP; therefore, this enzyme has decreased sensitivity for the detection of cholestatic disease in these animals (Lassen, 2004; Stockham and Scott, 2002).

Gamma glutamyltransferase (GGT) is found in many cells, but specifically the renal tubular epithelium, canalicular surfaces of hepatocytes, pancreas, and bile duct epithelium. The mammary gland is another source of GGT in cattle, sheep, and dogs, which can result in high serum levels in neonates of these species after nursing (Lassen, 2004). In renal disease, GGT is excreted in the urine (see nephrotoxicity). Serum GGT is generally of hepatic origin and is elevated by cholestasis. GGT has narrower reference intervals than ALP in horses and ruminants, which makes it more useful for detecting cholestatic disease in these species (Lassen, 2004; Stockham and Scott, 2002). Increased serum GGT activity proved to be a sensitive and longlived indicator of liver insult in cattle exposed to moldy hay (Casteel et al., 1995). Like alkaline phosphatase, GGT appears in serum as a result of increased synthesis, rather than as a result of leakage from cells (Pearson, 1990). In dogs, the increase of GGT tends to parallel that of ALP.

A high activity of sorbitol dehydrogenase (SDH) is found in hepatocellular cytoplasm of dogs, cats, horses, and ruminants (Lassen, 2004). The plasma half-life of this enzyme is very short, and serum activities may return to normal within 5 days of hepatocellular insult. Though this enzyme is more specific for hepatocellular damage than other enzymes in the horse and ruminant, the relatively low *in vitro* stability makes it less commonly used in the dog and cat compared to ALT.

Lactate dehydrogenase (LDH) is a tetrameric enzyme with five isoenzymes that catalyze the reversible conversion of L-lactate to pyruvate in all tissues. All LDH isoenzymes are found in varying concentrations in all tissues. LDH₁ is the principal isoenzyme in cardiac muscle and kidney of most species. It is also found in the liver of cattle and sheep. Unlike the other isoenzymes, it is heat stable at 65°C for 30 min. LDH₅ is the principal isoenzyme in skeletal muscle and erythrocytes. Serum LDH activity is tissue nonspecific; however, necroses of muscle, liver, and hemolysis are the major causes sources of elevations. Isoenzyme analysis would improve the specificity of LDH analysis for hepatocellular damage, but this is not commonly performed in most veterinary laboratories (Lassen, 2004; Stockham and Scott, 2002).

Bilirubin is derived from destruction of damaged or senescent erythrocytes by macrophages of the spleen, liver, and bone marrow. It is noteworthy that bilirubin at physiological levels is an antioxidant (Stocker et al., 1987). Bilirubin is transported in plasma bound to proteins (albumin, globulin). Hepatic uptake and glucuronide conjugation render it water soluble. Conjugated bilirubin is secreted into bile canaliculi and transported to the intestine where the majority is transformed into urobilinogen by intestinal flora and excreted. Direct diazo assay for bilirubin detects conjugated bilirubin. Total bilirubin is measured after addition of alcohol, which allows additional color development. Unconjugated bilirubin is determined by the difference in direct and total bilirubin. Cholestasis results in conjugated hyperbilirubinemia. Bilirubinuria may occur as a result of "regurgitation" of conjugated bilirubin. Increased ALP or GGT can precede hyperbilirubinemia in most species. Hemolysis may result in unconjugated hyperbilirubinemia and elevations of LDH₅.

Sulfobromophthalein (BSP) injected intravenously is removed rapidly from the blood, conjugated by hepatocytes, and excreted in bile. The rate of hepatic blood flow, functional hepatic mass, and patency of the biliary system affect the hepatic clearance of this compound. Altered blood flow secondary to cardiotoxicity discussed later may increase BSP retention. The use of this test is limited as BSP is no longer commercially available, and similar information can be obtained by assessment of bile acids and total bilirubin (Stockham and Scott, 2002).

Bile acids are secreted from the liver into the bile and are subsequently reabsorbed in the intestine. The portal blood flow delivers the bile acids to the liver where, in healthy animals, they are efficiently cleared by the hepatocytes. Increased serum bile acids in fasting animals are a result of decreased biliary excretion or decreased clearance by hepatocytes. Increased serum bile acids are highly sensitive for hepatobiliary dysfunction; however, there are many diseases that may cause hepatobiliary dysfunction (Stockham and Scott, 2002). Bile acids may be useful for detecting hepatic dysfunction in instances where enzyme levels or clinical signs are equivocal (Lassen, 2004). Acute toxic hepatic necrosis increases serum bile acids (cholic and chenodeoxycholic) in the dog, horse, sheep, and cow (Bain, 2003). Some studies have recommended tests for urine bile acids as a possible alternative to serum bile acids to detect hepatic dysfunction in the dog and cat (Balkman et al., 2003; Trainor et al., 2003).

Ammonia is generated by microbial activity and digestion of protein within the intestinal tract. It is absorbed from the intestine and transported to the liver by the portal venous system where it is converted to urea by the healthy liver. Elevations of plasma ammonia during fasting or following ammonia challenge suggest reduction in clearance from the blood, frequently resulting from a decrease in functional hepatic mass. Urea toxicosis in cattle and consumption of ammoniated forages by cattle can result in high plasma ammonia levels because of increased production and consumption of ammonia, respectively (Stockham and Scott, 2002).

Severe hepatic insufficiency may result in hypoproteinemia (Kaneko, 1997a) with reduction of plasma oncotic pressure that promotes tissue edema and effusions that mimic the effects of cardiotoxins (Table 27-3).

The clinical signs of acute submassive or massive hepatic necrosis may include anorexia, vomiting, icterus, hepatic encephalopathy, disseminated intravascular coagulopathy, edema, and effusions. Surprisingly, there may be few or no clinical signs in some cases. The activity of ALT and SDH with short half-lives may be elevated but often fall rapidly. Inducible enzymes such as ALP and GGT may increase gradually. All enzymes may return to normal in the presence of chronic severe liver disease. Hyperbilirubinemia may follow if lesions progress to chronicity and fibrosis.

Chronic hepatotoxicity has sequelae for most organ systems, but especially the nervous (hepatic encephalopathy), integumentary (secondary photosensitization in herbivores), and cardiovascular systems. Cardiotoxins and pneumotoxins may produce enzyme elevations suggestive of hepatic or renal disease as a result of ischemia/hypoxia.

Ingestion of toxic plants (Table 27-1) tends to be more common in herbivores than carnivores; however, nonherbivorous species are often susceptible if they are willing to ingest them. In addition to hepatotoxins, the blue-green algae (actually classified as cyanobacteria), which contaminate water (Carmichael, 1994), possess neurotoxins that may induce sudden death that precedes alterations of clinical

biochemistry and morphological changes. However, one report documents marked elevations of ALT and AST in a dog 12h post ingestion of a blue-green algae (DeVries et al., 1993).

Therapeutic drugs can also occasionally have hepatotoxic effects in animals. Nonsteroidal anti-inflammatory drugs, barbiturates, antineoplastic agents, and antiparasitic compounds have all been found to have hepatotoxic effects (Kristal *et al.*, 2004; Macphail *et al.*, 1998; Roder, 2003). Carprofen, a nonsteroidal anti-inflammatory drug, has been reported to cause acute hepatocellular necrosis and cholestasis in some dogs. This adverse reaction is associated with marked increases in serum ALT, AST, ALP, and total bilirubin (Macphail *et al.*, 1998). The antineoplastic drug 1-(2-chloroethyl)-3-cyclohexyl-1-nitrosourea (CCNU) is reported to occasionally cause hepatotoxicity in dogs with increases in ALT, AST, ALP, GGT, and bilirubin noted in the affected dogs (Kristal *et al.*, 2004).

Hepatotoxic plants of the order Compositae include Asaemia axillaris, Athanasia trifurcata, Helichrysum blandowskianum, Lasiospermum bipinatum, and Xanthium spp. The toxin in these plants has been identified as carboxyatractyloside. Profound hypoglycemia is reported as a finding in carboxyatractyloside poisoned animals (Barr and Reagor, 2001).

Cycadales contain methylazoxymethanol, which is converted by hepatic microsomal activity to potent alkylating agents. A report of dogs ingesting cycads indicates bilirubin, ALT, and ALP are most commonly elevated values on the chemistry panel (Albretsen *et al.*, 1998).

Hepatotoxic plants of the order Myoporaceae include Myosporum deserti, M. acuminatum, M. insulare, M. tetramdum, and M. laetum. These plants contain furanosesquiterpenoid oils, the best characterized of which is ngaione.

Chronic intoxication of swine with fumonisins, mycotoxins produced by *Fusarium moniliforme*, is associated with elevations of serum total bilirubin, ALP, AST, GGT, and cholesterol (Casteel *et al.*, 1994). In addition, fumonisins inhibit N-acetyl transferase resulting in loss of complex sphingolipids and accumulation of sphinganine and sphingosine in tissues and serum (Riley *et al.*, 1993).

Iron toxicity from nutritional supplements may produce hepatic necrosis in foals (Acland *et al.*, 1984) and pigs (Kelly, 1993). Excessive dietary copper may be associated with elevation of GGT in goats (Solaimen *et al.*, 2001) and llamas (Weaver *et al.*, 1999).

Hepatotoxic pyrrolizidine alkaloids are found in the plant species Amsinckia intermedia, Crotolaria spp., Cynoglossum officinale, Echium plantagineum, Heliotropium europeaum, Senecio jacobea, S. vulgaris, and S. longilobus. Acute intoxications with these plants result in large increases in AST, ALP, GGT, and SDH (Stegelmeier, 2003). Chronic exposure to small amounts of the plant is more difficult to detect, though serum GGT levels have been

suggested as a screening test for subclinical hepatic damage in horses exposed to *Crotolaria* spp. (Curran et al., 1996).

Hepatotoxic solanaceae include *Cestrum parqui*, *C. laevigatum*, and *C. aurantiacum*. The toxin in these plants has been identified as atractyloside.

Sawfly larvae (*Lophyrotoma interruptus* and *Arge pullata*) infesting eucalyptus and birch trees in Australia and Denmark, respectively, have been reported to induce hepatic necrosis in cattle, sheep, and goats browsing foliage.

Terminalia oblongata, the yellowwood tree of Australia, contains hepatotoxic tannins and pucicalagin, which induces acute hepatic necrosis (Kelly, 1993).

III. NEPHROTOXICITY

Acute nephrotoxicity (Table 27-2) may initially induce polyuria that is followed by oliguria or anuria.

Nephrotoxins affecting approximately 66% of the nephrons will result in inability to concentrate urine to a specific gravity greater than 1.030 in the dog, 1.035 in the cat, and 1.025 in the horse and cow. Chronic toxicity may result in isosthenuria (constant urine osmolality in the range of glomerular filtrate, 1.008 to 1.012).

The majority of urea is synthesized in the liver from ammonia formed by protein catabolism or intestinal absorption. Urea enters the vascular system and is distributed throughout the total body water compartment by passive diffusion. The urea concentration of blood and glomerular filtrate is approximately equal. Urea passively diffuses from the tubular lumen back to the blood. Urine flow rate is inversely related to urea reabsorption. Gastrointestinal secretion is inconsequential in monogastrics; however, in ruminants up to 90% of urea in glomerular filtrate may be reabsorbed and enter the rumen via saliva for utilization in amino acid synthesis.

Nephrotoxin	Disease Onset	Geography	Species Affected
Amaranthus retroflexus (pigweed)	Subacute	US	B, P
Antibiotics	Acute to chronic	Worldwide	All
Cantharidin (blister beetle)	Acute	US	B, Cp, E, O
Chlorinated hydrocarbons	Acute	Worldwide	All
Ethylene glycol	Acute	Worldwide	B, Cn, F, P
Fumonisin (Fusarium moniliforme)	Acute to chronic	Worldwide	E, O, P
Gossypol (cottonseed)	Chronic	Worldwide	В
Hemoglobin	Acute		All
Hypercalcemia	Chronic	Worldwide	All
Isotropis spp.	Acute	AUS	В, О
Lantana camara	Chronic	US, MEX, AUS, AFR	В, Е, О
Menadione	Acute	Worldwide	E
Metals (Cd, Hg, Pb, Tl)	Acute to chronic	Worldwide	All
Myoglobin	Acute		All
Ochratoxin (Penicillium ochraceus)	Chronic	Worldwide	Р
Oxalates	Acute to chronic	Worldwide	В, Ср, О, Р
Paraquat/diquat	Acute	Worldwide	All
Petroleum	Acute	Worldwide	All
Phenothiazine	Acute	Worldwide	Е
Phenylbutazone	Acute	Worldwide	Е
Phosphorus	Acute	Worldwide	All
Pyrrolizidine alkaloids	Chronic	Worldwide	B, Cp, E, O, P
Tannins (Quercus spp., oaks)	Acute	Worldwide	B, Cp, E, O
Terminalia oblongata (yellowwood)	Acute to chronic	AUS	В, О

Azotemia, elevation in blood urea nitrogen (BUN) or creatinine, may occur as prerenal, renal, or postrenal. Prerenal azotemia may result from dehydration or decreased renal perfusion (e.g., cardiotoxins). Renal azotemia occurs only after approximately 75% of the nephrons have lost function.

Renal disease also results in elevation of serum creatinine. The majority of serum creatinine originates from the endogenous conversion of phosphocreatine in muscle, which occurs at a relatively constant rate. Creatinine is not reutilized. The creatine pool is modified by conditioning and muscle disease. Creatinine also is distributed throughout the compartment of total body water. It diffuses more slowly than urea, however, and is not reabsorbed within the tubules after leaving as glomerular filtrate. Creatinine concentration is not affected significantly by diet, protein catabolism, or urinary flow. Reduced renal perfusion affects BUN and creatinine similarly (Finco, 1997). Elevations of BUN and creatinine are not proportional in renal disease of ruminants because of reutilization of urea by the rumen. Ingestion of the plant Nolletia gariepina has been reported to cause renal failure in ruminants with a measurable increase in urinary GGT along with azotemia (Meintjes et al., 2005).

Hyperkalemia may occur in renal failure with oliguria or anuria and acidosis. Hypercalcemia is common in equines as a result of decreased renal clearance of calcium. Hypocalcemia is more common in dogs, cats, and cattle with chronic renal disease. Cattle also tend to have hypokalemia, hyponatremia, and hypochloridemia with renal disease. Mild to moderate increases of amylase and lipase may also be seen in dogs with renal disease as these enzymes are inactivated in the kidney (Stockham and Scott, 2002).

Proteinuria in the absence of occult blood and cellular sediment suggests renal disease. Glomerular lesions typically result in high protein levels in which albumin is the major constituent. Acute tubular damage observed with many nephrotoxins generally results in lower protein levels containing higher levels of smaller globulins and some albumin (Stockham and Scott, 2002). Analysis of enzymes in the urine can potentially determine the primary site of renal damage because of the characteristic localization of enzymes within the nephron. Increases in the brush border enzymes, GGT and ALP, in the urine have been associated with renal proximal tubular damage in dogs, whereas increases in N-acetyl-beta-D-glucosaminidase have been observed in the early stage of renal papillary necrosis. However, evaluation of several enzymes at multiple time points is needed to compensate for normal enzyme variation and to identify potential anatomic site selectivity of the toxin (Clemo, 1998).

Hypoproteinemia secondary to chronic urinary loss (Kaneko, 1997a) promotes tissue edema and effusions that may mimic cardiotoxicity and hepatotoxicity, as discussed previously.

Bilirubin is considered to be mildly nephrotoxic. Bilirubinuria may occur because of "regurgitation" of conjugated bilirubin resulting from cholestatic hepatotoxins. Myoglobin is also nephrotoxic. Myoglobinuria may occur with toxic necrosis of skeletal and cardiac muscle. Hemoglobin appears to be nephrotoxic in the presence of concurrent dehydration or hypovolemia. Hemoglobinuria may occur with hemolytic toxins.

Dehydration exacerbates the nephrotoxicity of many agents, especially antibiotics in all species and nonsteroidal anti-inflammatory drugs (phenylbutazone) in the horse. Nephrotoxic antibiotics include the aminoglycosides (amikacin, gentamicin, kanamycin, neomycin, streptomycin, and tobramycin), amphotericin B, cephalosporins, polymixins, sulfonamides, and tetracyclines (Maxie, 1993). Elevation of GGT in urine is a sensitive indicator of aminoglycoside toxicity (Gossett *et al.*, 1987).

Hypercalcemia and hyperphosphatemia may result in nephrocalcinosis following iatrogenic hypervitaminosis D or ingestion of cholecalciferol rodenticide (Fooshee and Forrester, 1990) by any species. Ingestion of the toxic plants containing vitamin D-like analogues including Cestrum diurnum, Dactylis glomerata, some Solanum spp., and Trisetum flavescens by herbivores also may produce hypercalcemia with calcification of soft tissues including the kidney.

Nephrotoxic metals include arsenic, bismuth, cadmium, lead, mercury, and thallium (Maxie, 1993).

Plants containing toxic concentrations of soluble oxalates include the species Amaranthus retroflexus (pigweed), Halogeton glomeratus, Oxalis spp., Rheum rhaponticum (rhubarb), and Sarcobatus vermiculatus (greasewood). Intoxication with ethylene glycol from antifreeze is one of the more common accidental or malicious poisonings encountered in dogs and cats. Birefringent hippurate and oxalate crystals may be observed in urine sediments (Kramer et al., 1984). An increased anion gap and decreased blood bicarbonate can be observed in animals with ethylene glycol intoxication (Dalefield, 2003). Blood calcium is lowered in animals intoxicated with oxalate containing plants that also have low calcium content. Blood calcium is also decreased in animals with ethylene glycol toxicosis (Stockham and Scott, 2002).

Nephrotoxic pyrrolizidine alkaloids include the plant species listed under hepatotoxicity.

Trees of the genus *Quercus* (oaks) and *Terminalia oblongata* (yellow-wood tree) contain tannins that induce acute tubular necrosis when leaves, buds, or acorns are ingested. *Amaranthus retroflexus* (pigweed), via an unidentified toxic principle, also induces similar renal disease in cattle (Casteel *et al.*, 1994) and pigs (Osweiler *et al.*, 1969) in the absence of oxalate nephrosis. At postmortem examination, there were consistent elevations of urea and creatinine concentrations in ocular fluid and serum.

IV. TOXINS AFFECTING SKELETAL AND CARDIAC MUSCLE

The clinical signs of weakness, dysmetria, and incoordination suggest not only the possibility of neurological disease but also skeletal muscular or cardiovascular disease. Acute toxicity of skeletal or cardiac muscle (Table 27-3) can be detected by elevations in serum creatine kinase (CK) (Cardinet, 1997). This dimeric enzyme catalyzes the reversible reaction, phosphocreatine + ADP <=> creatine + ATP, and has three isoenzyme types: CK_1 , CK_2 , and CK₃. CK₁ is found in brain, peripheral nerves, cerebrospinal fluid, and viscera, but it is not found in serum during neurological disease. CK2 is found in cardiac muscle and minute amounts in skeletal muscle. CK3 is found in cardiac and skeletal muscle. CK plasma half-life is short and is considered to be specific for muscle when hemolysis, elevated bilirubin, muscle fluid contamination during venipuncture, and dilution of CK inhibitors during sample processing can be excluded. When injury is not progressive,

CK elevations maximize within 6 to 12h and return to normal within 24 to 48h. Continuing necrosis can result in persistent elevation.

Cardiac troponin T and I have been demonstrated to be sensitive and specific biomarkers of cardiac injury in dogs and laboratory animals (O'Brien, 2006; O'Brien *et al.*, 2006). Cardiac troponins have been demonstrated to correlated with myocardial injury in the dog, cat, and horse and may provide a more specific antemortem method of detecting myocardial compromise secondary to toxicosis in these species (Herndon, 2002; Oyama and Sisson, 2004; Schwarzald *et al.*, 2003).

As discussed previously, LDH₅ is the principal isoenzyme in skeletal muscle and erythrocytes. LDH activity is tissue nonspecific, but necroses of muscle, liver, and hemolysis are the major sources for elevations of serum activity. Necrosis of skeletal muscle may result in release of myoglobin and potassium resulting in myoglobinemia and hyperkalemia. Myoglobinuria, detectable by urinalysis, may induce secondary nephrotoxicity.

Toxins	Disease Onset	Geography	Species Affected
Cardiac glycosides	Acute	Worldwide	All
Cassia occidentalis (Coffee senna)	Acute	Worldwide	B, Cp, O, P
Cantharidin (blister beetle)	Acute	US	Ë
Catecholamines	Acute		All
Eupatorium rugosum (white snakeroot)	Chronic	US	В, Е
Fluoroacetate	Acute	AUS, S AFR	All
Gossypol (cottonseed)	Chronic	Worldwide	P, B, Cn
Hypercalcemia	Chronic	Worldwide	All
Iron	Acute	Worldwide	Р
Karwinskia humboldtiana (coyotillo)	Acute to chronic	MEX, TX	Cp, O
Lantana camara	Chronic	AFR, AUS, MEX, US	B, E, O
Metals (As, Bi, Cd, Hg, Pb, Tl)	Acute to chronic	Worldwide	All
Methylxanthines (caffeine, theophyl-line, theobromine)	Acute	Worldwide	Cn
Monensin	Acute to chronic	Worldwide	B, E, Cp, O, P
Nephrotoxins (uremia, see text)	Chronic		All
Persea americana (avocado)	Acute	Worldwide	B, Cp, E, O
Phalaris	Acute	Worldwide	B, E, O
Phosphorus	Acute	Worldwide	All
Potassium	Acute to chronic	Worldwide	All
Pteridium aquilinum (Bracken fern)	Acute to chronic	Worldwide	B, Cp, E, O, P
Ouinolizidine alkaloids (Lupinus spp.)	Chronic	Worldwide	В, О
Vicia villosa (hairy vetch)	Čhronic	Worldwide	B, E

Cardiac glycosides disrupt cardiac ion channels producing sudden dysrhythmias and often death (Cheville, 1988). Plants containing cardiac glycosides include *Bryophyllum tubiflorum*, *Digitalis* spp. (foxglove), *Homeria* spp. (cape tulip), *Nerium oleander* (oleander), *Thevetia peruviana* (yellow oleander), and *Tylecodon* spp. (Robinson and Maxie, 1993). Most intoxications occur in herbivores, although the cat is reported to have increased CK levels after ingestion of Easter lily plant. (Rumbeiha *et al.*, 2005). Amphibians producing cardiac glycosides include *Bufo alvarius*, *B. marinus*, and *Dendrobates* spp. (poison dart frog). Most intoxications occur in cats and dogs that become curious about these animals. Neurological signs may be the presenting complaint in intoxication from *B. marinus* (Roberts *et al.*, 2000).

Fluoroacetate containing plants include Acacia georginae, Dichapetalum cymosum, Gastrolobium spp., and Oxylobium spp. Fluoroacetate (compound 1080) also has been utilized as a rodenticide. It is not directly toxic, but combines with oxaloacetic acid to form fluorocitrate that inhibits cis-aconitase and succinic dehydrogenase of the citric acid cycle thus reducing ATP generation. Animals intoxicated with fluoracetate are reported to have hyperglycemia and hypocalcemia, along with increased serum citrate levels (Parton, 2003).

Glycosides and fluoroacetate may produce sudden death that precedes alterations of clinical chemistry or morphological changes. Hypercalcemia may induce cardiac calcinosis and nephrotoxicity as discussed earlier.

Hyperkalemia from myotoxicity (especially gossypol) (Albrecht *et al.*, 1969), nephrotoxicity, or adrenal necrosis (hypoaldosteronism) may exert a dysrhythmogenic (Q-T prolongation and high amplitude T waves) effect on the heart. Potassium chloride injection also has been used for lethal poisoning by individuals attempting to circumvent detection by insurance adjusters (Casteel *et al.*, 1989).

Cardiotoxic metals include lithium, cadmium, nickel, barium, lanthanum, manganese, vanadium, lead, and cobalt (Van Vleet and Ferrans, 1986). Iron-dextran toxicity in pigs may produce necrosis of skeletal muscle and hyperkalemia, sparing the myocardium (Kelly, 1993).

Quinolizidine alkaloids in *Lupinus* spp., *Laburnum* anagyroides, and *Thermopsis* montana have been shown to produce skeletal muscle necrosis in cattle with elevations of serum CK and AST in the absence of myoglobinuria (Keeler and Baker, 1990). Quinolizidine alkaloids are also teratogenic.

Elevations in serum creatine kinase have been reported in association with viper envenomation in dogs (Aroch et al., 2004).

The numerous chemotherapeutic agents that have been associated with cardiotoxicity have been reviewed elsewhere (Van Vleet and Ferrans, 1986).

Cardiotoxins may produce serum enzyme elevations suggestive of hepatic or renal disease secondary to ischemia/hypoxia.

V. TOXINS AFFECTING THE LUNG AND RESPIRATORY TRACT

Disease affecting the respiratory tract is often clinically apparent on the basis of dyspnea. Dyspnea in veterinary medicine is more often the result of pneumonia rather than intoxication. However, when body temperature is normal, the possibility of pulmonary edema induced by toxins affecting the lung and respiratory tract (Table 27-4) or cardiovascular system should be considered. Because, with the exception of the horse, domestic mammals remove excess heat by panting, reduced respiratory capacity secondary to intoxication also may result in elevated body temperature.

Toxins	Disease Onset	Geography	Species Affected
Eupatonum adenophorum	Chronic	AUS	E
Fumonisin (Fusarium moniliforme)	Acute to chronic	Worldwide	P
4-ipom eanol (Fusarium solanii)	Acute	Worldwide	В
Kerosene/Petroleum	Acute	Worldwide	All
3-methylindole	Acute	Worldwide	В
Myoporaceae	Acute	AUS, NZ	В, О
Organobromines/organochlorines	Chronic	Worldwide	All
02	Acute to chronic	Worldwide	All
- Paraquat	Acute to chronic	Worldwide	All
Perilla frutescens	Acute	US	B, E, O
Pyrrolizidine alkaloids	Chronic	Worldwide	B, Cp, E, O, P

Angiotensin converting enzyme (ACE) is concentrated on the luminal surface of pulmonary endothelial cells. Most circulating ACE originates from the lung; however, many tissues including tubular epithelial and endocrine cells contain this enzyme (Erdos, 1987). Serum ACE activity is altered in chronic and acute pulmonary disease (Hollinger, 1983). Unfortunately, assay for this enzyme is not readily available in most veterinary clinical biochemistry laboratories, and ACE remains primarily a research tool.

Acute pulmonary edema is the typical lesion resulting from toxins affecting the epithelial-endothelial interface of the alveolus. Because CO_2 is approximately 20 times more diffusible than O_2 , early pulmonary edema typically results in decreased P_aO_2 , whereas P_aCO_2 remains normal or may decrease with hyperventilation producing respiratory alkalosis. Severe pulmonary edema may result in elevated P_aCO_2 (hypercapnia and respiratory acidosis) (Carlson, 1997).

Chronic insult to the alveolar epithelial-endothelial interface may progress to pulmonary fibrosis (e.g., paraquat intoxication) and be associated with low ${\rm PaO_2}$ and elevated ${\rm PaCO_2}$. Pulmonary fibrosis impinging on the pulmonary vasculature may induce pulmonary hypertension and *cor pulmonale* associated with enzymological alterations suggestive of hepatoxicity resulting from passive hepatic congestion.

Cattle are susceptible to several toxins that induce acute pulmonary edema including ingestion of perilla ketone in *Perilla frutescens*, 4-ipomeanol in sweet potatoes (*Ipomoea batatas*) infected with *Fusarium solani* (Doster *et al.*, 1978), and the generation of 3-methyindole by ruminal *Lactobacillus skatoli* from tryptophan in lush pasture grasses (Breeze and Carlson, 1982).

Some of the pyrrolizidine alkaloids, notably monocrotaline from *Crotolaria spectabilis*, may induce chronic pulmonary arteriopathy resulting in pulmonary hypertension that progresses to right heart failure and elevation of enzymes suggestive of cardiotoxicity or hepatotoxicity. Similar pulmonary arteriopathy occurs in pigs with chronic fumonisin intoxication (Casteel *et al.*, 1994).

Organochlorines and organobromines include chlorinated naphthalenes, polychlorinated biphenyls (PCBs), polybrominated biphenyls (PBBs), and dibenzofurans. These industrial toxins are cumulative and result in hypovitaminosis A that is associated with squamous metaplasia of columnar epithelium of the respiratory tract and hyperkeratosis as discussed under integumentary toxins.

The numerous chemotherapeutic agents that have been associated with pulmonary toxicity or hypersensitivity have been reviewed elsewhere (Myers, 1993).

VI. TOXINS AFFECTING THE GASTROINTESTINAL TRACT

Disease affecting the gastrointestinal tract is often clinically apparent on the basis of vomiting or diarrhea. In

veterinary medicine, these are more often the result of infectious disease rather than intoxication. However, the possibility of gastrointestinal toxins (Table 27-5) should be considered, especially in acute outbreaks affecting several animals sharing the same environment.

Vomiting and diarrhea may produce dehydration that results in mild to moderate elevations of BUN, plasma protein, packed cell volume (PCV), and urine specific gravity.

Metabolic alkalosis (increased pH, normal or increased PCO₂, increased HCO₃⁻ and HCO₃⁻/H₂CO₃) and hypochloridemia may result from chloride loss associated with vomiting (Tennant and Hornbuckle, 1997).

Metabolic acidosis (decreased pH, normal or decreased PCO₂, decreased HCO₃⁻ and HCO₃⁻/H₂CO₃) may result from secretory loss of bicarbonate in diarrhea.

In the absence of evidence of malnutrition or hepatic or renal disease, chronic gastrointestinal malabsorption or protein loss should be considered as a potential cause of hypoproteinemia (Kaneko, 1997a).

Ingestion of strong acids or alkalis may induce immediate and severe damage to the gastrointestinal mucosa.

The seleniferous plants, which may be associated with acute gastroenteritis in herbivores, are discussed under the integumentary system.

Trichothecenes, especially T-2, produced by *Fusarium* spp. and the macrocyclic trichothecene produced by *Stachybotrys alternans* are highly irritant and produce acute ulceration and hemorrhage of the gastrointestinal tract. These mycotoxins also produce acute ulcerative and necrotizing lesions of the skin and chronic pancytopenia with hemorrhage.

The estrogenic mycotoxin, zearalenone, may induce rectal prolapse in pigs in addition to affecting reproductive performance.

VII. TOXINS AFFECTING ERYTHROCYTES AND THE HEMATOPOIETIC SYSTEM

Toxins inducing hemolysis (Table 27-6) may produce elevations of serum LDH, anemia, icterus, hemoglobinemia, hemoglobinuria with secondary nephrotoxicity, and unconjugated bilirubinemia.

Chronic, drug-induced, immune-mediated hemolysis has been associated with para-aminosalicylic acid, chlor-promazine, dipyrine, insecticides, penicillin, phenacetin, quinine, quinidine, and sulfonamides (Valli, 1993).

Mellitin is a hemolyzing component of Hymenopterous toxins and comprises approximately 50% of bee venom (Cheville, 1988). One report also attributes a case of immune-mediated hemolytic anemia in a young dog to exposure to bee venom (Noble and Armstrong, 1999).

Chronic nephrotoxicosis leading to uremia may decrease erythrocyte life span because products normally eliminated by the kidney are retained. This mechanism

Р

B, Cn, O

Worldwide

Worldwide

Toxins	Disease Onset	Geography	Species Affected
Acids/alkalis	Acute	Worldwide	All
Aleurites fordi (tung oil tree)	Acute	AUS, US	В
- Arsenic	Acute	Worldwide	All
Asclepias spp. (milkweed)	Acute	N AM	B, E, Cp, O
Cantharidin (blister beetle)	Acute	US	All
Copper	Acute	Worldwide	All
Fluoroacetate	Acute	AUS, MEX, S AFR	All
Fluorensia cernua (blackbush/tarbush)	Acute	MEX, US	Cp,O
Hymenoxys odorata (bitterweed)	Acute	US	0
Isotropis spp.	Acute	AUS	В, О
Kerosene/petroleum	Acute	Worldwide	All
Nerium oleander (oleander)	Acute	Worldwide	B, Cp, E, O
Pyrrolizidine alkaloids	Acute	Worldwide	B, Cp, E, O, P
Ricinus communis (castor bean)	Acute	Worldwide	B, E
Robinia pseudoaca-cia (Black locust)	Acute	N AM	B, E
Solanaceae	Acute	Worldwide	All
Selenium	Acute	Worldwide	B, Cp, E, O, P
Tannins (Quercus spp., oaks)	Acute	Worldwide	В, Ср, О
Tricothecenes	Acute	Worldwide	All
Urginea maritima (sea onion)	Acute	Worldwide	Cn, F, P

Abbreviations: B, bovine; Cn, canine; Cp, caprine; E, equine; F, feline; O, ovine; P, porcine.

Chronic

Acute

Zearalenone (Fusarium roseum)

Zinc

Toxins	Disease Onset	Geography	Species Affected
Allium spp. (onion)	Acute	Worldwide	B, Cn, E, O
Acer rubrum (red maple)	Acute	US	E
Brassicae	Acute	Worldwide	В, О
Copper	Acute	Worldwide	Cn, O
Drug-induced immune mediated anemia	Chronic	Worldwide	All
Mellitin	Acute	Worldwide	All
Methylene blue	Acute	Worldwide	F
Molybdenum	Chronic	Worldwide	B, Cp, O
Naphthalene	Acute	Worldwide	Cn
Nephrotoxins	Chronic		All
Phenothiazine	Acute	Worldwide	E, O
Snake venoms	Acute	Worldwide	All
Zinc	Acute to chronic	Worldwide	B, Cn, O, P

Toxins	Disease Onset	Geography	Species Affected
Anticoagulant rodenticides	Chronic	Worldwide	All
Dicoumarol (moldy Melilotus alba)	Chronic	Worldwide	В, Е, Р
Drugs	Chronic	Worldwide	All
Estrogen	Chronic	Worldwide	All
Hepatotoxins	Chronic	Worldwide	All
Pteridium aquilinum (bracken fern)	Chronic	Worldwide	B, Cp, E, O, P
Trichothecenes	Acute	Worldwide	All
	Acute	Worldwide	All

is usually insufficient to produce acute hemolytic crises, and other factors, including decreased erythropoietin production, likely play a larger role in the anemia seen with chronic renal disease (Stockham and Scott, 2002). The anthelmintic, phenothiazine, may be acutely hemolytic in sheep and horses. It also may induce primary photosensitization as discussed under integumentary toxins. Zinc intoxication in dogs can produce a severe intravascular hemolysis (Dziwenka and Coppock, 2003).

The venom of various, snakes including Crotalidae, Elapidae, Hydrophidae, and Viperidae, contains a mixture of toxins of which phospholipase A_2 (PLA₂) is an important component. PLA₂ is directly lytic for erythrocytes and may induce hemolysis, and for platelets and it may induce hemorrhage and coagulopathy (Cheville, 1988).

Basophilic stippling and inappropriate rubricytosis have been associated with lead intoxication in dogs (Stockham and Scott, 2002).

Additional toxins inducing hemorrhage (Table 27-7) include dicumarol derived from moldy sweet clover (Melilotus spp.) and the synthetic derivatives used as anticoagulant rodenticides such as brodifacoum, bromadiolone, diphacinone, fumarin, pindone, and warfarin. These agents are vitamin K antagonists. Prothrombin (factor II), and factors VII, IX, and X require vitamin K for their production. The half-life of factor VII is approximately 4 to 6h, whereas, half-lives of factors IX and X are approximately 14 to 18h, and for prothrombin the half-life is 40h. Therefore, prolongation of the one-stage prothrombin time (PT) is thought to occur earliest, followed by prolongation of the activated partial thromboplastin test (PTT). Vitamin K antagonists do not affect fibrinogen or platelet numbers initially but may eventually exhaust their supplies. Excessive hemorrhage following slight trauma, epistaxis, melena, and hematuria may occur with these intoxications (Dodds, 1997). Testing for products of vitamin K antagonism or absence (PIVKAs) may help to detect this intoxication. This test is a modified PT assay, which detects decreased activity of factors II, VII, and X. Though anticoagulant rodenticide intoxication decreases the activity of these factors, other diseases may also prolong this test; thus this test is not specific for rodenticide intoxication (Stockham and Scott, 2002).

Chronic hepatotoxicity in which hepatic mass is reduced by 70% or more may result in sufficiently inadequate synthesis of both clotting factors and their inhibitors to prolong PT and PTT. Chronic cholestasis with interruption of the enterohepatic circulation of bile salts also may result in malabsorption of fat-soluble vitamin K producing a syndrome similar to anticoagulant intoxication.

Toxins inducing pancytopenia include estrogen, *Pteridium aquilinum* (bracken fern), and the trichothecene mycotoxins. Insufficient numbers of platelets promote hemorrhage and consumption coagulopathy (Valli, 1993).

VIII. TOXINS AFFECTING HEMOGLOBIN AND OXIDATIVE METABOLISM

Lead poisoning interrupts heme synthesis at the level of formation of protoporphyrin and causes accumulation of delta-aminolevulinic acid. Increased urinary excretion of this metabolite indicates lead intoxication.

Toxins inducing oxidation of ferrous iron in hemoglobin to ferric iron in methemoglobin (Harvey, 1989) include the herbicide sodium chlorate, the stalk parts of nitrate-accumulating plants such as corn and wheat, hay grown on heavily fertilized soils under drought conditions, fertilizer, or water contaminated by fertilizers or organic material. Wilted red maple leaves have also been reported to cause methemoglobinemia, in addition to hemolysis, in horses (Barr and Reagor, 2001). Ruminants are most susceptible to the nitrate-accumulating plants *Amaranthus* spp. (pigweed), *Avena sativa* (oats), *Chenopodium* spp. (lambsquarter), *Sorghum* spp., and *Triticum aestivum* (wheat) because of the ability of rumen microbes to reduce nitrate to the proximate

toxicant, nitrite. Monogastrics and ruminants are equally susceptible to nitrite-based fertilizers (Osweiler *et al.*, 1985). Nitrate/nitrite intoxication produces a brown discoloration of the blood caused by methemoglobinemia.

Carbon monoxide (CO) competes with oxygen binding to the heme moiety in hemoglobin and myoglobin. CO affinity for the hemoglobin binding site is approximately 200 times that of O_2 , resulting in tightly bound carboxyhemoglobin and decreased blood oxygen transport. Because continuous delivery of O_2 is critical to the heart and brain, carbon monoxide may induce signs of cardiotoxicity or neurotoxicity. Anoxia of the liver, kidney, and muscle may elevate serum enzymes referable to these systems.

Acetaminophen, propylene glycol, and zinc intoxication in small animals and copper intoxication in ruminants have been associated with Heinz body anemia (Thrall, 2004).

CO, cyanide, and H₂S are potent inhibitors of cytochrome oxidase and may produce sudden death because of a failure of oxidative metabolism, which precedes alterations in clinical biochemistry or morphology.

Numerous plants contain cyanogenic glycosides that may affect herbivores, especially ruminants. The most common cultivated species include *Cynodon* spp., *Sorghum* spp., and *Prunus* spp. (Jubb and Huxtable, 1993).

IX. TOXINS AFFECTING THE ENDOCRINE SYSTEM

Carbadox/Mecadox is an antibacterial agent that, with prolonged exposure at levels greater than 25 ppm, induces degeneration of the zona glomerulosa of the adrenal gland associated with reduced plasma aldosterone, hyperkalemia, and hyponatremia (Capen, 1993).

The drug ortho,-para'2,2-bis(2-chlorophenyl-4-chlorophenyl)-1,1-dichloroethane (o,p'DDD) is toxic to the zonae fasciculata and reticularis of the adrenal gland and is used as therapy for canine hyperadrenocorticism. This toxin reduces circulating cortisol levels.

Goitrogenic substances induce iodine deficiency or inhibit organification of iodine (Kaneko, 1997b). Thiocyanates, produced by ruminal digestion of cyanogenic glycosides from the toxic plants Cynodon spp. and Trifolium repens, and goitrin, derived from Brassica spp., are goitrogenic. Mimosine (discussed later under integumentary toxins) is metabolized in the rumen to a compound that inhibits organic binding of iodine by the thyroid gland. Thioamides (sulfonamides) inhibit thyroperoxidase. All of these substances may reduce serum T_4 and T_3 . Iodine toxicity producing hyperplastic goiter in horses has been associated with feeding kelp.

Hepatic glucuronidation is the rate-limiting step for biliary excretion of T_4 . Sulfation by phenol sulfotransferase is the rate-limiting step for excretion of T_3 . Induction of hepatic microsomal enzymes may increase T_4/T_3 elimination

and disrupt the hypothalamic-pituitary-thyroid axis resulting in excessive thyroid stimulating hormone (TSH). Xenobiotics that induce hepatic microsomal enzymes include benzodiazepines, calcium channel blockers, chlorinated hydrocarbons, phenobarbital, PCBs, PBBs, retinoids, and steroids (Capen, 1993).

Toxins inducing hypercalcemia are discussed in Section II. Certain species of the Solanaceous produce toxins that may induce chronic atrophy of parathyroid chief cells. Chronic nephrotoxicity, especially in the dog, may result in hypocalcemia and hyperphosphatemia, which stimulates excessive production of parathyroid hormone. Impaired intestinal absorption of calcium and increased mobilization from the skeleton also may occur secondary to insufficient renal production of 1,25-dihydroxycholecalciferol by the kidney (Capen, 1993).

Vicia villosa (hairy vetch) produces angiocentric eosinophilic granulomatous inflammation of the skin, myocardium, kidney, lymph nodes, thyroid, and adrenal glands. The mechanism is unknown. Biochemical alterations suggest cardiotoxicity, nephrotoxicity, and depression of serum thyroxine and cortisol levels may occur.

Ingestion of Xylitol, a sugar substitute used in cooking and in sugar-free chewing gum, can cause a severe hypoglycemia in dogs by inducing an exaggerated elevation of plasma insulin levels (Dunayer, 2004).

X. TOXINS AFFECTING THE NERVOUS SYSTEM

Many acute and chronic neurotoxins (Table 27-8) produce illness or death without alterations detectable by routine clinical biochemistry performed on blood or serum. The cerebrospinal fluid (CSF) is usually normal in neurotoxicity; however, mild elevations in protein and leukocyte count may occur with lead poisoning (Bailey and Vernau, 1997). Also, CSF sodium levels may be compared to serum sodium levels to assist in the diagnosis of sodium toxicosis. Sodium levels in serum may decline if the animal acquires access to water or is administered IV fluids, but the CSF sodium levels may remain elevated (Niles, 2003). Elevation of myelin basic protein has been reported in CSF in experimental fumonisin-induced leukoencephalomalacia in ponies (Brownie and Cullen, 1987).

Accumulations of endogenous toxins secondary to hepatotoxicity and nephrotoxicity may produce neurological dysfunction. Conversely, alterations that mimic hepatotoxicity, nephrotoxicity, muscle toxicity, respiratory, and gastrointestinal toxicity may occur secondary to ischemia/anoxia from depression of cardiopulmonary centers or by affecting sympathetic/parasympathetic balance.

Increased urinary excretion of delta-aminolevulinic acid is a potential indicator of lead intoxication. Organophosphates induce cholinesterase inhibition, which can be

Toxins	Disease Onset	Geography	Species Affected
Asdepias spp. (milkweed)	Acute	N AM	All
Aspergillus clavatus	Acute	UK, S AFR	В, О
co	Acute	Worldwide	All
Centaurea spp.	Chronic	US	E
Cyanide	Acute	Worldwide	All
Cycadales	Chronic	AUS, DOM REP, US	В
Eupatorium rugosum (white snakeroot)	Acute to chronic	US	В
Fluoroacetate	Acute	AUS, S AFR	B, Cn, Cp, F, O
Fumonisin (Fusarium moniliforme)	Chronic	Worldwide	Е
Hepatic encephalopathy	Chronic		All
Hexachlorophene	Chronic	Worldwide	All
Halogenated salicylanilide	Chronic	Worldwide	Cp, O
Helichrysum spp.	Acute to chronic	AUS, S AFR	В, Ср, О
Karwinskia humboldtiana (coyotillo)	Chronic	US	All
Kochia scoparia (fireweed)	Chronic	US	В
Lead	Chronic	Worldwide	All
Lolium perenne (perennial ryegrass)	Acute	Worldwide	В, Е, О
Nitrate/nitrite	Acute	Worldwide	В, Ср, О
Organophosphates	Acute to chronic	Worldwide	All
Phalaris	Chronic	AUS, NZ, S AFR, US	В, О
Renal encephalopathy	Chronic		All
Selenium	Acute to chronic	Worldwide	P
Solanum spp.	Chronic	Worldwide	В
Strychnine	Acute	Worldwide	All
Stypandrol	Acute to chronic	ASIA, AUS	В, Ср, О
Swainsonine	Chronic	AUS, N AM	В, Ср, Е, О
Thiaminase	Chronic	Worldwide	B, Cn, E, F, O,
Trachyandra spp.	Chronic	AUS, S AFR	Cp, E, O, P

detected as reduction of plasma or whole blood cholinesterase activity.

Subacute selenium intoxication in pigs exposed to complete rations containing 9.7 to 27 ppm selenium for 45 days is manifested as a central nervous system disorder characterized initially by hind limb ataxia progressing to posterior paralysis. The clinical syndrome is associated with focal symmetrical poliomyelomalacia of the ventral horns of the cervical and lumbosacral intumescences (Casteel et al., 1985). Hoof separation at the coronary band also occurs. The clinical pathological alterations were consistent with dehydration from inability to reach water sources. Iatrogenic disease in the dog may result from parenteral administration of selenium preparations (Turk, 1980).

Solanum kwebense, S. dimidiatum, and S. fastigiatum produce neuronal vacuolation resembling a lysosomal storage disease. The biochemical basis of this lesion is unknown.

Swainsonine is an indolizidine alkaloid produced by certain species of the plants genera *Astragalus*, *Oxytropis*, and *Swainsona*. This toxin inhibits lysosomal alphamannosidase resulting in a lysosomal storage alteration that affects cells in many organs but is often lethal because of its neurological effect.

Thiaminases that may induce polioencephalomalacia in herbivores are present in *Equisetum arvense* and *Pteridium aquilinum*. Many uncooked fish species also contain thiaminase, which may produce encephalopathy affecting

primarily carnivores. The coccidiostat, amprolium, is a thiamine antagonist that produces polioencephalomalacia in ruminants. Calves early in the course of polioencephalomalacia may have reduced blood transketolase (which requires thiamine pyrophosphate as a cofactor) and increased pyruvate (Jubb and Huxtable, 1993).

XI. TOXINS AFFECTING THE INTEGUMENT

Most toxins affecting the skin (Table 27-9) will induce no or nonspecific alterations in clinical biochemistry. Fortunately lesions are usually readily visible by physical examination. Topical exposure to strong acids or alkalis may induce immediate and severe damage of the stratum corneum and epidermis.

Ergot and ergot-like syndromes are produced by the fungi *Claviceps purpurea* in infected rye and other cereal grains, and *Acremonium coenophilaum* in fescue and other pasture grasses. These fungi produce vasoconstrictive alkaloids, which are derivatives of lysergic acid including ergotamine, ergometrine, ergotoxine (*C. purpurea*), and ergovaline (*A. coenophilaum*). Skin lesions are the result of ischemic necrosis that is usually most impressive in the distal extremities.

Dermatotoxic heavy metals include thallium and arsenic (Yager and Scott, 1993). Thallium is still used as a rodenticide in some countries, but it is mainly of historical interest in many developed countries in which it has been banned. Thallium induces parakeratosis and alopecia. The mechanism is unknown, but it is speculated to center around alteration of sulfhydryl groups in keratin resulting in parakeratosis and alopecia. Arsenic toxicity exerts similar influences.

Mimosine is a toxic amino acid occurring in *Mimosa* pudica and Leucaena leucocephala. This toxin produces alopecia by mechanisms that are incompletely understood but may involve metal chelation that inhibits metalloenzymes.

Organochlorines and organobromines include chlorinated naphthalenes, polychlorinated biphenyls (PCBs), polybrominated biphenyls (PBBs), and dibenzofurans. These industrial toxins are cumulative and result in alopecia, hyperkeratosis, and squamous metaplasia of columnar epithelium of the respiratory tract. Molybdenum toxicosis results in a relative copper deficiency in cattle and sheep that produces depigmentation as a consequence of the decreased activity of tyrosinase.

Toxic photosensitization, enhanced susceptibility of the skin to actinic radiation, occurs primarily in lightly pigmented skin of herbivores and may be primary or secondary to chronic hepatotoxicity (Yager and Scott, 1993). Primary disease is due to exogenous photodynamic agents that include treatment with the anthelmintic phenothiazine and grazing of toxic plants such as *Ammi majus* (Bishop's weed, furocoumarin), *Cymopterus watsoni* (spring parsley, furocoumarin) *Fagopyrum* spp. (buckwheat, fagopyrin), *Hypericum perforatum* (St. John's wort, hypericin), and *Thamnosma texana* (Dutchmen's britches, furocoumarin).

Secondary, or hepatogenous, photosensitization occurs in herbivores with diffuse liver damage that reduces the ability to excrete phylloerythrin. This photodynamic agent is formed from chlorophyll by gastrointestinal flora and is transported by the portal system to the liver where it is normally conjugated and excreted in the bile. When phylloerythrin escapes into the systemic circulation, it is poorly excreted by the kidneys and accumulates in tissues including the skin.

Toxins	Disease Onset	Geography	Species Affected	
Acids/alkalis	Acute	Worldwide	All	
Ergotism	Acute to Chronic	Worldwide	All	
Kerosene	Chronic	Worldwide	В	
Metals (As, Tl)	Chronic	Worldwide	All	
Mimosine	Chronic	Worldwide	B, E, O, P	
Organobromines/organochlorines	Chronic	Worldwide	All	
Photosensitization, primary	Acute to chronic Worldwide		All	
Photosensitization, secondary	Acute to chronic	Worldwide	В, О	
Selenium	Chronic	Worldwide	All	
Tricothecenes	Acute	Worldwide	All	
	Chronic	Worldwide	B, E	

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Plants classified as seleniferous actively concentrate Se. Seleniferous plants can be subclassified as obligate (requiring Se) and facultative (Se not required). Astragalus spp. (locoweed), Machaeranthera spp., Oonopsis spp. (goldenweed), Stanleya spp., and Xylorrhiza spp. (wood aster) are obligate accumulators of selenium. These species are generally nonpalatable and are consumed only by herbivores with little other available forage. Several plants are facultative accumulators of selenium including the genera Asters, Atriplex, Catilleja, Gutierrezia, and Sideranthus, which are more often associated with forage-associated selenium intoxication. In addition, various grasses and crops may accumulate from 1 to 25 ppm selenium when grown on seleniferous soils. The differences in selenium accumulation by these three groups are rather indistinct. Grasses are by far the most important group from the standpoint of sheer numbers of livestock affected.

Grazing of seleniferous plants may result in acute gastroenteritis, but it also induces what has historically been called "alkali disease" that manifests as alopecia and dystrophic growth of the hooves primarily in horses, cattle, and goats. There are conflicting reports regarding the hepatotoxicity, nephrotoxicity, and cardiotoxicity of selenium.

Vicia villosa (hairy vetch) produces angiocentric eosinophilic granulomatous inflammation of the skin, myocardium, kidney, lymph nodes, thyroid, and adrenal glands. The mechanism is unknown. The skin lesions are pruritic.

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Avian Clinical Biochemistry

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I. INTRODUCTION

Avian medicine and surgery have been recognized as an official specialty in veterinary medicine on three continents (Europe, Australia, and North America). The increasing demand for veterinary care for individual birds with a high sentimental or economical value and efforts to conserve endangered species facilitated this awareness. The commercial poultry flock approach to diagnosis, utilizing necropsy as

a primary diagnostic tool combined with comparatively fewer testing procedures on living birds, which had been practiced for decades, had only limited applicability for individual pet birds. As a result, alternative diagnostic and therapeutic techniques were developed. Clinical signs in birds are often nonspecific, and the information gained by physical examination is limited in regard to specific and detailed diagnosis. Earlier demands for large blood sample volumes and limited veterinary involvement in the diagnosis and management of individual and pet bird disease were major obstacles to the development of clinical biochemistry in avian medicine. The introduction of micromethods in clinical laboratories and the public demand for veterinary care for individual birds have removed these obstacles. The scientific and clinical work in avian clinical biochemistry since the 1980s has led to its widespread application in avian medicine.

Reference values are dependent on the methodology used. Factors such as type of coagulant, amount of blood, and analytical method may all influence the results. Therefore, values from clinical cases should be compared with reference values from the same species established with the same method in the same laboratory. Published reference values for many blood chemical variables can only be used as a rough guideline.

All efforts should be made to obtain a blood sample before any treatment is given. Treatments that have been administered before samples have been collected may severely affect plasma chemical values (see Fig. 28-16), which will jeopardize a correct diagnosis at a later stage. The time interval between restraint and blood sampling should be kept to a minimum to prevent stress-associated changes in clinical chemistry parameters (see Section VII). Paradoxically, blood samples should be obtained before an extensive clinical examination has been performed to avoid iatrogenic changes in the samples. In one study with pigeons, the percentage of heterophils more than doubled whereas the percentage of lymphocytes decreased after extensive handling for 3h. Creatine kinase and glucose both increased, whereas uric acid decreased (Scope et al., 2002b). Although the changes associated with a short clinical examination might be negligible, the clinician should keep these iatrogenic effects in mind when performing more extensive procedures.

II. COLLECTION OF BLOOD SAMPLES

A. Size of Blood Samples

An important consideration when taking blood samples from small birds is response to blood loss. Kovách *et al.* (1969) studied the mortality of various avian and mammalian species following blood loss and showed that birds can better tolerate severe blood loss than mammals (Fig. 28-1). This is because of their greater capacity for extravascular fluid mobilization (Djojosugito *et al.*, 1968; Wyse and Nickerson, 1971). Kovách *et al.* (1969) found that in healthy individuals, the amount of blood that can be removed without

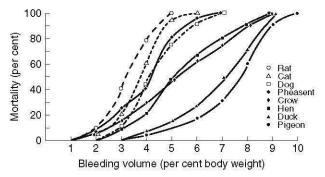


FIGURE 28-1 Mortality after identical blood losses in various avian and mammalian species (abscissa). Every hour, 1% of body weight blood was withdrawn from every animal (ordinate). The percentage of animals lost during the hour following bleeding has been recorded and plotted (see Kovách *et al.*, 1969). Reprinted with permission from Lumeij (1987a).

deleterious effects is 3% of body weight in ducks and pigeons, 2% in chickens, and 1% in crows and pheasants (Fig. 28-1). Unless birds are severely debilitated, a maximum of 1% seems a safe limit for the amount of blood that can be collected for diagnostic purposes.

B. Handling of Blood Samples

Nearly all routine hematological and biochemical investigations can be performed when lithium heparin is used. The use of one single sample limits unnecessary blood spillage, which is an important consideration when dealing with small birds. When plasma is used instead of serum, more plasma can be harvested than serum from the collecting tube. Another reason for not using serum in avian samples is the risk of clotting of the supernatant when serum and cells are separated within a couple of hours after collection.

In mammals, EDTA is regarded as the best anticoagulant for preservation of cellular morphology and good staining characteristics (Schmidt et al., 1963), but this is not necessarily true in hematology of all avian species. There are various avian species where EDTA causes disruption of the red blood cells. Hawkey et al. (1983) found that EDTA produced progressive hemolysis in blood samples from crowned cranes. Dein (1986a, 1986b) reported a similar reaction in crows, jays, brush turkey, and hornbills. Similar reactions to EDTA are observed in blood from crows and magpies (Lumeij, unpublished). Fourie (1977) found heparin to be the most suitable anticoagulant for hematology in pigeons. Good quality smears can also be obtained from whole blood without anticoagulants. Whatever method is used, blood smears should be made immediately after collection of the sample to prevent changes in blood cell morphology.

The normal time lag of up to 60min between collection of a blood sample and separation of plasma from cells, which is common in human medicine (Laessig *et al.*, 1976), is not acceptable in avian clinical biochemistry. Immediately after collection, plasma and cells should be separated by centrifuging. In pigeon blood at room temperature, a rapid

decline (10% in 10 min, 30% in 30 min, up to 65% in 2h) of plasma potassium concentrations occurs, because of a shift of potassium ions from the plasma into the red blood cells. In chickens, decreases were smaller overall with a 29% decrease being noted after 2h (Lumeij, 1985a). In ostriches, significant increases in plasma potassium concentrations were observed when blood was stored at 20°C (up to 20% in 2h), whereas at 0°C significant decreases were observed (Verstappen et al., 2002). Many reports on blood chemistry in birds are based on determinations in serum instead of plasma or plasma from blood samples that were not centrifuged immediately. Plasma potassium concentrations reported herein are often too low.

In suspected lead poisoning, heparinized whole blood samples should be sent to the laboratory, because the majority of lead is associated with the red blood cells (see Section XI.A).

C. Sampling Procedure

In most species, the right jugular vein is the preferred site for blood sampling. This thick walled vein is less prone to hematoma formation (Law, 1960; McClure and Cedeno, 1955; Stevens and Ridgeway 1966). The medial metatarsal vein is especially useful for multiple sampling of small blood volumes in larger birds such as pigeons. Blood can be collected using a needle and syringe or a blood lancet. In the pigeon, the jugular vein is not readily visible.

The basilic vein, which is readily visible as it crosses the ventral aspect of the elbow of all avian species, is the vein that is traditionally used in poultry. The vein is punctured with a blood lancet after being swabbed with alcohol (Gratzl und Koehler 1968). These authors warn against the use of the comb for blood collection in poultry because the high risk of exsanguination, especially during cold weather. In pet birds, the use of a blood lancet for blood collection from the basilic vein cannot be recommended because this site is prone to hematoma formation, often even when a needle is used. The advantage of the basilic vein, on the other hand, is that it can be located in all avian species.

In ostriches the operator should be aware of the risk of being kicked. Blood can be collected from the basilic vein using a sideway approach to the standing animal after it has been hooded and the wing has been lifted upward by two assistants (Fowler, 1978c). The jugular vein can also be approached in the same manner.

In ducks and geese, the venous occipital sinus is a good site for blood sampling (Vuillaume, 1983). It is located at the junction of the base of the skull and the first cervical vertebra. Although this site is especially useful for obtaining large samples, many clinicians will feel more comfortable using the easily accessible basilic and metatarsal veins in these species.

Cardiac puncture carries the risk of cardiac tamponade, and therefore this technique is not recommended for use in avian clinical practice. Some individuals choose to clip a toenail to obtain a blood sample. Disadvantages of this method are that it is painful to the bird, the sample may become contaminated with tissue fluids, it may cause damage to the nail bed, and the amount of blood that can be obtained is limited. Furthermore, contamination of the sample with urates from the droppings may give false high readings (Ekstrom and Degernes, 1989; Rosskopf *et al.*, 1982). For the aforementioned reasons, this method should only be regarded as a last resort, and the nail should be thoroughly cleaned before obtaining a sample.

Different bleeding sites (e.g., venous blood versus blood collected by cardiac puncture) may cause variation in hematological or biochemical values (Kern and De Graw, 1978).

A vacuum system greatly facilitates blood sampling from the jugular and basilic veins and from the venous occipital sinus in Anseriformes. A 3-ml vacuum tube is sufficient for most cases (Venoject, Omnilabo, Breda, The Netherlands). For smaller birds, and thus smaller sample sizes, it is best to use small volume (e.g., 0.5 ml) Vacutainers (Veterinary Lab Supply, 315 E. Madison, Winterset, Iowa 50273, United States).

III. STARVATION, FLIGHT, AND POSTPRANDIAL EFFECTS: CIRCADIAN AND CIRCANNUAL RHYTHMS

A. Introduction

Some plasma chemical variables are influenced by starvation or food consumption. Up to 4 days of starvation in pigeons did not result in hypoglycemia, but rather a starvation hyperglycemia occurred after 3 days (Lumeij, 1987b). Variables that may have markedly increased values postprandially are uric acid and total bile acid concentrations. See Sections V.F. and VI.G (Lumeij, 1991; Lumeij and Remple, 1991, 1992). Furthermore, daily or yearly fluctuations have also been reported for some chemical variables. In fasted pigeons maintained on a natural daily 17-h photoperiod a circadian rhythm was found in plasma glucose concentrations (Lumeij et al., 1987b) with high values early during the photophase (Fig. 28-2). Basal plasma thyroxine concentrations in racing pigeons were significantly higher in July than in September and December (Lumeij and Westerhof, 1988a). Age, sex, altitude, nutritional status, and egg laying may also cause variation (Driver, 1981; Kocan, 1972; Kocan and Pits, 1976; McGrath, 1971; Mori and George, 1978; Simkiss, 1967). Effects of long-term starvation and endurance flight are discussed in Sections III.B. and III.C, respectively.

B. Biochemistry of Long-Term Starvation

Many avian species depend on catabolism of lipid depots for survival through the night or winter to enable migratory

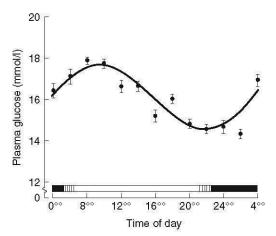


FIGURE 28-2 Mean (\pm SEM) plasma glucose concentration as a function of time in fasted racing pigeons. A cosine function y(t) is fitted to the data: y(t) = $16.26 + 1.55 \cdot \cos (0.2618t \text{ to } 2.4646)$. The relevance of the fit as judged by means of the multiple correlation coefficient was significant (R = 0.892, p < 0.01). Reprinted with permission from Lumeij et al. (1987b).

flights or to incubate eggs (Blem, 2000). During migratory flights, energy expenditure may be more than seven times the basic metabolic rate for 3 to 5 days (Battley, 2003). Lipid reserves in birds are stored as triglycerides, which have a high caloric density and do not require water. Storage of fat reserves may double the body mass in some cases.

Starved birds go through three phases. The total duration of the various phases depends on the size of the bird and the amount of lipid reserves and may vary from a few hours in hummingbirds to 5 months in emperor penguins (Robin *et al.*, 1998).

In phase 1, food in the digestive tract and glycogen reserves are used; in phase 2, lipids are metabolized; and in phase 3, protein is used as a substrate for glucose synthesis. Phase 2 of starvation is characterized by an almost constant rate of body mass loss, a low respiratory quotient, and steady plasma concentrations of uric acid and β hydroxybutyrate. Although fatty acids provide the main energy source, about 5% of the energy is provided by protein breakdown, to generate citric acid intermediates, to act as substrate for gluconeogenesis, and for production of antioxidants (Battley, 2003). When critical depletion of fat stores is imminent, phase 3 of starvation is heralded by lowering of plasma concentrations of β -hydroxybutyrate and increased uric acid concentrations, reflecting a decreased contribution of lipids to energy metabolism and increased protein catabolism, respectively (Fig. 28-3). The refeeding drive is related to the attainment to a given energy status rather than to a given duration of fasting or body mass loss (Robin et al., 1998). The metabolic shift to an increased protein breakdown is regulated by an endocrine

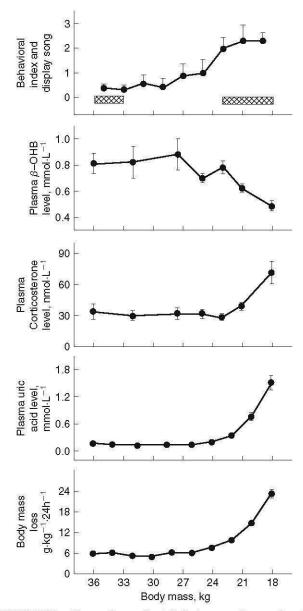


FIGURE 28-3 Changes in specific daily body mass, plasma uric acid, corticosterone, and β -hydroxybutyrate (β -OHB), and behavior versus body mass in spontaneously fasted emperor penguins (X \pm SE; n = 6). Crosshatched bars represent periods of display songs. The behavioral index was calculated as number of days an animal was active during successive periods of fasting corresponding to a 2-kg loss in body mass. Reprinted with permission from Robin $et\ al.\ (1998)$.

shift (elevated corticosterone concentration), after which a further adrenocortical response to an acute stressor is inhibited. The adrenocortical response typical for an emergency situation is only reached when muscle protein is dangerously low (Jenni *et al.*, 2000).

Mortality resulting from hepatic lipidosis has been described in a wide variety of avian species (James *et al.*, 2000; Wadsworth *et al.*, 1984) including, among others, chickens (Butler, 1976), turkeys (Gazdinski *et al.*, 1994),

parrots (Baker, 1980; Murphey, 1992a), raptors (Cooper and Forbes, 1983; Forbes and Cooper, 1993), and bustards (Nichols et al., 1997) and is known as fatty liver syndrome. Although the exact mechanism has not been elucidated, it seems that deficiencies of other nutrients, which are essential in lipid metabolism, like the amino acids methionine and cysteine and the vitamin biotin, may play a crucial role in the pathophysiology of this syndrome (Butler, 1976). Because of the lack of these essential components for lipid metabolism, a buildup of lipids occurs in the liver, which eventually leads to liver failure. The need to conserve body protein during starvation has been stressed in extremely obese persons who were treated by starvation, because slow loss of protein during complete starvation may lead to sudden death because of a cumulative protein loss (Le Maho et al., 1988).

From a physiological point of view, birds thus seem to be well equipped to deal with prolonged periods of starvation through prolonged metabolism of fat as the major energy source, provided they have sufficient fat stores and sufficient essential amino acid and vitamin stores to facilitate lipid catabolism. When clinically monitoring obese birds during a forced starvation period, plasma concentrations of corticosterone, β -hydroxybutyrate and uric acid can be used to pinpoint the critical transition from phase 2 to phase 3 of starvation.

When starving obese birds, which have a history of malnutrition, to force them to change over to a balanced diet, it seems prudent to give a multivitamin injection and small amounts of a mixture of essential amino acids to avoid a deficiency of lipotrophic factors and starvation-related hepatic lipidosis.

C. Biochemistry of Endurance Flight

After a 90- to 160-min flight of 48km, homing pigeons show marked changes in plasma chemistry, which include increased glucagon like immunoreactivity (GLI), increased concentrations of free fatty acids (FFA) and triglyceride (TG), decreased thyroxine (T4), triiodothyronine (T3), and T3/T4 ratio (George et al., 1989). Viswanathan et al. (1987, 1988) observed significant increases in plasma glucose and lactate, FFA, and growth hormone (GH), but not corticosterone after a 80- to 90-min flight of 48 km. In contrast to George et al. (1989), they did not see changes in T4 and T3. George et al. (1992) documented under similar conditions a significant increase of plasma arginine vasotocine (AVT) without change in plasma osmolality. However, in free-flying tippler pigeons trained to fly continuously for up to 5h, Giladi et al. (1997) found three- to eightfold increased plasma AVT (up to 100 pg/ml), increased plasma osmolality and decreased hematocrit values.

Bordel and Haase (1993, 2000) studied the influence of flight duration on blood parameters in homing pigeons that returned after 2 to 22h from release sites 113 to 620km away. Hematocrit values decreased from 54% in controls to 51% in flown birds. Plasma FFA levels increased significantly during flight, and TG concentrations gradually decreased with progressive flight duration. Plasma concentrations of glucose and lactate did not differ between experimental and control birds. Immediately after takeoff and up to ≈ 5 h of flight, plasma uric acid (UA) increased in a linear manner and reached values of $1500 \,\mu$ mol/after flight duration >5h to 22h (two to fourfold increase of control values), whereas urea (UR) levels gradually rose with flight duration to 400% of control values. Plasma protein decreased in flown pigeons. The excretion of UR, uric acid and N^{τ} -methylhistidine was significantly higher in flown birds compared to controls during 1 to 3 days immediately following return, but immediately after flight N^{τ} methylhistidine did not elevate.

These findings support the view that lipids are the main energy source during flight. The increase in lactate during short flights is compatible with the idea that carbohydrates are utilized as fuel mainly in the initial phase of flight and are used for the activity of the white glycolytic fibers in the flight muscles. Furthermore, protein catabolism increases during endurance flights. Because UR formation in pigeons occurs mainly through arginolysis (Bordel and Haase, 1998) and increased protein breakdown raises the availability of arginine (Robin et al., 1987), the elevated plasma concentrations of UR and UA can be attributed to an accelerated protein breakdown during flight. The increased availability of free amino acids and their conversion into metabolites of the citric acid cycle could enhance the capacity of the tricarboxylic acid cycle and thereupon the oxidation of acetyl-CoA derived from lipolysis (Dohm et al., 1985). In addition, protein degradation contributes to the prevention of dehydration during flight because the catabolism of a mixture of 70% lipids and 30% protein yields \approx 20% more water than the catabolism of pure fat (Klaasen, 1996). Because the methylated amino acid N^{τ} -methylhistidine occurs almost exclusive in actin and myosin filaments and is excreted after myofilament breakdown, the findings suggest an increased breakdown of myofibrillar proteins in the immediate period after the flight, probably as a result of repair processes of contractile elements in the muscles as a reaction to protein breakdown during flight (Bordel and Haase, 2000).

The AVT increase can be regarded as an overall homeostatic mechanism during homing flights, whereby (1) lipid is mobilized, (2) water is conserved, and (3) temperature is regulated. There is a significant correlation between postflight AVT and body mass loss (which in flying birds represents mainly water loss). Water loss is related to the duration of flight and the environmental temperature.

Despite substantial water loss, the hematocrit of flying pigeons significantly decreases. This probably results from expansion of plasma volume through a shift of water from the interstitial fluid. The expanded plasma volume

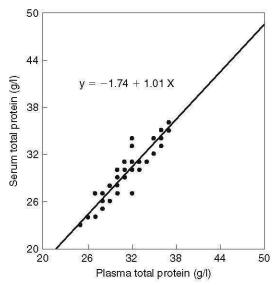


FIGURE 28-4 Relation between plasma and serum total protein by biuret method in racing pigeons (n = 50; g/l). From Lumeij and Maclean (1996).

and reduced hematocrit may contribute to the maintenance of blood pressure, whereas the decreased hematocrit may enhance blood flow to metabolically active tissues.

The absence of changes in plasma corticosterone concentrations during the shorter flights can be considered as an absence of stress under the circumstances studied.

IV. PLASMA PROTEINS

A. Introduction

Plasma proteins are important complementary constituents in the diagnosis of gastrointestinal, hepatic, renal, or infectious diseases. Determination of plasma proteins seldom leads to a specific diagnosis (e.g., monoclonal gammopathies) but will help the clinician to evaluate the nature, severity, and progress of a disease.

B. Plasma versus Serum

In pigeons, the concentration of total protein (TP) in plasma is about 1.5 g/L higher than in serum, because the former also contains fibrinogen: TP_{serum} = -1.7 + 1.01 TP_{plasma} (Fig. 28-4). According to Lumeij and McLean (1996), the correlation is highly significant (p < 0.000001; R = 0.99; n = 50). Differences between plasma and serum were discussed in Section II.B. When plasma rather than serum is used, recognition of elevated fibrinogen concentration can be seen in the protein electrophoresis and is reflected by elevation of the acute phase (α or β) proteins (Roman *et al.*, 2005). See also Section XII.C.6.

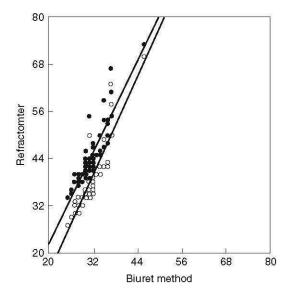


FIGURE 28-5 Relation between plasma total protein concentration by biuret method and two types of refractometers in pigeons (g/L; n = 58). Symbols: •, temperature compensated refractometer (y = -16.38 + 1.93 X; r = 0.89); •, nontemperature compensated refractometer (y = -25.88 + 2.06 X; r = 0.89). From Lumeij and McLean (1996).

C. Physiological Variation in Female Birds

In female birds, a considerable increase in plasma total protein concentration occurs just before egg laying because of an estrogen-induced increase in the globulin fractions (Griminger, 1976). The proteins are the yolk precursors (e.g., vitellogenin and lipoproteins), which are synthesized in the liver and transported to the ovary, where they are incorporated in the oocyte (Griffin *et al.*, 1984).

D. Refractometry versus the Biuret Method

Lumeij and De Bruijne (1985b) demonstrated that the refractometric method is unreliable for use in avian blood and therefore this method should not be used in avian practice. The refractometric method consistently yields higher values when compared to total protein concentrations determined with the biuret method, and the correlation coefficient between these two methods is low. One study suggested that only temperature compensated refractometers are reliable (Andreasen et al., 1989). In another study in our laboratory (Lumeij and McLean, 1996), using plasma and serum of 58 pigeons, two types of refractometers were compared with the biuret method. Neither instrument proved to give an accurate measurement of plasma total protein. Both refractometers gave considerably higher values than the biuret method, with the temperature compensated instrument being consistently higher in readings than the nontemperature compensated one (Fig. 28-5). It was concluded that a species- and refractometer-specific conversion factor must be applied before refractometric

IV. Plasma Proteins 845

values can be used, and then only as a rough estimate of the TP. Another important consideration is that TP determinations (refractometric or by biuret method) without information on plasma protein electrophoresis have limited value (see later discussion). For avian clinical practice, it is advised that the clinician establish TP values using the biuret method.

E. Effect of Protein Standards

Most commercial laboratories use a human standard for TP and albumin (Alb) determinations, without validating the method for the species of which the blood sample is submitted. There are significant differences between TP concentrations when different standards are used (e.g., human, bovine, pigeon, and chicken standards), although there is a high correlation between the results obtained with the various standards (Lumeij et al., 1990; Spano et al., 1987,1988). When a pigeon standard was used to determine serum TP concentration (TP $_p$) with the biuret method, values found were significantly higher compared to values found determined with the biuret method using the human standard (TP $_h$), but there was a high correlation (Lumeij et al., 1990):

$$TP_h = 2.94 + 0.83 TP_p (P < 0.0001; r = 0.93)$$

Spano *et al.* (1987, 1988) found consistently lower TP values in chicken serum using a chicken standard compared with a bovine standard. Because the use of a species-specific standard for all species presented to the avian practitioner is unrealistic and because a high correlation exists between the results obtained with the various standards, it is recommended that clinicians establish reference values for the various species using the standard that is most commonly used in commercial laboratories (i.e., the human standard).

F. Plasma Protein Electrophoresis: Albumin/Globulin Ratio

Plasma protein electrophoresis (PPE) on cellulose acetate membranes has been widely used in avian patients (Lumeij, 1987e; Lumeij and De Bruijne, 1985a). In many laboratories, agarose gel films are replacing cellulose acetate membranes. A good correlation (r = 0.998) exists between these methods in human serum (Archer and Battison, 1997). Protein fractions that can be observed include Alb, α , β , and γ globulin. The α and β globulins (including fibrinogen) are considered acute phase proteins, whereas the γ fraction is elevated in chronic conditions and includes the immunoglobulins. Often a prealbumin fraction can also be observed (Fig. 28-6). In healthy birds, the Alb fraction is the largest protein fraction. In acute or chronic inflammatory conditions, a rise in total protein caused by elevated globulin fractions may occur. Often Alb concentrations are

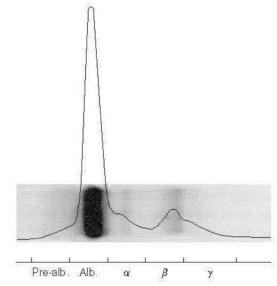


FIGURE 28-6 Densitometer scan and electrophoretic pattern on a cellulose acetate membrane from a representative pigeon serum. Prealbumin, albumin, α , β , and γ globulin. Reprinted with permission from Lumeij and De Bruiine (1985a).

decreased in these situations. The combined effect of these changes is a decrease in the Alb/Globulin (A/G) ratio. Often the total protein concentration is within the reference range, whereas the A/G ratio is decreased, therefore the A/G ratio is of greater clinical significance than the total protein concentration. Examples of diseases with a decrease in the A/G ratio are egg related peritonitis and chronic infectious diseases such as aspergillosis, psittacosis, and tuberculosis. In ducks, Liu et al. (1984) found that serum globulin increased with the severity of pathological changes of amyloidosis and that the globulin was also found in the amyloidotic tissue. Protein electrophoresis can also be used to monitor response to treatment. In liver failure, extremely low plasma protein concentrations can occur in combination with a decreased A/G ratio. Gastrointestinal and renal diseases can also lead to severe hypoproteinemia. In birds, protein malnutrition may lead to hypoproteinemia (Leveille and Sauberlich, 1961). Increased TP concentrations with a normal A/G ratio can be expected in dehydrated birds if the primary disease did not cause hypoproteinemia. To calculate the A/G ratio, prealbumin and Alb as determined by plasma protein electrophoresis are combined as "A" and all globulin fractions as "G" (Figs 28-8 through 28-11; Lumeij, 1987e).

In plasma of some species, the mobility of Alb in cellulose acetate and agarose gels is less compared to the usual patterns, as seen, for example, in chickens and pigeons. In the cockatiel, for example, prealbumin migrates to a position equivalent to chicken albumin, and albumin to a position equivalent to chicken α globulins (Fig. 28-7). Tatum *et al.* (2000) has confirmed the diagnostic value of PPE for a wide variety of raptor species.

Rosenthal et al. (2005a) questioned the reliability of PPE in birds. However, they limited their study to healthy

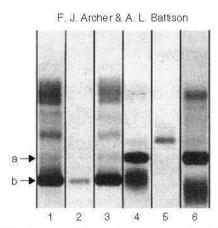


FIGURE 28-7 Agarose gel electrophoresis. From left to right, chicken (Gallus gallus domesticus) serum (lane 1), purified chicken albumin (lane 2), chicken plasma (lane 3), cockatiel (Nymphicus hollandicus) plasma (lane 4), purified cockatiel albumin (lane 5), and cockatiel serum (lane 6). Chicken albumin (lanes 1, 2, and 3, band b) migrated further than cockatiel albumin (lanes 4 and 6, band a). Cockatiel albumin migration was similar to that of chicken α globulins (lanes 1 and 3, band a), whereas cockatiel prealbumin migration (lanes 4 and 6, broad bands b) was similar to that of chicken albumin. Purification of cockatiel albumin (lane 5, single band) altered its migration pattern, whereas purification of chicken albumin (lane 2, single band b) did not have this effect. Reprinted with permission from Archer and Battison (1997).

birds, and their data did not span the full range of clinical values. Because values of individual globulin fractions in healthy birds are low and boundaries between the various globulin fractions are not clear, it is to be expected that large bias is introduced when an arbitrary (manual) distinction between the various globulin fractions has to be made (Cray, 2005; Rosenthal *et al.*, 2005b). Their study did, however, confirm the high reliability of determinations of TP and Alb, whereas measurement of γ -globulins had fair to good agreement. Determination of the A/G ratio as described earlier and visual inspection of the globulin fractions in case of abnormal values will leave no doubt on the fractions responsible for the abnormalities, as, for example, in the hyperglobuline mia reported by De Wit *et al.* (2003).

G. Albumin Methodology

The labor-intensive PPE is not available in every laboratory, and Alb is commonly determined chemically by the bromcresol green (BCG) dye-binding method. The BCG method is unreliable in avian blood. Discrepancies between values obtained by dye-binding techniques and those obtained by electrophoresis have been demonstrated for chicken, duck, turkey, and pigeon (Lumeij *et al.*, 1990; Spano *et al.*, 1987, 1988). In general, Alb determinations performed with dry methods have not been validated for use in birds.

Furthermore, various Alb standards can be used in different laboratories, although most commercial laboratories will use a human standard for TP and Alb determinations. The method used should have been validated for the species

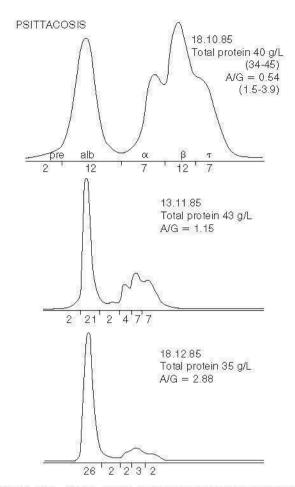


FIGURE 28-8 Plasma protein electrophoresis and the albumin:globulin ratio (A/G) in an African grey parrot (*Psittacus erithacus erithacus*) with psittacosis, before, during, and after treatment with doxycycline. Symbols: Pre, prealbumin; alb, albumin; α , β , γ , globulin fractions (reference values in parentheses). Reprinted with permission from Lumeij (1987e).

in question and compared with reference values established in the same laboratory.

H. Prealbumin

Prealbumin is the most rapidly migrating fraction in avian plasma and has been associated with binding thyroxine and retinol (thyroxine binding prealbumin-TBPA; transthyretin). Although the protein travels anodal to albumin in birds, primates, and the horse, it is usually not visualized in the last. In other species transthyretin travels cathodal to albumin or has the same motility to albumin, which explains the absence of a "prealbumin" fraction in these species using routine electrophoresis techniques (Chang et al., 1999; Larsson et al., 1985). Seasonally high concentrations of plasma transthyretin concentrations (150 to 200 mg/L in May through July versus 80 to 100 mg/L in September through January) have been associated with molting in storks (Cookson et al., 1988).

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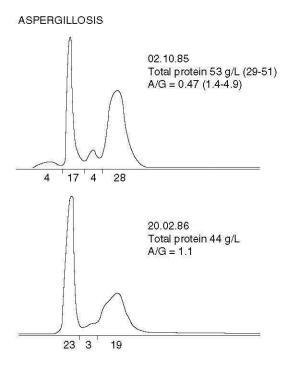


FIGURE 28-9 Plasma protein electrophoresis in an Amazon parrot (Amazona sp.) with aspergillosis. At 4½ months after a diagnosis was made and despite treatment with ketoconazole and 5-fluorocytosine, the globulin fraction was still elevated, causing the A/G ratio to decrease (reference values in parentheses). Reprinted with permission from Lumeij (1987e).

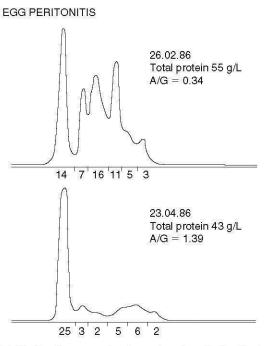


FIGURE 28-10 Serum protein electrophoresis and albumin:globulin (A/G) ratio in an Emu (*Dromiceius novaehollandiae*) with an egg-related peritonitis. Two months after surgical treatment and remission of clinical signs, a marked increase in the albumin fraction and decrease of the globulin fraction was observed. Reprinted with permission from Lumeij (1987e).

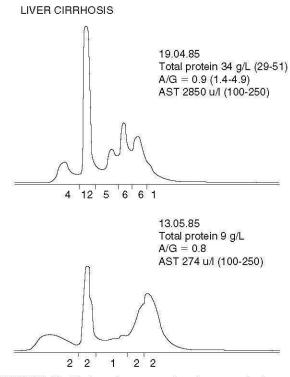


FIGURE 28-11 Total protein concentration, plasma protein electrophoresis, albumin:globulin ratio (A/G), and plasma aspartate aminotransferase (AST) activity in an Amazon parrot (Amazona sp.). At the first examination there was a marked elevation of AST and decreased A/G. Liver cirrhosis was diagnosed by means of histological examination of a liver biopsy. The second protein electrophoresis was made from a plasma sample collected just before the bird was euthanized 1 month later. Reference values in parentheses. Reprinted with permission from Lumeij (1987e).

V. RENAL FUNCTION

A. End Products of Protein Metabolism: Hyperuricemia and Gout

Uric acid (UA) is the major end product of nitrogen (N) metabolism in birds. It constitutes approximately 60% to 80% of the total excreted N in avian urine (Skadhauge, 1981). The formation of urea (UR) in pigeons occurs mainly through arginolysis (Bordel and Haase, 1998). Uricotelism permits excretion or storage of N waste in a small volume of water. UA is relatively nontoxic when compared to UR or ammonia (NH₃), which is essential for the development of the embryo in the egg of reptiles and birds. UA is synthesized in the liver, and 90% is excreted via tubular secretion, largely independent of urine flow rate (Skadhauge, 1981). The clearance of UA exceeds the glomerular filtration rate by a factor 8 to 16. The rate of secretion is largely independent of the state of hydration. Very high concentrations of UA can be found in ureteral urine in dehydrated birds. Renal function disorders can eventually lead to elevated plasma UA concentrations. Nonprotein nitrogen (NPN) substances in plasma such as UA, creatinine (Cr), and UR will only

be elevated when renal function is below 30% of its original capacity. For elevated UA and UR during starvation and endurance flight see Sections III.B and III.C.

B. Articular and Visceral Gout

Hyperuricemia can result in precipitation of monosodium urate monohydrate (MSUM) crystals in joints (articular gout) and on visceral surfaces (visceral gout). The exact mechanism of deposition or the predilection for certain sites is unknown, although lower temperatures at predilection sites have been suggested. Gout should not be regarded as a disease but as a clinical sign of any severe renal function disorder.

When birds are provided with dietary protein in excess of their requirements, the surplus protein is catabolized and the N released converted to UA. The total amount of UA formed may surpass the clearing capacity of this substance from the body and hyperuricemia, and articular gout may result. The use of high-protein poultry pellets as the bulk food in psittacines may result in an increased incidence of gout.

There is no consensus on the different etiologies of articular and visceral gout in birds. The following hypothesis, however, seems to explain all known facts about avian gout. A plasma UA concentration that is slightly above the solubility of MSUM will lead to UA precipitates in the body. Predilection sites are those areas where the solubility of MSUM is lower than in other areas. The joints and synovial sheaths may be predilection sites because of a comparatively low temperature. Articular gout is a sign of chronic moderate hyperuricemia. MSUM deposits grow with time with chronic hyperuricemia and form the typical tophi of articular gout.

If urates precipitate in the tubules or collecting ducts of the kidney or the ureters (e.g., severe dehydration of long duration, vitamin A deficiency), this will lead to an acute obstructive uropathy (postrenal obstruction). Anuria or gross oliguria and tubular secretion of UA are severely compromised. This leads to a rapid and severe increase in plasma UA with precipitation of urate crystals on many visceral surfaces and those predilection sites for articular gout. This condition of visceral gout will rapidly lead to death of the affected animal. This hypothesis is based on the fact that no inflammation or tophi are seen in typical predilection sites for articular gout, because the condition has a rapidly fatal course. There is no time for an inflammatory reaction or tophi to develop. In this situation, the kidney tubules, collecting ducts, and ureters may contain UA deposits. An alternative situation could occur in acute tubular failure. In this condition, visceral gout could develop without UA deposits in the tubules, collecting ducts, and ureters.

C. Acute versus Chronic Renal Failure

Renal function disorders may result from any progressive destructive condition affecting both kidneys (chronic renal failure), or from conditions wherein the function of the kidneys is rapidly and severely, but often reversibly, compromised (acute renal failure). In the latter condition, oliguria is usual, whereas in the former situation, polyuria is normally observed. It is important to differentiate between reversible conditions (e.g., prerenal renal failure caused by dehydration or shock of any cause, urolithiasis [postrenal renal failure] and acute nephritis) and chronic irreversible renal failure. Appropriate and timely treatment of acute renal failure can often prevent further damage and in some cases result in improved function. Extrarenal factors such as infection, gastrointestinal hemorrhage, and hypovolemia can disturb an otherwise stable, well-compensated asymptomatic chronic renal patient and precipitate a desperately dangerous condition.

D. Prerenal Azotemia

Prerenal azotemia can be defined as the clinical condition associated with reduced renal arterial tension leading to oliguria and retention of nitrogenous waste products in the blood. It is often seen during shock or severe dehydration. No increased plasma UA concentrations were observed in 4-day dehydrated racing pigeons, whereas plasma UR concentration had a significant 6.5- to 15.3-fold increase. Plasma UR appeared to be the single most useful variable for early detection of prerenal causes of renal failure (Lumeij, 1987c). This is because UR is excreted by glomerular filtration, whereas tubular reabsorption is dependent on urine flow, which in turn depends on the state of hydration. During hydration, almost all of the filtered UR is excreted and during dehydration nearly all of the filtered UR is reabsorbed. The active tubular secretion of UA, on the other hand, is not dependent on arterial pressure, because the tubules of the reptilian type nephrons are supplied by venous blood through the renal portal system. The tubular reabsorption of UR in conditions of renal failure accompanied by a low urine flow (e.g., dehydration) in combination with a nearly unchanged tubular secretion of UA causes a disproportionate increase in plasma UR concentration, resulting in an elevated UR/uric acid ratio. Although potentially useful for judging the hydration status of a bird, UR is normally present in low concentration in avian plasma and has traditionally been considered an inappropriate variable to evaluate renal function in birds.

When the dehydration becomes more severe, this may eventually lead to hyperuricemia. This might be caused by reduced tubular blood supply which leads to reduced uric acid secretion. Urates may also precipitate in the tubules when there is active tubular secretion of UA in the absence of urine flow. The latter condition looks much like acute uric acid nephropathy in humans (Watts, 1978).

E. Urea versus BUN

There is a great deal of confusion with respect to the conversion of urea (CH_4N_2O) to blood UR nitrogen or "BUN." Apart

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from the fact that BUN only refers to the nitrogen part of the UR molecule, in the United States it is often expressed in mg/dl, whereas in Europe UR is usually expressed in SI units (mmol/L). It is sad to see how reference values that were presented in the previous edition of this book were erroneously converted to American units in the *Journal of Veterinary Clinical Pathology* (Harr, 2002).

To convert BUN in mg/dl to urea in mmol/L, the following steps must be performed:

- 1. Convert BUN (mg/dl) to urea (mg/dl) by multiplying BUN with the quotient of the molecular mass of urea and the molecular mass of nitrogen in the urea molecule $(12 + 4 \times 1 + 2 \times 14 + 16)/(2 \times 14)$.
- 2. Convert urea (mg/dl) to urea (mmol/L) by dividing urea (mg/dl) by the molecular mass of urea (60).
- 3. Convert mg/dl to mg/L by multiplying the result by 10.

Or more simply: to convert BUN (mg/dl) to urea (mmol/L), divide BUN with total mass of nitrogen in urea molecule and multiply by 10 (10/28) = 0.357). The conversion from UR (mmol/L) to BUN (mg/dl) is 1/0.357 = 2.8.

F. Postprandial Effects

In raptors, a significant postprandial increase in plasma UA and UR concentrations occurs (Lumeij and Remple, 1991, 1992). Postprandial UA was similar to that in birds with hyperuricemia and gout and was well above the theoretical limit of solubility of urate in plasma. It is not clear why under physiological conditions, no urate deposits occur in raptors, which have hyperuricemia for at least 12h after ingesting a natural meal (Fig. 28-12). A similar

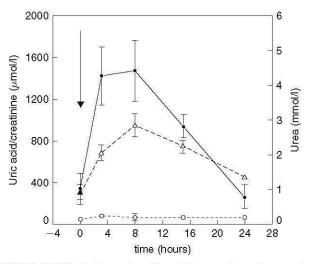


FIGURE 28-12 Fasting and postprandial nonprotein nitrogen substances in plasma of peregrine falcons, *Falco peregrinus* (mean \pm SD). Symbols: \bullet , [uric acid] (μ mol/L); Δ , [urea] (mmol/L); O, [creatinine] (μ mol/L); \downarrow , feeding quail. Reprinted with permission from Lumeij and Remple (1991).

effect was noted in the piscivorous blackfooted penguin (*Spenicus demersus*) (Kolmstetter and Ramsay, 2000). To avoid physiological food-induced elevations in UA and UR that can complicate interpretation of plasma chemistry results in raptorial or piscivorous birds, blood samples should ideally be collected after a 24-h fasting period. In small passerines, this is obviously not an option!

G. Other Changes Associated with Renal Failure

Hyperkalemia is a particular problem in acute renal failure that may lead to severe electrocardiographic changes and eventually to cardiac arrest. Hypocalcemia and hyperphosphatemia are usual in humans with renal failure. The former may lead to hypocalcemic tetany, especially with rapid correction of acidosis. In birds, special attention should be paid to these variables for further documentation of changes in renal disease because these changes may have therapeutic implications. Anemia has been documented in birds with chronic renal failure.

H. Murexide Test

Macroscopically, the aspirated urates from articular gout look like toothpaste. The presence of urate can be confirmed by performing the murexide test or by microscopic examination of aspirates of tophi or joint accumulations. The murexide test is performed by mixing a drop of nitric acid with a small amount of the suspected material on a slide. The material is evaporated to dryness in a Bunsen flame and allowed to cool. Then one drop of concentrated ammonia is added. If urates are present, a mauve color will develop.

I. Birefringent Crystals

Microscopically sharp needle-shaped crystals about the size of a leukocyte can be seen in smears of joint fluid from patients with articular gout. A polarizing microscope is helpful in identifying the typical birefringent crystals. Birefringent literally means splitting a ray of light in two. Crystals bend light and become visible in joint fluid when viewed through a microscope with crossed polarizing filters. When a compensator plate is used on the microscope, monosodium urate crystals parallel to the axis of the compensator appear yellow (negatively birefringent). In humans, pseudo-gout is diagnosed by finding positively birefringent calcium pyrophosphate dihydrate crystals that appear blue when parallel to the axis of the compensator. Calcium pyrophosphate dihydrate crystals are smaller and are rhomboid shaped.

VI. HEPATOBILIARY DISEASE

A. Clinical Enzymology

Clinical enzymology is described more fully in a separate chapter in this book, so only a brief synopsis is given here. Enzymes occur normally in the cytoplasm (e.g., aspartate aminotransferase [AST], alanine aminotransferase [ALT], lactic dehydrogenase [LDH]), mitochondria (glutamate dehydrogenase [GLDH] and AST), nucleus, or membranes (alkaline phosphatase [AP], gamma glutamyl transferase [GGT, γ GT]) of body cells, where they catalyze specific reactions. The distribution of various enzymes is markedly different among organs and animal species, which explains the variation in organ and tissue specificities among animal species. Generally, increased plasma enzyme concentrations indicate recent organ damage rather then decreased organ function. Increased enzyme production has been reported in cholestatic liver disease in mammals (AP and GGT). Sometimes a decreased activity is of diagnostic value (e.g., decreased cholinesterase activity in organophosphate toxicity). Baseline activity of an enzyme in plasma is generally a reflection of the amount and turnover of the tissue that contains this enzyme. For example, the creatine kinase (CK) activity in plasma increases in direct proportion to the increase of skeletal muscle mass as a result of training. Increases in CK may also be observed simply as a result of the muscle cellular damage associated with capture and restraint. Conversely, in chronic liver diseases with severe fibrosis and a reduction in the number of functional hepatocytes, plasma activities of liver enzymes may be within normal limits. The increase of a particular enzyme also depends on factors such as its rate of release, rate of production, and rate of clearance from plasma. Cytoplasmatic enzymes will be released early in cell degeneration, whereas mitochondrial enzymes will be released after advanced cell damage (necrosis). Enzymes with high tissue concentrations but with short elimination half-lives are of limited value in clinical enzymology because of their rapid disappearance from plasma.

Generally, EDTA samples are not appropriate for enzyme assays, because this anticoagulant may chelate metal ions, which are required for maximal enzyme activity. Plasma and cells should be separated immediately after sampling to prevent leakage of intracellular enzymes into the plasma. Even if the cellular elements are separated from the plasma, freezing/thawing and refrigeration of plasma samples for several days may severely decrease enzyme activity and therefore should be avoided unless the effects of the storage procedure used is known.

B. Enzyme Activities in Avian Tissues

Enzyme profiles of the various organs have been studied in chickens, mallards, turkeys, racing pigeons, budgerigars and African grey parrots (Lumeij 1994d; Figs. 28-13 through 28-15).

C. Clearance of Enzymes from Plasma

The half-life of an enzyme is defined as the time required for its concentration to be reduced by half. When an enzyme is injected into plasma, its clearance from the plasma generally follows a biphasic exponential decline. Initially, there is a rapid decline, which is the primary mixing or distribution phase, followed by a slower secondary decline phase, which is the actual clearance of the enzyme from the plasma. During this secondary phase, a constant fraction of enzyme present is cleared per unit of time; hence, the decline is linear on a semilogarithmic scale. The $(t\frac{1}{2}\beta)$ half-life of the enzyme can be calculated from the regression function of the secondary linear phase of the semilogarithmic concentration-time curve and is independent of plasma enzyme activity.

Clearance half-lives of various enzymes considered to be of use for the differential diagnosis of liver and muscle disease in pigeons have been established by studying the disappearance rates of enzymes from plasma after IV injections of supernatants of homologous liver and muscle homogenates (Lumeij *et al.*, 1988a). For AST, ALT, and LD, the half-lives of the respective enzymes from liver and muscle were compared, whereas for GLDH and CK, only liver and muscle were used, respectively (Table 28-1).

D. Experimentally Induced Liver and Muscle Disease

Plasma enzyme profiles after experimentally or spontaneously occurring liver disease have been studied in a number of avian species. The results of studies in racing pigeons (Lumeij et al., 1988a, 1988b) with two different types of liver disease were compared to plasma chemistry changes after muscle injury. Liver disease was induced by ethylene glycol or D-galactosamine, and muscle injury was induced by an intramuscular injection of doxycycline in three groups of six pigeons each. Plasma chemical changes were correlated with histological findings from organ samples taken just after the last blood collection (Fig. 28-16, Table 28-2).

Plasma AST activity and bile acid (BA) concentration were the most sensitive indicators of liver disease in the racing pigeon, followed by ALT, GGT, and LD. Although all pigeons with histological proven ethylene glycol- or galactosamine-induced liver damage had increased AST activity and BA concentrations in their plasmas, these constituents were not raised at every sampling time. Increased plasma GLDH activities were associated with large necrotic areas in the liver. Moderate necrosis of liver cells resulted in slightly elevated GLDH activities. Degeneration

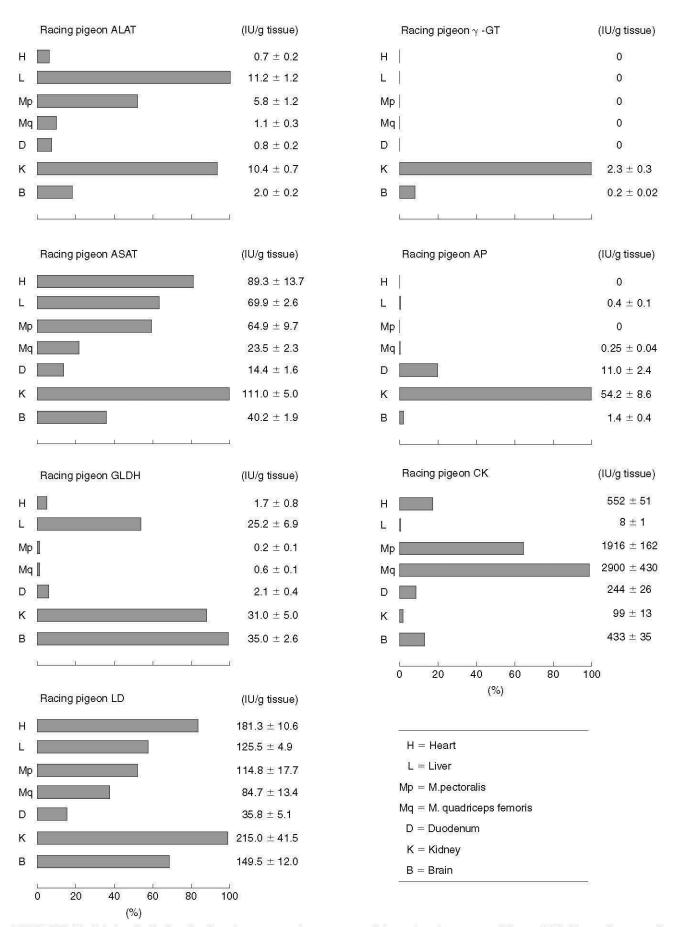


FIGURE 28-13 Relative distribution of various tissue enzymes in supernatants of pigeon tissue homogenates. Mean \pm SEM, U/g wet tissue, n = 6. Reprinted with permission from Lumeij et al. (1988a).

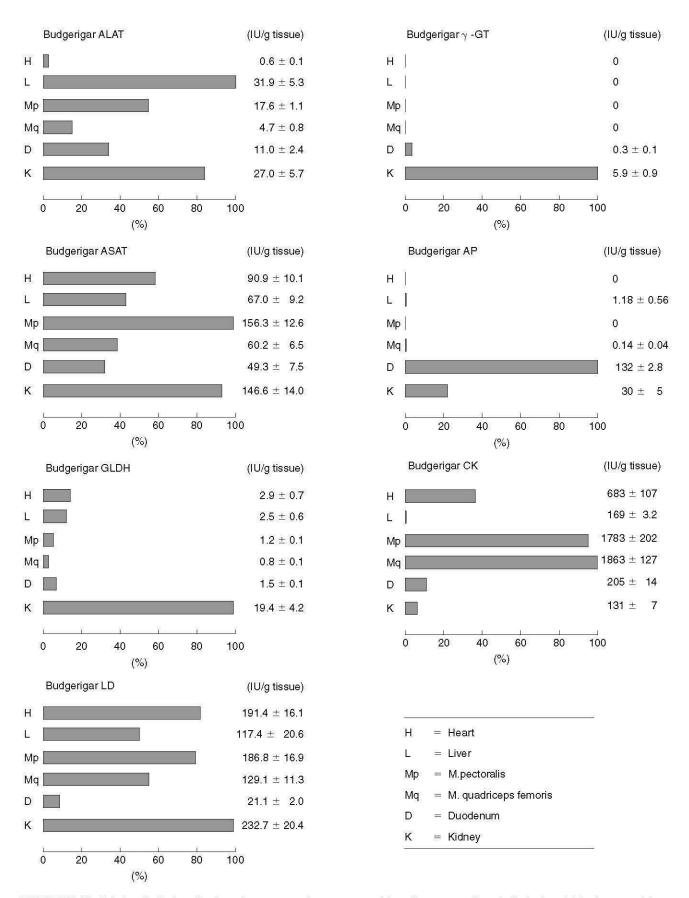


FIGURE 28-14 Relative distribution of various tissue enzymes in supernatants of tissue homogenates from the budgerigar, *Melopsittacus undulatus*. Mean \pm SEM, n = 7, U/g wet tissue. Reprinted with permission from Lumeij and Wolfswinkel (1987).

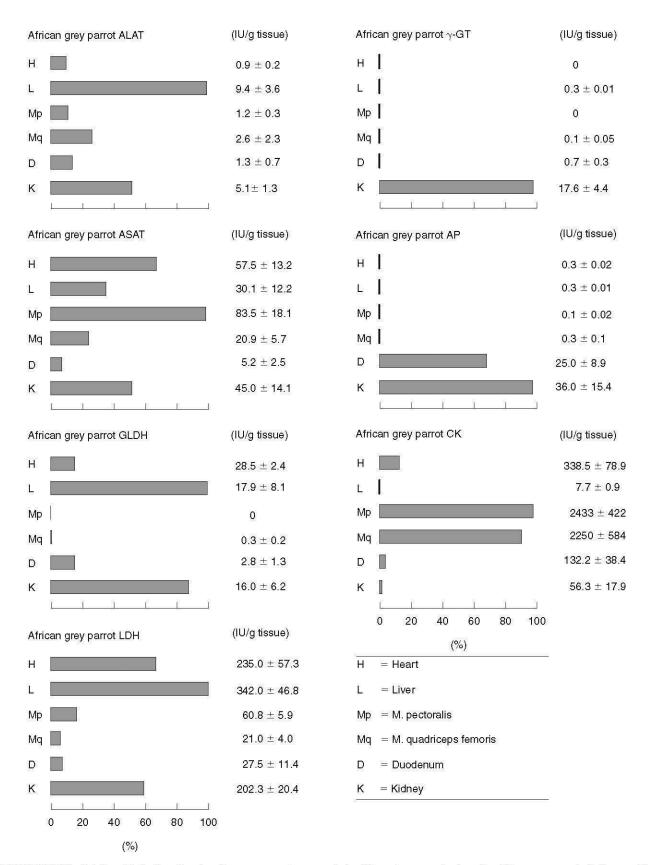


FIGURE 28-15 Relative distribution of various tissue enzymes in supernatants of tissue homogenates from the African grey parrot, *Psittacus erithacus*. Mean ± SEM, U/g wet tissue. Reprinted with permission from Lumeij (1994d).

Source	Enzyme	$t1/2\beta$ (hours)	Regression Function	SD of Slope	r
Liver	AST	7.66 ± 1.55	$\log y = 2.6 - 0.04 x$	0.008	0.9711
	ALT	15.69 ± 1.70	$\log y = 2.1 - 0.02 x$	0.002	0.9455
	LD	0.71 ± 0.10	$\log y = 3.5 - 0.44 x$	0.065	0.9901
	GLDH	0.68 ± 0.17	$\log y = 2.3 - 0.46 x$	0.150	0.9964
Muscle	AST	6.51 ± 0.83	$\log y = 2.7 - 0.05 x$	0.007	0.9652
	ALT	11.99 ± 1.32	$\log y = 2.7 - 0.03 x$	0.003	0.9712
	LD	0.48 ± 0.07	$\log y = 4.0 - 0.60 x$	0.119	0.9882
	CK	3.07 ± 0.59	$\log y = 3.7 - 0.10 x$	0.019	0.9652

Reprinted with permission from Lumeij et al. (1988a).

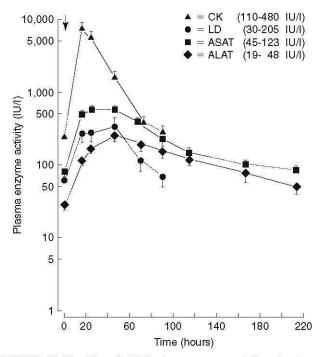


FIGURE 28-16 Mean (±SEM) plasma enzyme activities after intramuscular injection of 75 mg/kg doxycycline (Vibramycin^R-Pfizer, New York) in six racing pigeons. The parts of the curves that are above the reference range are indicated with continuous lines. Variables that did not show elevations above the reference range during the entire experiment (GLDH, AP, and bile acids) are not indicated. On histological examination at t = 215h, degeneration and necrosis of muscle cells was observed. Reprinted with permission from Lumeij et al. (1988b).

of liver cells and hepatitis with single-cell necrosis did not give rise to elevated plasma GLDH activities. Plasma CK activity was never increased because of liver damage, whereas GLDH, GGT, and BA were never elevated during muscle damage. Thus, these four constituents are useful for differentiating between liver and muscle disease. GLDH is the most liver-specific enzyme in the racing pigeon. Because GLDH is localized within the mitochondria of the liver cells, increased plasma GLDH activities, however, are only observed after liver cell necrosis.

The enzymes that are the most specific indicators of muscle and liver cell damage (CK and GLDH, respectively)

have shorter half-lives than AST and ALT, which are not specific indicators of damage to either organ. Thus, after muscle or liver cell damage, AST and ALT activities in plasma can be increased, even though CK or GLDH activities have returned to baseline values. The fact that LDH has a shorter half-life than CK makes this enzyme valuable for differentiating between muscle and liver disease in the pigeon. When plasma LDH activity is increased in the absence of elevated CK activity, muscle damage is unlikely. Enzyme profiles can only serve as rough guides to interpretation of elevated plasma enzyme activity and are not characteristic for a particular organ. The most important reason is that the enzyme profile alters after enzymes have entered the circulation resulting from different removal rates for the various components.

Based on the tissue enzyme studies, it seemed that LD was the most sensitive indicator of liver cell damage, whereas ALT, because of its low activity in liver, would be of limited value. It also seemed that LDH would be a more sensitive indicator of muscle cell damage than ALT. Experimentally induced liver and muscle damage, however, demonstrated that ALT activity in plasma is increased sooner than LDH activity. The relative increase of ALT was also larger than that of LDH, except in severe liver cell damage accompanied by large areas of necrosis. Plasma ALT activities were increased for a longer period after organ damage compared to LDH. These findings can be explained by differences between their clearance halflives. The clearance half-life of LDH is 15 to 30 times less than that of ALT.

Campbell (1986) reported increased AST and ALT activities in 75% of pigeons with aflatoxin B1-induced liver damage and increased LDH activities in 33%. In addition, AP and GGT were not sensitive indicators of liver disease in pigeons, cockatiels, red-tailed hawks, and great horned owls. With the exception of GGT, these findings were confirmed by Lumeij et al. (1988a, 1988b). The discrepancy might reflect the difference in the hepatotoxic agents used. In the study of Lumeij et al. (1988a, 1988b), GGT activities were increased in the majority of pigeons with experimentally induced liver disease, though

Variable	Liver disease		Muscle disease	
	Specificity	Sensitivity	Specificity	Sensitivity
Bile acids	4.4.4	4++	2 3	8
GGT	+++	n) i	825)	82-53
AST		ttt	£ 1	fatido.
ALT		2 1 -1	<u> </u>	111
AP			t 1	23
CK		<u> </u>	+++	1-1-1-
LD		*	(1 - 1)	Ŧ
GLDH	+++	$(+)^a$	<u> </u>	Ş

TABLE 28-2 Summary of Specificity and Sensitivity of Plasma Chemical Variables in Liver and Muscle Disease Based on Experimental Studies in Pigeons by Lumeij *et al.* (1988a, 1988b)

no GGT activity could be detected in supernatants of liver tissue homogenates. This might be explained by synthesis of GGT during (cholestatic) liver diseases, as has been reported in mammalian species (Kaplan and Righetti, 1969; Kryszewski *et al.*, 1973). Phalen *et al.* (1997) found that 5/5 Amazon parrots with bile duct carcinoma showed increased GGT activities and suggested that GGT may prove a valuable tool for early detection and staging of bile duct carcinomas.

In birds, increased AP activities are predominantly associated with increased osteoblastic activity, such as skeletal growth, nutritional secondary hyperparathyroidism, rickets, fracture repair osteomyelitis, as well as impending ovulation (Lumeij and Westerhof, 1987) (see Section VIII.E). Increased plasma AP associated with liver disease in birds is rare (Ahmed *et al.*, 1974). In the experimental studies from Lumeij *et al.* (1988a, 1988b), AP and CK were never elevated after liver cell damage, whereas activities of these enzymes in liver tissue were negligible. Increased activities of liver enzymes in plasma may indicate recent damage to liver cells but do not give information on liver function. In end-stage liver disease (cirrhosis), it is possible to have normal activities of liver enzymes in the plasma, because active damage to liver cells has ceased (e.g., Fig. 28-11).

In psittacine birds, the use of a good anamnesis and plasma AST is sufficient to make a tentative diagnosis of liver disease. When birds are known to have been recently injected intramuscularly, elevated AST (and ALT) activity should be interpreted with caution. Including a plasma constituent that specifically gives information on liver function, for example, total bile acids, has proven to be of great value. When liver disease is suspected, a biopsy of this organ is essential to establish a definite diagnosis.

E. Bile Pigments

The excretion of green urates suggests liver disease in birds (Galvin, 1980; Lothrop et al., 1986; Steiner and Davis, 1981). This discoloration is caused by biliverdin, which is the most important bile pigment in birds (biliverdinuria). Icterus or jaundice, which is caused by a hyperbilirubinemia, is seen infrequently in birds. When in chickens both bile ducts are ligated the concentration of plasma bile pigments rises immediately but stabilizes after 2 weeks at about 85 \(\mu\text{mol/L}\), which is a much lower concentration than in mammals with total biliary obstruction. In sera of healthy ducks, low levels of bilirubin may be detected and significantly elevated levels have been reported after experimental duck virus hepatitis infection. However, the observed levels of about 17μ mol/L were well below the serum concentration of 34 to 51 \mu mol/L, which has been mentioned as the level above which jaundice becomes apparent in humans. The infrequent occurrence of icterus in birds is explained by the absence of biliverdin reductase, which converts biliverdin to bilirubin (Lin et al., 1974; Lind et al., 1967; Tenhunen, 1971). It has been suggested that in birds biliverdin may be converted to bilirubin by bacteria or nonspecific reducing enzymes (Lewandowski et al., 1986). A yellow discoloration of avian plasma is often caused by the presence of carotenoids, which is often misinterpreted as being icteric.

F. Bile Acids

Plasma bile acids (BA) and their salts are formed in the liver from cholesterol and are excreted in the intestine, where they assist in digestion of lipids. There is a continuous secretion of bile in both birds with and without a gallbladder.

^aElevated GLDH activity is a sign of extensive liver cell necrosis, as GLDH is a mitochondrial and not a cytoplasmatic enzyme. Liver cell degeneration will not cause elevated GLDH activities. In the budgerigar (Melopsittacus undulatus), GLDH activity in liver tissue is relatively low when compared to humans and most of the domestic animals including cockerel, duck, turkey, and pigeon (Lumeij and Wolfswinkel, 1987). However, in Amazon parrots with extensive liver necrosis as a result of Pacheco's disease, elevated GLDH activities were observed in plasma, which indicates that this variable is also useful in at least some of the psittacine species (Lumeij, unpublished observations).

This is slightly increased postprandially because of the intrahepatic effects of intestinal hormones like secretin, avian vasoactive intestinal peptide (VIP), or cholecystokinin (CCK). The sites of the increased bile secretion and the regulatory mechanism are unknown (Lumeij, 1991). Via the enterohepatic recirculation, over 90% is reabsorbed in the jejunum and ileum (Hill, 1983). Plasma BA concentrations including their salts and corresponding glycine and taurine conjugates are a reflection of the clearing capacity for BA of the liver. All liver functions such as extraction, conjugation, and excretion are involved in this process, and the BA provides information on the combined effects of these functions. The plasma concentration of BA is a sensitive and a specific indicator of liver function, and it is widely used in humans and domestic animals, including birds (De Bruijne and Rothuizen, 1988; Hoffmann et al., 1987; Lumeij, 1988; Rutgers et al., 1988). Reference values for BA have been established for the racing pigeon, the most commonly kept psittacine species (Lumeij and Overduin, 1990; Lumeij and Wolfswinkel, 1988), ostriches, and peregrine falcons (Table 28-3). Lumeij (1987f) found that BA was the single most useful plasma constituent for detecting liver function disorders in the racing pigeon, where it is both specific and sensitive, the main advantage over AST being that the latter is not liver specific. In experimental liver disease, a 5- to 10-fold increase of BA over the upper limit of the reference range is common.

G. Postprandial Effects

There is a significant postprandial increase in BA in granivorous birds, with and without a gallbladder (Lumeij, 1991), as well as in carnivorous birds (Fig. 28-17; Lumeij and Remple, 1992). Although up to a 4.5-fold postprandial increase of BA was observed in individual birds, the concentrations were never increased more than 1.65 fold over the upper limit of the reference range, whereas in hepatobiliary disease 5- to 10-fold increases are common (Lumeij et al., 1988a). Even though postprandial increases might complicate interpretation of BA, differentiation between postprandial increases and increases that result from hepatobiliary disease is possible. Experimental findings suggest that values >70 μ mol/L in fasted racing pigeons and values >100 μ mol/L postprandially should be considered increased and suggestive for hepatobiliary disease.

H. Plasma Ammonia: Hepatoencephalopathy

A tentative diagnosis of hepatoencephalopathy is often made when neurological signs are observed in birds with liver disease. The syndrome, however, has not been well documented in birds. In mammals, hepatoencephalopathy and hepatic coma are most often observed in portosystemic shunting in which portal blood and its ammonia are shunted away from the liver. Hepatoencephalopathy is not a disease in itself, except for the anatomical anomaly, but a medical condition characterized by neurological symptoms caused by intoxication of the brain by products of protein digestion, namely ammonia (NH₃). Most likely, degradement products from protein act as false neurotransmitters. Protein-rich foods often trigger neurological symptoms in these patients. Fasting plasma NH₃ levels and plasma NH₃ levels 30min after oral loading with NH₄Cl in the form of the NH₃ tolerance test (ATT) can be used to establish the ability of the liver to convert NH₃ into UR. Fasting plasma NH₃ in healthy psittacines ranged from 36 to $274 \mu \text{mol/L}$, which is well above the fasting concentrations reported in dogs (Lumeij and Peccati, 1993). Furthermore, some avian species will normally have up to an 8-fold increase of plasma NH3 concentration on the ATT using the canine protocol. Therefore, an abnormal ATT is not diagnostic for portosystemic shunting in birds (Lumeij and Peccati, 1993).

I. Exogenous Markers

The hepatic clearance of exogenous drugs or compounds plays a central role in the diagnosis and monitoring of hepatic disease in humans, but so far it has received little attention in avian diagnostics. Jaensch *et al.* (2000a) performed galactose and indocyanine green clearance assays in normal chickens and following celiotomy and compared values with those after 13% hepatectomy. Partial hepatectomy resulted in elevation of galactose single point concentrations, but, paradoxically, galactose clearance values did not alter significantly. Partial hepatectomy did not alter clearance values of indocyanine green.

In galahs (*Eolopus roseicapillus*) a significant reduction in galactose clearance and galactose clearance as a function of body surface area (GEC-SA) was observed after 18% hepatectomy, compared to no reduction after celiotomy alone or 6% hepatectomy. Although galactose single-point concentrations did not elevate significantly, they were strongly correlated with galactose clearance and GEC-SA values (Jaensch *et al.*, 2000b).

Further work is needed in birds to explore the potential of galactose clearance for use as a noninvasive method to monitor hepatic function.

J. Iron Storage Disease

A distinction should be made between hemosiderosis, which includes a range of disorders that lead to a buildup of iron pigment in hepatic tissue, and hemochromatosis, which is a genetic disorder in humans and possibly other species. There is no conclusive evidence that hemosiderosis has any clinical significance in birds, with the possible exception of the hemochromatosis syndrome in the Indian hill mynah

TABLE 28-3 Plasma Chemistry Reference Values (Inner Limits of $P_{2.5}$ to $P_{97.5}$ with a Probability of 90%, Unless Specified Otherwise in Footnotes) for Pigeons, Some Psittacine Species, Peregrine Falcon, and Ostrich as Established by the Division of Avian and Exotic Animal Medicine, Utrecht University Department of Clinical Sciences of Companion Animals^{a,g}

Variable	Ostrich (n = 60)	Peregrine falcon (n = 79)	Pigeon (n = 50)	African grey (n = 71)	Amazon (n = 99)	Cockatoo (n = 27)	Macaw ^c (n = 15)
Urea (mmol/L)	0.5-0.8	0.8–2.9	0.4-0.7	0.7-2.4	0.9-4.6	0.8-2.1	0.3-3.3
Creatinine (µmol/L)	1	24–64	23–36	23-40	19–33	21-36	20–59
Uric acid (µmol/L)	351-649	253–996	150–765	93-414	72-312	190-327	109-231
Urea/uric acid ratio	0.9-1.8	1.7–6.4	1.8 ± 1.8^{6}	2.4-15.6	4.4-33	2.7-8.9	5-28
Osmolality (mOsmol/kg)	305-328	322–356	297–317	320-347	316–373	317–347	319–378
Sodium (mmol/L)	147–157	150–170	141-149	154–164	149–164	152–164	150–175
Potassium (mmol/L)	3.7–5.1	T	3.9-4.7	2.5-3.9	2.3-4.2	3.2-4.9	1.9-4.1
Chloride (mmol/L)	94-105	114-131	101-113	1	Î	Î.	Ĩ
Total calcium (mmol/L) ^d	2.4-4.8 ^d	1.9-2.4 ^d	1.9-2.6 ^d	2.1-2.6 ^d	2.0-2.8 ^d	2.2-2.7 ^å	2.2-2.84
lonized calcium ^d (mmol/L)			1.32-1.39 ^d	1.35-1.68 ^d			
Phosphorous (mmol/L)	1.3-2.3	0.55-1.53	0.57-1.33	X.	1	1	£
Glucose (mmol/L)	10.3-13.7	16.5–22.0	12.9-20.5	11.4–16.1	12.6-16.9	12.8-17.6	12.0-17.9
LDH (IU/L) EC 1.1.1.27	860–2236	1008–2650	30–205	147–384	46-208	203-442	66–166
GLDH (IU/L) EC 1.4.1.3	<8	<8	<8	<8	<8	<8	<8
GGT (IU/L) EC 2.3.2.2	<1-1	<1-3	<1-3	1-4	1-10	2-5	<1-5
AST (IU/L) EC 2.6.1.1	243-418	34–116	45-123	54-155	57-194	52-203	58-206
ALT (IU/L) EC 2.6.1.2	Ť	29–90	19–48	12-59	19–98	12-37	22-105
CK (IU/L) EC 2.7.3.2	1648-4246	120-442	110-480	123-875	45-265	34-204	61–531
α-amylase (IU/L) EC 3.2.1.1	1	T	382–556 ^f	571-1987 ^å	1	Í	1
Lipase (IU/L) EC 3.1.1.3	I	Ţ.	0–5 ^f	268-1161 ^d	1	f	1
Bile acids (µmol/L)	8-33	5–69	22–60	18-71	19-144	23-70	25-71
Total protein (g/L)	39–56	24–39	21-35	32-44	33-50	35-44	33–53
Albumin/globulin ratio	0.9-1.4	0.8-2.4	1.5–3.6	1.4-4.7	2.6-7.0	1.5-4.3	1.4-3.9
Thyroxine (nmol/L) before an 16h after stimulation with 2 I			6–35 100–300	F	7	7	£
Corticosterone (nmol/L) befo 90 min after stimulation with		ГН	6–36° 64–324°	<i>f</i>	16–39° 108–506°	1	<i>I</i> <i>J</i>
AVT (pg/ml) before and after 24-h water deprivation	502		1.7 ± 1.4^{6} 6.3 ± 2.2^{6}	1	1	1	1

aLumeij 1987f; Lumeij & Overduin 1990; Lumeij & Westerhof 1988a; Lumeij et al., 1987a; Verstappen et al., 2002; unpublished results from Lumeij, Remple, Riddle, 1995; Van der Horst 1995; Westerhof 1995.

^bMean \pm standard deviation (n = 6).

c Ranae

dThese Ca values might be too low because they were established in nonbalanced heparin tubes; see Section VIII.A. Preliminary data from our clinic from a group of 20 pellet-fed African grey parrots established in blood samples collected with balanced Pico syringes (Radiometer, Copenhagen) suggest that the reference values for tCa values in this species are 2.6 to 3.4 mmol/L and those for iCa are 1.35–1.68 mmol/L. Histological changes in the parathyroid gland consistent with nutritional secondary hyperparathyroidism were observed in individuals with tCa values between 2.1 and 2.6 mmol/L.

eRange (n = 6).

^fAmann et al. (2006).

glt is recommended that clinicians use reference values established in the same laboratory and with the same methods as used for the patient under investigation. Furthermore, reference values based on the individual patient may help to improve diagnostic interpretation of clinical chemistry values because clinical chemistry values in birds have a high degree of individuality, measured by the index of individuality (Scope et al. 2002a).

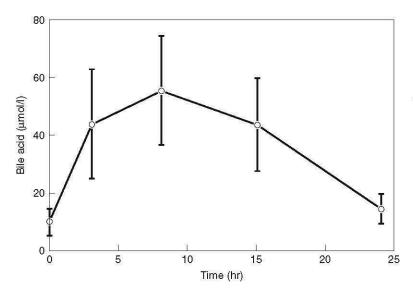


FIGURE 28-17 Plasma bile acid concentrations (mean \pm SD) in peregrine falcons, Falco peregrinus (n = 6) after a 42-h fasting period and 3, 8, 15, and 24h after birds were fed a complete skinned quail, Coturnix coturnix, at 0h. Values at 3, 8, and 15h are significantly different from values at 0 and 24h (p < 0.05). Reprinted with permission from Lumeij and Remple (1992).

(*Gracula religiosa*) and toucans (*Rhamphastos* spp.). In these species, hemochromatosis is often associated with hepatic fibrosis, but the causal relation has not been confirmed.

Hemosiderosis should be considered in the differential diagnosis of avian hepatopathy. Diagnostically, determination of blood iron concentrations is not reliable. There is, however, a positive correlation between the concentration of stainable iron determined by image analysis of histological sections and biochemically determined liver iron concentration. For a review, see Cork (2000).

K. Clinical Diagnosis of Liver Disease

The index of suspicion of liver disease can be raised by the use of physical examination, plasma chemistry (enzymes, BA, TP, and PPE, galactose clearance test, and ultrasonography). However, to make a specific diagnosis, liver biopsy is the only method currently available (Lumeij, 1994a).

VII. MUSCLE DISEASE

Muscle enzyme profiles, half-lives of these enzymes in plasma, and plasma chemistry changes after experimentally induced muscle damage have been reported for racing pigeons (see Section VI.B). Enzyme profiles were studied for pectoral muscle, quadriceps muscle, and heart in pigeons and parrots (Fig. 28-13). Creatine kinase (CK) was the most important enzyme in these three muscles, followed by LDH, AST, and ALT. Muscle damage was induced by injection of doxycycline in the pectoral muscle in pigeons (Fig. 28-16). Creatine kinase activity in plasma was markedly elevated (about 20-fold) 16h after injection. However, within 66h plasma activities of CK had returned to the maximum value of the reference range. LD activities were only slightly elevated (about twofold) and only for about 40h. AST activities showed a marked increase (about fourfold) for about 140 h, whereas ALT showed a marked increase (about fivefold) for about 214 h. Despite the fact that ALT activities in individual muscles are low, elevated plasma activities of this enzyme can be seen until 9 days after muscle damage. Plasma CK activities, on the other hand, return to within the reference range within 3 days after muscle damage, despite high tissue activities. LDH appeared to be a relatively poor indicator of muscle cell damage, despite relatively high activity of this enzyme in muscle (Fig. 28-16). These findings can be explained by the differences in elimination half-lives of the respective enzymes (LDH 50 min, CK 3h, AST 7h, ALT 12 h; Tables 28-1 and 28-2).

Not all elevated concentrations of muscle enzymes in plasma are an indication for muscle disease. Extreme muscular activity in the period preceding blood sampling is an important cause of elevated enzyme activities in plasma. In dogs, plasma CK activities increase approximately twofold with exercise (Heffron et al., 1976). Trained persons have plasma CK activities that are twice those of more sedentary people (Okinaka et al., 1964). In humans, elevated activities of plasma CK can persist for about 1 week after exercise (Newham et al., 1983). Chronic elevated plasma CK activities have been reported in certain occupational workers as a result of local muscular strain (Brewster and De Visser, 1988; Hagberg et al., 1982). In healthy turkeys, plasma CK activity is extremely sensitive to physical exercise and stress. With controlled conditions of minimal exercise, stress, and time of handling, however, iatrogenic elevations of plasma CK activities can be prevented (Tripp and Schmitz, 1982). Limited handling of penned mallards resulted in mean \pm SD serum CK activities of 1325 ± 1212 IU/L, whereas capture of wild mallards in entanglement nets resulted in serum CK activities of 12035 ± 8125 IU/L, compared to 225 ± 52 IU/L in control animals with minimal handling (Dabbert and Powll, 1993). Elevated plasma CK activities can be expected in birds with large muscle mass after capture stress (e.g., ostrich). Intramuscular injections in birds are a well-known cause of elevated activities of plasma enzymes from muscle origin (Fig. 28-16). When physiological or iatrogenic causes of hyperCKemia can be ruled out, primary neuromuscular disease should be considered.

In birds, several causes of degenerative myopathy have been reported. In poultry, furazolidone and ionophore coccidiostats are well-known causes of myocyte degeneration (Julian, 1991). Ingestion of the beans of coffee senna (*Cassia* spp.) has been suggested as a possible cause of acute myocyte degeneration in birds (Rae, 1992). Two important causes of degenerative myopathy in birds are exertional rhabdomyolysis (capture myopathy) and nutritional myopathy.

One of the signs of a deficiency of selenium or vitamin E in birds is muscular degeneration. Some authors believe that exertional rhabdomyolysis is an acute manifestation of nutritional myopathy although it has been recorded in species with apparently normal vitamin E levels (Spraker, 1980).

Capture myopathy has been reported in flamingos (Fowler, 1978a, 1978b; Young, 1967), cranes (Brannian et al., 1987; Carpenter et al., 1991; Windingstad, 1983), Canada geese (Chalmers and Barrett, 1982), turkeys (Spraker et al., 1987), and ratites (Dolensek and Bruning, 1978; Phalen et al., 1990; Rae, 1992).

Nutritional related myopathies have been reported in piscivorous birds after feeding an unsupplemented diet of previously frozen fish, primarily smelt (Campbell and Montali, 1980; Carpenter *et al.*, 1979; Nichols and Montali, 1987; Nichols *et al.*, 1986). Vitamin E deficiency has also been associated with muscle lesions in raptors (Calle *et al.*, 1989; Dierenfeld *et al.*, 1989). Rae (1992) reported that a large percentage of young ratites submitted for necropsy exhibited evidence of degenerative myopathy and considered nutritional deficiency of vitamin E and possibly selenium as the most probable cause.

The muscle lesions produced by the various causes cannot be distinguished from each other and the clinical history is important to establish a diagnosis (Rae, 1992). The use of serum or plasma vitamin E concentrations has been advocated to enable a clinical diagnosis of nutritional myopathy in birds (Rae, 1992). Mean (±SE) plasma concentrations of vitamin E (quantified as α -tocopherol) established in 274 captive cranes were $6.57 \pm 0.82 \,\mu\text{g/ml}$. Cranes species that evolved in temperate habitats had higher circulating levels of α -tocopherol than tropical or subtropical species: for example, Black crowned crane (Balearica pavonina) (n = 10) 2.77 \pm 0.23 μ g/ ml and Siberian crane (*Grus leucogeranus*) (n = 51) $9.41 \pm 0.64 \,\mu \text{g/ml}$ (Dierenfeld et al., 1993). In peregrine falcons (Falco peregrinus), circulating α -tocopherol concentrations $<10\,\mu\text{g/ml}$ were considered a reflection of a marginal vitamin E status, whereas plasma concentrations $<5 \mu g/ml$ were considered deficient (Dierenfeld et al., 1989). Only limited data are available on normal plasma concentrations of α tocopherol in ratites. In apparently healthy rheas, circulating α -tocopherol concentrations ranged between 9.0 and 14.5 μ g/ ml, whereas two rheas with muscular problems exhibited mean plasma concentrations of 1.34 μ g/ml (Dierenfeld, 1989).

For the diagnosis of cardiac diseases in birds plasma chemistry has also been used. Enzymes that have been used include AST, LDH, and CPK. CPK activity in plasma from cardiac muscle origin (CPK-MB isoenzyme) was significantly higher in ducklings with furazolidone-induced cardiotoxicosis when compared to controls (Webb *et al.*, 1991).

Cardiac troponin T (c TnT), a cardiac specific protein that forms part of the contractile apparatus of striated muscle, is a specific and sensitive serological indicator of acute myocardial infarction in human patients. Elevated serum c TnT concentrations have also been used as a marker for early myocardial damage in broiler chicks (Maxwell et al., 1995). Whether plasma or serum is used seems not to be critical, according to Dominici et al. (2004). In Siamese fighting fowl, sex-specific differences have been demonstrated in plasma c TnT concentrations (Sribhen et al., 2006).

VIII. CALCIUM AND PHOSPHORUS: METABOLIC BONE DISEASE

A. Relation between Calcium and Protein in Avian Plasma

Between 50% and 80% of plasma calcium is biologically inactive and consists of protein-bound calcium and complexed calcium. Total calcium (tCa) concentration is influenced by plasma protein concentrations. Ionized calcium (iCa) is important with regard to deposition of calcium salts and excitability of nervous tissues. In most laboratories, for technical reasons, only tCa is measured. Hence, when tCa is measured it is also important to measure plasma protein concentrations and to make allowances for any deviations from the normal in the latter. A significant linear correlation was found between tCa and Alb in the plasma of 70 healthy African grey parrots (r = 0.37; p < 0.05), and a correction formula was derived on the basis of the concentration of Alb: Adjusted tCa (mmol/L) = measured tCa (mmol/L) - 0.015 Alb (g/L) + 0.4. Approximately 14% ofthe variability of tCa was attributable to the change in the concentration of plasma Alb ($R^2 = 0.137$) (Lumeij, 1990).

A significant correlation was also found between tCa and TP in 124 plasma samples of peregrine falcons (r = 0.65; p < 0.01). About 42% of the variability in tCa was attributable to the change in the plasma TP (R² = 0.417). The correlation between tCa and Alb was significant (r = 0.33; p < 0.01), but it was significantly smaller than the correlation between tCa and TP (p < 0.01). Only 11% of the tCa was attributable to difference in concentration of Alb (R² = 0.108). An adjustment formula for tCa concentration in the peregrine falcon was derived on the basis of TP: Adj.tCa (mmol/L) = measured tCa (mmol/L) - 0.02 TP (g/L) + 0.67 (Fig. 28-18; Lumeij et al., 1993a).

In ostriches a significant correlation was found between tCa and TP ($R^2 = 0.55$; p < 0.001). The adjustment

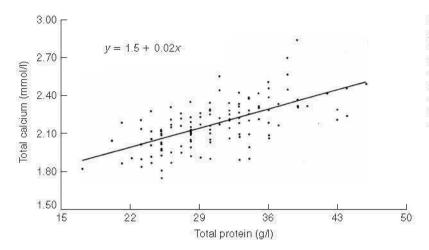


FIGURE 28-18 Significant relationship (r = 0.65; p < 0.01) between total protein and calcium in plasma of 124 peregrine falcons (Falco peregrinus). The least square regression line is indicated. As the concentration of total protein decreases, there is a concurrent decrease in plasma total calcium. About 42% of the variability in calcium was attributable to the changes in the plasma total protein concentration ($R^2 = 0.417$). Reprinted with permission from Lumeij et al. (1993a).

formula for tCa was: adjusted tCa (mmol/L) = measured tCa (mmol/L) - 0.09 TP (g/L) + 4.4.

Application of a correction formula in African grey parrots, peregrine falcons, ostriches, and most likely other species is indicated when extremely low or extremely high plasma protein concentrations are found. The aforementioned correction formulas are based on TP and Alb determinations with the methods as outlined before (Section IV).

Application of a correction formula based on TP or Alb, however, does not take into account the fraction of complexed calcium, which can vary in different conditions. With the development of ion selective electrodes, it is now possible to measure iCa. It is to be expected that direct measurement of iCa in avian blood will provide a more accurate assessment of Ca status, compared to adjusted tCa, as was the case in a canine study (Schenk and Chew, 2005). One study (Stanford, 2003a, 2003b) yielded reference values for serum [sic] iCa based on heparinized plasma samples from 80 "healthy" seed-fed African grey parrots of 0.96 to 1.22 mmol/L (3.84 to .88 mg/dl). Preliminary reference values for iCa in blood of racing pigeons as established in our clinic (n = 20; inner limits of percentiles P_{2.5} and P_{97.5} with 90% certainty) were 1.32 to 1.39 mmol/L when blood was sampled in electrolyte-balanced, 80 IU heparin, 2ml syringes (Pico 50 Arterial Blood Sampler; Radiometer Medical A/S Denmark-2700 Brønshøj - Ref 956-552) and 1.21 to 1.38 mmol/L when sampled in 3 ml heparinized vacuum tubes (Venoject, Terumo Europe N.V., 3001 Leuven, Belgium). Preliminary data from our clinic from pellet-fed African grey parrots established in blood samples collected with balanced Pico syringes (Radiometer, Copenhagen) suggest that the reference values for tCa values in this species are 2.6 to 3.4 mmol/ L and those for iCa are 1.35 to 1.68 mmol/L. Histological changes in the parathyroid gland consistent with nutritional secondary hyperparathyroidism were observed in individuals with tCa values between 2.1 and 2.6 mmol/L (n = 20; inner limits of percentiles $P_{2.5}$ and $P_{97.5}$ with 90% certainty). These reference values for iCa were significantly higher when compared to values established by Stanford (2003a, 2003b). It is most likely that the seed-fed parrots from Stanford (2003a, 2003b) were Ca-deficient, because Stanford (2003b) himself showed that after 1 year of pelleted diet, iCa values increased significantly in his experimental group of 20 parrots. Furthermore, our findings in pigeon blood have shown that the containers in which the blood is collected may affect iCa values. The guidelines of the International Federation of Clinical Chemistry specify that Ca can be bound by heparine and that plasma binding sites in the anticoagulant should be titrated in such a way that a maximum concentration of 15 IU/ml of heparine remains in the collecting tubes. In unbalanced heparine tubes about 0.1 mmol/L of Ca will be bound by 80 IU of heparine (Boink et al., 1991). For this reason, tCa values as reported in Table 28.3 of this chapter, which were determined in nonbalanced heparine tubes are likely too low. Howard et al. (2004) established reference values ("95% frequency intervals") for iCa in thick-billed parrots (Rhynchopsitta pachyrhyncha) from 0.82 to 1.3 mmol/L and for tCa from 1.37 to 2.06 mmol/L. These values were lower than those reported for other psittacine species.

B. Hypercalcemia

1. Introduction

Based on pathophysiological principles, the differential diagnosis for hypercalcemia in birds includes hyperproteinemia, estrogen-induced hypercalcemia, primary hyperparathyroidism, pseudo-hyperparathyroidism, tertiary hyperparathyroidism, metastatic, osteolytic skeletal tumors, and excess of dietary calcium or vitamin D_3 (Lumeij, 1994c), but actual clinical cases, apart from protein-induced (pseudo)hypercalcemia and vitamin D_3 toxicity, are poorly documented.

Clinical signs in true hypercalcemic states may include hypercalcemia, anorexia, polyuria, and polydipsia (PU/PD), delayed crop emptying, regurgitation, weight loss, depression, and renomegaly (Machlin, 1984). Gout has been associated with hypervitaminosis D-induced hypercalcemia (Brue, 1994; Ekstrom and Degernes, 1989; Flammer and Clubb,

1994; Phalen *et al.*, 1990; Takeshita *et al.*, 1986). PU/PD can be explained by the fact that in a hypercalcemic state, the ability of the renal tubules to respond to antidiuretic hormone decreases, thus inhibiting the reabsorption of water (Meric, 1995). The calcifications found in the kidneys may also contribute to the polyuria. Other clinical signs include muscle weakness, painful joints, demineralization of the bone and disorientation, the abnormalities eventually leading to death. Calcium is regulated within narrow limits and slight elevations above the reference range should be taken seriously.

At *postmortem* examination, metastatic calcifications in the liver, kidney, gastrointestinal tract, heart, and blood vessels can be found (Dumonceaux and Harrison, 1994; Lumeij, 1994b; Macwhirter, 1994; Phalen *et al.*, 1990; Takeshita *et al.*, 1986).

2. Estrogen-Induced Hypercalcemia

Estrogen-induced (pseudo)hypercalcemia may be seen in various avian species related to egg laying. About 4 days before female pigeons are due to ovulate, tCa rises from a normal value of about 2.2mmol/L to a value of over 5.0 mmol/L at the time of ovulation. This rise in Ca is caused by an increase in the protein-bound Ca resulting from the estrogen-induced transport of yolk proteins to the ovary as Ca complexes, whereby the concentration of iCa remains constant (Simkiss, 1967). Because tCa is the sum of biologically active iCa, protein-bound Ca, and Ca chelated to anions, tCa should always be interpreted in conjunction with plasma proteins. When reference values for iCa are available for the species under consideration, determination of iCa is the method of choice (see Section VIII.A).

3. Primary Hyperparathyroidism

Primary hyperparathyroidism may result from hyperplasia, adenoma, or carcinoma of the parathyroid gland (Lumeij, 1994c). Although tumors of the parathyroid gland do occur in avian species, primary hyperparathyroidism has not been documented.

4. Pseudohyperparathyroidism

Pseudohyperparathyroidism occurs when nonendocrine tumors secrete hormone-like substances that cause hypercalcemia. This phenomenon may be seen, for instance, in malignant lymphoma. Hypercalcemia was reported in two Amazon parrots (*Amazona* spp.) associated with lymphocytic leucosis (de Wit *et al.*, 2003). Although a paraneoplastic syndrome was suggested, this was not convincingly demonstrated, and hyperproteinemia may have been the reason for elevated tCa in these cases.

5. Tertiary Hyperparathyroidism

Tertiary hyperparathyroidism might develop after prolonged nutritionally secondary hyperparathyroidism, where the chronically stimulated hyperplastic gland may develop an adenoma (Lumeij, 1994c). In contrast to secondary hyperparathyroidism, where the increased activity of the parathyroid is a consequence of hypocalcemia, tertiary hyperparathyroidism is associated with hypercalcemia.

6. Calcium and Vitamin D3 Toxicity

Oversupplementation of the diet with calcium and vitamin D_3 is the most common cause of true hypercalcemia in birds.

Vitamin D₃ (1,25-dihydroxycholecalciferol) regulates the absorption of calcium by the gut (Lumeij, 1994c). Birds can synthesize vitamin D in their skin from 7-dehydrocholesterol and therefore only need dietary vitamin D₃ when they lack ultraviolet light (Lumeij, 1994c; Nott and Taylor, 1993). One can easily oversupplement a bird's diet because most commercial diets contain abundant vitamin D₃ (Dumonceaux and Harrison, 1994; Macwhirter, 1994). Vitamin D3 is considered to be in the toxic range at 4 to 10 times the recommended dose (Brue, 1994). Avian species that have been reported to be susceptible to hypervitaminosis D₃ are the macaw, cockatoo, African grey parrot, toucan, dove, and cardinal (Phalen et al., 1990; Takeshita et al., 1986; Dumonceaux and Harrison, 1994; Lumeij, 1994b). In literature on hypervitaminosis D in birds, there seldom is discrimination between vitamin D₃ and D₂. Because vitamin D₂ (ergocalciferol) is 30 times less active than vitamin D₃ (Nott and Taylor, 1993), an excess of vitamin D₃ occurs most easily. When amounts of vitamin D are expressed in international chicken units (ICU), they refer to vitamin D₃. The baby macaws described by Takeshita et al. (1986) showed symptoms when fed a diet containing 1000 to 4000 ICU vitamin D₃/kg. Other workers reported that toxic effects will appear when the birds are fed a diet containing more than 2500 ICU vitamin D₃/kg diet (Brue, 1994; Harrison, 1991). The diet of the two birds reported by De Wit et al. (2003) contained more than 25,000 ICU vitamin D₃/kg.

Recommended calcium concentrations for maintenance in avian diets are 5 to 10 g/kg. A level of 30 g calcium/kg diet will result in toxicity (Shane *et al.*, 1969). A high calcium intake alone can cause calcifications in the kidneys (Macwhirter, 1994). Nutritional errors can be prevented by the use of balanced commercial diets.

C. Physiological Marrow Ossification

Physiological marrow ossification is induced by the combined effects of estrogens and androgens and can be observed at about the same time as the estrogen-induced hypercalcemia in female birds (Simkiss, 1967). There is a large increase in the quantities of Ca and P, which are retained from the diet and laid down as medullary bone. This medullary bone may completely fill the marrow spaces of the long bones. It is most clearly seen in the limb bones but occurs in most parts of the skeleton. This period of bone

deposition coincides with increased osteoblastic activity. When the bird starts to secrete the eggshell the medullary bone is resorbed by osteoclastic activity. Ca is deposited in the eggshell as Ca-carbonate and the P is excreted from the body. Medullary bone might be mistaken for a pathological condition when radiographs are being evaluated.

D. Hypocalcemia Syndrome in African Grey Parrots

In birds of prey and African grey parrots a hypocalcemia syndrome is known, characterized by hypocalcemic seizures. A striking feature of this syndrome in African grey parrots, which is not known in other birds, is that demineralization of the skeleton is not obvious at the moment the seizures occur. The hypocalcemia syndrome is an important differential diagnosis in an African grey parrot that repeatedly falls of its perch. Reference values for tCa concentrations in African grey parrots range from 2.0 to 3.25 mmol/L (Rosskopf et al., 1982). Lumeij (1990), studying a population of 72 African grey parrots found reference values of 2.1 to 2.6 mmol/L (inner limits of the percentiles P_{2.5} to P_{97.5}, with a probability of 90%) and a range from 2.0 to 3.4 mmol/L. Hochleithner (1989b), studying 68 African grey parrots and using a dry chemistry system (Kodak Ektachem), reported reference values for Ca of 1.75 to 2.38 mmol/L (inner limits of the percentiles P_{2.5} to P_{97.5}). Hochleithner (1989a) reported five cases of hypocalcemia in African grey parrots with plasma calcium concentrations ranging from 0.75 to 1.5 mmol/L. Rosskopf et al. (1985) stated that the one consistent finding of the hypocalcemia syndrome is a "blood calcium level" below 1.5 mmol/L. Values as low as 0.6 mmol/L have been reported (Rosskopf et al., 1985). When borderline calcium concentrations are found, the correction formula reported in Section VIII.A should be used. Stanford (2005) reported that 5/19 cases of hypocalcaemia in African grey parrots as diagnosed by low iCa concentrations had normal tCa concentrations and therefore concluded that measurement of iCa concentration is vital to diagnose hypocalcaemia. This conclusion, however, was not supported by data on corrected tCa. With the current availability of ion selective electrodes, however, measurement of iCa seems to be the practical way of evaluating Ca status in birds. When timely treatment with parenteral Ca and vitamin D₃ preparations starts and sufficient dietary uptake of Ca is taken care of, clinical signs will regress in a short time. It is therefore likely that the disease is caused by Ca and vitamin D₃ deficiency. The higher incidence of the hypocalcaemia syndrome in African grey parrots might be related to the relatively higher dependence on ultraviolet light in this species (Stanford, 2005, p. 136).

E. Alkaline Phosphatase in Bone Disease

Alkaline phosphatases (APs) form a group of membranebound glycoproteins that hydrolyze monophosphate esters at alkaline pH. Three different isoenzymes have been identified. Although there is a significant activity of AP in various tissues, the physiological role is unclear, except for AP in bone tissue. AP activity in bone reflects the activity of osteoblasts, and this enzyme is involved in the formation and mineralization of the bone matrix. In humans, increased AP activity is observed during growth and in osteoproliferative disorders (Savova and Kirev, 1992).

Different techniques have been used to identify fractions responsible for increased plasma activities. The heat inactivation test has been developed to distinguish AP activity of bone origin from that of liver origin (Johnson et al., 1972; Posen et al., 1965). In humans, residual activities after heat inactivation at 56°C higher than 35% indicate hepatic disease, whereas residual values lower than 25% indicate bone disease with increased osteoblastic activity (Fennely et al., 1969; Fitzgerald et al., 1969; Stolbach, 1969). Using a guinea fowl model with bone tumors induced by osteopetrosis virus, Savova and Kirev (1992) were able to confirm these findings also for an avian species. They showed, by comparing the findings with the more sensitive wheat germ lectin method (Brixen et al., 1989; Rosalki and Foo, 1984), that for guinea fowl the AP activity of bone origin can be inactivated at 58°C rather than 56°C. Savova and Kirev (1992) found that AP activity of bone origin in 15-week-old guinea fowl was twice as high as that of 1-year-old birds. They also confirmed the positive correlation between the intensity of virus-induced excessive bone growth and serum AP activity reported previously by Sanger et al. (1986) and Barnes and Smith (1977). The presumed high proportion of AP of bone origin was supported by the low values of residual activity after heat inactivation at 58°C (14.7±3.7%) and after precipitation with wheat germ lectin (13±1.2%) during the period of active bone tumor formation (Savova and Kirev, 1992).

IX. DIABETES MELLITUS AND PLASMA GLUCOSE

The basic metabolic regulation of glucose metabolism in birds is identical to that in mammals, but there is a quantitative difference. Reference values for plasma glucose in birds range somewhere between 11 and 25 mmol/L (Lumeij and Overduin, 1990; Rosskopf *et al.*, 1982). Physiological values up to 33 mmol/L have been observed postprandially in pigeons (Lumeij, 1987b). As a result of stress, plasma glucose concentrations up to 33 mmol/L may also be observed (Jenkins, 1994). The insulin content of the pancreas of granivorous birds is about one-sixth that of mammalian pancreata, whereas the glucagon content is about two to five times greater. Circulating plasma concentrations of glucagon (1 to 4 ng/ml) are 10 to 50 times higher in birds than in mammals. Insulin is synthesized in the B cells of the pancreas, whereas glucagon is synthesized in the A cells.

Spontaneous diabetes mellitus, as characterized by polyuria/polydipsia (PU/PD), glucosuria, persistent hyperglycemia, and loss of weight despite good appetite, has been reported in a number of avian species, including budgerigars, cockatiels, an Amazon parrot, an African grey parrot, toco toucans, a red-tailed hawk, and the pigeon (Altman and Kirmayer 1976; Candeletta *et al.*, 1993; Lothrop *et al.*, 1986; Lumeij 1994c; Murphey, 1992b; Schlumberger 1956; Spira, 1981; Wallner-Pendleton *et al.*, 1993; Wiesner, 1971; Woerpel *et al.*, 1987).

The species that will be most commonly encountered in clinical avian practice are budgerigars, cockatiels, and toco toucans. A tentative diagnosis can be made by finding glucose in the urine using a test strip (Testape, Eli-Lilly Benelux NV, Amsterdam), whereas a definitive diagnosis can be made by finding an elevated plasma glucose concentration. PU/PD accompanied by glucosuria does not always indicate diabetes mellitus. Diabetes mellitus can only be diagnosed if elevated plasma glucose concentrations have been demonstrated.

In mammals, Fanconi's syndrome is known, characterized by renal glucosuria, hyperaminoaciduria, and hyperphosphaturia, as well as renal loss of potassium, bicarbonate and water, and other substances conserved by the proximal tubule. Fanconi's syndrome should be considered as the final result of any one of many possible primary insults to proximal tubular function. The patient's symptoms reflect the disturbance of tubular function, in addition to the primary cause of the syndrome. The syndrome may be inherited or acquired.

There are some striking differences between birds and mammals with regard to pancreatic control of carbohydrate metabolism. In mammals, pancreatectomy results in diabetes mellitus. Reported effects of pancreatectomy in birds are controversial. However, the more recent experiments performed on granivorous birds indicate that surgical extirpation or destruction of the pancreas with cytotoxic agents leads to hypoglycemic crisis and death. The few reported pancreatectomies performed on carnivorous birds have always led to diabetes mellitus. It is generally accepted that glucagon is more effective in granivorous birds, which exhibit a marked insulin insensitivity. The limited data available on spontaneous diabetes mellitus in granivorous birds suggest that in these species diabetes mellitus is not caused by an insulin deficiency. Birds of prey may be much more insulin dependent.

Spontaneous diabetes mellitus in birds has been reported to be successfully treated with daily injections of insulin in dosages comparable to doses used in dogs. These successful treatments of diabetic birds (disappearance of clinical signs) are surprising, considering the relative insulin insensitivity that has been reported to occur in a variety of avian species.

Plasma insulin and glucagon concentrations have been established in three birds with hyperglycemia (Lothrop

et al., 1986). In all cases, insulin concentrations were similar to those of controls, whereas glucagon concentrations, on the other hand, were extremely high or extremely low. In another case of diabetes mellitus (DM) in an African grey parrot, Candeletta et al. (1993) reported extremely low insulin concentrations. It is not clear whether these determinations were accurate. The findings, however, suggest that different etiologies were likely to be involved.

Speculations on possible etiologies of diabetes mellitus in birds have been discussed (Lumeij, 1994c).

X. EXOCRINE PANCREATIC DISEASE

Exocrine pancreatic hormones that are present in the duodenum of birds include amylase, lipase, trypsin, and chymotrypsin. They facilitate degradation of carbohydrates, fats, and proteins, respectively. The inactive precursors of trypsin and chymotrypsin, trypsinogen, and chymotrypsinogen enter the duodenum, where they are activated by intestinal enterokinase. This mechanism prevents autodigestion of pancreatic tissue (Duke, 1986).

There are two basic manifestations of exocrine pancreatic hormone disorders: (1) acute pancreatitis or acute pancreatic necrosis, and (2) chronic pancreatitis resulting in pancreatic fibrosis and pancreatic exocrine insufficiency.

The pathogenesis of acute pancreatitis involves the activation of pancreatic enzymes in and around the pancreas and bloodstream, resulting in coagulation necrosis of the pancreas and necrosis and hemorrhage of peripancreatic and peritoneal adipose tissue. Increased amylase and lipase activities in plasma have been reported from birds with active pancreatitis.

Reference values for plasma lipase and amylase have been established in a population of 87 African grey parrots (Van der Horst and Lumeij, unpublished observations). α -Amylase activity in plasma was determined with a kinetic p-nitrophenylmaltoheptaoside method (Sopachem α -Amylase kit # 003-0311-00 Sopar-biochem, 1080 Brussels) at 30°C. Values ranged from 571 to 1987 U/L (inner limits of $P_{2.5}$ to $P_{97.5}$ with a probability of 90%).

Lipase activity was measured at 30° C using a test based on the conversion of triolein by lipase to monoglyceride and oleic acid. The associated decreased turbidity was measured in the UV range (Boehringer Mannheim kit # MPR 3-1442651). Reference values ranged from 268 to 1161 U/L.

Hochleithner (1989b) reported reference values for plasma amylase in four different psittacine species using a dry chemistry system (Kodak Ektachem, Amylopectin, 25°C; Kodak Company, 1986). The values were considerably lower as compared to the ones just discussed: budgerigar (n = 50) 187 to 585 U/L, African grey parrot (n = 68) 211 to 519 U/L, Amazon parrot (n = 30) 106 to 524 U/L, and macaw 276 to 594 U/L.

In racing pigeons (n = 24), plasma amylase and lipase activities were determined with a Synchron CX chemistry analyzer (Beckman Coulter, Mijdrecht, The Netherlands) with reagents provided by the manufacturer. Lipase was measured by a time enzymatic rate method. Briefly, 1-2 diglyceride substrate is hydrolyzed by pancreatic lipase to 2-monoglyceride and fatty acid. The change of absorbance at 560nm because of formation of the red quinone dimine dye after four consecutive chemical reactions is directly proportional to lipase activity. Amylase was measured by the rate of formation of maltose from maltotetraose through three coupled reactions. The change of absorbance at 340nm is directly proportional to amylase activity. Reference values (inner limits of P_{2.5} and P_{97.5} with a probability of 90%) for plasma amylase and lipase activities in pigeons were 382 to 556 IU/L and 0 to 5 IU/L, respectively (Amann et al., 2006).

Chronic pancreatitis may results in fibrosis and decreased production of pancreatic hormones. When insufficient pancreatic enzymes are available in the duodenum, maldigestion and passing of feces with excessive amylum and fat will occur. Affected animals have voluminous, pale, or tan greasy feces. Fat can be demonstrated by Sudan staining.

Fecal amylase and proteolytic activity were determined in African grey parrots (n=87) by Van der Horst and Lumeij (unpublished observations), using radial enzyme diffusion as reported by Westermarck and Sandholm (1980). Reference values (inner limits of $P_{2.5}$ to $P_{97.5}$ with a probability of 90%) for fecal amylase were 6 to 18 mm and for fecal trypsin 14 to 19 mm. In racing pigeons (n=24), these values were 13 to 16 mm and 11 to 14 mm, respectively (Amann *et al.*, 2006). In a clinical case of exocrine pancreatic insufficiency in a racing pigeon, which was histologically confirmed at postmortem examination, values for fecal amylase and proteolytic activity were 0 and 2 mm, respectively, whereas plasma amylase and lipase activities were within the reference limits (Amann *et al.*, 2006).

XI. TOXICOLOGY

A. Lead

Lead (Pb) poisoning is common in birds (Dumonceaux and Harrison, 1994; Lumeij 1985b). A clinical diagnosis can be made by demonstrating elevated Pb concentrations in whole blood or by demonstrating secondary effects of Pb on various enzymes involved in heme synthesis. Blood Pb concentrations in clinically normal birds and in birds with signs of Pb poisoning can be much higher than in mammals (Lumeij, 1985b). Blood Pb in birds without clinical signs and without known exposure to Pb ranged between 2.5 and $180\mu g/dl$ ($100\mu g/dl = 4.8\mu mmol/L$). Birds that had been exposed to Pb but showed no clinical signs had Pb concentrations ranging between 40 and $2000\mu g/dl$, whereas birds with clinical signs had blood Pb concentrations ranging from 52 to $5840\mu g/dl$. Dieter (1979) proposed that a blood Pb

concentration of 20 µg/dl was physiologically detrimental in canvasback ducks, Aythya valisaneria, because a significant inhibition of δ -aminolevulinic acid dehydratase (ALA-D) activity, was observed at these Pb concentrations. The U.S. Fish and Wildlife Service (1986) accepted that blood Pb concentrations $> 20\mu g/dl$ in 5% of hunter-killed or livetrapped waterfowl indicate that some type of Pb has been assimilated in tissues (U.S. Federal Register 29673, August 20, 1986). Draury et al. (1993) used the terms "detrimental" and "deleterious" in association with blood Pb of $20\mu g/dl$. Although a blood Pb of 20µg/dl indicates increased exposure to Pb these values are not considered harmful to animals. The current clinical view is that blood Pb $> 50 \mu g/dl$ ($>2.4\mu$ mol/L) is generally not associated with clinical signs and has a good prognosis, even without treatment. Lead between 50 and $100 \mu g/dl$ is associated with mild clinical signs and carries a good prognosis for recovery with treatment. Clinical signs and prognosis worsen when blood Pb exceeds $100\mu g/dl$. When concentrations exceed $200\mu g/dl$, the prognosis is guarded to poor (Degernes, 1995). When clinical signs are present, blood Pb $> 35\mu g/dl$ suggests of Pb toxicosis (Klein and Galey, 1989). In psittacines, blood Pb levels as low as $20 \,\mu\text{g/dl}$ are considered suggestive for Pb exposure (Dumonceaux and Harrison, 1994).

Most of the Pb in whole blood is associated with the red blood cells (Buggiani and Rindi, 1980). The nuclear inclusions which have been observed by electron microscopy in nucleated erythrocytes of pigeons with high blood Pb concentrations have led to the assumption that these could serve as storage sites, just like the Pb inclusion bodies that have been described in kidneys from Pb-poisoned rats. The capacity of birds to survive high blood Pb concentrations without overt toxicosis might be associated with these erythrocytic inclusion bodies (Barthalmus *et al.*, 1977).

Lead interferes with two enzymes in the hemoglobin biosynthetic pathway: δ -aminolevulinic acid dehydratase (ALA-D) and heme synthetase (Fig. 28-19). In humans, ALA-D inhibition occurs even at normal blood Pb levels (McIntire et al., 1973). When there is an increase in Pb, ALA-D is uniformly low. A level > 600 IU/dl excludes Pb poisoning (Beeson et al., 1979). A significant negative correlation exists between blood Pb and ALA-D in pigeons, urban-dwelling humans, urban rats, and Pb dosed wildfowl, as long as the blood Pb concentrations are moderately elevated. If the blood Pb increases above a moderate level blood, ALA-D activity fails to decrease further. This has been observed in pigeons and humans (Hutton, 1980). The inhibition of ALA-D leads to accumulation of δ -aminolevulinic acid (ALA) and excessive amounts of ALA are excreted in the urine.

Because Pb inhibits heme synthetase, protoporphyrin IX also accumulates in the erythrocytes. In human Pb poisoning, free erythrocyte protoporphyrin (FEPP) is found in the range of 300 to $3000\,\mu\text{g}/\text{dl}$ (reference range 15 to $60\,\mu\text{g}/\text{dl}$). If a fresh wet film of blood of a patient is examined under UV

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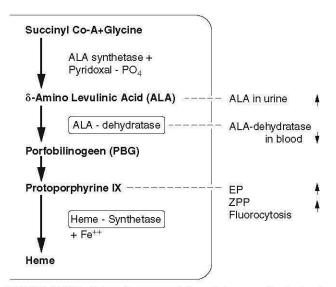


FIGURE 28-19 Schematic representation of heme synthesis. Lead interferes with the enzyme δ -aminolevulinic acid dehydratase (ALA-D), resulting in a lower activity of ALA-D in blood and a higher concentration of δ -aminolevulinic acid in the urine. Interference with the enzyme heme synthetase leads to an accumulation of protoporphyrin IX in the erythrocytes, which can be measured as FEPP or ZPP and leads to fluorocytosis. Reprinted with permission from Lumeij (1985b).

light (400 nm), 75% to 100% of the erythrocytes show a red fluorescence: fluorocytes. The accumulated protoporphyrin imparts the red fluorescence to fluorocytes (Beeson *et al.*, 1979). The fluorocyte test has also been used successfully for the diagnosis of Pb poisoning in rabbits (Roscoe *et al.*, 1975) and waterfowl (Barret and Karstad, 1971).

In humans, FEPP binds to zinc to form the fluorescent compound zinc protoporphyrin (ZPP), which can be measured fluorometrically in a single drop of whole blood (Roscoe et al., 1979). Roscoe et al. (1979) found that blood fluorescence spectra of Pb-poisoned mallards scanned on a fluorescence spectrophotometer were characteristic of metal-free protoporphyrin IX. They suggested that the reason for chelation of zinc by FEPP in human erythrocytes and not in duck erythrocytes might be due in part to the fact that duck erythrocytes contained only about one-third as much zinc as the human erythrocytes. By changing the factory-installed emission filter in a commercially available fluorometer used to screen humans for Pb intoxication by measurement of ZPP, they made the instrument suitable to measure FEPP. They found that FEPP concentrations were at their highest 8 days after Pb-shot ingestion in mallard ducks. The highest value they recorded was 2284 μ g/dl. FEPP was rarely elevated ($>40 \mu g/dl$) in freshly drawn blood from Pb-poisoned ducks. However, when the same blood was oxygenated and refrigerated before testing, FEPP concentrations increased because of *in vitro* synthesis, which terminated within 2 days. No such increase was manifested by controls. They found that FEPP concentrations were related to the clinical signs of

TABLE 28-4 Blood Protoporphyrin IX Concentrations Measured on the Hematofluorometer and Corresponding Clinical Signs of Pb Poisoning in Mallard Ducks

Clinical Signs		
Death Inability to stand, walk, fly Loss of voice Green watery diarrhea		
Muscular weakness Easily fatigued Unsteady gait Slight tail drop Green watery diarrhea		
Hyperexcitability Green watery diarrhea		
Green watery diarrhea		
No evidence of Pb poisoning		

Pb poisoning in mallard ducks, and those with FEPP higher than $500 \mu g/dl$ began to show impaired motor function that could seriously affect their survival (Table 28-4).

In raptors, birds showing clinical signs of Pb toxicosis had consistently higher ZPP levels than other Pb-dosed birds with similar blood Pb values (Reiser and Temple, 1981). False-positive FEPP and ZPP elevations occur in humans with iron deficiency anemia or erythrocytic protoporphyria (Wijngaarden and Smith, 1982).

B. Zinc

Zinc poisoning has been reported in birds after ingesting (United States) pennies minted after 1983 (98% zinc) or metal fence clips (96% zinc). Galvanized wire is another well-known source of zinc poisoning in aviary birds (new wire disease). Clinical signs include weight loss, depression, anorexia, gastrointestinal signs, and posterior paresis. Pathological lesions are especially seen in the pancreas and include acinar atrophy and proliferation of pancreatic ductules (Howard, 1992; Labonde 1995; Lloyd, 1992; Morris, 1985; Reece, 1986; Wight *et al.*, 1986; Zdziarski *et al.*, 1994).

Serum zinc concentrations can be used to establish a diagnosis, but extreme care must be taken to exclude contamination from zinc containing grommets from plastic syringes or rubber stoppers from collecting tubes (Minnick et al., 1982). Serum zinc concentrations in an affected group of ducks were 1260 to 1660 µg/dl. Values in a reference

group ranged between 184 and 465 μ g/dl. In a group of normal cockatiels, the mean serum zinc concentration was 163μ g/dl. It has been stated that in general blood zinc concentrations $>1000\mu$ g/dl ($>150\mu$ mol/L) are considered diagnostic and $>200\mu$ g/dl ($>30\mu$ mol/L) suggest zinc poisoning in psittacine birds (Labonde, 1995).

C. Organophosphate and Carbamate

Organophosphates (OPs) and carbamates (CBMs) are the most common causes of avian insecticide poisoning. Poisoning occurs through inhalation or ingestion. The mechanism of OP and CBM poisoning is acetylcholinesterase (AChE) inhibition. The LD-50 of this group of poisons is 10 to 20 times higher in birds than in mammals. Binding of CBM to AChE is reversible as opposed to OP. Clinical signs include acute anorexia, crop stasis, ptyalism, ataxia, wing twitching, star gazing, weakness, diarrhea, prolapsed nictitans, and muscular tremors or stiffness. Dyspnea and bradycardia may be observed as the toxicity progresses. In severe cases, the birds may be recumbent with varying degrees of paralysis or seizures. An organophosphorus ester-induced delayed neuropathy has been reported in mammals and birds. The onset occurs 1 to 3 weeks after exposure and is not associated with plasma cholinesterase (ChE) inhibition. With aging of some OP compounds, a metabolite can affect peripheral axons and myelin sheaths, resulting in sensory and motor neuropathy. Associated clinical signs include weakness, ataxia, and decreased proprioception in the limbs progressing to paralysis (LaBonde, 1992, 1995; Lumeij et al., 1993b; Porter, 1993).

Diagnosis of OP or CBM poisoning can be established by AChE activity in blood, plasma, or serum. There are a number of different test procedures, of which the results are not interchangeable. Besides AChE, another compound called pseudocholinesterase or butyrylcholinesterase (BChE, EC 3.1.1.8.) is found in sera. Although its physiological role has not been well defined, it is a useful indicator of exposure to OP and CBM compounds (Ludke *et al.*, 1975; Sherman *et al.*, 1964).

Because plasma BChE activity increases with age in nestling passerines, this might partially account for decreasing sensitivity in older birds to OP and CBM poisoning. Plasma BChE usually is inhibited more rapidly and to a larger degree than brain AChE and may be scavenging the active oxon forms of OP compounds that otherwise might inhibit brain AChE activity. Because of the lack of OP hydrolyzing enzymes in the plasma of many bird species or the low affinity of this class of enzymes for OP compounds in birds, the role of BChE in protecting individuals becomes important (Gard and Hooper, 1993).

It is important that results of ChE, AChE, or BChE determinations are compared with results of samples from nonexposed animals of the same species and age. Age-dependent changes in plasma ChE activities have been

reported for many avian species. Furthermore, development patterns of plasma ChE differ between altricial and precocial species. In contrast to plasma BChE activities in nestlings of altricial species, plasma AChE and BChE activity decreased significantly with age in precocial species (Bennet and Bennet, 1991; Gard and Hooper, 1993).

Samples from cases of suspected CBM toxicity may show normal ChE activities because of rapid regeneration, and therefore these samples should be run as soon as possible to be accurate. Because CBMs are reversible ChE inhibitors in contrast to OP compounds, ChE inhibition followed by thermal reactivation has been employed to discriminate between these poisonings (Hunt and Hooper 1993; Hunt *et al.*, 1993, 1995; Stansley, 1993).

Roy *et al.* (2005), after studying 729 European raptors of 20 species, reported age- and sex-related differences in ChE activities and found a negative correlation between ChE activity and body mass. They reported baseline values for these raptor species to evaluate the effect of anticholinesterase insecticides in the field.

XII. BLOOD COAGULATION

A. Introduction

Hemostatic disorders in birds have received less attention than those in mammals, but they can be considered clinically relevant. Although the knowledge of avian bleeding disorders lags behind that of mammals, it was already in 1929 in chickens that the role of vitamin K in blood coagulation was discovered in (Dam, 1935). Currently avian hemostasis research is still in its infancy, and the pathophysiology of many clinically intriguing bleeding disorders, such as the conure bleeding syndrome, awaits further clarification. Although blood coagulation in birds was addressed extensively in textbooks of avian physiology more than 30 years ago (e.g., Sturkie and Grimminger, 1976), inclusion of separate chapters on avian coagulation in clinical textbooks was only initiated at the beginning of this century (Espada, 2000; Powers, 2000). A brief synopsis of avian coagulation will be presented here, followed by diagnostic tests and a brief description of some known coagulation disorders.

B. Normal Hemostasis in Birds

When the vascular integrity in birds is disrupted, there are several mechanisms that prevent blood loss from the circulation, which are similar to those in mammals. In response to small vascular defects, thrombocytes aggregate to form a vascular plug. In larger defects, vasoconstriction reduces blood flow to the area and the blood starts to clot. Briefly, coagulation is a cascade of proteolytic reactions initiated through an extrinsic or intrinsic pathway and ending in a common pathway of which the end product is a solid fibrin plug.

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Coagulation in birds is thought to be mainly initiated through the extrinsic pathway by the release of tissue thromboplastin (factor III) after vascular injury. Five proteases (factors VII, IX, X, protein C, and prothrombin) act with two cofactors (factors V and VII), Ca²⁺, and phospholipids to form thrombin (factor IIa) from prothrombin (factor II), followed by the formation of fibrin (factor Ia) from fibrinogen (factor I).

The existence of an intrinsic pathway in birds (involving factors XII, XI, IX), which is initiated by the exposure to vascular subendothelium, is controversial. Although the intrinsic pathway is generally considered to be unimportant, Doerr and Hamilton (1981) did provide some evidence for the existence of such a pathway in chickens.

C. Diagnostic Tests

1. Sample Collection

Blood samples for coagulation studies in birds should be collected in plastic or siliconized tubes containing 3.8% sodium citrate. Samples should be fresh as freezing and thawing may interfere with the results. The principle of various tests is that clotting in the blood sample is inhibited by the binding of calcium by sodium citrate, and the presence of sufficient coagulation factors is examined by establishing the clotting time after the addition of calcium chloride and the missing factor. In the prothrombin test, where the extrinsic and common pathways are evaluated, tissue thromboplastin is added to initiate the clotting cascade (discussed later).

2. Whole Blood Clotting Time

The whole blood clotting time (WBCT), which evaluates the intrinsic and common coagulation pathways, should be performed in samples collected in nonsiliconized glass tubes or capillary tubes (discussed later), whereby contact with tissue thromboplastin should be avoided. Excessive contamination with tissue juices will reduce the WBCT considerably.

3. Blood Smears for Thrombocyte Counts

In birds in which there is a clinical suspicion of a coagulation disorder, a thrombocyte count should be estimated from a peripheral blood smear. The best-quality blood smears for an estimated thrombocyte count can be obtained from whole fresh blood without an anticoagulant, using the two-slide wedge technique with bevel-edged microscope slides.

Avian thrombocytes are oval nucleated cells that are smaller and more rounded than avian erythrocytes. Because thrombocytes tend to clump in a peripheral blood smear, an actual thrombocyte count is difficult. In normal birds, one or two thrombocytes are expected to be seen in an average monolayer oil immersion field. The estimated number of thrombocytes in a bird with a normal hematocrit

is equal to the average number of thrombocytes in five monolayer fields multiplied by 3500 and should normally range between 20,000 and 30,000/ul.

4. Prothrombine Time

The single most useful coagulation test in birds is establishment of the prothrombine time (PT) or tissue thromboplastin time. PT is a measure of the extrinsic and common coagulation pathways. It should be stressed that PT in birds should be performed with homologous brain thromboplastin, as PT significantly increases when heterologous avian or even mammalian thromboplastin is used. The use of the PT in birds has been considered inconvenient because of the unavailability of species-specific brain thromboplastin. Reportedly, commercially available Russels's viper venom (RVV) may be used instead of homologous brain thromboplastin (Powers, 2000). PT times using RVV are considerably shorter compared to those using mammalian brain thromboplastin, but they are longer than those using a homologous brain thromboplastin (Timms, 1977). Details of performing a prothrombin test in chickens have been reported by Doerr et al. (1975).

5. Modified Russels's Viper Venom Test (MRVVT)

Russels's viper venom, in the presence of Ca²⁺ and phospholipids, is a powerful coagulant of normal plasma and plasma from humans deficient in factors VII, VIII (antihemophilic factor A), and IX (antihemophilic factor B, Christmas factor). It is an activator of the common coagulation pathway by activation of factor X. In humans with factor V or X deficiency, the RVVT is prolonged. The conventional RVVT cannot be used in birds because the phospholipids in the rabbit-brain cephalin inhibits coagulation in the presence of raw RVV. However, use of purified factor X activating enzyme (RVV-X) eliminates this interference. It has been shown that experimental infection of turkeys with *Pasteurella multocida* increases MRVVT, indicative of a consumptive coagulopathy, possibly caused by increased consumption of factors X, V, II, or I (Friedlander and Olson, 1995).

6. Fibrinogen Estimation

Fibrinogen is formed and stored in the liver and is important for the final stage of blood coagulation where it is transformed into fibrin. Plasma fibrinogen concentrations decrease when there is severe liver damage. A variety of inflammatory, suppurating, traumatic, and neoplastic diseases can increase fibrinogen concentrations in humans and domestic animals (Schalm *et al.*, 1975). Hawkey and Hart (1988) concluded that fibrinogen estimation in conjunction with a heterophil count was a useful screening test for birds to detect infections.

Fibrinogen can be measured by the micro heat-precipitation test at 56 °C. This test is based on the principle that fibrinogen

will precipitate at 56°C, while the other plasma proteins remain in solution. EDTA rather than heparin should be used as an anticoagulant when this test is performed (Hawkey and Hart, 1988; Schlam *et al.*, 1975). Protein concentrations can be estimated in the plasma column of two hematocrit tubes, one of which has been placed in a water bath at 56°C to 58°C for 3 min. Fibrinogen concentration is the difference between the protein concentration of the two plasma columns (Schalm *et al.*, 1975). See also Section IV.B.

Because the difference between plasma and serum is the absence of fibrinogen in the latter, it is possible to locate the fibrinogen fraction in the electrophoretic gel of a particular species by performing a comparative protein electrophoresis in serum and plasma from the same sample of this particular avian species (Roman *et al.*, 2006).

D. Hemostatic Disorders

1. Bacterial and Viral Infections

A variety of bacterial and viral diseases in a variety of avian species have caused hemostatic disorders. Examples are circovirus and polyomavirus in psittacines. Reasons for the increased bleeding tendency seen with these infections may include thrombocytopenia, liver failure leading to a lack of clotting factors, vasculitis, and disseminated intravascular coagulation (DIC). In DIC, the chain of events is initiated by exogenous or endogenous procoagulants, which stimulate the formation of fibrin, which is followed by fibrinolysis. The combination of consumption of thrombocytes and clotting factors and the inhibition of fibrin formation by fibrin degradation products may result in consumptive coagulopathy.

2. Vitamin K Deficiency

Because vitamin K is essential for the formation of prothrombin and factors VII, IX and X, both the extrinsic and the common coagulation pathway is affected in vitamin K deficiency, leading to a prolonged PT in birds. Vitamin K deficiency is well known in birds and has been reported in chickens fed a diet low in fat (Dam, 1935). A syndrome resembling the disease in chickens has been reported in a pigeon with exocrine pancreatic insufficiency by Amann et al. (2006), supposedly because of malabsorption of the fat-soluble vitamin K. Intoxication with anticoagulant rodenticides interferes with the formation of active vitamin K and produces similar clinical effects. Clinical signs are widely reported (Powers, 2000) but depend on species, susceptibility and the type of anticoagulant used, poultry being 10-fold more sensitive than pigeons and quail (Towsend and Tarrant, 1997). History, clinical signs, prolonged PT, and toxicological investigation of gastrointestinal contents can confirm the diagnosis. It has also been suggested that Vitamin K deficiency in birds is caused by oversupplementation

with vitamin E, causing a competitive inhibition of vitamin K by α -tocopherol (Nichols *et al.*, 1989). A similar antagonism has been reported with the use of sulphonamides in birds (Griminger and Donis, 1960).

3. Fatty Liver Hemorrhagic Syndrome

It has been suggested that alterations in the composition of the phospholipids that are essential cofactors in the thrombin formation may be a contributing factor in the development of fatty liver hemorrhagic syndrome (FLHS) in laying hens (Thomson *et al.*, 2003).

4. Aflatoxicosis

Aflatoxins originating from Aspergillus fungi can be found on moldy feed and are a well-known cause of fatty liver degeneration and bile duct proliferation in poultry. In experimental aflatoxicosis, the increase of the PT was dependent on the aflatoxin dose. The specific activity of clotting factors I, II, V, VII, and X was reduced (Fernandez *et al.*, 1995).

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SI Units

The Systeme International d'Unites (SI), or the International System of Units, was recommended for use in the health professions by the World Health Assembly (WHA 30.39) in May of 1977. The SI is the culmination of more than a century of effort to develop a universally acceptable system of units of measure. Since the 1990s, the use of SI has been rapidly gaining acceptance with many nations now mandating

its use and many others strongly recommending its use. Furthermore, many scientific journals now require that units be expressed in SI along with the conventional units, if used. The following tables in this appendix briefly describe the basis of SI and provide factors for the conversion of conventional units to SI.

Quantity	Name of Unit	Symbol
Length	meter (metre)	m
Mass	kilogram	kg
Time	second	S
Electric current	ampere	А
Thermodynamic temperature	kelvin	K
Luminous intensity	candela	cd
Amount of substance	mole	mol

Factor	Prefix	Symbol	Factor	Prefix	Symbol
10 ¹⁸	exa	E	10-1	deci	d
1015	peta	Р	10-2	centi	С
10 ¹²	tera	Ţ	10-3	milli	m
10 ⁹	giga	G	10-6	micro	μ
10 ⁶	mega	M	10-9	nano	n
10³	kilo	k	10-12	pico	р
10 ²	hector	h	10 ⁻¹⁵	fem to	f
10 ¹	deca	da	10-18	atto	a

Quantity	Name of Unit	Symbol	
Area	square meter	m ²	
Volume	cubic meter	m³	
Speed, velocity	meter per second	m/s	
Acceleration	meter per second squared	m/s²	
Substance concentration	mole per cubic meter	mol/m³	
Pressure	pascal	Pa	
Work, energy	joule	Ĭ	
Celsius temperature	degree Celsius	°C	

Quantity	Unit	Symbol	Value in SI
Time	minute hour day	m h d	60s 3,600s 86,400s
Volume	liter (litre)	l ^a	10 ⁻³ m ³
Mass	tonne	t	1,000 kg
Length	angstrom	Å	10 ⁻¹⁰ m (0.1 nm)
Pressure	bar atmosphere mmHg	bar atm mmHg	10 ⁵ Pa 101,325 Pa 1.333 × 10 ⁻⁴ Pa
Radioactivity	curie roentgen rad rem	Ci R rad rem	3.7×10^{10} Bequerel(Bq) 2.58×10^{-4} Ci/kg 10^{-2} gray(Gy) 10^{-2} sievert(Sv)

Appendix | I SI Units

Chemical Constituent	Conventional "Old Unit"	× Factor =	New SI Unit
Acetoacetate	mg/dL	0.098	mmol/L
Acetone	mg/dL	0.172	mmol/L
Albumin	g/dL	10.0	g/L
Ammonia	μ g/dL	0.5872	μ mol/L
Bicarbonate	mEq/L	1.0	mmol/L
Bilirubin	mg/dL	17.10	μ mol/L
Bromsulfophthalein	mg/dL	11.93	μ mol/L
	mg/dL	0.2495	mmol/L
	μ g/dL	0.01863	μ mol/L
- Chloride	mEq/L	1.0	mmol/L
	mg/dL	0.02586	mmol/L
Cobalt	μ g/dL	0.1697	μ mol/L
Coproporphyrin	μ g/dL	15.0	nmol/L
Cortisol	μ g/dL	27.59	nmol/L
CO ₂ , total	mEq/L	1.0	mmol/L
CO ₂ Pressure, pCO ₂	mm Hg	0.1333	kPa
	μ g/dL	0.1574	μ mol/L
	mg/dL	88.40	μ mol/L
Fibrinogen	mg/dL	0.01	g/L
Fructose	mg/dL	55.51	μ mol/L
Glucose	mg/dL	0.05551	mmol/L
Haptoglobin	mg/dL	0.01	g/L
Hemoglobin	g/dL	10.0	g/L
3-Hydroxybutyrate	mg/dL	0.096	mmol/L
Iodine	μ g/dL	78.8	nmol/L
Insulin	μU/ml	7.175	pmol/L
Iron	μ g/dL	0.1791	μ mol/L
Lactate	mg/dL	0.1110	mmol/L
Lead	μg/dL	0.04826	μ mol/L
Magnesium	mg/dL	0.4114	mmol/L
Manganese	μg/dL	0.1820	μ mol/L
Mercury	μg/L	4.985	nmol/L
Methemoglobin	g/dL	10.0	g/L
Molybdenum	μ g/dL	0.1042	μ mol/L
Myoglobin	mg/dL	0.5848	μ mol/L
Nitrogen	mg/dL	0.7138	mmol/L
O ₂ Pressure, pO ₂	mm Hg	0.1333	kPa

Chemical Constituent	Conventional $ imes$ "Old Unit"	Factor =	New SI Unit
Phosphorus	g/dL	0.3229	mmol/L
Porphobilinogen	mg/dL	44.20	μ mol/L
Potassium	m Eq/L	1	mmol/L
Protein	g/dL	10	g/L
Protoporphyrin	μg/dL	0.0178	μ mol/L
Pyruvate	mg/dL	113.6	μ mol/L
Selenium	μg/dL	0.1266	μ mol/L
Sodium	m Eq/L	Ĭ	mmol/L
Thyroxine	μg/dL	12.87	nmol/L
Transferrin	mg/dL	0.01	g/L
Triglycerides (triolein)	mg/dL	0.01129	mmol/L
Triiodothyronine	ng/dL	0.01536	nmol/L
Urate	mg/dL	59.48	μ mol/L
Urea nitrogen	mg/dL	0.7140	mmol/L
Urea nitrogen	mg/dL	0.3570	mmol Urea/L
Urea	mg/dL	0,1665	mmol/L
Urobilinogen	mg/dL	16.90	μ mol/L
Uroporphyrin	μg/dL	12.00	nmol/L
Vitamin A	μg/dL	0.03491	μ mol/L
Xylose	mg/dL	0,06660	mmol/L
Zinc	μ g/dL	0.1530	μ mol/L
Enzymes ^a	U/L	16.67	nkat/L

^aThere is yet no general agreement nor recommendation for the use of the katal (1 kat = 1 mol/s) in place of the widely used international unit (1 U = 1 μ mol/m). The U/L should continue to be used for all enzyme activities

Conversion Factors of Some Non-SI Serum Enzyme Units to SI International Enzyme Units^a

Serum Enzyme	Non-SI Unit	\times	Factor =	= SI
Aldolase (ALD)	Sibley-Lehninger Unit (SLU) (mg DNP/hr/mL)		0.75	U/L
Amylase (AMYL)	Somogyi Unit (SU) (mg G/30m)		1.85	U/L
Glutamic-oxalacetic Transaminase (SGOT, AST)	Sigma-Frankel Unit (SFU) Karmen Unit (KU) Wroblewski-LaDue Unit (WLU) Reitman-Frankel Unit (RFU) (0.001 OD/m/mL)		0.48	U/L
Glutamic Pyruvic Transaminase (SGPT, ALT)	Sigma-Frankel Unit (SFU) Karmen Unit (KU) Wroblewski-LaDue Unit (WLU) Reitman-Frankel Unit (RFU) (0.001 OD/m/mL)		0.48	U/L
Isocitric Dehydrogenase (ICD)	Wolfson-Williams-Ashman Unit (WWAU) (nmol/h/mL)		0.0167	U/L
Lipase	Roe-Byler Unit (RBU) (μ mol/h/mL) Cherry-Crandall Unit (CCU) (50 μ mol/3 h/mL)		16.7 2.77	U/ U/L
Phosphatase, acid	King-Armstrong Unit (KAU) (mg Phenyl-P/30m)		1.85	U/L
Phosphatase, Alkaline (AIP)	King-Armstrong Unit (KAU) (mg Phenyl-P/30m) Bodansky Unit (BU) (mg P/hr)		7.10 5.4	U/L U/L
Sorbitol (Iditol) Dehydrogenase (SDH, IDH)	Sigma-Frankel Unit (SFU) (nmol/hr/mL)		0.0167	U/L

Temperature Correction Factors (Tf) for Some Common Enzymes

Assay	ALP	CK	LDH	SDH	ALT/AST
Temperature (EC)					
20	2.61	2.05	2.10	1.48	2.29
21	2.37	1.82	1.96	1.42	1.85
22	2.15	1.70	1.80	1.37	1.71
23	1.95	1.59	1.67	1.32	1.59
24	1.77	1.49	1.55	1.27	1.45
25	1.61	1.39	1.45	1.22	1.37
26	1.46	1.31	1.33	1.17	1.29
27	1.33	1.23	1.26	1.12	1.21
28	1.21	1.15	1.16	1.08	1.12
29	1.10	1.07	1.07	1.04	1.05
30	1.00	1.00	1.00	1.00	1.00
31	0.90	0.93	0.93	0.96	0.95
32	0.81	0.87	0.86	0.93	0.89
33	0.73	0.81	0.80	0.89	0.85
34	0.66	0.75	0.74	0.85	0.80
35	0.59	0.70	0.68	0.82	0.77
36	0.53	0.65	0.64	0.79	0.73
37	0.48	0.50	0.59	0.76	0.70

Abbreviations: ALP, alkaline phosphatase; CK, creatine kinase; LDH, lactate dehydrogenase; SDH, sorbitol (iditol) dehydrogenase; ALT, alanine aminotransferase; AST, aspartate aminotransferase.

Examples of Use

A. To correct an enzyme activity to a standard temperature of 30EC, multiply the assay value at any temperature by the Tf of that temperature:

AlP activity at 27EC = 48 U/L; correct it to 30EC

$$48 \times 1.33 = 63.8 \text{ U/L}$$

B. To correct an enzyme activity at any one temperature to another temperature, multiply the assay value at the first temperature by the ratio of the Tf of the first temperature to the Tf of the second temperature:

AlP activity at 22EC = 38 U/L; correct it to 37EC

38 U/L \times 2.15/0.48 = 170.2 U/L at 37EC

Stability of Serum Enzymes under Various Storage Conditions^a

Enzyme	25EC	4EC	-25EC
Acid phosphatase	4 h ⁶	3 d ^c	3 d ^c
Alkaline phosphatase	$2-3 d^d$	2-3 d	1 month
Aldolase	2 d	2 d	Unstable ^e
Amylase	1 month	7 months	2 months
Cholinesterase	1 wk	1 wk	1 wk
Creatine kinase	2d	1 wk	1 month
γ -Glutamyl transferase	2 d	l wk	1 month
Glutamate dehydrogenase	1 d	2 d	1 d
Aspartate am inotransferase	3 d	1 wk	1 month
Alanine aminotransferase	2d	1 wk	Unstable
Hydroxybutyrate dehydrogenase	Unstable	3 d	Unstable ^e
Isocitrate dehydrogenase	5h	3 d	3 wk
Leucine aminopeptidase	1 wk	1 wk	1 wk
Lactate dehydrogenase	1 wk	1-3 d ^f	1-3 d ^f
Malate dehydrogenase	Unstable	3 d	3 d
Sorbitol (Iditol)dehydrogenase	Unstable	1 d	2 d

 $^{^{}m d}$ No more than 10% of the original activity lost during the specified time.

⁶ At pH 5-6

^cWith added citrate or acetate.

^d Activity may increase.

^eEnzyme does not tolerate thawing well.

 $^{^{\}it f}$ Depends on the isoenzyme profile.

Appendix V

Temperature Conversions among Degrees Celsius, Degrees Fahrenheit, and Degrees Kelvin

```
EC = 5/9 \times (EF - 32)

EF = (9/5 \times EC) + 32

EK = EC + 273.15

EC = EK - 273.15

EF = (9/5 \times EK) - 459.67

EK = (5/9 \times EF) + 255.37
```

Nomogram for Computing Relative Centrifugal Forces (RCF)

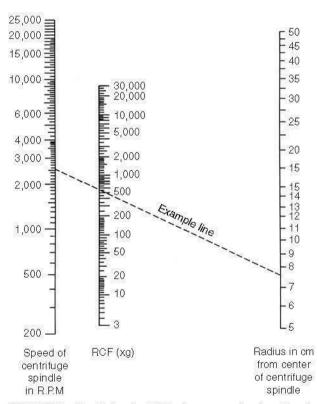


FIGURE VI-1 To calculate the RCF value at any point along the tube, measure the radius in cm from the center of the centrifuge spindle to the point. Draw a line from this radius value on the right-hand column to the centrifuge speed on the left-hand column. The RCF value is the point where the line intersects the center column. The nomogram is based on the following formula:

 $RCF = 0.00001118 \times radius \times RPM^2$.

Conversions of Body Weight to Square Meters of Body Surface Area for Dogs and Cats^a

Kg	M^2	Kg	M^2
0.5	0.06		
1.0	0.10	26.0	0.89
2.0	0.16	27.0	0.91
3.0	0.21	28.0	0.93
4.0	0.26	29.0	0.95
5.0	0.30	30.0	0.98
6.0	0.33	31.0	1.00
7.0	0.37	32.0	1.02
8.0	0.40	33.0	1.04
9.0	0.44	34.0	1.06
10.0	0.47	35.0	1.08
11.0	0.50	36.0	1.10
12.0	0.53	37.0	1.12
13.0	0.56	38.0	1.14
14.0	0.59	39.0	1.16
15.0	0.62	40.0	1.18
16.0	0.64	41.0	1.20
17.0	0.67	42.0	1.22
18.0	0.69	43.0	1.24
19.0	0.72	44.0	1.26
20.0	0.74	45.0	1.28
21.0	0.77	46.0	1.30
22.0	0.79	47.0	1.32
23.0	0.82	48.0	1.33
24.0	0.84	49.0	1.35
25.0	0.86	50.0	1.37

^aCalculated from: $A = kW^{0.667} \times 10^{-4}$, where A = body surface area in square meters (M^2); $k = constant\ 10.1$ for dogs; W = body weight in grams. For cats, the constant, k, is 10.0 so the conversion to body surface area closely approximates that for the dog and may be used interchangeably.

Appendix VIII Blood Analyte Reference Values in Large Animals^a

Analyte ⁶	Unit	Horse	Cow	Sheep	Goat	Llama	Pig
Acetylcholinesterase (AcChE): R	U/L	450–790	1270–2430	640	270		930
Alanine aminotransferase (ALT, GPT): S, HP	U/L	3-23 (14 ± 11)	11-40 (27 ± 14)	(30 ± 4)	6–19	6-14 (8.8 ± 2.6)	31–58 (45 ± 14)
Aldolase (ALD): S, HP	U/L						
Ammonia (NH ₄): S, HP	μmol/L μg/dL	7.63-63.4 (35.8 ± 17.0) 13-108 (61 ± 29)					
Amylase (Amyl): S, HP	U/L	75–150					
Arginase (ARG): S, HP	U/L	0-14 (11 ± 18)	1-30 (8.3 ± 6)	0-14 (5 ± 1)			0–14
Aspartate aminotransferase (AST, GOT): S, HP	U/L	226–366 (296 ± 70)	78–132 (105 ± 27)	60-280 (307 ± 43)	167–513	216–378 (292 ± 50)	32-84 (61 ± 26)
Bicarbonate (HCO ₃): S, P	mmol/L	20–28	17–29	20–25			18–27
Bile acids, total (TBA): S	μ mol/L	5–28	20–80				
Bilirubin: S, P, HP Conjugated (CB)	μmol/L mg/dL	0-6.84 (1.71) 0-0.4 (0.1)	0.68–7.52 (3.08) 0.04–0.44 (0.18)	0-4.61 (2.05) 0-0.27 (0.12)			0-5.13 (1.71 ± 1.71) 0-0.3 (0.1 ± 0.1)
Unconjugated (UCB)	μ mol/L	3.42–34.2	0.51	0–2.05 (17.1)			0-5.13
	mg/dL	0.2–2.0 (1.0)	0.03	0-0.12			0-0.3
Total (TB)	μ mol/L	7.1–34.2 (17.1)	0.17–8.55 (3.42)	1.71-8.55 (3.93 ± 1.71)	0-1.71	0-17.1 (3.42 ± 3.42)	0-17.1 (3.42 ± 3.42)
	mg/dL	1-2.0 (1.0)	0.01-0.5 (0.2)	$0.1-0.5$ (0.23 \pm 0.1)	0-0.1	$0-1.0$ (0.2 ± 0.2)	0-1.0 (0.2 ± 0.2)
Butyrylcholinesterase (ButChE): P	U/L	2000–3100	70	0–70	110		400–430

Calcium (Ca): S, HP	mmol/L	2.80-3.40 (3.10 ± 0.14)	2.43-3.10 (2.78 ± 0.15)	2.88-3.20 (3.04 ± 0.07)	2.23-2.93 (2.58 ± 0.18)	2.20-2.58 (2.30 ± 0.23)	1.78-2.90 (2.41 ± 0.25)
	mg/dL	$11.2-13.6$ (12.4 ± 0.58)	9.7-12.4 (11.08 ± 0.67)	11.5-12.8 (12.16 ± 0.28)	$8.9-11.7$ (10.3 \pm 0.7)	8.0-10.3 (9.2 ± 0.9)	7.1-11.6 (9.65 ± 0.99)
Carbon dioxide, pressure (pCO ₂): S, P	mmHg	38-46 (42.4 ± 2.0)	35–44	(41.3 ± 4.7)			
Carbon dioxide, total (TCO ₂): S, P	mmol/L	24–32 (28)	21.2–32.2 (26.5)	21–28 (26.2)	25.6–29.6 (27.4 ± 1.4)		
Chloride (Cl): S, HP	mmol/L	99-109 (104 ± 2.6)	97–111 (104)	95–103	99-110.3 (105.1 ± 2.9)	102-109 (105 ± 2)	94–106
Cholesterol (Chol): S, P, HP							
Ester	mmol/L		$1.50-2.28$ (1.89 \pm 0.39)				988VI 977V
	mg/dL		58–88 (81.1)	(73 ± 15)			28–48
Free	mmol/L	(0.41)	0.57-1.35 (0.96 ± 0.39)	(1.66 ± 0.31)			0.72-1.24
	mg/dL	(15.7)	22-52 (37 ± 15)				5.7–10.9
Total	mmol/L	1.94-3.89 (2.88 ± 0.47)	2.07–3.11	1.35-1.97 (1.66-0.31)	2.07-3.37 (1.55 ± 0.67)	0.91-2.93	0.93-1.40
	mg/dL	75–150 (111 ± 18)	80–120	52–76 (64 ± 12)	80–130	35-113 (60 ± 26)	36–54
Copper (Cu): S	μ mol/L		5.16–5.54	9.13-25.2			20.9–43.8 (32.4)
	μg/dL		32.8–35.2	58–160			133–278 (206)
Coproporphyrin (COPRO): HB, HP, R	μmol/L μg/dL		trace trace				SS
Cortisol (Cort-RIA): S, HP	nmol/L	36–81	(17 ± 2)	(62 ± 10)	(65 ± 8)		(82 ± 3)
	μ g/dL	1.30-2.93	(1.) = 1/	(X-2 7		\-= = =/
			(0.61 ± 0.07)	(2.24 ± 0.36)	(2.35 ± 0.29)		(2.97 ± 0.10)
Creatine kinase (CK), S, HP	U/L	$2.4-23.4$ (12.9 \pm 5.2)	4.8-12.1 (7.4 ± 2.4)	8.1-12.9 (10.3 ± 1.6)	$0.8-8.9$ (4.5 ± 2.8)	17-101 (40.8 ± 29.9)	$2.4-22.5$ (8.9 \pm 6.0)
Creatinine (Creat): S, P, HP	μmol/L	106–168	88.4–177	106–168	88.4–159	97.2-221 (150 ± 35.4)	141-239 (141 ± 5.3)
Creatinine (Creat): S, P, HP	mg/dL	1.2-1.9	1.0-2.0	1.2-1.9	1.0-1.8	1.1-2.5 (1.7 ± 0.4)	1.0-2.7 (1.6 ± 0.06)

15 Kg 6	988 - 24	E88	Alle	98/98	38%	36725	2744
Analyte ⁶	Unit	Horse	Cow	Sheep	Goat	Llama	Pig
Fatty acid, free (FFA): HP	mg/L		30–100				
Fibrinogen (Fibr): P, HP	μ mol/L	2.94-11.8 (7.65 ± 2.35)	8.82-20.6	2.94-14.7	2.94-11.8		2.94-14.7
	g/L	1.0-4.0 (2.6 ± 0.8)	3.0-7.0	1.0-5.0	1.0-4.0		1.0-5.0
	mg/dL	100-400 (260 ± 80)	300–700	100–500	100–400		100–500
Glucose (Glu): S, P, HP	mmol/L	4.16-6.39 (5.30 ± 0.47)	2.50-4.16 (3.19 ± 0.38)	2.78-4.44 (3.80 ± 0.33)	$2.78-4.16$ (3.49 ± 0.39)	5.72-8.89 (7.10 ± 0.89)	4.72-8.33 (6.61 ± 0.96
	mg/dL	75-115 (95.6 ± 8.5)	$45-75$ (57.4 \pm 6.8)	50-80 (68.4 ± 6.0)	50-75 (62.8 ± 7.1)	103-160 (128 ± 16)	85-150 (119 ± 17)
Glutamate dehydrogenase (GD): S, HP	U/L	0-11.8 (5.6 ± 4.2)	31	20			0
Glutamic oxaloacetic transaminase (GOT): see AST							
Glutamic pyruvate transaminase (GPT): see ALT							
γ-Glutamyl transferase (GGT): S, P	U/L	$4.3-13.4$ (7.6 ± 1.5)	6.1–17.4 (15.7 ± 4.0)	20-52 (33.5 ± 4.3)	20-56 (38 ± 13)	7–29 (15.8 ± 6.4)	10-60 (35 ± 21)
Glutathione (GSH): B	mmol/L		2.47-3.67 (2.89 ± 0.46)				
Glutathione (GSH): B	mg/dL		76-113 (89 ± 14)				
Glutathione peroxidase (GP _x): H, B	U/100g Hb	(7931 ± 1620)					
Glutathione reductase (GR): H, B	U/100g Hb	(33.3 ± 10.5)	(19.5 ± 3.9)	(34.3 ± 7.5)	(98 ± 16)		(68.2 ± 9.2)
Haptoglobin (Hp): S, HP	g/L						
Hemoglobin (Hb): B	g/L	110-190 (144 ± 17)	80–150 (110)	90–140 (115)	80–120 (100)	132–205 (173)	100–160 (130)
Icterus index (II): P, HP	Unit	5–20	5–15	2–5	2–5		2-5
Iditol dehydrogenase (ID): see SDH							
Insulin (Ins): S, HP	pmol/L μU/mL		0–35.9 0–5				
Iodine, total (I): S	nmol/L μg/dL	394–946 5–12					

Iron (Fe): S	μmol/L μg/dL	13.1-25.1 (19.9 ± 1.97) 73-140	10.2–29.0 (17.4 ± 5.19) 57–162	29.7–39.7 (34.5 ± 1.25) 166–222			16.3-35.6 (21.7 ± 5.91) 91-199
		(111 ± 11)	(97 ± 29)	(193 ± 7)			(121 ± 33)
Iron binding capacity, total (TIBC): S	μmol/L μg/dL	(59.1 ± 5.7) (330 ± 32)	(41.2 ± 11.6) (230 ± 65)				(74.6 ± 12.9) (417 ± 72)
Iron binding capacity, unbound (UIBC): S	μ mol/L	35.8-46.9 (39.0 ± 3.8)	11.3-33.3 (23.5 ± 6.4)				
	μ g/dL	200-262 (218 ± 21)	63-186 (131 ± 36)				100-262 (196 ± 39)
lsocitrate dehydrogenase (ICD): S, HP	U/L	4.8-18.0 (10.0 ± 3.3)	9.4-21.9 (16.7 ± 2.8)	0.4-8.0 (4.7 ± 2.8)			
Ketones (Ket): HP	97						
Acetoacetate (AcAc):	mmol/L mg/dL	(0.029 ± 0.003)	0-0.11 (0.043 ± 0) 0-1.1	(0.030 ± 0.002)			
	mg/dL	(0.30 ± 0.03)	(0.5)	(0.30 ± 0.02)			
Acetone (Ac):	mmol/L mg/dL	0-10	0-1.72 0-10	0-1.72			
β -Hydroxybutyrate (β -OHB) or 3-Hydroxybutyrate (3-OHB):	mmol/L mg/dL	(0.064 ± 0.006) (0.67 ± 0.06)	(0.41 ± 0.03) (9.90 ± 1.88)	(0.55 ± 0.04) (5.73 ± 0.42)			
Lactate (Lac): B	mmol/L mg/dL	1.11–1.78 10–16	0.56–2.22 5–20	1.00–1.33 9–12			
Lactate dehydrogenase (LDH): S, HP	U/L	162-412 (252 ± 63)	692-1445 (1061 ± 222)	238-440 (352 ± 59)	123-392 (281 ± 71)	88-487 (320 ± 116)	380-634 (499 ± 75)
LDH isoenzymes: S, P							
LDH-1 (heart, anodal)	%	6.3-18.5 (11.5 ± 4.0)	39.8–63.5 (49.0 ± 5.4)	45.7–63.6 (54.3 ± 6.5)	29.3-51.8 (41.0 ± 8.0)		34.1-61.8 (50.8 ± 10.1)
LDH-2	%	8.4-20.5 (14.8 ± 3.2)	19.7-34.8 (27.8 ± 3.4)	0-3.0 (0.8 ± 1.2)	0-5.4 (2.4-1.8)		5.9–9.2 (7.3–1.2)
LDH-3	%	41.0-65.9 (50.2 ± 7.2)	11.7-18.1 (14.5 ± 1.9)	16.4-29.9 (23.3 ± 4.0)	24.4-39.9 (31.2 ± 6.2)		5.7-11.7 (7.4 ± 1.9)
LDH-4	%	9.5–20.9 (16.2 ± 3.8)	$0-8.8$ (4.4 \pm 2.4)	4.3-7.3 (5.3 ± 1.0)	0-5.5 (2.5 ± 2.5)		$6.9-15.9$ (10.9 ± 3.1)
LDH-5 (liver, muscle, cathodal)	%	$1.7-16.5$ (7.3 ± 4)	0-12.4 (4.3 ± 3.4)	10.5-29.1 (16.3 ± 6.2)	14.1-36.8 (20.9 ± 9.4)		16.3-35.2 (23.6 ± 6.5)

(Continued)							
Analyte ⁶	Unit	Horse	Cow	Sheep	Goat	Llama	Pig
Lead (Pb): HB	μ mol/L	0.24-1.21	0-1.16 (0.48 ± 0.29)	0.24-1.21	0.24-1.21		
	μg/dL	5–25	0-24 (10 ± 6)	5–25	5–25		
Magnesium (Mg): S	mmol/L	0.90-1.15 (1.03 ± 0.13)	0.74-0.95 (0.84 ± 0.10)	0.90-0.31 (1.03 ± 0.12)	0.31-1.48 (1.32 ± 0.14)	0.75-1.55 (0.95 ± 0.10)	1.11-1.52 (1.31 ± 0.20
	mg/dL	$2.2-2.8$ (2.5 \pm 0.31)	$1.8-2.3$ (2.05 \pm 0.25)	2.2-2.8 (2.5 ± 0.3)	2.8-3.6 (3.2 ± 0.35)	$1.82-3.77$ (2.31 \pm 0.24)	$2.7-3.7$ (3.2 ± 0.49)
Ornithine carbamyl transferase	MONGAMON	1000 May 100 May 100 May	ASSESS OF THE STATE OF THE STAT				
(OCT): S, HP	U/L	(3.3 ± 4.2)	(4.7 ± 0.3)				
pH: HB	Unit	7.32 ± 7.44 (7.38 ± 0.03)	7.31–7.53 (7.38)	7.32–7.54 (7.44)			
Phosphatase, alkaline (AIP). S, HP	U/L	143-395 (244 ± 101)	0-488 (194 ± 126)	68-387 (178 ± 102)	93-387 (219 ± 76)	41-92 (63 ± 17)	118-395 (194 ± 84)
Phosphate, Inorg (Pi): S, HP	mmol/L	1.00-1.81	1.81–2.10	1.62-2.36 (2.07 ± 0.06)	(4.62 ± 0.25)	1.00-3.49 (2.06 ± 0.87)	1.71-3.10
	mg/dL	3.1–5.6	5.6–6.5	5.0-7.3 (6.4 ± 0.2)	4.2–9.1 (6.5)	3.1-10.8 (6.4 ± 2.7)	5.3–9.6
Potassium (K): S, HP	mmol/L	2.4-4.7 (3.51 ± 0.57)	3.9–5.8 (4.8)	3.9-5.4	3.5-6.7 (4.3 ± 0.5)	4.6-7.1 (5.6 ± 0.8)	4.4–6.7
Potassium (K): R	mmol/L	(88)	10-45 (24 ± 7.0)	(64 or 18)			(100)
Protein (Prot): S							
Total (TP)	g/L	52.0-79.0 (63.5 ± 5.9)	$67.4-74.6$ (71.0 ± 1.8)	$60.0-79.0$ (72.0 ± 5.2)	64.0-70.0 (69.0 ± 4.8)	58.0-75.0 (64.9 ± 4.9)	79.0-89.0 (84.0 ± 5.0)
Protein (Prot): S Electrophoresis (SPE), cellulose acetate (CA):							
Albumin	g/L	26.0-37.0 (30.9 ± 2.8)	30.3-35.5 (32.9 ± 1.3)	24.0-30.0 (27.0 ± 1.9)	27.0–39.0 (33.0 ± 3.3)	36.0-48.0 (42.5 ± 3.9)	19.0–39.0 (25.9 ± 7.1)
Globulin, total	g/L	26.2-40.4 (33.3 ± 7.1)	$30.0-34.8$ (32.4 ± 2.4)	35.0-57.0 (44.0 ± 5.3)	27.0-41.0 (36.0 ± 5.0)	16.0-29.0 (22.4 ± 3.9)	52.9-64.3 (58.6 ± 5.7)
α	g/L		7.5-8.8 (7.9 ± 0.2)	3.0-6.0 (5.0 ± 1.0)	5.0-7.0 (6.0 ± 0.6)	6.0-9.0 (7.7 ± 1.3)	
α_1	g/L	0.6-7.0 (1.9 ± 2.6)					3.2-4.4 (3.8 ± 0.6)

Thyroxine (T₄-RIA): S	nmol/L μg/dL	11.6-36.0 (0.024 ± 0.004) 0.9-2.8 (1.55 ± 0.27)	54.0-110.7 (82.4) 4.2-8.6 (6.4)			131.6-286.4 (185.8 ± 50.3) 10.2-22.2 (14.4 ± 3.9)	
Sorbitol dehydrogenase (SDH): S, HP	U/L	$1.9-5.8$ (3.3 \pm 1.3)	4.3–15.3 (9.2 ± 3.1)	$5.8-27.9$ (15.7 \pm 7.5)	$14.0-23.6 (19.4 \pm 3.6)$	1-17 (4.9 ± 6.2)	$1.0-5.8$ (2.6 ± 1.6)
Sodium (Na): S, HP	mmol/L	132–146 (139 ± 3.5)	132–152 (142)	139–152	142-155 (150 ± 3.1)	148–155 (152 ± 1.9)	135–150
Pyruvate (PYR): R	μ mol/L		(54.0 ± 24.0)				
Pseudocholinesterase (PsChE); see ButChE							
Protoporphyrin (PROTO): R	μmol/L μg/dL		trace trace				(2.1) 118
A/G Ratio		6.2–14.6 (9.6 ± 1.7)	8.4–9.4 (8.9 ± 0.5)	4.2-7.6 (6.3 ± 0.9)	6.3–12.6 (9.5 ± 1.7)	1.31-3.86 (1.96 ± 0.45)	$3.7-5.1$ (4.4 ± 0.7)
γ_2	g/L			2.0-11.0 (8.0 ± 3.0)			
$\gamma_{ m i}$	g/L			7.0-22.0 (16.0 ± 4.1)			
γ	g/L	$5.5-19.0$ (10.0 \pm 1.4)	$16.9-22.5$ (19.7 \pm 1.4)		9.0-30.0 (17.0 ± 4.4)	5.0-10.0 (7.0 ± 2.2)	22.4-24.6 (23.5 ± 1.1
eta_2	g/L	$2.9-8.9$ (5.7 \pm 1.1)		$4.0-14.0$ (7.0 ± 2.6)	$3.0-6.0$ (4.0 ± 0.2)		$12.6-16.8$ (14.7 ± 2.1)
$oldsymbol{eta}_1$	g/L	4.0-15.8 (9.2 ± 3.0)		$7.0-12.0$ (10.0 \pm 1.4)	7.0-12.0 (9.0 ± 1.0)		1.3-3.3 (2.3 ± 1.0)
β	g/L		8.0-11.2 (9.6 ± 0.8)			$10.0-11.0$ (10.3 ± 0.5)	
α_2	g/L	3.1-13.1 (6.5 ± 1.3)					12.8-15.4 (14.1 ± 1.3

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Analyte ⁶	Unit	Horse	Cow	Sheep	Goat	Llama	Pig
Thyroxine, free (FT ₄): S	pmol/L pg/dL						
Triglyceride, total (TG): S	mmol/L mg/dL	0.1-0.5 4-44	0-0.2 0-14				
Triiodothyronine (T₃-RIA)	nmol/L ng/dL	(0.85 ± 0.52)				1.35-4.06 (2.27 ± 0.94) 88-264	
0		(55.34 ± 33.9)					
Urate (UA): S, P, HP	mmol/L mg/dL	53.5–65.4 0.9–1.1	0-119.0 0-2	0-113.0 0-1.9	17.8–59.5 0.3–1		
Urea (UR): S, P, HP	mmol/L	3.57-8.57	7.14–10.7	2.86–7.14	3.57-7.14 (5.36 ± 0.71)	4.28-12.14 (9.71 ± 2.61)	3.57-10.7
Urea nitrogen (UN): S,P, HP	mg/dL	10–24	20–30	8–20	10-20 (15 ± 2.0)	12-34 (27.2 ± 7.3)	10-30
Vitamin A (Vit A): Carotene: S	μ mol/L	0.37–3.26 (1.86)	0.47–17.7 (0.74)	0-0.37 (18.8)			
	μ g/dL	20–175 (100)	25–950 (40)	0-20 (10)			
Carotenol: S	μ mol/L	0.17–0.30 (0.22)	0.19-0.56 (0.45)	0.37-0.84			0.19–0.65 (0.37)
	μ g/dL	9–16 (12)	10–30 (24)	20–45			10–35 (20)

^aRanges with means and standard deviations in parentheses.

^b Abbreviations: B, blood; HB, heparinized blood; HP, heparinized plasma; P, plasma; S, serum; R, erythrocytes.

Appendix IX Blood Analyte Reference Values in Small and Some Laboratory Animals^a

Analyte ⁶	Unit	Dog	Cat	Rat	Mouse	Rabbit	Monkey
Acetylcholinesterase (AcChE): R	U/L	270	540				
Alanine amino transferase (ALT, GPT): S, HP	U/L	21-102 (47 ± 26)	6-83 (26 ± 16)	(35.1 ± 13.3)	(19.0)	(79.0)	0-82 (27 ± 28)
Aldolase (ALD): S, HP	U/L						
Ammonia (NH ₄): S, HP	μ mol/L	11.2-70.4	(31.1 ± 14.7)				
	μg/dL	19-120 (53 ± 25)	(5)				
Amylase (Amyl): S, HP	U/L	185–700					
Arginase (ARG): S, HP	U/L	0-14	0–14	(21.3)			
Aspartate amino transferase (AST, GOT): S, HP	U/L	23-66 (33 ± 12)	26–43 (35 ± 9)	(42.9 ± 10.1)	(37.0)	(47.0)	13-37 (22 ± 8)
Bicarbonate (HCO ₃): S, P	mmol/L	18–24	17-21				
Bilirubin: S, P, HP Conjugated (CB)	μmol/L mg/dL	1.03–2.05 0.06–0.12					0.68-5.98 (0.68 ± 0.68) 0.04-0.35 (0.04 ± 0.04)
Total (TB)	μ mol/Lmg/dL	1.71-8.55 (3.42 ± 1.71) 0.10-0.50	2.57–8.55 0.15–0.50	(5.13 ± 2.39)	(6.84 ± 8.55)	(6.84 ± 8.55)	1.71-8.55 (4.28 ± 0.86) 0.10-0.50
	9	(0.20 ± 0.10)		(0.30 ± 0.14)	(0.4 ± 0.5)	(0.40 ± 0.50)	(0.25 ± 0.05)
Unconjugated (UCB)	μ mol/L	0.17-8.38					0-3.76 (3.42 ± 3.08)
	mg/dL	$0.01-0.49$ (0.20 ± 0.18)					0-0.22

Analyte ⁶	Unit	Dog	Cat	Rat	Mouse	Rabbit	Monkey
Bile acid, total (TBA): S	μ mol/L	0-5.0 (2.60 ± 0.40)	0-5.0 (1.70 ± 0.30)				5.0–14.0 (10)
Butyrylcholinesterase (ButChE): P	U/L	1210-3020	640-1400				523-1711 (589 ± 260)
Calcium (Ca): S, HP	mmol/L mg/dL	2.25-2.83 (2.55 ± 0.15) 9.0-11.3	1.55–2.55 (2.06–0.24) 6.2–10.2	1.50-2.65 (2.00 ± 0.32) 6.00-10.6	1.20-1.86 (1.39 ± 0.20) 4.80-7.44	1.46-3.60 (2.50 ± 0.56) 5.84-14.4	2.28-2.95 (2.55 ± 1.50) 9.1-11.8
NOTE IN MINE OF THE PROPERTY AND THE PRO	1955	(10.2 ± 0.60)	(8.22 ± 0.97)	(8.00 ± 1.28)	(5.56 ± 0.80)	(10.0 ± 2.24)	(10.2 ± 6.0)
Carbon dioxide, pressure (pCO ₂): S, P	mmHg	(38)	(36)				
Carbon dioxide, total (TCO ₂): S, P	mmol/L	17–24 (21.4)	17–24 (20.4)				9.6-25.9 (18.6 ± 4.0)
Chloride (Cl): S,HP	mmol/L	105–115	117–123	79.4-111.3 (96.8 ± 6.4)	95.6–128.9 (107.6 ± 6.7)	85.0-105.3 (96.5 ± 6.8)	97.5-113.5 (105 ± 4.0)
Cholesterol (Chol): S, P, HP							
Ester	mmol/L mg/dL	1.04-2.02 (1.53 ± 0.49) 40-78	1.04-2.23 (1.63 ± 0.60) 40-86				
	III & ALD	(59 ± 19)	(63 ± 23)				
Free	mmol/L	$0.80-1.84$ (1.32 ± 0.52)	$0.52-1.04$ (0.78 \pm 0.26)				0.19-1.08 (0.57 ± 0.26)
	mg/dL	31-71 (51 ± 20)	20-40 (30 ± 10)				7.4-41.7 (22 ± 10)
Total	mmol/L	3.50-6.99 (4.61 ± 0.98)	2.46–3.37	0.13-1.41 (0.73 ± 0.35)	0.74-2.86 (1.61 ± 0.43)	0.14-1.86 (0.69 \pm 0.41)	2.51-4.82 (3.81 ± 0.88)
	mg/dL	135-270 (178 ± 38)	95–130	5.1-54.2 (28.3 ± 13.7)	28.6-110.4 (62.1 ± 16.7)	5.3-71.0 (26.7 ± 15.9)	97-186 (147 ± 34)
Соррет (Cu): S	μmol/L μg/dL	15.7–31.5 100–200					
Cortisol (Cort-RIA): S, HP	nmol/L	27–188	9–71				(850 ± 224)
	μ g/dL	0.96–6.81	0.33-2.57				(30.8 ± 8.1)
Creatine kinase (CK): S, HP	U/L	1.15-28.40 (6.25 ± 2.06)	7.2-28.2 (19.5 ± 6.7)	(183)	(155)	(544)	(125)
Creatinine (Creat): S, P, HP	μmol/L	44.2–132.6	70.7–159	35.4-331.5 (140.6 ± 69.8)	44.2-123.8 (74.2 ± 16.8)	70.7–227.2 (140.6 ± 30.1)	70.7–205.0 (124.6 ± 27.4)
	mg/dL	0.5–1.5	0.8–1.8	0.40-3.75 (1.59 \pm 0.79)	$0.5-1.4$ (0.84 \pm 0.19)	$0.8-2.57$ (1.59 ± 0.34)	0.8-2.32 (1.41 ± 0.31)

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Fibrinogen (Fibr): P, HP	μmol/L g/L mg/dL	5.88-11.8 2.0-4.0 200-400	1.47–8.82 0.5–3.0 50–300				
Fructosamine (FrAm): S, P, HP (Sigma method)	mmol/L	1.70-3.38 (2.54 ± 0.42)	2.19-3.47 (2.83 ± 0.32)				
(BMC method)	μ mol/L	170-338 (182.30 ± 30.14)	219-347 (203 ± 22.96)				
Glucose (Glu): S, P, HP	mmol/L	3.61-6.55 (5.05 ± 0.67)	3.89-6.11 (5.05 ± 0.42)	2.65-5.94 (4.07 ± 1.01)	1.74-11.11 (5.12 ± 2.49)	2.78-5.18 (4.08 ± 0.53)	4.72-7.27 (5.94 ± 0.72)
	mg/dL	(9.05 ± 0.07) 65-118 (91 ± 12)	(9.05 ± 0.42) 73-134 (91 ± 7.5)	(7.7 ± 1.01) 47.7 - 107.0 (73.3 ± 18.2)	$\begin{array}{c} (9.12 \pm 2.49) \\ 31.4 - 200 \\ (92.2 \pm 44.9) \end{array}$	50.0-93.2 (73.4 ± 9.5)	$85-131 (107 \pm 12.9)$
Glutamate dehydrogenase (GD): S, HP	U/L	(3)		(4)	(9)	(16)	(40)
Glutamic oxaloacetic transaminase (GOT): see AST							
Glutamic pyruvate transaminase (GPT): see ALT							
γ-Glutamyl transferase (GGT): S, P	U/L	1.2-6.4 (3.5 ± 1.8)	1.3-5.1			(9)	(62)
Glutathione (GSH): R	mmol/L	(2.07 ± 0.36)	(1.97 ± 0.19)				
Glutathione peroxidase (GPx): HB	U/100 gHb	(8921 ± 237)	(12135 ± 616)				
Gluthathione reductase (GR): HB	U/100gHb	(137 ± 7.0)	(405 ± 48)				
Hemoglobin (Hb): B	g/L	12-18	8-14				
Hemoglobin A1c (HbA1c): HB	%	2.3-6.4					
Icterus index (II): P, HP	Unit	2–5	2–5				
Iditol dehydrogenase (ID): see SDH							
Insulin (Ins): S, HP	pmol/L	36-144 (86.1 ± 35.9)	0-129				
	μ U/mL	5-20 (12 ± 5)	0–18				
lodine, total (I): S	nmol/L	394-1576 (473 ± 276)					
	μg/dL	5–20 (6.0 ± 3.5)					
Iron (Fe): S	μ mol/L	5.37–32.2 (15.5 ± 5.5)	12.2–38.5 (25.1)	(39.4 ± 22)	(60.1 ± 2.2)	(36.5 ± 33.4)	
	μ g/dL	30-180 (86.4 ± 30.8)	68–215 (140)	(220 ± 124)	(336 ± 12)	(204 ± 19)	

Analyte ⁶	Unit	Dog	Cat	Rat	Mouse	Rabbit	Monkey
ron binding capacity, total	2960	posterior de la redenidación					
(TIBC): S	μ mol/L	29.5–74.9 (57.7 ± 7.9)	(51.9)	(65.9)		(48.4)	(79.7)
	μg/dL	165–418	(21.9)	(02.9)		(40.4)	(12.1)
		(322 ± 44)	(290)	(368)		(270)	(445)
ron binding capacity, unbound							
(UIBC): S	μ mol/L	30.4–39.7 (35.8)	18.8–36.7 (26.9)				
	μ g/dL	170–222	105–205				
	r.6	(200)	(150)				
socitrate dehydrogenase (ICD):							
S, HP	U/L	0.4-7.3	2.0-11.7		ADICHESTS	Neider approaches	100 60 60 60
		(3.0 ± 1.7)	(5.3 ± 3.2)	(4)	(32)	(137)	(28)
Ketones (Ket): HP	mmol/L	(0.018 ± 0.018)					
Acetoacetic acid (AcAc)	mg/dL	(0.018 ± 0.018)					
3-Hydroxybutyric acid (3-OHB)	mmol/L	(0.030 ± 0.006)					
,	mg/dL	(0.30 ± 0.06)					
Lactate (Lac): B	mol/L	0.22-1.44					
	mg/dL	2–13					
Lactate dehyrogenase (LDH): S, HP	U/L	45-233	63-273				173-275
		(93 ± 50)	(137 ± 59)	(46.6 ± 22.0)	(366)	(94.3 ± 28.8)	(232 ± 31)
LDH isoenzymes: S, HP	300	NZ 6002 10015 1201	19 105 0				355576 33100 10
LDH-1 (heart, anodal)	%	$1.7-30.2$ (13.9 \pm 9.5)	0-8.0 (4.5 ± 2.8)				2.7-38.2 (17.2 ± 8.4)
LDH-2	%	1.2–11.7	3.3–13.7				4.3–39.7
ADDITA2	16	(5.5 ± 4.2)	(6.1 ± 3.4)				(19.8 ± 9.4)
LDH-3	%	10.9–25.0	10.2–20.4				12.8–50.4
		(17.1 ± 5.7)	(13.3 ± 3.4)				(24.5 ± 7.2)
LDH-4	%	11.9-15.4	11.6-35.9				0.8-38.0
		(13.0 ± 1.2)	(23.6 ± 8.6)				(17.7 ± 10.6)
LDH-5 (liver, muscle, cathodal)	%	30.0–72.8	40.0–66.3				4.7–36.3
D DE MAITEUR MASSION	b/bety-	(50.5 ± 16.9)	(52.5 ± 9.3)				(18.6 ± 8.3)
Lead (Pb): HB	µmol/L	0–2.42 0–50					
TO SEE SECURIOR AND STORE STATE SECURIORS SEE SECURIORS SEE SECURIORS SEE SECURIORS SEE SECURIORS SECURIOR SECU	μg/dL	80 871000		240355	WILLIAG HARE	160ARES CHAI	0.39C.42980.825E
Leucine aminopeptidase (LAP): S, HP	U/L	(13)		(25)	(25)	(46)	(29)

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Lipase (Lip): S	U/L	13-200	0-83				
Magnesium (Mg): S	mmol/L	0.74-0.99 (0.86 ± 0.12)	(0.90)	(1.28 ± 0.17)	(1.28 ± 0.15)	(0.92 ± 0.07)	(0.68 ± 0.13)
	mg/dL	$1.8-2.4$ (2.1 \pm 0.3)	(2.2)	(3.12 ± 0.41)	(3.11 ± 0.37)	(2.25 ± 0.16)	(1.65 ± 0.32)
Malate dehydrogenase (MD): S, HP	U/L	(199)	(132)	(118)	(419)	(1000)	(109)
Ornithine carbamyl transferase (OCT): S, HP	U/L	(2.7 ± 0.7)	(3.8 ± 1.0)				
Oxygen, pressure (pO ₂): HB	mm Hg	85–100	78–100				
pH: HB	Unit	7.31-7.42 (7.36)	7.24–7.40 (7.35)				
Phosphatase, acid (AcP): S, HP	U/L	5–25	0.5–24				
Phosphatase, alkaline (AlP): S, HP	U/L	20-156 (66 ± 36)	25-93 (50 ± 35)	(133 ± 134)	(66 ± 19)	(120 ± 13.8)	$100-277$ (171 ± 55)
Phosphate (Pi): S, HP	mmol/L mg/dL	0.84-2.00 (1.39 ± 0.29) 2.6-6.2	1.45–2.62 (2.00) 4.5–8.1	(2.29 ± 0.38)	(2.12 ± 0.42)	(1.34 ± 0.15)	1.42-1.78 (1.62 ± 0.13) 4.4-5.5
	7,000	(4.3 ± 0.9)	(6.2)	(7.08 ± 1.19)	(6.55 ± 1.30)	(4.16 ± 0.46)	(5.0 ± 0.4)
Potassium (K): S, HP	mmol/L	4.37–5.35 (4.90)	4.0–4.5 (4.3)	(6.50 ± 1.33)	(5.40 ± 0.15)	(5.3 ± 0.5)	$3.5-6.5$ (4.7 ± 0.6)
Protein (Prot): S Total (TP) Electrophoresis (SPE), cellulose	g/L	54.0-71.0 (61.0 ± 5.2)	$54.0-78.0$ (66.0 ± 7.0)	(75.2 ± 2.7)	(62.0 ± 2.0)	(64.5 ± 3.1)	$78.0-96.0$ (87.2 \pm 7.3)
acetate (CA): Albumin	g/L	26.0-33.0 (29.1 ± 1.9)	21.0-33.0 (27.0 ± 1.7)	(41.7 ± 2.1)	(34.0 ± 1.0)	(27.3 ± 3.0)	31.3-53.0 (42.1 ± 2.0)
Globulin, total	g/L	$27.0-44.0$ (34.0 \pm 5.1)	26.0-51.0 (39.0 ± 6.9)				30.5–52.2 (41.4–2.0)
$lpha_1$	g/L	2.0-5.0 (3.0 ± 0.3)	$2.0-11.0$ (7.0 ± 0.2)				1.0-4.9 (2.7 ± 0.3)
$lpha_2$	g/L	3.0-11.0 (6.0 ± 2.1)	4.0-9.0 (7.0 ± 0.2)				$2.5-8.0$ (4.7 ± 0.5)
β	g/L						9.6-27.2 (18.9 ± 1.7)
$oldsymbol{eta}_1$	g/L	7.0-13.0 (8.2 ± 2.3)	$3.0-9.0$ (7.0 ± 0.3)				
eta_2	g/L	6.0-14.0 (8.9 ± 3.3)	$6.0-10.0$ (7.0 ± 0.2)				
γ	g/L						7.3-28.4

Analyte ⁶	Unit	Dog	Cat	Rat	Mouse	Rabbit	Monkey
$\gamma_{\rm i}$	g/L	5.0-13.0 (8.0 ± 2.5)	3.0-25.0 (16.0 ± 7.7)				
γ_2	g/L	$4.0-9.0$ (7.0 ± 1.4)	14.0-19.0 (17.0 ± 3.6)				
A/G ratio	WP	0.59-1.11 (0.83 ± 0.16)	0.45-1.19 (0.71 \pm 0.20)	(0.59)	(0.62)	(0.58)	0.72-1.21 (0.94 ± 0.16)
Pseudocholinesterase (PsChE); see ButChE							
Sodium (Na): S, HP	mmol/L	141-152 (146)	147–156 (152)	(146.8 ± 0.93)	(138.0 ± 2.9)	(141.0 ± 4.5)	142-160 (149 ± 5)
Sodium (Na): R	mmol/L	(107)	(104)				
Sorbitol dehydrogenase (SDH): S, HP	U/L	2.9-8.2 (4.5 ± 1.9)	3.9-7.7 (5.4 ± 1.3)	(20.3 ± 4.16)	(29.6 ± 7.4)		
Chyroxine (T₄-RIA): S	nmol/L	7.7-46.4 (29.7 ± 10.3)	1.3-32.3 (12.9 ± 6.5)				
	μ g/dL	0.6-3.6 (2.3 ± 0.8)	0.1-2.5 (1.0 ± 0.5)				(4.1 ± 0.6)
'hyroxine, free (FT ₄): S	pmol/L	6.35–34.75 (45.5 ± 4.4)					
	ng/dL	(45.9 ± 4.4) 0.5-2.7 (3.53 ± 0.34)					
Triglyceride, total (TG): S	mmol/L mg/dL	(0.43) (38.1)	(0.40) (35.4)	(1.96 ± 0.29) (173.3 ± 25.9)	(1.53) (135.4)	(1.38) (122.0)	(0.75 ± 0.58) (66.6 ± 51.3)

Triiodothyronine (T ₃ -RIA): S	nmol/L	1.26–2.13	0.23-1.59				
	ng/dL	(1.65 ± 0.28) 82-138 (107 ± 18)	15–104				
Triglyceride, total (TG): S	mmol/L mg/dL	0.2–1.3 20–112	0.1-1.3 10-114				
Urate (UA): S, P, HP	mmol/L	0-119	0-59.5			V-2005 204 188	10020 G G G S S
	mg/dL	0–2	0-1	(90.4 ± 17.8)		(70.2 ± 16.6)	(71.4 ± 16.6)
	mr@/db				(1.52 ± 0.30)	(1.18 ± 0.28)	(1.20 ± 0.28)
Urea (UR): S, P, HP	mmol/L	1.67–3.33	3,33–5,00				1.33–3.33
		(2.83 ± 0.67)		(2.82 ± 0.35)	(3.45 ± 0.85)	(2.38 ± 0.50)	(2.50 ± 0.5)
Urea nitrogen (UN): S, P, HP	mg/dL	10-28 (17 ± 4.0)	20–30	(16.9 ± 2.1)	(20.7 ± 5.1)	(14.3 ± 3.0)	8-20 (15 ± 3.3)
Vitamin A (Vit A):							
Carotenol: S	nmol/L	0–93 (56)	932–3614				
	μ g/dL	0–5 (3.0)	50–194				
Carotene: S	μ mol/L	652–1677	(3502)				
	μg/dL	35–90	(3702)				
	15 (25.0		(188)				
Vitamin B ₁₂ : S	pmol/L pg/mL	125-133 170-180					
Zinc (Zn): S	μmol/L μg/dL						(12.1 ± 0.6) (79 ± 4.0)

^aRanges with means and standard deviations in parentheses.

^b Abbreviations: B, blood; HB, heparinized blood; HP, heparinized plasma; P, plasma; S, serum; R, erythrocytes.

Appendix X Blood Analyte Reference Values in Selected Avians–I^a

Analyte ⁶	Unit	Chicken	Budgeriger	Cockatoo	Macaw	Eagle	Hawk
Amylase (Amyl): S,HP	U/L		185–585				
Aspartate amino transferase (AST, GOT): S,HP	U/L	(174.8)	150–350	59-1310 (410 ± 452)	40-2408 (508 ± 950)	316-2881 (1045 ± 918)	126-500 (266 ± 117)
Calcium (Ca): S,HP	mmol/L mg/dL	(7.10) (28.4)		1.30-2.83 (2.20 ± 0.45) 5.2-11.3 (8.81 ± 1.80)	1.93-3.73 (2.41 ± 0.66) 7.70-14.9 (9.64 ± 2.65)	2.25-3.08 (2.58 ± 0.26) 9.0-12.3 (10.3 ± 1.05)	0.90-2.80 (2.28 ± 0.58) 3.60-11.2 (9.13 ± 2.30)
- Chloride (Cl): S, HP	mmol/L					(116)	
Cholesterol (Chol): S, P, HP Total	mmol/L mg/dL	(4.75) (183.8)					
Creatinine (Creat): S, P, HP	μmol/L mg/dL		8.8–35.4 0.1–0.4	26.5-167.9 (68.1 ± 52.2) 0.3-1.9 (0.77 ± 0.59)	35.4-247.5 (64.5 ± 50.4) 0.4-2.0 (0.73 ± 0.57)	70.7-132.6 (91.9 ± 25.6) 0.8-1.5 (1.04 ± 0.29)	26.5–79.6 (48.6 ± 15.9) 0.3–0.9 (0.55 ± 0.18)
Glucose (Glu): S, P, HP	mmol/L mg/dL	(9.3) (167.8)	11.1–22.2 200–400	10.2–20.8 (15.8 ± 5.3) 184–375 (285 ± 95)	$11.9-23.2$ (16.9 ± 3.2) $215-418$ (304 ± 57)	14.9-32.6 (19.9 ± 8.6) 268-587 (359 ± 154)	8.5-20.7 (16.7 ± 4.1) 153-373 (301 ± 74)
Glutamic oxaloacetic transaminase (GOT): see AST							
Lactate dehydrogenase (LDH): S, HP	U/L	(636.0)	150–450	151-1337 (467 ± 435)	48-831 (293 ± 269)	358-3400 (1256 ± 1072)	58-708 (301 ± 226)
Osmolality (mOsm): S, P, HP	mOsm/kg			317–347	319–378		
Phosphatase, alkaline (AlP): S, HP	U/L	(482.5)		36-229 (109 ± 60)	10-239 (88.5 ± 75.0)	63-174 (61.8 ± 57.8)	6-235 (88.7 ± 84.0)

Phosphate (Pi): S, HP	mmol/L			0.23-1.91	0.70-3.36	0.68-3.55	1.16-2.16
	17475	(2.52)		(1.0 ± 0.6)	(1.68 ± 0.84)	(1.58 ± 1.00)	(1.58 ± 0.32)
	mg/dL	(7.81)		$0.7-5.9$ (3.1 \pm 1.7)	2-10.4 (5.2 ± 2.6)	2.1-11.1 (4.9 ± 3.1)	$3.6-6.7$ (4.9 ± 1.0)
Potassium (K): S, HP	mmol/L			2.9-11.0 (6.0 ± 3.2)	2.2-10.1 (4.7 ± 2.7)	2.4-4.4 (3.6 ± 0.7)	1.6-4.2 (3.0 ± 0.9)
Protein (Prot): S							
Total (TP)	g/L	(56.0)	25.0–45.0	$27.0-54.0$ (41.5 \pm 7.1)	$22.0-52.0$ (35.8 ± 7.3)	$32.0-49.0$ (38.7 \pm 6.4)	$27.0-46.0$ (37.6 ± 6.3)
Electrophoresis (SPE), cellulose acetate (CA):							
Prealbumin	g/L			(3.0)	$5.0-11.1$ (8.1 \pm 5.0)		
Albumin	g/L	(25.0)	$21.0-33.0$ (27.0 \pm 1.7)	(23.0)	$11.0-24.0$ (17.3 ± 5.3)		
Globulin, total	g/L	(31.0)	26.0-51.0 (39.0 ± 6.9)	(16.0)	8.0-33.0 (19.7 ± 10.0)		
A/G Ratio			$0.45-1.19$ (0.71 \pm 0.20)	1.5–4.3 (1.74)	$1.40-3.90$ (1.96 ± 1.29)		
Sodium (Na): S, HP	mmol/L			$149.0-155.0$ (153.7 ± 2.1)	138.0-157.0 (148.6 ± 5.3)	147–171 (159.0 ± 7.0)	154.0-158 (156.4 ± 1.6)
Urate (UA): S, P, HP	mmol/L		0.24-0.83	0.10-1.07 (0.46 ± 0.29)	0.09-0.88 (0.39 ± 0.27)	0.26-2.28 (1.07 ± 0.60)	0.37-1.77 (0.77 ± 0.50)
	mg/dL		4.0-14.0	1.6-18.0 (7.8 ± 4.8)	1.5-14.8 (6.6 ± 4.6)	4.3-38.4 (18.0 ± 10.0)	6.2-29.8 (13.0 ± 8.4)
Urea (UR): S, P, HP	mmol/L mg/dL			0.8-2.1 4.80-12.6	0.3–3.3 1.8–19.8		
Urea Nitrogen (UN): S, P, HP	mmol/L mg/dL			1.60-4.20 2.24-5.88	0.60-6.60 0.84-9.24		

^a Ranges with means and standard deviations in parentheses.

^b Abbreviations: B, blood; HB, heparinized blood; HP, heparinized plasma; P, plasma; S, serum; R, erythrocytes.

Appendix XI Blood Analyte Reference Values in Selected Avians–II^a

Analyte ⁶	Unit	Ostrich	Peregrine Falcon	Pigeon	African Grey Parrot	Amazon Parrot
Alanine aminotransferase	U/L		29–90	19–48	12–59	19–98
Amylase (Amyl): S, HP	U/L					571–1987
Aspartate aminotransferase (AST, GOT): S, HP	U/L	252–401	34–116	45–123	54–155	57–194
Bile Acids	μ mol/L	8–30	5–69	22-60	18-71	19-144
Calcium (Ca): S, HP	mmol/L mg/dL	2.5–4.6 10.0–18.4	1.9–2.4 7.6–9.6	1.9–2.6 7.6–10.4	2.1–2.6 8.4–10.4	2.0–2.8 8.0–11.2
Chloride (Cl): S, HP	mmol/L	94–105	114–131	101–113		
Corticosterone: S, P, HP Pre-250 µg/kg ACTH Post-250 µg/kg ACTH	nmol/L nmol/L			6–36 64–324		16–39 108–506
Creatinine (Creat): S, P, HP	μmol/L mg/dL		24–64 0.27–0.72	23–36 0,26–0,40	23–40 0.26–0.45	19–33 0.21–0.37
Creatine kinase (CK): S, P, HP	U/L	1655–4246	120-442	110-480	123-875	45–265
Glucose (Glu): S, P, HP	mmol/L mg/dL	10.4–13.7 187–247	16.5–22.0 297–396	12.9–20.5 232–369	11.4–16.1 205–290	12.6–16.9 227–304
Glutamate dehydrogenase (GD): S, P, HP	U/L	<8	<8	<8	<8	<8
Glutamic oxaloacetic transaminase (GOT): see AST						
Glutamic pyruvic transaminase (GPT: see ALT)						

γ -Glutamyl transferase (GGT): S, P, HP	U/L	0-1	0-3	0-3	0-4	1-10
Lactate dehydrogenase (LD): S, P, HP	U/L	869–2047	1008–2650	30–205	147–384	46–208
Lipase (Lip): S,P,HP	U/L					268-1161
Osmolality (mOsm): S, P, HP	mOsm/kg	305–328	322–356	297–317	320–347	316–373
Phosphate (Pi): S, HP	mmol/L mg/dL	1.3–2.2 4.0–6.80	0.55–1.53 1.70–4.74	0.57–1.33 1.76–4.12		
Potassium (K): S, HP	mmol/L	4.5–5.9		3.9-4.7	2.5-3.9	2.3-4.2
Protein (Prot): S Total (TP) Albumin/Globulin (A/G) Ratio	g/L	40–54 0.9–1.4	24–39 0.8–2.4	21–35 1.5–3.6	32–44 1.4–4.7	33–50 2.6–7.0
Sodium (Na): S, HP	mmol/L	147–157	150–170	141-149	154–164	149–164
Thyroxine (T ₄): S, P, HP Pre–2 IU/kg TSH IM Post–2 IU/kg TSH IM	nmol/L mg/dL nmol/L mg/dL			6–35 0.46–2.72 100–300 7.77–23.3		
Urate (UA): S, P, HP	μmol/L mg/dL	357–643 6.00–10.80	253–996 4.26–16.75	150–765 2.52–12.56	93–414 1.56–6.96	72–312 1.21–5.25
Urea (UR): S, P, HP	mmol/L mg/dL	0.5–0.8 3.0–4.8	0.8–2.9 4.8–17.4	0.4–0.7 2.4–4.2	0.7–2.4 4.2–14.4	0.9–4.6 5.4–27.6
Urea nitrogen (UN): S, P, HP	mmol/L mg/dL	0.99-1.57 1.4-2.2	1.52-2.07 2.2-8.1	0.78-1.43 1.1-2.0	1.43–4.78 2.0–6.7	1.79-9.20 2.5-27.6

⁸ Ranges with means and standard deviations in parentheses.

^b Abbreviations: B, blood; HB, heparinized blood; HP, heparinized plasma; P, plasma; S, serum; R, erythrocytes.

Appendix XII Urine Analyte Reference Values in Animals

Analyte	Units	Horse	Cow	Sheep	Pig	Dog	Cat	Goat
Allantoin	mg/kg/d	5–15	20–60	20-50	20–80	35–45	80	
Arsenic	μg/dL					30–150		
Bicarbonate	mmol/kg/d					0.05-3.2		
Calcium	mg/kg/d		0.10-1.40	2.0		1-3	0.20-0.45	1.0
Chloride	mmol/kg/d		0.10-1.10			0-10.3		
Coproporphyrin	μg/dL		5–14	8.8		16-28		
Creatinine	mg/kg/d		15–20	10	20–90	30-80	12-20	10.0
Cystine	mg/g Creatinine					(67 ± 15)		
Hydrogen Ion (pH)	unit	7.0-8.0	7.4-8.4	7.4–8.4	5.0-8.0	5.0-7.0	5.0-7.0	7.4-8.4
Lead	μg/dL					20-75		
Lysine	mg/g Creatinine					21 ± 6		
Magnesium	mg/kg/d		3.7			1.7–3.0	3-12	
Mercury	μg/dL					1.0-10		
Nitrogen								
Urea N	mg/kg/d		23–28	98	201	140-230	374–1872	107
Total N	mg/kg/d	100-600	40-450	120-350	40-240	250-800	500-1100	120-400
Ammonia N	mg/kg/d		1.0-17.0			30–60	60	3–5
Phosphorus	mg/kg/d			0.2		20-30	108	1.0
Potassium	mmol/kg/d		0.08-0.15			0.1-2.4		
Sodium	mmol/kg/d		0.2-1.1			0.04-13.0		
Specific gravity	units	1.020-1.050	1.025-1.045	1.015-1.045	1.010-1.030	1.015-1.045	1.015-1.065	1.015-1.04
Sulfate	mg/kg/d		3.0-5.0			30-50		
Uric acid	mg/kg/d	1-2	1–4	2–4	1-2			2–5
Urine volume	m L/kg/d	3–18	17–45	10–40	5-30	17–45	10–20	10-40
	mg/dL		1.5-7.0	3.8	5.0			

Appendix XIII Cerebrospinal Fluid (CSF) Reference Values in Large Animals

Analyte	Units	Horse	Cow	Sheep	Goat	Llama	Pig
Rate of formation	μL/m		290	118	164		
Alkaline phosphatase (AIP)	U/L	0-8 (0.8 ± 0.9)					
Aspartate aminotransferase (AST)	U/L	15–50 (30.7 ± 6.3)					
Calcium	mg/dL	2.5-6.0 (4.2 ± 0.9)	5.1–6.3	5.1–5.5	4.6		
Chloride	mmol/L	95-123 (109.2 ± 6.9)	111–123	128–148	116–130	116–143)	(134 ± 6.5
Cholesterol (Chol)	mg/dL	0 20.0 (4.8 ± 5.7)					
Creatine kinase (CK)	U/L	0-8 (1.1 ± 3.1)					
Glucose	mg/dL	30-70 (48.0 ± 10.0)	37–51 (42.9 ± 1.0)	52–85	70	59–86 (69.3 ± 7.35)	45–87
γ-Glutamyl transferase (GGT)	U/L	0.8-4.2 (2.6 ± 1.9)					
Hydrogen Ion (pH)	units	7.13–7.36	7.22–7.26	7.3–7.4 (7.35)			
Lactate dehydrogenase LD)	U/L	$12-34$ (27.7 \pm 8.0)	2-25 (13.94 ± 1.32)			7–24 (13 ± 5.6)	
Lactic acid (LAC)	mg/dL	(2.3 ± 0.2)					
Magnesium	mg/dL	1.1-3.0 (2.0)	1.8-2.1 (1.99 ± 0.03)	2.2-2.8	2,3		

Analyte	Units	Horse	Cow	Sheep	Goat	Llama	Pig
Phosphorus	mg/dL	0.5-1.5 (0.8 ± 0.2)	0.9–2.5	1.2-2.0			
Potassium	mmol/L	2.5-3.5 (3.0 ± 0.1)	2.7-3.2 (2.96 ± 0.03)	3.0-3.3	3.0	2.9-3.3 (3.19 ± 0.10)	
Pressure	mm H ₂ 0	272-490					
Protein Total	mg/dL	40-170 (105 ± 38)	23.4-66.3 (39.1 ± 3.39)	29–42	12	31.2-66.8 (43.1 ± 9.0)	24–29
Albumin	mg/dL	22.6–67.9 (38.6)	8.21-28.71 (15.75 ± 1.53)			11.8-27.1 (17.9 ± 4.45	17–24
Globulin:	mg/dL	3.4–18.4 (9.3)					5–10
γ-globulin	mg/dL	3-10 (6.0 ± 2.1)	2.46-8.85			3.4–13.8	
Sodium	mmol/L	140-150 (144.6 ± 1.9)	132-142 (140 ± 0.78)	145–157	131	134-160 (154 ± 5.8)	134–14
Specific gravity	unit	1.004-1.008	1.005-1.008				
Urea (UR)	mg/dL mmol/L	0-43.2 0-7.2					
Urea nitrogen(UN)	mg/dL	0-20 (11.8 ± 3.3)	8–11				
	mmol/L	0-14.3 (0 ± 3.4)					
Viscosity	unit	1.00-1.05	1.019-1.029				
Cells (Total WBC)	#/ μ l	0–6	0.85-3.52	0–5	0-4	0–3	0-7
Cells (Total RBC)	#/ μ l	(195 ± 512)	5–1930			0-1360	

Appendix XIV Cerebrospinal Fluid Reference Values in Small and Some Laboratory Animals

Analyte	Units	Dog	Cat	Rat	Mouse	Rabbit	Monkey
Rate of formation	μL/m	47–66	20–22	2.1-5.4	0.325	10	28.6-41.0
Alanine aminotransferase (ALT)	U/L	0.96-15.36 (6.58 ± 0.65)					
Aspartate aminotransferase (AST)	U/L	4.32-22.08 (9.65 ± 0.65)	0-34 (17 ± 7)				
Calcium	mg/dL	5.13–7.40 (6.56)	5.1-6.3 (6.0 ± 0.24)				
Chloride	mmol/L	109-126 (130 ± 0.5)	111–123				
Creatine kinase (CK)	U/L	(23.5 ± 0.19)	2-236 (47 ± 51)				
Glucose	mg/dL	48–57	$18.2-130.9$ (74.5 \pm 23.6)				
Hydrogen Ion (pH)	units	7.13–7.36	7.22–7.26				
Lactate dehydrogenase (LD)	U/L		0-24 (12 ± 5)				
Magnesium	mg/dL	25.8–3.81	(3.24 ± 0.05)				
Phosphorus	mg/dL	2.82-3.47 (3.09)					
Potassium	mmol/L	2.9-3.2 (3.3 ± 0.04)	(2.69 ± 0.09)				
Pressure	mm H ₂ O	24–172					

Analyte	Units	Dog	Cat	Rat	Mouse	Rabbit	Monkey
Protein							
Total	mg/dL	18-44 (28.68 ± 5.52)	0-30 (5 ± 9)				
Albumin	mg/dL	7.5-27.6 (17.1 ± 6.7)	19-25 (10.1 ± 12.9)				
Globulin		14.0-21.1 (17.45 ± 0.83)					
IgA	μ g/m L	0-0.2 (0.08)					
IgG	mg/dL	2.5-8.5 (4.68 ± 0.68)	0-5.3 (1.4 ± 0.27)				
IgM	μ g/mL	0–5.8 (1.7)					
Sodium	mmol/L	151.6–155 (153 ± 0.5)	158 (158 ± 4)				
Specific gravity	unit	1.003-1.012 (1.005)					
Urea	mg/dL mmol/L	10–11 1.7–1.8	21.5–23.6 3.6–3.9				
Urea Nitrogen	mg/dL mmol/L	4.7–5.1 3.3–3.7	10–11 7.1–7.9				
Cells (total WBC)	#/µl	0-25	0-1				
Small Mononuclear	%	15–95					
Large Mononuclear	%	5–40					
Degenerate	%	0–40					
Cells (Total RBC)	#/µl	<1500	<30				